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## **The future potential for cocaine vaccines**

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## **Abstract**

**Introduction—**Addiction to cocaine is a major problem around the world, but especially in developed countries where the combination of wealth and user demand has created terrible social problems. Although only some users become truly addicted, those who are often succumb to a downward spiral in their lives from which it is very difficult to escape. From the medical perspective, the lack of effective and safe, non-addictive therapeutics has instigated efforts to develop alternative approaches for treatment, including anticocaine vaccines designed to block cocaine's pharmacodynamic effects.

**Areas covered—**This paper discusses the implications of cocaine pharmacokinetics for robust vaccine antibody responses, the results of human vaccine clinical trials, new developments in animal models for vaccine evaluation, alternative vaccine formulations and complementary therapy to enhance anticocaine effectiveness.

**Expert opinion—**Robust anti-cocaine antibody responses are required for benefit to cocaine abusers, but since any reasonably achievable antibody level can be overcome with higher drug doses, sufficient motivation to discontinue use is also essential so that the relative barrier to cocaine effects will be appropriate for each individual. Combining a vaccine with achievable levels of an enzyme to hydrolyze cocaine to inactive metabolites, however, may substantially increase the blockade and improve treatment outcomes.

### **Keywords**

addiction; adjuvant; antibody; butyrylcholinesterase; cocaine; gene therapy; hydrolase; vaccine

## **1. Introduction**

Addiction to cocaine continues to be a major problem in many parts of the world [1], but especially in developed countries where the combination of criminality and social allure has brewed up an unholy alliance between the greed and violence of drug traffickers [2,3] and the consumption demands of individual users [4,5], who may be the naturally rebellious young or those seeking a temporary escape from their life circumstances. Although only 6 – 18% of cocaine exposed individuals become truly addicted [6,7], those who are so affected often succumb to a downward spiral in their lives from which it is very difficult to escape. Despite efforts in developing novel medications, small-molecule agonists and antagonists have not offered any clinically effective, nonaddictive treatment options and no medical

therapy has yet been approved for cocaine addiction [8]. Attacking the physiological effects of stimulant drugs by inhibiting or blocking neuronal pathways has been difficult, reflecting the overriding physiological importance and robustness of the reward and motivation pathways that are coopted by cocaine. Potential treatments developed to date have sometimes also had toxic effects or caused unwanted behavioral changes [8].

Consequently, we and others have sought to harness the immune system to produce antibodies that can entrap small molecules like cocaine and both slow and reduce their translocation into the central nervous system [9,10]. Animal studies showed that vaccination with a drug conjugate vaccine produced antibodies that bound small molecule drugs. However, in published clinical trials of both cocaine [11] and nicotine [12] conjugate vaccines, only about a third of the immunized subjects produced sufficient levels of antibody to effectively reduce uptake of the drug by the central nervous system. One approach for improving vaccines would be to markedly enhance the antibody response to immunization, either by modulating T cell regulation of antibody responses [13] and/or by using novel adjuvants or combinations thereof to enhance activation and increase antibody production. The quantitative requirements for antibody levels will be discussed in the pharmacokinetics section, and potential improvements to immune stimulation will be discussed in the section on alternative vaccine formulations.

But why do some individuals produce much lower antibody responses to vaccines than others? Obviously, in the human population, vaccine responses can be influenced by the patient's general state of health, concomitant immune responses (e.g., to infections or allergies), treatment with immunosuppressive drugs and the differences in vaccine formulation and delivery [14]. In addition, antibody response may also be strongly influenced by the polymorphisms of an individual's signaling and regulatory genes governing immune reactions to antigenic stimulation [15,16]. Vaccines have been enormously successful in reducing the incidence of many infectious diseases both by direct protection against infection in individuals and through herd immunity [17], so that even those who fail to respond with protective antibodies or T cells (often 10% or more of the population with some routine childhood vaccines, e.g., with measles and mumps vaccines [18]) can be protected by the reduced prevalence of the microbes. However, vaccines for some new applications will require high levels of antibody responses to benefit any individual. In the cocaine conjugate vaccine trial [11], a screen of single-nucleotide polymorphisms (SNPs) in the major histocompatibility complex (MHC) region of chromosome 6 were examined for associations with antibody responses that reduce cocaine use. Several SNPs were strongly associated with reduced drug use and one with increased antibody responses (Nielsen, Kosten, in preparation). Undoubtedly other genes involved in the immune response (cytokines, receptors, chemokines and the many immune activation pathway molecules) will have polymorphisms that influence the level and persistence of antibodies to cocaine conjugates, as has been observed for other antigenic stimuli [18–21]. Increased knowledge of the genetic control of antibody responses to conjugate vaccines would enable selection of candidates most likely to respond effectively to current vaccine formulations. Equally importantly, it would also help us to improve antibody responses by selective modification of the vaccine formulations for individualized application.

A fundamental problem for human vaccine development is the expense and time required for clinical trials. Clinical trials designed to identify and study genes that influence responses to vaccines would be especially problematic. For example, in an informative study of standard HBV vaccine responses by Chen *et al*. [19], subjects were selected from 37,221 vaccinated individuals for antibody levels 10-fold lower than the mean, yielding 24 low responders. These were compared with 46 high responders to evaluate the effects of toll-like receptor (TLR) and cytokine/cytokine receptor polymorphisms. Even with such small groups the costs were high. Given fiscal reality, it is currently infeasible to investigate such issues systematically in clinical trials comparing different vaccine formulations. Rodent models have been used for decades to foreshorten the expense and time required by human studies. Mice are especially useful to investigate mechanisms that regulate fundamental immune cell interactions, most particularly genetically modified mice in which specific genes have been knocked out or inserted in certain tissues [22]. Unfortunately, experience shows that rodent models do not always yield results that predict human responses, reflecting the 60 million years since the immunoregulatory genes in these two species diverged from a common ancestor [23]. A potential solution for current vaccine research has been the development of a 'humanized' mouse model using immunodeficient mice engrafted with human stem cells (humanized immune system[HIS] mice), so that their immune responses can reflect those of the stem cell donor. The advantages and limitations of HISmice versus conventional mice will be discussed further below (see Section '3.1').

Finally, the Expert Opinion will address the potential of cocaine vaccines as therapeutic agents for addicts. Included will be the limitations of antibodies alone and the promise of combining vaccines with hydrolytic enzymes that degrade cocaine to inactive products.

## **2. Cocaine pharmacokinetics and antibody binding**

Simple calculations based on drug concentrations and antibody binding capacities suggest that only robust vaccine responses provide the minimum antibody concentrations needed for useful cocaine sequestration [9,24]. A vaccine needs to elicit anticocaine antibodies exceeding 250 nM ( $\sim$  40 µg/ml) in order to bind a large fraction of a typical recreational cocaine dose, which produces peak plasma cocaine concentrations of  $\sim$  500 nM [25]. As binding to small drug molecules rapidly establishes an equilibrium [26] from which free drug in the blood stream can then accumulate in target tissues, antibody binding slows, but does not prevent all drug penetration into the brain. A critical feature of cocaine pharmacodynamics, however, is that the 'rush' aspect of cocaine abuse depends on the rapid occupation of dopamine transporters in the central nervous system, which quickly increases mesolimbic dopamine (or increase of striatal dopamine). This effect in turn induces a buildup of dopamine in the brain reward centers [27–30]. In animal studies, slowed cocaine delivery significantly reduces self-administration as compared with an equivalent dose given rapidly [31]. As drug self-administration in animal models correlates with the potential for substance abuse, slower brain accumulation in subjects with adequate antibody levels should reduce the effects of drug, and rate of administration has been clearly shown to correlate with subjective effects in humans [32,33]. Obviously, for antibodies to slow cocaine entry in the brain, they must be at least roughly equimolar with the serum drug concentration resulting from a typical recreational cocaine dose. Under such conditions, one could predict

immediate binding of up to 90% of the drug molecules by antibodies with a mean binding affinity of  $< 100$  nM [34]. Although brain entry is fast, with maximum physiological and psychological effects within minutes after administration [35], antibody binding is faster. Using isothermal titration calorimetry [26], we have proven that the antibody interactions with drug in free solution are on an appropriate time scale (seconds) for slowing and inhibiting cocaine accumulation in the brain. However, as free cocaine is removed from circulation into tissues, or by metabolism in plasma via serum butyrylcholinesterase (BChE), cocaine is gradually released from the antibody to continually reestablish equilibrium conditions at rates dependent on the antibodies' dissociation constants. A thorough discussion of the issues of rate constants in this regard was recently published [26].

Anticocaine antibodies produced in mice and humans to the cholera toxin B succinylnorcocaine (CTB-SNC) conjugate vaccine have been shown to be specific for pharmacologically active cocaine molecules without any binding to benzoylecgonine [26], a prominent inactive metabolite of cocaine that persists longer in circulation than the intact drug [36]. Although antibody specificity is certainly critically important for an anticocaine vaccine, further improvement may be possible to enhance the affinity of the resulting antibodies by modifying the hapten [37,38]. Benzoylecgonine differs from cocaine only in that the methyl ester of the parent compound is hydrolyzed resulting into a zwitterion structure with an interactive positive charge on the nitrogen and a negative charge on the carboxyl group. This is important because the long half-life of benzoylecgonine causes it to accumulate over time and to exceed the concentration of native drug after repeated cocaine dosing. Binding to the inactive benzoylecgonine would reduce the number of antibody molecules available to bind any subsequent cocaine dose used. In addition, experiments using microscale thermophoresis have shown that effective antibody affinity for cocaine was significantly influenced by serum components that also bind cocaine, most likely α-1 acidic protein [26]. Hence, improved antibody affinity will be desirable to maximize beneficial effects on cocaine pharmacodynamics. Alternative linkers have been tested to determine whether it would be helpful to retain a relatively positive charge on the tropane nitrogen by attaching it to an aliphatic carbon chain terminal by a carboxylic acid, which could then conjugate to the carrier protein lysine sites. When this was done, however, the methyl ester moiety rapidly hydrolyzed [38], and the vaccine elicited antibodies to benzoylecgonine as efficiently as to cocaine. Further modifications of the linkage method to maintain the positive charge and stability as well are in development (Ramakrishnan, submitted).

## **3. Cocaine vaccine trials**

Phase I, II and IIb clinical trials of a cocaine vaccine based on succinylnorcocaine (SNC) conjugated to the cholera toxin B subunit have been completed and published. The Phase I studies demonstrated that all CTB-SNC vaccinated subjects were able to produce detectable anticocaine antibodies [24,39] and that the presence of high concentrations of antibody diminished subjective measures of cocaine action ('drug effect' and 'cocaine quality') and objective measures (heart rate at the 50 mg dose) [39]. In the Phase II study of cocaine users with free recreational street access to this drug, a 24-week randomized double-blind placebo-controlled trial showed that 21 of the 55 subjects immunized with this cocaine CTB vaccine made 40 µg/ml or more IgG anticocaine antibody [11]. As significant reduction of

brain cocaine uptake requires at least that concentration of anticocaine antibody with average affinity of 100 nM or better [40], the vaccine was immunologically effective in only the high responder subset of users in the study. The frequency of cocaine use, as measured by positive results in urine samples obtained three times per week, was significantly reduced in the group achieving the above criterion [11], but not in the group with lower levels. Thus, high antibody titers weakened cocaine activity enough to reduce or end cocaine use in this group of patients who were in a treatment program for co-dependence on heroin. This was promising as subjects were motivated enough about their substance abuse problems not to override the blockade by markedly increasing the dose and/or frequency of cocaine use. The results of a multisite Phase IIb follow-up trial recently completed (Kosten, submitted) and currently under review have not been as encouraging as the Phase IIa study, but they underscore the need for vaccine adjuvants better than alum alone and emphasize the potential for concurrent therapy with cholinesterase enzyme modified for enhanced cocaine hydrolysis, as will be discussed further on.

Several aspects of the clinical trials reveal features of the human immune responses that must be further improved before recipients of the cocaine conjugate vaccine can benefit substantially. First, too few patients respond to these conjugate vaccines with high titers, not only for conjugates with cocaine, but also for conjugates with nicotine [12]. It must be emphasized that this is not due to poor immunogenicity. In fact, most subjects respond at least as well to these vaccines as to other clinical vaccines. We demonstrated that the distribution of anticocaine responses in the Phase II trial was in fact quantitatively similar to those directed toward the carrier protein CTB itself, which is known to be a highly effective immunogen [41]. However, the nature and abundance of the vaccine target requires antibody levels much higher than are typically needed for the vaccines targeting microbe/toxin antigens to immunize against infectious diseases. The tetanus toxoid vaccine, for example, needs only  $1 - 2 \mu g/m$  of antitetanus toxin antibody to provide full protection against the disease [42]. Second, antibody titers among most high responders to the cocaine vaccine fall markedly within a few months after the last booster dose. As antibody levels below 40 µg/ml would likely fail to reduce cocaine euphoria after a cocaine encounter, even in high responders, the benefit in reducing the re-induction of craving by a dose of cocaine is too brief to be optimally effective. Finally, as discussed previously, any reasonably achievable antibody concentration can be overcome by a user determined to administer a high enough dose of cocaine. Thus, selecting appropriately motivated vaccine recipients, perhaps in conjunction with counseling or other management efforts, is essential to obtain a good therapeutic effect. Alternatively, antibody effectiveness may be markedly enhanced with complementary anticocaine therapies as discussed further below.

The low frequency of high responders among cocaine using vaccine recipients may have many causes. One could be prior immune recognition of cocaine protein adducts [43] resulting in T-cell-independent generation of anticocaine IgM antibody responses that prevent development of IgG responses to vaccination [41]. Another could be immunosuppression by cocaine itself [44] or the influence of contaminants such as levamisole [45,46] in drugs available on the street. We also recognize that many genes involved in regulating immune responses can influence the quantity and quality of antibody produced. An analysis of 1228 SNPs in the 3.6 Mb MHC region on chromosome 6 DNA

from the 115 participants of the Phase II cocaine CTB vaccine study (Nielsen, Kosten, manuscript in preparation) showed several associations with reduced cocaine use. One of the most significant hits in the SNP study, a functional variant in the HLA-C 3′ untranslated region, correlated strongly with lower cocaine use and also with higher antibody responses and has been shown to influence HLA-C expression [47]. This striking association does not prove that HLA-C itself directly influences antibody responses to the cocaine hapten, but it strongly suggests involvement of a common extended haplotype associated with this polymorphism or possibly another nearby gene or set of genes.

Several genes in the HLA region are known to affect antibody responses. One of the best characterized is a complement gene encoded in the MHC region C4, also known as HLA IIIC4. Polymorphism in this gene is highly associated with failure to respond to HBV vaccination [48]. It is located in an extended haplotype (HLA-C4AQ0,DRB1\*0301, DQB1\*02) whose genes are in linkage disequilibrium. Family studies have shown that this haplotype is often transmitted in block and that vaccine recipients are either total nonresponders or slow responders to routine vaccination [49]. Of course, many other signaling and activation response genes are involved in vaccine responses, and polymorphisms in some of these may well be involved in the relatively low responses of some drug conjugate vaccine recipients. Studies of HBV vaccines and other standard clinical vaccines have implicated numerous additional gene polymorphisms, as reported by Chen *et al*. [19] and others [21,50]. In the HBV response analysis, SNPs for IL4, IL13, IL4R, IL1B and  $TLR<sub>2</sub>$  were shown to independently influence responses or interact with each other in that regard. Although this finding strongly supports the notion that immune response genes regulate antibody responses to the HBV vaccine, it provides little guidance on what to do about the low responder and nonresponder genotypes. These studies have sometimes provided conflicting results [51], perhaps due to differences in environment, health conditions or racial background, among other variables. Although those aspects are also important, they may dilute or mask some gene effects in other individuals. Furthermore, despite the intense interest and numerous gene associations demonstrated, there has been little progress toward novel vaccine formulations to address the low-response problem in individuals with these genotypes. As discussed in the next section, the humanized mouse model may enable us to study such gene associations directly and evaluate interventions and alternative formulations to improve responses within a reasonable time frame and at reasonable cost.

#### **3.1 Animal models**

With vaccines in general use, subsets of vaccinated individuals respond unusually poorly or well to otherwise highly immunogenic formulations [18,21,49–51]. For cocaine and nicotine conjugate vaccines, only  $\sim$  30% of the patients produce sufficient antibody to prevent drug entry into the brain [11,12]. Studying different vaccine formulations in humans is not possible because of ethical concerns, costs, feasibility and time concerns. Neither primate nor nonhuman primate studies are attractive alternatives, being both slow and expensive, and they also do not directly address the issue of polymorphism among humans on an individual basis, although identification of specific gene influences would be relevant. Therefore, rodent models are essential to evaluate novel vaccines and formulations for such

issues as toxicities and general properties. Immunization of experimental animals with cocaine conjugate vaccines has been reviewed extensively [40,52–54], but the limitations of rodent immune responses have become more apparent in recent years with increasing understanding of the innate immune system, particularly the TLR system and other activation pathways that help regulate the interactions of the adaptive and innate immune systems. As a result, current animal models cannot address the problem of the wide range in individual human responses. Although inbred strains of mice show different vaccine responses, for example, it is well known that BALB/c tend to produce high antibody levels dominated by Th2 cells (helper T cell type 2), whereas C57BL/6 mice tend to produce lower antibody responses but better Th1-dominated responses [55]. Most important, there are significant differences in immune response genes between humans and rodents, from the different roles of specific TLR and other activation and suppression genes, to the absence of some genes in either species [56,57]. As a result, the genetic influences on immune responses in rodents are unreliable indicators of human responses, especially at the level of individual gene polymorphisms.

To address this problem, we have begun to study immunodeficient mice engrafted with human hematopoietic stem cells that may allow for the characterization of responses to multiple vaccine formulations by immune cells from a single person. Although such humanized immune system mice (HIS-mice) have been used for other purposes for many years [58,59], the early models of this system were inadequate because of the short-lived nature of the mice and/or the human cell engraftment [60]. Recent improvements, however, have resulted in mice that are not only relatively long-lived, but also remain stably engrafted for months with the essential functional human immune cells including dendritic cells [61– 63]. The NOD-Rag1<sup>null</sup>IL2r $\gamma$ <sup>null</sup> mouse is a strain of relatively long-lived immunodeficient radioresistant nonobese diabetic mice that contain targeted dominant negative mutations in the recombination activating gene-1 (Rag1<sup>null</sup>) and the interleukin (IL)-2 receptor common  $\gamma$ chain (IL2ry<sup>null</sup>). These mice lack a functional adaptive immune system. The loss of RAG prevents the development of T and B cells, and the lack of the common  $\gamma$  chain prevents the development of mouse NK cells that would otherwise destroy engrafted human stem cells. Human antigen presenting cells (APC) are required to present antigen effectively to human lymphocytes in these mice [64], and so murine APC do not play a role in this model. Additionally, most murine cytokines tested do not signal human cells effectively [65] (other than IL12 [66], which does not enhance cocaine conjugate vaccines; Singh, manuscript in preparation). Therefore, chimerism is not a significant problem in this model. As a result, engraftment with human hematopoietic stem cells allows these mice to acquire and maintain a durable and functional human immune system. Humanized mice produce specific human antibodies when they are immunized, under control of activation and maturation signals from human dendritic cells and human T cells.

In order to apply this model to the problem of individual human vaccine responses, HISmice will have to meet several functional criteria. First, it is essential to produce a sufficient number of mice from single donors. In our preliminary studies, we have shown that purified stem cells from buffy coat cells of single unit blood donors are able to stably engraft an average of  $15 - 25$  mice. With five mice per group, therefore, up to five different vaccine conditions or formulations can potentially be tested for each stem cell donor. Of course, it

may not be feasible to collect full units of blood from many subjects. To obtain a large number of cells from a limited number of specific individuals, leukapheresis after mobilization of peripheral blood stem cells by filgrastim is the preferred methodology [67]. With several groups of mice from a single donor, the second criterion to meet for the results to be properly predictive of vaccine responses is for each mouse within a group of mice stimulated with a specific formulation to show a relatively similar response, that is, a single stimulatory condition should elicit a predictable response from the putatively identical mice. Third, it is essential that distinct formulations in the individual donor show distinguishable differences from other formulations that provide different activation signals (adjuvants, cytokines, carrier proteins and/or hapten differences, etc.). Pilot experiments with cocaine conjugate vaccines in our lab have examined groups of human hematopoietic stem cell engrafted immunodeficient mice created from a single human donor. Their responses to each of several vaccine formulations were appropriately uniform (Figure 1), having a withingroup standard deviation of  $20 - 25\%$ , consistent with criteria 2 and 3. The fourth criterion is that with a sufficient number of different donors, there must be a wide range of responses, roughly reflecting the range seen in the normal human population. Consistent with this criterion, additional pilot experiments demonstrated that HIS-mice engrafted with stem cells from five different donors did respond with different antibody levels to the same standard vaccine formulation (Figure 2), showing response differences up to 3.5-fold. Finally, it would be ideal if the HIS-mice engrafted with a given donor's stem cells responded to a specific vaccination formulation in the same relative way as the donor. To meet this criterion and establish the full validity of the model, however, will require more donors in experiments using standard vaccines as well as drug conjugate vaccines. The essential goals of HIS-mice vaccine studies are to determine the influence of the individual's immune response genes upon quantitative and qualitative antibody responses. Obviously, many environmental factors can influence an immune response in a human patient that would not be easily modeled in HIS-mice. These would include donor health (e.g., nutritional status or concurrent illness), certain medications, ongoing immune responses to other stimuli and chemical exposures (e.g., in drug users, adulterants in street cocaine such as levamisol [68,69]). Nonetheless, variations in immune response genes have a large role in determining the range of human antibody responses to vaccines, as has been demonstrated for some clinical vaccines [15,18–21,49–51].

## **4. New formulations**

The simplest modification of anticocaine vaccines would be to select alternative carriers and/or adjuvants to enhance antibody responses. In our laboratory, the responses in mice to keyhole limpet hemocyanin-SNC and tetanus toxoid-SNC using the alum adjuvant approved for human use are substantially higher than that to CTB-SNC in both initial and later response periods (Ramakrishnan, submitted). This is fortunate for development of clinically useful drug conjugates for vaccination as tetanus toxoid conjugates with other antigens have been approved for clinical use by the FDA. The differences in these carriers, however, are still modest, and by no means is it clear that such changes will carry over from results in mice into a large proportion of the human subjects who may benefit from anticocaine vaccines.

Regarding new adjuvants, several reviews have been published on the general properties of these agents [70–72], but there is limited information about their influence on small-hapten conjugate vaccines. Although only alum is approved as a general adjuvant, monophosphoryl lipid A and squalene have been approved in the context of specific vaccines, and certain carriers in clinical use for conjugate vaccines have notable adjuvant properties, like the outer membrane protein complex (OMPC) of Neisseria meningitidis [73] and diphtheria toxoid [74]. An alternative carrier construct, adenovirus capsid proteins, was recently developed and has also shown adjuvant-like properties [75], and shown promise in cocaine conjugate vaccination in both rodents [76] and nonhuman primates [77]. We have evaluated several agents, specifically cytokines (e.g., IL4, IL12 and GM-CSF) and direct TLR agonists (for TLR2 – diacyl lipopeptides, TLR4 – monophosphoryl lipid A and E6020 and TLR9 – CpG oligonucleotides) with or without alum as an additional adjuvant. In many cases using predominantly mice as the model to evaluate these conditions, a doubling of the quantitative response could be achieved over the already quite robust responses seen using standard alum vaccine formulations (Orson, unpublished). However, this improvement alone is not sufficiently robust to greatly impact the problem of generally low antibody levels (relative to need) in many human subjects, even if it did impact everyone equally, which is unlikely. When evaluating new formulations like these, it is always important to use a legitimate maximum standard stimulation condition currently approved for human studies as the goal is to achieve the highest possible antibody responses [78], not merely reducing the dose of antigen needed for a given response. An adjuvant that only works well compared with a suboptimal vaccine formulation does not add much value here. In any event, our tests with various adjuvants have not yielded any large improvements in binding affinity as measured via competitive inhibition ELISAs, using free cocaine as the antagonist (Ramakrishnan, submitted).

In order to surmount these obstacles, we have begun investigating an alternative strategy: manipulation of regulatory T cells  $(T_{reg})$  that control the level of antibody responses to immune stimulation. Transient suppression of  $T_{reg}$  at the time of vaccination may boost the maximal antibody level achievable from any vaccine formulation. In addition, combining co-stimulant molecules may provide better activation of strong immune responses than do single adjuvants alone. If either strategy proves fruitful, significant advances in antidrug vaccines might come within reach.

Immune responses to antigenic stimuli require a balance of inhibitory regulation as well as stimulatory support to achieve appropriately balanced levels of effector cells and molecules to meet the microbial and oncogenic challenges confronted in life [79]. To maintain a healthy population under natural conditions, variability in immune responses is essential so that some individuals are able to withstand dangerous microbial challenges that may arise, whereas the burden of high-level adaptive immune responses does not need to exist in every individual, as the costs of such high responsiveness may be increased risk for autoimmune disease [80]. The waves of regulation that occur after stimulation of natural antibody responses to vaccines include a programmed tamping down of the production of specific antibody over time for all individuals, but, as suggested above, with a considerable range of variation in both the positive and the negative aspects of regulation. Inhibition of  $T_{reg}$  at an appropriate time point could thus be useful in amplifying the level of immune responses to

vaccination. Complete depletion of  $T_{reg}$  via antibody depletion [80] or genetic manipulation [81] results in a dramatic increase in antibody responses to vaccination, but also overwhelming autoimmunity [82]. Nonetheless, transient inhibition of  $T_{\text{reg}}$  cells can enhance vaccine responses in both T effector cells and antibodies to protein antigens, as has been studied especially with cancer vaccines [13,83,84]. No studies have been published regarding antismall molecule vaccines, such as drug conjugates. We recently did pilot studies in mice genetically engineered to express the diphtheria toxin receptor (DTR) on  $T_{\text{reg}}$  cells (Foxp3-DTR mice). These mice showed much higher titers of antibody production against cocaine after cocaine conjugate vaccination when the mice were completely depleted of  $T_{reg}$  cells by treatment with diphtheria toxin (Wang, unpublished), but they also developed the expected autoimmunity problems.

The signaling pathways that recruit immune responses against foreign or altered selfantigens are complex, activating NF-kB, type I interferon (IFN) and the inflammasome, through families of innate immune system receptors designed to recognize particular structural patterns. This signaling leads to the production of inflammatory cytokines, which in turn promote dendritic cell maturation programs for the induction of both cellular and antibody responses [85,86]. We have identified several negative regulators (NLRC5, NLRX1 and NLRP4) that inhibit NF-κB and type I interferon signaling [87–89], and furthermore, we have also found that TLR8 ligands (ssRNA40 and Poly-G10 oligonucleotides) can directly reverse the suppressive function of human (but not murine)  $T_{reg}$  cells [90]. More importantly, the suppressive function of other subsets of  $T_{reg}$  cells, including CD8<sup>+</sup> T<sub>reg</sub> cells and gammadelta T cell receptor T<sub>reg</sub> cells, can be reversed by Poly-G oligonucleotide treatment [91,92], suggesting that these cells share a common signaling pathway for modulating  $T_{reg}$  cell suppressive function. Studies of  $T_{reg}$  cell pathways have recently revealed subsets of these cells that may be more specific for inhibiting antibody production. A small subset of BCL6+ CXCR5+  $T_{reg}$  cells, so-called follicular regulatory T cells ( $T_{FR}$ ), localizes to the germinal center reaction in lymph nodes and inhibits affinity maturation of antibody and the differentiation of plasma cells [93–95], suggesting that  $T_{FR}$  cells and different signaling pathways control antibody production in humoral immunity. Therefore, depletion of this subset of  $T_{reg}$  cells or selectively blocking their suppressive function may increase antibody production without autoimmune complications.

## **5. Complementary therapy**

Although anticocaine vaccines alone may be beneficial to those who are motivated to stop abusing cocaine and are also able to produce high levels of specific anticocaine antibodies, it is clear that they are ineffective for those who produce small amounts of antibody [11], and also for those addicts who continue frequently using large quantities of the drug to override the modest antibody-induced blockade. Beyond psychological counseling and contingency management, which can be helpful to some users [96], enhancing cocaine degradation, serving the same purpose as antibody (to prevent cocaine from reaching the receptors in the brain), shows real promise for protection against both the psychological and the toxic effects of cocaine. BChE is a plasma enzyme that appears to have the general function of hydrolyzing toxic or bioactive esters that may enter the body through the diet or other

routes. High doses of this enzyme in purified recombinant form have been tested in animal models and humans for prophylaxis against chemical warfare agents with no ill effects [97]. Although native BChE does hydrolyze cocaine to the inactive metabolites benzoic acid and ecgonine, its natural form is quite inefficient with that drug, and it acts too slowly to prevent cocaine from reaching the brain. As has been reviewed recently, however, selected modifications in the active site of BChE have converted it into a more specific cocaine hydrolase (CocH) that dramatically increased the efficiency against that substrate [52]. These advances make therapeutic applications very attractive. Unfortunately, the half-life of passively administered enzyme is too short to make it useful for sustained therapy [98], resulting in a need for an alternative delivery system to achieve optimal benefits. One modification to address this problem has been the fusion of the enzyme with albumin [99], and a version of this construct has advanced to a current clinical trial using weekly injections of the recombinant product [100]. Another attractive alternative is viral gene transfer technology, which, although not yet approved, now has a new pathway for FDA evaluation to speed the process [101]. In rats and mice, a single treatment with a viral vector for CocH can result in production of this enzyme for  $> 2$  years without apparent ill effect [102]. The resulting enzyme levels counteract or abolish the effects of high (or even lethal) cocaine doses [103]. However, despite the success of gene therapy in experimental animals and selected human deficiency conditions [104], achieving high concentrations of desired gene products in man remains challenging, in part due to safety issues [105] involving the inflammatory response to viral antigens [106]. Despite much work to diminish such reactions [107–110], including transient immunosuppression [111], it is not clear when this technology will be ready for routine clinical applications. Nonviral methods of gene delivery may be safer, but tend to provide less than optimal protein expression [112]. On the other hand, a combination therapy, using the enzyme at lower levels to complement antibodies in intercepting and degrading cocaine in the blood stream, is particularly attractive, and these treatments should be both compatible and mutually reinforcing.

We have recently shown that at lower CocH doses the protection against cocaine toxicity can be remarkably enhanced when the enzyme (whether administered passively or through virally mediated expression) is combined with anticocaine antibodies, whether passively administered or elicited by vaccine *in vivo* [113–115]. The mechanisms of action are reinforcing because the binding rate of antibody is extremely rapid, thus acting as a 'sponge' for much of the initial pulse of administered cocaine in a typical dose, whereas the lower dose of the CocH enzyme has fewer drug binding sites and a lower affinity, but catalyzes hydrolysis of free molecules extremely rapidly. The enzyme is then able to capture and hydrolyze additional cocaine molecules in real time as they are released from antibody into plasma. These functions have been demonstrated *in vitro*, showing that 1 µM cocaine could be bound 90% by anticocaine IgG, and then be 98% hydrolyzed by CocH within 90 s [116]. The mechanisms of action may thus provide an additive or even synergistic blockade against the reinforcing effect of cocaine. However, the complex interactions of antibody concentrations, enzyme levels and the metabolic fates of all these components remain to be delineated. The HIS-mouse model provides the opportunity to investigate the details of these parameters using the human enzyme as well as human antibodies. A further alternative approach to combine antibody with enzyme using gene therapy could be to use viral vectors

expressing anticocaine monoclonal antibodies [117]. The limitations currently applying to gene therapy regarding high-level expression would be similar to that with the enzyme alone, but with progress in gene therapy, this may become another viable option. As a result, combining therapy with anticocaine antibodies and cocaine metabolizing esterase deserves serious consideration as a viable approach toward assisting addicts to discontinue cocaine abuse and remain drug free.

## **6. Expert opinion**

Therapeutic trials of an alum adjuvanted cocaine vaccine have demonstrated that some patients can achieve levels of antibody sufficient to block the rapid effects of cocaine, as calculated from the amount of drug needed to achieve psychological effects in the laboratory setting [39], and thereby reduce drug use in subjects motivated to discontinue use [11]. However, it is also apparent that these minimum effective antibody levels, and in fact any realistically achievable level from vaccination, can be overcome by sufficiently high or repeated doses of cocaine if the subject chooses to do so. Nonetheless, high levels of high affinity antibody would clearly be useful in appropriate patients that could be complemented by motivational therapy [118,119] to reduce the needed height of the drug exposure barrier so that lapses do not become relapses. Nonetheless, new means of achieving these levels are urgently needed in a large proportion of the addicts seeking help. Advances in understanding immunoregulation, as well as the use of humanized mouse models to evaluate individual immune responses to different vaccine formulations will provide the opportunity to achieve this technological goal. Although we recognize that no animal model can perfectly reflect a human's response, because no model can address the myriad environmental issues that affect any human donor, we believe that the HIS-mouse model will enable better evaluation of human responses to specific vaccine formulations. Furthermore, this model should also allow selection of optimized formulations for individuals or related groups of individuals based on their genetic profile. As a result, the individual donor with poor responses to standard vaccine formulations may be evaluated for different formulations that elicit high responses in his or her specific genetic context. We expect that future results will confirm the hypothesis that these results reflect gene polymorphisms associated with an individual's innate ability to produce antibodies after an antigen challenge.

To raise the bar of blockade to higher and more frequent cocaine dosing, however, complementary therapy with enzyme-mediated enhanced degradation of cocaine shows great potential [116]. Although very high level CocH alone from high-dose virus transfection in rodents is sufficient to prevent cocaine-specific reinstatement of selfadministration and even to stop ongoing cocaine self-administration [102,103,120], safely achieving such levels of gene expression in humans with current technologies is not yet a realistic possibility. Nonetheless, prolonged lower levels of CocH expression in humans should be achievable as indicated by gene therapy for other proteins [104,110], and these levels of enzyme may well be sufficient when combined with adequate antibody levels produced from optimized vaccines. Determination of the minimum antibody and enzyme combinations will be able to be studied directly using the HIS-mouse model system in which both human antibodies and the modified human BChE are present. As a result, we believe

anticocaine vaccines, especially in combination with anticocaine enzyme therapy, will have

a large role in future treatment for this often devastating addiction.

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#### **Article highlights**

- **•** High concentrations of anticocaine antibodies can help addicts motivated to stop cocaine abuse reduce their drug use.
- **•** Current vaccine formulations must be improved because they elicit clinically effective antibody levels in only a minority of immunized patients.
- **•** Using new adjuvants and other molecules to modify both activation and suppression limbs of immunoregulatory responses to vaccination will permit improvement of both the quantity and persistence of anticocaine antibodies.
- **•** Use of humanized mouse models may help optimize vaccine designs over the next several years by defining the influence of genetic polymorphisms on immune responses, thereby allowing selection of specific vaccine formulations for individual patients.
- **•** Treatment of addicts with a combination of anticocaine vaccines and the cocaine hydrolase enzyme to hydrolyze cocaine has the most promise for most effectively blocking the physiological and psychological effects of the drug.

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#### **Vaccine formulation**

**Figure 1. Individual responses in groups of HIS-mice engrafted with single-donor stem cells** In this experiment, actively immunized groups of HIS-mice prepared from a single donor's stem cells were vaccinated with 100 µg of succinylnorcocaine conjugated to tetanus toxoid (TT-SNC) with alum adjuvant at the beginning of week 1 with or without supplemental active cytokines (or cytokine control). Animals were boosted with the same vaccine conditions at week 3, and serum from each animal was collected at week 6 and tested by ELISA for anticocaine IgG antibody. The individual results are shown for each vaccine condition, expressed as the percent response compared with the standard condition group average  $(\pm$  standard deviation) defined as 100%.



**Figure 2. Group responses of HIS-mice engrafted with different donor stem cells** In this experiment, actively immunized groups of HIS-mice prepared from different donors were vaccinated with 100 µg of TT-SNC with alum adjuvant at the beginning of week 1 and a booster dose of the same vaccine at week 3. Serum from each animal was collected at week 6 and pooled serum from each group was tested by ELISA for anticocaine IgG antibody. The group results are shown for each vaccine condition, expressed as the percent response compared to the donor 1 response arbitrarily defined as 100%. HIS-mice: Humanized immune system mice.