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## The Ataxia Telangiectasia Mutated and Cyclin D3 Proteins Cooperate to Help Enforce TCR $\beta$ and IgH Allelic Exclusion

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### Abstract

Coordination of V rearrangements between loci on homologous chromosomes is critical for Ig and TCR allelic exclusion. The Ataxia Telangiectasia mutated (ATM) protein kinase promotes DNA repair and activates checkpoints to suppress aberrant Ig and TCR rearrangements. In response to RAG cleavage of Ig $\kappa$  loci, ATM inhibits RAG expression and suppresses further V $\kappa$ -to-J $\kappa$  rearrangements to enforce Ig $\kappa$  allelic exclusion. Since V recombination between alleles is more strictly regulated for TCR $\beta$  and IgH loci, we evaluated the ability of ATM to restrict bi-allelic expression and V-to-DJ recombination of TCR $\beta$  and IgH genes. We detected greater frequencies of lymphocytes with bi-allelic expression or aberrant V-to-DJ rearrangement of TCR $\beta$  or IgH loci in mice lacking ATM. A pre-assembled DJ $\beta$  complex that decreases the number of TCR $\beta$  rearrangements needed for a productive TCR $\beta$  gene further increased frequencies of ATM-deficient cells with bi-allelic TCR $\beta$  expression. IgH and TCR $\beta$  proteins drive proliferation of pro-lymphocytes through Cyclin D3, which also inhibits V<sub>H</sub> transcription. We show that inactivation of Cyclin D3 leads to increased frequencies of lymphocytes with bi-allelic expression of IgH or TCR $\beta$  genes. We also show that Cyclin D3 inactivation cooperates with ATM deficiency to increase the frequencies of cells with bi-allelic TCR $\beta$  or IgH expression, while decreasing the frequency of ATM-deficient lymphocytes with aberrant V-to-DJ recombination. Our data demonstrate that core components of the DNA damage response and cell cycle machinery cooperate to help enforce IgH and TCR $\beta$  allelic exclusion, and indicate that control of V-to-DJ rearrangements between alleles is important to maintain genomic stability.

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## Introduction

Antigen receptor diversity is generated through assembly of T cell antigen receptor (TCR) and immunoglobulin (Ig) genes from variable (V), diversity (D), and joining (J) gene segments. The RAG1 and RAG2 proteins introduce DNA double strand breaks (DSBs) adjacent to gene segments, forming hairpin-sealed coding ends and blunt signal ends (1). RAG proteins cooperate with ATM to hold these chromosomal DNA ends in post-cleavage complexes and facilitate their repair by non-homologous end-joining (NHEJ) factors, which form coding and signal joins (2). V(D)J coding joins form the second exons of Ig and TCR genes, which are transcribed with constant (C) region exons. The combination of joining events, imprecise processing of coding ends, and pairing of different Ig or TCR proteins cooperate to create antigen receptor diversity.

Complete assembly of most Ig and TCR genes occurs only on one allele at a time, indicating the importance of mechanisms that control recombination between alleles (3-5). Ability of Ig and TCR chains expressed from one allele to signal feedback inhibition of V rearrangements on the other allele ensures their mono-allelic expression (allelic exclusion) on most lymphocytes (3-5). Asynchronous initiation of V rearrangements between loci on homologous chromosomes is likely required for feedback inhibition to enforce allelic exclusion (3-5). In addition, ability of V(D)J recombination events on one allele to activate signals that transiently suppress V rearrangements on the other allele has been hypothesized to be important for feedback inhibition to mediate allelic exclusion (6). Consistent with this notion, we recently showed that RAG DSBs induced during Ig $\kappa$  recombination on one allele signal through ATM to down-regulate RAG expression, inhibit further V $\kappa$ -to-J $\kappa$  rearrangements on the other allele, and enforce Ig $\kappa$  allelic exclusion (7,8).

Assembly and expression of TCR $\beta$  and IgH genes is more stringently controlled than Ig $\kappa$  genes. TCR $\beta$  and IgH genes assemble through D-to-J recombination, and then rearrangement of V segments to assembled DJ complexes on one allele at a time (9,10). TCR $\beta$  and IgH D-to-J recombination are not controlled by feedback inhibition, while V $\beta$  and V $H$  rearrangements are controlled by feedback inhibition (9,10). In one-third of pro-lymphocytes, assembly and expression of in-frame TCR $\beta$  or IgH genes on the first allele generates pre-receptor complexes that signal feedback inhibition of V-to-DJ rearrangements on the other allele (9,10). These pre-receptors also signal activation of Cyclin D3 (Ccnd3) protein expression to drive proliferation as cells differentiate into pre-lymphocytes (11-13). The two-thirds of pro-lymphocytes that assemble out-of-frame TCR $\beta$  or IgH genes can initiate V-to-DJ rearrangements on the other allele in a second attempt to assemble an in-frame VDJ rearrangement required for differentiation. As a result, ~60% of cells assembles VDJ rearrangements on one allele, and ~40% assembles VDJ rearrangements on both alleles, with one of these out-of-frame in most cells (9,10). This limits bi-allelic surface expression of TCR $\beta$  chains to ~1% of mature  $\alpha\beta$  T cells and of IgH chains to ~0.01% of mature B cells (14-17). In pre-B cells, Ig $\kappa$  genes assemble through V $\kappa$ -to-J $\kappa$  recombination on one allele at a time (18-20). Assembly of functional Ig $\kappa$  genes in pre-B cells can generate innocuous BCRs that suppress additional V $\kappa$ -to-J $\kappa$  rearrangements and promote differentiation (19,20). However, most BCRs are autoreactive and induce further Ig $\kappa$  rearrangements, which occur on either allele (19-21). Therefore, ~10% of pre-B cells

assembles in-frame V<sub>K</sub>J<sub>K</sub> rearrangements on both alleles (21). Yet, this results in equal high-level expression of Ig $\kappa$  chains from both alleles on only ~3% of B cells due to inability of one Ig $\kappa$  chain to pair with the available IgH chain in many cells (21,22).

Considering distinct features and differential regulation of V rearrangements between Ig $\kappa$  loci and IgH/TCR $\beta$  loci, it is important to determine the ability of ATM to coordinate V-to-DJ recombination between alleles and enforce allelic exclusion of IgH and TCR $\beta$  genes. We previously demonstrated that *Atm*<sup>-/-</sup> pro-B cells exhibit an increased frequency of  $\gamma$ -H2AX foci (a marker for DSBs) on both alleles (7). These data could result from loss of ATM signals that control initiation of IgH recombination between alleles or impaired DSB repair leading to V(D)J recombination on the second allele before transduction of IgH feedback signals from the first allele. Although we did not observe a profound violation of IgH allelic exclusion on mature B cells of *Atm*<sup>-/-</sup> mice (7), we neither determined whether the increased frequency of B cells expressing IgH chains from both alleles was significant nor considered the impact of aberrant IgH recombination on bi-allelic IgH expression. Here, we monitor allele-specific TCR $\beta$  and IgH expression on and visualize TCR $\beta$  and IgH rearrangements in mature lymphocytes from *Atm*<sup>-/-</sup> and wild-type mice. We show that ATM helps enforce TCR $\beta$  and IgH allelic exclusion by inhibiting bi-allelic V-to-DJ recombination. We demonstrate that Cyclin D3 also helps enforce TCR $\beta$  and IgH allelic exclusion alone and in cooperation with ATM. Finally, we show that decreasing aberrant V $\beta$  or V<sub>H</sub> rearrangements in *Atm*<sup>-/-</sup> cells further increases the frequencies of lymphocytes with bi-allelic TCR $\beta$  or IgH expression. Our data demonstrate that core components of the DNA damage response and cell cycle machinery cooperate to help enforce IgH and TCR $\beta$  allelic exclusion, and indicate that coordination of V-to-DJ recombination between alleles is important to maintain genomic stability.

## Materials and Methods

### Mice

All mice were on a 129/C57B6 mixed background, and bred and housed under specific pathogen-free conditions at the Children's Hospital of Philadelphia (CHOP). None of the *Atm*<sup>-/-</sup> mice analyzed in this study showed evidence of a subclinical but emerging thymic lymphoma, as assayed Southern blotting or PCR for oligoclonal TCR $\beta$  rearrangements or by flow cytometry for increased number/frequency of TCR $\beta$ <sup>-</sup> CD4<sup>+</sup>CD8<sup>+</sup> or TCR $\beta$ <sup>-</sup> CD8<sup>+</sup> thymocytes. All animal husbandry and experiments were performed in accordance with national guidelines and regulations and were approved by the CHOP Institutional Animal Care and Use Committee.

### Preparation of single cell suspension for flow cytometry

Single cell suspensions for flow cytometry were isolated from the thymus, bone marrow, and spleens of six-week-old mice. Cells were harvested and stained in PBS containing 3% FCS and 0.25mM EDTA. Prior to staining, suspensions were depleted of red blood cells with NH<sub>4</sub>Cl lysis buffer and FC receptors were blocked using anti-CD16/CD32 (2.4G2, BD Pharmingen). Data were collected on an LSR II and analyzed with FlowJo. Single, live cells were gated on the basis of forward and side scatter and DAPI exclusion (Invitrogen).

### Flow cytometric analysis of V $\beta$ surface expression

Stains were conducted using the following antibodies or reagents from BD Bioscience: APC/Cy7-anti-mouse B220 (RA3-6B2), APC-anti-mouse TCR $\beta$  (H57-597), FITC-anti-mouse V $\beta$ 5 (MR9-4), FITC-anti-mouse V $\beta$ 14 (14-2), PE-anti-mouse V $\beta$ 8 (F23.1), PE-anti-mouse V $\beta$ 10b (B21.5), biotin-anti-mouse V $\beta$ 4 (KT4), biotin-anti-mouse V $\beta$ 6 (RR4-7), biotin-anti-mouse V $\beta$ 12 (MR11-1) and PE/Cy7-streptavidin. Surface V $\beta$  expression was assayed on single, DAPI $^{-}$ , B220 $^{-}$ , TCR $\beta^{+}$  cells.

### Flow cytometric analysis of IgM surface expression

Stains were conducted using the following antibodies or reagents: FITC-anti-mouse IgM $\alpha$  (DS-1, BD Bioscience), PE-anti-mouse IgM $\beta$  (AF6-78, BD Bioscience), Biotin-anti-mouse CD23 (B3B4, BD Bioscience), PerCP/Cy5.5-anti-mouse CD21/35 (7E9, BioLegend), PE/Cy7-SA (BD Bioscience). Surface IgM expression was assayed on single, DAPI $^{-}$ , TCR $\beta^{-}$ , B220 $^{+}$  cells.

### Stimulation of $\alpha\beta$ T cells for generation of hybridomas and for 2C-FISH assays

Single cell suspensions were isolated from the spleens of six-week-old mice and depleted of red blood cells with NH $_4$ Cl lysis buffer prior to stimulation. Each spleen was stimulated for 48 hours in 40 units/ml IL-2 and 5  $\mu$ g/ml ConA, at 4ml/spleen in DMEM containing 15% FBS, 1% Penicillin/Streptomycin, 1% L-glutamate and 30 $\mu$ M  $\beta$ -Mercaptoethanol. Additional media was added to the stimulation after 24 hours.

### Fusion and analysis of $\alpha\beta$ T cell hybridomas

Hybridomas were produced by fusion of ConA/IL-2 stimulated splenic  $\alpha\beta$  T cells with BW-1100.129.237 thymoma cells. Southern analysis of TCR $\beta$  rearrangements was performed as previously described (23,24)

### Stimulation of splenic B cells for 2C-FISH

Single cell suspensions isolated from the spleens of six-week-old mice were depleted of red blood cells with NH $_4$ Cl lysis buffer prior to stimulation. Spleen cells were stimulated for 48 hours in 1 $\mu$ M CpG ODN1826 at 0.5 $\times$ 10 $^6$  cell/ml in RPMI containing 10% FBS, 1% Penicillin/Streptomycin, 1% L-glutamate, 1% NEAA, 30 $\mu$ M  $\beta$ -mercaptoethanol, 1% HEPES, and 1% OPI.

### 2C-FISH assays

B and T cells stimulated for 48 hours were arrested in metaphase by incubating with 0.0 $\mu$ g/mL colcemid (KaryoMax) and 0.45mM BrdU (Sigma) for 2 hours. Metaphase arrested cells were isolated by hypotonic treatment (40mM KCl, 0.5mM EDTA, 20mM HEPES, pH7.4) and fixation in methanol:acetic acid (3:1 volume). The fixed cells were then dropped on slides at 4 $^{\circ}$ C and dried at 75 $^{\circ}$ C for 5 minutes. Metaphase spreads were hybridized overnight with relevant *Tcrb* and *Igh* bacterial artificial chromosome (BAC) probes: V $\beta$ -D $\beta$ J $\beta$ 1, RP23-203H5; C $\beta$ , 164G11; V $_H$ -D $_H$ , RP24-275L15, and 3'IgH, CT7-199M11. C $\beta$  and 3'IgH probes were labeled using the DIG-NICK Translation Mix (Roche). V $\beta$ -D $\beta$ J $\beta$ 1 and V $_H$ -D $_H$  probes were labeled using the BioPrime DNA Labeling

System (Invitrogen). Probes were detected using Fitc-anti-digoxin Fab (Roche) and Texas red-streptavidin (Vector Laboratories). Coverslips were mounted with Vectasheild mounting medium with DAPI (Vector). Images were captured and analyzed using Case Data Manager (Applied Spectral Imaging).

## Statistical Analyses

All p values were generated by two-tailed Student's *t* test using Prism (GraphPad Software).

## Results

### ATM inhibits bi-allelic expression and recombination of V $\beta$ segments and suppresses aberrant V $\beta$ -to-DJ $\beta$ rearrangements

To determine the effect of ATM on TCR $\beta$  allelic exclusion, we used flow cytometry to quantify the percentages of  $\alpha\beta$  T cells from *Atm*<sup>-/-</sup> or wild-type (*WT*) mice that express cell surface TCR $\beta$  chains from both alleles. Since an allotypic marker has not been found or generated for mouse TCR $\beta$  chains, only anti-V $\beta$  antibodies can identify expression of TCR $\beta$  chains from both alleles on mouse  $\alpha\beta$  T cells. However, due to the absence of anti-V $\beta$  antibodies for all mouse V $\beta$  peptides, this underestimates the actual frequency of bi-allelic TCR $\beta$  expression. We used 14 distinct combinations of available anti-V $\beta$  antibodies to monitor TCR $\beta$  expression from both alleles on  $\alpha\beta$  T cells isolated from the thymuses or spleens of age-matched littermate *Atm*<sup>-/-</sup> or *WT* mice. We conducted this cellular analysis on TCR $\beta$ <sup>high</sup> thymocytes and on TCR $\beta$ <sup>+</sup> splenocytes. As compared to *WT* mice, we detected significantly higher percentages of V $\beta$ 14<sup>+</sup>V $\beta$ 8<sup>+</sup> (p=0.0019) and V $\beta$ 14<sup>+</sup>V $\beta$ 6<sup>+</sup> (p=0.0197) thymic  $\alpha\beta$  T cells and of V $\beta$ 8<sup>+</sup>V $\beta$ 12<sup>+</sup> (p=0.0497) and V $\beta$ 8<sup>+</sup>V $\beta$ 6<sup>+</sup> (p=0.0077) splenic  $\alpha\beta$  T cells from *Atm*<sup>-/-</sup> mice (Fig. 1A,B). The frequencies of these  $\alpha\beta$  T cells that express TCR $\beta$  chains from both alleles were 1.3 to 1.6 fold higher in *Atm*<sup>-/-</sup> mice relative to *WT* mice (Fig. 1B). We also observed higher frequencies of  $\alpha\beta$  T cells expressing two different V $\beta$  peptides for most other combinations of anti-V $\beta$  antibodies, although none of these differences reached significance with the numbers of mice analyzed (Fig. 1B). These data suggest that ATM helps enforce TCR $\beta$  allelic exclusion.

In addition to asynchronous initiation and feedback inhibition of V $\beta$ -to-DJ $\beta$  rearrangements, post-transcriptional silencing of in-frame TCR $\beta$  genes controls TCR $\beta$  allelic exclusion (25). Therefore, to determine whether ATM helps enforce TCR $\beta$  allelic exclusion by limiting the frequency of mature  $\alpha\beta$  T cells with bi-allelic V $\beta$ -to-D $\beta$ J $\beta$  recombination, we analyzed TCR $\beta$  rearrangements in a panel of  $\alpha\beta$  T cell hybridomas that we made from *Atm*<sup>-/-</sup> mice. The TCR $\beta$  locus consists of 34 upstream V $\beta$  segments, two D $\beta$ -J $\beta$ -C $\beta$  clusters, and the downstream V $\beta$ 14 segment (Fig. 1C). All TCR $\beta$  rearrangements delete intervening sequences, except for V $\beta$ 14-to-D $\beta$ J $\beta$  rearrangements that occur through inversion. To analyze TCR $\beta$  rearrangements, we conducted Southern blots on *EcoRI*-digested hybridoma DNA using 3'J $\beta$ 2, 3'J $\beta$ 1, and 5'D $\beta$ 1 probes (Fig. 1C). Hybridization of 3'J $\beta$ 2 and 3'J $\beta$ 1 probes to non-germline fragments identifies alleles with D $\beta$ J $\beta$  and/or V $\beta$ D $\beta$ J $\beta$  rearrangements. Hybridization of the 5'D $\beta$ 1 probe to non-germline fragments identifies alleles with D $\beta$ J $\beta$  or V $\beta$ 14D $\beta$ J $\beta$  rearrangements, while lack of 5'D $\beta$ 1 probe hybridization reveals alleles with V $\beta$ D $\beta$ J $\beta$  rearrangements involving upstream V $\beta$ s. By this approach, we identified 76

hybridomas with V $\beta$ D $\beta$ J $\beta$  rearrangements on both alleles, and 70 hybridomas with V $\beta$ D $\beta$ J $\beta$  rearrangements on one allele and D $\beta$ J $\beta$  rearrangements on the other allele (Fig. 1D). At first approximation, these data suggest that 48% of *Atm*<sup>-/-</sup>  $\alpha\beta$  T cells contains V $\beta$ D $\beta$ J $\beta$  rearrangements on one allele and 52% contains V $\beta$ D $\beta$ J $\beta$  rearrangements on both alleles, consistent with a role for ATM in coordinating V $\beta$  recombination between alleles. However, we also identified 44 hybridomas with V $\beta$ D $\beta$ J $\beta$  rearrangements on one allele, but no 3'J $\beta$ 2, 3'J $\beta$ 1, or 5'D $\beta$ 1 probe hybridization on the other allele, indicative of aberrant TCR $\beta$  rearrangements on non-selected alleles in these cells (Fig. 1D). Since ATM prevents RAG DSBs from aberrantly resolving as small chromosomal deletions (26), both D $\beta$ -to-J $\beta$  and V $\beta$ -to-D $\beta$ J $\beta$  recombination in *Atm*<sup>-/-</sup> cells could lead to loss of sequences to which the 3'J $\beta$ 2, 3'J $\beta$ 1, and 5'D $\beta$ 1 probes hybridize. Accordingly, our Southern analysis of TCR $\beta$  rearrangements in *Atm*<sup>-/-</sup>  $\alpha\beta$  T cell hybridomas prevents any conclusion regarding whether ATM suppresses the frequency of mature  $\alpha\beta$  T cells with bi-allelic V $\beta$  rearrangements.

To determine whether ATM inhibits bi-allelic V $\beta$  rearrangements, we needed approaches to isolate the V $\beta$ -to-D $\beta$ J $\beta$  recombination step and capture aberrant V $\beta$  rearrangements that delete 3'DJ $\beta$  sequences. We previously created and characterized *Jb1*<sup>DJ/DJ</sup> mice with TCR $\beta$  alleles that contain a pre-assembled D $\beta$ J $\beta$ 1 complex, lack the D $\beta$ 2-J $\beta$ 2 cluster, and are only capable of V $\beta$  recombination (24). Because  $\alpha\beta$  T cells from *Jb1*<sup>DJ/DJ</sup> mice exhibit normal frequencies of bi-allelic TCR $\beta$  expression and V $\beta$ D $\beta$ J $\beta$  rearrangements (24), we generated and analyzed *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> mice to isolate the V $\beta$ -to-D $\beta$ J $\beta$  recombination step. We used the same 14 combinations of available anti-V $\beta$  antibodies to monitor TCR $\beta$  expression from both alleles on TCR $\beta$ <sup>high</sup> thymocytes or TCR $\beta$ <sup>+</sup> splenocytes isolated from age-matched littermate *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> or *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup> mice. As compared to *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup> mice, we detected 1.6 to 2.6 fold higher percentages of V $\beta$ 14<sup>+</sup>V $\beta$ 8<sup>+</sup> (p=0.0008), V $\beta$ 8<sup>+</sup>V $\beta$ 12<sup>+</sup> (p=0.0007), V $\beta$ 14<sup>+</sup>V $\beta$ 6<sup>+</sup> (p=0.0067), and V $\beta$ 5<sup>+</sup>V $\beta$ 6<sup>+</sup> (p=0.004) thymic  $\alpha\beta$  T cells and of V $\beta$ 14<sup>+</sup>V $\beta$ 6<sup>+</sup> (p=0.0001), V $\beta$ 5<sup>+</sup>V $\beta$ 12<sup>+</sup> (p=0.0133), and V $\beta$ 5<sup>+</sup>V $\beta$ 6<sup>+</sup> (p=0.0016) splenic  $\alpha\beta$  T cells from *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> mice (Fig. 2A,B). We also observed higher frequencies of cells expressing two different V $\beta$  peptides for most other combinations of anti-V $\beta$  antibodies, with many of these differences significant (Fig. 2B). These data indicate that preventing aberrant D $\beta$ -to-J $\beta$  recombination increases the frequency of mature *Atm*<sup>-/-</sup>  $\alpha\beta$  T cells that express TCR $\beta$  chains from both alleles.

To capture aberrant V $\beta$ -to-D $\beta$ J $\beta$  rearrangements that lead to deletion of 3'D $\beta$ J $\beta$  sequences, we developed a two-color fluorescence *in situ* hybridization (2C-FISH) approach to quantify V $\beta$ -to-D $\beta$ J $\beta$  recombination in age-matched littermate *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> and *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup>  $\alpha\beta$  T cells. We conducted 2C-FISH on metaphases prepared from *ex vivo* stimulated splenic  $\alpha\beta$  T cells using probes that hybridize to sequences between the upstream V $\beta$  segments and D $\beta$ 1 (V $\beta$ -D $\beta$ 1 probe) or downstream of the pre-assembled D $\beta$ J $\beta$ 1 complex (C $\beta$  probe)(Fig. 2C). Co-hybridization of both probes identifies alleles with no V $\beta$ D $\beta$ J $\beta$  rearrangements, or those involving V $\beta$ 14 that occur in ~5% of  $\alpha\beta$  T cells (23). Hybridization of only the C $\beta$  probe identifies alleles with V $\beta$ D $\beta$ J $\beta$  rearrangements. The C $\beta$  probe can hybridize to alleles with deletion of 3'D $\beta$ J $\beta$ 1 sequences to which the 3'J $\beta$ 1 and 3'J $\beta$ 2 Southern probes cannot hybridize, and therefore scores aberrant V $\beta$ -to-D $\beta$ J $\beta$  rearrangements that could not be captured in our Southern analysis of hybridomas. Hybridization of both probes on different

chromosomes identifies TCR $\beta$  translocations that also could not be captured in our hybridoma analysis. We detected a probe hybridization pattern indicative of V $\beta$ D $\beta$ J $\beta$  rearrangements on both alleles in a significantly greater percentage of *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> cells as compared to *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup> cells (45.0%  $\pm$  3.6% vs 35.3%  $\pm$  2.1%, *p*=0.0372)(Fig. 2D,E). Consistent with this observation, we also detected a probe hybridization pattern indicative of V $\beta$ D $\beta$ J $\beta$  rearrangement on only one allele in a smaller fraction of *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> cells relative to *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup> cells (52.2%  $\pm$  2.7% vs 64.5%  $\pm$  2.0%, *p*=0.013)(Fig. 2D,E). These frequencies of V $\beta$ D $\beta$ J $\beta$  rearrangements are underestimates because our assay cannot capture the ~5% of alleles containing V $\beta$ 14-to-D $\beta$ J $\beta$  recombination (27). We also observed probe hybridization patterns indicative of a normal V $\beta$ D $\beta$ J $\beta$  rearrangement on one allele and an aberrant V $\beta$ D $\beta$ J $\beta$  rearrangement (mostly C $\beta$  deletions) on the other allele in a greater percentage of *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> cells as compared to *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup> cells (2.8%  $\pm$  1.4% vs 0.2%  $\pm$  0.2%, *p*=0.0442)(Fig. 2D-F). Therefore, our 2C-FISH analysis of TCR $\beta$  rearrangements in *Atm*<sup>-/-</sup>*Jb1*<sup>DJ/DJ</sup> and *Atm*<sup>+/+</sup>*Jb1*<sup>DJ/DJ</sup>  $\alpha\beta$  T cells demonstrate that ATM helps enforce TCR $\beta$  expression allelic exclusion by suppressing the frequency of cells with V $\beta$ -to-D $\beta$ J $\beta$  recombination on both alleles.

### ATM limits bi-allelic IgH expression and suppresses aberrant IgH rearrangements

To determine whether ATM limits bi-allelic IgH expression, we used *Igh*<sup>a/b</sup> mice that contain an allotypic marker that enables analysis of surface IgM expression from each allele using anti-IgM<sup>a</sup> and anti-IgM<sup>b</sup> antibodies (16). Although this approach provides a more accurate measurement of bi-allelic IgH expression than anti-V $\beta$  flow cytometry for TCR $\beta$  chains, it cannot detect IgH chain expression on Ig class switched cells and therefore also underestimates the frequency of bi-allelic IgH expression. Since ~1/3 of V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements assemble in-frame and IgH chains are required for differentiation, 20% is the maximal frequency of B cells that can exhibit IgH allelic inclusion assuming V<sub>H</sub> rearrangements on both alleles, no IgH feedback inhibition, and no selection for/against dual-IgM<sup>+</sup> cells (28). Yet, due to asynchronous initiation and IgH-mediated feedback inhibition of V<sub>H</sub> recombination, impaired coding join formation in *Atm*<sup>-/-</sup> cells (29) and IgH/Ig $\kappa$  chain pairing restrictions (30), significantly less than 20% of *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> cells would express surface IgM chains from both alleles if ATM controls V<sub>H</sub> recombination between alleles. Although ~7% of splenic mouse B cells expresses Ig $\kappa$  chains from both alleles, only ~3% expresses equivalent high levels of Ig $\kappa$  chains from both alleles as determined by an appropriate gate (21). We used a similar gating strategy to demarcate mature B cells expressing equivalent high levels of IgH chains from both alleles. In each experimental replicate, we determined the position of this gate from a 1:1 mix of *Igh*<sup>a/a</sup> and *Igh*<sup>b/b</sup> stained cells so as to include any cells that express IgH from a single allele (Fig. 3A). Using this approach, we observed equivalent high-level expression of IgM<sup>a</sup> and IgM<sup>b</sup> on ~1.6-fold greater percentages of total B220<sup>+</sup> bone marrow and splenic B cells from *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice as compared to age-matched littermate *Igh*<sup>a/b</sup> mice (bone marrow: 0.41  $\pm$  0.06% vs 0.24  $\pm$  0.04%, *p*=0.045; spleen: 0.98  $\pm$  0.07% vs 0.65  $\pm$  0.05%, *p*=0.002) (Fig. 3A,B). These data demonstrate that ATM helps enforce IgH expression.

To determine whether ATM helps enforce IgH allelic exclusion by inhibiting the frequency of mature B cells with bi-allelic V<sub>H</sub> rearrangements, we used 2C-FISH with V<sub>H</sub>-D<sub>H</sub> and

3'IgH probes (Fig. 3C) to quantify V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> recombination in splenic B cells of age-matched littermate *Atm*<sup>-/-</sup> and *WT* mice. We detected probe hybridization pattern indicative of a V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and a D<sub>H</sub>J<sub>H</sub> rearrangement on the other allele in a smaller fraction of *Atm*<sup>-/-</sup> cells relative to *WT* cells (43.3% ± 2.4% vs 60.8% ± 4.4%, p=0.0125)(Fig. 3D,E). We observed probe hybridization pattern indicative of bi-allelic V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements in similar percentage of *Atm*<sup>-/-</sup> cells as compared to *WT* cells (39.5% ± 3.4% vs 37.3% ± 4.3%, p=0.6923)(Fig. 3D,E). In addition, we observed probe hybridization pattern indicative of a normal V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and an aberrant IgH rearrangement (mostly C<sub>H</sub> deletions) on the other allele in a greater percentage of *Atm*<sup>-/-</sup> cells as compared to *WT* cells (17.2% ± 2.2% vs 1.9% ± 0.5%, p=0.0005) (Fig. 3D-F). These data are consistent with the notion that ATM helps enforce IgH allelic exclusion by limiting the frequency of mature B cells with V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangements on both alleles. However, since D<sub>H</sub>-to-J<sub>H</sub>, V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub>, and class switch recombination can cause aberrant IgH rearrangements detected by FISH, and since mice with IgH alleles containing pre-assembled D<sub>H</sub>J<sub>H</sub> complexes are unavailable, these data prevent conclusions about whether ATM suppresses the frequency of B cells with bi-allelic V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> recombination.

### ATM cooperates with Cyclin D3 to limit bi-allelic IgH expression and V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangements

To determine whether ATM inhibits the frequency of B cells with bi-allelic V<sub>H</sub> rearrangements, we sought to develop an approach to decrease the frequency of aberrant V(D)J recombination events that result in IgH translocations or C<sub>H</sub> deletions. IgH expression in pro-B cells induces expression of Cyclin D3 (*Ccnd3*) to drive G1 progression and S phase entry (12). Since ATM suppresses aberrant V(D)J recombination in part by preventing cells with RAG DSBs from progressing into S phase (31,32), we reasoned that inactivation of Cyclin D3 would suppress translocations and C<sub>H</sub> deletions in *Atm*<sup>-/-</sup> pro-B cells by enabling time to complete D<sub>H</sub>-to-J<sub>H</sub> and V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangements before S phase entry. Therefore, we created *Ccnd3*<sup>-/-</sup>*Igh*<sup>a/b</sup> and *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice and analyzed IgH expression in splenic B cells of these and *Igh*<sup>a/b</sup> and *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice. We observed equivalent high-level expression of IgM<sup>a</sup> and IgM<sup>b</sup> on 1.34 ± 0.04% of splenic B cells from *Ccnd3*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice and on 2.18 ± 0.27% of splenic B cells from age-matched littermate *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice (Fig. 4A,B). The frequencies of B cells expressing high levels of surface IgM<sup>a</sup> and IgM<sup>b</sup> were ~1.6-fold greater in *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice relative to littermate *Ccnd3*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice (p=0.0222) and ~2.2 fold greater as compared to age-matched *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice (p=0.005)(Fig. 4B). In addition, the frequency of splenic B cells expressing both IgM<sup>a</sup> and IgM<sup>b</sup> was ~2-fold higher in *Ccnd3*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice as compared to age-matched *Igh*<sup>a/b</sup> mice (p=0.0001) and ~3.3-fold higher in *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice relative to age-matched *Igh*<sup>a/b</sup> mice (p=0.0005) (Fig. 4B). Collectively, these data show that Cyclin D3 helps enforce IgH allelic exclusion alone and in cooperation with ATM.

To determine whether Cyclin D3 limits bi-allelic IgH expression by suppressing the frequency of B cells with V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangements on both alleles, we used 2C-FISH with V<sub>H</sub>-D<sub>H</sub> and 3'IgH probes to analyze IgH rearrangements in *Ccnd3*<sup>-/-</sup> and



*Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> splenic B cells. Our analysis of *Ccnd3*<sup>-/-</sup> metaphases scored a V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and a D<sub>H</sub>J<sub>H</sub> rearrangement on the other allele in 54.1 +/- 1.2% of cells, normal V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements on both alleles in 44.1 +/- 1.4% of cells, and a normal V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and C<sub>H</sub> deletion on the other allele in 1.8 +/- 0.4% of cells (Fig. 4C). Although the frequency of bi-allelic V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements was higher in *Ccnd3*<sup>-/-</sup> cells as compared to *WT* cells (Fig. 4C,D), this difference was not significant from the numbers of metaphases assayed. Our analysis of *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> metaphases identified a V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and a D<sub>H</sub>J<sub>H</sub> rearrangement on the other allele in 40.1 +/- 1.0% of cells, normal V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements on both alleles in 51.5 +/- 1.5% of cells, and a normal V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and an aberrant IgH rearrangement (all being C<sub>H</sub> deletions) on the other allele in 8.4 +/- 0.7% of cells (Fig. 4C-E). The frequency of metaphases with V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements on both alleles was significantly higher in *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> cells as compared to *Ccnd3*<sup>-/-</sup> (p=0.0184), *Atm*<sup>-/-</sup> (p=0.0396), and *WT* (p=0.0413) cells (Fig. 4C). This was associated with a significantly lower fraction of metaphases with a normal V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement on one allele and an aberrant IgH rearrangement (all being C<sub>H</sub> deletions) on the other allele in *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> cells relative to *Atm*<sup>-/-</sup> cells (p=0.0215)(Fig. 4C-E). Notably, these data are consistent with published findings that half of the aberrant IgH rearrangements in *Atm*<sup>-/-</sup> splenic B cells arise from V(D)J recombination, while the remainder arise from class switch recombination (31). Since the frequencies of *Atm*<sup>-/-</sup> and *Atm*<sup>-/-</sup>*Ccnd3*<sup>-/-</sup> splenic B cells with V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements on one allele and D<sub>H</sub>J<sub>H</sub> rearrangements on the other allele are equal, our data indicate that inactivation of Cyclin D3 increases bi-allelic IgH expression on *Atm*<sup>-/-</sup> B cells by suppressing the frequency of aberrant V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangements that delete C<sub>H</sub> genes.

### Cyclin D3 also cooperates with ATM to limit bi-allelic TCRβ expression

To determine whether Cyclin D3 also cooperates with ATM to limit bi-allelic TCRβ expression, we analyzed allele-specific TCRβ expression on splenic αβ T cells of *Ccnd3*<sup>-/-</sup> and *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> mice. As compared to *Ccnd3*<sup>-/-</sup> mice, we detected 1.7 to 2.2 fold significantly higher percentages of Vβ14<sup>+</sup>Vβ8<sup>+</sup> (p=0.0236), Vβ8<sup>+</sup>Vβ12<sup>+</sup> (p=0.0238), Vβ14<sup>+</sup>Vβ6<sup>+</sup> (p=0.0118), Vβ5<sup>+</sup>Vβ6<sup>+</sup> (p=0.0344), Vβ14<sup>+</sup>Vβ12<sup>+</sup> (p=0.0301), Vβ8<sup>+</sup>Vβ6<sup>+</sup> (p=0.0103), Vβ14<sup>+</sup>Vβ4<sup>+</sup> (p=0.0456), and Vβ5<sup>+</sup>Vβ4<sup>+</sup> (p=0.0001) cells in *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> mice (Fig. 5A,B). Relative to *WT* mice, we found 1.8 to 2.9 fold significantly higher frequencies of Vβ14<sup>+</sup>Vβ6<sup>+</sup> (p=0.008), Vβ5<sup>+</sup>Vβ6<sup>+</sup> (p=0.0035), Vβ14<sup>+</sup>Vβ12<sup>+</sup> (p=0.0298), Vβ5<sup>+</sup>Vβ4<sup>+</sup> (p=0.0001), and Vβ10<sup>+</sup>Vβ4<sup>+</sup> (p=0.0166) cells in *Ccnd3*<sup>-/-</sup> mice (Fig. 5A,B). These data demonstrate that Cyclin D3 cooperates with ATM to help enforce TCRβ allelic exclusion.

### Discussion

Here, we have used flow cytometry to monitor allele-specific TCRβ or IgH expression and FISH to quantify bi-allelic VDJ rearrangements in wild-type and ATM-deficient mice. Our analyses of TCRβ and IgH expression and rearrangements in *Atm*<sup>-/-</sup> and wild-type mice demonstrate that ATM helps enforce TCRβ and IgH allelic exclusion by inhibiting the frequencies of mature T and B lymphocytes with bi-allelic VDJ rearrangements. While we

detected elevated intracellular expression of TCR $\beta$  and IgH chains from both alleles in the pre-lymphocyte population of *Atm*-deficient mice as compared to *Atm*-deficient mice, we could not detect intracellular TCR $\beta$  or IgH allelic inclusion in pro-lymphocytes from either strain (Fig. S1, data not shown), likely due to the small numbers of pro-lymphocytes and the insensitivity of intracellular staining. Alternatively, the joining of persistent RAG DSBs during pro- to pre-lymphocyte differentiation could be a major means by which dual-V $\beta$ /IgH expressing lymphocytes are generated. In this regard, such persistent RAG DSBs are observed at a low level in *WT* mice and at a higher level in *Atm*<sup>-/-</sup> mice (32,33). Asynchronous initiation of V-to-DJ recombination between alleles is required for TCR $\beta$ /IgH-mediated feedback inhibition to enforce allelic exclusion (6, 7). In the absence of any other means of V-to-DJ recombination control, the ability of feedback inhibition to enforce allelic exclusion would require efficient repair and expression of VDJ rearrangements on the first allele. Since ATM promotes coding join formation (29), the increased frequencies of *Atm*<sup>-/-</sup> cells with bi-allelic VDJ rearrangements could simply arise from inefficient repair of RAG DSBs on the first allele leading to V-to-DJ recombination on the second allele before activation of feedback inhibition signals. However, we previously used NHEJ-deficient pre-B cells to distinguish between ATM functions in DSB repair and signaling, thereby demonstrating that RAG DSBs induced on one allele during Ig $\kappa$  recombination signal through ATM to suppress V $\kappa$ -to-J $\kappa$  rearrangements on the other allele (8). Accordingly, the increased frequencies of *Atm*<sup>-/-</sup> lymphocytes with bi-allelic VDJ rearrangements could arise from loss of ATM signals that suppress additional V<sub>H</sub> and V $\beta$  rearrangements in response to RAG DSBs induced during V-to-DJ recombination on the first allele. We have not been able to assess contributions of these two non-mutually exclusive mechanisms using existing mouse models and *in vitro* systems of thymocyte and pro-B cell development. Thus, determining how ATM helps enforce TCR $\beta$  and IgH allelic exclusion by limiting bi-allelic VDJ rearrangements will require development of mouse models and/or systems of pro-lymphocyte development that distinguish between ATM functions in DSB repair versus signaling during the V-to-DJ recombination step.

Our analyses of TCR $\beta$  and IgH expression and rearrangements in *Ccnd3*<sup>-/-</sup> and wild-type mice show that Cyclin D3 helps enforce TCR $\beta$  and IgH allelic exclusion. V(D)J recombination is restricted to G1 phase cells by CyclinA/Cdk2-mediated phosphorylation and resultant degradation of RAG2 protein (34-36). In the 1990s, it was proposed that the ability of TCR $\beta$  chains to initiate signals that drive cells through G1 and into S phase, and thus inactivate RAG activity, is important to inhibit additional V $\beta$  rearrangements and enforce TCR $\beta$  allelic exclusion (37,38). TCR $\beta$  and IgH expression in pro-T/B cells induces expression of Cyclin D3, which complexes with Cdk4 or Cdk6 kinases to drive cells through G1 and into S phase (11,12). We detected increased TCR $\beta$  and IgH allelic inclusion in *Ccnd3*<sup>-/-</sup> mice that correlated with an elevated frequency of bi-allelic V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements. Although the latter was not significant from the numbers of cells analyzed, the ability to analyze orders of magnitude more cells by flow cytometry than by FISH renders detection of bi-allelic IgH expression more sensitive than bi-allelic V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangement. Consequently, our data is consistent with the notion that, after assembly of in-frame VDJ rearrangements on the first allele, *Ccnd3*<sup>-/-</sup> pro-lymphocytes have more time in G1 phase to initiate V rearrangements on the second allele. Since Cyclin D3 represses

germline V<sub>H</sub> transcription in pro-B cells (39), Cyclin D3 also could help enforce IgH allelic exclusion through down-regulation of V<sub>H</sub> accessibility. Different domains of Cyclin D3 drive proliferation and inhibit V<sub>H</sub> transcription (39). Thus, generation and analysis of mice expressing specific Cyclin D3 mutations will determine the contribution of each Cyclin D3 function to IgH and TCR $\beta$  allelic exclusion. Considering that neither CyclinD3/Cdk4 nor CyclinD3/Cdk6 complexes was tested for ability to control RAG2 protein stability (34, 35), Cyclin D3 also could regulate allelic exclusion through phosphorylation of RAG2 in G1 phase cells. We previously showed that Cyclin D3 inactivation had no effect on TCR $\beta$ -mediated feedback inhibition in mice expressing a pre-assembled functional TCR $\beta$  gene (40). Therefore, our current data that *Ccnd3*<sup>-/-</sup> mice exhibit increased TCR $\beta$  allelic inclusion provides further evidence that using pre-assembled functional genes/transgenes to study feedback inhibition and allelic exclusion has limitations (4,41,42).

Our analyses of TCR $\beta$  and IgH expression and rearrangements in *Atm*<sup>-/-</sup> and *Atm*<sup>-/-</sup>*Ccnd3*<sup>-/-</sup> mice show that ATM and Cyclin D3 cooperate to help enforce TCR $\beta$  and IgH allelic exclusion. While the mechanisms that enforce TCR $\beta$ /IgH allelic exclusion have not yet been fully elucidated, it is well documented that TCR $\beta$ /IgH chains expressed from one allele signal permanent feedback inhibition of V $\beta$ /V<sub>H</sub> rearrangements on the other allele (3-5). Lymphocyte development-stage specific changes in TCR $\beta$ /IgH locus topology and accessibility likely prevent the re-initiation of V $\beta$ /V<sub>H</sub> rearrangement in pre-T/B cells (3-5), however evidence suggests that additional distinct mechanisms down-regulate V $\beta$ /V<sub>H</sub> recombination prior to TCR $\beta$ /IgH-signaled differentiation of pro-T/B cells (5). Our data indicates that activation of Cyclin D3 is one mechanism by which TCR $\beta$ /IgH-mediated signals suppress V $\beta$ /V<sub>H</sub> rearrangements in pro-T/B cells. For TCR $\beta$ /IgH-mediated feedback inhibition to enforce allelic exclusion, the field recognizes that additional mechanisms must promote asynchronous initiation of V $\beta$ /V<sub>H</sub> rearrangements between alleles (3-5), although debate exists regarding the nature of these mechanisms due to inability to identify molecules that control this level of regulation (5). Our prior findings indicate that RAG cleavage during V $\kappa$ -to-J $\kappa$  recombination on one Ig $\kappa$  allele signals through ATM to transiently prevent RAG cleavage of the other Ig $\kappa$  allele (8). Our data here suggest a similar ATM-dependent mechanism helps enforce TCR $\beta$ /IgH allelic exclusion. Due to the many mechanisms that cooperate to enforce allelic exclusion, the absence of ATM and/or Cyclin D3 would be expected to result in much less than the theoretical maximum of TCR $\beta$ /IgH allelic inclusion (in 20% of lymphocytes and corresponding with bi-allelic V rearrangements in 100% of lymphocytes). In this context, our data showing bi-allelic V rearrangements in ~60% of ATM/*Ccnd3*-deficient cells, relative to ~40% of normal cells, indicates that asynchronous initiation and TCR $\beta$ /IgH-mediated feedback inhibition of V recombination cooperates with ATM-dependent and *Ccnd3*-dependent mechanisms to help enforce TCR $\beta$ /IgH allelic exclusion at normal levels. The theoretical maximum of TCR $\beta$ /IgH allelic inclusion also assumes that every TCR $\beta$  and IgH chain can functionally pair with every TCR $\alpha$  or IgL chain. Yet, the literature shows that this assumption is not valid (21,43). Moreover, the increased frequency of V(D)J coding end deletions that occur in ATM-deficient cells also would prevent achievement of the theoretical maximum level of TCR $\beta$ /IgH allelic inclusion in mice lacking ATM alone or both ATM and Cyclin D3. Although the effects of the loss of ATM and Cyclin D3 on TCR $\beta$ /IgH allelic exclusion are very small as expected, our data

convincingly establishes that ATM and Cyclin D3 cooperate to help control mono-allelic V $\beta$ /VH recombination.

Our data also demonstrate that inactivation of Cyclin D3 increases the frequency of *Atm*<sup>-/-</sup> B cells with bi-allelic IgH expression by suppressing aberrant V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> rearrangements that delete C<sub>H</sub> genes. Impaired G1/S and G2/M checkpoints in *Atm*<sup>-/-</sup> cells enable RAG DSBs on non-selected alleles to persist un-repaired or become aberrantly repaired as cells proliferate (26,31,32). Accordingly, the simplest explanation for our data is that inactivation of Cyclin D3 provides *Atm*<sup>-/-</sup> pro-B cells with RAG DSBs on non-selected alleles more time in G1 to repair these lesions and assemble a second in-frame IgH gene. However, extended time in G1 phase and/or sustained germline V<sub>H</sub> transcription after IgH expression in *Atm*<sup>-/-</sup>*Ccnd3*<sup>-/-</sup> pro-B cells also could result in V<sub>H</sub> rearrangements on non-selected alleles. Regardless, our data show that coordination of the V-to-DJ recombination step between alleles is important for suppressing aberrant rearrangements that cause deletion or translocation of TCR $\beta$  or IgH genes on non-selected alleles. Although such genomic lesions cannot cause bi-allelic expression of TCR $\beta$  or IgH loci, they could inactivate tumor suppressor genes or activate oncogenes.

Inherited ATM deficiency in humans causes Ataxia Telangiectasia (A-T), a disorder associated with lymphopenia, immunodeficiency, elevated frequency of Ig and TCR translocations in lymphocytes, increased predisposition to lymphoid cancers with oncogenic Ig or TCR translocations, and elevated risk of autoimmune disease (44-46). The increased frequencies of Ig and TCR translocations and resultant lymphoid cancers of A-T patients is thought to arise from impaired DSB repair and cell cycle checkpoints in their lymphocytes (29). Our data suggest that inability of A-T cells to properly coordinate initiation of RAG DSBs between alleles during TCR $\beta$  and IgH recombination may contribute to these phenotypes. The higher risk of autoimmunity in A-T patients is thought to develop as a result of their lymphopenia caused by impaired development, proliferation, and survival of lymphocytes (42,47,48). Antigen receptor allelic exclusion is widely hypothesized to suppress autoimmunity by ensuring negative selection of lymphocytes that express autoreactive antigen receptors (4,5). In support of this idea, studies with mice expressing TCR $\beta$  or IgH transgenes or an Ig $\kappa$  allotypic marker have shown that lack of allelic exclusion permits autoreactive cells to escape deletion and/or accumulate in the periphery (22, 49-51). Thus, our data also suggest that the increased risk of autoimmunity in A-T patients could develop, at least in part, from impaired enforcement of antigen receptor allelic exclusion. Since discovery of IgH allelic exclusion in 1965 (52), the relevance of allelic exclusion for human health has remained an enigma due to lack of natural or engineered mutations that increase the frequencies of lymphocytes with bi-allelic expression of diverse antigen receptor gene repertoires. Our finding that ATM and Cyclin D3 cooperate to help enforce TCR $\beta$  or IgH allelic exclusion finally provides experimental means to investigate the consequence of increasing bi-allelic expression of these antigen receptor genes.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

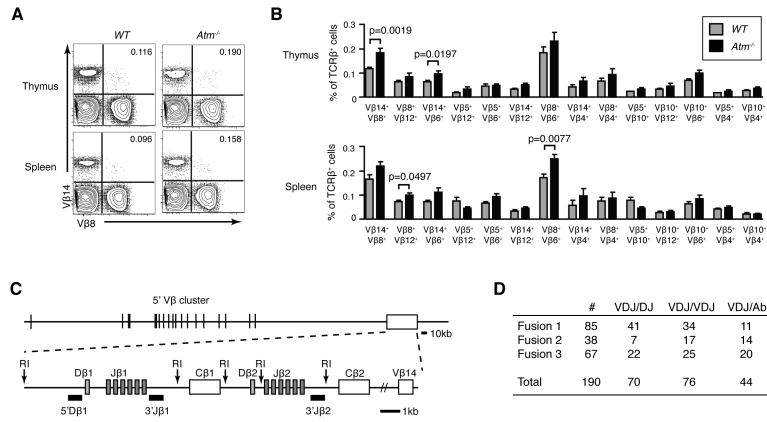
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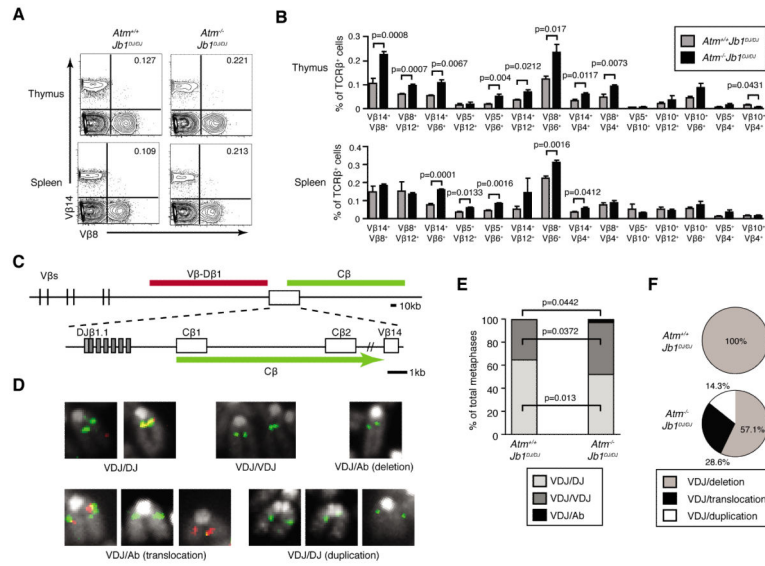
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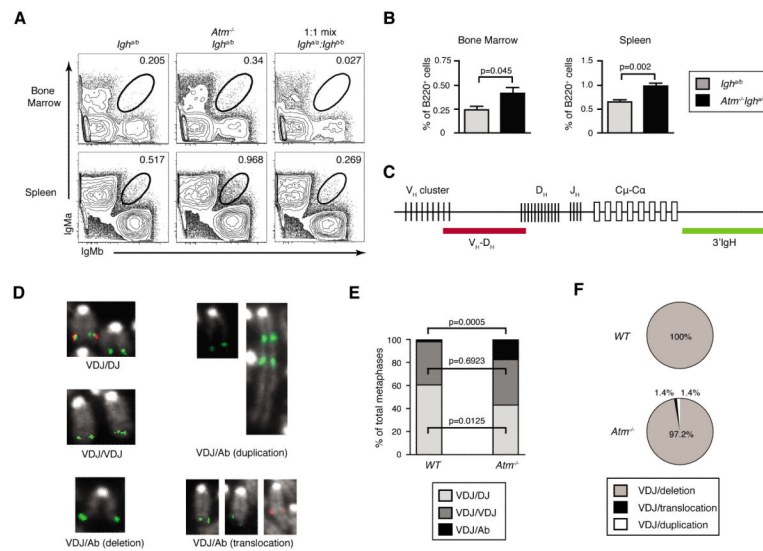
**FIGURE 1.**

ATM helps control TCR $\beta$  allelic exclusion and TCR $\beta$  recombination. **A**, Representative flow cytometry analysis of V $\beta$ 14 and V $\beta$ 8 expression on the surface of TCR $\beta$ <sup>+</sup> thymocytes or splenocytes isolated from *WT* or *Atm*<sup>-/-</sup> mice. The percentages of V $\beta$ 14<sup>+</sup>V $\beta$ 8<sup>+</sup>  $\alpha\beta$  T cells in the upper right quadrant are shown. **B**, Graphs depicting the average frequencies of TCR $\beta$ <sup>+</sup>B220<sup>-</sup> thymocytes or splenocytes isolated from *WT* or *Atm*<sup>-/-</sup> mice that express each indicated combination of surface V $\beta$  chains. Data are from four independent experiments conducted on a total of eight *WT* and ten *Atm*<sup>-/-</sup> littermate mice. Error bars indicate the SEM. **C**, Diagram of the TCR $\beta$  locus illustrating the relative positions of the upstream V $\beta$  segments, the two D $\beta$ -J $\beta$ -C $\beta$  clusters, and the downstream V $\beta$ 14 segment. Locations of the *EcoRI* sites and 5'D $\beta$ 1, 3'J $\beta$ 1, and 3'J $\beta$ 2 probes used for Southern blot analysis of TCR $\beta$  rearrangements are indicated. **D**, Table depicting the frequencies of *Atm*<sup>-/-</sup>  $\alpha\beta$  T cell hybridomas with a normal V $\beta$ D $\beta$ J $\beta$  rearrangement on one (VDJ/DJ) or both (VDJ/VDJ) alleles, or with a normal V $\beta$ D $\beta$ J $\beta$  rearrangement on one allele and an aberrant *Tcrb* rearrangement on the other allele (VDJ/Ab).

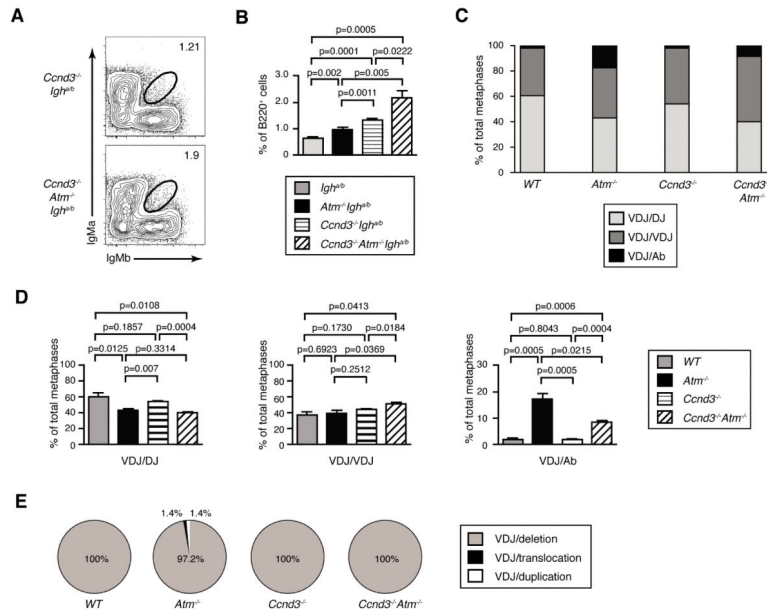


**FIGURE 2.**

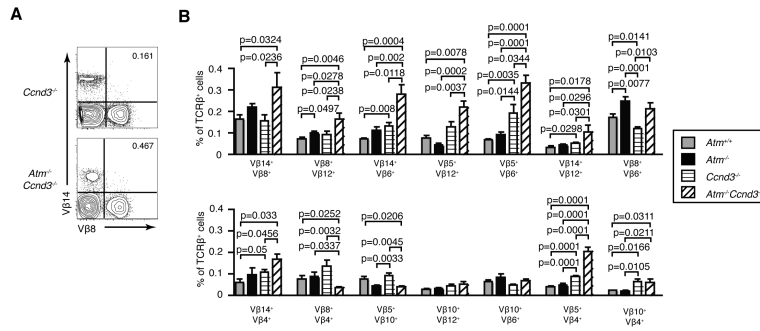
ATM enforces TCR $\beta$  allelic exclusion, inhibits bi-allelic V $\beta$ -to-D $\beta$ J $\beta$  recombination, and suppresses aberrant V $\beta$  rearrangements. **A**, Representative flow cytometry analysis of V $\beta$ 14 and V $\beta$ 8 expression on the surface of TCR $\beta$ <sup>+</sup>B220<sup>-</sup> thymocytes or splenocytes isolated from  $Atm^{+/+} Jb1^{DJ/DJ}$  or  $Atm^{-/-} Jb1^{DJ/DJ}$  mice. The percentages of V $\beta$ 14<sup>+</sup>V $\beta$ 8<sup>+</sup>  $\alpha\beta$  T cells are shown in the upper right quadrant. **B**, Graphs depicting the average frequencies of TCR $\beta$ <sup>+</sup>B220<sup>-</sup> thymocytes or splenocytes isolated from  $Atm^{+/+} Jb1^{DJ/DJ}$  or  $Atm^{-/-} Jb1^{DJ/DJ}$  mice that express each indicated combination of surface V $\beta$  chains. Data are from two independent experiments conducted on a total of six  $Atm^{+/+} Jb1^{DJ/DJ}$  and six  $Atm^{-/-} Jb1^{DJ/DJ}$  littermate mice. Error bars indicate the SEM. **C**, Diagram of the  $Jb1^{DJ}$  locus illustrating the relative positions of upstream V $\beta$  segments, the two D $\beta$ -J $\beta$ -C $\beta$  clusters, and the downstream V $\beta$ 14 segment. Locations of the V $\beta$ -D $\beta$ 1 and C $\beta$  probes used for 2C-FISH analysis of V $\beta$  rearrangements are indicated. **D**, Representative 2C-FISH images showing the metaphase chromosome probe hybridization patterns that identify  $Atm^{+/+} Jb1^{DJ/DJ}$  or  $Atm^{-/-} Jb1^{DJ/DJ}$   $\alpha\beta$  T cells with a normal V $\beta$ D $\beta$ J $\beta$  rearrangement on one (VDJ/DJ) or both (VDJ/VDJ) alleles, or with a normal V $\beta$ D $\beta$ J $\beta$  rearrangement on one allele and an aberrant TCR $\beta$  rearrangement on the other allele (VDJ/Ab). **E-F**, Graphs depicting the average frequencies of  $Atm^{+/+} Jb1^{DJ/DJ}$  and  $Atm^{-/-} Jb1^{DJ/DJ}$  splenic  $\alpha\beta$  T cells with TCR $\beta$  alleles of the VDJ/DJ, VDJ/VDJ, or VDJ/Ab configurations (**E**), or the frequencies of the types aberrant TCR $\beta$  rearrangements in  $Atm^{+/+} Jb1^{DJ/DJ}$  and  $Atm^{-/-} Jb1^{DJ/DJ}$  splenic  $\alpha\beta$  T cells (**F**). Data are from 388  $Atm^{+/+} Jb1^{DJ/DJ}$  and 234  $Atm^{-/-} Jb1^{DJ/DJ}$  metaphase analyzed among four independent experiments.

**FIGURE 3.**

ATM helps enforce IgH allelic exclusion, inhibit bi-allelic  $V_H$ -to- $D_HJ_H$  recombination, and suppress aberrant  $V_H$  rearrangements. **A**, Representative flow cytometry analysis of IgM<sup>a</sup> and IgM<sup>b</sup> expression on the surface of B220<sup>+</sup>TCRβ<sup>-</sup> bone marrow cells or splenocytes isolated from *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup> or *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice. The circle gates capture B cells expressing equivalent high levels of both IgM<sup>a</sup> and IgM<sup>b</sup> on their surface. The percentages of cells in these gates are indicated. The position of these gates was determined from a 1:1 mix of *Igh*<sup>a/a</sup> and *Igh*<sup>b/b</sup> stained cells as shown. **B**, Graphs depicting the average frequencies of B cells in the bone marrow or spleens of *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup> or *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice that express both IgM<sup>a</sup> and IgM<sup>b</sup> on their surface. Data are from three independent experiments conducted on a total of six *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup> and seven *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> littermate mice. Error bars indicate SEM. **C**, Diagram of the IgH locus illustrating the relative positions of  $V_H$ ,  $D_H$ , and  $J_H$  segments and the downstream  $C_H$  exons for each Ig class. Locations of the  $V_H$ - $D_HJ_H$  and 3'IgH probes used for 2C-FISH analysis of IgH rearrangements are shown. **D**, Representative 2C-FISH images showing metaphase chromosome probe hybridization patterns that identify *Atm*<sup>+/+</sup> or *Atm*<sup>-/-</sup> B cells with a normal  $V_HD_HJ_H$  rearrangement on one (VDJ/DJ) or both (VDJ/VDJ) alleles, or with a normal  $V_HD_HJ_H$  rearrangement on one allele and an aberrant  $V_HD_HJ_H$  rearrangement on the other allele (VDJ/Ab). **E-F**, Graphs depicting the average frequencies of *Atm*<sup>+/+</sup> and *Atm*<sup>-/-</sup> splenic B cells with *Igh* alleles of the VDJ/DJ, VDJ/VDJ, or VDJ/Ab configurations (**E**), or the frequencies of the indicated types aberrant IgH rearrangements in *Atm*<sup>+/+</sup> and *Atm*<sup>-/-</sup> splenic B cells (**F**). Data are from 410 *Atm*<sup>+/+</sup> and 410 *Atm*<sup>-/-</sup> metaphases analyzed among four independent experiments.

**FIGURE 4.**

ATM and Cyclin D3 cooperate to help enforce IgH allelic exclusion, inhibit bi-allelic V<sub>H</sub>-to-D<sub>H</sub>J<sub>H</sub> recombination, and suppress aberrant V<sub>H</sub> rearrangements. **A**, Representative flow cytometry analysis of IgM<sup>a</sup> and IgM<sup>b</sup> expression on B220<sup>+</sup>TCRβ<sup>-</sup> splenocytes isolated from *Ccnd3*<sup>-/-</sup>*Igh*<sup>a/b</sup> or *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice. The circle gates capture splenic B cells expressing equivalent high levels of both IgM<sup>a</sup> and IgM<sup>b</sup> on their surface. The percentages of cells in these gates are indicated. **B**, Graphs depicting the average frequencies of B cells in the spleens of *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup>, *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup>, *Ccnd3*<sup>-/-</sup>*Igh*<sup>a/b</sup>, or *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice that express both IgM<sup>a</sup> and IgM<sup>b</sup> on their surface. The data for *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup> and *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice is the same from Figure 3. Data are from three independent experiments conducted on a total of six *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup> and eight *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> littermate mice. Error bars indicate SEM. **C-E**, Graphs depicting the average frequencies of *Atm*<sup>+/+</sup>, *Atm*<sup>-/-</sup>, *Ccnd3*<sup>-/-</sup>, or *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> splenic B cells with IgH alleles of the VDJ/DJ, VDJ/VDJ, or VDJ/Ab configurations (**C-D**), or the frequencies of the types of aberrant IgH rearrangements in *Ccnd3*<sup>-/-</sup> or *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> splenic B cells (**E**). Data for *Atm*<sup>+/+</sup>*Igh*<sup>a/b</sup> and *Atm*<sup>-/-</sup>*Igh*<sup>a/b</sup> mice are from Figure 3. Data are from 404 *Ccnd3*<sup>-/-</sup> and 309 *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> metaphases analyzed among three independent experiments. Error bars indicate SEM. Error bars are not displayed in **C**, but are in **D**, since the same data is shown in both **C** and **D**.

**FIGURE 5.**

ATM and Cyclin D3 cooperate to enforce TCR $\beta$  allelic exclusion. **A**, Representative flow cytometry analysis of V $\beta$ 14 and V $\beta$ 8 expression on the surface of splenic  $\alpha\beta$  T cells isolated from *Ccnd3*<sup>-/-</sup> or *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> mice. The percentages of V $\beta$ 14<sup>+</sup>V $\beta$ 8<sup>+</sup>  $\alpha\beta$  T cells in the upper right quadrant are shown. **B**, Graphs depicting the average frequencies of splenic  $\alpha\beta$  T cells from *Atm*<sup>+/+</sup>, *Atm*<sup>-/-</sup>, *Ccnd3*<sup>-/-</sup>, or *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> mice that express each indicated combination of surface V $\beta$  chains. The data for *Atm*<sup>+/+</sup> and *Atm*<sup>-/-</sup> mice are from Figure 3. Data are from three independent experiments conducted on a total of eight *Ccnd3*<sup>-/-</sup> and six *Ccnd3*<sup>-/-</sup>*Atm*<sup>-/-</sup> littermate mice. Error bars indicate SEM.