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## A melanoma cell state distinction influences sensitivity to MAPK pathway inhibitors

David J. Konieczkowski<sup>1,2,\*</sup>, Cory M. Johannessen<sup>1,2,\*</sup>, Omar Abudayyeh<sup>1</sup>, Jong Wook Kim<sup>1,2</sup>, Zachary A. Cooper<sup>3,4</sup>, Adriano Piris<sup>5</sup>, Dennie T. Frederick<sup>6</sup>, Michal Barzily-Rokni<sup>1</sup>, Ravid Straussman<sup>1</sup>, Rizwan Haq<sup>7,8</sup>, David E. Fisher<sup>7,8</sup>, Jill P. Mesirov<sup>1</sup>, William C. Hahn<sup>1,2</sup>, Keith T. Flaherty<sup>7</sup>, Jennifer A. Wargo<sup>3,4</sup>, Pablo Tamayo<sup>1</sup>, and Levi A. Garraway<sup>1,2</sup>

<sup>1</sup>Broad Institute of Harvard and MIT, 7 Cambridge Center, Cambridge, Massachusetts 02142

<sup>2</sup>Department of Medical Oncology, Dana-Farber Cancer Institute, 450 Brookline Avenue, Boston, Massachusetts 02215

<sup>3</sup>Department of Surgical Oncology, University of Texas M.D. Anderson Cancer Center, 1515 Holcombe Boulevard, Houston, Texas 77030

<sup>4</sup>Department of Genomic Medicine, University of Texas M.D. Anderson Cancer Center, 1515 Holcombe Boulevard, Houston, Texas 77030

<sup>5</sup>Division of Dermatopathology, Massachusetts General Hospital, 55 Fruit Street, Boston, Massachusetts 02114

<sup>6</sup>Division of Surgical Oncology, Massachusetts General Hospital, 55 Fruit Street, Boston, Massachusetts 02114

<sup>7</sup>Massachusetts General Hospital Cancer Center, Massachusetts General Hospital, 55 Fruit Street, Boston, Massachusetts 02114

<sup>8</sup>Dermatology and Cutaneous Biology Research Center, Massachusetts General Hospital, 55 Fruit Street, Boston, Massachusetts 02114

### Abstract

Most melanomas harbor oncogenic BRAF<sup>V600</sup> mutations, which constitutively activate the MAP kinase (MAPK) pathway. Although MAPK pathway inhibitors show clinical benefit in BRAF<sup>V600</sup>-mutant melanoma, it remains incompletely understood why 10–20% of patients fail to respond. Here, we show that RAF inhibitor sensitive and resistant BRAF<sup>V600</sup>-mutant melanomas display distinct transcriptional profiles. Whereas most drug-sensitive cell lines and patient biopsies showed high expression and activity of the melanocytic lineage transcription factor MITF, intrinsically resistant cell lines and biopsies displayed low MITF expression but higher levels of NF- $\kappa$ B signaling and the receptor tyrosine kinase AXL. *In vitro*, these MITF-low/NF- $\kappa$ B-high

Correspondence to: Levi A. Garraway, Department of Medical Oncology, Dana-Farber Cancer Institute, 450 Brookline Avenue, Boston, MA 02215. Phone: (617) 632-6689; Fax: (617) 582-7880; levi\_garraway@dfci.harvard.edu.

\*these authors contributed equally to this work

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melanomas were resistant to inhibition of RAF and MEK, singly or in combination, and ERK. Moreover, in cell lines, NF- $\kappa$ B activation antagonized MITF expression and induced both resistance marker genes and drug resistance. Thus, distinct cell states characterized by MITF or NF- $\kappa$ B activity may influence intrinsic resistance to MAPK pathway inhibitors in BRAF<sup>V600</sup>-mutant melanoma.

## Keywords

MITF; NF- $\kappa$ B; MAPK pathway; melanoma; intrinsic resistance

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## Introduction

Mutations affecting codon 600 of the serine/threonine kinase BRAF are among the most highly recurrent genetic aberrations in melanoma (1, 2). These mutations activate the downstream kinases MEK and ERK within the mitogen activated protein kinase (MAPK) pathway, leading to enhanced cellular proliferation and survival. The discovery that BRAF<sup>V600</sup> mutations predict sensitivity to MAPK pathway inhibitors (3) revolutionized therapeutic approaches to melanoma. MAPK pathway inhibitors—including the RAF inhibitors vemurafenib and dabrafenib and the MEK inhibitor trametinib—achieve clinical benefit in 80-90% of BRAF<sup>V600</sup>-mutant melanoma patients (4-6). However, among patients whose tumors respond to MAPK pathway inhibitors, relapse is universal (acquired resistance). Moreover, 10-20% of patients never achieve meaningful response to therapy (innate or intrinsic resistance).

Recent studies have characterized numerous mechanisms of acquired resistance to MAPK pathway inhibitors in BRAF<sup>V600</sup>-mutant melanoma. These include activating mutations in the downstream kinase MEK (7-9) as well as acquisition of an inhibitor-resistant BRAF splice variant (10). Alternative MAP3K proteins (e.g., C-RAF (11) or COT (12)) are also able to re-engage the MAPK pathway in the presence of BRAF inhibition. Similarly, upstream of RAF proteins, activation of Ras signaling (e.g., by mutation (13), NF1 loss (14, 15), or relief of negative feedback (16)) confers RAF inhibitor resistance. Cumulatively, these studies have most commonly converged upon re-activation of the MAPK pathway as a common effector of many mechanisms of acquired resistance.

In contrast, fewer studies have directly queried intrinsic resistance to RAF inhibition in melanoma. Two recent studies examined stromal contributions to intrinsic resistance. This work identified stromal secretion of HGF, activation of the receptor tyrosine kinase MET, and subsequent MAPK pathway re-activation as a mechanism of intrinsic MAPK pathway inhibitor resistance in melanoma (17, 18). It remains largely unknown, however, whether cell-autonomous differences might also contribute to the intrinsic resistance phenotype. Therefore, we sought to elucidate molecular features that might mediate intrinsic resistance to RAF/MEK inhibition in BRAF<sup>V600</sup>-mutant melanoma.

## Results

We hypothesized that cell-autonomous differences such as distinct gene expression programs might partially account for why some melanomas display intrinsic resistance to MAPK pathway inhibitors. To test this hypothesis, we examined 29 BRAF<sup>V600</sup>-mutant melanoma cell lines from the Cancer Cell Line Encyclopedia (19) for which gene expression and pharmacological sensitivity data were available (Fig. 1A). Although most lines were sensitive to the RAF inhibitor (RAFi) PLX4720 (GI<sub>50</sub> = 2 μM), some exhibited intrinsic resistance to this agent (GI<sub>50</sub> = 8 μM, the maximum of this assay) (Fig. 1A) as well as to MEK inhibitors (MEKi) (PD0325901 and AZD6244, Fig. 1B). To assess whether differences in transcriptional programs existed between intrinsically sensitive and resistant lines, we identified genes whose expression across the cell lines was strongly correlated or anti-correlated with their PLX4720 GI<sub>50</sub> values. *MITF*, which encodes a melanocyte lineage regulatory transcription factor and melanoma oncogene (20), emerged as the single gene best correlated with sensitivity to PLX4720 (Fig. S1A, Supplementary Table S1). While *MITF* was strongly expressed in the majority of drug-sensitive lines, it was poorly expressed in the resistant lines (Fig. 1B, S2). Because MITF transcriptional activity can be regulated separately from MITF expression levels, we also measured MITF function by querying expression of MITF target genes *SILV*, *TYRP1*, and *MLANA*, as well as a global transcriptional signature of MITF activity (21). These too were poorly expressed in the resistant lines, implying a reduction not only in expression but also in activity of MITF (Fig. 1B, S2, and Supplementary Table S1). Instead, drug-resistant, BRAF<sup>V600</sup>-mutant melanoma cell lines displayed multiple expression signatures of NF-κB activation, suggesting elevated NF-κB transcriptional activity (Fig. 1B). Correspondingly, levels of phosphorylated, activated RelA (an NF-κB transcription factor, (22)) were increased in the majority of resistant lines relative to the sensitive lines (Supplementary Fig. S2). Resistant lines also expressed individual marker genes such as *AXL*, *TPM1*, *NRP1*, and *CDH13* (Fig. 1B, S2) that were not observed in the sensitive lines. These genes have not been previously characterized as MITF- or NF-κB-associated, but they nominated additional features that might be associated with the MITF-low/NF-κB-high transcriptional state.

Because pro-survival signaling through NF-κB has previously been associated with resistance to cytotoxic therapies such as doxyrubicin (23), we sought to assess whether the NF-κB-high state was associated with generalized drug resistance. However, among 24 targeted and cytotoxic anti-cancer drugs, differential MITF expression levels were strongly correlated with differential sensitivity only to the four MAPK inhibitors tested (Supplementary Fig.S3). This finding suggests that the MITF-low/NF-κB-high transcriptional state may pertain specifically to resistance to MAPK pathway inhibition.

To verify that the MITF/NF-κB class distinction was reproducibly associated with differential sensitivity to MAPK pathway inhibition, we examined a collection of patient-derived BRAF<sup>V600</sup>-mutant melanoma short-term cultures for which gene expression data, but not pharmacological sensitivity data, were available. As in other datasets, we identified reciprocity between MITF and NF-κB levels across this collection (Fig. 1C, S4). This relationship was evident for MITF itself as well as for both the MITF transcriptional signature as a whole (Fig. 1C) and individual MITF target genes (Fig.1C, S4), confirming

that MITF transcriptional activity varied together with MITF expression across these samples. Correspondingly, both NF- $\kappa$ B-related gene expression levels (e.g., AXL, Fig. 1C, and S4) and transcriptional signatures of NF- $\kappa$ B pathway activity (Fig. 1C) varied across the collection in a manner similar to that of the initial melanoma cell line panel. Similarly, phosphorylated, activated levels of the NF- $\kappa$ B transcription factor RelA (Supplementary Fig. S4) also segregated inversely with MITF activity.

Next, we performed pharmacologic growth inhibition studies on 7 MITF-high and 3 NF- $\kappa$ B-high short-term cultures. As predicted, all 7 MITF-high/NF- $\kappa$ B-low short-term cultures were sensitive to RAF and MEK inhibition, whereas each of the three MITF-low/NF- $\kappa$ B-high short-term cultures was resistant to these agents (Fig. 1D). These findings supported the premise that the MITF-low/NF- $\kappa$ B-high transcriptional signature correlated with resistance to MAPK pathway inhibition in BRAF<sup>V600</sup>-mutant melanoma.

We next sought to assess whether this intrinsic resistance phenotype might derive from incomplete MAPK pathway inhibition, as opposed to indifference to MAPK pathway inhibition in these cells. First, we noted that MITF-low/NF- $\kappa$ B-high short-term cultures (Fig. 1D) and cell lines (Supplementary Fig. S5) showed resistance not only to single-agent RAF and MEK inhibition, but also to combined RAF/MEK inhibition and ERK inhibition (VTX11E), suggesting that intrinsic resistance extends to multiple levels of the RAF/MEK/ERK signaling cascade. Moreover, the resistance phenotype was not clearly attributable to incomplete MAPK pathway suppression by these agents, because the reduction of phosphorylation of both ERK and the ERK substrate FRA1 in resistant lines following exposure to RAF, MEK and ERK inhibitors was comparable to that observed in sensitive lines (Supplementary Fig. S6). Uniquely among the tested resistant lines, RPMI-7951 cells maintain MAPK pathway activity even in the setting of inhibitor treatment (Supplementary Fig. S6); however, this line also harbors amplification of *MAP3K8/COT*, which is sufficient to re-activate ERK signaling following inhibition of RAF and/or MEK in this cell line (12).

Consistent with prior literature (24, 25), the ERK inhibitor VTX11E did not inhibit phosphorylation of ERK itself. Nonetheless, the magnitude of p-FRA1 suppression by VTX11E was comparable to that induced by the ERK inhibitor SCH772984 (25), which inhibits both ERK activity and ERK phosphorylation (Supplementary Fig. S7A). VTX11E and SCH772984 also showed a similar spectrum of sensitivity across a panel of BRAF<sup>V600</sup>-mutant melanoma cell lines (Supplementary Fig. S4A, S7B).

In addition to showing comparable biochemical responses to MAPK pathway inhibition, sensitive and resistant short-term cultures (Supplementary Fig. S8) and cell lines (Supplementary Fig. S9) displayed no clear differences in basal phosphorylation levels of ERK or FRA1 or cell lines, implying that differential basal MAPK pathway activity does not account for differential response to MAPK pathway inhibitors. Together, these findings suggest that a molecularly defined subset of MITF-low/NF- $\kappa$ B-high BRAF<sup>V600</sup>-mutant melanomas may exhibit indifference to MAPK pathway inhibition.

To confirm that the apparent reciprocity between MITF and NF- $\kappa$ B expression signatures was also evident *in vivo*, we examined a collection of primary and metastatic BRAF<sup>V600</sup>-mutant melanomas from The Cancer Genome Atlas (<https://tcga-data.nci.nih.gov/tcga/>). In this dataset, we also observed anti-correlation between expression of *MITF* (and its activity, as measured by target genes and signature) and NF- $\kappa$ B activation (as measured by single-gene markers as well as an expression signature of NF- $\kappa$ B activity) (Fig. 2A). Thus, the transcriptional class distinction that segregated MAPK pathway inhibitor sensitive and resistant cell lines was also discernible in melanoma tumors.

This reciprocity between MITF and NF- $\kappa$ B transcriptional profiles was reminiscent of prior transcriptional (26) and histopathologic (27) evidence for a two-class distinction in melanoma. These distinct gene expression programs, however, have not previously been linked to resistance to vemurafenib or dabrafenib/trametinib in BRAF<sup>V600</sup>-mutant melanomas. Extending these prior results, our findings suggest that this transcriptional class distinction may have a previously unrecognized association with differential susceptibility to MAPK pathway inhibition.

To assess whether the resistance phenotype linked to this class distinction *in vitro* was also evident in melanoma tumors, we examined biopsy specimens from metastatic BRAF<sup>V600</sup>-mutant melanoma patients. Samples were obtained prior to treatment with MAPK pathway inhibitors; after biopsy, patients received combined RAF/MEK inhibitor therapy. Using AXL expression as a read-out of the NF- $\kappa$ B-high cellular state (Fig. 1B, 1C, S2, S4, 2A), we stratified the cohort into MITF-high/NF- $\kappa$ B-low (n=4) and MITF-low/NF- $\kappa$ B-high (n=8) groups on the basis of immunohistochemistry (Fig. 2B, Supplementary Table S2). Immunocytochemistry on known MITF-positive and AXL-positive cell lines confirmed the sensitivity and specificity of this method (Supplementary Fig. S10). Progression-free survival following dabrafenib/trametinib therapy was significantly shorter in the MITF-low/NF- $\kappa$ B-high group relative to the MITF-high/NF- $\kappa$ B-low group (median 5.0 months versus 14.5 months, p=0.0313, two-tailed t test) (Fig. 2C). This finding is consistent with a possible therapeutic relevance of this two-class distinction in melanoma.

Among the individual features reproducibly associated with the resistance state was the expression of the AXL receptor tyrosine kinase. AXL has been previously identified as a mediator of acquired resistance to PLX4720 in BRAF<sup>V600</sup>-mutant melanoma (12) and to lapatinib in EGFR-mutant lung cancer (28). Therefore, we queried whether the intrinsic resistance phenotype in some BRAF<sup>V600</sup>-mutant melanomas might simply result from AXL expression in those lines. First, we confirmed that AXL overexpression was sufficient to confer resistance to RAF or MEK inhibitors, singly or in combination, in three BRAF<sup>V600</sup>-mutant, MAPK pathway inhibitor sensitive melanoma cell lines (Fig. 3A). However, ectopic AXL expression did not consistently confer robust resistance to ERK inhibition (Fig. 3A). AXL overexpression induced Akt phosphorylation and conferred sustained ERK phosphorylation in the setting of RAF/MEK inhibition (Fig. 3B). Moreover, the level of ERK phosphorylation produced by AXL overexpression was comparable to that observed following overexpression of the known RAFi resistance effector *RAF1* (11)(Fig. 3B). Consistent with prior findings, these results indicated that overexpression of AXL was sufficient to confer acquired resistance to RAF and MEK inhibitors.

We next wished to determine whether endogenous AXL was necessary for maintenance of intrinsic resistance in the NF- $\kappa$ B-high BRAF<sup>V600</sup>-mutant melanoma cells. To test this hypothesis, we used three small-molecule AXL inhibitors (R428 (29), XL184 (30), and XL880 (28, 31)). To confirm the pharmacologic effects of these compounds, we exposed A-375 melanoma cells engineered to overexpress AXL to each drug *in vitro*. All three compounds abrogated AXL-mediated induction of Akt phosphorylation and rescue of ERK phosphorylation (Fig. 3C) but had no effect on pAkt or pERK levels in A-375 or other sensitive cell lines in the absence of exogenous AXL expression (Supplementary Fig. S11).

Next, we assessed the effects of these small molecules on intrinsically resistant melanoma cell lines that express endogenous AXL. In contrast to the setting of ectopic AXL expression, we observed no effect of the AXL inhibitors on pAkt or pERK levels in the intrinsically resistant lines, either at baseline or following treatment with PLX4720 (Fig. 3D). (AXL inhibitors also did not alter pERK or pAkt levels in intrinsically sensitive lines [Supplementary Fig. S11].) Similarly, treatment with AXL inhibitors did not alter the PLX4720 GI<sub>50</sub> values of any of the intrinsically resistant melanoma lines (Fig. 3E). Comparable results were observed following knockdown of AXL using three independent shRNAs (the most effective of 9 tested) (Supplementary Fig. S12, S13). Here, we noted that a single AXL shRNA (575) modulated PLX4720 GI<sub>50</sub> values; while this finding could be due to marginally more effective knockdown with this shRNA, the failure of the other AXL shRNAs and the small-molecule AXL inhibitors to reproduce this phenotype makes it more likely that this modulation stems from off-target shRNA effects. Altogether, our results suggest that AXL expression may be sufficient to confer acquired resistance to MAPK pathway inhibitors, but may not be necessary for maintenance of resistance. Although AXL expression may nonetheless contribute to the intrinsic resistant phenotype in these melanoma cells, AXL does not appear to be the sole or limiting resistance effector in this setting. We therefore returned to the broader differences in transcriptional state that exist among lines with differential sensitivity to MAPK pathway inhibitors.

The foregoing experiments raised the possibility that distinct melanoma cell states characterized by specific transcriptional profiles might underpin intrinsic resistance to MAPK pathway inhibition in BRAF<sup>V600</sup>-mutant melanoma. One possible explanation for the existence of these transcriptional states is that they might arise from distinct precursor cells. To test this possibility, we analyzed whether the transcriptional states could both be established from immortalized primary human melanocytes. At baseline, immortalized melanocytes expressed high levels of both MITF and its target genes, reminiscent of MITF-high/NF- $\kappa$ B-low melanoma cell lines. In addition, expression of NF- $\kappa$ B-associated signatures and marker genes was low in these melanocytes (Fig. 4A and 4B). As expected, introduction of BRAF<sup>V600E</sup> into immortalized melanocytes augmented ERK phosphorylation (Fig. 4B, Supplementary Fig. S14). Consistent with prior observations (20), ectopic BRAF<sup>V600E</sup> also abrogated MITF expression (at both the transcriptional [Fig. 4A] and protein levels [Fig. 4B, Supplementary Fig. S14]) and MITF transcriptional activity (as measured by an expression signature of MITF activity as well as levels of individual MITF target genes [Fig. 4A-B, Supplementary Fig. S14]). Surprisingly, expression of BRAF<sup>V600E</sup> also induced NF- $\kappa$ B pathway activation, as measured by expression of NF- $\kappa$ B-driven



transcriptional signatures (Fig. 4A), RelA phosphorylation (Fig. 4B, Supplementary Fig. S14), and expression of markers such as AXL and NRP1 (Fig. 4A, 4B, Supplementary Fig. S14). Thus, short-term overexpression of BRAF<sup>V600E</sup> in melanocytes suppressed MITF activity, induced NF- $\kappa$ B activity, and produced an MITF-low/NF- $\kappa$ B-high expression pattern reminiscent of intrinsically resistant melanomas.

We also examined melanocytes that ectopically expressed BRAF<sup>V600E</sup> over a prolonged time period (8-12 weeks) and at near-endogenous levels. Comparable results were obtained in this context (Fig. 4C), suggesting that these phenotypic patterns are durable and not simply an artifact of acute, supra-physiologic oncogene expression. A constitutively active variant of MEK1 (MEK1<sup>DD</sup>) similarly suppressed MITF and up-regulated AXL, whereas MAPK pathway inhibitors largely reversed these effects (Fig. 4A, 4B, Supplementary Fig. S14). These results suggest that aberrant MAPK pathway signaling is both necessary and sufficient for induction of these transcriptional changes following gain of BRAF<sup>V600E</sup>. In addition, BRAF<sup>V600E</sup>-mediated induction of AXL, but not MITF loss, was partially antagonized by an I $\kappa$ B $\alpha$  super-repressor (Supplementary Fig. S15), suggesting that the NF- $\kappa$ B activation induced by BRAF<sup>V600E</sup> may partially contribute to expression of marker genes associated with the NF- $\kappa$ B-high transcriptional state in this context. Altogether, these results suggest that the MITF-low/NF- $\kappa$ B-high phenotype can, in some circumstances, be at least partially induced by oncogenic MAPK signaling, including gain of BRAF<sup>V600E</sup>.

Although BRAF<sup>V600E</sup> induced a transcriptional phenotype suggestive of a MITF-low/NF- $\kappa$ B-high cell state in melanocytes *in vitro* ((20), Fig. 4A-4C, Supplementary Fig. S14), the majority of BRAF<sup>V600E</sup>-mutant melanoma cells exhibit the opposite (i.e., MITF-high/NF- $\kappa$ B-low) cell state both *in vitro* and *in vivo*. Because MITF expression is a prominent feature of the “typical” BRAF<sup>V600E</sup>-mutant melanoma cell state, we hypothesized that concomitant MITF dysregulation might antagonize BRAF<sup>V600E</sup>-mediated induction of an alternative, NF- $\kappa$ B-high cell state. To test this possibility, we ectopically expressed MITF in melanocytes simultaneously with either BRAF<sup>V600E</sup> or MEK1<sup>DD</sup>. In melanocytes endogenously expressing high levels of MITF, ectopic MITF expression only minimally altered MITF levels. However, in the setting of ectopic BRAF<sup>V600E</sup> or MEK1<sup>DD</sup> expression, where MITF levels are reduced, even a partial restoration of MITF levels by ectopic MITF expression blocked the ability of BRAF<sup>V600E</sup> or MEK1<sup>DD</sup> to induce AXL expression, one of the defining features of the NF- $\kappa$ B-high state (Fig. 4D, Supplementary Fig. S16). Thus, following gain of aberrant MAPK pathway activation, the continued presence of MITF may blunt the transition into the NF- $\kappa$ B-high transcriptional state. This effect was dependent on the ability of MITF to bind DNA, as a DNA binding-deficient mutant (MITF(R217 )) was unable to suppress AXL expression (Fig. 4D, Supplementary Fig. S16). Consistent with these data, we found an enrichment of MITF amplification in those BRAF<sup>V600E</sup>-mutant melanoma cell lines retaining an MITF-high/NF- $\kappa$ B-low transcriptional state (Fig. 4E). These observations raise the possibility that, in the context of BRAF<sup>V600E</sup>, concomitant MITF dysregulation contributes to maintenance of an MITF-high/NF- $\kappa$ B-low state.

Collectively, these data imply that, in at least some settings, it is possible to establish both MITF-low/NF- $\kappa$ B-high and MITF-high/NF- $\kappa$ B-low cellular states from the same precursor

melanocyte. They also raise the possibility that a key determinant of transcriptional states associated with resistance versus sensitivity is the balance between, on the one hand, BRAF<sup>V600E</sup>-mediated MAPK activation and subsequent NF- $\kappa$ B induction, and on the other, sustained MITF expression.

Since both MITF and NF- $\kappa$ B can influence the establishment of distinct cell states in melanocytes, we next investigated whether these factors could also affect maintenance of these states in established melanoma cell lines. Specifically, we hypothesized that induction of NF- $\kappa$ B could modulate a cell line away from an MITF-high state towards an MITF-low state. Because our aforementioned experiments suggested that intrinsic resistance might result from a global transcriptional state as opposed to expression of individual resistance effector(s), we further predicted that this perturbation of transcriptional state should alter drug sensitivity. Whereas previous analyses demonstrated an association between NF- $\kappa$ B transcriptional state and resistance, here we sought to query whether manipulation of transcriptional state could causally affect drug resistance. We induced NF- $\kappa$ B activity in sensitive cell lines by treating them with the NF- $\kappa$ B agonist TNF $\alpha$ , which led to increased phosphoactivation of the NF- $\kappa$ B transcription factor RelA (Fig. 5A). Following this induction of NF- $\kappa$ B activity, we observed a decrease in both MITF expression and MITF activity (as measured by levels of the MITF target gene SILV, Fig. 5A). To further verify the effects of NF- $\kappa$ B on MITF transcriptional activity, we used SK-MEL-5 cells stably expressing a luciferase reporter under the control of the promoter of TRPM1, a known MITF target gene. This system has previously been used as a read-out of MITF transcriptional activity (32). Using this approach, we observed a reduction in MITF transcriptional reporter activity following TNF $\alpha$  treatment (Fig. 5B), the magnitude of which was comparable to the reduction in protein expression of MITF target genes (Supplementary Fig. S17A). In some cell lines, TNF $\alpha$  not only suppressed MITF but also induced expression of resistance markers including AXL (Fig. 5A, S17B). In addition, blockade of NF- $\kappa$ B activity with the I $\kappa$ B $\alpha$  super-repressor abrogated these TNF $\alpha$ -mediated expression changes (Fig. 5A), confirming that NF- $\kappa$ B signaling was necessary for these transcriptional changes. Thus, NF- $\kappa$ B activation appeared to promote a transition from an MITF-high/NF- $\kappa$ B-low to an MITF-low/NF- $\kappa$ B-high transcriptional phenotype.

If activation of NF- $\kappa$ B by TNF $\alpha$  perturbs cells away from an MITF-high/NF- $\kappa$ B low state towards an MITF-low/NF- $\kappa$ B-high state, and if cell state controls drug sensitivity, we reasoned that the same stimulus should also confer phenotypic drug resistance. Indeed, we observed that TNF $\alpha$  led to resistance to inhibition of RAF and MEK, singly or in combination, as well as ERK (Fig. 5C, Supplementary Fig. S18) (33, 34). Thus, NF- $\kappa$ B activation can perturb a sensitive, MITF-high/NF- $\kappa$ B-low state towards a state that is not only MITF-low/NF- $\kappa$ B-high but also functionally resistant to MAPK pathway inhibition. Crucially, therefore, perturbing cellular transcriptional state can result in phenotypic alteration in drug sensitivity. On this basis, it appears that NF- $\kappa$ B activity is not simply a marker of the MITF-low resistant state, but rather is functionally sufficient to induce it.

Finally, because MAPK pathway hyperactivation could promote establishment of the MITF-low/NF- $\kappa$ B-high state in melanocytes, we wondered whether therapeutic MAPK pathway inhibition in BRAF<sup>V600</sup>-mutant melanomas would affect maintenance of this state. To test



this hypothesis, we cultured four MITF-high, MAPK pathway inhibitor-sensitive melanoma cell lines continuously in PLX4720 until a resistant population emerged (Supplementary Fig. S19). Interestingly, resistant cells showed diminished MITF expression and MITF transcriptional activity (as measured by levels of MITF target genes TYRP1, MLANA, and SILV) (4/4 lines) and gain of AXL expression (2/4 lines) (Fig. 5D). These results suggest that in some contexts, MITF-high/NF- $\kappa$ B-low melanomas can transition towards an MITF-low/NF- $\kappa$ B-high state during acquisition of resistance. These changes were observed even in clones that had also gained other known mechanisms of resistance (Fig. 5D, e.g., COT expression and p61 BRAF splice variant (10, 12)). This finding implies that transition towards an MITF-low/NF- $\kappa$ B-high state is not mutually exclusive with acquisition of other known resistance effectors—an emerging theme in resistance to targeted therapeutics (35). Moreover, this finding suggests that the MITF-low/NF- $\kappa$ B-high state, although certainly associated with intrinsic resistance, can be also observed in the context of acquired resistance. Thus, the MITF-low/NF- $\kappa$ B-high transcriptional phenotype may generally signify diminished dependence on the MAPK pathway. Melanomas that begin in this MITF-low/NF- $\kappa$ B-high state prior to treatment are likely to be intrinsically resistant to MAPK pathway inhibition, whereas melanomas that transition into this state during treatment can exhibit acquired resistance. Cumulatively, these findings demonstrate that, even in melanoma cell lines, the transcriptional states associated with sensitivity and resistance remain plastic; moreover, maintenance of these states in cell lines can be perturbed by the same mediators that govern establishment of these states in melanocytes (Fig. 5E).

## Discussion

The majority of BRAF<sup>V600</sup>-mutant melanomas are profoundly dependent on the RAF/MEK/ERK signaling cascade. This vulnerability has been exploited clinically with the development of pharmacologic RAF and MEK inhibitors. However, the efficacy of these drugs is limited both by relapse following an initial response (acquired resistance) and by the initial indifference of some BRAF<sup>V600</sup>-mutant melanomas to these inhibitors (intrinsic resistance). Multiple studies have elucidated mechanisms of acquired resistance to MAPK pathway inhibitor therapy, largely converging on re-activation of the MAPK pathway (7, 10-16). Although recent work has identified stromal HGF secretion as one mechanism of intrinsic resistance (17, 18), less has been known about whether there also exist cell-autonomous determinants of this phenotype.

In this study, we used a collection of BRAF<sup>V600</sup>-mutant melanoma cell lines displaying a spectrum of sensitivity to MAPK pathway inhibitors to identify two transcriptional states in BRAF<sup>V600</sup>-mutant melanoma: one characterized by high MITF expression and transcriptional activity that is sensitive to MAPK pathway inhibition, and another that exhibits low MITF expression and activity, high NF- $\kappa$ B activity, and resistance to MAPK pathway inhibition. The MITF-low/NF- $\kappa$ B-high state appears specifically resistant to MAPK pathway inhibition, rather than globally drug tolerant, as cross-resistance to other classes of therapeutics was not observed. Moreover, such a transcriptional class distinction is reminiscent of prior work identifying two differential gene expression classes in melanoma (26, 27). However, both the mechanistic basis and the therapeutic implications of this two-class distinction have been largely uncharacterized; in particular, it has not

previously been associated with differential response to vemurafenib or dabrafenib/trametinib in the setting of BRAF<sup>V600</sup> mutation. Conversely, while the phenomenon of intrinsic sensitivity/resistance to MAPK pathway inhibitors has more recently become evident, a cell-intrinsic mechanistic basis for this phenomenon has remained largely unknown. Thus, our present work may unify and extend two previously described phenomena in melanoma by proposing gene expression reciprocity between MITF and NF- $\kappa$ B as a mechanistic determinant of intrinsic resistance.

Our data suggest that the origin of these two distinct states in melanocytes can be controlled by the relative balance of aberrant MAPK activation (leading to NF- $\kappa$ B activation and the MITF-low/NF- $\kappa$ B-high state) and sustained MITF expression and activity (leading to the MITF-high/NF- $\kappa$ B-low state). Since we and others have shown that introduction of BRAF<sup>V600E</sup> into melanocytes can lead to loss of MITF expression and activity, it may seem surprising that the majority of BRAF<sup>V600</sup>-mutant melanomas retain MITF expression and activity and low levels of NF- $\kappa$ B activity. Of note, we also show that chronic MAPK pathway inhibition led some melanoma lines to transition to an MITF-low/NF- $\kappa$ B-high state. While one possible explanation for this finding is simply outgrowth of a pre-existing resistant sub-population, it may also suggest that not all melanomas preserve the same relationship between MAPK signaling and MITF levels as observed in melanocytes.

An additional possible explanation for the maintenance of MITF expression in BRAF<sup>V600</sup>-mutant melanomas is our finding that dysregulation of MITF—for example, by ectopic expression—can impair induction of the NF- $\kappa$ B-high state. Indeed, we observed that MITF amplification was enriched in the MITF-high cell lines relative to the NF- $\kappa$ B-high cell lines, suggesting that this genomic alteration may contribute to the ability of some melanomas to maintain MITF following acquisition of BRAF<sup>V600E</sup>. In addition, recent work has shown that, in BRAF<sup>V600</sup>-mutant melanoma cell lines, enforced (rather than endogenous) MITF activity is permissive for cellular proliferation following MAPK pathway inhibition (36). This result is consistent with our finding that endogenous levels of MITF predict sensitivity to MAPK pathway inhibition. Because endogenous MITF is regulated by the MAPK pathway, endogenous MITF levels function as a proxy for MAPK pathway dependency, whereas in MITF-low melanomas, additional transcription factors may permit MAPK-independent cell cycle progression. In contrast to endogenous MITF, however, exogenous MITF is not regulated by the MAPK pathway and therefore allows MAPK-independent cellular proliferation.

In our initial analysis, the MITF-low/NF- $\kappa$ B-high subgroup of melanomas was identified as exhibiting resistance to single-agent RAF or MEK inhibition. One recent strategy for enhancing the response to MAPK pathway inhibition has been to combine RAF and MEK inhibition (6). However, the MITF-low/NF- $\kappa$ B-high melanomas were also resistant to combined RAF and MEK inhibition as well as to ERK inhibition. This resistance was apparent despite robust biochemical evidence for MAPK pathway inhibition, arguing that these melanomas may be largely indifferent to MAPK pathway blockade. Our findings therefore raise the possibility that combination (e.g., RAF/MEK) or additional downstream (e.g., ERK) inhibition of the MAPK pathway may not achieve durable therapeutic control of at least some BRAF<sup>V600</sup>-mutant melanomas.

These analyses suggested that the MITF-low/NF- $\kappa$ B-high state is associated with a diminished sensitivity to MAPK pathway inhibition. For this reason, melanoma cells that begin in this state prior to MAPK pathway inhibitor therapy show intrinsic resistance to such treatment. We also found, however, that these transcriptional states may not be permanently fixed, but rather can exhibit a degree of plasticity during therapy. When MITF-high cell lines were cultured in PLX4720 to resistance, a transition to an MITF-low/NF- $\kappa$ B-high state was observed. Direct stimulation of the NF- $\kappa$ B pathway by TNF $\alpha$  recapitulated these expression changes in an NF- $\kappa$ B-dependent fashion. Moreover, this change of transcriptional state by NF- $\kappa$ B activation also induced phenotypic resistance to MAPK pathway inhibitors, thus providing direct evidence for the key role of the NF- $\kappa$ B pathway in establishing the resistant cellular state. Intriguingly, when cultured to resistance to single-agent RAF inhibition, melanoma cells also acquired cross-resistance to inhibition of MEK, RAF and MEK in combination, and ERK. This state transition occurred together with the acquisition of other known effectors of acquired resistance, including COT and the p61 BRAF splice variant. This apparent plasticity between the MITF-high/NF- $\kappa$ B-low and MITF-low/NF- $\kappa$ B-high states may therefore accompany other acquired resistance effectors that converge on the MAPK pathway. Moreover, this finding may implicate a transition between these cellular states in the acquisition of resistance to RAF/MEK inhibitors. An important feature of this model is that the MITF-low/NF- $\kappa$ B-high transcriptional state is not restricted to either an intrinsic or acquired resistance context, but rather is fundamentally a state of diminished dependency on the MAPK pathway. Thus, whereas transcriptional state prior to therapy may influence intrinsic resistance, the ability to modulate transcriptional state during therapy may contribute to acquired resistance.

Since MITF-low/NF- $\kappa$ B-high melanomas can exhibit resistance to MAPK pathway inhibition, the identification of alternative therapeutic avenues for these tumors is of great interest. AXL, one gene associated with the NF- $\kappa$ B-high resistant state, has previously been shown to be sufficient to cause acquired MAPK inhibitor resistance. However, efforts to render intrinsically resistant melanomas sensitive to MAPK pathway inhibition through inhibition of AXL *in vitro* suggested that AXL was not necessary for the maintenance of intrinsic resistance—a finding consistent with the observation that these cell lines were resistant to ERK inhibition, a phenotype that AXL alone did not robustly effect. Thus, intrinsic resistance appears to be not simply a consequence of AXL expression, but rather due to a more fundamental cell state distinction that happens to involve AXL expression. For this reason, the identification of new pharmacologic vulnerabilities in this resistant state—whether singly or in combination with MAPK pathway inhibition—will be a high priority for future investigation.

In summary, our findings reveal that resistance to MAPK pathway inhibition in BRAF<sup>V600</sup>-mutant melanoma can be associated with a distinct transcriptional state, both *in vitro* and in human tumors. The establishment and maintenance of these states appears linked to aberrant MAPK pathway activation, NF- $\kappa$ B induction, and MITF dysregulation. This class distinction may aid future efforts in BRAF<sup>V600</sup>-mutant melanoma to predict treatment response and identify new therapeutic strategies for patients who fail to benefit from RAF/MEK inhibition.

## Materials and Methods

### Cell culture

Cell lines obtained from the American Type Culture Collection, the National Cancer Institute, and Deutsche Sammlung von Mikroorganismen und Zellkulturen, which verify identity by short tandem repeat profiling, were passaged <6 months following receipt. SK-MEL-5-TRPM1-luciferase cells were a gift of David Fisher. Cells were maintained (unless otherwise indicated) in medium supplemented with 10% FBS (Gemini Bio-Products) and 1% penicillin/streptomycin. The following cell lines were maintained in RPMI-1640: A-375, COLO-679, RVH-421, SK-MEL-5, SK-MEL-19, SK-MEL-28, UACC-62, WM-983B, WM-793, LOX IMVI, and all short-term cultures; DMEM: WM-88, WM-266-4, G-361, A2058, Hs 294T; MEM: RPMI-7951; DMEM with 15% FBS: IGR-39.

### MITF reporter cell line

SK-MEL-5-TRPM1-luciferase cells were a kind gift of David Fisher, and the stable derivation of this line will be described in a forthcoming manuscript. Cells were seeded at 5000 cpw in duplicate in 96-well clear- and white-bottom plates. Beginning the day after seeding, cells were treated with 25 ng/mL final TNF $\alpha$  for the indicated time points. Four days after seeding, viability was read out from clear-bottom plates using CellTiter-Glo (1:5 final dilution) and luciferase activity from white-bottom plates using ONE-Glo (Promega, 1:2 final dilution). Luciferase activity was then normalized to viability and expressed as a percent of activity in untreated cells.

### Inhibitors

PLX4720, AZD6244, XL184, and XL880 were purchased from Selleck Chemicals. R428 was purchased from Symansis. VTX11E was synthesized as previously reported (24). All small molecules were dissolved in DMSO. For Western blot following MAPK pathway inhibitor treatment, all cells were seeded in parallel and allowed to proliferate for 5 days, with indicated drugs added for the indicated lengths of time prior to simultaneous final harvest.

### GI<sub>50</sub> determination

For determining the half-maximal growth inhibitory concentration (GI<sub>50</sub>), lines were seeded in 96-well format. The day after plating, if applicable, recombinant human TNF $\alpha$  (CST 8902SC, 25 ng/mL final) or AXL inhibitors (at indicated dilutions) were added. Cells were then drugged with serial dilutions of indicated inhibitors to give final concentrations ranging from 100  $\mu$ M to 31.62 nM (PLX4720 and VTX11E) or 31.62  $\mu$ M to 10 nM (AZD6244), in half-log increments. For combined PLX4720 and AZD6244 treatment, an equitoxic combination of doses was used, starting at 100  $\mu$ M PLX4720 + 31.62  $\mu$ M AZD6244. Four days later, cellular viability was assessed using CellTiter-Glo (Promega). GI<sub>50</sub> calculations were performed in GraphPad Prism; for AZD6244, floor value was set to 0. In Fig. 1C and S4A, “relative sensitivity” was calculated by dividing the GI<sub>50</sub> of a given drug in a given cell line by the median GI<sub>50</sub> of the same drug in the sensitive group of cell lines.

## Constructs

MEK1, RAF1, AXL (clone 7F12), MITF-M, LacZ, BRAF<sup>V600E</sup>, and MEK1<sup>DD</sup> in lentiviral vectors pLX304-Blast-V5 or pLX980-Blast-V5 were from The RNAi Consortium (Broad Institute). The retroviral I $\kappa$ B $\alpha$  super-repressor construct has been previously published (37).

## Viral infections

Unless otherwise indicated, all viral infections were carried out the day after seeding in 4  $\mu$ g/mL final polybrene with centrifugation for 60 min at 2250 rpm (1178  $\times$  g) at 30 °C, with an immediate medium change following infection. Viral dilutions were 1:50 for shRNA lentiviruses, 1:10 for ORF lentiviruses and 1:3 for retroviruses.

## Inhibitor treatment following ORF infection

For Western blot, four days after infection with the indicated ORF lentivirus, medium was changed to fresh medium plus DMSO or small molecules as indicated, with harvest the next day. For viability assays following ORF infections, cells were seeded in 96-well format at the following densities: A-375, 900; SK-MEL-28, 1100; UACC-62, 2750. The next day, cells were infected as described below; 3 days later, inhibitors were added at the indicated concentrations. Four days after inhibitor treatment, viability was read out using CellTiter-Glo.

## TNF $\alpha$ time course with I $\kappa$ B $\alpha$ super-repressor

Each of the two days following seeding, cells were infected overnight with indicated retrovirus, with an 8 hr recovery between infections. The day after the second infection, medium was changed to fresh medium plus 1  $\mu$ g/mL final puromycin, and cells were stimulated for the 6d TNF $\alpha$  time point (25 ng/mL final). Subsequent time points were stimulated as indicated, and all time points were harvested in parallel.

## Primary melanocytes

Primary melanocytes were grown in TICVA medium (Ham's F-10 (Cellgro), 7% FBS, 1% penicillin/streptomycin, 2 mM glutamine (Cellgro), 100  $\mu$ M IBMX, 50 ng/mL TPA (12-O-tetradecanoyl-phorbol-13-acetate), 1 mM 3', 5'-cyclic AMP dibutyrate (dbcAMP; Sigma) and 1  $\mu$ M sodium vanadate). Lentiviral infections were performed as described above for 1 hr (for Western blot) or overnight (for expression profiling). For Western blot, cells were switched to Ham's F10 plus 10% FBS following introduction of BRAF<sup>V600E</sup> or MEK1<sup>DD</sup>, and lysates were harvested as described below. For expression profiling, cells were selected following infection in 10  $\mu$ g/mL puromycin for 4 days and propagated stably prior to RNA harvesting using RNeasy Miniprep kit according to manufacturer's protocols (Qiagen). RNA quality was assessed using a 2100 Bioanalyzer (Agilent) prior to expression profiling on an Affymetrix U133+ PM array according to manufacturer's protocols.

## Gene expression and pharmacological analyses

Gene expression (RMA normalized using ENTREZG v15 CDF), drug sensitivity (GI<sub>50</sub> values for Fig. 1A and S1, activity area for Fig. 1B and 4E), and genotyping data for BRAF<sup>V600</sup>-mutant melanoma cell lines were from the Cancer Cell Line Encyclopedia

(CCLE) (19). Pearson correlation coefficients ( $r$ ) were computed between gene expression values and PLX4720  $GI_{50}$  values as well as between  $GI_{50}$  values for various small molecules and MITF expression values. For Fig. 4E, gene expression, genotyping, and copy number data were from the Wellcome Trust/Sanger COSMIC Cell Lines Project (38); drug sensitivity was from the CCLE. Gene expression and genotyping data for melanoma short-term cultures (Fig. 1C) were from Lin et al.(39); expression data were collapsed to maximum probe value per gene using GSEA Desktop. Genotyping and gene expression data for melanoma tumors in Fig. 2A were from The Cancer Genome Atlas (<https://tcga-data.nci.nih.gov/tcga/>).

### MITF and AXL staining

For immunocytochemistry, five days after seeding, cells were scraped in cold PBS, formalin-fixed, paraffin-embedded, and processed as below. For immunohistochemistry, 4  $\mu$ M sections of formalin-fixed, paraffin-embedded specimens were heated at 60°C, deparaffinized in xylene, and hydrated in a series of ethanol dilutions. Epitope retrieval was by microwaving (5 min at 850w, 15 min at 150w) in 10 mM Tris-EDTA buffer pH 9.0. Slides were blocked 10 minutes in 3% BSA in TBST (Tris pH 7.6, 0.05% Tween-20). Primary antibodies were as follows: MITF, 1:100 in 3% BSA in TBST, clone D5 (Dako M3621); AXL, 1:100 in 3% BSA in TBST, clone C89E7 (CST 8661). Slides underwent 10 min peroxidase block in 3% H<sub>2</sub>O<sub>2</sub>. Secondary antibodies were: goat anti-mouse IgG-HRP (BioRad 170-6516, 1:200 in 3% BSA in TBST; Dako EnVision anti-rabbit (K4003, ready-to-use). Slides were developed with DAB+ (Dako K3468) for 10 min and counterstained 1 min with hematoxylin (Vector H-3401) prior to dehydration and mounting. Slides were imaged on an Olympus BX51 microscope with Olympus DP25 camera using Olympus WHN10X-H/22 oculars, Olympus UPlan FL N -20 $\times$ /0.50 and -40 $\times$ /0.50 objectives, an Olympus DP25 camera, and images acquired using Olympus DP2-TWAIN software and Adobe Photoshop 7.0. Slides were scored for intensity and distribution of AXL and MITF by a dermatopathologist blinded to clinical outcome.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgments

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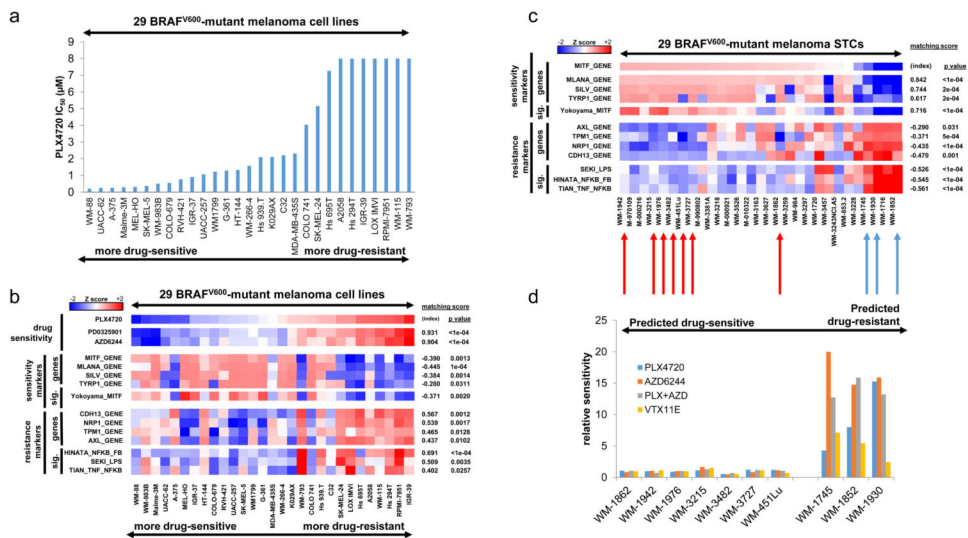
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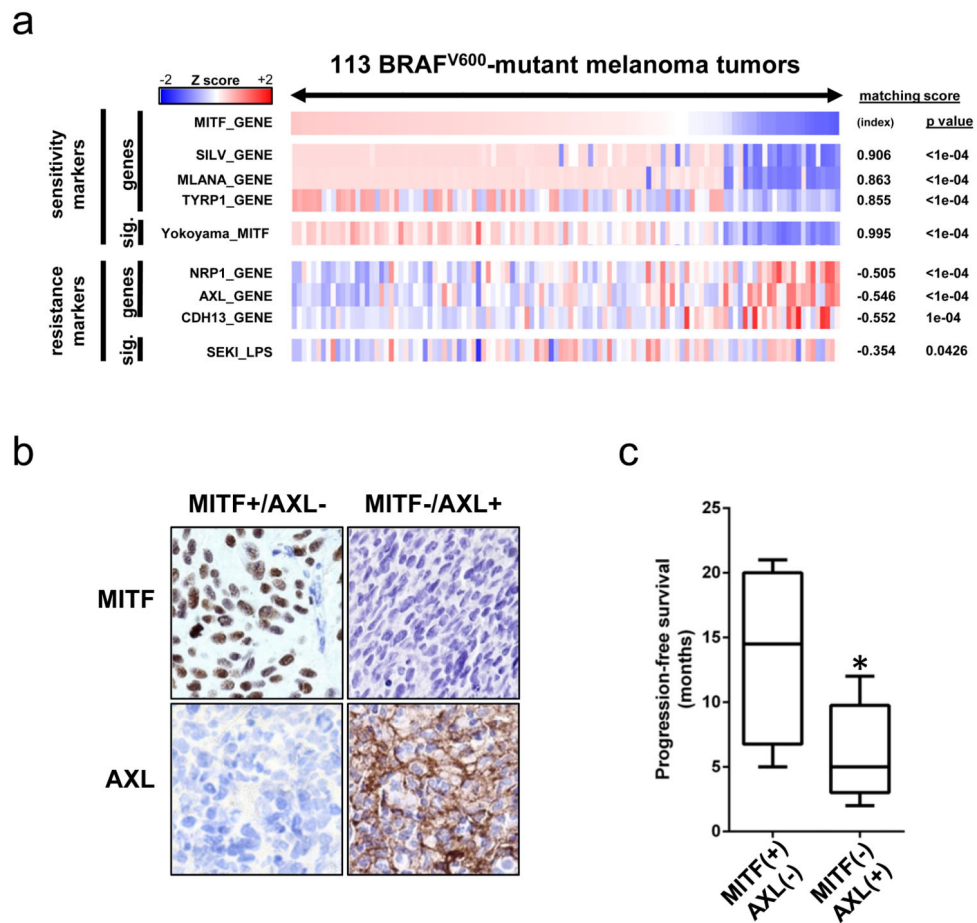
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**Statement of significance**

Although most BRAF<sup>V600</sup>-mutant melanomas are sensitive to RAF and/or MEK inhibitors, a subset fails to respond to such treatment. This study characterizes a transcriptional cell state distinction linked to MITF and NF- $\kappa$ B that may modulate intrinsic sensitivity of melanomas to MAPK pathway inhibitors.



**Figure 1. Association of expression classes with differential MAPK pathway inhibitor sensitivity in BRAF<sup>V600</sup>-mutant melanoma *in vitro***  
**(a)** Sensitivity to PLX4720 (RAFi) across a collection of BRAF<sup>V600</sup>-mutant melanoma cell lines. **(b)** Relationship between PLX4720 sensitivity and MITF-high versus NF-κB-high classes. **(c)** Transcriptional class distinction in BRAF<sup>V600</sup>-mutant melanoma short-term cultures. **(d)** Relationship in short-term cultures between expression class and MAPK pathway inhibitor sensitivity. Graph shows GI<sub>50</sub> values relative to median GI<sub>50</sub> of the sensitive short-term cultures. PLX4720, RAFi; AZD6244, MEKi; VTX11E, ERKi.



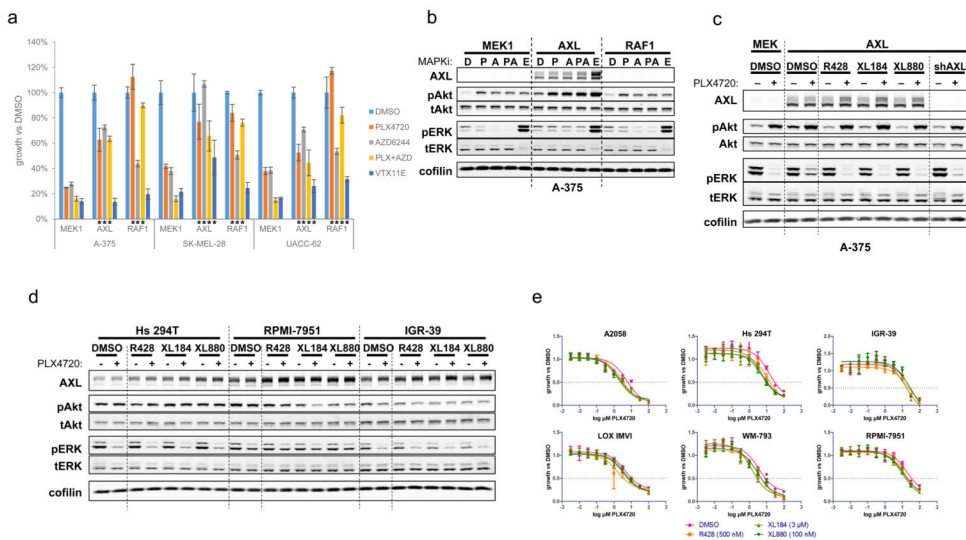
**Figure 2. MITF-low/NF- $\kappa$ B-high transcriptional class present and associated with resistance to MAPK pathway inhibition in human tumors**

**(a)** Transcriptional class distinction in BRAF<sup>V600</sup>-mutant melanoma tumor samples. **(b)**

Examples of AXL and MITF staining in pre-treatment melanoma biopsies (40 $\times$  magnification).

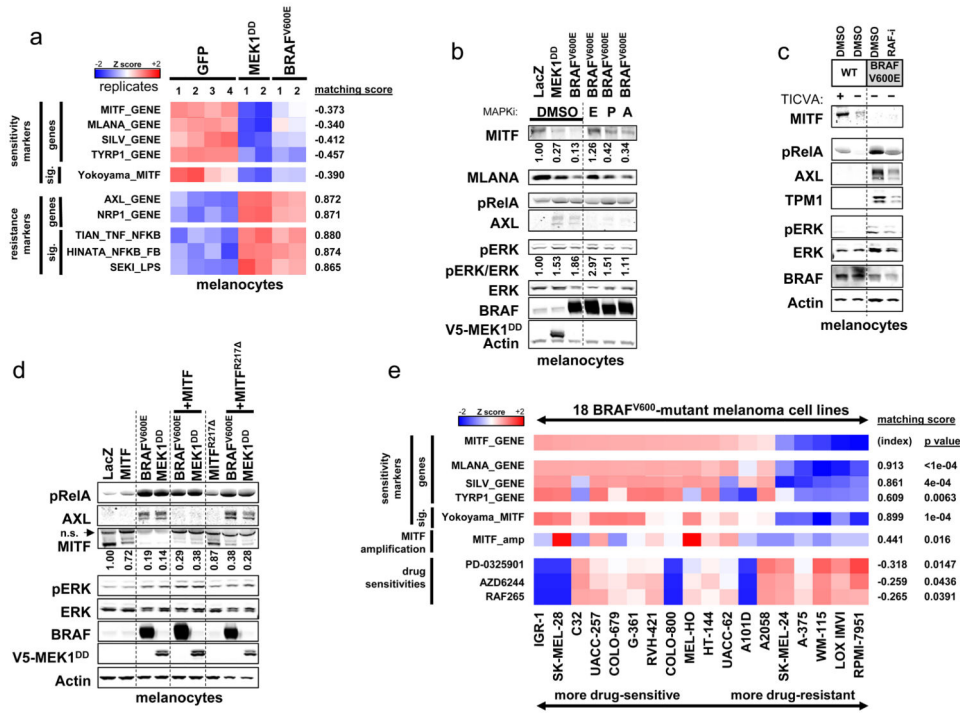
**(c)** Comparison of progression-free survival between MITF-positive/AXL-negative and MITF-negative/AXL-positive classes. asterisk,  $p=0.0313$ , two-tailed t test.





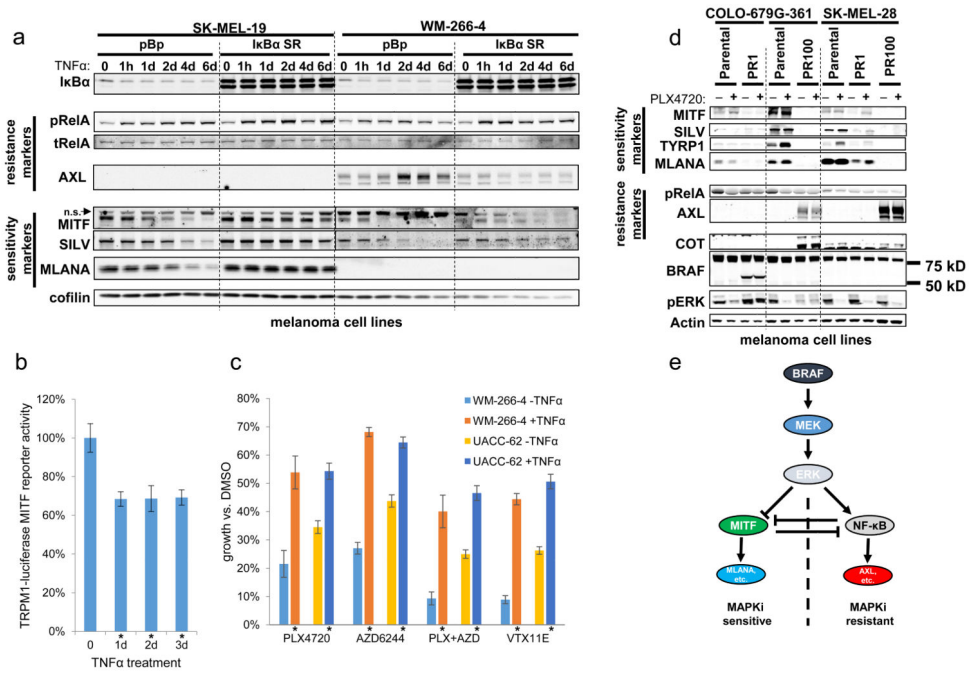
**Figure 3. AXL is not necessary for maintenance of intrinsic resistance**

(a) Effects of AXL overexpression on survival of drug-sensitive BRAF<sup>V600</sup>-mutant melanoma cell lines following 4d treatment with PLX4720 (RAFi, 2  $\mu$ M), AZD6244 (MEKi, 200 nM), PLX4720 + AZD6244, or VTX11E (ERKi, 2  $\mu$ M). MEK1 is a negative control; RAF1 is a positive control for RAFi resistance. Data are mean  $\pm$  standard deviation. Asterisks beneath graph indicate  $p < 0.01$  (two-tailed t test) relative to the same cell line, expressing MEK1, and treated with the same drug. (b) Effects of AXL overexpression on phosphorylation of Akt and maintenance of ERK phosphorylation following overnight treatment with MAPK pathway inhibitors. D, DMSO; P, 2  $\mu$ M PLX4720; A, 200 nM AZD6244; P+A, PLX4720 + AZD6244; E, VTX11, 2  $\mu$ M. MEK1 is a negative control; RAF1 is a positive control for pERK reactivation following RAFi treatment. (c) Effects of AXL inhibitors on induction of pAkt and rescue of pERK following AXL overexpression. R428, 500 nM; XL184, 3  $\mu$ M; XL880, 100 nM; in the presence or absence of 2  $\mu$ M PLX4720. shAXL is a positive control. (d) Effects of AXL inhibitors on pAkt and pERK levels in intrinsically resistant cell lines in the presence or absence of PLX4720. (e) Effects of AXL inhibitors on intrinsic resistance to PLX4720.



**Figure 4. Establishment of transcriptional class distinction in melanocytes**

(a) Effects of aberrant MAPK pathway activation on melanocyte whole-genome expression profiles. (b) Effects of aberrant MAPK pathway activation on markers of the MITF-high and NF- $\kappa$ B-high classes; E, VTX11E (ERKi); P, PLX4720 (RAFi); A, AZD6244 (MEKi), all overnight at 2  $\mu$ M. (c) Effects of chronic BRAF<sup>V600E</sup> expression of markers of the MITF-high and NF- $\kappa$ B-high classes. Experiments were performed in TICVA medium (+) or Ham's F10 (-) as indicated. (d) Effect of MITF overexpression on MAPK pathway-induced expression changes. n.s.: non-specific band (e) Relationship between MITF expression levels and MITF amplification in melanoma cell lines.



**Figure 5. Plasticity of transcriptional class distinction in melanoma cell lines**

(a) Effect of TNFα (25 ng/mL), with or without concomitant IκBα super-repressor expression, on RelA phosphorylation and expression of resistance markers, MITF, and MITF target genes. n.s.: non-specific band. (b) Effect of TNFα (25 ng/mL) on MITF transcriptional activity, as measured in an SK-MEL-5 melanoma cell line stably expressing a TRPM1-luciferase MITF reporter construct. Asterisks beneath graph indicate p<0.001 (two-tailed t test) relative to no TNFα treatment. (c) Effect of TNFα (30 ng/mL) on sensitivity to the indicated MAPK pathway inhibitors. PLX4720, 2 μM; AZD6244, 200 nM; VTX11E, 2 μM. Asterisks beneath graph indicate p<0.001 (two-tailed t test) relative to the same cell line and same MAPK inhibitor without TNFα. (d) Comparison of expression of MITF, AXL, and associated marker genes in parental (sensitive) and cultured-to-resistant melanoma cell lines, with or without 24 hr treatment with PLX4720 (2 μM). PR1 and PR100 denote independent derivations of a resistant subclone. (e) Model of transcriptional state distinction in melanoma.