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## Biosynthesis of Fungal Indole Alkaloids

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### Abstract

This review provides a summary of recent research advances in elucidating the biosynthesis of fungal indole alkaloids. Different strategies used to incorporate and derivatize the indole/indoline moieties in various families of fungal indole alkaloids will be discussed, including tryptophan-containing nonribosomal peptides and polyketide-nonribosomal peptide hybrids; and alkaloids derived from other indole building blocks. This review also includes discussion regarding the downstream modifications that generate chemical and structural diversity among indole alkaloids.

### 1 Introduction

Indole alkaloids, one of the largest classes of nitrogen-containing secondary metabolites, contain one or more indole/indoline moieties.<sup>1</sup> They are widely found in plants, bacteria, fungi and animals. Fungi, especially *Ascomycota*, have been reported as prolific producers of indole alkaloids, many of which display potent biological activities.<sup>2</sup> In recent years, the availability of fungal genome sequences has significantly accelerated the identification of biosynthetic genes involved in the biosynthesis of secondary metabolites from fungi.<sup>3, 4</sup> Heterologous expression of biosynthetic genes has also provided detailed biochemical understanding of the enzymatic steps, including those involved in the biosynthesis of indole alkaloids.<sup>5</sup>

Different strategies to incorporate indole into the final alkaloid structures are found in fungal secondary metabolism. Not surprisingly, most of the indole precursors are related to L-tryptophan (**1**), the most abundant indole-containing species in the cell. The biosynthesis of **1** itself starts from chorismate in the shikimic acid pathway and involves the intermediates anthranilate (**2**) and indole-3-glycerol-phosphate (**3**). Intermediate **3** is transformed into indole, which can be coupled with serine to form **1**.<sup>6</sup> Tryptophan **1** can be decarboxylated and converted into tryptamine (**4**),<sup>7</sup> or be prenylated at C4 to yield 4-dimethylallyl tryptophan (4-DMAT) (**5**).<sup>8</sup> Feeding experiments with isotope-labeled precursors showed that **1**, as well as **3**, **4** and **5** can each serve as the biosynthetic precursor for the indole/indoline moieties in fungal indole alkaloids as summarized below and in Scheme 1.<sup>9-11</sup>

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- i. **1** can be directly activated by the adenylation domains of nonribosomal peptide synthetases (NRPSs) and be incorporated into NRPs and polyketides-NRP hybrid compounds. Indole alkaloids derived from cyclic dipeptides such as melegarin (**6**) from *Penicillium chrysogenum*,<sup>12</sup> quinazoline-containing tripeptides tryptoquialanine (**7**) from *Penicillium aethiopicum*<sup>13</sup> and polyketide-containing tetramic acids are examples that incorporate **1** using this mechanism.
- ii. The tryptophan precursor **3** can be an efficient indole donor as the glycerol-phosphate moiety at C3 can be substituted by an electrophile and leave as glyceraldehyde 3-phosphate. **3** serves as the indole donor during the biosynthesis of **1**. The same chemistry is employed in the synthesis of indole diterpene family of fungal natural products, such as paxilline (**8**) from *Penicillium paxilli*,<sup>14, 15</sup> in which 3-geranylgeranyl indole is formed upon C3 prenylation of **3**.
- iii. **1** can be prenylated at C4 by 4-dimethylallyl tryptophan synthase (4-DMATS) to yield **5**,<sup>16</sup> which can undergo several oxidative cyclization steps that lead to the formation of the characteristic ergoline ring (a fused tetracyclic system) found in ergot alkaloids.<sup>17</sup>
- iv. Decarboxylation of **1** leads to the formation of tryptamine **4**, which is a widely used building block in the biosynthesis of plant indole alkaloids. For example, the biosynthesis of monoterpene indole alkaloids from plant shares the common precursor strictosidine, which is the product of the enzymatic Pictet–Spengler condensation<sup>18</sup> between tryptamine and an iridoid monoterpene secologanin catalyzed by strictosidine synthase.<sup>19</sup> A few fungal indole alkaloids, such as communesin F (**9**) from the communesin family of natural products, also have one of the indole moieties derived from **4**.<sup>10</sup> However, biochemical evidences that confirms the direct incorporation of **4** as a precursor has not been reported. Interestingly in **9**, the second indole moiety is derived from **5**, illustrating a differential use of indole precursors in the same molecule.<sup>10</sup>

## 2 Biosynthetic Reactions on the Indole Ring

Incorporation of the indole ring into alkaloid scaffolds endows powerful reactivity that can lead to dramatic morphing of the compound into complex, polycyclic structures. This is due to the unique chemistry of the benzopyrrole bicyclic ring system to undergo reaction at three sites, N1, C2 and C3. Indole can undergo a variety of electrophilic substitution modifications at C2 and C3, and can be subject to epoxidation at C2-C3, which inverts the polarity on C2 and leads to nucleophilic addition at this position. Indeed, the rich reactivity of indole has rendered it a “privileged structure” in drug discovery.<sup>20, 21</sup> Here a brief overview of biosynthetic transformations involving indole will be discussed.

### 2.1 Electrophilic Substitution/Addition

Indole is an electron-rich heterocycle because of the conjugated nitrogen lone pair. Electrophilic substitution occurs readily on indole in the five-membered pyrrole ring since it is more electron rich compared to the benzene ring.<sup>22</sup> During the biosynthesis of indole alkaloids, the most commonly observed electrophile added to the indole ring is the

dimethylallyl carbocation generated from dimethylallyl-pyrophosphate (DMAPP). Of the three positions on the pyrrole ring, C3 is the preferred site to electrophilic addition. Although in most cases C3 is substituted, there is still a strong preference for attack at this position to give an iminium ion (**Scheme 2A**). The iminium species can be further subjected to C2 nucleophilic addition, frequently by an intramolecular nucleophile, to yield a fused indoline structure (**Scheme 2A**). The iminium ion can also undergo Plancher rearrangement in which alkyl migration yields a C3 arenium cation intermediate, that can be aromatized through C2 deprotonation to afford a 3-substituted 2-alkyl-indole (**Scheme 2A**).<sup>23</sup> Although there is a lack of evidence for the existence of the C3 arenium cation intermediate during indole prenylation, it is likely that C2 prenylation of a C3-substituted indole proceeds in this route.<sup>24, 25</sup> This is also partially supported by the observation of both C2 and C3 prenylated products during the biochemical characterization of an indole prenyltransferase (PTase).<sup>26</sup> An analogous prenyl migration was also proposed to take place between C3 and C4 positions via Cope rearrangement in the reaction catalyzed by fungal 4-DMATS.<sup>27</sup> In addition to prenylation, *S*-adenosylmethionine (SAM)-dependent C3 methylation is another example of electrophilic addition, such as during the biosynthesis of physostigmine, a pyrroloindole drug found in *Streptomyces* species.<sup>28</sup>

## 2.2 Indole Epoxidation

The C2-C3 double bond in indole can also be epoxidized by a flavin-dependent monooxygenase (FMO). The resulting indole 2, 3-epoxide is in equilibrium with a hydroxyiminium ion due to the epoxide ring-opening reaction initiated from the nitrogen lone pair. The hydroxyiminium species is electrophilic and can be readily attacked at C2 by a nucleophile and form a C3-hydroxylated indoline. The nucleophilic attack can be frequently intramolecular, such as from the amide nitrogen as in the case during notoamide D biosynthesis,<sup>29</sup> or be intermolecular, as from a free amino group during biosynthesis of **7**.<sup>13</sup> Interestingly, the presence of a C6 *O*-substitution in the benzyl ring can direct the 2, 3-epoxide intermediate to open at C3 position and undergo a semipinacol-like rearrangement, which results in the migration of the C2-substitution to C3 position to form a substituted 2-indolone, as in biosynthesis of notoamide C.<sup>29</sup> (**Scheme 2B**)

## 3 Biosynthetic Categories of Indole Alkaloids

### 3.1 Peptide Indole Alkaloids Synthesized from NRPS

NRPSs are large multimodular enzymes that assemble nonribosomal peptide (NRP) natural products through activating and joining of amino acid building blocks. Indole-containing NRPs are produced when a NRPS module incorporates an *L*-tryptophan into the final compound. Adenylation (A) domains in NRPSs are responsible for activation of individual amino acids, and formation of aminoacyl thioesters attached to the thiolation (T) domains. Prediction of A domain substrate specificity has been successful for bacterial A-domains and has been developed into automated web-based resources.<sup>30-32</sup> This method is based on the identities of residues within a radius of ~8 Å around the catalytic center (34-position signature sequence, as well as a concise 10 amino acid code) when mapped to the structure of the phenylalanine-activating A domain PheA.<sup>33, 34</sup> Application of this method towards predicting fungal A-domain specificity has been limited, with only few successful examples

such as for anthranilic acid (**2**).<sup>35</sup> Known bacterial NRPSs that utilize **1** as building block are relatively rare. A sequence logo<sup>36</sup> representation of the 10 AA code for A-domains specific for **1**, from five bacterial<sup>32</sup> and eight fungal NRPS examples, are shown in **Figure 1**. For comparison purpose, a comprehensive sequence logo of the 10 AA for >800 fungal A domains<sup>32</sup> is shown. Among A domains that activate **1**, a valine residue at position 330 (numbering based on PheA) is highly conserved in addition to the general ‘invariant’ residues D235 and K517. Another moderately conserved residue is at position 236, which is occupied by less bulky hydrophobic residues (Val, Gly, Ala, etc.).<sup>33</sup> Although the 10 AA code (or signature sequences) in fungal NRPSs is less informative due to the lack of sufficient sequence-specificity relationship studies, the sequence logo representation can be used to predict, confirm or exclude A domain specificity for **1**.

Most fungal indole alkaloids biosynthesized from NRPSs can be classified into either a dipeptide that involves formation of diketopiperazine; or an anthranilate-containing tripeptide that undergoes cyclization to contain a quinazoline core. There are larger NRP products that contain **1**, such as the tetrapeptide apicidin isolated from *Fusarium semitectum* that displays histone deacetylase inhibition activity.<sup>37, 38</sup> Due to space constraints, alkaloids originated from more than tripeptide NRPs will not be discussed here.

**3.1.1 Dipeptide Indole Alkaloids**—Dipeptidyl indole alkaloids are assembled by bimodular NRPSs, in which two amino acids are activated and condensed together. The second amino acid has been found to be L-proline, L-histidine, L-phenylalanine, L-alanine or another molecule of **1**.<sup>39</sup> Aminoacyl-Trp is condensed with the second amino acid moiety to yield a linear dipeptidyl thioester. This product can undergo intramolecular cyclization in which the free amino group of **1** attacks the aminoacyl thioester to release the characteristic diketopiperazine (**Scheme 3A**).<sup>40</sup> The cyclization reaction is likely catalyzed by the C-domain that is appended at the end of the bimodular assembly line, although this reaction can also proceed spontaneously.

A prolinyl-tryptophanyl dipeptide forms the diketopiperazine intermediate brevianamide F (**10**), which can be transformed into a large family of compounds, including tryprostatins,<sup>41</sup> spirotryprostatins,<sup>42</sup> fumitremorgins<sup>43</sup> and notoamides.<sup>44</sup> **10** can be converted to tryprostatin B (**11**) through a normal C2 prenylation by a PTase such as FtmB in the fumitremorgin pathway from *Aspergillus fumigatus* A1163<sup>43</sup> or to deoxybrevianamide E (**12**) through a reverse prenylation catalyzed by NotF in notoamide pathway from *Aspergillus sp.* MF297-2<sup>45</sup>. **11** is an on-pathway intermediate in the biosynthesis of numerous products as shown in Scheme 3A. **12** can be converted into brevianamides such as notoamide, stephacidins, versicolamide, etc., in which the reverse prenyl group plays an important role in the formation of the bicyclo[2.2.2]diazooctane ring.<sup>46, 47</sup>

**11** and its 6-methoxy version (tryprostatin A) can undergo oxidative coupling between the prenyl group and the diketopiperazine ring to form a fused 6-5-6-6-5 ring system as found in demethoxyfumitremorgin C (**13**) or fumitremorgin C (**14**). This reaction is proposed to be catalyzed by a cytochrome P450 monooxygenase (CYP) such as FtmE in the fumitremorgin pathway.<sup>48</sup> Spirotryprostatins can be synthesized through further oxidative modifications via two distinct routes.<sup>49</sup> One route is through a radical-mediated, two-step hydroxylation on **13**

and **14** followed by dehydration and semipinacol-type rearrangement to form spirotryprostin B (**15**) and G (**16**), which is catalysed by the CYP FtmG (**Scheme 3A**).<sup>49</sup> Another route is through epoxidation of the indole 2,3-double bond by an FMO followed by a semipinacol-type rearrangement. FtmG can also hydroxylate **14** twice and the resulting molecule can be converted to fumitremorgin B after *N*-prenylation by FtmH.<sup>48, 50</sup> Further oxidation by a Fe(II)-dependent  $\alpha$ -ketoglutarate dioxygenase gives verruculogen (**17**).<sup>51</sup>

During notoamide biosynthesis, **12** is modified by C6 hydroxylation and C7 normal prenylation to afford the common intermediate notoamide S (**18**). **18** serves as the branching point of subsequent biosynthetic steps towards either notoamide C (**19**) or notoamide B (**20**). To form **19**, The C6 hydroxyl and C7 prenyl group are first oxidatively coupled to give notoamide E; which can be epoxidized at the C2 and C3 of the indole by NotB.<sup>29</sup> Whereas a semipinacol rearrangement on the hydroxyiminium intermediate gives **19**, a nucleophilic attack from the  $\alpha$ -amide of Trp (now part of diketopiperazine) forms notoamide D. Alternatively **18** can undergo dehydrogenation in the diketopiperazine ring to an achiral azadiene, which can form the bicyclo[2.2.2]diazoctane ring through intramolecular Diels-Alder reaction (IMDA).<sup>46, 47</sup> Whether the IMDA reaction is enzymatic or spontaneous is still unresolved because both stereoisomers of the cycloadduct are observed in nature.<sup>46</sup> Additional oxidative steps, including a similar prenyl migration as proposed for **19**, are required to produce (+) or (-)-**20** in *A. sp.* MF297-2 (**Scheme 3A**).

Meleagrins (**6**) are examples of indole alkaloids derived from a histidyl-tryptophanyl diketopiperazine (HTD) (**21**). Biosynthetic studies of the meleagrins pathway have been performed in *Penicillium chrysogenum*.<sup>12, 52</sup> The gene cluster (*roq*) has been named after a well-known intermediate roquefortine C (**22**). In this seven-gene cluster, RoqA is the bimodular NRPS that synthesizes HTD. C3 reverse prenylation and intramolecular ring fusion catalyzed by the PTase RoqD yields a 6-5-5-6 ring system.<sup>52</sup>  $\alpha$ - $\beta$  dehydrogenation of the histidyl component by the P450 oxidoreductase RoqR affords **22**. Based on both gene silencing and gene deletion studies, the prenylation and dehydrogenation steps can take place independent of each other.<sup>12, 52</sup> **22** can be further converted into **6** and the *O*-methylated derivative oxaline that shows antimicrobial and anticancer activities.<sup>53, 54</sup> The conversion to **6** involves a proposed oxidative rearrangement by a Maackiain monooxygenase RoqM, followed by indole N1 methoxylation by RoqO (cytochrome P450) and RoqN (methyltransferase).<sup>52</sup> The oxidative rearrangement that generates the unique 6-5-6-5 ring skeleton was proposed to initiate through hydroxylation on the diketopiperazine ring to form an acyloin, followed by ring opening and oxidative ring closure through a 9-membered ring  $\beta$ -ketoamide intermediate (**Scheme 3B**).<sup>55</sup>

Acetylaszonalenin (**23**), a benzodiazepinedione isolated from *Neosartorya fischeri* NRRL181, is derived from the dipeptide *R*-benzodiazepinedione (**24**). The pharmacophore **24** is assembled by a bimodular NRPS (AnaPS) that combines **2** and **1** (**Scheme 3C**), and involves epimerization of the indole side chain.<sup>13, 56</sup> The release/cyclization of the dipeptide product is shown to take place spontaneously using model substrates.<sup>57</sup> Excision of the terminal epimerization domain in AnaPS led to the production of the *S*-stereoisomer in vitro, albeit with significantly reduced yield compared to that of **24**.<sup>58</sup> C3 reverse prenylation on

**24** initiates intramolecular cyclization and form the fused indoline-benzodiazepine azonalenin (**25**). Further acetylation at position N1 by an acetyltransferase (AnaAT) arrives at **23** (**Scheme 3C**).<sup>56</sup>

**3.1.2 Tripeptide Indole Alkaloids**—Fungal indole alkaloids containing three amino acid building blocks are synthesized by trimodular NRPSs (with the exception of asperlicin (**26**), see below). In addition to the incorporation of **1**, a frequently used building block is the  $\beta$ -amino acid anthranilate **2**. As a result of incorporation of **2**, structurally complex tripeptidyl alkaloids can be synthesized from surprisingly short biosynthetic pathways.<sup>59</sup> The amino and carboxylic acid functional groups in **2** are *ortho* to each other, which makes **2** a unique building block in the construction of multicyclic ring systems. One effective way of harvesting the reactivity and geometry of **2**, which is always the first amino acid incorporated by the trimodular NRPS, is through a condensation like domain ( $C_T$ ) positioned at the C-terminal end of the NRPS assembly line. The  $C_T$  domain catalyses the macrocyclization and the release of the tripeptide through nucleophilic attack of the aniline nitrogen of **2** on the peptidyl thioester (**Scheme 4A**). Depending on the sequence of the peptide, either a ten-membered (most frequent) or eleven-membered macrolactam can be formed, which can undergo additional intramolecular cyclization reactions to yield products such as a 6-6-6 tricyclic pyrazinoquinazolinone as shown in **Scheme 4A** or an angular 6-6-7-6 tetracyclic pyrazinobenzodiazepinedione as asperlicin C (**27**).

The first biochemically characterized tripeptide alkaloid is fumiquinazoline F (FQF, **28**), which is cyclized from Ant- $\beta$  Trp-Ala.<sup>60</sup> **28** serves as an intermediate in the biosynthesis of more complex alkaloids, such as fumiquinazoline D (**29**) in *A. fumigatus*; and tryptoquialanine (TQA, **7**), a tremorgenic toxin from *P. aethiopicum*. The trimodular NRPS from the *tqa* biosynthetic pathway, TqaA, has been expressed from *Saccharomyces cerevisiae* and functionally reconstituted in vitro.<sup>58</sup> Synthesis of **28** can be observed upon incubation of the amino acid substrates and cofactors in the presence of TqaA. Removal of  $C_T$  led to the hydrolysis of the linear tripeptide, which does not spontaneously cyclize under aqueous conditions. When the tripeptide is tethered to the last T domain of TqaA, the standalone  $C_T$  domain catalysed the formation of **28**. Substrates analogues of the tripeptide were used to probe the reaction catalysed by the  $C_T$ , which led to exclusion of a diketopiperazine cyclization route in favour of the macrocyclization step shown in **Scheme 4A**.<sup>58</sup>

In the biosynthetic pathways of both **29** and **7**, the indole ring in **28** is epoxidized by a FMO (Af12060 or TqaH).<sup>60</sup> Interestingly, Af12060 was shown to also epoxidize the diketopiperazine **14** *en route* to spirotryprostatin A in *A. fumigatus*, hinting a shared indole epoxidase between unrelated pathways in fungi.<sup>49</sup> The resulting oxy-fumiquinazoline F (**30**) is in equilibrium with the C3 hydroxyiminium species (**Scheme 4B**). Although **30** is the last shared intermediate for the FQF and TQA pathways, the subsequent modifications are chemically parallel. In both pathways, a single-module NRPS activates either alanine (by Af12050) or aminoisobutyrate (by TqaB) to the corresponding aminoacyl thioesters, and catalyses the attack of the free amino group on C2 of **30** to yield an adduct.<sup>13, 60</sup> The nucleophilic attack on the indole is catalysed by the terminal C domains in the monomodular

NRPSs, which control the relative stereochemistry of the C2-C3 substituents (*syn* or *anti*).<sup>13</sup> Subsequently, nucleophilic attack of the indole nitrogen on the NRPS thioester completes the 6-5-5 imidazoindolone ring substructure and yields either fumiquinazoline A (**31**) or 15-dimethyl-2'-*epi*-FQA (**32**). The last parallel step between the two pathways is the dehydrogenation of the pyrazinoquinazolinedione ring by a FMO Af12070 or TqaG, to yield a reactive imine. In the biosynthetic pathway of **29**, the imine intermediate is attacked by nucleophiles from the imidazoindolone portion to form either a cyclic hemiaminal-containing fumiquinazoline C (FQC); or an amination-containing, thermodynamic product **29**. In contrast, in the TQA pathway, the imine intermediate undergoes ring-opening deamination, spirolactonization and additional modifications to yield the final product **7**. The structurally related product tryptoquivaline found in *A. clavatus*<sup>61</sup> is synthesized by the same overall pathway starting from the tripeptide Ant-D-Trp-Val.

Compared to **28**, the biosynthesis of ardeemin (**33**) from *Aspergillus fischeri* involves the same three amino acids but with a different order of incorporation.<sup>62</sup> The trimodular NRPS ArdA synthesizes the tripeptide Ant-D-Ala-Trp, which is cyclized into the pyrazinoquinazolinedione intermediate ardeemin FQ (**34**) by the C<sub>T</sub> domain of ArdA.<sup>62</sup> Instead of epoxidation on the indole ring as observed in **28**, the C3 reverse prenylation by PTase ArdB generates the iminium cation that is attacked intramolecularly by α-amide of Trp (now as part of the pyrazinoquinazoline) to yield the hexacyclic (6-6-6-5-5-6) ring system in **33** (Scheme 4C).<sup>62</sup> The prenylation/cyclization reactions performed by ArdB is analogous to those catalysed by AnaPT in the synthesis of **23**,<sup>56</sup> and further illustrate the high degree of structural complexity that can be generated from alkylation of the indole moiety. ArdC, an AnaAT homolog, is proposed to acetylate the indole N1 position to afford *N*-acetylardeemin (**35**).<sup>56</sup>

The biosynthesis of asperlicin (**26**) involves an example of iterative function of a fungal NRPS module. Whereas three amino acids (two **2** and one **1**) are proposed to constitute the peptidyl backbone, genome scanning of *Aspergillus alliaceus* revealed one bimodular NRPS AspA that may be involved in the biosynthesis.<sup>62</sup> Prediction of the specificities of the two A domains suggested that **2** and **1** should be activated sequentially. In vitro reconstitution of AspA purified from *S. cerevisiae* confirmed its function, as asperlicin C (**27**) was the dominant product formed accompanied by minor product asperlicin D.<sup>63</sup> Adenylation domain activation assays confirmed the predicted specificities and excluded dianthranilate being activated by the first module. Thus ArdA is proposed to first synthesize an Ant-Trp dipeptidyl thioester, followed by activation of a second molecule of **2** and formation of the Ant-Ant-Trp tripeptidyl thioester. The C<sub>T</sub> domain of AspA was confirmed to catalyze cyclization of the tripeptide into a proposed eleven-membered macrolactam intermediate.<sup>63</sup> The consequent transannular cyclization from attack of α-amide of Trp on the Ant carbonyl results in the formation of the minor product asperlicin D, while attack from the Ant amide on the Trp carbonyl leads to the on-pathway product **27**.<sup>63</sup> Epoxidation of the indole in **27** requires the FMO AspB that is functionally parallel to Af12060 and TqaH. Nucleophilic addition from the α-amide of Trp (now part of the benzodiazepinedione) affords the heptacyclic compound asperlicin E (**36**).<sup>62</sup> Hence, a remarkable two enzyme cascade (AspA and AspB) transforms two molecules of **2** and one molecule of **1** into a spectacularly

complex multicyclic ring system. Alternatively a monomodule NPRS AspC can annulate the indole ring with one molecule of leucine to yield the isoindolone containing **26**, similar to the function of TqaB in the synthesis of **32**.<sup>62</sup>

### 3.2 Hybrid Indole Alkaloids Synthesized from PKS-NRPS

A tryptophan-activating NRPS module can also be fused to fungal iterative polyketide synthases (IPKSs) to insert indole moieties. Fungal IPKSs are highly programmed assembly-line machineries that can synthesize a large diversity of structures.<sup>64, 65</sup> A typical NRPS module domain arrangement is C-A-T-R, in which the C (Condensation) domain catalyses the transfer of the completed polyketide acyl group to the  $\alpha$ -amine of the aminoacyl thioester attached to the T domain. The terminal R (reductase) domain is proposed to reduce the final thioester product into an aldehyde followed by an intramolecular Knoevenagel condensation to yield a 3-pyrrolin-2-one core. Biochemical studies showed that the reductase-like domain is not always reducing: in some cases this domain functions as a Dieckmann cyclase (R\* or DKC) that catalyses the attack of the  $\alpha$ -carbon of the 1,3-diketo polyketide portion on the thioester for tetramic acid formation and product release (**Scheme 5**).<sup>66</sup> Examples of indole alkaloids derived from characterized PKSNRPS pathways include  $\alpha$ -cyclopiazonic acid (CPA, **37**) and chaetoglobosin A (**38**).

The pentacyclic **37** produced from *Aspergillus flavus* is a potent neurotoxin through inhibition of the sarcoplasmic  $\text{Ca}^{2+}$ -ATPase.<sup>67</sup> The *cpa* gene cluster contains three genes, a PKSNRPS (CpaS), a 4-DMATS (CpaD) and a flavoprotein oxidocyclase (CpaO).<sup>68</sup> CpaS is proposed to synthesize the acetoacetyl-L-tryptophanyl thioester, which is cyclized by the terminal R\* domain to yield cyclo-acetoacetyl-L-tryptophan (**39**) (**Scheme 5A**).<sup>69</sup> Subsequently, **39** is regioselectively prenylated by CpaD at C4 to yield  $\beta$ -cyclopiazonic acid ( $\beta$ -CPA, **40**).<sup>70</sup> Unlike 4-DMATS, CpaD displays low activity against substrates lacking tetramic acid or similar functionalities.<sup>70</sup> The final cascade of transformations that convert **40** to **37** is accomplished by CpaO, which most likely dehydrogenates  $\beta$ -CPA at the  $\text{C}_\beta$  carbon of Trp, catalyses the electrophilic cyclization between the C3 and C4 substituents, and sets up a nucleophilic attack from the  $\alpha$ -amide of Trp (now part of the tetramic acid) to afford the *cis*-pentacyclic **37** (**Scheme 5A**). Although the cyclized ring framework resembles that observed in ergot alkaloids, the cyclization mechanisms in these two pathways are drastically different.

Because of the reactivities associated with the indole ring, combinatorial matching of the CpaS NRPS module with PKSs that synthesize different polyketide products is an attractive method of generating new indole compounds. Working with the aspyridone PKS-NRPS ApdA from *Aspergillus nidulans* that incorporates tyrosine<sup>71</sup>, Xu et al showed that the PKS and NRPS modules can function independently, and can be excised and paired *in trans*. Interestingly, heterologous pairing of the ApdA PKS module with the CpaS NRPS module led to functional crosstalk and synthesis of a new indole-containing tetramic acid **41** (**Scheme 5B**).<sup>72</sup>

Cytochalasans are a group of bioactive natural products that contain a highly substituted perhydroisoindolone core fused to a polyketide-derived macrocycle.<sup>73</sup> Chaetoglobosins,



including chaetoglobosin A (**38**) from *Chaetomium globosum*, is a subgroup of cytochalasans that use **1** as a building block. The biosynthetic pathway of **35** centers on the PKS-NRPS megasynthetase encoded by CHGG\_01239, which synthesizes a pentaene-tryptophanyl product (**Scheme 5C**).<sup>74</sup> The R domain in this PKS-NRPS is likely to be reductive and releases the acyclic product as an aldehyde, which can undergo spontaneous Knoevenagel condensation to form a doubly substituted pyrrolinone. A proposed intramolecular Diels-Alder (IMDA) reaction involving the pyrrolinone double bond and a specific diene in the polyketide portion of the acyclic molecule yields the key intermediate prochaetoglobosin (**42**), which can be furnished into **35** after a set of oxidative modifications that were recently worked out by Watanabe and coworkers.<sup>74</sup>

### 3.3 Indole Diterpenoids

Fungal indole diterpenes are indole-containing cyclic diterpenes found in numerous fungal species, including paxilline (**8**) from *Penicillium paxilli*,<sup>15</sup> aflatrem from *Aspergillus flavus*,<sup>75</sup> emindoles from *Emericella desertorum*,<sup>76</sup> and lolitrem from *Neotyphodium lolii*<sup>77</sup>, etc. This group of structurally complex molecules has a variety of biological activities, including inhibition of ion channels<sup>78</sup> and active against MRSA.<sup>79</sup> Early feeding experiments suggested that the terpenoid structure is derived from geranylgeranyl pyrophosphate (GGPP)<sup>80</sup> and the indole moiety is derived from indole-3-glycerol-phosphate **3**.<sup>81</sup> GGPP is synthesized from farnesyl pyrophosphate (FPP) by an encoded GGPP synthase in the gene cluster, such as PaxG in pathway of **8**. The acyclic 3-geranylgeranyl indole (**43**) was proposed to be a precursor common to all indole diterpenes. In vitro assays of PaxC, the geranylgeranyl transferase from the *pax* pathway, confirmed that **43** can be synthesized from **3** through geranylgeranyl addition at the C3 position followed by elimination of glyceraldehyde 3-phosphate.<sup>14</sup> The differences in the oxidative cyclization steps from **43** generate structure diversity among the resulting cyclic products. Genetic studies showed that a flavin-dependent monooxygenase (PaxM) and an integral membrane protein (PaxB) are required for the transformation of **43** to paspaline (**44**), the fully cyclized precursor of **8**.<sup>82</sup> These two enzymes, along with PaxC and PaxG, are well-conserved in the genomes of indole diterpene producing fungi.<sup>75, 77</sup> Using a combination of heterologous expression and biotransformation in *Aspergillus oryzae*, Oikawa and coworkers showed that PaxM catalyses two epoxidation reactions on the diterpene portion of **8**, while PaxB serves as the terpene cyclase (**Scheme 6**).<sup>14</sup> The electron-rich indole moiety is involved in the later steps of the carbocation-based cyclizations, resulting in the formation of the 6-5-5-6-6-6 fused ring system in **44**. In vitro assays showed that PaxB can accept either the bis-epoxide containing diterpene **46** or mono-epoxide intermediate **45** to yield **44** and emindole SB (**47**) respectively. PaxB and its homologs therefore play an important role in dictating the regioselectivity and stereoselectivity of the final products. In forming **44** and **47**, an anti-Markovnikov addition is required during the cyclization steps (**Scheme 6**), while a Markovnikov addition would lead to a structurally different subfamily including emindole DA (**48**).<sup>83</sup> The regioselectivity and timing of the epoxidation reactions catalyzed by PaxM homologs also have a profound impact on the structure of the final products.

Additional enzymes present in the pathways can introduce further structural complexity and diversity to indole diterpenes, such as oxidative steps catalyzed by PaxP and PaxQ in

transformation of **44** to **8**. Recent findings by Liu et al.<sup>84</sup> showed derivatives of **8** that are C5 mono-prenylated (paspalitrem A (**49**)) and C5/C6 diprenylated may be the final products in the *pax* pathway. Using recombinant PTase PaxD, the conversion of **8** to the prenylated derivatives was observed in vitro. Further search of the *P. paxilli* extract revealed the presence of these compounds, thereby suggesting the possibilities of **8** being a biosynthetic intermediate instead of a final product. A homologous PTase AtmD was found in the gene cluster of aflatrein, which catalyses the C4 reverse prenylation. AtmD was shown to have considerable substrate flexibility towards the indole diterpene substrates, and can perform either normal or reverse prenylation when presented with different substrates.<sup>85</sup>

### 3.4 Indole Alkaloids Derived from Other Building Blocks

While **1** and **3** are the main sources of the indole moiety in fungi, other tryptophan derived metabolites are also used in the construction of indole alkaloids. These include indole pyruvate (**50**), 4-DMAT (**5**) and tryptamine (**4**).

Asterriquinones are a group of prenylated indole-containing compounds derived from two molecules of **50**. Members of this family share the common dihydroxybenzoquinone core and vary mainly in prenylation pattern on the indole portion. The genes involved in the biosynthesis of terrequinone A (**51**) in *A. nidulans* was identified using a genome-wide survey of transcriptionally activated natural product gene clusters.<sup>86</sup> Subsequent biochemical study reconstituted the entire five enzyme pathway required for formation of **51**.<sup>87</sup> As expected, **1** is first converted into **50** in a pyridoxal-5'-phosphate (PLP)-dependent fashion by the aminotransferase TdiD. A single-module NRPS TdiA with the domain organization of A-T-TE (TE: thioesterase) activates and couples two molecules of **50** to form didemethylasterriquinone D (**52**). Different from tryptophan-specific A domains, the A domain in TdiA is specific for the  $\alpha$ -keto acid **50**. The TE domain first serves as a waiting station in which the first molecule of **50** is covalently attached as an oxyester while the second molecule of **50** is activated as a thioester on the T domain; this is then followed by a TE-catalyzed head-to-tail dual Claisen condensation to form **52**. The benzoquinone **52** can then be converted to **51** when incubated with TdiC (a zinc- and NAD(P)H-dependent quinone reductase), TdiB (an indole PTase) and TdiE (a methyltransferase like protein). **52** is proposed to be reduced by TdiC to the corresponding bisindolyhydroquinone, which is electron-rich and can be prenylated on the hydroquinone core by TdiB. The regioselectivity of this prenylation step is directed by TdiE, which was proposed to be a chaperone protein. In contrast, indole prenylation by TdiB is not influenced by TdiE (**Scheme 7**).<sup>87</sup>

The biosynthesis of ergot alkaloids such as fumigaclavine C (**53**) goes through the intermediate **5**, which can be synthesized from **1** by the action of dedicated 4-DMATS encoded in the individual gene clusters. The key intermediate in the biosynthesis of **53** is chanoclavine-I aldehyde (**54**), which is converted from **5** following a series of transformations including *N*-methylation, oxidative cyclizations and decarboxylation (**Scheme 7**). A detailed discussion of ergot biosynthesis can be found in a separate review article in this issue.

Decarboxylation of **1** by the PLP-dependent tryptophan decarboxylase results in the formation of **4**, which is major constituent of plant alkaloids.<sup>88</sup> A limited number of fungal alkaloids incorporating **4** have been reported, with communesins synthesized from *Penicillium* species and perophoramidine from *Perophora namei* being the most notable.<sup>89, 90</sup> Communesins, such as communesin F (**9**), have been reported to disrupt microfilament and lead to cytotoxicity to mammalian cells.<sup>89</sup> The structural complexities of communesins, especially the cage-like polycyclic scaffold with four contiguous stereocenters and vicinal quaternary carbons, have attracted significant efforts from the total synthesis community.<sup>91-93</sup> Both perophoramidine and communesins contain two connected, indole-derived halves. Isotope feeding experiments showed that both indoles originated from **1**.<sup>94</sup> One of the incorporated indole moiety is prenylated at C4 and has remained intact. Stoltz et al. proposed that (-)-aurantioclavine (**55**), an ergot-like molecule derived from **5**, is a building block that serves as the prenylated half of the communesin structure (**Scheme 7**).<sup>95</sup> The other incorporated indole in **9** is ring-opened and morphed into part of a benzylpyrrolidine. Feeding of 6-fluoro-tryptamine showed that the fluorine substitution was only found in the non-prenylated half of communesins, there by suggesting this half, which includes the pyrrolidine and aniline, are derived from **4**.<sup>10, 94</sup> The enzymatic coupling of the two indole containing precursor (possibly **55** and **4**) in such a regioselective and stereoselective fashion is unprecedented. At this point, no genetic and biochemical information of communesin biosynthesis has been reported.

## 4 Conclusions

The discussion above described the different biosynthetic strategies to incorporate and derivatize indole moiety involved in biosynthetic pathways of fungal indole alkaloids. The characteristic enzymes that catalyze key modifications for each family of indole alkaloids, including tryptophan-activating A domain in NRPSs or PKS-NRPSs, TqaH-like FAD-dependent indole epoxidases, 4-DMATS-like indole PTases, PaxB/PaxM homologs, and PLP-dependent tryptophan decarboxylases, can be fruitful leads for exploring new indole alkaloid pathways from sequenced fungal genomes. Such mining strategy combined with progressing techniques in molecular biology and microbiology, will accelerate the discovery of new fungal alkaloid natural products.

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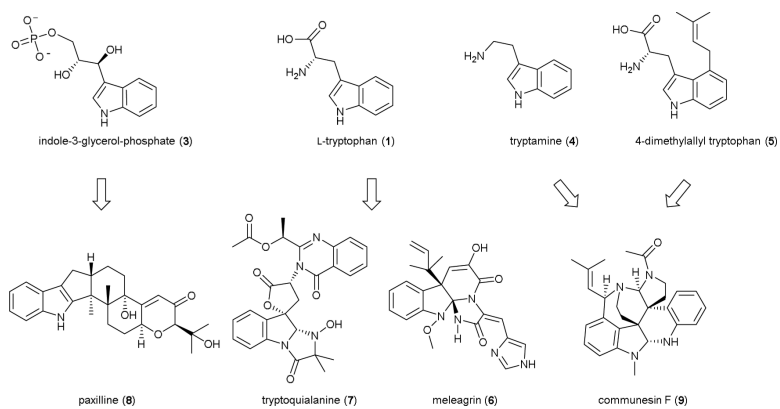
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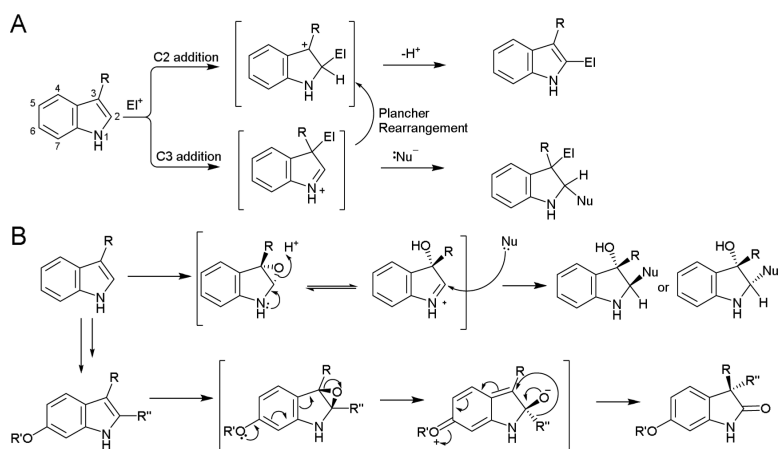
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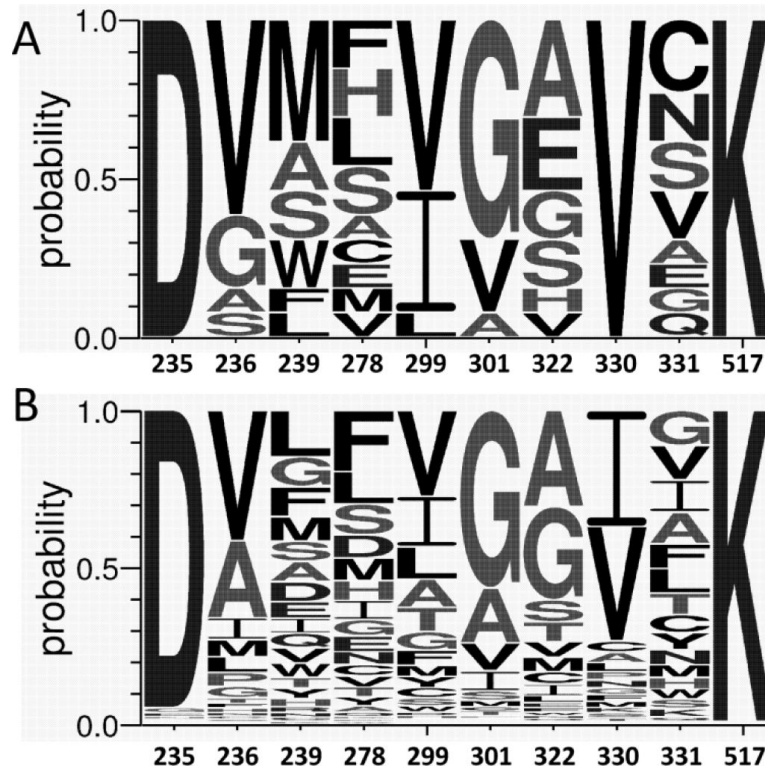


**Scheme 1.**  
Origins of Indole Moieties in Fungal Indole Alkaloids



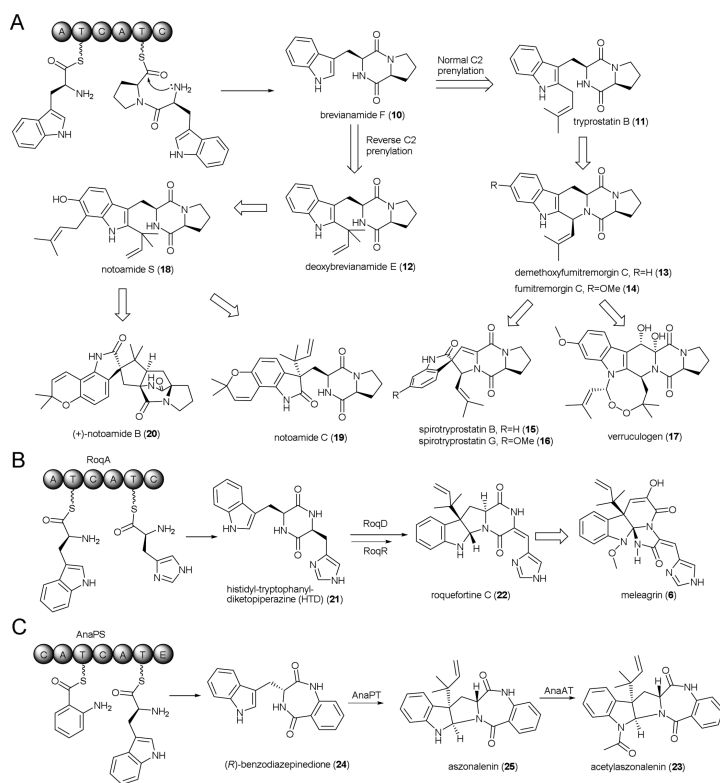
**Scheme 2.**  
Common Reactions on Indole Ring.



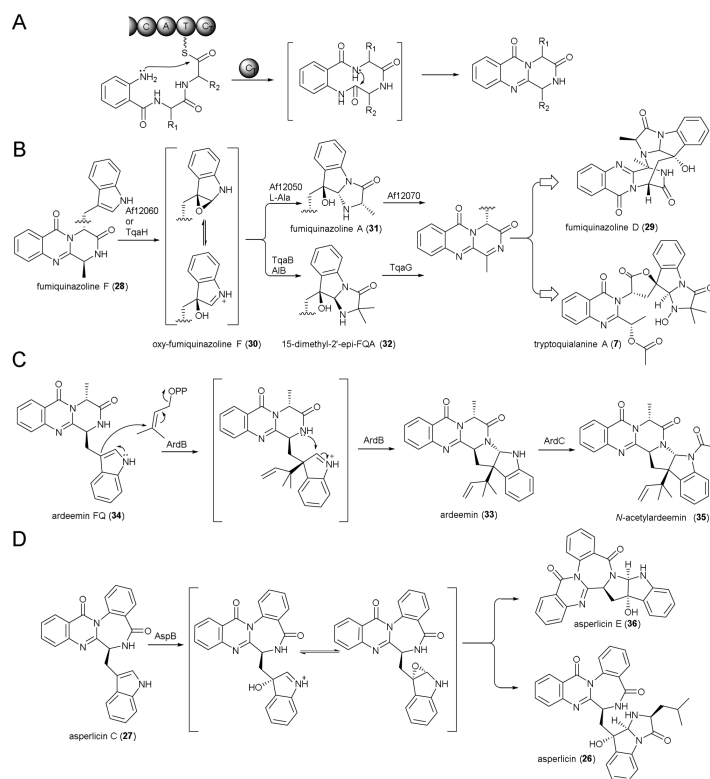


**Figure 1.**

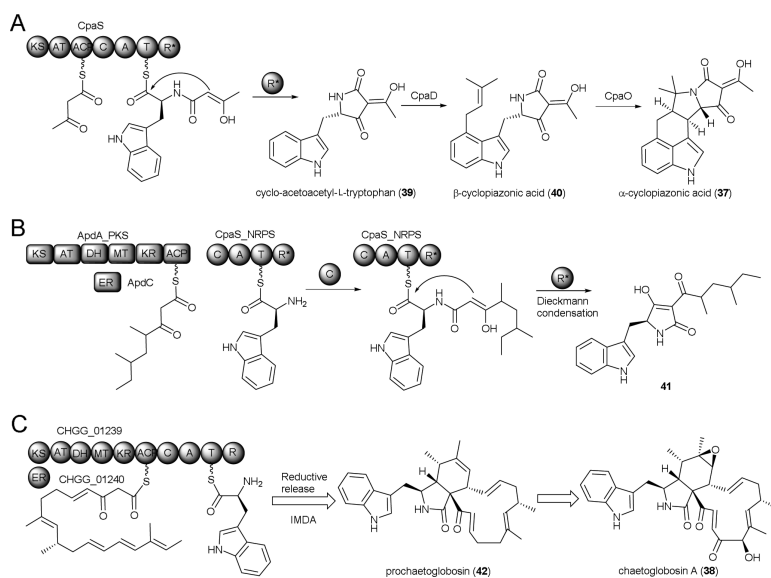
A sequence logo representation of the 10 AA code for NRPS A-domains specificity. A) A domains that are specific for **1**. B) All the fungal A domains in NRPSpredictor2 database.<sup>32</sup> The residue numbering is based on PheA.<sup>33</sup>



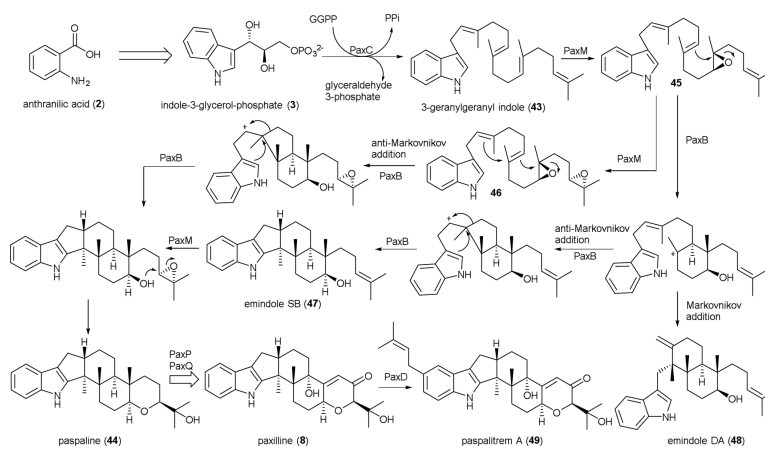
**Scheme 3.**  
Biosynthesis of Dipeptide Indole Alkaloids.



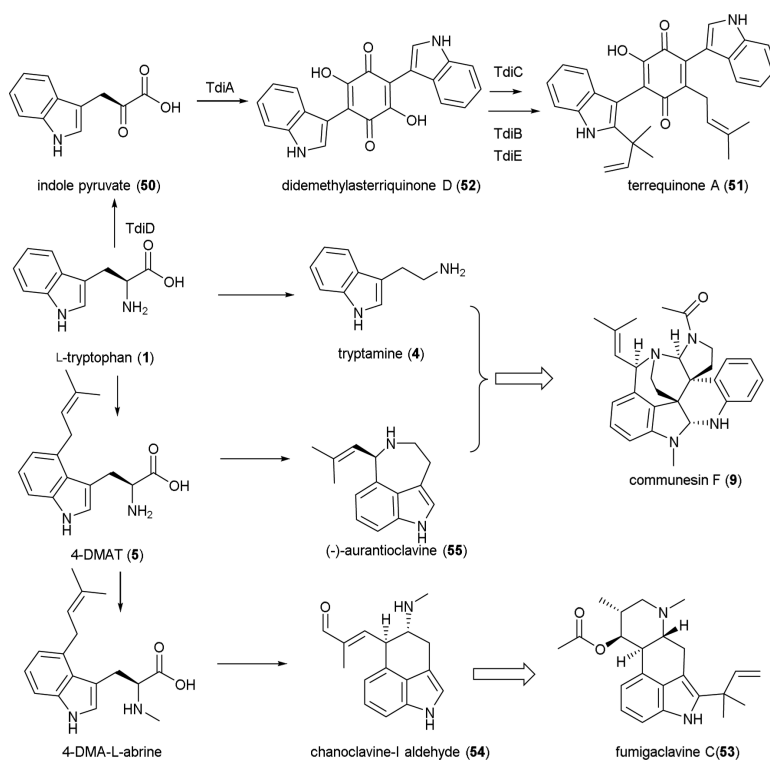
**Scheme 4.**  
Biosynthesis of Tripeptide Indole Alkaloids



**Scheme 5.**  
 Biosynthesis of PKS-NRPS Derived Indole Alkaloids



**Scheme 6.**  
Biosynthesis of Fungal Indole diterpenes.



**Scheme 7.**  
Biosynthesis of Indole Alkaloids from Other Indole Precursors