VACCINES | INVITED ARTICLE

Maternal Immunization

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Maternal immunization has the potential to protect the pregnant woman, fetus, and infant from vaccinepreventable diseases. Maternal immunoglobulin G is actively transported across the placenta, providing passive immunity to the neonate and infant prior to the infant's ability to respond to vaccines. Currently inactivated influenza, tetanus toxoid, and acellular pertussis vaccines are recommended during pregnancy. Several other vaccines have been studied in pregnancy and found to be safe and immunogenic and to provide antibody to infants. These include pneumococcus, group B Streptococcus, Haemophilus influenzae type b, and meningococcus vaccines. Other vaccines in development for potential maternal immunization include respiratory syncytial virus, herpes simplex virus, and cytomegalovirus vaccines.

Keywords. maternal immunization; influenza; tetanus; diphtheria; pertussis.

Many vaccine-preventable diseases cause substantial morbidity and mortality in pregnant women, neonates, and infants. However, vaccination of very young infants by direct immunization is limited by poor immunogenicity and interference from maternal antibody [\[1\]](#page-6-0). Immunization during pregnancy has the potential to provide protection to the mother, fetus, and infant through transplacental transfer of vaccine-specific maternal immunoglobulin G (IgG). Maternal IgG provides passive immunity during the first 6 months of an infant's life prior to the infant's ability to fully respond to vaccination. Maternal immunization can also prevent disease in both the pregnant woman and fetus during a high-risk period in their lives. This has been most recently emphasized with the increased mortality from pandemic H1N1 influenza in pregnant women and fetuses compared with the general population $[2, 3]$ $[2, 3]$ $[2, 3]$ $[2, 3]$. Finally, pregnant women are an otherwise healthy

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population who respond well to vaccines and are easily accessed through routine prenatal care.

Several factors influence the transfer of maternal IgG during pregnancy, including placental integrity, total maternal IgG concentration, IgG subtype, and timing of vaccination. Maternal infection with HIV or malaria can reduce the ability of the placenta to transport IgG through impairment of Fc receptor function [\[4\]](#page-6-0). Higher levels of total maternal IgG may also reduce transfer of antigen-specific IgG by competitive binding to placental Fc receptors [\[5\]](#page-6-0). IgG transfer also varies by subtype. IgG1, which is induced primary by protein antigens such as tetanus toxoid, is more efficiently transferred than IgG2, which is induced by polysaccharide antigens such as pneumococcus $[6]$. Active transport of maternal IgG occurs primarily after 32 weeks' gestation; infants born prematurely have low levels of maternal antibody. By the time of delivery of a fullterm infant, the level of IgG may be higher in the infant than the mother due to active transport [[7\]](#page-6-0). Infant antibody titers rise approximately 2 weeks after maternal vaccination. In a study of Haemophilus influenzae type b (Hib) conjugate vaccine, transmission of antibodies was greatest in mothers vaccinated >4 weeks before delivery [[8\]](#page-6-0). Vaccination between 28 and 32 weeks may optimize the amount of disease-specific IgG present at time of delivery and ensure the greatest period of protection for neonates. For influenza, where

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there is substantial risk to the pregnant woman and fetus as well as the infant, the Advisory Committee on Immunization Practices (ACIP) recommends vaccination at the beginning of the seasonal epidemic [[9](#page-6-0)].

The safety of maternal immunization has been shown for many vaccines. In the United States, vaccination of pregnant women against influenza and poliomyelitis was recommended and widely implemented in the 1950s and 1960s. Longitudinal surveillance studies following mothers and infants until 7 years of age showed no increased risk for development of learning disabilities, malignancy, or congenital malformations [[10](#page-6-0)]. Maternal tetanus toxoid (TT) has been administered to millions of women worldwide with no known risks to mother or fetus. Vaccination during pregnancy with live vaccines, such as varicella or yellow fever, has been relatively contraindicated due to a concern for potential transmission of infection across the placenta to the fetus, although this is evaluated on a case-by-case basis [\[9](#page-6-0)]. Pregnancy is an exclusion criterion for enrollment into many vaccine trials, limiting the availability of data regarding safety of routine vaccines in pregnancy. Policy regarding use of vaccines in pregnancy is often guided by postmarketing vaccine surveillance systems, such as the Vaccine Adverse Event Reporting System in the United States, as well as data from the small numbers of pregnant women inadvertently vaccinated in clinical trials. Limitations of use of vaccine surveillance systems include lack of long-term follow-up data as well as a limited ability to detect rare pregnancy-associated adverse events.

RECOMMENDED AND AVAILABLE VACCINES

Tetanus

Neonatal tetanus mortality has been reduced by 92% with the advent of universal TT administration during pregnancy in combination with improved hygienic birthing practices (Figure 1) [\[11](#page-6-0)]. TT is a protein-based subunit vaccine that elicits an IgG1 immune response, with antibody actively transported across the placenta with >100% efficiency. The World Health Organization (WHO) recommends the administration of 2 doses of TT in the first pregnancy and one in each subsequent pregnancy for a maximum of 5 doses. Implementation of TT is widely used in resource-limited settings with 80% coverage of pregnancies worldwide (Table [1](#page-2-0)). In the United States, TT is administered as part of the tetanus toxoid, diphtheria toxoid, and acellular pertussis vaccine (Tdap) during pregnancy, given primarily for protection against neonatal pertussis. Administration of TT alone during pregnancy in the United States is not indicated for protection against tetanus in women who have completed the recommended immunization series prior to conception. The infrastructure for delivery of TT in resource-

29 Countries eliminated MNT between 2000 & 2012

*(Plus 15 States out of 35 in India, Ethiopia part and 29 provinces out of 33 in Indonesia) leaving 30 countries yet to eliminate MNT

Figure 1. Elimination of neonatal tetanus by 2012. Reprinted with permission from the World Health Organization. Abbreviation: MNT, maternal and neonatal tetanus.

Table 1. Vaccines Recommended Routinely and in Special Circumstances, and Vaccines Currently in Development

Adapted from Munoz and Englund [\[12](#page-6-0)].

Abbreviations: IgG, immunoglobulin G; LAIV, live attenuated influenza vaccine; ND, no data; PCV13, 13-valent pneumococcal conjugate vaccine; PPSV23, 23-valent pneumococcal polysaccharide vaccine.

^a Recommendations by the Centers for Disease Control for United States populations.

^b No adverse events have been identified in registry programs of infants born to women inadvertently vaccinated during pregnancy.

 c One of 81 infants of mothers vaccinated with yellow fever vaccine had evidence of yellow fever immunoglobulin M antibody in cord blood.

^d Contraindicated due to live vaccine. However, no adverse events have been identified in registry programs of infants born to women inadvertently vaccinated during pregnancy.

e Target population for cytomegalovirus (CMV) vaccine would be adolescent or adult females prior to pregnancy to prevent primary CMV infection during pregnancy.

limited settings can potentially be used for scale-up of universal maternal immunization programs for other vaccines, such as influenza [[23](#page-7-0)].

Influenza

Pregnant women are at higher risk for severe complications from influenza than the general population, particularly in the third trimester. Influenza infection during pregnancy in the 2009 H1N1 pandemic was associated with an increased risk of maternal and fetal death, whereas administration of the H1N1 vaccine has been shown to be safe and efficacious in pregnancy [[2](#page-6-0), [3,](#page-6-0) [24\]](#page-7-0). Influenza vaccination during pregnancy generates a protective antibody response and decreases clinical illness in both mothers and infants [\[13\]](#page-6-0). Vaccination with 1 dose of inactivated monovalent 2009 H1N1 vaccine during pregnancy produces a protective antibody response in 93% of pregnant women, with efficient transplacental antibody transfer and generation of protective antibody responses in 87% of infants [\[25](#page-7-0)]. Influenza vaccination during pregnancy also reduces the risk of an influenza diagnosis in the mother $[2, 3]$ $[2, 3]$ $[2, 3]$ $[2, 3]$. A randomized clinical trial of trivalent inactivated influenza vaccination (TIV) during pregnancy in Bangladesh had a vaccine efficacy of 63%, with reduction of influenza-like illness in infants and mothers by 29% and 36%, respectively [[13](#page-6-0)]. The WHO has made pregnant women the top priority group for influenza vaccination. Use of the live-attenuated influenza vaccine, however, is not recommended during pregnancy.

The effect of influenza vaccination on birth outcomes, including a potential effect on decreased incidence of small for gestational age (SGA), preterm birth, and low-birthweight infants in pregnant women, have been analyzed in many retrospective cohort studies and 1 prospective randomized controlled trial (Table [2](#page-4-0)) [[28](#page-7-0), [31](#page-7-0)]. In the prospective study in Bangladesh, receipt of TIV during pregnancy was associated with an increased birthweight of 200 g and decreased incidence of SGA by 34%. Retrospective studies have also shown a decreased risk of preterm birth with both H1N1 vaccine and TIV administration during pregnancy [[30,](#page-7-0) [32](#page-7-0)]. Several prospective clinical trials are under way in South Africa, Mali, and Nepal and will add further data regarding the effect of vaccination on birth outcomes [\[33\]](#page-7-0). Preliminary results from the South African study demonstrate efficacy in both pregnant women and their infants [[34](#page-7-0), [35](#page-7-0)].

Pertussis

In the United States, the majority of hospitalizations and deaths from pertussis have occurred in infants <2 months of age. Administration of Tdap to postpartum mothers and family members has been recommended by the ACIP since 2005, but was logistically difficult to implement and did not provide protection during the first few weeks of the infants' life prior to generation of an antibody response [[36,](#page-7-0) [37\]](#page-7-0). Efficacy of programs to immunize family members prior to birth has not been formally evaluated. Studies evaluating infant cord blood pertussis antibody levels showed significantly higher antibody titers in infants born to mothers who were vaccinated with Tdap during pregnancy [[14](#page-6-0)]. Additional studies of pertussis booster administration to healthy adolescents and adults showed that levels of

antibody remained sustained for several months after vaccination [\[38](#page-7-0)]. Based on these studies, the ACIP modified their recommendations in 2011 to include administration of Tdap to all unvaccinated pregnant women, and then subsequently updated these recommendations in 2012 to include vaccination of all pregnant women, regardless of previous immunization status, during the third trimester of pregnancy [\[39](#page-7-0)]. This modification was in response to concerns of potentially inadequate vaccination histories, as well as additional data showing that mothers who were vaccinated before the third trimester of the current pregnancy did not transfer sufficient protective antibody titers to their infants [\[40](#page-7-0)].

Routine Tdap immunization of pregnant women between 28 and 38 weeks of gestation was implemented in the United Kingdom in 2012. Evidence to date indicates good safety in a cohort of 18 000 immunized pregnant women, and more than half a million pregnant women in the United Kingdom have been immunized with Tdap (Repevax, Sanofi Pasteur, Lyon, France), a vaccine against diphtheria, polio, pertusiss, and tetanus, to date [\[41](#page-7-0)]. The number of fatal infant pertussis cases in the United Kingdom decreased from 12 deaths in 2012 to 2 deaths in 2013; both fatalities in 2013 were in infants born to mothers who were not immunized. A recent small controlled clinical trial in the United States demonstrated that maternal Tdap administration does not appear to impact subsequent infant immune responses to infant immunization with diphtheria, tetanus, and acellular pertussis vaccines, particularly following the fourth booster dose of vaccine. Evaluation of infant antibody responses in other clinical trials is ongoing [\[42](#page-7-0)]. Potential adverse events associated with repeated administration of Tdap during closely spaced pregnancies is also not yet evaluated.

WELL-STUDIED AND POTENTIALLY RECOMMENDED VACCINES

Pneumococcus

Pneumococcal pneumonia, meningitis, and bacteremia, as well as suppurative otitis media, are the most common causes of invasive bacterial infections worldwide. Infections in infants are associated with high mortality and long-term sequelae. Use of pneumococcal conjugate vaccines in infants has prevented disease through generation of a serum antibody response, reduction of nasopharyngeal carriage, and induction of herd immunity. The 13-valent pneumococcal polysaccharide-protein conjugate vaccine (PCV13) is recommended for immunization of infants in the United States starting at age 2 months for a 3 dose primary series in the first year of life [\[43](#page-7-0)]. However, invasive disease in infants can occur before 2 months of age.

In adults, administration of the 23-valent pneumococcal polysaccharide vaccine (PPSV23) has been recommended for >20 years. Studies of PPSV administered in the third trimester

Table 2. Studies Reporting Birth Outcomes With Trivalent Inactivated or H1N1 Influenza Vaccine Administered During Pregnancy fluenza Vaccine Administered During Pregnancy Table 2. Studies Reporting Birth Outcomes With Trivalent Inactivated or H1N1 In

Abbreviations: CI, confidence interval; OR, odds ratio; RR, relative risk; TIV, trivalent inactivated influenza vaccine. Abbreviations: CI, confidence interval; OR, odds ratio; RR, relative risk; TIV, trivalent inactivated influenza vaccine. Adapted from Steinhoff and Omer [[26](#page-7-0)]. Adapted from Steinhoff and Omer [26]

of pregnancy showed the vaccine to be safe and immunogenic, with efficient transplacental antibody transfer [[15,](#page-6-0) [16](#page-6-0)]. Pneumococcal-specific antibody half-life in infants was approximately 35 days, with antibody levels in serum and breast milk sustained at 5 months of age [\[15](#page-6-0)]. However, a recent review found insufficient evidence that vaccination with PPSV during pregnancy has any effect on reduction of neonatal infection or nasopharyngeal colonization at 2–3 months of age [\[16,](#page-6-0) [44,](#page-7-0) [45](#page-7-0)]. In contrast to conjugate vaccines, polysaccharide vaccines do not induce a robust T-cell and memory B-cell response. Clinical trials comparing conjugate vaccine to PPSV23 in adults have shown a superior T-cell dependent memory B-cell antibody response with the conjugate vaccine $[46, 47]$ $[46, 47]$ $[46, 47]$. The conjugate vaccine is now recommended by the ACIP for adults with certain high-risk conditions [[9\]](#page-6-0). Studies of the conjugate vaccine in pregnant women have not been reported.

Haemophilus influenzae Type b

Hib was the most common cause of bacterial meningitis in children aged <5 years in the United States before the availability of conjugate vaccines in 1988. More than 95% of the disease burden in the United States has been eliminated with vaccination, which also eliminates nasopharyngeal carriage and provides herd immunity [[48\]](#page-7-0). In resource-limited settings, Hib continues to be a serious cause of bacterial meningitis and sepsis in children <5 years, and vaccine implementation has been slow due to lack of infrastructure, cost, and concerns about sustainability [\[49](#page-7-0)]. Type b polysaccharide conjugate vaccines against polyribosylribitol phosphate antigen are currently used in the United States in infants, but require 2–3 doses in infancy, followed by a booster dose at 12–18 months, to achieve sustained protective levels of antibodies [[50,](#page-7-0) [51](#page-7-0)]. Because a large proportion of disease can occur in infants before 18 months of age, maternal immunization can be considered as a strategy to protect young infants in regions of the world without high levels of herd immunity. In studies of Hib vaccine conducted in the United States and developing countries, maternal vaccination with Hib conjugate and polysaccharide vaccines in the third trimester has been shown to be safe and immunogenic, and increases postvaccination serum and cord blood antibody titers [\[52](#page-7-0), [53\]](#page-7-0). Conjugate vaccine was found to be superior to polysaccharide vaccine in increasing infant antibody titers at birth and 2 months [[8,](#page-6-0) [54\]](#page-7-0). In addition, maternal vaccination did not blunt the infant response to conjugate vaccine when compared with infants of unvaccinated mothers [[54](#page-7-0)].

Meningococcus

Invasive meningococcal disease is caused primarily by serogroups A, B, C, Y, or W135, and remains a significant cause of mortality worldwide, with yearly epidemics of primarily serogroup A in sub-Saharan Africa. Polysaccharide (MPSV4) and conjugate (MenACWY) vaccines with protection against A, C, Y, and W135 are available in the United States. The quadrivalent vaccine is currently available and licensed for infants as young as 2 months of age, but only recommended for those at high risk of infection. Prior studies have shown rise in antibody concentrations following meningococcal polysaccharide vaccine in maternal and infant sera and breast milk, although efficiency of transplacental transmission was variable, ranging from 30% to 44% for A and C, respectively [\[17](#page-6-0), [18\]](#page-6-0).A serogroup A meningitis polysaccharide-tetanus toxoid conjugate vaccine (PsA-TT, MenAfriVac) was administered to 1.8 million persons in Chad in 2011, including pregnant women, with a 94% reduction in meningitis incidence between vaccinated and unvaccinated regions [[55\]](#page-7-0). Further analysis of this vaccine in pregnant women is not yet available. No major safety concerns have been identified in pregnant women or newborns of women vaccinated during pregnancy with either MPSV4 or MenACWY in vaccine registries [[56,](#page-7-0) [57\]](#page-7-0). Serogroup B disease disproportionately affects infants. A vaccine against serogroup B became available in Europe in 2013, although this has not been studied in pregnant women and is not licensed in the United States [[58\]](#page-7-0).

Polio

Inactivated poliovirus vaccine (IPV) is currently not recommended for pregnant women, although it has been well studied in the 1950s in the United States during a time of routine maternal vaccination. IPV has been shown to be safe and immunogenic to the mother and infant [\[59](#page-8-0)]. Antibody levels in mothers are high in response to both IPV and oral poliovirus vaccine (OPV), and there is evidence of efficient transplacental antibody transfer [[60\]](#page-8-0). OPV, a live vaccine, could theoretically infect or cause sequelae to the fetus, but use of OPV during pregnancy has been studied as part of massive vaccination campaigns. No association has been found with congenital malformations or other adverse fetal outcomes [\[61](#page-8-0)].

VACCINES CURRENTLY UNDER DEVELOPMENT

Group B Streptococcus

Group B Streptococcus (GBS) is the most common cause of invasive disease in infants <3 months of age in the United States, and also causes bacteremia, urinary tract infections, chorioamnionitis, and endometritis in pregnant women. Rectal or vaginal GBS carriage is a prerequisite to invasive infection. Carriage rates during pregnancy at 23–26 weeks' gestation are estimated at 20% [\[62\]](#page-8-0). Early disease with onset before 7 days is prevented with intrapartum antibiotics in women with rectal carriage of GBS, although this does not prevent late disease in infants or disease in pregnant women. Early studies of polysaccharide vaccines showed variable immunogenicity [[63](#page-8-0)]. A monovalent

polysaccharide conjugate vaccine has been studied in pregnant women, with results showing safety and immunogenicity, efficient transplacental antibody transfer to the fetus, and persistence of antibody until 2 months of age [[22\]](#page-7-0). Currently, a trivalent polysaccharide conjugate vaccine composed of capsular serotypes Ia, Ib, and III is in phase 2 clinical trials in several countries in Europe and Africa [\[64\]](#page-8-0). The combination of these 3 serotypes causes the majority of early onset GBS disease. Studies are ongoing to define a serologic correlate to protection from disease in infants.

Respiratory Syncytial Virus

Respiratory syncytial virus (RSV) causes severe bronchiolitis and pneumonia, disproportionately affecting infants <6 months of age worldwide [\[65](#page-8-0)]. No vaccine is yet available to prevent RSV, and treatment is mainly supportive. A monoclonal antibody, palivizumab, is administered to high-risk infants to protect against infection during RSV season in many developed countries, but this is expensive and needs to be given by monthly injection [[66](#page-8-0)]. Higher levels of maternal IgG are associated with less severe disease in infants, and prophylaxis with palivizumab is effective in reduction of hospitalizations due to RSV [\[67](#page-8-0)]. A formalin-inactivated vaccine was tested in infants in the 1960s, and caused augmentation of disease after subsequent wild-type infection in vaccinees, putting a halt to vaccine studies for many years [[68\]](#page-8-0). Vaccine studies in infants <6 months of age have been difficult due to poor immunogenicity of vaccine candidates in this age group, with live attenuated RSV vaccines the most likely candidate vaccine for young infants [[69\]](#page-8-0). Maternal vaccination would be an ideal strategy to prevent disease in neonates and young infants. The extensive experience with the use of palivizumab indicates the potential safety and benefit of an RSV fusion protein vaccine during pregnancy. A purified fusion protein (PFP-2) vaccine for RSV has been shown to be safe in pregnancy, although it did not significantly increase neutral-izing antibody titers to RSV [[70](#page-8-0)]. Currently, several new vaccines are in development, including one in phase 2 trials in women of childbearing age [\[68\]](#page-8-0). New studies have clarified the conformational structure of the RSV fusion protein, and identified novel antigenic sites that could be used for vaccine development [\[68\]](#page-8-0).

Herpes Simplex Virus

Neonatal herpes simplex virus (HSV) is associated with a 60% mortality rate if untreated. The route of acquisition is most commonly through exposure in the genital tract during vaginal delivery. The highest risk of neonatal herpes occurs in women who acquire primary disease during pregnancy, rather than those who reactivate their disease [\[71](#page-8-0)]. Most women with genital herpes are asymptomatic or have subclinical infection. An appropriate vaccine candidate would prevent acquisition of HSV-1 and HSV-2 in pregnant women. HSV vaccine candidates in the past have not been successful in preventing primary infection from both HSV-1 and HSV-2, although several candidate vaccines are in the pipeline [[72,](#page-8-0) [73](#page-8-0)].

Cytomegalovirus

Congenital cytomegalovirus (CMV) infection may cause hearing loss and neurodevelopmental sequelae in children [[74](#page-8-0)]. Seronegative pregnant women are at highest risk to acquire CMV infection, frequently from young children. Women with primary infection during pregnancy are at highest risk to transmit CMV to the fetus. A vaccine administered to young children or adolescent females prior to pregnancy would prevent primary infection in pregnant women. Several candidate vaccines are in phase 1 and 2 trials, including a subunit vaccine, gB/MF59, that targets a glycoprotein complex involved in fusion of the virus with the host cell membrane. In a phase 2 randomized clinical trial conducted in seronegative postpartum women, this vaccine was found to have an efficacy of 50% in preventing acquisition of primary CMV infection. Congenital CMV infection was detected in 1% of infants born to mothers in the vaccine group, and 4% in the placebo group [\[75](#page-8-0)]. Currently, additional phase 2 trials are ongoing in healthy, adolescent female volunteers.

Hepatitis E Virus

A discussion of hepatitis E vaccination is included in the Supplementary Appendix.

CONCLUSIONS

Maternal immunization is a safe, efficacious, and logistically feasible strategy to provide protection against vaccinepreventable diseases in pregnant women, fetuses, and neonates. Pregnant women are able to generate robust immune responses to vaccines and to transmit antibody to infants through the placenta. The success of the neonatal tetanus elimination program has demonstrated the acceptability of providing vaccines as an integrated part of prenatal care. Maternal immunization with influenza vaccine is gaining worldwide acceptance following the 2009 influenza A/H1N1 pandemic. Novel vaccine candidates against RSV, CMV, HSV, and group B Streptococcus are under development. Maternal immunization has the potential to prevent maternal and neonatal disease in a vulnerable population.

Notes

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