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Identification of ENO1 as a potential sputum biomarker for early stage lung cancer by shotgun proteomics

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Abstract

Background—Lung cancer is the leading cancer killer. Early detection of lung cancer will reduce the related deaths. The objective of this study was to identify potential biomarkers in sputum supernatant for early stage lung cancer.

Materials and Methods—Using shotgun proteomics, we detected changes of protein profiles that were associated with lung cancer by analyzing sputum supernatants of six early stage lung cancer patients and five cancer-free controls. Using western blotting, we validated the proteomic results in 22 lung cancer cases and 22 controls. Using enzyme-linked immunosorbent assay (ELISA), we evaluated the diagnostic performance of the biomarker candidates in an independent set of 35 cases and 36 controls.

Results—Proteomics identified eight biomarker candidates for lung cancer. Western blotting validation of the candidates showed that enolase 1 (ENO1) displayed a higher expression level in cancer patients compared with cancer-free subjects (P=0.015). ELISA revealed that the assessment of ENO1 expression in sputum supernatant had 58.33% sensitivity and 80.00% specificity in distinguishing stage I lung cancer patients from cancer-free subjects.

Conclusion—the analysis of protein biomarkers in sputum may provide a potential approach for the early detection of lung cancer. Future validation of all the candidates defined by shotgun proteomics in a large cohort study may help develop additional biomarkers that can be added to ENO1 to have more diagnostic efficacy for lung cancer.

Introduction

Lung cancer is responsible for 29% of all cancer deaths in men and women, causing more deaths than breast, colon, and prostate cancers combined ¹. Approximately 85% of lung tumors are non-small cell lung cancers (NSCLCs) ². NSCLC comprises two major

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histological subtypes: squamous cell carcinoma (SCC) and adenocarcinoma (AC) 2 . The development of easily performed and noninvasively approaches for early detection of NSCLC followed by suitable treatments can reduce the mortality^{1, 3, 4}.

Sputum is one of the most noninvasively accessible body fluids. Numerous studies have shown that molecular genetic changes in the exfoliated respiratory epithelial cells of sputum could provide potential biomarkers for early stage lung cancer ^{56, 7, 8, 9, 10, 11}. The exfoliated epithelial cells in sputum mainly comprise 1), bronchial epitheliums that are derived from centrally located tumors that mainly are SCC, 2), respiratory epitheliums that may share clonally molecular genetic lesions with SCC tumors, however not directly shed from the primary SCC tumors. Therefore, the bronchial epithelial cell-based analysis often provides higher accuracy for SCCs that are centrally located in the lungs compared with ACs that arise peripherally ^{56, 78, 9, 10, 11}.

The previous studies of the exfoliated epithelial cells in sputum have mainly focused on genetic and epigenetic analysis of nucleic acids to measure the sequence, copy number, mutation, methylation, and expression changes of genes ^{56, 78, 9. 10, 11}. However, few of the genetic and epigenetic analytic approaches in sputum have been integrated into clinical practice and shown an impact on reducing the mortality of NSCLC. Proteins are the ultimate products of gene expression and more diverse than DNA or RNA. Furthermore, alternative splicing and more than 100 unique post-translational modifications from each gene can create tens to hundreds of species of protein ¹². In addition, many physiologic changes are mediated post-transcriptionally, and will not be revealed at the nucleic acid level ¹³. Moreover, proteins are more dynamic and reflective of cellular physiology, and carry more information than nucleic acids. Therefore, the analysis of protein changes in sputum may provide an alternative means for diagnosis of early stage lung cancer.

Differing from the epithelial cells exfoliated from local respiratory tract sites, sputum supernatant is a circulating cell-free body fluid, which may contains molecules originating from primary tumors either as a result of metastasizing cells or the leakage from the tumors into the circulation. The assessment of the circulating molecules, e.g., proteins, in sputum supernatants may present a potential approach to help diagnosis of lung cancer, particularly ACs that are difficult to be detected by <u>studying</u> the exfoliated epithelial cells.

Mass spectrometry (MS)-based proteomics represents an important technologic choice for arraying and characterizing constituent proteins. MS was used to characterize protein expressions in bronchoalveolar lavage fluid from individuals with cystic fibrosis and discover potential biomarkers for the disease ¹⁴⁻¹⁶. Of the proteomic techniques, shotgun proteomics combing liquid chromatography (LC) and MS can globally delineate proteome profiles in complex mixtures and rapidly identify biomarker candidates in clinical samples ¹⁷.

This study, which represents the first proteomic study using in-gel digestion coupled with LC/MS to address differential proteins of sputum supernatants in subjects with lung cancer versus control individuals, aims to identify protein biomarkers in sputum that my potentially be useful in the early detection of the disease.

Materials and Methods

Subjects and sputum collection and preparation

The diagram in Figure 1 describes the design for biomarker discovery and validation in this study, which was performed under a research protocol approved by the Institutional Review Board of University of Maryland Baltimore. As shown in Figure 1 and Table 1, a total of sixty-four lung cancer patients and 64 cancer-free smokers were enrolled. In phase 1, we discovered significant changes of protein profiles that were associated with lung cancer by analyzing sputum supernatants of six early stage lung cancer patients and five cancer-free controls. In phase 2, using western blotting, we validated the results in 22 lung cancer cases and 22 controls. In phase 3, using enzyme-linked immunosorbent assay (ELISA), we evaluated the diagnostic performance of the biomarker candidates in an independent set of 35 cases and 36 controls. Geographic and clinical characteristics of the cases and controls are shown in Table 1. The cancer patients were stage I NSCLC patients before receiving surgical treatment, preoperative adjuvant chemotherapy and radiotherapy. Inclusion criteria for cancer-free controls were individuals who had no a history of cancer in the last three years at the time of enrollment. Clinical diagnosis of lung cancer was made with histopathologic examinations of specimens obtained by CT-guided transthoracic needle biopsy, transbronchial biopsy, videotape-assisted thoracoscopic surgery, or surgical resection. The surgical pathologic staging was determined according to the TNM classification of the International Union Against Cancer with the American Joint Committee on Cancer and the International Staging System for Lung Cancer. Histopathological classification was determined according to the World Health Organization classification.

Sputum was collected from the participants as described in our previous reports ^{10, 11, 18-23}. Immediately after sputum sample was received, sputum supernatant was prepared as previously described ²⁴. Briefly, four volumes of phosphate buffered saline (PBS) (Sigma-Aldrich Corporation, St Louis, MO) were added in each sample. The samples were incubated on a roller at room temperature for 15 minutes, and then filtered through 48-m nylon gauze. The sputum supernatant was collected after centrifugation at $400 \times g$ for 10 minutes and stored at -80° C until use.

One-dimensional gel electrophoresis (1D-GE)

1D-GE analysis was performed as previously described ²⁵. Briefly, a total of 20µg of protein from each sample was incubated at 70 °C for 10 min in lithium dodecyl sulfate (LDS)-sample buffer (Sigma-Aldrich Corporation) and electrophoresed on 4% to 12% Bis-Tris sodium dodecyl sulfate (SDS)-Polyacrylamide gel electrophoresis (PAGE) (Invitrogen, Carlsbad CA) gels according to the manufacture's protocol. The gel was then stained with Coomassie blue R-250 (Merck Millipore, Billerica, MA) and destained as previously described ²⁶.

In-gel preparation of proteins for MS

1X1-mm pieces were washed with 100 mM NH4HCO3, shrunken with acetonitrile (Burdick and Jackson, Muskegon, MI), and dried in a vacuum centrifuge (Labconco, Kansas City, MO). Reduction was carried out with 10 mM dithiothreitol in 100 mM NH4HCO3 for 1 h at

60 °C followed by alkylation with 55 mM iodoacetamide in 100 mMNH4HCO3 (Sigma-Aldrich Corporation) for 45 min at room temperature. Rehydration with digestion solution (50 μ l of H2O, 50 μ l of 100 mM NH4HCO3, 5 μ l of CaCl2, and 1.5 μ g of trypsin (Promega, Madison, WI) was performed on ice for 45 min. Any remaining supernatant was removed, and 25 μ l of digestion buffer (digestion solution without trypsin) was added for overnight enzymatic cleavage at 37 °C. Peptides were extracted at 37 °C for 15 min with shaking once with 50 mM NH4HCO3, pH 7.8, and twice with 5% formic acid/acetonitrile (Sigma-Aldrich Corporation).

LC-MS analysis and protein identification

Reversed phase separation of peptides was performed using a Surveyor liquid chromatography system (Thermo Scientific, Waltham, MA) as previously described ²⁷. Briefly, peptides were loaded onto desalting peptide trap (Michrom Bioresources, Auburn, CA) using an autosampler (Thermo Scientific). All MS analyses were performed using an LCQ Deca mass spectrometer (Thermo Scientific) equipped with a nanospray ionization source. Peptides were introduced into the mass spectrometer via a 75 µm ID/15 µm tip ID C18-packed PicoFrit®column (New Objective, Woburn, MA). The spray voltage was 2.0 kV and the heated capillary temperature was 200 °C. MS data was acquired using a top 3 data-dependent acquisition method with dynamic exclusion enabled. MS spectra was searched against a human database (downloaded on Nov. 29, 2007 from NCBI; 88,334 sequences) by using Sorcerer-SEQUEST (SageN Research, Milpitas, CA). The quality of peptide and protein assignments was assessed using PeptideProphet and ProteinProphet. Proteins with probabilities of 0.9 and 2 unique peptides were accepted as confidently identified peptides.

Western blotting

A total of 40µg sputum supernatant protein was incubated at 95 °C for 2 min in SDS-sample buffer, electrophoresed on a 4-20% PAGE gel, and transferred to chemiluminescence membranes (Amersham-Pharmacia Biotech Piscataway, NJ). The membranes were blocked with 5% fat free milk in PBS-tween for 30 min at room temperature and incubated with murine anti-ENO1 monoclonal IgM (Abnova, Walnut, CA) overnight at 4 °C. After three washes with TBST and tween, the membranes were incubated with IgG-horseradish peroxidase (HRP) secondary antibody (Sigma-Aldrich Corporation) and visualized with chemiluminescence (Pierce, Rockford, IL). The band density was analyzed by Kodak 1D 3.6 imaging system (Kodak, New Haven, CT) and the ratio ENO1 to beta-actin was calculated.

ELISA for detection of ENO1 in sputum supernatant specimens

ELISA analysis for determining ENO1 level was carried out using a protocol established by Shih et al ²⁸ with modifications. Briefly, 100 ml of 5 mg/ml murine anti-ENO1 monoclonal IgM (Abnova) in adsorption buffer was added to each well of a 96-well plate, which was incubated overnight at 4°C. The wells were washed with 200 ml of binding buffer. After adding 200 ml of blocking buffer, the plates were incubated at 37°C for 90 min and washed with 200 ml of binding buffer. Sputum supernatant samples were diluted with binding buffer at a final dilution of 1:10, and added 100 ml to each well. The plate was then incubated at room temperature for 30 min. After additional washing with binding buffer, 100 ml of 20

mg/ml rabbit anti-ENO1 polyclonal IgG (Abcam, San Francisco, CA) was added to each well and incubated for 30 min. 100 ml of goat anti-rabbit IgG-HRP (Abcam), diluted 1:5,000, was added to each well and then incubated at room temperature for 30 min. After washing again, bound antibody-HRP was detected by incubation with 2-2'-azino-di-(3ethylbenzthiazoline sulfonic acid) peroxidase substrate (KPL, Gaithersburg, MD). The reaction was stopped with 5% sodium dodecyl sulfate, and the optical density (OD) was measured in an ELISA reader (BMG LABTECH Inc, Cary, NC) at 405 nm. For the standard curve, serial dilutions of the ENO1 antibody were plotted with corresponding OD405 values. Each sample was analyzed in triplicate.

Statistical analysis

We used t-test to determine significant differences of values between groups. We applied Pearson's correlation analysis to assess relationship between ENO1 level and demographic characteristics of the patients and cancer-free controls. We used clinical and pathologic diagnoses as reference standards to estimate sensitivity and specificity of the analysis of ENO1. We applied the receiver-operator characteristic (ROC) curve and area under the curve (AUC) analyses to determine the accuracy of <u>using ENO1 as a potential biomarker</u> in a given specimen. All P values shown were two sided, and a P value of <0.05 was considered statistically significant.

Results

Characteristics of sputum supernatant proteins by quantitative MS

To identify potential biomarkers for lung cancer, proteins of sputum supernatants of six lung cancer patients and five healthy controls were separated by SDS-PAGE. As shown in Figure 2, all the samples had a major band around 50 kDa. The bands were then excised from all the samples and submitted for in-gel digestion and LC/MS analysis. After deconvolution of raw MS data, interrogation of human protein database produced a total of 29 unique proteins (Supplementary Table 1) in the specimens. Of the proteins, eight showed statistical difference between lung cancer and cancer-free groups (All P values less than 0.01) (Table 2). Of the eight proteins, five displayed a high level of expression, while three showed a low level in sputum supernatants of lung cancer patients compared with cancer-free individuals. The five proteins having a high level in sputum of lung cancer patients included ENO1, membrane protein DAP10, guanine nucleotide exchange factor, low density lipoprotein receptor related protein-deleted in tumor, and hemopexin. The three proteins showing a reduced level in sputum of lung cancer patients were transmembrane secretory component (poly-Ig receptor), lactoferrin precursor, and high molecular weight salivary mucin MG1. The expression level of ENO1 was approximately four times higher than that of the rest proteins in the samples of lung cancer patients. Because ENO1 presented the highest level in lung cancer patients compared with cancer-free individuals, it was used for follow-up validation in this present study.

Validation of LC/MS data by western blotting

Western blots of sputum supernatants of 22 lung cancer patients and 22 cancer-free individuals were probed with specific antibody to ENO1 protein. As shown in Figure 3, after

normalizing with beta-actin, sputum supernatants of lung cancer patients exhibited a higher level of ENO1 compared with cancer-free individuals (1.85 ± 0.156 vs. 1.37 ± 0.113 , P=0.015). Therefore, the results of western blotting for the analysis of ENO1 in a different cohort of samples were consistent with the shotgun proteomics data.

Evaluation of the diagnostic performance of ENO1 by ELISA in an independent set of 35 cases and 36 controls

Level of ENO1 determined by ELISA in sputum supernatants of lung cancer patients was significantly higher than in cancer-free individuals $(332.87 \pm 28.10 \text{ vs. } 210.53 \pm 26.61, p=0.002)$ (Fig. 4A). Furthermore, the assessment of ENO1 generated AUC value of 0.71 (95% confidence interval: 0.59 to 0.83; P=0.002) in distinguishing NSCLC patients from the controls (Figure 4B). Given a specificity of 80.00%, analysis of ENO1 revealed a sensitivity of 58.33% in differentiating NSCLC patients from the cancer-free subjects. Furthermore, given a sensitivity of 77.78%, analysis of ENO1 produced a specificity of 65.71% in the set of cases and controls. The prevalence of ENO1 level in sputum was related with pack-years of smoking (P < 0.05), however, not associated with patient age and gender. In addition, there was no association of the changes of ENO1 expression with the histological tumor type and location of lung tumors (all P > 0.05). The observations imply that the assessment of ENO1 in sputum supernatants might be potentially useful in diagnosis of not only SCCs that is often centrally located, but also ACs that arise peripherally in the lungs.

Discussion

Using 1DE gel and LC/MS-based shotgun proteomics, we separated protein changes in sputum supernatants and found that ENO1 displayed a high expression level in samples of lung cancer patients. ENO1 is present on the surface of cells and plays an important role in tissue invasion and metastasis^{29, 30}. The enzymatic role of ENO1 is to convert 2phosphoglycerate to phosphoenolpyruvate ^{29, 30}. Activated ENO1 has a direct coupling to glycolytic activity $^{29, 30}$. Furthermore, ENO1 could be upregulated by HIF1 α in response to hypoxia ³¹. Dysregulation of ENO1 has been suggested to involve in tumorigenesis. For instance, using a functional genomic approach, we previously found that ENOI was one of the genes with increases in both genomic copy number and transcript in lung cancer cells compared with normal cells ³². Furthermore, a meta-analysis of gene-expression and expressed sequence tags showed that ENO1 was overexpressed in up to 50% of 24 different types of human tumors, including lung cancer ³³. In addition, we developed a panel of six genes (including ENO1) that could be detected in sputum via in situ technique. The assessment of the panel of genes produced 86.7% sensitivity and 93.9% specificity for diagnosis of lung SCCs ¹¹. Moreover, plasma ENO1 level of NSCLC patients was significantly higher than that in plasma of healthy individuals ³⁴. This present study extends the previous findings by demonstrating that testing protein level of ENO1 in sputum supernatant might have the potential to be used for lung cancer diagnosis.

Previous studies ^{56, 78, 9, 10, 11} suggested that cytological and molecular studies of exfoliated bronchial epitheliums in sputum were more accurate to identify SCC tumors that predominantly located in central areas of the lungs. However, the cell-based approaches

have low accuracy for the diagnosis of ACs, which are the most common type of lung cancer and frequently occur in the peripheral region of the lungs. <u>Interestingly, the</u> analysis of ENO1 in sputum supernatant has similar sensitivity and specificity for diagnosis of SCCs and ACs, suggesting the potential biomarker could not only detect SCCs, but also discover ACs. These observations may be clinically important, as future use of biomarkers in sputum supernatant would improve the detection rate for ACs that are more difficult to be found by using the previous cell-based techniques.

There are some weaknesses in this study. First, the sample size is small. Second, although 1D-GE is lesser expensive and more easily to be performed compared with 2D-GE, it has limitation in separating proteins as opposed to 2D-GE. We are carrying out a study using 2D-GE to comprehensively profile protein changes in sputum that are specific for lung cancer patients. Third, the specificity and sensitivity of the ENO1 biomarker are far too poor for distinguishing lung cancer patients from cancer-free individual. Moreover, the performance of the protein biomarker is inferior to the prior gene analyses ⁸⁻¹². Therefore, this potential biomarker is not now applicable in a clinical setting. We are extensively evaluating all the protein biomarker candidates defined by the shotgun proteomics in a large case-control study to identify additional biomarkers that can be added to ENO1 so that the diagnostic efficacy of the approach could be improved. Furthermore, future integrating the protein biomarkers with other types of biomarkers across sputum and plasma might provide an accurate and noninvasive approach for lung cancer diagnosis. The biomarkers might be useful to improve the accuracy of CT screening for lung cancer early detection. For example, using the biomarkers may help identify lung tumors from the indeterminate nodule identified by CT analysis. Moreover, we will compare the protein-biomarkers with cytological diagnosis in a future study.

Conclusion

Using shotgun proteomics together with western blotting and ELISA, we identify sputum ENO1 as a biomarker candidate for lung cancer. Although the one biomarker-based test is insufficiently discriminative to support undertaking a multicenter clinical trial, the findings from this study may lay the basis to perform a next step to develop multiple biomarkers that might have future clinical utility.

Clinical Practice Points

To develop biomarkers in sputum for lung cancer early detection, the previous studies have mainly focused on genetic and epigenetic analysis of nucleic acids to measure the sequence, copy number, mutation and methylation, and expression changes of genes in exfoliated respiratory epithelial cells of sputum. However, few of the biomarkers have been integrated into clinical practice for lung cancer early detection. Differing from the epithelial cells exfoliated from local respiratory tract sites, sputum supernatant is a circulating cell-free body fluid, which may contains molecules originating from primary tumors either as a result of metastasizing cells or the leakage from the tumors into the circulation. We hypothesized that the assessment of the circulating molecules in sputum supernatants may present a potential approach to help diagnosis of lung cancer. This study, which represents the first

proteomic study by using in-gel digestion coupled with LC/MS to address differential proteins of sputum supernatants in lung cancer patients versus control individuals, aims to identify protein biomarkers for early stage lung cancer. We identify ENO1 as a potential biomarker in sputum supernatants that may help diagnose early stage lung cancer. Future studies for identifying additional biomarkers in sputum that can be added to ENO1 to improve lung cancer early detection are required.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Overall study design and patient flow.

Sixty-four lung cancer patients and 64 cancer-free smokers were enrolled. In phase 1, sputum supernatants of six early stage lung cancer patients and five cancer-free controls were analyzed by using shotgun proteomics to detect protein profiles of lung cancer in the specimens. In phase 2, sputum supernatants of 22 lung cancer cases and 22 controls were analyzed by using western blotting to validate the proteomic results. In phase 3, sputum supernatants of 35 cases and 36 controls were analyzed by using enzyme-linked immunosorbent assay (ELISA) to evaluate the diagnostic performance of the identified biomarker candidates.



Figure 2.

One-dimensional SDS-Polyacrylamide Gel Electrophoresis (1D SDS-PAGE) of protein samples of sputum supernatants.

1D SDS-PAGE analysis of protein samples of sputum supernatants of six early stage lung cancer patients (C1-6) and five cancer-free controls (N1-5).



Figure 3.

Validation of proteomic findings using western blotting.

A. Western blots of protein samples of sputum supernatants of early stage lung cancer patients (C) and cancer-free controls (N) were probed with antibodies specific for ENO1 and beta-actin. B, ENO1 expression was normalized with beta-actin. Sputum supernatants of lung cancer patients had a higher level of ENO1 compared with cancer-free individuals.



Figure 4.

Expression level of ENO1 determined by ELISA in 35 stage I NSCLC patients and 36 controls.

ELISA to determine ENO1 expression in sputum supernatants of 35 stage I NSCLC patients and 36 controls was performed with antibody specific for ENO1. A, ENO1 had a significantly higher level in lung cancer patients than in cancer-free individuals (p=0.002). B, the ELISA analysis produced AUC value of 0.71 (95% confidence interval: 0.588 to 0.833; P=0.002) in distinguishing lung cancer patients from the controls.

Table 1

Demographic and clinical data of 64 lung cancer patients and 64 cancer-free controls

Age, years	<u>ung Cancer Fauents</u> Mean	<u>%</u>	<u>Cancer-free Controls</u> Mean	<u>%</u>
	67.1		6.9	
Gender				
Men	43	67.18	44	68.75
Women	21	32.82	20	31.25
Race				
White American	40	62.5	43	67.19
African American	24	37.5	21	32.81
Smoking, pack-years	48.6		39.6	
	26.9		18.6	
Stage A	Il are stage I NSCLC			
Histology				
Adenocarcinoma	36	56.25		
Squamous cell carcinoma	28	43.75		
Location of lung cancer				
Peripheral	35	54.69		
Central	29	45.31		

Table 2

Proteins showing difference in sputum supernatants between lung cancer patients and cancer-free controls

Genbank Ac	cession Definition	Log2 (cancer/control)*
NP_001419	enolase 1	19.18‡
AAD47911	membrane protein DAP10	4.13 $%$
AAF36817	guanine nucleotide exchange factor	4.06%
AAF70379	low density lipoprotein receptor related protein-deleted in tumor	$3.12 {\#}$
AAA58678	hemopexin	2.53 1
AAB20203	transmembrane secretory component; poly-Ig receptor;	0.68 a
AAG48753	lactoferrin precursor	0.56 a
AAB61398	high molecular weight salivary mucin MG1	0.43 a
* All P values a	ue less than 0.05.	
$\sharp^{t}_{ ext{the proteins h}}$	aving a high level in sputum of lung cancer patients.	

 $\boldsymbol{a}^{}_{}$ the proteins having a reduced level in sputum of lung cancer patients.