

### NIH Public Access

**Author Manuscript** 

BMJ Qual Saf. Author manuscript; available in PMC 2015 October 01.

### Published in final edited form as:

BMJ Qual Saf. 2014 October; 23(10): 806-813. doi:10.1136/bmjqs-2014-002831.

### Burnout in the NICU setting and its relation to safety culture

Jochen Profit<sup>1,2</sup>, Paul J Sharek<sup>2,3,4</sup>, Amber B Amspoker<sup>5,6</sup>, Mark A Kowalkowski<sup>7</sup>, Courtney C Nisbet<sup>2,4</sup>, Eric J Thomas<sup>8</sup>, Whitney A Chadwick<sup>9</sup>, and J Bryan Sexton<sup>10,11</sup>

<sup>1</sup>Perinatal Epidemiology and Health Outcomes Research Unit, Division of Neonatal and Developmental Medicine, Department of Pediatrics, Stanford University School of Medicine and Lucile Packard Children's Hospital, Palo Alto, USA

<sup>2</sup>California Perinatal Quality Care Collaborative, Palo Alto, USA

<sup>3</sup>Center for Quality and Clinical Effectiveness, Lucile Packard Children's Hospital, Palo Alto, USA

<sup>4</sup>Division of General Pediatrics, Department of Pediatrics, Stanford University, Palo Alto, USA

<sup>5</sup>Section of Health Services Research, Department of Medicine, Baylor College of Medicine, Houston, USA

<sup>6</sup>Health Policy and Quality Program, Houston Veterans Affairs (VA) Health Services Research and Development Center of Excellence, Michael E. DeBakey VA Medical Center, Houston, USA

<sup>7</sup>Levine Cancer Institute, Carolinas HealthCare System, Charlotte, North Carolina, USA

#### Competing interests

Ethics approval

Stanford University and Baylor University.

Provenance and peer review Not commissioned; externally peer reviewed.

**Correspondence to** Dr Jochen Profit, Perinatal Epidemiology and Health Outcomes Research Unit, Division of Neonatal and Developmental Medicine, Department of Pediatrics, Stanford University School of Medicine, MSOB Rm x115, 1265 Welch Road, Stanford, CA 94305, USA; profit@stanford.edu.

Contributors

JP and JBS had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. Individual author contributions: ICMJE criteria for authorship read and met: JP: Acquired funding for this study, conceptualised, designed and executed the study, selected data for inclusion in the survey and analyses, assisted with interpretation of the results, and drafted the initial manuscript. PJS: Helped conceptualise and design the study, was the local lead for California Perinatal Quality Care Collaborative (CPQCC) NICUs, helped select data for inclusion in the survey and analyses, assisted with interpretation of the results, and revised the manuscript. ABA: Analyzed the data, assisted with interpretation of the results, and revised the manuscript. CCN: Coordinated data collection and study execution, assisted with interpretation of the results, and revised the manuscript. CCN: Coordinated data collection among CPQCC member NICUs, de-identified data, assisted with interpretation of the results, and revised the manuscript. EJT: Helped conceptualise and design the study, helped select data for inclusion in the survey and analyses, assisted with interpretation of the results, and revised the manuscript. WC: Conducted the literature review on burnout, assisted with interpretation of the results, and revised the manuscript. JBS: Helped acquire funding for this study, selected data for inclusion in the survey and analyses, assisted with interpretation of the results, and revised the manuscript. JBS: Helped acquire funding for this study, selected data for inclusion in the survey and analyses, assisted with interpretation of the results, and revised the manuscript. All authors approved the final manuscript as submitted.

All authors have completed the ICMJE uniform disclosure form at http://www.icmje.org/coi\_disclosure. pdf and declare: JP reports grants from the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) and Texas Children's Hospital, EJT reports grants from Memorial Hermann Healthcare System and the NICHD, and ABA reports grants from Baylor College of Medicine during the conduct of the study for the submitted work; JP reports personal fees unrelated to the current study from the Vermont Oxford Network, National Quality Forum, and the Christiana Care Health System, and EJTreport grants from the Agency for Healthcare Research and Quality outside the submitted work in the previous 3 years; there are no other relationships or activities that could appear to have influenced the submitted work.

<sup>8</sup>University of Texas at Houston—Memorial Hermann Center for Healthcare Quality and Safety, University of Texas Medical School, Houston, USA

<sup>9</sup>Department of Pediatrics, Stanford University School of Medicine and Lucile Packard Children's Hospital, Palo Alto, USA

<sup>10</sup>Department of Psychiatry, Duke University School of Medicine, Duke University Health System, Durham, USA

<sup>11</sup>Duke Patient Safety Center, Duke University Health System, Durham, USA

### Abstract

**Background**—Burnout is widespread among healthcare providers and is associated with adverse safety behaviours, operational and clinical outcomes. Little is known with regard to the explanatory links between burnout and these adverse outcomes.

**Objectives**—(1) Test the psychometric properties of a brief four-item burnout scale, (2) Provide neonatal intensive care unit (NICU) burnout and resilience benchmarking data across different units and caregiver types, (3) Examine the relationships between caregiver burnout and patient safety culture.

Research design—Cross-sectional survey study.

Subjects—Nurses, nurse practitioners, respiratory care providers and physicians in 44 NICUs.

Measures—Caregiver assessments of burnout and safety culture.

**Results**—Of 3294 administered surveys, 2073 were returned for an overall response rate of 62.9%. The percentage of respondents in each NICU reporting burnout ranged from 7.5% to 54.4% (mean=25.9%, SD=10.8). The four-item burnout scale was reliable ( $\alpha$ =0.85) and appropriate for aggregation (intra-class correlation coefficient–2=0.95). Burnout varied significantly between NICUs, p<0.0001, but was less prevalent in physicians (mean=15.1%, SD=19.6) compared with non-physicians (mean=26.9%, SD=11.4, p=0.0004). NICUs with more burnout had lower teamwork climate (r=–0.48, p=0.001), safety climate (r=–0.40, p=0.01), job satisfaction (r=–0.64, p<0.0001), perceptions of management (r=–0.50, p=0.0006) and working conditions (r=–0.45, p=0.002).

**Conclusions**—NICU caregiver burnout appears to have 'climate-like' features, is prevalent, and associated with lower perceptions of patient safety culture.

### INTRODUCTION

Burnout describes a process beginning with high and sustained levels of stress resulting in feelings of irritability, fatigue, detachment and cynicism.<sup>1</sup> In service professions, stress originates from frequent intense interactions with clients with complex problems.<sup>2</sup> These high demands, combined with lack of support, result in burned-out employees.<sup>3</sup> Hallmark features of burnout include a combination of emotional exhaustion, depersonalisation and a reduced sense of personal accomplishment.<sup>4</sup>

In healthcare, various causes of burnout have been described, and include chronic stress from working with patients suffering from complex physical, psychological and social problems<sup>24</sup>; unsupportive or inadequate work environments that lack support for following traumatic events; conflict with colleagues; and long or irregular shifts.<sup>5</sup> Healthcare workers in the neonatal intensive care unit (NICU) setting may particularly struggle to balance work and personal lives amidst an onslaught of new rules and technologies, as well as high expectations for the seamless delivery of empathic, high-quality care.<sup>67</sup>

Burnout is pervasive throughout healthcare, with one out of three nurses and physicians meeting criteria.<sup>89</sup> Reports of the prevalence of burnout among groups of healthcare workers vary widely, ranging from 27% to 86%.<sup>9–11</sup> Burnout is of particular concern to healthcare because of adverse effects on the quality of patient care and potentially tragic consequences for patients, especially fragile preterm infants.<sup>12–13</sup>

We define resilience here as a combination of abilities and characteristics that interact dynamically to allow an individual to bounce back, cope successfully and function above the norm in spite of significant stress or adversity. Although there are many valid constructs that interface with the concept of resilience, that is, burnout, depression, subjective happiness and work-life balance, there is one domain in particular that is widely used, well-understood, and linked to important clinical and operational outcomes: the emotional exhaustion subscale from the Maslach Burnout Inventory.<sup>41314</sup> Emotional exhaustion is used to assess innovation fatigue and feelings of detachment and frustration with work—the polar opposite of resilience being the 'ability to cope'.

Little is known regarding the prevalence of burnout and resilience among NICU staff and the pathways through which burnout adversely affects care quality and safety are only beginning to be understood. In this study we attempt to shed light on these relations. Our objectives were to:

- 1. Test the psychometric properties of a brief four-item burnout scale,
- 2. Provide NICU burnout and resilience benchmarking data across different units and caregiver types, and to
- 3. Examine the relationships between caregiver burnout and patient safety culture.

### METHODS

#### Selection of NICUs

This cross-sectional survey study was performed among a voluntary sample of NICUs participating in two simultaneous quality improvement initiatives organised by the California Perinatal Quality Care Collaborative focused on Delivery Room Management.<sup>15</sup>

For the current study, we assembled a survey to investigate burnout and safety culture using existing validated metrics from several instruments (detailed below). We offered to analyse and feedback a survey of safety culture and workforce engagement to all 61 NICUs who participated in the improvement initiative, 44 of which accepted. The survey was administered at the onset of the improvement initiative (between June and September 2011).

Staff with a 0.5 full time equivalent (FTE) or greater time commitment to the NICU for at least the four consecutive weeks prior to survey administration was invited to participate. Paper-based surveys were administered during routine departmental and staff meetings. Surveys were returned to a locked box or sealable envelope to maintain confidentiality. Individuals not present in routine meetings were hand delivered a survey, pencil and return envelope. This administration technique has generated high response rates. Administration of the survey was executed by California Perinatal Quality Care Collaborative and a de-identified data set was transmitted to Dr Profit for analysis. The study was approved by the Institutional Review Boards at Stanford University and Baylor College of Medicine.

### Measures

The entire survey can be accessed in the web appendix. Measures relevant for this paper were part of a survey on safety culture and organisational determinants of quality. These included select items of the Safety Attitudes Questionnaire (SAQ), <sup>1618</sup> and the Maslach Burnout Inventory.<sup>19</sup>

**Safety culture**—Of the several safety culture survey instruments in the literature, the SAQ is widely used, has good psychometric properties, <sup>16</sup> and is associated with clinical outcomes.<sup>20–24</sup> The SAQ contains 30 items that load on six domains: teamwork climate, safety climate, job satisfaction, perceptions of management, stress recognition and working conditions. Each item is rated on a 5-point Likert scale ranging from 1 (disagree strongly) to 5 (agree strongly). The SAQ also captures respondent characteristics including job position, years in specialty, primary work area (paediatric, adult or both), gender and predominant work shift. Job positions included attending physicians (MDs), fellow MDs, neonatal nurse practitioners (NNPs), registered nurse (RNs), respiratory care providers and others.

Safety culture scale scores at the NICU level were calculated using the standard method: as the per cent of respondents within a NICU that had a mean score across all scale items of 'slightly agree' or 'strongly agree'. For this purpose individual responses are transformed onto a 0–100-point scale score according to the following formula:

Safety Culture Scale Score for a Respondent=(((Mean of the scaled items)-1)\*25)

In order to calculate the per cent of respondents who are positive (ie, per cent agreement), one calculates the per cent of respondents within a NICU who received a scale score of 75 or higher. <sup>1617</sup> We call this 'percentage agree' or 'percentage reporting good 'enter scale name (safety, teamwork, etc)".

**Burnout**—To assess burnout, we used an abbreviated four-item Emotional Exhaustion scale, which is based on the Maslach Burnout Inventory, <sup>19</sup> and which we have shown to be reliable and valid in other settings.<sup>25</sup> This scale was adapted to the survey format of the SAQ, which changed its response scale and scoring. Therefore, psychometric exploration of this revised scale became necessary. The response scale ranged from 1 (disagree strongly) to 5 (agree strongly).

Burnout was computed by taking the mean of the four items, transforming them to a 0–100-point scale score according to the following formula:

Burnout Score for a Respondent=(((Mean of the burnout items)-1)\*25)

In order to calculate the per cent of respondents who are burned out (ie, per cent that agree with burnout items), one calculates the per cent of respondents within a NICU who received a scale score of 50 or higher. The 50% threshold groups 'neutral' responses together with 'agree' responses as previously described in the literature. We call this the 'percentage reporting burnout'. We have used a similar approach with safety culture assessments and found the metric to be meaningful to providers.<sup>161826</sup>

We set a high bar for resilience. Resilience was defined as individuals who had an average burnout score (out of 0-100) of less than or equal to 25 (ie, on average rated the items in the range of strongly disagree). Within each NICU we obtained the frequency of respondents with a score of 25 or lower and call this 'percentage reporting resilience.' Note that individuals who had an average burnout score (out of 0-100) between 26 and 49 were not included in either the burnout or the resilience groups. Therefore, with a given NICU, the sum of the percentage burned out and the percentage who are resilient will not necessarily equal 100.

### Analyses

### Objective 1-Test the psychometric properties of the burnout scale in the

**NICU setting**—We used reliability analyses to evaluate the four-item emotional exhaustion scale. Internal consistency reliability was assessed overall and by job position using Cronbach's coefficient  $\alpha$ . To verify the single factor structure of the emotional exhaustion scale, we performed a multilevel confirmatory factor analysis using geomin oblique rotation and maximum likelihood estimation to account for the nesting of individual caregivers within NICUs. The multilevel confirmatory factor analysis corrects the between-group covariance matrix so that an unbiased between-group factor structure is obtained.<sup>27</sup> To assess goodness of fit, the comparative fit index (CFI),<sup>28</sup> the root-mean square error of approximation (RMSEA),<sup>29</sup> and the within-NICU and between-NICU standardised root mean square residual (SRMR) were examined. According to Kline<sup>30</sup>, CFI values greater than 0.90 reflect good model fit. For the RMSEA and SRMR, values below 0.05 indicate close fit, values around 0.08 indicate adequate fit and values above 0.10 indicate poor fit.<sup>27</sup> The  $\chi^2$  is reported (with significant values indicating poor fit); however, it is considered a more useful means of comparing nested models than an absolute indicator of model fit because it may be significant even when all other fit indices illustrate adequate fit.<sup>31</sup>

A basic criterion required to adequately assess climate constructs is that individual perceptions show high agreement within units (eg, NICUs) and high variance between units. Burnout is conceptualised at the NICU level of analysis, so we calculated intraclass correlation coefficients (ICCs) to justify aggregation of caregivers within their NICUs. The ICC-1 statistic is a measure of between-group variability and the ICC-2 statistic is a measure of the reliability of the group means. To calculate ICC-1 and ICC-2, a one-way analysis of

variance is conducted on the individual level responses, with NICU as the independent variable. ICC-1 can be interpreted as the proportion of total variance that is explained by unit membership with values ranging from -1 to +1 and values between 0.05 and 0.30 being most typical. Whereas ICC-1 provides an estimate of the reliability of a single RN's assessment of the unit mean, ICC-2 provides an overall estimate of the reliability of unit means. The closer ICC-2 is to 1.00, the more reliably NICUs can be distinguished based on individual respondents' perceptions of burnout with values equal to or above 0.70 being acceptable.<sup>1632</sup>

Objective 2—Provide burnout and resilience benchmarking data for NICUs

and caregiver types—We used descriptive analyses such as frequencies, percentages, means ( $\pm$ SD) and graphs to describe demographics and our three variables: caregiver burnout, safety climate and teamwork climate. To test for differences in burnout, we focused on per cent reporting burnout by NICU and by caregiver type. A between-groups analysis of variance was used to examine differences in burnout by NICU. Physicians (MDs) and fellow MDs were grouped together and NNPs, RNs and RTs were grouped together and a dependent samples t-test was used to examine differences in per cent burnout between these two caregiver groups within each NICU (physicians vs non-physicians).

**Objective 3—Examine the relationships between burnout and patient safety culture**—Staff burnout may significantly influence the culture of safety in a given work unit. We therefore assessed NICU-level associations between the per cent of respondents reporting burnout and the per cent reporting (A) positive teamwork climate, (B) safety climate, (C) job satisfaction, (D) perceptions of hospital management, (E) stress recognition, and (F) working conditions (the six safety culture scales of the SAQ). Associations were examined using Pearson's zero-order correlations.

Statistical analyses were performed using SAS (V.9.3; SAS Institute, Cary, North Carolina, USA), IBM SPSS Statistics (V.20; IBM, Armonk, New York, USA), and MPlus (V.5.21; Muthen & Muthen, Los Angeles, California, USA). The study was approved by the Institutional Review Boards at Stanford University and Baylor College of Medicine.

### RESULTS

### Objective 1—Test the psychometric properties of the burnout scale in the NICU setting

There were 2073 surveys returned from the 44 participating NICUs. Of the 44 NICUs, 10 (22.7%) were designated as regional NICUs, 28 (63.6%) as community NICUs and 6 (13.6%) as intermediate NICUs as defined by the California Department of Healthcare Services. These designations are roughly equivalent with designations by the American Academy of Pediatrics as level 4, 3 and 2 respectively.<sup>33</sup> Overall response rate is 62.9% (2073 out of 3294), with a range across the 44 hospitals of 21.7% to 100% (mean=69.7%, SD=19.8%). Table 1 exhibits a breakdown of demographics at the NICU and respondent levels.

Page 6

The internal reliability of the four-item burnout scale in the NICU setting was good with an  $\alpha$ =0.85 for the overall sample. The role specific  $\alpha$ s range from 0.66 to 0.87 (MD  $\alpha$ =0.81, fellow MD  $\alpha$ =0.66, NNP  $\alpha$ =0.87, RN  $\alpha$ =0.85, RCP  $\alpha$ =0.86).

Results of the multilevel confirmatory factor analysis collectively suggested that a one factor solution at the within-NICU and between-NICU levels provides an adequate fit to the data,  $\chi^2(12)=2622.3$ , p<0.0001, CFI=0.96, Tucker-Lewis index (TLI) =0.89, RMSEA=0.11, SRMR within=0.03 and SRMR between=0.03. Standardised factor loadings of burnout items demonstrated a single factor structure, ranging from 0.65 to 0.89 within NICUs and from 0.90 to 1.00 between NICUs.

Results for the intraclass correlation coefficients were: ICC-1=0.13 (95% CI 0.09 to 0.19) and ICC-2=0.95 (95% CI 0.93 to 0.97). The sizeable ICC-2 suggests the appropriateness of aggregating our data to the NICU level and interpretation of this scale as a 'burnout climate' scale similar to safety climate or teamwork climate.<sup>1634</sup>

## Objective 2—Provide burnout and resilience benchmarking data for NICUs and caregiver types

Table 2 shows the responses to the Emotional Exhaustion scale of the Maslach Burnout Inventory at the individual and NICU levels. Overall, 27.8% of respondents reported burnout, with a range between NICUs of 7.5% to 54.4% (mean = 25.9%, SD = 10.8). As shown in figure 1, burnout varied significantly between NICUs (F (43, 2029) = 2.86, p<0.0001). Of the staff 49.9% reported being resilient. Resilience also varied significantly between NICUs, F (43, 2029)=2.95, p<0.0001.

A significantly lower percentage of physicians report burnout (mean=15.1%, SD=19.6), relative to RNs, NNPs and RNs (mean=26.9%, SD=11.4, p=0.0004). Physicians also rated themselves significantly more resilient (mean=66.9%, SD=24.8) relative to RNs, NNPs and RNs (mean=51.3%, SD=13.8, p=0.0005).

### Objective 3—Examine the relationships between NICU caregiver burnout and patient safety culture

Table 3 summarises the relationships between burnout and safety culture at the NICU level. We found significant associations with (A) teamwork climate, (B) safety climate, (C) job satisfaction, (D) perceptions of hospital management, and (E) working conditions. Specifically, NICUs with a greater percentage of respondents reporting burnout had a smaller percentage of respondents reporting positive teamwork climate (r=-0.48, p=0.001), safety climate (r=-0.38, p=0.01), job satisfaction (r=-0.64, p<0.0001), perceptions of management (r=-0.50, p=0.0006) and working conditions (r=-0.45, p=0.002). Burnout was not related to stress recognition (r=0.12, p=0.44).

### DISCUSSION

Our study shows a high prevalence of burnout among NICU personnel, especially among nurses, nurse practitioners and respiratory care providers. Additionally, we have demonstrated a significant association between high burnout scores and poor culture of

safety scores. We also establish the reliability and construct validity of a convenient and parsimonious four-item burnout scale. The correlations between burnout and five of the six safety culture dimensions studied help to understand its role in generating a culture of safety. Perhaps not surprisingly, the negative relationship was strongest between burnout and job satisfaction, but the moderate links to teamwork, trust in leadership and working conditions were remarkable. We believe that safety culture is important as an indicator and predictor of care quality, and burnout may also play a role in providing high quality, safe care.

The Maslach Burnout Inventory has 22 items, divided in three scales: emotional exhaustion, depersonalisation and personal accomplishment. In order to reduce survey fatigue among staff, it is important to minimise respondent burden. Of the three scales, emotional exhaustion has been most strongly associated with clinical outcomes.<sup>35</sup> The four-item version of the Emotional Exhaustion scale was reliable and appropriate for aggregation to the unit level across the psychometric tests we conducted. In fact, the level of congruence suggests that this four-item version could be used as a metric of 'burnout climate' within a unit. Based on the ICC-2, burnout climate behaves similarly to safety climate and teamwork climate, in that it represents not just an individual level construct but a group level construct.<sup>1634</sup> Burnout climate, conceptualised this way, can be used for benchmarking.<sup>17</sup> Anecdotally, we have found it useful to conceptualise burnout climate as a way to assess group level readiness for change. In our experience, the success of quality improvement work often suffers in clinical areas where a significant proportion of caregivers are burned out. In such situations it may be useful to focus on interventions to ameliorate burnout before or in conjunction with clinical improvement work.

Our finding that 26% of NICU personnel are burned out is within the range of the extant literature. Using a different tool, the Link Burnout Questionnaire, a recent study from Italy among 110 neonatologists found that 30% of respondents experienced high levels of burnout and 60–65% were at risk for burnout. Aiken and colleagues, using the Maslach Burnout Inventory, assessed emotional exhaustion among more than 10 000 nurses of surgical patients in Pennsylvania and found that 43.2% had levels above the published 'high' norm for medical workers. Compared with a probability-based sample of 3442 working US adults, physicians were more likely to have symptoms of burnout (37.9% vs 27.8%).<sup>14</sup>

Caregiver burnout has been recognised as an important factor in the well-being and outcomes of healthcare workers and patients (see box 1). This study suggests plausible pathways through which burnout may directly or indirectly affect patient outcomes. The correlations between burnout and safety culture also provide convergent validity in support of the four-item abbreviated burnout scale. Convergent validity measures the degree to which two constructs that should be related are related. Convergent validity supports construct validity, which implies that the inferences made using a measurement tool actually measure the construct being examined. <sup>36</sup> All domains, except for stress recognition, were negatively and significantly correlated with burnout, potentially revealing mechanisms through which caregiver lack of well-being may translate into safety lapses, quality deficits and adverse patient outcomes. <sup>133738</sup> The precise mechanisms of these interactions require further exploration and prospective study. However, the 'climate-like' nature of burnout suggests that in a clinical area where healthcare workers are resilient, the care context for

delivering safe and high quality care may be more favourable. When healthcare workers are less fatigued, more emotionally engaged, and have a better physical sense of well-being, they may be more alert to potential safety hazards and more mindful of their patients' and families' needs. They may have better interactions with other staff members, engage more often in unit-wide quality improvement efforts, and be less inclined to leave, resulting in greater continuity of care and better knowledge of their patients. Ultimately, a resilient workforce may strengthen patient safety and quality of care. This study provides a useful metric to assess the impact of novel interventions aimed at improving caregiver burnout or resilience.

Our findings have to be viewed in the light of the study design. First, we included data from only volunteer NICUs, which may bias the results in an unpredictable direction. Second, our sample included only regional, community and intermediate NICUs from California and thus these results may not be generalisable to other NICU types or locations. Third, cross-sectional surveys allow observations and associations to be made, whereas causal relationships between burnout and safety culture cannot be established.

Fourth, given the hypothesis generating nature of our study, we didn't have the granular organisational, interpersonal and intrapersonal detail to conduct meaningful multivariate analyses of these relations. This should be done prospectively, in conjunction with hypothesis testing. As the 44 NICUs were a subset of volunteers, the lower level of burnout we detected may be due to selection bias of units where burnout was lower, but this cannot be determined from our currently available results. Finally, the science of assessing and improving safety culture is not yet mature, and our use of the SAQ is based on previous experience. <sup>16–182639</sup> While it is possible that our findings are influenced by non-responder bias, our response rate compares favourably with similar studies. <sup>1340</sup> Overall, our findings may inform future studies of burnout among NICU staff, its correlation to clinical outcomes and patient safety events, as well as the testing of interventions to reduce burnout using a more parsimonious scale.

Using a four-item burnout scale, we found a significant prevalence of burnout among NICU caregivers in a sample of 44 California NICUs. Burnout correlated negatively with safety culture, providing a potential gateway into explorations of the link between caregiver wellbeing and patient safety events and clinical outcomes. The potential utility of burnout climate in future benchmarking may allow users to investigate important trends over time and the effectiveness of targeted interventions.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgments

#### Funding

This work was supported by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (K23 HD056298–01, PI: Profit and K24 HD053771-01, PI: Thomas), Texas Children's Hospital (Paediatrics Pilot Research Fund 33–126, PI: Profit), the Department of Veterans Affairs. (Veterans Administration

Center Grant VA HSR&D CoE HFP90-20, Dr Amspoker and Dr Kowalkowski), and the Agency for Healthcare Research and Quality (AHRQ) (1UC1HS014246, PI: Sexton).

### REFERENCES

- Cherniss, C. Staff Burnout: Job Stress in the Human Services. Beverly Hills, CA: Sage Publications; 1980.
- Maslach C, Schaufeli WB, Leiter MP. Job burnout. Annu Rev Psychol. 2001; 52:397–422. [PubMed: 11148311]
- Lindblom KM, Linton SJ, Fedeli C, et al. Burnout in the working population: relations to psychosocial work factors. Int J Behav Med. 2006; 13:51–59. [PubMed: 16503841]
- 4. Maslach C, Jackson S. The measurement of experienced burnout. J Occup Behav. 1981; 2:99–113.
- Meeusen VC, Van DK, Brown-Mahoney C, et al. Understanding nurse anesthetists' intention to leave their job: how burnout and job satisfaction mediate the impact of personality and workplace characteristics. Health Care Manage Rev. 2011; 36:155–163. [PubMed: 21317664]
- 6. Braithwaite M. Nurse burnout and stress in the NICU. Adv Neonatal Care. 2008; 8:343–347. [PubMed: 19060580]
- 7. Rochefort CM, Clarke SP. Nurses' work environments, care rationing, job outcomes, and quality of care on neonatal units. J Adv Nurs. 2010; 66:2213–2224. [PubMed: 20626479]
- Bellieni CV, Righetti P, Ciampa R, et al. Assessing burnout among neonatologists. J Matern Fetal Neonatal Med. 2012; 25:2130–2134. [PubMed: 22571319]
- Shanafelt TD, West CP, Sloan JA, et al. Career fit and burnout among academic faculty. Arch Intern Med. 2009; 169:990–995. [PubMed: 19468093]
- 10. Thomas EJ, Sherwood GD, Mulhollem JL, et al. Working together in the neonatal intensive care unit: provider perspectives. J Perinatol. 2004; 24:552–559. [PubMed: 15141266]
- Mealer M, Burnham EL, Goode CJ, et al. The prevalence and impact of post traumatic stress disorder and burnout syndrome in nurses. Depress Anxiety. 2009; 26:1118–1126. [PubMed: 19918928]
- Shanafelt TD, Bradley KA, Wipf JE, et al. Burnout and self-reported patient care in an internal medicine residency program. Ann Intern Med. 2002; 136:358–367. [PubMed: 11874308]
- Shanafelt TD, Balch CM, Bechamps G, et al. Burnout and medical errors among American surgeons. Ann Surg. 2010; 251:995–1000. [PubMed: 19934755]
- Shanafelt TD, Boone S, Tan L, et al. Burnout and satisfaction with work-life balance among US physicians relative to the general US population. Arch Intern Med. 2012; 172:1377–1385. [PubMed: 22911330]
- Gould JB. The role of regional collaboratives: the California Perinatal Quality Care Collaborative model. Clin Perinatol. 2010; 37:71–86. [PubMed: 20363448]
- Sexton JB, Helmreich RL, Neilands TB, et al. The Safety Attitudes Questionnaire: psychometric properties, benchmarking data, and emerging research. BMC Health Serv Res. 2006; 6:44. [PubMed: 16584553]
- Profit J, Etchegaray J, Petersen LA, et al. The Safety Attitudes Questionnaire as a tool for benchmarking safety culture in the NICU. Arch Dis Child Fetal Neonatal Ed. 2012; 97:F127– F132. [PubMed: 22337935]
- Profit J, Etchegaray J, Petersen LA, et al. Neonatal intensive care unit safety culture varies widely. Arch Dis Child Fetal Neonatal Ed. 2012; 97:F120–F126. [PubMed: 21930691]
- Maslach, C.; Jackson, SE. Maslach Burnout Inventory. Palo Alto, CA: Consulting Psychologists Press, Inc; 1981.
- Thomas EJ, Sexton JB, Helmreich RL. Discrepant attitudes about teamwork among critical care nurses and physicians. Crit Care Med. 2003; 31:956–959. [PubMed: 12627011]
- 21. Sexton JB, Holzmueller CG, Pronovost PJ, et al. Variation in caregiver perceptions of teamwork climate in labor and delivery units. J Perinatol. 2006; 26:463–470. [PubMed: 16775621]
- 22. Kho ME, Carbone JM, Lucas J, et al. Safety Climate Survey: reliability of results from a multicenter ICU survey. QSHC. 2005; 14:273–278.

- 23. Modak I, Sexton JB, Lux TR, et al. Measuring safety culture in the ambulatory setting: the safety attitudes questionnaire-ambulatory version. J Gen Intern Med. 2007; 22:1–5. [PubMed: 17351834]
- 24. Daugherty EL, Paine LA, Maragakis LL, et al. Safety culture and hand hygiene: linking attitudes to behavior. Infect Control Hosp Epidemiol. 2012; 33:1280–1282. [PubMed: 23143375]
- 25. Block M, Ehrenworth JF, Cuce VM, et al. Measuring handoff quality in labor and delivery: development, validation, and application of the Coordination of Handoff Effectiveness Questionnaire (CHEQ). Jt Comm J Qual Patient Saf. 2013; 39:213–220. [PubMed: 23745480]
- 26. Sexton JB, Berenholtz SM, Goeschel CA, et al. Assessing and improving safety climate in a large cohort of intensive care units. Crit Care Med. 2011; 39:934–939. [PubMed: 21297460]
- Dyer N, Hanges P, Hall R. Applying multilevel confirmatory factor analysis techniques to the study of leadership. Leadership Quart. 2005; 16:149–167.
- Bentler PM. Comparative fit indices in structural models. Psychol Bull. 1990; 107:238–246. [PubMed: 2320703]
- Bronwne, MW.; Cudeck, R. Alternative ways of assessing model fit. In: KA, Bollen; JS, Long, editors. Testing Structural Equation Models. Newbury Park, CA: Sage Publications; 1993. p. 136-162.
- Kline, RB. Principals and Practice of Structural Equation Modelling. New York, NY: Gulford Press; 1998.
- 31. Tabachnick, BG.; Fidel, LS. Using Multivariate Statistics. Needham Heights, MA: Allyn & Bacon; 2001.
- Vogus TJ, Sutcliffe KM. The Safety Organizing Scale: development and validation of a behavioral measure of safety culture in hospital nursing units. Med Care. 2007; 45:46–54. [PubMed: 17279020]
- American Academy of Pediatrics Committee on Fetus And Newborn. Levels of neonatal care. Pediatrics. 2012; 130:587–597. [PubMed: 22926177]
- Vogus TJ, Sutcliffe KM. The impact of safety organizing, trusted leadership, and care pathways on reported medication errors in hospital nursing units. Med Care. 2007; 45:997–1002. [PubMed: 17890998]
- Cimiotti JP, Aiken LH, Sloane DM, et al. Nurse staffing, burnout, and health care-associated infection. Am J Infect Control. 2012; 40:486–490. [PubMed: 22854376]
- 36. John, OP.; Benet-Martinez, V. Measurement: Reliability, construct validation, and scale construction. In: Reis, HT.; Judd, CM., editors. Handbook of research methods in social psychology. New York, NY: Cambridge University Press; 2000. p. 339-369.
- Prins JT, van der Heijden FM, Hoekstra-Weebers JE, et al. Burnout, engagement and resident physicians' self-reported errors. Psychol Health Med. 2009; 14:654–666. [PubMed: 20183538]
- West CP, Huschka MM, Novotny PJ, et al. Association of perceived medical errors with resident distress and empathy: a prospective longitudinal study. JAMA. 2006; 296:1071–1078. [PubMed: 16954486]
- 39. Sexton, BJ.; Grillo, S.; Fullwood, C., et al. Assessing and improving safety culture. In: Frankel, A.; Leonard, M.; Simmonds, T., et al., editors. The Essential Guide for Patient Safety Officers. Chicago, IL: Joint Commission Resources with the Institute for Healthcare Improvement; 2009. p. 11-20.
- 40. Aiken LH, Clarke SP, Sloane DM. Hospital staffing, organization, and quality of care: crossnational findings. Int J Qual Health Care. 2002; 14:5–13. [PubMed: 11871630]
- 41. Ahola K, Vaananen A, Koskinen A, et al. Burnout as a predictor of all-cause mortality among industrial employees: a 10-year prospective register-linkage study. J Psychosom Res. 2010; 69:51–7. [PubMed: 20630263]
- 42. Kitaoka-Higashiguchi K, Morikawa Y, Miura K, et al. Burnout and risk factors for arteriosclerotic disease: follow-up study. J Occup Health. 2009; 51:123–131. [PubMed: 19212087]
- Guest RS, Baser R, Li Y, et al. Cancer surgeons' distress and well-being, II: modifiable factors and the potential for organizational interventions. Ann Surg Oncol. 2011; 18:1236–1242. [PubMed: 21399883]
- Campbell DA Jr, Sonnad SS, Eckhauser FE, et al. Burnout among American surgeons. Surgery. 2001; 130:696–702. [PubMed: 11602901]

- Geurts S, Rutte C, Peeters M. Antecedents and consequences of work-home interference among medical residents. Soc Sci Med. 1999; 48:1135–1148. [PubMed: 10220015]
- 46. Fahrenkopf AM, Sectish TC, Barger LK, et al. Rates of medication errors among depressed and burnt out residents: prospective cohort study. BMJ. 2008; 336:488–491. [PubMed: 18258931]
- 47. Demir ZA, Arslan S. Morning-evening type and burnout level as factors influencing sleep quality of shift nurses: a questionnaire study. Croat Med J. 2011; 52:527–537. [PubMed: 21853548]
- 48. Zhang Y, Feng X. The relationship between job satisfaction, burnout, and turnover intention among physicians from urban state-owned medical institutions in Hubei, China: a cross-sectional study. BMC Health Serv Res. 2011; 11:235. [PubMed: 21943042]
- 49. Shelledy DC, Mikles SP, May DF, et al. Analysis of job satisfaction, burnout, and intent of respiratory care practitioners to leave the field or the job. Respir Care. 1992; 37:46–60. [PubMed: 10145581]
- McMurray JE, Linzer M, Konrad TR, et al. The work lives of women physicians results from the physician work life study The Career Satisfaction Study Group. J Gen Intern Med. 2000; 15:372– 380. [PubMed: 10886471]

Profit et al.





### Figure 1.

Burnout and resilience in 44 NICUs. Per cent burnout is the per cent responding in the 'neutral to agree strongly' range across the burnout items; per cent resilient is the per cent responding in the 'disagree strongly' range.

**NIH-PA** Author Manuscript

### Table 1

### Description of sample

NICU level (N=44)	
Size, n (%)	
Regional	10 (22.7)
Community	28 (63.6)
Intermediate	6 (13.6)
Respondent Level (N=2073)	
Females, n (%)	1697 (84.8)
Primarily, n (%)	
Adult	63 (3.6)
Paediatrics	1537 (88.3)
Both	140 (8.1)
Typical Shift, n (%)	
Days	894 (47.9)
Evenings	79 (4.2)
Nights	602 (32.2)
Variable	293 (15.7)
Position, n (%)	
MD	204 (10.0)
Fellow physician	31 (1.5)
Neonatal nurse practitioner	35 (1.7)
Registered nurse	1464 (71.7)
Respiratory care practitioner	286 (14.0)
Other	21 (1.0)
Years in specialty, n (%)	
Less than 6 months	20 (1.0)
6–11 months	27 (1.4)
1-2 years	74 (3.8)
3-4 years	192 (9.8)
5-10 years	476 (24.2)
11-20 years	538 (27.3)
21 years or more	643 (32.6)

NICU, neonatal intensive care unit.

~
~
_
-
1.1
. 0
$\mathbf{\Sigma}$
-
-
$\mathbf{D}$
_
t
-
=
0
-
~
$\geq$
01
1
-
=
5
()
~
0
0
+

**NIH-PA Author Manuscript** 

Table 2

	Respondent	t level (N=20'	73)			NICU Level (N	=44)
Burnout item	Disagree strongly n (%)	Disagree slightly n (%)	Neutral n (%)	Agree slightly n (%)	Agree strongly n (%)	Burnout PPR Mean (SD)	Resilience PPR Mean (SD)
I feel fatigued when I get up in the morning and have to face another day on the job	718 (35.6)	514 (25.5)	384 (19.0)	322 (16.0)	80 (4.0)	36.6 (10.5)	60.5 (11.4)
I feel burned out from my work	851 (41.3)	529 (25.7)	333 (16.2)	263 (12.7)	85 (4.1)	30.9 (10.1)	68.5 (10.0)
I feel frustrated by my job	760 (37.0)	508 (24.7)	310 (15.1)	356 (17.3)	123 (6.0)	36.4 (12.7)	62.9 (12.5)
I feel I am working too hard on my job	655 (31.8)	558 (27.1)	467 (22.7)	278 (13.5)	102 (5.0)	38.5 (11.6)	60.9 (11.6)
Composite Score	N/A					25.9 (10.8)	52.6 (13.1)

Burnout items derived from the Emotional Exhaustion scale of the Maslach Burnout Inventory. Calculations of per cent positive responses (PPR) for burnout included neutral, agree slightly and agree strongly. The range of per cent positive rate for resilience across NICUs is 26.5% to 80%. NICU, neonatal intensive care unit.

# Table 3

Relationship between emotional exhaustion and SAQ scale scores (N=44 NICUs)

Teamw	ork Safety	Job satisfaction	Stress recognition	Percept of management	Working conditions
I feel fatigued when I get up in the morning and have to face another day on the job.	)* -0.27	-0.51	0.13	$-0.43^{**}$	-0.39**
I feel burned out from my work.	** -0.32*	$-0.54^{***}$	0.12	$-0.46^{**}$	$-0.35^{*}$
I feel frustrated by my job. $-0.59^{*}$	-0.49***	-0.68	0.09	$-0.61^{***}$	$-0.54^{***}$
I feel I am working too hard on my job. –0.46	** -0.46	$-0.45^{**}$	0.02	$-0.43^{**}$	$-0.40^{**}$
Raw Composite Burnout Score * -0.48	** -0.38*	$-0.64^{***}$	0.12	$-0.50^{***}$	-0.45**
Raw Composite Resilience Score <sup>*</sup> 0.60 <sup>*</sup>	** 0.51***	0.65***	-0.19	$0.61^{***}$	0.53***

\*\* p<0.01,

\*\*\* p<0.001.

NICU, neonatal intensive care unit; SAQ, Safety Attitudes Questionnaire.