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### **Role of HIV in Amyloid Metabolism**

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#### Abstract

HIV infection has changed from an acute devastating disease to a more chronic illness due to combination anti-retroviral treatment (cART). In the cART era, the life expectancy of HIVinfected (HIV+) individuals has increased. More HIV+ individuals are aging with current projections suggesting that 50% of HIV+ individuals will be over 50 years old by 2015. With advancing age, HIV+ individuals may be at increased risk of developing other potential neurodegenerative disorders [especially Alzheimer's disease (AD)]. Pathology studies have shown that HIV increases intra and possibly extracellular amyloid beta ( $A\beta 42$ ), a hallmark of AD. We review the synthesis and clearance of A $\beta$ 42 and the effects of HIV on the amyloid pathway, and contrast the impact of AD and HIV on AB42 metabolism. Biomarker studies (cerebrospinal fluid AB and amyloid imaging) in HIV+ have shown mixed results. CSF A $\beta$ 42 has been shown to be either normal or diminished in HIV+ patients with HIV associated neurocognitive disorders (HAND). Amyloid imaging using [<sup>11</sup>C] PiB has also not demonstrated increased extracellular amyloid fibrillar deposits in HAND patients. We further demonstrate that A $\beta$ 42 deposition is not increased in older HIV+ patients using  $[^{11}C]$  PiB amyloid imaging. Together, these results suggest that HIV and aging each independently affect A $\beta$ 42 deposition with no significant interaction present. Older HIV+ patients are probably not at increased risk for developing AD. However, future longitudinal studies of older HIV+ patients using multiple modalities (including the combination of CSF markers and amyloid imaging) are necessary for investigating the effects of HIV on A $\beta$ 42 metabolism.

#### Keywords

HIV; CNS; Amyloid; cerebrospinal fluid (CSF); amyloid imaging; combination anti-retroviral therapy (cART); HIV associated neurocognitive disorders (HAND)

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The human immunodeficiency virus (HIV) enters the brain soon after seroconversion causing neuronal dysfunction that can lead to HIV associated neurocognitive disorder (HAND) (Kaul et al. 2001). While the more severe forms of HAND have decreased due to combination anti-retroviral therapy (cART), milder forms of impairment are still prevalent (~40%) (Heaton et al. 2010). HAND can occur despite good virologic control with cART due to irreversible injury prior to starting therapy; persistent HIV RNA reservoirs in the central nervous system (CNS) (Ellis et al. 2002); persistent low level inflammation in the CNS (Hagberg et al. 2010); and/or cART toxicity (Akay et al. 2014; Robertson et al. 2010; Robertson et al. 2012). Living with HIV infection has now changed from an acute and fatal disease to a more chronic and treatable condition (Clifford and Ances 2013).

The face of HIV has now greatly changed due to cART. A larger proportion of the HIVinfected (HIV+) community is graying. If current trends continue, by 2015 more than half of all HIV+ individuals in the United States will be greater than fifty years old (Valcour 2013). As the HIV+ population continues to grow older it may become increasingly difficult for clinicians to differentiate the chronic effects of HIV from other neurodegenerative diseases associated with aging [e.g. Alzheimer's disease (AD)].

AD is characterized by the pathological hallmarks of A $\beta$ 42 plaques and neurofibrillary tangles that ultimately lead to neuronal death (Holtzman 2011). Pathological changes in amyloid and synaptic dysfunction often occur more than 20 years before the onset of clinical symptoms of AD (Morris and Price 2001; Bateman et al. 2012). Early surrogate biomarkers are actively being investigated in order to accurately diagnose AD and evaluate therapeutic interventions (Aisen 2009). In particular, changes in amyloid can be measured in the cerebrospinal fluid (CSF) and by positron emission tomography (PET) imaging using [<sup>11</sup>C] PiB (N-methyl-[<sup>11</sup>C]2-(4-methylaminophenyl)-6-hydroxybenzothiazole) (Klunk et al. 2004). Observed changes in amyloid using CSF or [<sup>11</sup>C] PiB may reflect either increased production or decreased clearance of A $\beta$ 42.

Cellular level pathology similarities exist between AD and HAND. Both animal and human studies suggest that HIV alters amyloid metabolism (Aksenov et al. 2010; Green et al. 2005; Rempel and Pulliam 2005). Previous autopsy studies of HIV+ individuals demonstrated an increase in diffuse extracellular amyloid plaques in the pre-cART era (Anthony et al. 2010; Rempel and Pulliam 2005). We review the key synthesis and clearance mechanisms involved in A $\beta$ 42 and the effects of HIV on the amyloid pathway as measured by CSF A $\beta$ 42 and [<sup>11</sup>C] PiB.

#### Multiple amyloid synthesis and degradation pathways exist in the brain

A $\beta$ 42 is created by site-specific cleavage of the amyloid precursor protein (APP) found in cell membranes. While the exact role of APP remains unknown, animal model neurons lacking APP demonstrate impaired neurite growth, cell to cell adhesion, and intracellular trafficking (Braidy et al. 2012). APP is created in the endoplasmic reticulum, and is transported between the cell membrane and the Golgi apparatus (Zheng et al. 2012). Within the neuron, increases in synaptic transmission and oxidative stress promote an increase in endocytosis of APP from the cell membrane into endolysosomes (Matsuda et al. 2003;

Ramaker et al. 2013; Zheng et al. 2012). Within the endolysosomes, APP is cleaved by secretases [including alpha ( $\alpha$ ), beta ( $\beta$ ) (aka BACE-1), and gamma ( $\gamma$ )] to create amyloid-beta monomers of various lengths (between 39–43 amino acids) including the 42 amino acid length protein A $\beta$ 42 and soluble APP (sAPP) peptide fragments (LaFerla et al. 2007).

There are two major pathways for cleaving APP into soluble fragments, the amyloidogenic which sequentially uses  $\beta$  and  $\gamma$  secretases to create toxic A $\beta$ 42, and a non-amyloidogenic pathway that produces sAPP from  $\alpha$  secretase cleavage (Haughey et al. 2010). Under normal circumstances, sAPP peptides are thought to be beneficial to the cell stimulating axonal outgrowth, and neuroprotection (Chasseigneaux and Allinquant 2012). In contrast, an overabundance of A $\beta$ 42 monomers can coalesce to form fibrillar A $\beta$ 42 plaques, which area pathologic characteristic of AD. For this reason, additional cellular mechanisms are needed for removing A $\beta$ 42 from the brain. Insulin degrading enzyme (IDE) or neprilysin can proteolyze A $\beta$ 42 and prevent deleterious A $\beta$ 42 aggregations in neurons (Madani et al. 2006). In order to evacuate A $\beta$ 42 from neural tissue, active shuttling of A $\beta$ 42 from the brain into the bloodstream occurs through receptors for advanced glycation end-products (RAGE) and lipoprotein 1 receptors (LRP1) located on endothelial cells in the brain vasculature (Hickman et al. 2008; Kim et al. 2013). Altogether these systems help regulate A $\beta$ 42 levels in a sustainable balance that is necessary for normal cellular metabolism.

#### HIV disrupts multiple steps of amyloid synthesis and clearance

Two mechanisms have been proposed for the entry of HIV across the blood brain barrier (BBB). One view suggests that HIV enters through a Trojan-horse mechanism, whereby an activated T-cell containing HIV migrates across the BBB (Kaul et al. 2001). The other method of entry is based on evidence that HIV directly infects brain vascular endothelial cells, causing a "leaky" BBB that allows uninhibited entry of virus into the brain (Strelow et al. 1998). Once inside the CNS, HIV does not directly infect neurons but instead disrupts astrocyte and glial metabolism leading to neuronal dysfunction and death (Achim et al. 2009; Anthony and Bell 2008; Crews et al. 2009; Gougeon and Piacentini 2009; Gray et al. 1996; Kaul et al. 2001; Mattson et al. 2005).

HIV can disrupt several steps in the amyloid synthesis and clearance pathway (András and Toborek 2013) (Figure 1). The viral coat protein of HIV, Gp120, causes infected microglia to release tumor necrosis factor- $\alpha$  (TNF $\alpha$ ) and interleukin 1 $\beta$  (IL1 $\beta$ ). These inflammatory cytokines increase APP transcription and stimulate increased cleavage of APP by  $\beta$  and  $\gamma$  secretases (Haughey et al. 2010). Another HIV protein that causes dysfunction in multiple cellular pathways is the HIV transactivator of transcription (tat) protein. Several studies have demonstrated that tat inhibits the proteolytic functions of neprilysin (Rempel and Pulliam 2005; Daily et al. 2006; Aksenov et al. 2010; Lan et al. 2011). Tat is also implicated in the increase of intracellular A $\beta$ 42 and sAPP within the neuron. Specifically, Tat increases the aggregation of A $\beta$ 42 into neuronal endolysosomes through endocytosis (Liu et al. 2000). In microvasculature endothelium cells, Tat binds to the LRP and reduces A $\beta$ 42 clearance from the brain (András and Toborek 2013). Tat also attaches to RAGE on endothelial cells causing increased translocation of A $\beta$ 42 from the blood into the brain (András and Toborek 2013).

Astrocytes are key components of the brain, shuttling metabolites between the blood and the neurons (Strazza et al. 2011). HIV also causes astrocyte dysfunction that alters amyloid metabolism. Specifically, the HIV protein nef stimulates astrocytes to release inflammatory cytokines [including chemokine ligand 2 (CCL2)]. An inflammatory cascade can occur leading to an increase in extracellular A $\beta$ 42 (White et al. 2005). Altogether, HIV may potentially utilize multiple mechanisms to increase A $\beta$ 42 generation.

# Controversy exists concerning cerebrospinal fluid (CSF) Aβ42 in HIV+ individuals

A $\beta$ 42 levels can be measured within the CSF and maybe a sensitive biomarker of AD. Increased deposition of A $\beta$ 42 plaques in AD individuals corresponds to decreased CSF A $\beta$ 42 (Fagan et al. 2006; Roe et al. 2013). According to the amyloid cascade hypothesis; a reduction in CSF A $\beta$ 42 is one of the earliest changes associated with the onset of AD (Dickerson and Wolk 2013). The reduction in CSF A $\beta$ 42, is thought to be caused by increased deposition of fibrillar A $\beta$ 42, with less soluble A $\beta$ 42 effectively transported out of the brain across the BBB (Hardy and Higgins 1992). A number of studies have confirmed decreased CSF A $\beta$ 42 in both prodromal and symptomatic AD individuals[e.g. (Blennow et al. 2010; Dickerson and Wolk 2013; Engler et al. 2006; Hölttä et al. 2013; Jack et al. 2010; Knopman et al. 2013; Mattsson et al. 2009; Morris et al. 2010; Olsson et al. 2003; Potter et al. 2013; Reiman et al. 2012; Rosén et al. 2013)]. These studies suggest that 1) CSF A $\beta$ 42 levels are lower in both symptomatic and asymptomatic AD, with decreases of up to 50% seen in AD individuals compared to cognitively normal individuals (Blennow et al. 2010) 2) CSF A $\beta$ 42 may be a reliable accurate biomarker for AD (Jack et al. 2010; Roe et al. 2013).

A small set of studies have observed mixed results when quantifying CSF A $\beta$ 42 in HIV+ individuals. Three studies have found reductions in CSF A $\beta$ 42 in HAND individuals (Clifford et al. 2009a; Krut et al. 2013), or HIV+ patients with CNS co-infections (Gisslén et al. 2009). More recently, a study has shown an increase in CSF A $\beta$ 42 with early HIV infection compared to HIV– controls (Peluso et al. 2013). In contrast, three other studies have observed no changes in CSF A $\beta$ 42 in HIV+ individuals relative to HIV– controls (Ances et al. 2012; Gisslén et al. 2009; Steinbrink et al. 2013).

Brain pathology studies have also demonstrated that HIV increases intracellular  $A\beta42$  and APP precursors, potentially affecting CSF  $A\beta42$  levels. Post-mortem tissue staining has shown an accumulation of APP within axons of rats (Mankowski et al. 2002) and humans (An et al. 1997; Nebuloni et al. 2001). HIV tat increases cell-bound  $A\beta42$  in the hippocampus (Aksenov et al. 2010). Furthermore, elevated concentrations of intra-neuronal  $A\beta42$  were observed in HIV+ autopsy cases of HAND (Achim et al. 2009).

Several considerations should be considered when interpreting any CSF study of AD or HAND. In particular, A $\beta$ 42 levels can be influenced by the time of sample collection (Bateman et al. 2007), storage of the CSF (e.g. thawing and rethawing), and analytical platform used for CSF analysis[multi-analyte Luminex assay (INNO-BIA AlzBio3) vs. single-analyte ELISA tests (INNOTEST)](Le Bastard et al. 2013; Mattsson et al. 2011). For example, the intra-class correlation (same individual) CSF A $\beta$ 42 can vary two to six-fold

depending on analytic method (Fagan et al. 2011). It is possible that conflicting results seen in HIV+ patients concerning CSF A $\beta$ 42 may also reflect the relatively small samples used for analysis (often < 50 HIV+ patients). Future studies should ensure uniform CSF collection and analysis in a large HIV+ cohort in order to understand the effects of the virus on the amyloid pathway.

#### Aβ42 fibrillar deposition is not increased in HIV+ individuals using [<sup>11</sup>C] PiB

Positron emission tomography (PET) amyloid imaging using [<sup>11</sup>C] PiB has been used to measure *in vivo* amyloid deposits in AD (for review see Cohen et al. 2012). Numerous studies have demonstrated increased amyloid deposition within the medial frontal lobes, inferior temporal lobes, and precuneus regions of AD patients (Benzinger et al. 2013; Cho et al. 2013; Cohen et al. 2012; Klunk et al. 2004; Marchant et al. 2013; Mintun et al. 2006; Reiman et al. 2009; Roe et al. 2013). In contrast, relatively few [<sup>11</sup>C] PiB studies have been performed in HIV+ patients (Ances et al. 2010; Ances et al. 2012). We have previously shown that HAND individuals do *not* have increased fibrillar Aβ42 deposits compared to age matched HIV– controls (Ances et al. 2010). In a follow-up study, HIV+ individuals with and without HAND were compared to HIV– controls with and without cognitive impairment. Both HIV+ groups did not have significant elevations in amyloid deposition using [<sup>11</sup>C] PiB. Only HIV– controls with cognitive impairment (AD) had significant deposition (Ances et al. 2012). A limitation of these studies was that relatively younger HIV + subjects were primarily included.

# Aβ42 fibrillar deposition is similar between HIV+ and HIV– individuals across the lifespan

It remains unknown whether A $\beta$ 42 deposition is elevated in older HIV+ individuals. Here we present results from an additional investigation that studied the relationship between aging and A $\beta$ 42 in HIV+ (n=26, 69% male, 36–67 years old) and HIV– individuals (n=23, 65% male, 32–62 years old) using [<sup>11</sup>C] PiB. For this comparison, high resolution structural magnetic resonance imaging (MRI) was co-registered with [<sup>11</sup>C] PiB images using in-house methods to calculate regional atlas based specific uptake value ratios (SUVR) (Su et al. 2013). Briefly, the SUVR is a regional quantitative estimate of amyloid burden with correction for atrophy and tissue (gray/white matter) compartment distribution (Lopresti et al. 2005; Su et al. 2013). The regions of interest were generated using Freesurfer software aligned to a common atlas (FS v5.1 found at https://surfer.nmr.mgh.harvard.edu)

The SUVR for any region analyzed did not differ between the HIV– and HIV+ groups (Figure 2A). Similar results were also seen for the total mean-cortical SUVR (Figure 2B). For both groups the SUVR measurements did not exceed previously defined threshold criteria for amyloid positivity (i.e. SUVR < 1.52)(Roe et al. 2008). When comparing SUVR and aging between groups we observed a slight increase in mean cortical SUVR with aging for both HIV+ and HIV– individuals. The correlation between age and SUVR was not significant (p=0.12) for either group. Interestingly, over the entire lifespan studied, HIV+ individuals had lower SUVR than HIV– individuals. However, these differences were not significant (p=0.85) (Figure 3). These observations suggest that elevated extracellular

fibrillar amyloid deposition is not observed with HIV. From this small study it does not appear that HIV accelerates amyloid pathology.

#### Summary of current findings

HIV causes abnormalities in cellular mechanisms that lead to increases of A $\beta$ 42 in the brain (Figure 1). However in-vivo CSF studies show conflicting evidence (Ances et al. 2012; Brew et al. 2005; Clifford et al. 2009a; Gisslén et al. 2009; Peluso et al. 2013; Steinbrink et al. 2013; Vehmas et al. 2004). In particular, some CSF studies have shown a consistent decrease in A $\beta$ 42 while others have seen no changes. *In vivo* amyloid imaging using [<sup>11</sup>C] PiB has not shown increases in Aβ42 fibrillar deposition. Additional studies using Florbetapir (a fluorine-18 compound that measures A $\beta$ 42 deposition) have not observed increased amyloid deposition in older HIV+ individuals (personal communication with Dr. Ned Sacktor). Even in our oldest HIV+ individuals, there was no significant elevation of Aβ42 measured through <sup>11</sup>C-PiB (Figure 3). These potential discrepancies in CSF and amyloid imaging could reflect differences in the location and type of amyloid affected by HIV. In cognitively normal HIV+ patients, intracellular amyloid may primarily accumulate within the neuron (Aksenov et al. 2010). A more recent study that included both pre and post-cART era HIV+ patients observed an increase in intracellular and not extracellular Aβ42 (Gelman and Schuenke 2004). In HAND [especially HIV associated dementia (HAD)], CSF AB42 is decreased and soluble APP peptides are normal or diminished (Gisslén et al. 2009; Krut et al. 2013). The amyloid hypothesis would suggest increases in extracellular A $\beta$ 42 plaques. However, current amyloid imaging methods, such as [<sup>11</sup>C] PiB, have shown an absence of A\beta2 plaques. This technique primarily measures extracellular fibrillar deposits. The lack of extracellular Aβ42 in HIV may reflect: 1) decreased Aβ42 production due to decreased synaptic activity (Mawuenyega et al. 2010); 2) increased intracellular Aβ42 accumulation (Achim et al. 2009; Chen et al. 2013; Nebuloni et al. 2001;); 3) increased extracellular A $\beta$ 42 that is diffuse and not fibrillar in nature (Anthony et al. 2006; Cairns et al. 2009; Green et al. 2005); or 4.) decreased upstream production of sAPP precursors (Gisslén et al. 2009; Krut et al. 2013; Peluso et al. 2013). Arguably, it is possible that a combination of these aforementioned reasons could lead to a lack in extracellular A $\beta$ 42 seen with HIV.

## Potential differences exist between HAND and AD in regards to Aβ42 pathology

The observed differences between AD and HAND could reflect distinct pathologic effects of these two diseases on the amyloid pathway. First, CSF sAPP peptide levels (both  $\alpha$  and  $\beta$ ) are often diminished in HIV, especially in HAD (Angel et al. 2012; Gisslén et al. 2009; Krut et al. 2013). These decreases may reflect down-regulation of upstream pathways involved in APP production. In contrast, sAPP (both  $\alpha$  and  $\beta$ ) remains stable or often elevated in AD due to increased upregulation and/or increased cleavage by secretases (Blennow et al. 2012). Observed increases in CSF sAPP peptides seen with AD are correlated with increased A $\beta$ 42 plaques (Lewczuk et al. 2010; Olsson et al. 2003). However, this is not observed in HIV (Gisslén et al. 2009; Krut et al. 2013). Second, differences may exist in the location of A $\beta$ 42 deposition. In HAND, amyloid is primarily intracellular (Green et al. 2005; Achim et al.

2009) compared to extracellular for AD (Rosen et al 2013). Extracellular plaques that are seen in HAND are typically diffuse (Rempel and Pulliam 2005) and not fibrillar. This is contrast to AD where typically fibrillar plaques are observed (Ubhi and Masliah 2013). This may lead to observed PiB differences as this compound primarily binds to fibrillar plaques (Ances et al. 2010; Ances et al. 2012). Finally, differences may exist in clearance of plaques in AD vs. HAND. While both diseases cause chronic inflammatory responses (Xu and Ikezu 2009; Lynch 2014), recent studies suggest HIV proteins alters  $A\beta 42$  degradation pathways by microglia (Lan et al. 2011).

### Recent advances in CSF biomarkers, proteomics, and neuroimaging are potentially promising

While the current diagnostic value of CSF and <sup>11</sup>C-PiB measurements of amyloid remain less clear for HIV compared to AD, many *in-vitro* studies still suggest that neuroinflammation, as seen with HIV, can affect amyloid metabolism (Kim et al. 2013a). A promising method to investigate differences is CSF proteomics. A recent review has highlighted potential endothelial, neural and inflammatory proteomic markers associated with HIV (see (Price et al. 2013). Productive inflammation can lead to extensive proteinprotein interactions that involve the amyloid pathway (Angel et al. 2012). More recent neuroimaging studies using neuro-inflammatory (e.g. PK1195) markers could elucidate potential interactions (Garvey et al. 2014). Additional studies combining these modalities and protein interactions (Haughey et al. 2013) are needed to adequately understand the complex interaction between amyloidogenesis and neurodegeneration seen with HIV infection (Xu and Ikezu 2009).

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#### Figure 1.

Purported pathways for amyloid accumulation in the HIV infected brain. After HIV enters past the blood brain barrier, a chronic state of viral replication causes an upregulation of the amyloidogenic pathology through the following mechanisms. 1) Infected microglia release pro-inflammatory cytokines; Tumor Necrosis Factor alpha (TNF $\alpha$ ) & Interleukin 1-beta (IL-1b) that up regulate  $\beta$  (BACE), and  $\gamma$  (gamma) secretases and lead to increases in A $\beta$ 42. 2) HIV nef proteins within and around infected astrocytes cause upregulation of A $\beta$ 42 leading to accumulation of chemokine ligand-2 (CCL2). 3) Once A $\beta$ 42 is invaginated into an endolysosome, HIV tat protein directly inhibits the activity of neprilysin from degrading the amyloid oligomers into inert fragments. These amyloid monomers can then coalesce into intracellular and extracellular oligomers and plaques. 4) HIV proteins can cause the caveolar transport of A $\beta$ 42 across the endothelial cells using the receptor for advanced glycation end products (RAGE). 5) HIV indirectly reduces the efflux of A $\beta$ 42 by lipoprotein receptor protein (LRP-1).

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#### Figure 2.

Regional and mean cortical specific value uptake ratios (SUVR) for HIV infected (HIV+) (red) and HIV– controls (gray). **A.** SUVR in the gyrus rectus, lateral temporal, cingulate, caudate, precuneus, occipital, prefrontal, and parietal were similar for both groups. Average values were lower than known thresholds for any region of interest (ROI). **B.** Mean cortical SUVR for the two groups were similar. Average SUVR ratios were less than established amyloid positive threshold criteria (>1.52 arbitrary units) shown as the blue line.



#### Figure 3.

Effects of aging on mean cortical SUVR in HIV+ and HIV– individuals. An increase in mean cortical SUVR was seen with aging for both groups but values did not exceed threshold criteria (>1.52). The slopes for HIV+ and HIV– individuals were 0.0028/year and 0.0025/year, respectively. No significant differences, or interactions were found between groups (p=0.86). Average SUVR ratios for both groups were less than established amyloid positive threshold criteria (>1.52 arbitrary units) shown as the blue dotted line.