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Urine Neutrophil Gelatinase-Associated Lipocalin (uNGAL) as a Marker for Acute Kidney Injury in Kidney Surgery Patients

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Abstract

Purpose—Evaluate uNGAL as a marker for AKI in patients undergoing PN to identify the preoperative clinical features and surgical factors during PN that are associated with renal injury as measured by increased uNGAL levels compared to controls.

Methods—Using RN and thoracic surgery patients as control groups, we prospectively collected and analyzed urine and serum of PN, RN, and thoracic surgery patients between April 2010 and April 2012. Urine was collected preoperatively and at multiple time points postoperatively. Differences in uNGAL levels between the 3 surgical groups were analyzed using a GEE model. The PN group was subdivided based on preoperative eGFR <60 or ≥60 ml/min/1.73m².

Results—Of 162 patients included in the final analysis, >65% had CVD, and median eGFR was >60 ml/min/1.73m² for all groups (RN=61, PN=78, thoracic surgery=84.5 ml/min/1.73m²). Preoperatively, a 10-unit increase in eGFR was associated with a 4-unit decrease in uNGAL in the PN group. Postoperatively, uNGAL levels in the PN group were not higher than thoracic surgery or RN control groups, and did not correlate with duration of ischemia. PN patients with preoperative eGFR <60 developed higher uNGAL levels postoperatively compared to those with a higher preoperative eGFR.

Conclusion—uNGAL does not appear to be a useful marker for detection of renal injury in healthy PN patients. However, patients with poorer preoperative renal function have higher baseline uNGAL levels and appear more susceptible to AKI as detected by uNGAL levels and AKIN criteria compared to those with normal eGFR.

Keywords

NGAL protein; human; acute kidney injury; biological markers; nephrectomy; surgery

INTRODUCTION

PN is associated with less renal function loss compared to RN, but viable parenchyma is still often removed, surrounding tissue directly damaged by compression or cautery, and the remaining kidney usually experiences ischemia and likely ischemic damage.^{1, 2} **The direct surgical injury and nephron loss that occurs during PN or RN is a unique type of insult that places patients at risk for AKI and CKD³⁻⁶ (defined as an eGFR <60ml/min/1.73m²). eGFR is a calculated estimate of renal function based largely on the sCr level.**

NGAL is a well-studied biomarker for AKI that has been extensively evaluated in adult and pediatric cardiopulmonary bypass patients,^{7, 8} kidney transplant patients,^{9, 10} and patients in intensive care settings.^{11, 12} NGAL can detect AKI 24–48 hours before a rise in sCr,^{7, 8, 10, 12, 13} and the injury detectable by elevated NGAL levels has been shown to better correlate with long-term morbidity and mortality than the limited changes seen in sCr alone.¹⁴ This increased sensitivity to injury not detected by sCr has the potential to identify low-level injury in surgeries like PN, which do not always cause a rise in sCr to warrant a diagnosis of AKI.

Surgeons often utilize renoprotective techniques to minimize ischemic damage during PN, including kidney cooling with ice slush, administration of intravenous mannitol prior to renal artery clamping, non-ischemic “no-clamp” surgery, and clamping of regional branched arteries to the tumor. . Changes in sCr after PN are often very small; we chose to control for the possibility of a limited rise in uNGAL levels in PN patients with minimal or **no surgically induced** AKI by studying RN patients and thoracic surgery patients as control groups. Thoracic surgery patients served as a non-renal control group in which patients had indwelling Foley catheters for 24 hours, limited risk of massive blood loss, and no intra-abdominal insufflation to minimize possible surgery-related kidney injury.

The goals of this study were to evaluate uNGAL as a marker for **early** AKI in patients undergoing PN and to identify the surgical and clinical factors that may be associated with increased **intraoperative** kidney injury in this group. We **evaluated preoperative and postoperative uNGAL levels** to evaluate the degree of AKI for patients in the PN, RN, and thoracic surgery groups, as well as reviewed comorbidities **and preoperative** eGFR and uNGAL levels as predictors of postoperative uNGAL levels.

METHODS

After institutional review board approval, informed consent was obtained and consecutive renal surgery and thoracic surgery patients of multiple surgeons had urine and serum specimens prospectively collected. PN and RN were performed as previously described^{15, 16} with PN usually performed with ice slush for kidney cooling (**cold ischemia**) and intravenous mannitol administration. The majority of thoracic surgery patients underwent thoracoscopic surgery with minor to moderate resections. All patients had Foley catheter drainage of the bladder overnight per standard perioperative surgical protocol, which allowed for uniform urine collection practices.

Urine specimens were collected preoperatively (at the time of bladder catheter placement after induction of general anesthesia), upon arrival to the PACU, and at 4, 8, 12, and 24 hours after completion of the operation. Urine specimens were immediately transported to the clinical laboratory where they were spun, aliquoted, and stored at -80°C . The specimens were later thawed and analyzed for NGAL and creatinine levels using a commercially available ELISA assay (**R&D Systems, Minneapolis, Minnesota**) that was internally validated in a CLIA-certified clinical laboratory. Serum to measure creatinine was collected as part of our routine preoperative testing and postoperatively on a daily basis during the patients' hospital stay.

We also evaluated the relationship between AKI by **AKIN criteria** and postoperative uNGAL. AKI is traditionally determined according to AKIN consensus criteria which defines AKI as a 50% or 0.3mg/dl increase in sCr within 48 hours of insult.¹⁷ The relationship was assessed using a linear GEE model with an autoregressive correlation structure. Since multiple uNGAL measurements from the same patient are not independent, a GEE model was required to incorporate the correlation between different measures from the same patient. Patients without a preoperative specimen or with fewer than 3 postoperative measurements were excluded from the analyses. An analysis utilizing NGAL cutoffs was performed to confirm the results of the GEE model: 50ng/ml or less is normal; greater than 150ng/ml is specific for AKI,¹⁴

GEE models were also used to evaluate postoperative uNGAL and normalized uNGAL (**the ratio of urine NGAL to urine creatinine**) over time by surgery group, adjusting for baseline marker value. Normalized NGAL was evaluated to correct for possible dilution of uNGAL related to hydration status and the use of intraoperative mannitol. The unadjusted mean biomarker values for each surgery type over time were plotted, with confidence intervals obtained using bootstrapping.

Univariate GEE models were also used to test for associations between uNGAL **and** baseline **clinical** features that may be predictive of kidney injury (age at surgery, gender, diabetes, CVD, preoperative uNGAL, normalized uNGAL, creatinine, and eGFR) **as well as** surgical factors (use and type of ischemia, ischemia duration, estimated blood loss, and mannitol administration), adjusting for preoperative uNGAL. The same analyses were performed for normalized NGAL. Our definition of CVD included the presence of preoperative diabetes, coronary artery disease, heart failure, hypertension, high cholesterol, hyperlipidemia, and high triglycerides.

We evaluated the rates of AKI between patients with preoperative estimated GFR (eGFR) 60 ml/min/1.73m^2 and those $<60\text{ ml/min/1.73m}^2$ using the chi square test. We also evaluated the effect of preoperative eGFR level on preoperative uNGAL levels using linear regression and postoperative uNGAL levels using a GEE model in patients undergoing partial nephrectomy. The Chronic Kidney Disease Epidemiology Collaboration equation was used to calculate eGFR.¹⁸ All analyses were conducted using Stata 12.0.

RESULTS

Between April 2010 and April 2012, 220 consecutive patients were enrolled in our prospective study. 162 patients (PN: 88, RN: 32; thoracic: 42) had adequate specimens to be included in the final analysis. Patient characteristics are shown in table 1. Fewer thoracic surgery patients had diabetes (5% vs. 13–14%) than kidney surgery patients, while RN patients had slightly higher rates of cardiovascular disease than thoracic surgery patients, or PN patients (72%, 67%, and 65% respectively). Preoperative uNGAL was highest in the RN group (10.5 ng/dl) compared to the PN (5.8 ng/dl), and thoracic surgery groups (3.3 ng/dl) (Table 1). Conversely, RN patients had the lowest preoperative median eGFR (61 ml/min/1.73m²) followed by PN patients (78 ml/min/1.73m²), and thoracic surgery patients (84.5 ml/min/1.73m²).

There was no association between postoperative uNGAL levels and the development of AKI by AKIN criteria within 48 hours. AKI was not significantly associated with elevated uNGAL in patients undergoing PN with an adjusted 6.4ng/dl increase in uNGAL for patients with AKI ($\beta=6.4$; 95% CI $-10.5, 23.3$; $p=0.5$) or RN ($\beta=-33.43$ (95% CI $-137.45, 70.58$; $p=0.5$). There were a total of 36 patients of 162 (22%) with AKI by AKIN criteria; the median maximum uNGAL level was 39ng/ml (IQR 13, 73). Four of these patients had uNGAL levels >150ng/ml, one PN, one RN, and two thoracic surgery patients.

Postoperative uNGAL levels were not elevated in the PN group compared to thoracic or RN control groups (Figure 1). Among the three groups, RN patients showed the highest levels of uNGAL after surgery (an average of 43.8 ng/ml higher than PN; 95% CI 14.3, 73.3; $p=0.004$). PN and thoracic patients showed similar uNGAL patterns (difference between groups 2.0 ng/ml; 95% CI $-24.9, 28.9$; $p=0.9$). Very similar patterns were seen by surgery type when evaluating normalized NGAL. Patients treated with RN had significantly higher normalized NGAL after surgery as compared to PN (81.88 (95% CI 24.83, 138.93; $p=0.005$) and thoracic and PN patients exhibited similar levels (-6.34 (95% CI $-66.58, 53.89$; $p=0.8$). Stratification of AKI by uNGAL level >150ng/ml to indicate presence of AKI did not change the relationship between the three groups (data not reported).

Evaluation of baseline features indicative of kidney injury among PN patients (Table 2) revealed that for every 10-unit (ml/min/1.73m²) increase in preoperative eGFR, preoperative uNGAL could be expected to decrease by 4 ng/dl ($\beta=-4.04$; 95% CI $-7.04, -1.05$; $p=0.008$). The use of warm or cold ischemia **was** associated with an average decrease in postoperative uNGAL of 47 ng/dl ($\beta=-47.4$; 95% CI $-70.2, -24.6$; $p<0.0005$) after adjusting for preoperative uNGAL levels. **Normalized NGAL levels, similar to uNGAL levels, were lower in patients with a higher preoperative eGFR** ($\beta=-3.48/10$ units eGFR; 95% CI $-6.02, -0.93$; $p=0.008$) and **in those who received** warm or cold ischemia during PN ($\beta=-31.0$; 95% CI $-48.5, -13.5$; $p=0.001$). However, the presence of pre-existing CVD was associated with a statistically significant increased postoperative normalized NGAL level ($\beta=12.7$; 95% CI 1.14, 24.2; $p=0.031$), but not uNGAL level ($\beta=11.7$; 95% CI $-1.62, 24.90$; $p=0.085$). Neither the duration of ischemia nor the use of intraoperative mannitol was associated with postoperative uNGAL levels (Table 2).

Patients in the PN group with a preoperative eGFR <60 ml/min/m² (n=18) were older (median age 69 years vs. 56 years), had a higher incidence of CVD (89% vs. 59%), and were more likely to have experienced warm ischemia or no ischemia (24% vs. 16%, and 24% vs. 3%, respectively) over cold ischemia when compared to patients with a preoperative eGFR ≥ 60 ml/min/m² (Table 3). In patients with a preoperative eGFR of < 60 ml/min/m², there was a significant relationship between preoperative eGFR and preoperative uNGAL, with an average decrease of 0.40 ng/dl for each 1 ml/min/1.73m² increase in eGFR (p=0.017). This relationship was not evident for eGFR ≥ 60 ml/min/1.73m² (p=0.5).

In PN patients with preoperative eGFR <60 ml/min/1.73m², a greater proportion of patients had postoperative AKI compared to patients with an eGFR ≥ 60 (33% vs. 13%; p=0.039). Patients undergoing PN with a preoperative eGFR <60 ml/min/1.73m² also had a higher postoperative rise in uNGAL ($\beta= 33.6$; 95% CI 14.9, 52.4; p<0.0005; Figure 2) compared to those with a higher eGFR.

DISCUSSION

We postulated that the ischemic damage during renal artery clamping and the trauma related to kidney tumor resection during PN would result in AKI and hence produce significantly elevated uNGAL levels in these patients **at early time points**. We did not see the hypothesized results, as there was little difference in postoperative uNGAL levels in PN patients compared to our control group of thoracic surgery patients using either a regression model controlling for preoperative levels or a standardized cutoff of 150ng/ml. Based on these findings, **damage to the kidney during PN** using modern surgical and renoprotective techniques appears to **be limited and** not detectable by uNGAL, even in our relatively large sample of 88 PN patients.

NGAL has been found to correlate with and predict AKI as well as in-hospital morbidity and mortality in patients with global kidney injury after cardiopulmonary bypass surgery, in the setting of sepsis, and after contrast nephropathy.¹⁴ This, **however**, is the first clinical study to evaluate uNGAL as a potential marker for AKI in a kidney surgery model compared to non-kidney surgery controls. Furthermore, it is the first study to examine uNGAL levels after unilateral kidney injury unlike previously published studies that only involved patients with global kidney injury and failure.

Whether the lack of elevated uNGAL levels in our study is because minimal damage occurred in the kidney or because the biomarker is not able to acutely determine injury cannot readily be deduced from our data. Modern PN techniques appear to have limited effect on long-term renal function if ischemic time is short (less than 20–25 minutes warm ischemia or cold ischemia).¹⁹⁻²¹ The majority of patients in this study had maximal renal protection during their operation with utilization of cold ischemia and relatively short operative times (median warm and cold ischemia 18 and 29 minutes, respectively). These factors appear to cause little acute renal damage as postoperative uNGAL levels did not rise.

Patients with a preoperative eGFR <60 ml/min/1.73m² did appear to be at higher risk for postoperative AKI by both uNGAL levels and AKIN criteria. These findings support the

concept that non-modifiable risk factors such as poor preoperative renal function,²⁰ and medical comorbidities known to have an adverse effect on kidney function over time, like diabetes and cardiac disease, may be associated with more “brittle” kidneys that are less tolerant of renal artery clamping-induced ischemia.

Furthermore, a preoperative eGFR <60 is associated with a higher preoperative uNGAL level. This is consistent with the findings of McIlroy et al. who **also found this association in** cardiac surgery patients²² and Nickolas et. al. who describe an association between increasing NGAL levels and worsening interstitial disease and CKD.²³ Patients in the current study undergoing PN with a preoperative eGFR <60ml/min/1.73m² appeared to have a higher postoperative rise in uNGAL (p<0.0005), and a greater proportion of patients had AKI (33% vs. 13%, p=0.039) than those patients with an eGFR ≥ 60; these findings contrast with McIlroy's report that patients with a lower preoperative eGFR appeared to have a blunted rise in uNGAL²²

Early postop elevations in uNGAL in the RN group were surprising, though an eventual rise in uNGAL and sCr were expected. Yap²⁴ did not demonstrate an elevated uNGAL before 24hrs in their study of donor nephrectomy patients, though the only previous sample was at 5hrs post op. In that study, as in ours, mean NGAL did not reach AKI levels (150ng/ml) even at 24 hrs. It is possible that the increased contralateral blood flow associated with nephrectomy²⁵ may be responsible for kidney stress and uNGAL release.. The high rate of AKI in the RN group, as calculated by AKIN criteria (which allows for a rise in sCr within 48 hours after injury), has been seen in up to 75% of patients in other studies.²⁴

Lower uNGAL levels in patients who had warm or cold ischemia compared to no ischemia would seem to suggest a protective effect of kidney ischemia. However, kidney ischemia has been well documented as a source of kidney injury. It is possible that other factors such as squeezing the kidney for hemostasis or greater blood loss associated with a no-clamp PN results in greater kidney injury than a brief period of warm ischemia or a slightly longer period of cold ischemia. Minimal long-term damage to a solitary kidney with warm ischemia under 25 minutes has previously been documented,²¹ and now with these results there appears to be limited AKI with short durations of warm or cold ischemia with an intact contralateral kidney as assessed by uNGAL. A possible confounder is that decreased urine production in the ischemic kidney may mask injury if a high volume of normal urine from the contralateral kidney dilutes NGAL-rich urine from the ischemic kidney. In a porcine bilateral kidney model of unilateral kidney ischemia, the urine output was significantly lower from the ischemic kidney (1/25th at 12 hours).²⁶

CONCLUSION

uNGAL does not appear to be a useful marker for detection of renal injury in healthy PN patients. However, patients with poorer preoperative renal function have higher baseline uNGAL levels and appear more susceptible to AKI as detected by uNGAL levels and AKIN criteria compared to those with normal eGFR.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Abbreviations and Acronyms

uNGAL	Neutrophil gelatinase-associated lipocalin
AKI	acute kidney injury
PN	partial nephrectomy
RN	radical nephrectomy
GEE	generalized estimating equation
eGFR	estimated glomerular filtration rate
CVD	Cardiovascular disease
AKIN	Acute Kidney Injury Network
CKD	chronic kidney disease
sCr	serum creatinine
PACU	post-anesthesia care unit
CLIA	Clinical Laboratory Improvement Amendments
HAART	highly active antiretroviral therapy
ICU	intensive care unit

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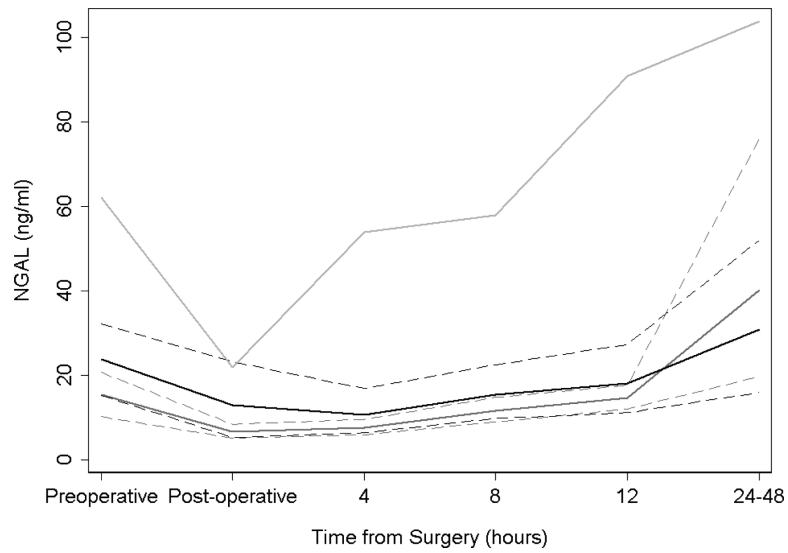


Figure 1. Urinary neutrophil gelatinase-associated lipocalin (ng/ml) over time after partial nephrectomy (dark grey), radical nephrectomy (light grey) and thoracic surgery (black) with 95% confidence intervals (dashed).

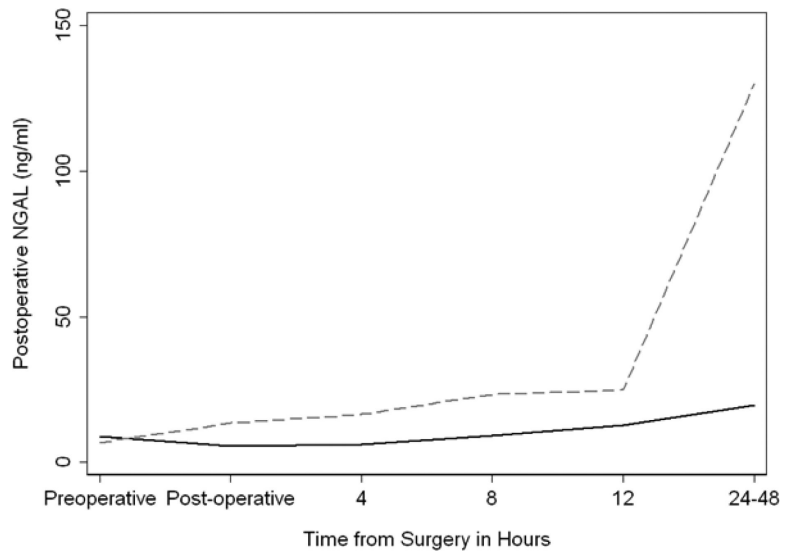


Figure 2. Urinary neutrophil gelatinase-associated lipocalin (ng/ml) after partial nephrectomy for patients with preoperative estimated glomerular filtration rate scores above (n=70; solid) and below (n=18; dashed) 60 ml/min per 1.73m².

Table 1

Pre- and peri-operative characteristics of patients undergoing partial nephrectomy, radical nephrectomy, or thoracic surgery. Summary statistics are median (interquartile range) or frequency (%).

	Partial Nephrectomy (n=88)	Radical Nephrectomy (n=32)	Thoracic Surgery (n=42)
Age at surgery (years)	60 (51, 68)	60 (56, 71)	66 (58, 70)
Female	33 (38%)	10 (31%)	23 (55%)
Diabetes	12 (14%)	4 (13%)	2 (5%)
Cardiovascular Disease	57 (65%)	23 (72%)	28 (67%)
Preoperative uNGAL	5.8 (3.5, 9.7)	10.5 (6.9, 21.8)	3.3 (2.2, 8.6)
Preoperative Normalized uNGAL*	5.3 (2.3, 10.4)	10.0 (5.0, 15.2)	2.6 (2.0, 7.0)
Preoperative uCreatinine*	127.9 (69.6, 175.3)	160.5 (95.9, 213.3)	120.7 (79.3, 141.4)
Preoperative eGFR	78.0 (64.0, 95.5)	61.0 (52.5, 77.0)	84.5 (59.4, 95.0)
Estimated Blood Loss (5 missing data)	200 (100, 400)	200 (105, 825)	50 (25, 150)
Acute Kidney Injury (AKIN)	15 (17%)	15 (47%)	6 (14%)
Cold Ischemia	66 (76%)	-	-
Cold Ischemia Duration	29 (22, 38)	-	-
Warm Ischemia	15 (17%)	-	-
Warm Ischemia Duration	18 (11, 28)	-	-
No Ischemia	6 (7%)	-	-
Mannitol	80 (91%)	-	-
Stage			
T1a	60 (68%)	-	-
T1b	23 (26%)	-	-
T2a	5 (6%)	-	-

Abbreviations: uNGAL = urinary neutrophil gelatinase-associated lipocalin, uCreatinine = urinary creatinine, eGFR = glomerular filtration rate, AKIN = Acute Kidney Injury Network

* uCreatinine data were not available for 83 patients (51%)

Table 2

Results from univariate generalized estimating equation models predicting postoperative urinary neutrophil gelatinase-associated lipocalin (uNGAL) in partial nephrectomy patients (n=88), adjusted for preoperative uNGAL.

	uNGAL			Normalized NGAL				
	N	β^a	95% CI	p value	N	β^a	95% CI	p value
Preoperative eGFR (per 10 units)	77	-4.04	-7.04, -1.05	0.008	48	-3.48	-6.02, -0.93	0.008
Preoperative uCreatinine (per 50 mg/dl)	48	-2.20	-9.23, 4.83	0.5	48	-1.65	-5.70, 2.40	0.4
Preoperative Normalized NGAL (per each unit)	48	1.79	1.70, 1.87	<0.0001	48	0.78	0.04, 1.52	0.039
Cardiovascular disease^b	77	11.66	-1.62, 24.94	0.085	48	12.69	1.14, 24.24	0.031
Diabetes	77	-5.19	-23.88, 13.50	0.6	48	-0.92	-16.26, 14.41	0.9
Estimated blood loss (per 10 ml/min/1.73m ²)	77	-0.07	-0.22, 0.08	0.4	48	-0.05	-0.22, 0.12	0.6
Acute kidney injury (AKIN) (creatinine increase by 50% or 0.3 mg/dl)	77	6.40	-10.49, 23.29	0.5	48	5.78	-8.65, 20.20	0.4
Ischemia^c	76				47			
None			ref				ref	
Cold		-47.6	-70.7, -24.5	<0.0005		-32.4	-50.2, -14.6	0.0004
Warm		-46.7	-73.2, -20.2	0.0005		-27.6	-47.3, -7.9	0.006
Warm or Cold versus none		-47.4	-70.2, -24.6	<0.0005		-31.0	-48.5, -13.5	0.001
Duration of warm or cold ischemia (minutes)	70	-0.11	-0.28, 0.06	0.2	42	-0.21	-0.43, 0.01	0.057
Mannitol	77	-5.25	-27.18, 16.69	0.6	48	-8.95	-32.34, 14.44	0.5
Stage	77				48			
T1a			ref				ref	
T1b		13.1	-2.3, 28.5	0.094		9.9	-2.9, 22.7	0.13
T2a		-2.8	-29.5, 23.8	0.8		-1.6	-29.8, 26.6	0.9

Abbreviations: eGFR = estimated glomerular filtration rate, uCreatinine = urinary creatinine, AKIN = Acute Kidney Injury Network

^a β represents the estimated change in postoperative uNGAL for each unit of predictor

^b Includes diabetes, coronary artery disease, heart failure, hypertension, high cholesterol, hyperlipidemia, and triglycerides

^c Note: only 6 patients did not have ischemia