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Vandetanib and indwelling pleural catheter for non-small cell lung cancer with recurrent malignant pleural effusion

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Abstract

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Background—Non-small-cell lung cancer patients with malignant pleural effusion have a poor overall median survival (4.3 months). Vascular endothelial growth factor (VEGF) is a key regulator of pleural effusion production. It is unknown if pharmacological inhibition of VEGF signaling modifies the disease course of non-small-cell lung cancer patients with recurrent malignant pleural effusion. We report the final results of a single-arm phase II clinical trial of the VEGF receptor inhibitor vandetanib combined with intrapleural catheter placement in patients with non-small-cell lung cancer and recurrent malignant pleural effusion, to determine whether vandetanib reduces time to pleurodesis.

Material and Methods—Non-small-cell lung cancer patients with proven metastatic disease to the pleural space by pleural fluid cytology or pleural biopsy who required intrapleural catheter placement were eligible for enrollment. On the same day of the intrapleural catheter insertion, the patients were started on a daily oral dose of 300 mg vandetanib, for a maximum of 10 weeks. The primary endpoint was time to pleurodesis, with response rate as the secondary endpoint. Exploratory analyses included measurement of pleural fluid cytokines and angiogenic factors before and during therapy.

Results—Twenty eligible patients were included in the trial. Eleven patients completed 10 weeks of treatment. Median time to pleurodesis was 35 days (95% confidence interval 15, NA). Median time to pleurodesis in the historical cohort was 63 days (95% confidence interval 45, 86) when adjusted for ECOG performance status 2.

Conclusions—Vandetanib therapy was well tolerated; however it did not significantly reduce time to pleurodesis.

Introduction

Recurrent malignant pleural effusion (MPE) is a debilitating condition associated with significant morbidity and worsening of quality of life. The median overall survival time is short, changing only slightly by tumor site (breast cancer, 7.4 months; non-small cell lung cancer [NSCLC], 4.3 months; and ovarian cancer, 9.4 months (1)) and it appears to be associated with performance status (2).Therapy for MPE typically involves mechanical evacuation of the effusion to relieve dyspnea, as a palliative treatment. Different techniques are used to mechanically evacuate the effusion including repeated thoracentesis, tube thoracostomy, indwelling pleural catheter drainage, and pleurodesis.

Use of a chronic indwelling intrapleural catheter (IPC) was introduced over a decade ago as an alternative to pleurodesis for the management of MPE. IPC was found to be safe, equally effective, and it was associated with fewer hospitalization days and with lower costs when compared to pleurodesis achieved by tube thoracostomy and doxycycline in an outpatient setting (3, 4). Therefore, at our institution in recent years IPC placement has become common practice as first-line option in all patients with a recurrent and symptomatic MPE. Published data show that pleurodesis can be achieved in 40% to 70% of patients, with times to catheter removal ranging from 8 to 283 days, depending on the characteristics of the population studied and the strategy used to drain the pleural fluid (3, 5-8).

Several studies have examined the utility of intrapleural drug administration for management of MPE, however none of the studied drugs so far has reached clinical approval $(9, 10)$..

Vascular endothelial growth factor (VEGF), also known as vascular permeability factor, is considered one of the key regulators of pleural effusion pathophysiology (11), and high levels of VEGF have been found in diverse exudative effusions in patients with malignant and non-malignant disease (12-14). A direct relationship between VEGF production and pleural effusion formation was found in an animal model of lung cancer (15). Furthermore, transfection with an antisense VEGF gene reduced pleural effusion formation in a highly VEGF-expressing cell line, and transfection with sense VEGF gene to a cell line that did not produce pleural effusion resulted in effusion formation (15). Using the same animal model, Yano *et al.* induced a reduction in the formation of MPE by inhibiting VEGF receptor tyrosine kinase phosphorylation with vatalanib (PTK787; Novartis, Switzerland) (16). Another study demonstrated that fluid from pleural effusions and ascites from human patients activated human umbilical vein endothelial cell proliferation *in vitro*, and that the amount of activation correlated with the amount of VEGF in the fluid. Furthermore, the endothelial cell proliferation and activation was inhibited when the preparation was coincubated with a VEGF receptor (VEGFR) tyrosine kinase inhibitor (17).

Vandetanib [*N*-(4-bromo-2-fluorophenyl)-6-methoxy-7-[1-methylpiperidin-4-yl)methoxy] quinazolin-4-amine] is a low-molecular-weight, orally bioavailable, multi-kinase inhibitor of VEGFR-2 (a kinase insert domain receptor), VEGFR-3, and epidermal growth factor receptor (EGFR) (18). Vandetanib has been shown to inhibit VEGF signaling, angiogenesis, and the growth of human tumor cell xenografts in nude mice (18). In addition, it has shown activity both *in vitro* and *in vivo* against tumor cells that expressed EGFR but not VEGFR-2 (19), as well as inhibition of pleural effusion in nude mice inoculated with human NSCLC adenocarcinoma cells (20).

Patients with locally advanced or metastatic NSCLC were randomized to receive docetaxel with placebo or with vandetanib after first-line chemotherapy failed. Treatment with vandetanib plus docetaxel significantly improved progression-free survival when compared to treatment with placebo plus docetaxel (21). However, vandetanib used as single agent did not show an overall survival advantage in another published randomized placebo-controlled phase III clinical trial (22).

The rationale of our trial was based on *in vivo* preclinical findings showing inhibition of MPE in an orthotopic mouse model of lung adenocarcinoma treated with vandetanib (23). However, it is currently unknown if pharmacological inhibition of VEGF signaling modifies the disease course of non-small-cell lung cancer patients with recurrent malignant pleural effusion.

We report the final results of a phase II clinical trial of vandetanib in addition to IPC placement in NSCLC patients with MPE. Our study tested the hypothesis that inhibition of VEGFR activation with vandetanib may decrease pleural fluid production in patients with NSCLC and recurrent MPEs, reducing the time to pleurodesis after IPC placement.

Methods

Calculation of Sample Size

This was a single-arm phase II study to evaluate the effect of vandetanib on the management of pleural effusions in NSCLC patients. The primary endpoint was time to pleurodesis after IPC insertion. Based on a historical cohort of 199 patients with NSCLC and metastatic pleural disease from our institution (5), the estimated median time to removal of catheter due to achievement of pleurodesis was calculated as 48 days. To calculate the sample size of the study, we estimated the hazard ratio between patients treated with vandetanib and the historical controls to be 2.0, assuming the median time to pleurodesis of patients treated with vandetanib would be 24 days. Using a log-rank test with a one-sided type I error of 5% and 90% power, we estimated we could detect differences with a cohort of 22 patients. The sample size was calculated with STPLAN v4.3 (MD Anderson, Houston, TX, USA). The protocol and the informed consent were approved by The University of Texas MD Anderson Cancer Center institutional review board (protocol 2005-0929).

Eligibility Criteria and Patient Selection

We included NSCLC patients who had been diagnosed with metastatic disease to the pleural space proven by pleural fluid cytology or pleural biopsy and who required IPC placement because of symptomatic recurrent pleural effusions between May 2007 and February 2010. Eligibility criteria included Eastern Cooperative Oncology Group (ECOG) performance status of 2; signed informed consent before any study-related procedures; age 18 years; and normal hematopoietic, hepatic, and renal functions.

Exclusion criteria included chemotherapy or other anticancer therapy in the 3 weeks before the study and previous diagnosis of other invasive cancers except for adequately treated basal cell carcinoma, skin squamous cell carcinoma, *in situ* cervical cancer, or other cancers from which the patient was disease-free for at least the 2 years before study enrollment. In addition, patients with pre-existing IPC placement for recurrent symptomatic MPE and with arrhythmias including corrected Q-to-T wave interval (QTc) prolongation and left bundle branch block were excluded. Concomitant use of the known potent inducers of cytochrome P450 3A4—rifampicin, phenytoin, carbamazepine, barbiturates, and St John's wort—were not allowed within 2 weeks of study or during the study. Palliative radiotherapy to extrathoracic sites 2 weeks before study enrollment was allowed.

Treatment and Study Procedures

Patients enrolling in the study received oral vandetanib at a dose of 300 mg once a day for a maximum of 10 weeks. The study medication was started on the day of IPC insertion. Patients were instructed to drain the pleural fluid daily until they experienced chest discomfort or persistent cough or until fluid drainage resolved. Patients were asked to record the amount of pleural fluid drainage on a log provided to them. If daily drainage decreased 150 mL daily for 3 consecutive days, patients were instructed to drain the pleural fluid every other day. While draining every other day, if the amount of pleural fluid obtained was

150 mL on three consecutive occasions, patients were evaluated clinically and roentgenographycally with posteroanterior and lateral chest radiographs. Patients who

showed improvement of dyspnea, had drained 150 mL of pleural fluid every other day 3 times, had ≤ 50% re-accumulation of pleural fluid on chest radiographs, and had no need for further therapeutic interventions on the affected hemithorax were considered to have attained pleurodesis and their IPCs were removed.

Patients were evaluated at weeks 2, 6, and 10 by means of complete interim histories and physical examinations, including measurement of vital signs, ECOG performance status, and weight.

Study Endpoints

The primary endpoint of the trial was time to pleurodesis, calculated as the number of days between IPC insertion and removal. Secondary endpoints were rates of patient response to treatment and cytokine and angiogenic factor (CAF) profiles of pleural fluid at baseline and at subsequent time points (2, 6, and 10 weeks). Objective responses were evaluated according to the Response Evaluation Criteria in Solid Tumors (RECIST) as described in previous studies (24).

Toxicity

Adverse events were coded according to Common Terminology Criteria of Adverse Events (CTCAE), version 3. Dose modifications of vandetanib to levels −1 and −2 (200 and 100 mg/daily, respectively) were instituted in case of severe (grade 3) toxicity. For grade 4 toxicity, the dose of the study drug was withheld for up to 3 weeks, until the toxic effect was resolved to CTCAE grade 1 or disappeared.

Correlative Studies

We evaluated 63 different CAFs from pleural effusion obtained from consented patients enrolled in our trial. To our knowledge, this is the first study investigating CAF profiles in pleural effusion of NSCLC patients undergoing antiangiogenic therapy. We analyzed these CAFs in the pleural fluid at baseline, 2, 6, and 10 weeks, and correlated them with time to pleurodesis and objective responses. Samples were centrifuged at $1,100 \times g$ for 15 minutes at 4 °C for separation of plasma and mono nuclear cell layers. Samples were stored at −70°C to −80°C. Before analysis, samples were thawe d overnight at 4 °C and centrifuged at 1,500 $\times g$ to remove debris. We measured pleural concentrations of 63 CAFs, at each of the four time points. We analyzed 59 factors with commercially available multiplexed bead suspension arrays (Bioplex, BioRad, Hercules, CA, USA). We analyzed osteopontin, CA-9 (carbonic anhydrase 9), Gas-6 and soluble VEGFR-2, using enzyme-linked immunosorbent assays (R&D Systems, Minneapolis, MN, USA) as previously reported (25), all per the manufacturer's instructions, using a BioPlex 200 machine (Bio-Rad) in the Thoracic Blood-Based Biomarkers Laboratory at The University of Texas MD Anderson Cancer Center. Each sample was analyzed in duplicate CAF concentrations from all time points for each patient in the same enzyme-linked immunosorbent assays and multiplexed bead suspension arrays to minimize interexperimental variability. Analytes for which > 25% of patients had non-detectable levels were not included in the subsequent analyses. We analyzed the plasma samples blinded to clinical outcome.

Mutation analysis was conducted for *EGFR* and *KRAS* genes on paraffin embedded cell blocks obtained from the patients pleural effusion specimens as previously described (26, 27).

Statistical Analysis

We used the Kaplan–Meier method to estimate time to pleurodesis and the log-rank test to compare the time to pleurodesis of the studied population with the historical cohort. CAF marker levels underwent log base 2 transformation before analysis to satisfy the normality assumption. The change of a CAF marker was calculated as the difference of CAF concentration at each time point from the baseline concentration on the log-transformed scale and Wilcoxon signed-rank test then to assess whether the change was significantly different from 0. The Cox proportional hazards analysis was applied to assess the effect of baseline CAF marker levels on the time to catheter removal.

We used SAS version 9.1 (SAS Institute, Cary, NC, USA) and S-Plus version 7.0 (Statistical Sciences, Seattle, WA, USA) to carry out the computations for all analyses. A p-value of 0.05 was considered statistically significant.

Results

Patients

The clinical trial was terminated before reaching the target patient accrual due to vandetanib unavailability. Slow accrual was a contributing factor to early termination. Twenty eligible patients were included in the trial between May 2007 and February 2010. The majority of the enrolled patients were of Caucasian origin (85%), current or former smokers (85%), with stage IIIB-IV NSCLC (65%), adenocarcinoma histology (90%) and ECOG performance status 1 (70%). Patients clinicopathologic characteristics are shown in Table 1. The median age was 72 years (range, 46 to 80 years).

Primary Endpoint: Time to Pleurodesis

Eleven patients completed 10 weeks of treatment with vandetanib on protocol. Of the remaining nine patients who did not complete 10 weeks on protocol, 6 patients discontinued the study drug after 4 to 6 weeks due to disease progression and 3 patients between 3 and 5 weeks because of toxic effects, consisting of QTc prolongation, neurological symptoms, and uncontrolled atrial fibrillation. Reasons for IPC removal were: achievement of pleurodesis (80%), pleurodesis failure (15%) and death (5%) (Table 1). Median time to pleurodesis in the study group was 35 days (95% confidence interval [CI] 15, NA). We compared time to pleurodesis between treated group and historical cohort (48 days). Since the treated group included only patients with ECOG performace status 2, we calculated the median time to pleurodesis in our historical cohort including only patients with performance status $2(63)$ days; 95% CI 45, 86). The point estimate for median time to pleurodesis in the study was lower than either of the point estimates that were used in the control group (48 or 63 days). However, given the broad confidence intervals, the difference was not statistically significant (Figure 1A).

Median overall survival after IPC placement in the study group (10.6 months; 95% CI 7.1, NA) and in the historical control (6.6 months; 95% CI 5.0, 8.4) also was not statistically different ($p = 0.2$) (Figure 1B).

Secondary Endpoints

Objective Responses—Objective responses were evaluated by a dedicated radiologist who was blind to the patient clinicopathological characteristics. At 6 weeks from the start of the vandetanib therapy, two patients achieved a partial response, 13 patients had stable disease, and the remaining five patients had progressive disease (Table 1). Two of the 13 patients with radiological evidence of stable disease manifested worsening symptoms and were considered by the oncologist as having progressive disease (Table 1).

Correlative Studies—A detailed list of CAFs included in the assay and their baseline levels is shown in Table 2. Twenty pleural fluid samples collected at baseline and 18 samples collected at 2 weeks were available for statistical analysis. The number of pleural fluid samples collected at 6 and 10 weeks was insufficient for statistical correlations. When the baseline pleural fluid CAF profile was correlated with time to pleurodesis, high levels of human interleukin-1 receptor antagonist (IL-1 RA) showed a possible association with a lower probability of achieving pleurodesis after IPC placement (HR = 0.30; 95% CI 0.10, 0.92; $p = 0.04$). When baseline and 2-week pleural fluid CAF profiles were compared, a few CAFs showed a significantly increased or decreased level. However, we did not observe any significant association between changes in pleural effusion CAFs and time to pleurodesis or objective responses. Mutation analysis was conducted for *EGFR* gene on 20 tumor samples and for *KRAS* gene on 16 tumor samples. Results are described in Table 3. The two patients who responded to vandetanib had tumors that harbored *EGFR* sensitizing mutations: one had exon 21 L858R point mutation and the other had a 15 base-pair deletion in exon 19 (ΔE746_A750 GAA TTA AGA GAA GCA). Due to the small sample size of the *EGFR*and *KRAS* -mutated cases, statistical analyses on time to pleurodesis and overall survival were not reported.

Toxicity—Cumulative toxic effects for the patients are shown in Table 4. The only grade 4 toxicity observed in the trial was hypomagnesemia, reported in one patient. The most common grade 3 toxic effects were fatigue, weight loss, diarrhea, constipation, prolonged QTc, hypertension, rash, dyspnea, hypoxia, infection without neutropenia, and hyperkalemia. All other toxic effects were grade 1 and 2.

Discussion

We report the results of the first phase II clinical trial of a single-agent multitargeted tyrosine kinase inhibitor, vandetanib, in combination with placement of an indwelling IPC to control re-accumulation of pleural fluid in NSCLC patients with recurrent MPE. Vandetanib therapy did not significantly reduce time to pleurodesis.

The sample size estimation for this phase II trial was based on the median time of IPC removal of the historical control that included 199 NSCLC patients (5), which was calculated to be 48 days. However, in the final analysis, the median time to IPC removal in

the historical cohort was adjusted to 63 days when we included only patients with NSCLC and ECOG performance status of 2, rendering the group more similar to the study cohort. The trial nearly met, but did not complete, the targeted sample size of 22 patients and was closed because of slow accrual and unavailability of the study drug. Twenty eligible patients were included in the final analysis.

Time to pleurodesis after IPC placement could be influenced by lung re-expansion at baseline. In our clinical trial, the effect of lung re-expansion on time to pleurodesis was not investigated prospectively, and patients with both good and poor lung re-expansion after IPC placement were included in the study. We retrospectively investigated whether this variable influenced our primary study endpoint. Seven patients had $\,80\%$ lung re-expansion at the time of IPC insertion in our study, and their time to pleurodesis did not differ from that of the other patients.

Two patients whose tumors harbored an *EGFR* sensitizing mutation (10%) experienced a partial response from using single-agent vandetanib, and seven patients who achieved stable disease remained on the study drug beyond study completion. One patient whose tumor was *EGFR*-wild type received vandetanib for 16 months before experiencing disease progression. We are aware that *EGFR* mutations are known to increase the sensitivity of lung adenocarcinomas to EGFR-TK inhibitors, including vandetanib, and that they may have been involved in the MPE's responses to the study drug (28). Due to the small sample size of the *EGFR*-mutated and *K-RAS*-mutated samples, statistical conclusion could not be drawn on the time to IPC removal. In addition, other genetic abnormalities may have played a role in the response and disease stabilization obtained by those patients, including the recently discovered *RET* fusion, as *RET* tyrosine kinase is one of the targets of vandetanib (29).

High baseline levels of IL-1 RA were associated with a lower probability of achieving pleurodesis after IPC placement. However, we have to take into account that this association might have been the result of multiple testing and needs to be further investigated. IL-1 RA is an anti-inflammatory cytokine that competes with IL-1α and IL-1β in binding to IL-1 receptors without intrinsic effects (30). IL-1 RA has been shown to be secreted by pleural macrophages in regulating IL-1 mediated inflammatory reactions in pleural fluids of patients with tuberculosis, pneumonia and cancer (31). In addition, human bronchogenic carcinoma cells may contribute to the levels of IL-1 RA in pleural effusions (32). High levels of IL-1 RA in pleural effusion may be a marker of a low inflammatory response and therefore a low probability of achieving pleurodesis.

Among the secondary endpoints of our trial was vandetanib toxicity profile. The most frequent grade 3 or 4 side effects were fatigue and QTc prolongation, which are in line with already published data about single-agent vandetanib therapy (22).

In summary, although our treatment strategy did not provide the expected results, future studies addressing the potential benefit of a shorter time to pleurodesis using a combination of mechanical removal of fluid with IPC and the use of systemic targeted agents that affect the production of MPEs are warranted. These studies should consider adjusting for lung re-

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Figure 1.

Time to pleurodesis (A) and overall survival (B) of patients enrolled in the study and historical controls. IPC= intrapleural catheter; $E =$ events; $N =$ number; $+=$ censoring times.

Table 1

Patients clinicopathological characteristics.

No. = number; IPC = intrapleural catheter; PR = partial response; SD = stable disease; PD= progressive disease.

*** Stage at time of initial diagnosis.

Table 2

Baseline levels of cytokines and angiogenic factors.

IL-9 5.12 (2.4, 8.57) IL-10 4.98 (4.18, 8.61)

Definition of abbreviations: CA-9= carbonic anhydrase 9; EGF = epidermal growth factor; EGFR = epidermal growth factor receptor; FGF = basic fibroblast growth factor; Gas-6 = growth arrest-specific 6; G-CSF = granulocyte colony-stimulating factor; HGF = hepatocyte growth factor; ICAM-1 = intercellular adhesion molecule 1; IL = interleukin; MMP = matrix metalloproteinase; IL-1RA = interleukin-1 receptor antagonist; INF = interferon; MIG = monokine induced by interferon gamma; IP-10 = interferon gamma– induced protein 10; LIF= leukemia inhibitory factor;

MCP-3L/CCL7 = monocyte-specific chemokine-3; M-CSF = macrophage colony-stimulating factor; MCP-1 = macrophage chemoattractan protein-1; MIG = monokine induced by gamma interferon; MIF = macrophage migration inhibitory factor; MIP = macrophage inflammatory protein; NGAL = neutrophil gelatinase-associated lipocalin; PDGF= platelet derived growth factor; PIGF = phosphatidylinositol-glycan biosynthesis class F protein; SCGF-b=stem cell growth factor-beta; SCF = stem cell factor; SDF-1 = stromal cell-derived factor-1; TNF = tumor necrosis factor; TRAIL = TNF-related apoptosis-inducing ligand; VEGF = vascular endothelial growth factor; VEGFR2 = vascular endothelial growth factor.

Table 3

Time to pleurodesis and median overall survival by *EGFR*- and *KRAS*-mutation analysis.

No.= number; OS= overall survival, d= days.

Table 4

Cumulative toxicity

No.= number; ANC=absolute neutrophil count; PTT=partial thromboplastin time.