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### **Using Gene Expression to Improve the Power of Genome-Wide Association Analysis**

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#### **Abstract**

**Motivation—**Genome-wide association (GWA) studies have reported susceptible regions in the human genome for many common diseases and traits, however, these loci only explain a minority of trait heritability. To boost the power of a GWA study, substantial research endeavors have been focused on integrating other available genomic information in the analysis. Advances in high through-put technologies have generated a wealth of genomic data, and made combining SNP and gene expression data become feasible.

**Results—**In this paper we propose a novel procedure to incorporate gene expression information into GWA analysis. This procedure utilizes weights constructed by gene expression measurements to adjust p values from a GWA analysis. Results from simulation analyses indicate that the proposed procedures may achieve substantial power gains while controlling family-wise type I error rate (FWER) at the nominal level. To demonstrate the implementation of our proposed approach, we apply the weight adjustment procedure to a GWA study for serum interferonregulated chemokine levels in systemic lupus erythematosus (SLE) patients. The study results can provide valuable insights for the functional interpretation of GWA signals.

**Availability—**The R source code for implementing the proposed weighting procedure is available at<http://www.biostat.umn.edu/~yho/research.html>

#### **Keywords**

p value weighting; family-wise error rate; statistical power; integrative genomic analysis; SLE

#### **1 Introduction**

Over the past few years, genome-wide association (GWA) studies have been successful in localizing and identifying genetic regions that are related to common human diseases [1].

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But studies have shown that the amount of genetic variation explained by GWA findings for any given disease is often significantly less than the estimated heritability of the disease [2]. One possible reason for the missing heritability is that GWA studies are under powered to detect genetic variants that possess small effects. Most common human diseases or traits have complex inheritance patterns with multiple underlying genes with small to moderate effects. Therefore, it requires a relatively large sample size for a GWA study to detect signals with such small effects. In order to boost statistical power of a GWA study, an important direction of recent research is to integrate available genomic information such as gene expression, single nucleotide polymorphism (SNP), copy number variation, transcription regulation, methylation, and protein abundance together in the analysis [3, 4]. With the advances of high through-put technologies, integrating gene expression with SNP information has drawn much attention in the past decade [5, 6, 7, 8, 9, 10, 11]. A recent study incorporating gene expression information into gene association mapping in mice showed assuring results in identifying functional networks that explain phenotypic alterations [12].

Genovese et al. [13] introduced the idea of utilizing prior knowledge to weight p values from a GWA study. Li et al. [14] adapted the p value weighting idea and proposed using gene expression information to formally derive weights and to apply the derived weights to

p adjust the p values from a GWA study. They specified weights  $w_i = \sqrt{-\log_{10} p_{eqT}}$  where  $p_{eOTL}$  is the p value for association between a SNP marker and gene expression (these SNP markers sometimes were referred to as eQTLs). They demonstrated power gain when incorporating information of association between SNP markers and gene expression profiles in their study. However, their approach did not utilize the information of association between gene expression profiles and phenotypic outcome of interest.

In this paper, we propose approaches that utilize both (SNP, gene expression) as well as (gene expression, phenotype) associations and are expected to achieve greater power gains than that of Li et al. [14] under some situations. A study-wise threshold for the weight adjusted p values can then be used to determine genome-wide significance. In addition to the expected power gain, the weights calculated based on gene expression can provide useful information for prioritizing SNPs for further functional validation experiments.

To assess the performance of our proposed approach, we conducted simulation analyses under various scenarios to evaluate the family-wise type-I error rate (FWER) and statistical power. We also compared the performance of our proposed weighting approaches to that of Li et al. [14] in the simulation analysis.

We apply the proposed approach to a study related to lupus activity in Systemic lupus erythematosus (SLE) patients. Through experimental data analysis, our primary focus is to demonstrate the implementation of the proposed weight adjustment approach. SLE is a chronic, inflammatory autoimmune disease that can cause damage to organs and tissues throughout the body. The exact cause of SLE is unknown, but a combination of genetic and environmental factors are thought to trigger the disease. More than 45 genetic variants are known to be associated with SLE, and over half of these can be linked to the type I interferon (IFN) pathway [15]. The type I IFNs are a family of antiviral cytokines that are

implicated in the pathogenesis of lupus, and IFN-inducible transcripts and proteins are candidate biomarkers for this disease [16, 17, 18, 19]. We previously evaluated a panel of 3 IFN-inducible serum chemokines (IP-10, MCP-1, MIP-3b) as predictors of lupus flare [20]. Identification of genetic variants associated with elevated chemokine levels could improve the treatment of SLE patients and may assist in identifying additional disease susceptibility loci.

The structure of the paper is as follows: we describe the study population and the weighting approach in detail in Section 2, the simulation analysis in Section 3. The implementation of the proposed approach in the SLE GWA study is described in Section 4, with a discussion and a final conclusion in Section 5.

#### **2 Research Design and Methods**

#### **Phenotype and Study Population**

In this study, our primary outcome of interest is lupus activity in SLE patients. Three interferon (IFN) regulated serum chemokines: CXCL10 (IP-10), CCL2 (MCP-1) and CCL19 (MIP-3β) were measured using SearchLight (Pierce, Woburn, MA) chemiluminescence sandwich-based immunoassays. A single normalized composite chemokine score on a 100 points scale was calculated using the three chemokine measurements as described in [20]. The chemokine score could serve as a biomarker for lupus activity and predict future disease flares in patient cohort [20].

The data we used was collected from 309 SLE patients with consent from the Hopkins Lupus Cohort [21] enrolled through Autoimmune Biomarkers Collaborative Network (ABCoN) described in Bauer et al. [20]. All patients were Caucasian and the majority were females. They received treatments for lupus during the study period including hydroxychloroquine, cyclophosphamide, mycophenolate mofetil, azathioprine, methotrexate, chlorambucil, and oral prednisone.

#### **SNP Genotyping and Gene Expression Profiling**

Whole genome genotyping for 555,352 SNP markers were carried out using Illumina 550K SNP array version 1 (HJ550v1; Illumina Inc., San Diego, CA, USA). Gene expression of 24,849 genes were measured using Illumina Human-6 Expression BeadChip; data files were analyzed with Illumina's GenomeStudio gene expression module to report quantilenormalized, background-corrected gene expression signal levels.

#### **Method**

In this study, we propose using weights [22, 13, 23] computed based on gene expression measurements to adjust p values from GWA analysis. Roeder et al. [24] suggested to use a weight ( $w_i > 0$ ) to adjust a p value ( $p_i$ ) and reject a null hypothesis  $H_i$  if the adjusted p value is smaller than the Bonferroni corrected threshold  $\alpha$ , where a is the significance level and m is the total number of hypotheses. Hence the set of rejected hypotheses  $(H_i)$  is defined as:

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$$
i \in R = \left( i ; \frac{p_i}{w_i} \leq \frac{\alpha}{m} \right).
$$

In Roeder et al. [25], they provided theoretical proof that the rejection set *R* described above controls FWER at level  $\alpha$ , as long as  $w_i > 0$  and  $\overline{w_i} = 1$ .

Based on their theoretical results, we utilize the p value weighting approach to gain power in detecting signal while controlling FWER at the nominal level. After weight adjustment, SNP loci that have strong contributions to phenotype-associated gene expression will have smaller p values. The weighting mechanism is as follows. We assign a weight,  $w_i^*$  to each SNP marker *i* such that  $w_i^* > 0$ , and the average of weights ( $\frac{1}{w_i^*}$ ) is 1. The weight for a given SNP  $(L_i)$  is a product of two parts:  $w_{L_iE_j}$  and  $w_{E_jP}$ .  $w_{L_iE_j}$  indicates the effect of SNP locus  $L_i$ on the *j*-th gene expression measurement, *E<sup>j</sup>* . The second term *wEjP* describes whether gene expression measurement  $(E_j)$  is associated with the phenotypic outcome (P).

To ensure correct control of FWER, we use the model below to calculate  $w_{L_iE_j}$ .

$$
E_j = \beta_0 + \beta_{L_i E_j} L_i + \gamma P + \varepsilon, \quad L_i = 0, 1, 2, \quad (1)
$$

and  $w_{L_i E_j} = (\frac{\hat{\beta}_{L_i E_j}}{SE(\hat{\beta}_{L_i E_j})})^2$ . The estimate  $\hat{\beta}_{L_i E_j}$  from the above regression model is equivalent to that of  $\beta_r$  in the following model:

$$
r_1 = \alpha + \beta_r r_2 + \varepsilon_3
$$

where  $r_1$  and  $r_2$  are residuals from the following two models respectively:

$$
E_j = \alpha_0 + \alpha_1 P + \varepsilon_1, \quad L_i = \alpha_3 + \alpha_4 P + \varepsilon_2
$$

In regression model (1), the reason for adjusting for *P* is to remove the effect of *P* to prevent the correlation between the weight  $w_{L_iE_j}$  and the GWA p value. In an extreme situation, for example, consider SNP marker  $L_i$  is not associated with  $E_j$  while  $E_j$  and  $P$  are highly correlated. The p value for  $\beta_{L_iE_j}$  in the model  $E_j = \beta_0 + \beta_{L_iE_j} L_i + \varepsilon$  will be highly correlated with the GWA p value for  $\beta$  in the GWA model  $P = \beta_0 + \beta L_i + \varepsilon$ , due to the high correlation between *E<sup>j</sup>* and *P*. Hence, after adjusting for the effect of *P* in model (1), the p value for  $\beta_{L_iE_j}$  will be less likely to be significant, yielding a derived weight not highly correlated with the GWA p value.

Similarly, we adjust for  $L_i$  when calculating weight  $w_{E_jP}$  using the model:  $P = \beta_0 + \beta_{E_jP}E_j +$ 

 $\gamma L_i + \varepsilon$ , and assign  $E_j^{\beta}$   $\left(SE(\beta_{E_iP}^{\gamma})\right)$ . Then the product of the two weights is used to describe the effect of SNP locus  $L_i$  on P through  $E_j$ . The useful benefit of taking the product of two weights is that if either  $w_{L_iE_j}$  or  $w_{E_jP}$  is zero, then the resulting product will be zero.

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On the other hand, if both *wLiEj* and *wEjP* are reasonably large, then taking the product of the two parts will result in an amplified overall weight. A crude weight for SNP locus *L<sup>i</sup>* is determined by the maximum of the products among all gene expression measurements:

$$
w_{MP_i} = Max_j(w_{L_iE_j} \times w_{E_jP}).
$$

Finally, we divide crude weights ( $w_{MP}$ ) by their average ( $\overline{w_{MP}}$ ) so that  $\overline{w^*}$ =1 as required by Roeder et al. [25]:

$$
w^*_{\scriptscriptstyle MP_i}\!=\!\frac{w_{\scriptscriptstyle MP_i}}{\overline{w_{\scriptscriptstyle MP_i}}}.
$$

With the  $w^*_{MP}$ , adjusted p value for the *i*th SNP can then be calculated as:

adjusted p value for SNP  $i = \frac{\text{p value of SNP } i \text{ reported from GWAS}}{w^*_{MP_i}}$ 

In the weighting approach described above, we assume that the effect of SNP genotype on gene expression is linear. More generally, we may code two dummy variables to indicate *L<sup>i</sup>*  $= 1$  versus 0, and  $L_i = 2$  versus 0 respectively, then the  $\chi^2$  statistic derived from the likelihood ratio test can be used in replacement of the squared t statistic. Furthermore, in the case-control study design, a similar approach can be applied by deriving the weights through logistic regression.

Because the weights are constructed as products of two parts, to ensure both the associations between  $L_i$  &  $E_j$  and also  $E_j$  & P are substantial, we propose a trimming method for  $w_{L_iE_j}$ and  $w_{E_j}$  as follows. We set  $w_{L_iE_j} \times w_{E_j} = 0$  if  $w_{L_iE_j} \le \chi_{1,c_1}^2$  or  $w_{E_jP} \le \chi_{1,c_2}^2$ . In the analysis below, we set  $c_1 = c_2 = (1 - \sqrt{0.05})$ . Then  $w^*_{MP}$  can be calculated as described above.

When only a subset of individuals have gene expression profiles available in a dataset, the p values can be derived from the full dataset, and weights can be calculated from the partial data set. Then the same weight adjusting procedure can be implemented as described above. The R source code for implementing the proposed weighting procedure is available at [http://](http://www.biostat.umn.edu/~yho/research.html) [www.biostat.umn.edu/~yho/research.html](http://www.biostat.umn.edu/~yho/research.html).

#### **3 Simulation**

To mimic data from a GWA study, we constructed simulated data using the marginal distribution parameters of the genotype scores of the SNP markers, the gene expression measurements and the phenotype obtained from the SLE study described in the previous section. We selected one SNP marker to be the true underlying SNP (*SNPtrue*) and simulated a single gene expression level according to this model:  $E_1 = \beta_{0E} + \beta_E \times SNP_{true} + \varepsilon$ ,  $\varepsilon \sim N(0, \sigma_E^2)$ . In the described model,  $\beta_{0E}$  and  $\sigma_E^2$  were obtained from the mean and the variance of a randomly selected gene expression. The value of the phenotypic outcome was

simulated based on the model:  $P = \beta_{0P} + \beta_P \times \frac{(E_1 - \overline{E_1})}{\sigma_{E_1}} + \varepsilon, \varepsilon \sim N(0, \sigma_P^2)$ . The  $\beta_{0P}$ , and  $\sigma_P$ were determined by the mean and variance of the chemokine score in the SLE dataset. We used standardized  $E_1$  in the above equation for the ease of interpreting  $\beta_P$ .

To investigate family-wise error control, we randomly selected another 9 non-phenotypeassociated SNP markers and 1,000 gene expression measurement from the experimental data in the SLE study. Hence, the simulated dataset consisted of 10 SNP markers and 1,001 gene expression measures, and one continuous phenotypic outcome.

#### **Scenario 1**

In the first simulation, we assumed  $\beta_E = \beta_P = 0$  to examine the type-I error rate and the results are presented in Table 1. In Table 1, we recorded the fraction of times that a SNP was declared significant at  $\alpha = 0.05$  for the four approaches: the conventional GWA analysis, the weight adjusted approach with ( $W_{MP}^T$ ) and without ( $W_{MP}$ ) trimming and Li's approach [14]. The overall FWERs from the 10,000 simulations were estimated by counting the percent of times when any significant results were reported. In Table 1, we observed the estimated FWERs were close to 0.05 for all four approaches. In addition, we observed similar results when assuming  $\beta_E = 10$ , and  $\beta_P = 0$ .

#### **Scenario 2**

A second simulation was conducted by assuming  $\beta_E = 0$  and  $\beta_P = 10$ . The results are shown in Table 1. According to the simulation results shown in Table 1, the FWER remained controlled at the 0.05 level for GWA,  $W_{MP}$ , and  $W_{_{MP}}^T$ .

#### **Scenario 3**

In this simulation scenario, the underlying SNP marker *L* was not associated with outcome *P* and gene expression *E*. However, two unobserved latent variables (F1, and F2) were in association with the observed *L*, *E* and *P* as as illustrated in Figure 1. This latent model can account population stratification, or admixture. In this simulation scenario, we are interested in examine whether the latent variables could create a spurious dependency for the proposed analyses. According to the result shown in Table 1, the FWER remained controlled at the 0.05 level for all four approaches in this scenario.

#### **Scenario 4**

To assess the power of the proposed weighting approaches, we conducted simulations

assuming  $\frac{\beta_E}{\sigma_E} = \frac{\beta_P}{\sigma_D} = 0.5$  and the results are shown in Table 2. The result suggested substantial power gain by the weighting approaches when compared to the conventional GWA analysis. By incorporating gene expression information, in the moderate effect size setting

 $(\frac{\beta_E}{\sigma_E} = \frac{\beta_P}{\sigma_P} = 0.5)$ , power increased by 56% (from 42.5% to 66.1%) when  $W_{MP}^T$  was compared to the standard GWA analysis.

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Furthermore, the results also indicated that Li's approach had less power gain than our proposed weight approaches due to the fact that the former's weight does not incorporate information of association between gene expression and phenotype.

#### **Scenario 5**

In this scenario, we assumed  $\frac{\beta_E}{\sigma_E} = \frac{\beta_P}{\sigma_P} = 0.5$ ; in addition, we assumed another SNP maker (*SNP<sub>add</sub>*) had an effect on a gene expression with effect size  $\frac{\beta_{Eadd}}{\sigma_{Eadd}}=2$ , but this gene expression was not associated with phenotype as illustrated by the diagram below.

The results from this simulation scenario are shown in Table 2. In Table 2,  $W_{MP}$  and  $W_{MP}^T$ demonstrated considerable power gains over the conventional GWA. However, Li's weighting approach suffered dramatic power loss compared to the GWA approach in this scenario. Li's weighting approach only accounted for the association between SNP and gene expression, which resulted in incorrectly assigning higher weights to *SNPadd*.

$$
SNP_{true} \to E_1 \to P
$$

$$
SNP_{add} \to E_{add}
$$

#### **Scenario 6**

In this scenario, we assumed  $\frac{\beta_E}{\sigma} = \frac{\beta_P}{\sigma} = 0.5$ ; only a subset of the individuals had expression measurements available but more samples had SNP genotype and phenotype information. In the simulation, we assumed that only 1/3 of individuals had expression profiles available. In this setting, the proposed weighting approach still demonstrated considerable power gain (with power increased from 41.9% to 60.1%) compared to the conventional GWA, although the power gain was less prominent than that of scenario 4 when the expression profiles for the whole study population were available.

#### **Scenario 7**

This scenario assumes a reactive model [26] as illustrated by the diagram below. We assumed a SNP marker L had an effect on outcome P, and the alteration of gene expression E was the result of change in outcome P. We assumed  $\frac{\beta_P}{\sigma_P} = \frac{\beta_E}{\sigma_E} = 0.5$  in the simulation. The result shown in Table 2 indicates that all three weight adjustment approaches ( $W_{MP}$ ,  $W_{MP}^T$ Li's approach) assigned lower weights for SNP markers with gene expression in reactive model compared to the original GWS p value. In the proposed weighting approaches, the model constructed for calculating *wLE* (equation 1) adjusted for outcome P. As a result, *wLE* was less likely to be large and more likely be reduced to 0 in the trimming approach. Hence we observed that  $W_{_{MP}}^T$  assigned the lowest weights for the reactive model.

$$
L \to P \to E
$$

#### **Scenario 8**

This scenario was modified from Scenario 5, where an independence model was assumed as illustrated in the diagram below. A SNP marker  $L_1$  had an effect on outcome  $P$  and also had

an effect on gene expression  $E_1$ . But *P* and  $E_1$  were independent. We assumed  $\frac{\beta_P}{\sigma_P} = \frac{\beta_{E_1}}{\sigma_{E_1}} = 0.3$ 

and  $\frac{\beta_{E_2}}{\sigma_{E_2}}$  = 2 in the simulation.

The results from this simulation scenario shown in Table 2 indicates that  $W_{MP}^T$  assigned lowest weights for SNP markers that arose from independence model due to small  $w_{FP}$ values. On the contrary, according to the simulation result, Li's approach undesirably assigned larger weights for SNP markers that were in the independence model.



In summary, the proposed weighting procedures control FWER at the nominal level when *L* is not associated with *E* or *E* is not associated with *P* or both. Our proposed methods aim to find genes that undergo  $L \to E \to P$  mechanism, where gene expression is in the middle of the pathway. Hence our methods exhibit largest power gain in identifying genes described in Scenario 4.

#### **4 Data Analysis Results**

#### **4.1 Results**

In the SLE dataset described in Section 2, the obtained chemokine score is considered a biomarker for lupus activity for SLE patients. To demonstrate the implementation of the proposed approach, we applied the chemokine score as the primary outcome and performed weight adjustment analysis for SNP loci with allele frequency  $> 0.1$ . The simulation results suggest that the trimming approach could allocate more weights on relevant SNP loci.

Therefore, we applied  $W_{_{MP}}^T$  with trimming in the following analysis.

The 13 SNPs with GWA p value <  $10^{-5}$  are listed in Table 3. In addition to the GWA p values indicated in the third column of Table 3, weights and weight adjusted p values are also presented. In the last two columns of Table 3, we recorded the annotation of SNP markers, and the gene expression that reported the maximum weight for the SNP.

The weights obtained from the proposed  $W_{_{MP}}^T$  and Li's approach have similar trend for 10 out of 16 in the top SNP markers shown in this table. Six SNP markers (rs2810693, rs11247300, rs931481, rs6536732, rs4892151, rs122778761) were down-weighted ( $w_{_{MP}}^*$  < 1) in our approach while having  $w > 1$  using Li's approach. One possible explanation is that these SNP markers might undergo the independence model.

The top SNP hit (rs17415112) is located within the EXOSC1 gene. EXOSC1 encodes a core component of the exosome and may be involved in Ig class switch recombination and degradation of mRNA transcripts for histone proteins, which are implicated in SLE [27]. Our results suggest a possible interaction of EXOSC1 and LOC441019, a locus related to immune system, cytokine and interferon gamma signaling. In addition, several transcripts reported in Table 3 are involved in the immune response, inflammation, and cytokine pathways that are known to be associated with active SLE [28]. These include the inflammatory caspase-related CARD17 [29], the interferon-inducible IFI35, the apoptosisinducing ligand TNFSF10 [30], and the galectin LGALS9 [31].

Furthermore, according to Table 3 we noticed that there were several SNP markers at the top of the list having lowered p values after the weight adjustment. Using the threshold of  $10^{-5}$ , three additional SNPs (rs596346, rs624676 and rs514604) became significant. These three SNP markers are in linkage disequilibrium and mapped to a noncoding mRNA transcript BC041900. This region is about 500K upstream of gene clusters on chromosome 11 where *FAM181B*, *PRCP*, *SNORA70E*, *C11orf82*, *LOC100506233*, *AK311356*, *ANKRD42*, *RAB30* locate.

To investigate SNP loci with moderate p values but large weights, we ranked SNP loci with unadjusted p value <  $10^{-3}$  and  $w_{MP}^*$ >1. The top 40 ranked loci are listed in Table 4. The complete list of SNP loci with unadjusted p value <  $10^{-3}$  and  $w_{\mu\nu}^* > 1$  is available in Supplementary Data. Interestingly, in some cases multiple SNPs on different chromosomes appear to interact with a single transcript. Among these transcripts are BST2, PARP12, SP140, TIMM10, UBQLNL, and XAF1. This suggests that distinct genetic variants may lead to altered expression of a single gene and provide divergent ways to trigger elevated chemokine levels.

In Table 4, there are 11 SNP markers were up-weighted ( $w_{\mu\nu}^*$  >1) using our approach but down-weighted by Li's approach. These differences in weights could be due to the mechanism described in simulation scenario 2.

#### **4.2 Functional Annotation**

Many of the transcripts identified in our study are known to be regulated by type I IFN [16]. Furthermore, several of the transcript abundance are also known to be altered in blood cells

of patients with SLE compared to healthy controls [16]. Among those transcript abundance that are both altered in SLE and IFN-inducible are IFI35, TNFSF10, XAF1, PARP12, BST2, ISG15, DDX58, HERC6, and MT2A. Only a few transcripts in our results: SP140, PSME2, and LGALS9 are IFN-inducible but were not observed to be changed in our studies of SLE patients.

While the current findings require validation to confirm the association of these variants to gene expression and serum chemokine levels, several of the identified loci harbor genes with known functions related to the immune system. These include DKK3, which plays a role in peripheral CD8 T-cell tolerance [32]; the transcription factor NR1D1, which regulates the production of inflammatory cytokines [33]; RGS9, which may have a role in chemokineinduced lymphocyte migration [34]; and SDK1, which is associated with combined variable immunodeficiency [35]. In addition, several expressed genes listed in the ninth column of Table 4, that interact with the SNP variants described above, participate in cellular apoptosis such as: XAF1, UBQLNL, TIMM10, BST2, HERC6, ISG15, PSME2 [36, 37].

#### **5 Discussion**

Through experimental data analysis, our primary focus is to demonstrate the implementation of the proposed weight adjustment approach. In the analysis of SLE GWA data, we identified three SNP loci with large weights that became significant after weight adjustment as shown in Table 3. Several genes listed in Tables 3 and 4 have pivotal roles in immune functions. Our results identified several genetic interactions among immune responses and cellular apoptosis pathways and seemed to suggest the importance of their interactions in active SLE symptoms. These SNP loci and corresponding associated gene expression provided valuable information for further functional evaluation. Replication of these findings in other cohorts is necessary to demonstrate the biological significance of the additional loci identified by our method.

Yet we also observed SNP loci with small p values that showed no evidence of gene expression association when analyzing SLE GWA data. This could be due to that phenotype contribution mechanisms were not measured in the current study, such as through unmeasured gene expression transcripts, DNA structures, or through other mechanisms. These SNPs will not be favored in the proposed weighting method since they will be downweighted due to lack of evidence of association with gene expression. These unmeasured mechanisms might explain the moderate differences in p values we observed in the SLE data analysis results described in Section 4. Hence in practice, we suggest to pursue both (1) SNPs with tiny GWA p values without weighting, (2) SNPs with small weight adjusted-p values. The proposed weighting approach assists researchers to prioritize GWA SNP findings by integrating available genomic information.

In this paper, we demonstrated our method in a paired gene expression and GWA study data from the same cohort. To date, relatively few published studies have utilized gene expression data from the same patients studied by GWA study [38, 39, 40, 41, 42]. However, as appreciation grows for the power of eQTL analysis, efforts such as the Genotype-Tissue Expression project will come to fruition [43], and methods such as ours

will facilitate the analysis of such datasets, we believe the collection of RNA for expression profiling from GWA study participants will be useful.

In addition, if eQTL (SNP, gene expression) data, and (gene expression, phenotype) data are available from two different sets of cohorts, instead of paired gene expression and GWA study data from the same cohort, our proposed approaches can be easily modified and applied.

Our proposal provides a formalized procedure to incorporate additional genomic information into GWA analyses. In additional to gene expression measurements as demonstrated in this paper, with the advent of a wealth of genetic data generated through high-throughput technologies, the proposed method is extendable to integrate other sources of information such as DNA methylation status, transcription regulation, and protein abundance.

The weighted hypothesis testing concept appeared to be first introduced by Holm [22], and since then theoretical developments have been advanced to form the basis for p value weighting in order to increase power while controlling FWER in a multiple hypothesis testing setting. Roeder et al. [25] provided an applicable theory for constructing weights which control FWER at the nominal level. Roeder et al. [24] applied p value weighting procedures to GWA analysis and demonstrated power gain compared to conventional analysis.

In the spirit of integrating genomic information from multiple sources for power gain, we proposed novel weighting procedures based on the theory by Roeder et al. [25] to incorporate gene expression into GWA analyses. Our simulation results confirmed that the proposed weighting procedure dramatically improved the statistical power of GWA studies while controlling FWER at the nominal level, when gene expression is in the middle of the etiological pathway. Under this mechanism, our methods demonstrated greater power gain compared to that of Li et al. [14]. It also provides ways to draw valuable information from massive data to assist functional interpretations of GWA signals.

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#### **Table 1**

FWER of the conventional GWA analysis and three weight adjusting approaches in scenario 1 and 2. Results are from 10,000 simulation iterations. *W<sub>MP</sub>*: Weight adjustment approach without trimming.  $W_{MP}^T$ : Weight adjustment approach with trimming.



#### **Table 2**

Power of the conventional GWA analysis and three weight adjusting approaches in scenario 3, 4, and 5. Results are from 10,000 simulation iterations. *W<sub>MP</sub>*: Weight adjustment approach without trimming.  $W_{MF}^T$ : Weight adjustment approach with trimming.



# **Table 3**

SNP loci with p value <  $10^{-5}$  according to the GWA analysis or the weight adjustment procedure. chr: chromosome. Adjusted p value is calculated as SNP loci with p value < 10−5 according to the GWA analysis or the weight adjustment procedure. chr: chromosome. Adjusted p value is calculated as .



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# **Table 4**

Top 40  $w_{MP}^*$  ranked SNP loci with unadjusted p value < 10<sup>-3</sup> and  $W_{MP}^*$ >1. chr: chromosome. Adjusted p value is calculated as  $\frac{SWAS_{P} \text{value}}{w_{MP}^*}$ . Top 40  $w_{\mu,p}^*$  ranked SNP loci with unadjusted p value < 10<sup>-3</sup> and  $W_{\mu,p}^* > 1$ . chr: chromosome. Adjusted p value is calculated as  $\frac{SWAS_{\mu,p}^{S}}{w_{\mu,p}^{*}}$ 

	<b>SNP</b>	èr	GWA p value	MP $W^{\cal T}$	Ë	Adjusted p value	<b>GWA Rank</b>	<b>SNP</b> Annotation	Expression
	rs6495528	$\overline{15}$	$9.74e-04$	6.24	0.94	$.56e-04$	580	<b>RIAA1199</b>	<b>XAF1</b>
	rs2869580	$\overline{1}$	4.50e-04	4.12	1.58	1.09e-04	285	RGS9	UBQLNL
	rs12374087	$\infty$	$7.05e-04$	3.55	0.96	1.99e-04	421		TIMM10
	rs12681426	$\infty$	4.66e-04	3.30	00.1	1.41e-04	299		PARP12
	rs13100138	$\sim$	9.93e-04	3.05	1.02	3.26e-04	596		TIMM10
	rs222255	$\sim$	8.15e-04	3.05	0.96	2.67e-04	483		BST <sub>2</sub>
	rs2086369	$\infty$	9.50e-04	3.01	1.38	3.16e-04	566	NKAIN3	BATF <sub>2</sub>
∝	rs1021012	$\infty$	8.81e-04	2.97	1.36	2.97e-04	527	NKAIN3	BATF <sub>2</sub>
	rs7015211	$\infty$	8.81e-04	2.97	1.36	2.97e-04	527	NKAIN3	BATF <sub>2</sub>
0	rs4721478		8.41e-04	2.86	0.77	2.94e-04	506	$\ensuremath{\mathsf{L}\text{PD}}$	MDK
	rs4809388	$\Omega$	4.94e-04	2.83	1.60	$1.74e-04$	313	PRPF6	SP140
S	rs2071570	$\overline{\phantom{0}}$	2.61e-04	2.83	0.96	$9.22e-05$	178	NR1D1	PARP12
ξ	rs433074	ಸ	4.12e-04	2.78	1.33	L48e-04	264		ISG15
4	rs12497437	$\mathfrak{m}$	5.36e-04	2.68	1.01	$2.00e-04$	328		PSME2
5	rs478420	$\infty$	$1.24e-04$	2.30	1.25	5.38e-05	$\rm 89$	PCM <sub>1</sub>	SP140
$\stackrel{\circ}{=}$	rs4737609	$\infty$	9.86e-04	2.28	1.19	4.33e-04	591	NKAIN3	<b>UBQLNL</b>
Γ	rs12986829		$6.12e-05$	2.18	1.52	2.81e-05	56		TIMM10
$\infty$	rs1400030		1.21e-04	2.18	1.47	5.55e-05	84		TIMM10
۹	rs12536527		$9.26e-04$	2.13	1.19	4.34e-04	556	<b>SDK1</b>	GBP5
$\overline{c}$	rs13426554		3.45e-05	2.12	1.39	$1.63e-05$	38		DDX58
$\overline{z}$	rs2345102	$\sim$	3.45e-05	2.12	1.39	$1.63e-05$	38		DDX58
22	rs11203933	$\infty$	2.73e-04	2.10	0.95	$1.30e-04$	187		SP140
23	rs7912066	$\overline{10}$	6.61e-04	2.02	0.86	$3.27e-04$	397	CTNNA3	TIMM10
$\overline{24}$	rs4402422	$\frac{3}{2}$	2.19e-04	2.02	$\overline{21}$	$1.09e-04$	149		<b>UBQLNL</b>
25	rs12329145	$\mathbf{\Omega}$	1.01e-04	2.02	$\overline{4}$	4.99e-05	76		TIMM10
26	rs1041530	$\overline{10}$	1.24e-04	1.99	1.21	$6.21e-05$	88		SP140
27	rs4739003	$\infty$	2.54e-04	1.99	1.48	1.28e-04	173	NKAIN3	UBQLNL



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