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# Encapsulation of Adenovirus Serotype 5 in Anionic Lecithin Liposomes using a Bead-Based Immunoprecipitation Technique Enhances Transfection Efficiency

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#### **Abstract**

Oncolytic viruses (OVs) constitute a promising class of cancer therapeutics which exploit validated genetic pathways known to be deregulated in many cancers. To overcome an immune response and to enhance its potential use to treat primary and metastatic tumors, a method for liposomal encapsulation of adenovirus has been developed. The encapsulation of adenovirus in non-toxic anionic lecithin-cholesterol-PEG liposomes ranging from 140–180nm in diameter have been prepared by self-assembly around the viral capsid. The encapsulated viruses retain their ability to infect cancer cells. Furthermore, an immunoprecipitation (IP) technique has shown to be a fast and effective method to extract non-encapsulated viruses and homogenize the liposomes remaining in solution. 78% of adenovirus plaque forming units were encapsulated and retained infectivity after IP processing. Additionally, encapsulated viruses have shown enhanced transfection efficiency up to 4× higher compared to non-encapsulated Ads. Extracting non-encapsulated viruses from solution may prevent an adverse *in vivo* immune response and may enhance treatment for multiple administrations.

# Keywords

Adenovirus; Drug Delivery; Gene Therapy; Liposome; Nanoparticle; Phospholipid

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# Introduction

Oncolytic replication-selective viruses (OVs) can be directed at several mechanisms of action and exploit genetic pathways known to be deregulated in many cancers [1]. Viral cancer gene therapy holds great promise due to the approach which takes advantage of the virus' ability to specifically replicate within cancer cells to levels that are many logs higher than the input dose, lyse the infected cell and subsequently spread to adjacent cells [2–4]. Attributable to their therapeutic potential, OVs have been rapidly translated into human clinical trials in patients with advanced cancer [5–9] where their safety has been demonstrated. A number of oncolytic viruses have shown clinical therapeutic activity such as GM-CSF-expressing vaccinia (JX-594; Jennerex Inc.), HSV (Oncovex; Biovex Inc.), and ONYX-015 (Adenovirus, Shanghai Sunway Biotech Co., Ltd) [7, 8, 10]. Replicationselective adenoviruses (Ads) possess a number of advantages [4, 11, 12]. Human Ads are well characterized and are associated with relatively mild diseases, their genomes can be easily manipulated, and they can be produced at high titers [4, 13, 14]. Following positive initial pre-clinical studies of ONYX-015 which has a deletion of the viral E1b-55k gene, clinical trials were conducted in head and neck, gastrointestinal, ovarian, brain, pancreatic and breast cancer as well as oral dysplasia using local injections [8–10, 15–27]. Following ONYX-015 administration, studies demonstrated that the therapy was well-tolerated by intratumoral, intraperitoneal, intravenous, and intraarterial administration, however the therapy lacked adequate potency to be applicable as a single therapeutic agent. Hedjran et al. demonstrated that TAV-255, an E1A enhancer deletion vector, possessed enhanced potent oncolytic activity and tumor selectivity [28]. In addition, OVs can be further enhanced by inserting therapeutic genes such as GM-CSF to improve efficacy [29, 30]. GM-CSF expressing vectors are effective in generating systemic immunity against a number of poorly immunogenic tumors causing long-lasting antitumor immunity [31]. Despite these advances, the utility of OVs for treatment of metastatic disease is limited by restricted distribution of the virus to the tumors cells due to 1) rapid clearance by the reticuloendothelial (RE) system in the liver and 2) neutralization by antibodies.

OVs are promising agents to combine with nanoparticle delivery approaches because of their ability to escape immune recognition. In systemic delivery, targeting with nanoparticles may focus the viral load to the primary tumor cells as well as metastatic tumors to ensure a productive initial infection. Conventionally, encapsulation of negatively charged Ads in positively charged, cationic liposomes or particles have been used to overcome rapid clearance from the circulation to evade the immune barrier [32]. Despite the promising in vitro results, cationic liposomal encapsulation in vivo have been hindered by toxicities, low tissue specificity, and poor serum stability due to incompatibility with the abundance of negatively charged macromolecules present in the physiological environment [33]. To overcome these shortcomings, anionic liposomes may be used for encapsulation of Ad. Zhong et al. reported a calcium-induced phase change method to encapsulate adenovirus5 (Ad5) into anionic liposomes and showed that anionic encapsulation in liposomes enhanced the transfection efficiency of Ad5, significantly improved gene expression in murine airway tissues when delivered *in vivo* by intratracheal instillation, and provided protection from neutralizing antibodies [34, 35]. Gene expression of apical cells infected with Ads

encapsulated in anionic liposomes was 6-fold higher compared to naked virus [34]. Zhong et al. used a negatively charged cholesterol derivant, cholesteryl-hemisuccinate (CHEMS), egg phosphatidylcholine (PC) and cholesterol for liposomal encapsulation. In addition, the research group has shown that folate targeting may be used as a targeting ligand for airway epithelial cells [36].

With the aim to enhance the delivery of viral vectors, a non-toxic material, refined lecithin, a mixture of phosphatidylcholine, phosphatidylethanolamine, inositol phosphatides, and other phospholipids as well as cholesterol and polyethylene glycol-2000 (PEG2000) were employed to encapsulate Ad5. Additionally, an immunoprecipitation technique was implemented to extract non-encapsulated adenovirus in solution to assure that all viruses are protected from immune recognition. The encapsulation efficacy, transfection efficiency and effectiveness to protect against neutralizing antibodies in serum were evaluated for the anionic liposome-adenovirus complex.

#### Materials and methods

#### Cell culture

HEK293A human embryonic kidney cells and A549 human lung carcinoma cells were obtained from American Type Culture Collection (ATCC, Manassas, VA). Cells were cultured with Dulbecco's-modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and 1% Penicillin Streptomycin Glutamine (PSG).

# Synthesis of Lecithin liposomes using lipid film hydration method

Refined Lecithin (Alfa Aesar, Ward Hill, VA) or DOTAP (Avanti polar lipids, Alabaster, Al), Cholesterol (Sigma-Aldrich, St. Louis, MO), and DSPE-PEG(2000)carboxylic acid (Avanti polar lipids, Alabaster, Al) suspended in chloroform at 10mg/ml were mixed in 7ml amber vials at a 2:1:0.1mM ratio, respectively. The mixtures were placed under vacuum overnight resulting in dry lipid films. The films were hydrated drop wise while vortexing with 400µl of adenovirus-CMV-GFP (Baylor College of Medicine, Houston, TX) suspended in phosphate buffered saline (1× PBS) at 5×10<sup>10</sup> viral particles/ml (vp/ml). For empty liposomes, lipid films were hydrated dropwise with 400µl of 1× PBS. A small magnetic stirring rod was added, and the hydrated films were stirred for 30 min at 4°C. The samples were subsequently transferred to eppendorf tubes and placed in an ultrasonic water bath (Fisher Scientific, Model FS11011) for 10min at 4°C. The suspension was stored at 4°C until ready for use resulting in lecithin:cholesterol:PEG-adenovirus liposomes (Lec\_Ad), empty lecithin:cholesterol:PEG liposomes (empty\_Lec), and DOTAP:cholesterol:PEG0-adenovirus liposomes (DOTAP Ad).

#### Immunoprecipitation of non-encapsulated adenovirus

12.5 $\mu$ l of 1 $\mu$ g/ml anti-hexon IgG (Thermo Scientific, Rockford, IL) was added to 20  $\mu$ l of Lec\_Ad, DOTAP\_Ad, or empty\_Lec and vortexed at 4°C for 1 h. 25 $\mu$ l of 2 $\mu$ m nonporous superparamagnetic Protein G beads (New England BioLabs) were washed with 1ml of 0.1M sodium biphosphate, resuspended in 80  $\mu$ l and added to the sample containing anti-hexon IgG mixed with Lec\_Ad, DOTAP\_Ad, or empty\_Lec. The mixture was vortexed for 1 h at

4°C. A magnet was used to pellet the magnetic beads, and the supernatant was transferred to a clean, sterile tube. From herein, lecithin:Chol:DSPE-PEG2000 or DOTAP:Chol:DSPE-PEG2000 liposomes following immunoprecipitation processing using magnetic beads will be defined as Lec\_Ad +IP, DOTAP\_Ad +IP, and empty\_Lec +IP. Samples were used for liposome-cell transfection experiments on the same day of preparation.

#### Viral titer determination

To quantify the virus titer, a plaque forming assay was performed as described by Clontech Adeno-X Expression System (Mountain View, CA). Briefly, HEK293A cells were plated overnight at  $1 \times 10^6$  cells/well on 6-well tissue culture plates pre-coated with Collagen (Biocoat, Falcon). 1/10 serial dilutions of Ad stock or Lec\_Ad +IP were prepared and 10µl aliquots were added to cells. The cells were infected for 6 hours, overlaid with agar, and monitored for plaque formation. At day 11, plaques were stained with 0.1% v/v MTT (Sigma) for 3 hrs at 37°C and plaq ue forming units per ml (pfu/ml) was determined. The number of isolated plaques were counted, and the following formula was used to determine the titer (pfu/ml) of the viral stock and the titer of encapsulated adenovirus after

immunoprecipitation (Lec\_Ad + IP).  $\frac{pfu}{ml} = plaques \div (DF \times V) \text{, where DF= dilution factor,}$  and V= volume of diluted virus added to the well. At least two different wells with different serial dilutions were counted to ensure consistency. The viral particles per ml (vp/ml) was provided by the manufacturer (Baylor College of Medicine, Houston, TX). The vp/ml of Ad stock and Lec\_Ad +IP was  $5\times10^{12}$  and  $8.8\times10^9$  vp/ml, respectively.

#### Characterization of liposomes

Liposomes were characterized by dynamic light scattering using Malvern Zetasizer nano series (Model Zen3600, Malvern Instruments, Inc) to measure the intensity weighted mean hydrodynamic diameter, zeta potential ( $\xi$ ), and polydispersity.

#### Serum-Induced particle aggregation

Human serum (Innovative Research, Novi, MI) was incubated in 96-well plates at 37 °C and 5%  $CO_2$  for 1 hr before use for decomplementation. Lec\_Ad or DOTAP\_Ad liposomes were incubated in whole serum at a 1:1 v/v ratio. The final concentration of Lec\_Ad and DOTAP\_Ad was 1.4mg lipid/ml and 1.3mg lipid/ml, respectively. All samples contained a final viral particle concentration of  $5 \times 10^{10}$  vp/ml. Samples were incubated in 50% human serum and aggregation was monitored for 24hrs by measurement of absorbance at 560 nm at 37 °C using a Tecan Infinite M200 microplate reader.

# **Transfection efficiency of Adenovirus**

A549 cells were plated overnight at  $1\times10^5$  cells/chamber (1.7 cm²/chamber) (BD Falcon, Bedford, MA) for fluorescence microscopy experiments or at  $1\times10^4$  cells/well in a 96-well plate (Greiner bio-one, Germany) for fluorescence spectroscopy experiments; cultures were incubated at 37°C and 5% CO<sub>2</sub> in DMEM media supplemented with 10% FBS, 1% PSG. Ad, Lec-Ad, DOTAP-Ad, and Lec-empty samples before and after immunoprecipitation (–IP, +IP, respectively) were added to cells at a multiplicity of infection (MOI) ranging from 0.43

to 43 and incubated for 48 hours at 37°C and 5% CO<sub>2</sub>. For fluorescence microscopy analysis, cells were washed two times in  $1\times$  PBS and fixed with 2% paraformaldehyde. The slides were sealed with ProLong Gold Antifade reagent (Invitrogen) and imaged using a Zeiss Axio Examiner.Z1 microscope (AlexaFluor488 filter). For fluorescence spectroscopy analysis, cells were re-suspended in 100µl of  $1\times$  PBS and fluorescence intensities were measured using a Tecan Infinite M200 microplate reader at an excitation  $\lambda$  of 480 nm and an emission  $\lambda$  of 520 nm.

#### **Neutralization Assay of Encapsulated Ad5**

Blood was collected from 129/sv mice containing high neutralizing antibodies due to repeated i.t injection of Ad5. Blood was collected in EDTA vacutainers, centrifuged at 25,000rpm for 15 min and plasma was collected. Plasma was stored at  $-80^{\circ}$ C until ready for use. A549 cells were plated at 10,000 cells/well in a 96-well plate overnight. Ad5, Lec\_Ad5, or Lec\_Ad5 after immunoprecipitation of non-encapsulated viruses (Lec\_Ad5 +IP) were incubated with anti-adenovirus whole antiserum for 1 hour at 37°C diluted to  $1/16\times$  followed by ½ serial dilutions. Plasma was first decomplemented for 30min at 56°C.  $10\mu$ l of plasma was added to cells at corresponding concentrations up to 1/256 followed by addition of Ad, Lec\_Ad, or Lec\_Ad +IP. Samples were incubated with cells for 24 hours at 37°C and 5% CO<sub>2</sub>. Cells were re-suspended in  $100\mu$ l of  $1\times$  PBS and fluorescence intensities were measured using a Tecan Infinite M200 microplate reader at an excitation  $\lambda$  of 480 nm and an emission  $\lambda$  of 520 nm.

#### **Folate Targeting**

Lecithin:Cholesterol:DSPE-PEG2000/folate liposomes encapsulating Ad5 were prepared as mentioned above. The total moles of DSPE-PEG2000 and DSPE-PEG2000-folate was kept constant (0.1mM); however, the ratio between the two was varied. These ratios consisted of DSPE-PEG2000 to DSPE-PEG2000-folate at 0:0.1mM, 0.01:0.09mM, 0.03:0.07mM, 0.05:0.05mM, 0.07:0.03mM, and 0.1:0mM, respectively.

#### Results

#### Viral determination after Immunoprecipitation

A plaque forming assay was employed to determine the viral titer before and after encapsulation. The number of plaques was counted at two different dilutions for Ad stock and Lec\_Ad +IP, as shown in Table 1. The stock adenovirus titer was an average of  $5.3 \times 10^9$  pfu/ml, and the viral titer diluted to the same concentration of samples after IP processing was an average of  $9.4 \times 10^6$  pfu/ml. Since encapsulated virus is diluted during IP processing (Lec\_Ad+IP), the titer of naked Ad is calculated as pfu/ml at +IP concentration. (The dilution factor for IP processing is 1/562.6). The viral titer for Lec\_Ad +IP samples was determined to be an average of  $7.3 \times 10^6$  pfu/ml. Therefore, 78% of adenovirus plaque forming units were encapsulated and retained infectivity after IP processing. The data is consistent with a high encapsulation of Ad after hydration and IP processing.

#### Characterization

Adenovirus encapsulated in anionic lecithin and cationic DOTAP liposomes were characterized using dynamic light scattering and electrophoretic light scattering as shown in Table 2. The hydrodynamic diameter of naked Ad5 was 123nm with a negative charge of -21mV. After encapsulation in lecithin or DOTAP liposomes, the charge was -59mV and +44mV, respectively. Before immunoprecipitation (IP), lecithin-adenovirus complexes (Lecithin-Ad) were 180nm in size with a high polydispersity index (PDI = 0.7). After IP, the size was reduced to 143nm and the liposomes became more monodispersed (PDI = 0.3). An accurate charge measurement for Lec\_Ad +IP, empty Lec\_Ad +IP, and DOTAP\_Ad +IP samples was not obtained due to the sample being too dilute for an accurate zeta potential measurement. The IP step was incorporated in order to extract non-encapsulated viruses from solution. In addition to an extraction method, the IP technique also served as a homogenization step. For proof of concept, the size of empty lecithin liposomes was measured before and after IP. The size of empty liposomes was reduced from 738nm to 138nm, and the polydispersity was reduced from 0.6 to 0.4. The hydrodynamic diameter and PDI measurements for Ad +IP is not shown due to poor dynamic light scattering measurements that result in a low correlation coefficient. These results are consistent with Ad being effectively extracted from solution and the count being too low for an accurate measurement.

### Serum-induced particle aggregation

Serum stability of anionic Lec\_Ad liposome complexes and cationic DOTAP\_Ad liposome complexes was assessed in healthy human serum at 1:1 v/v as shown in Figure 1. The final viral particle concentration was  $2.5 \times 10^{10} \text{vp/ml}$  for all samples. The final lipid concentration for Lec\_Ad and DOTAP\_Ad liposome formulations were 1.4mg lipid/ml and 1.3mg lipid/ml, respectively. There are abundant negatively charged serum components present in serum which caused cationic DOTAP\_Ad complexes to aggregate over time; conversely, Ad5 encapsulated in anionic lecithin liposomes did not aggregate.

#### **Transfection Efficiency**

A549 cells strongly express the Coxsackie virus and Adenovirus Receptor (CAR) which enables entry of Ad5 into the cells, and these cells are high permissible to infection. A549 cells were transfected with Ad5, Lec\_Ad5, or DOTAP\_Ad5 before and after immunoprecipitation (IP) at MOI 2.2, 4.3, 10.75, 21.5 and 43pfu/ml as shown in Fig 2. After IP of naked adenovirus (Ad +IP, red circles), viral protein expression (eGFP) was reduced to zero which showed that the IP method is effective in extracting non-encapsulated viruses. At a wide range of tested MOIs, the transfection efficiency of Ad5 was enhanced when encapsulated in anionic lecithin-Chol-PEG-Ad liposomes (Lec\_Ad +IP, purple triangles) compared to naked Ad, DOTAP\_Ad, and Lec\_Ad before IP. Lec\_Ad +IP was the most effective at transfecting cells most likely due to reduction of size and homogenization after immunoprecipitation. In addition, anionic liposomes may enter through an alternative endocytotic pathway which may be more effective and more abundant than the CAR receptor. Before IP, Ad5 encapsulated in DOTAP was effective (DOTAP\_Ad, orange diamonds); however, after IP (DOTAP\_Ad +IP, black circles), viral protein expression was

reduced to background signal only. This suggests that Ad encapsulated in DOTAP is not fully encapsulated or that the IP step is disrupting the cationic liposome layers due to some charge interactions but not affecting anionic liposomes.

The retention of transfection efficiency and size stability of naked Ad5 and Ad5 encapsulated in lecithin liposomes was evaluated at day 1 and 5 as shown in Figure 3. When Ad5 is stored in PBS at 4°C for 5 days, the vi rus loses its complete ability to transfect. However, when Ad5 is encapsulated in lecithin liposomes and stored at 4°C, substantial transfection efficiency is retained. In addition, the size distribution of the liposomes before IP remains the same over several days and the size of liposomes after IP is stabilized after several days however the polydispersity is slightly increased from PDI= 0.3 to 0.4.

#### **Neutralization Assay**

Mice were inoculated with adenovirus every three days for three weeks and terminally bled. Plasma which contained a high neutralizing antibody (nAb) titer was collected, decomplemented, and diluted to 1:16 followed by ½ dilutions up to 1:512. Plasma concentrations higher than 1:16 showed high toxicity resulting in high cell death therefore plasma samples were diluted to at least 1:16 (data not shown). Plasma was added to cells followed by addition of Ad, Lecithin Ad, or Lecithin Ad +IP. As shown in Figure 4, naked Ad was neutralized at all plasma concentrations. Lecithin Ad before immunoprecipitation was neutralized even at more dilute concentrations (1:512). This suggests that not all viral particles were fully coated before IP. With non-encapsulated Ad, the neutralizing plasma had a nearly complete inhibitory effect even at a dilution of 1:512. However, incubation of the neutralizing plasma with Ad encapsulated in lecithin after IP (Lecithin Ad +IP) at this concentration had a more limited effect on the transfection efficiency where >95% inhibition was achieved for Ad incubated with 1:512 plasma whereas only 33% of viral expression was lost for Lecithin\_Ad +IP at the same concentration. This suggests that Lecithin\_Ad +IP is protected from neutralizing antibodies however, protection from neutralization of Lecithin-Ad +IP is directly relative to the concentration of circulating antibodies in plasma.

#### **Folate Targeting**

DSPE-PEG-folate was incorporated into lecithin-Ad5 liposomes during the dry lipid film step at a concentration of 0.03mM DSPE-PEG-folate and 0.07mM DSPE-PEG. The folate receptor is known to be overexpressed in many cancer cells. Specifically, 74% of adenocarcinomas (NSCLC subtype) exhibit positive folate receptor α expression which makes folate an attractive targeting ligand for lung cancer. A549 cells were transfected with Ad, lecithin\_Ad, and lecithin-folate-Ad before and after IP. At all folate concentrations of 0.01 - 0.1mM before IP, all liposomes displayed large size and large size distributions (PDI =0.5–0.9). After IP, all lecithin-folate-Ad complexes were reduced in size and PDI. Some aggregation was observed at folate concentration higher than 0.03mM (PDI >0.3); therefore, for cell experiments, a molar concentration of 0.03mM DSPE-PEG-folate was employed. Incorporation of folate led to an increase in transfection efficacy after immunoprecipitation, as shown in Figure 5. This effect may be due to the synergy of reduced size of the liposome following IP processing and targeting.

# **Discussion**

Various adenoviral vectors are promising agents with considerable potential as gene therapeutics for a broad range of diseases. Cancer-specific immune stimulation by cytokine-expressing oncolytic Ads hold great promise. Several cytokines such as granulocyte-macrophage colony-stimulating factor (GM-CSF), IL-2, IL-12, IL-18, and IL-24 have shown therapeutic potency and antitumoral effects [37, 38]. Systemic administration of immunostimulatory cytokines have shown systemic toxicity which is dose and schedule dependent [39]. Cytokine-expressing oncolytic Ads provide a sustained cytokine release which can elicit an antitumor immune response which may lead to reduced toxicity [37]. The underlying principle of this approach is that OV replication of Ads in tumor tissue will induce tumor cell death and release tumor antigens. The released antigens would then lead to tumor-specific T cells activation and generate a persistent and systemic antitumor response [29].

For the treatment of metastatic cancer, systemic delivery of the virus is required to reach metastatic sites. Despite promising pre-clinical results of Ads as selective cancer therapeutics, Ads have shown limited efficacy in the clinic. Intravenous administration has shown to result in sequestration in the liver due to macrophage uptake and pre-existing neutralizing antibodies [4, 40]. This is due to recognition of surface viral proteins, primarily directed against the hexon protein [41, 42]. Viral protein modifications have shown limited success due to a reduced titer yield [43], impairment of intracellular vector particle trafficking, loss of infectivity, and requirement of retargeting after modification [44–46]. Coating the virus with phospholipids or polymer has shown the ability to evade the immune system and increase the delivery to the tumor locale [47, 48].

Cationic liposomes and cationic polymers exhibit high transfection efficiencies however are substantially toxic following administration [49–51]. A new approach described in this manuscript consists of encapsulation of adenovirus in a non-toxic anionic lecithincholesterol-PEG/folate liposome. PEGylation has shown to increase the circulation time in vivo, hence having the potential to extend the interaction time between the encapsulated virus and the tumor [52, 53]. Lecithin is a combination of naturally-occurring phospholipids which mainly consists of phosphatidylcholine (PC), phosphatidylethanolamine (PE) and phosphatidylinositol (PI). The proposed liposome-Ad5 structure which is energetically favorable is that zwitterionic and cationic phospholipids interact with the negatively charged adenovirus capsid thereby confining them to the inner leaflet of the liposome as shown in Figure 6. Conversely, the negatively charged phospholipids such as inositol phosphatides localize on the outer leaflet of the liposome due to electrostatic interactions. Cholesterol is energetically favorable in the interstitial sites of the leaflet and liposomal stability and cargo retention has shown to be dependent on their cholesterol content [54]. PEG2000 chains localize on the surface of the liposome complex and PEGylation is known to increase the circulation half-life during systemic delivery [55]. This study has shown that the lecithin liposome-Ad5 complexes result in enhancement of transfection efficiency, are protected from anti-hexon antibodies during IP processing, and are protected from neutralizing antibodies in human serum in a concentration-dependent manner when compared to naked Ad5.

In addition, an immunoprecipitation technique was implemented to extract non-encapsulated viruses from solution in order to ensure evasion from the immune system during the initial injection. After encapsulation in lecithin:Chol:DSPE-PEG or DOTAP:Chol:DSPE-PEG liposomes, complexes were incubated with anti-hexon IgG followed by incubation with 2µm magnetic Protein G beads to extract non-encapsulated viruses using a magnet as shown in Figure 7. Complete encapsulation reduces the risk of an adverse immune response when administered at a higher dose and reduces clearance from the bloodstream due to neutralizing antibodies. These studies have shown that the method described results in particle size reduction, full coating of the virus, effective incorporation of targeting, and evasion of neutralizing antibodies when encapsulated in anionic liposomes in a serum concentration-dependent manner; it is noted that evasion of neutralizing antibodies in-vivo may require additional optimization. Partial neutralization of Lecithin-Ad5 +IP was observed at high plasma concentrations which may be due to leakage of Ad5 from liposomes in the presence of plasma components at high concentrations or exposed viral capsids which leads to recognition by neutralizing antibodies in plasma. A higher cholesterol and PEG content may be evaluated to improve liposomal stability.

This study has shown effective transfection efficiency even without targeting. Folate targeting further enhanced uptake in folate-expressing cells. Bearing in mind that the fiber and penton viral proteins and masked after encapsulation, it is speculated that lecithin-Ad liposomes are entering via an alternative pathway which do not utilize CAR. A better comprehension of the cellular uptake of the adenovirus lipoplexes may allow for the development of an improved viral gene delivery platform. Further investigations of circulation time and tumor accumulation after intratumoral and intravenous administration is needed to assess the efficacy of the platform in vivo.

#### Conclusion

In summary, encapsulation of viral vectors has the potential to enhance viral gene therapy by masking viruses from clearance and by extending the circulation time. Cationic liposomal encapsulation have had limited success in vivo due to high toxicities, low tissue specificity, and poor serum stability due to incompatibility with the abundance of negatively charged macromolecules present in the physiological environment. In this study, the encapsulation of adenovirus in 140–180nm anionic liposomes has been prepared by self-assembly of lecithin, cholesterol, and DSPE-PEG2000 around the viral capsid. Lecithin consists of a variety of phospholipids including phosphatidylcholine, phosphatidylethanolamine, and phosphatidylinositol with a net negative charge. The encapsulated viruses in lecithin liposomes have shown to retain and enhance their transfection efficiency in cancer cells. Furthermore, an immunoprecipitation (IP) technique has been implemented as a fast and effective method to extract non-encapsulated viruses and to homogenize the liposomes remaining in solution. In this study, a stable, non-toxic encapsulation method has been designed to enhance the delivery of adenovirus to cancer cells.

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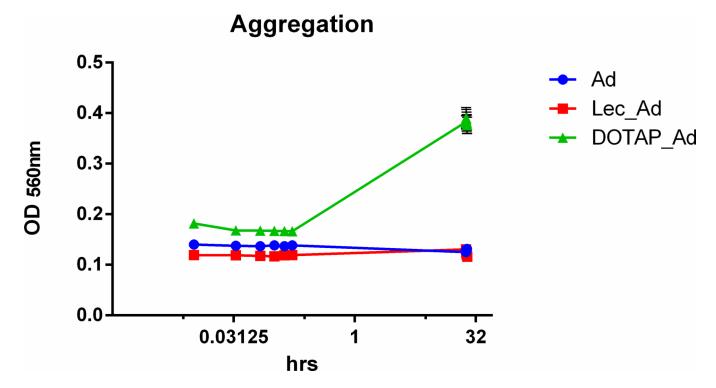
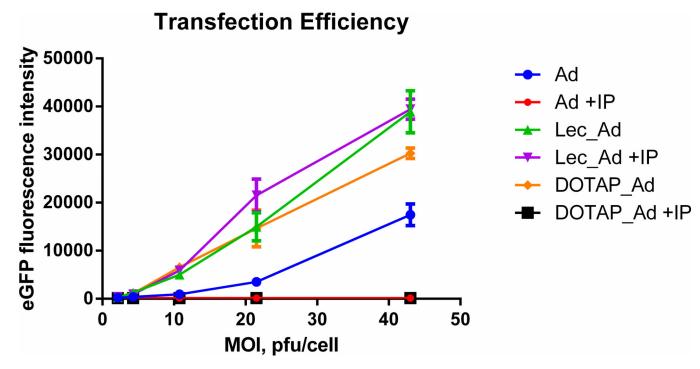


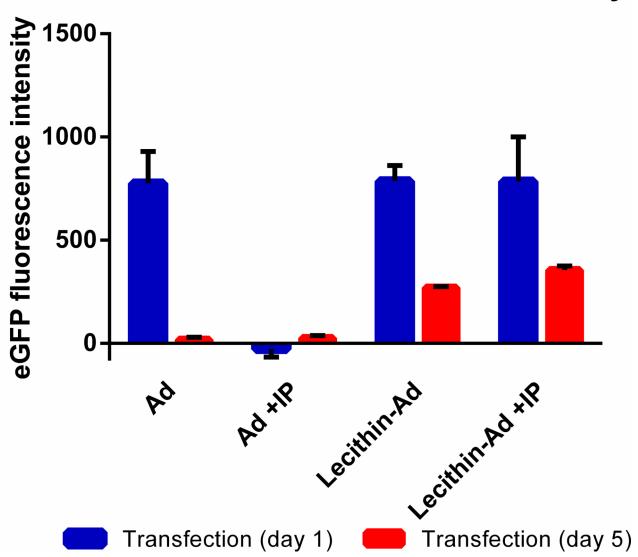
Figure 1. Serum stability of anionic and cationic liposomes Aggregation of Ad, Lec\_Ad, and DOTAP\_Ad was monitored over 24 hrs in 50% human serum by measurement of absorbance at 560 nm at 37 °C. All samples had a final viral particle concentration of  $2.5\times10^{10}$  vp/ml, where Lec\_Ad and DOTAP\_Ad complexes had a



**Figure 2. Transfection efficiency at a wide range of MOIs**A549 cells were transfected with Ad, lecithin-Ad (Lec\_Ad), and DOTAP\_Ad, before and after immunoprecipitation (IP) at MOIs 2.2, 4.3, 10.75, 21.5, and 43 pfu/cell. Lec\_Ad +IP (purple) had the highest transfection efficiency at a wide-range of MOIs in comparison to naked Ad, DOTAP\_Ad, and Lec\_Ad before IP most likely due to reduction of size and homogenization after immunoprecipitation. DOTAP\_Ad +IP (black) showed no signal suggesting that Ad was not fully encapsulated or IP is disrupting liposome layers due to charge interactions. Ad+IP (red) and DOTAP\_Ad +IP showed no signal and data points are overlaid.

a)

# **Retention of Transfection Efficiency**



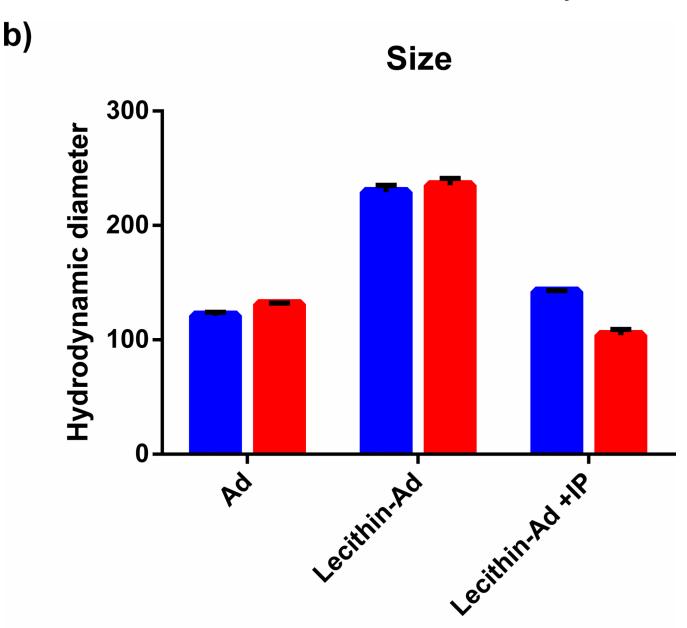


Figure 3. Infective Retention of encapsulated Ad5

A549 cells were transfected with Ad or lecithin-Ad before and after immunoprecipitation (IP) at MOI 4.3. Samples were stored at  $4^{\circ}$ C and added to cells at day 1 or 5. Ad loses its ability to transfect cells after 5 days whereas Ad encapsulated in lecithin retains some infectivity. The sizes of Ad, lecithin-Ad, and lecithin-Ad + IP are shown at day 1 and 5 (black circle, red triangle, respectively). There is no significant change in the size of encapsulated Ad after 5 days.

Size (day 5)

Size (day 1)

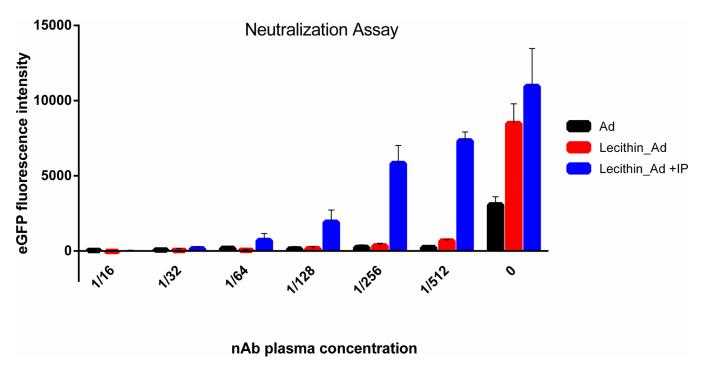
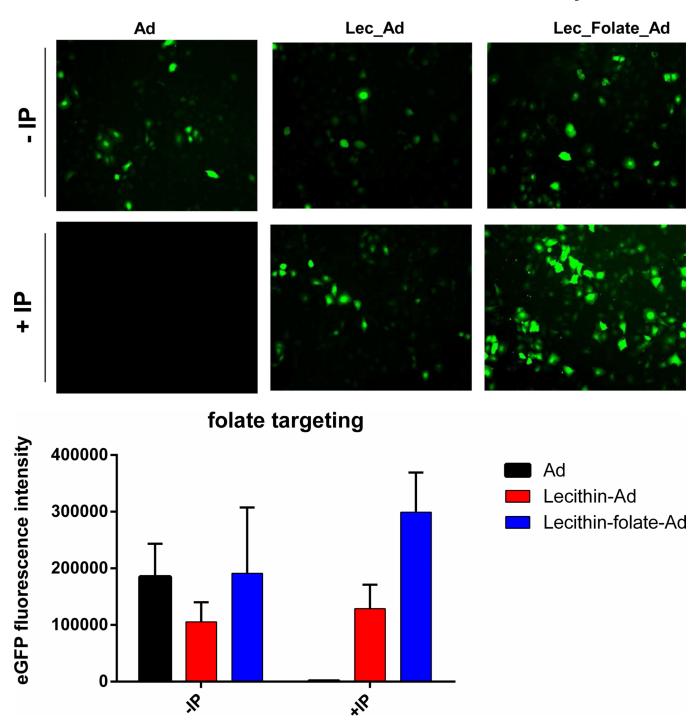


Figure 4. Neutralization Assay

Ad (black), Lecithin\_Ad (red), or Lecithin\_Ad +IP (blue) were incubated with mouse plasma containing a high titer of neutralization antibodies and added to A549 cells at MOI 4.3. Mice were inoculated with Ad5 weekly three weeks prior to plasma collection and plasma was diluted to 1/16, 1/32, 1/64, 1/256, 1/512, and 0. GFP fluorescence intensity was measured at 48hrs post-infection. Lecithin\_Ad +IP shows protection from nAb neutralization especially at more dilute concentrations.



**Figure 5. Folate Targeting**A549 cells were transfected with Ad, lecithin-Ad, or lecithin-folate-Ad before and after IP at an MOI of 4.3. A) Fluorescent images of cells 48 hrs post infection (p.i). B) Average mean green fluorescence of three images 48 hrs p.i. Lecithin-folate-Ad +IP showed an increase in eGFP expression. Statistical analysis 2-way ANOVA, p-value = 0.0059

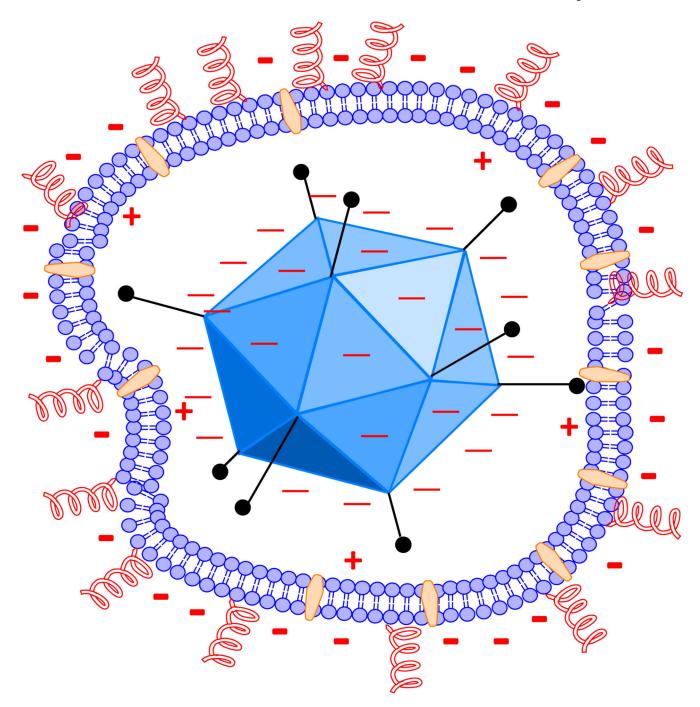


Figure 6. Electrostatic interactions of phospholipids with  $Ad5\,$ 

Lecithin is composed of zwitterionic and anionic phospholipids. A proposed structure is shown. Zwitterionic phospholipids are shown interacting with the negatively charged adenovirus. The assembly of negatively charged phospholipids such as inositol phosphatides is shown on the outer leaflet of the liposome which is energetically more favorable due to electrostatic interactions. Cationic and zwitterionic phospholipids are shown on the inner leaflet, interacting with adenovirus. Cholesterol is shown in between the lipid bilayer and PEG2000 chains are shown on the surface of the liposome complex illustrated in red.

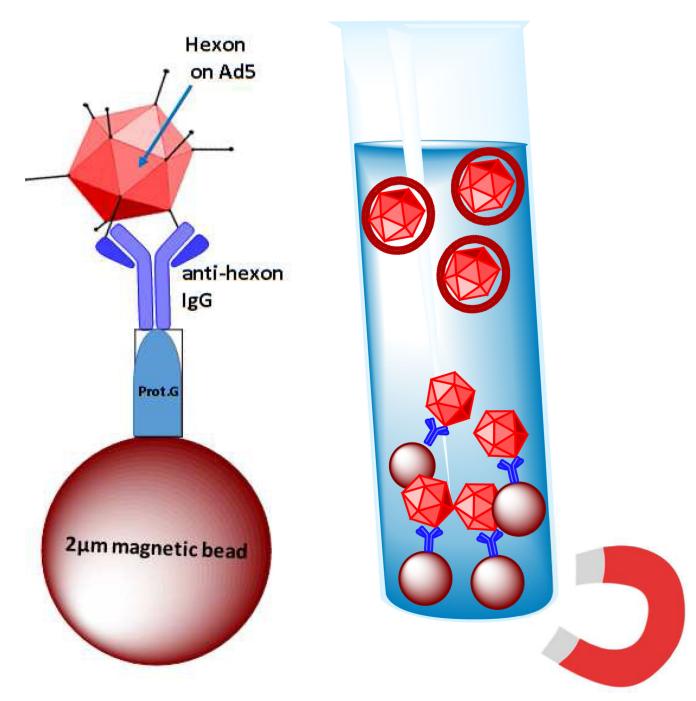


Figure 7. Immunoprecipitaiton (IP) of non-encapsulated adenoviruses

IP technique extracts non-encapsulated viral particles from solution. After liposomal encapsulation, the adenovirus-liposome complex was incubated with anti-hexon IgG. Non-encapsulated viruses bound to anti-hexon IgG were extracted using Protein G magnetic beads. The technique also reduces size of complexes and homogenizes the sample due to incubation with  $2\mu m$  magnetic beads.

the well. At least two different wells with different serial dilutions were counted to ensure consistency. Percentage of infective virus after IP is Lec+IP/Ad encapsulated adenovirus after immunoprecipitation (IP). pfu/ml= plaques÷(DF×V), where DF= dilution factor, and V= volume of diluted virus added to The number of isolated plaques were counted, and the following formula was used to determine the titer (pfu/ml) of the viral stock and the titer of stock at 1/562.6 concentration. % of retained infectivity of Ad stock +IP is not calculated since pfu was not quantified for Ad+IP.

Mendez et al.

Sample	dilution factor (DF)	pladues counted	calculated pfu/ml	vp/ml	calculated pfu/ml, diluted to +IP conc)	% retained after IP
Ad stock	1E-06	56	5.6E+09	5.0E+12	9.9E+06	
Ad stock	1E-05	501	5.0E+09	5.0E+12	8.8E+06	-
Lec_Ad+IP	1E-03	75	7.5E+06	8.8E+09	7.5E+06	%08
Lec_Ad+IP	1E-02	700	7.0E+06	8.8E+09	7.0E+06	75%

Page 22

Table 2 Characterization of Ad encapsulation in liposomes

Size and polydispersity of Ad, Lecithin-Ad, DOTAP-Ad, and empty Lecithin, before and after IP. The measurements shown are the averages taken from three different samples prepared on different days.

Sample	Hydrodynamic Diameter (d.nm)	Polydispersity Index (PDI)
Adenovirus	$123\pm 6$	0.1
Lecithin-Ad –IP	180 ± 26	0.7
Lecithin-Ad +IP	$143\pm4$	0.3
DOTAP-Ad –IP	$342 \pm 2$	0.3
DOTAP-Ad +IP	$301 \pm 2$	0.3
empty Lecithin -IP	738 ±	0.6
empty Lecithin +IP	$38\ 138\pm3$	0.4