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## Vitamin D, immune regulation, the microbiota, and inflammatory bowel disease

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### Abstract

The inflammatory bowel diseases (IBD) are complex diseases caused by environmental, immunological and genetic factors. Vitamin D status is low in patients with IBD and experimental IBD is more severe in vitamin D deficient or vitamin D receptor knockout animals. Vitamin D is beneficial in IBD because it regulates multiple checkpoints and processes essential for homeostasis in the gut. Vitamin D inhibits IFN- $\gamma$  and IL-17 production while inducing regulatory T cells. In addition, vitamin D regulates epithelial cell integrity, innate immune responses, and the composition of the gut microbiota. Overall vitamin D regulates multiple pathways that maintain gastrointestinal homeostasis. The data support improving vitamin D status in patients with IBD.

### Keywords

vitamin D; inflammatory bowel disease; microbiota

### Introduction

Since the discovery of the vitamin D receptor (VDR) in cells of the immune system about 30 years ago, there has been a strong interest in understanding the role of vitamin D in the immune system. While investigators have made some progress there are still many unanswered questions about vitamin D as an immune system regulator. Vitamin D deficiency has been associated with a number of chronic diseases including inflammatory bowel diseases (IBD). In addition, experimental IBD is more severe in VDR KO and vitamin D deficient models and vitamin D/1,25(OH)<sub>2</sub>D<sub>3</sub> treatments suppress experimental

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#### Statement of Author Contributions

All authors participated in the writing, and organization of this review article. K.M., S.B., J.C. and J.J. were responsible for one section each and M.T.C. is responsible for the final content of this article. In addition, K.M. made the summary figure and S.B. made the reference library.

IBD. Here we will summarize some of the more recent work that describes the mechanisms by which vitamin D regulates IBD development and pathogenesis.

## Vitamin D

Vitamin D primarily functions as a regulator of calcium homeostasis and thus bone formation and resorption. The diet is only a poor source of vitamin D since most foods are naturally low in vitamin D. In addition to the diet, vitamin D is also manufactured in the skin via a photolysis reaction. However, the vitamin D available from sunlight exposure is variable based on season and geography. Vitamin D availability through cutaneous production is significantly less in northern parts of North America and Europe, and especially low during the winter (1, 2). Vitamin D produced in the skin or ingested in the diet is inactive. Hydroxylation of vitamin D occurs, in the liver, resulting in 25(OH)D<sub>3</sub> which is the circulating form of vitamin D. Synthesis of active vitamin D requires the renal 1 $\alpha$  vitamin D hydroxylase (encoded by Cyp27B1), which catalyzes the conversion of 25(OH)D<sub>3</sub> to 1,25(OH)<sub>2</sub>D<sub>3</sub>. Production of 1,25(OH)<sub>2</sub>D<sub>3</sub> is tightly regulated in order to maintain serum calcium within a narrow range. 1,25(OH)<sub>2</sub>D<sub>3</sub> and the VDR regulate expression of Cyp27B1 in the kidney. The VDR is a member of the steroid/hormone superfamily of nuclear transcription factors (1). The actions of 1,25(OH)<sub>2</sub>D<sub>3</sub> are mediated by its binding to the VDR, which acts as a transcription factor to modulate the expression of genes in a tissue-specific manner. Vitamin D is a nutrient/hormone that transcriptionally regulates gene expression.

## IBD

IBD are immune mediated idiopathic diseases that include ulcerative colitis and Crohn's disease. Crohn's disease can involve the entire gastrointestinal tract while ulcerative colitis is limited to the colon and rectum (3). Although Crohn's disease and ulcerative colitis share several clinical features the patterns of gene expression from punch biopsies demonstrated important differences in the two diseases (4). The IBD are multifactorial diseases and it is clear that both genetic and environmental factors affect the development of IBD.

Genetics are important in the development of IBD. The biological relatives of patients with IBD are 10-30 times more likely to develop IBD than individuals without relatives with IBD (5). Genes important in the development of IBD include genes that regulate the immune system such as major histocompatibility, cytokines, signaling molecules, and cytokine receptors (6-8). There are IBD associated genes that are shared between patients with ulcerative colitis and Crohn's disease and a few genes that are associated with only one of the two forms of IBD (4). Single nucleotide polymorphisms (SNPs) occurring in regulatory cytokines including interleukin (IL)-10, and intracellular signaling molecules including Toll-like receptors are prevalent in both ulcerative colitis and Crohn's disease (7, 9). A recent meta-analysis suggested an increased risk of both ulcerative colitis and Crohn's disease associated with several VDR polymorphisms (10). Therefore there is genetic evidence linking vitamin D and the IBD diseases. Although scientists have identified genes that predispose for IBD development, identical twin studies show concordance rates of only 20% for ulcerative colitis and 50% for Crohn's disease. It seems likely that there are important

interactions between environmental factors and the expression of potentially disease inducing genes.

Environmental contributors to disease in general and IBD in particular have been historically difficult to identify (11). It is known that patients with IBD tend to live in urban areas rather than rural areas and northern versus southern parts of North America and Europe (12). For example, Finland and Canada have high incidences of IBD (13, 14). In addition, the incidence of IBD in the US was lower among women living at southern latitudes compared to those living in northern latitudes (15, 16). A protective role for ultraviolet light exposure has been suggested for Crohn's disease in two different studies and for ulcerative colitis in one study with the other study not finding a significant relationship (15, 17). The environmental factors that might explain these geographical patterns include exposure to pollutants, sunlight, vitamin D and commensal or pathogenic microorganisms.

### IBD and the microbiota

A population of nearly 100 trillion dynamic and diverse microbiota—between 500 and 1,000 different species—inhabit the human gut (18). In comparison with all germ and somatic cells in the human body, the gut houses nearly 10 times the number of resident bacteria (19). Additionally, the gut microbiota as a whole contains nearly 100 times more genes than the human genome—some of which are used to tightly control and regulate the host environment (18). The gut microbiota is essential for normal immune system development, displacement of pathogens, and extraction of additional energy (e.g., short chain fatty acids) from otherwise non-digestible dietary substrates (20).

The composition of the intestinal microbiota is one of the environmental factors that have been shown to affect the development of IBD. Patients with IBD had dysbiosis which included changes in the gut microbiota that were associated with disease development (21). In particular, there were decreased numbers from the *Bacteroidetes* phylum and *Lachnospiraceae* of the *Firmicutes* phylum, and increased numbers from the *Proteobacteria* phylum and *Bacillus* of the *Firmicutes* phylum in IBD patients as compared to healthy controls (21). It is not clear whether the changes in the microbiota are contributors to the development of IBD or whether the increased inflammation in the gut alters the microbiota (11, 22). Disruption of the microbiota using antibiotics or addition of microbiota using probiotics was beneficial in some IBD patients (23). In addition, childhood *Helicobacter pylori* infection is negatively associated with the development of ulcerative colitis and Crohn's disease (11, 24). Conversely, some gastrointestinal infections and administration of antibiotics in childhood were associated with an increased risk of IBD (25, 26). The data do suggest differing roles for the microbial flora in childhood that might be critical for the development of mucosal tolerance and later in the adult gastrointestinal tract. There is still no clear relationship between individual microbes or populations of microbes and the development or prevention of IBD.

Animal models of IBD are useful for modeling some aspects of both Crohn's disease and ulcerative colitis; however, most of the information from mice cannot be directly translated to either Crohn's disease or ulcerative colitis. Instead, the models are useful for

understanding the basic mechanisms following challenge of gastrointestinal homeostasis induced by chemicals, infection, or uncontrolled inflammation.

Clear evidence of the role of the intestinal microbiota in controlling intestinal inflammation has been demonstrated in experimental models of IBD. In dextran sodium sulfate (DSS) induced colitis the microbiota were protective since germ-free mice developed a severe form of the disease (27). In IL-10 KO mice the microbiota were harmful since germfree animals failed to develop disease (28). Disease in IL-10 KO mice was caused by inappropriate immune responses to the commensal microbiota (28). The severity of experimental IBD that developed following a gastrointestinal infection with *Citrobacter rodentium* depended on the composition of the microbiota since *C. rodentium* competed for nutrients with the commensal microbiota (29). The intestinal microbiota is an important environmental factor that affects the development of experimental IBD.

### Vitamin D and IBD

There is mounting evidence for a link between vitamin D availability either from sunshine or diet and the prevalence of immune mediated diseases including IBD (13). Vitamin D status when it has been measured is low in IBD patients and inversely associated with the risk of developing disease (30, 31). The epidemiological evidence linking lower vitamin D and IBD outcomes was recently reviewed (32). Whether vitamin D deficiency contributes to IBD development or is a result of malabsorption is as yet unclear. As early as 1992 fish oil supplements that contained vitamin D decreased pathology and increased weight gain in IBD patients (33). In a small double blind placebo controlled trial, supplementation with vitamin D improved serum 25(OH)D<sub>3</sub> levels of Crohn's patients and decreased the risk of relapse but only insignificantly (34). In an open label pilot study in Crohn's patients, vitamin D supplementation increased 25(OH)D<sub>3</sub> levels and decreased symptoms (35). Vitamin D status may affect the efficacy of IBD treatments, for example, patients with higher - vitamin D levels before starting anti-TNF $\alpha$  treatments had better outcomes than those with low vitamin D levels (36). Vitamin D insufficiency is associated with IBD and vitamin D supplementation may be helpful in the treatment and prevention of IBD.

Experimentally there is evidence that links the severity of experimental IBD and vitamin D. Vitamin D deficiency increased the symptoms of several experimental models of IBD (37). VDR deficiency increased susceptibility of mice to DSS colitis, T cell transfer induced colitis, and genetic models of experimental IBD (38, 39). In addition, treatments with 1,25(OH)<sub>2</sub>D<sub>3</sub> have been shown to alleviate symptoms of colitis following chemical injury or in IL-10 KO mice (39-41). It should be noted that VDR KO and vitamin D deficient mice do not develop overt symptoms of experimental IBD. Therefore vitamin D deficiency alone does not cause IBD. Instead, vitamin D is one of the many environmental factors that contributes to the development of experimental IBD.

### Vitamin D, gut epithelial integrity and IBD

The gastrointestinal tract forms a selectively permeable barrier designed to allow nutrient and water transport while preventing systemic microbial infection. Defective barrier function exists in IBD patients and animal models of IBD. Tight junction proteins including

claudin-1, ZO-1, occludin and E-cadherin maintain the integrity of the epithelial barrier in the gut (42). Patients with Crohn's disease have increased small intestine permeability (43). In IL-10 KO mice and DSS colitis, mice showed increased gastrointestinal permeability to small molecules associated with the development of IBD symptoms (43, 44). In addition, mice with barrier dysfunction developed more severe T cell mediated colitis (45). Anti-TNF  $\alpha$  treatments suppressed inflammation and resulted in decreased gut permeability as the symptoms of IBD resolved (46). Compromised gut barrier function is connected to dysbiosis, inflammation and the development of IBD.

There is evidence that vitamin D regulates gut barrier function. VDR KO mice were hyper-responsive to lipopolysaccharide challenge and DSS colitis (38). The injury in VDR KO mice following exposure to DSS included the inability of the mice to maintain the integrity of the epithelial barrier (38, 47). Expression of E-cadherin, claudin-1, ZO-1, and occludin proteins were lower in VDR KO mice treated with DSS than in wild-type (WT) mice (47, 48).  $1,25(\text{OH})_2\text{D}_3$  induced E-cadherin transcripts in gut epithelial cells (49). As a result of reduced tight junction proteins, vitamin D deficient and VDR KO mice had increased gut permeability compared to vitamin D sufficient WT mice (47). Indirectly the higher levels of TNF- $\alpha$  and other inflammatory cytokines contributed to decreased barrier function found in VDR KO and vitamin D deficient mice (38, 47). Vitamin D is an important regulator of epithelial integrity and barrier function.

### Vitamin D, T cells and IBD

Several types of T cells are important for regulation of homeostasis in the gastrointestinal tract and either induce or suppress IBD. In the gut the T cells need to produce IL-17 and IFN- $\gamma$  to clear infections while not responding to the commensal microbiota. In IBD, Th17 cell functions are unregulated and associated with disease pathology. Highlighting a critical role for Th17 cells in experimental IBD, Th17 deficient mice were resistant to developing IBD (50). FoxP3<sup>+</sup> CD4<sup>+</sup> regulatory T cells (T reg) are critical regulators of gastrointestinal homeostasis. Mice with defective or absent FoxP3<sup>+</sup> T reg cells developed experimental IBD (51, 52). Tregs function by inducing apoptosis of effector cells and producing the inhibitory cytokines IL-10 and TGF- $\beta$  1 (53). In addition, the intestine contains other populations of regulatory cells including T cells that express the homodimeric form of CD8, CD8 $\alpha\alpha$  (54). These CD8 $\alpha\alpha$  T cells have been shown to proliferate slowly and produce IL-10 and TGF- $\beta$ 1 that helps to limit inflammation in the gastrointestinal tract (54, 55). In addition, CD8 $\alpha\alpha$  T cells were regulatory in vivo since they prevent T cell induced models of IBD (55). Other regulatory T cells in the gut include invariant NKT (iNKT) cells that were early producers of cytokine that shape the development of the T cell response in the periphery and the gut (56). Stress in the gastrointestinal tract either following infection or chemical injury results in the induction of Th1 and Th17 cells. The regulatory T cells are then critical for turning off the Th1 and Th17 cells and restoring gastrointestinal homeostasis.

The VDR was expressed at low levels in conventional T cells and induced following activation (57). Since the effects of vitamin D as a T cell regulator have been recently reviewed in detail elsewhere (58, 59) here we will only briefly summarize the effects of vitamin D on T cells. CD4 T cells from VDR KO and Cyp27B1 KO mice had a more

activated phenotype and overproduced IFN- $\gamma$  and IL-17 cells compared to WT CD4 cells (60). 1,25(OH) $_2$ D $_3$  treatments suppressed the development of experimental IBD and several other models where Th1 and Th17 cells were pathogenic (61). 1,25(OH) $_2$ D $_3$  suppressed the proliferation of T cells in vitro (62, 63). VDR KO mice had normal numbers of FoxP3+ T reg cells compared to WT (64). However, 1,25(OH) $_2$ D $_3$  treatments in vitro and in vivo induced FoxP3+ T reg cells (41). Cyp27B1 KO and VDR KO mice had fewer iNKT cells and vitamin D regulated iNKT cell maturation and development (65, 66). In addition, vitamin D was a critical regulator of CD8 $\alpha\alpha$  T cells in the gastrointestinal tract since VDR KO mice had half as many CD8 $\alpha\alpha$  T cells in the gut due to a block in maturation and proliferation of the precursors in the gut (64, 67). Vitamin D is a regulator of T cell development and function.

Our current model of the effects of vitamin D on gastrointestinal homeostasis is shown in Fig. 1. In the gastrointestinal tract large numbers of Th1 and Th17 cells producing IL-17 and IFN- $\gamma$  contribute to inflammation in the vitamin D deficient or VDR KO host (Fig. 1). In addition to inhibiting Th1 and Th17 cells, vitamin D and 1,25(OH) $_2$ D $_3$  is important for the development of regulatory cells (T reg, iNKT cells and CD8 $\alpha\alpha$  T cells). Vitamin D serves to shut off the Th1 and Th17 cells while boosting iNKT, CD8 $\alpha\alpha$  and T reg cell functions (Fig. 1). Vitamin D maintains the balance between effector T cells important for fighting infection and regulatory T cells important for homeostasis of the gastrointestinal tract.

### Vitamin D and the microbiota

Vitamin D regulates the innate immune response to the microbiota. The expression of several pattern recognition receptors including NOD2, which is genetically linked to the development of Crohn's disease, is regulated by vitamin D (7, 8, 68). NOD2 recognizes bacterial peptidoglycans and induces bacterial killing both through autophagy of intracellular pathogens, and by promoting antimicrobial peptide production (69). Vitamin D response elements were in the promoter region of NOD2, and 1,25(OH) $_2$ D $_3$  induced expression of NOD2 in human monocytes (68). In addition, human macrophages and dendritic cells have been shown to utilize vitamin D to induce the production of several antimicrobial peptides (B-defensin and cathelicidin), suggesting that vitamin D may regulate host responses to bacteria (68). Decreased expression of angiogenin-4 mRNA and protein has been reported in vitamin D-deficient mice (70). Conversely, increased susceptibility of Cyp27B1 KO (1,25(OH) $_2$ D $_3$  deficient) mice to DSS colitis was not associated with changes in antibacterial peptides (cathelicidin, angiogenin-4), mucins, or Toll like receptor expression in the colon (47). The discrepancy in results may be because humans and mice do not express the same antimicrobial peptides and the murine cathelicidin gene is not regulated by 1,25(OH) $_2$ D $_3$  (71). Interestingly the increased susceptibility of VDR KO and Cyp27B1 KO mice to experimental IBD was associated with changes in the microbiota in the gut and antibiotic disruption of the microbiota protected VDR KO and Cyp27B1 KO mice for IBD symptoms (47).

Prokaryotes do not express the VDR and therefore effects of vitamin D on the microbiota must be indirect effects on the host that change the microbiome. Cyp27B1 KO and VDR KO mice had increased frequencies of Proteobacteria phylum members compared to WT



littermates (Fig. 1, (47)). More specifically Cyp27B1 KO mice had higher levels of the Helicobacteraceae family (Proteobacteria phyla) members than WT mice (47). Helicobacter species have been shown to induce IBD symptoms in IL-10 KO mice (72). Other bacteria from the Proteobacteria phylum (*Salmonella*) have developed strategies that utilize the host inflammatory response to outcompete commensal organisms (73). Our data is consistent with a model where the high levels of inflammation in the gut caused a shift in the microbiota so that more pathogenic organisms (Proteobacteria) out-compete the commensals causing dysbiosis (Fig. 1). The result of the shift in the composition of the bacterial microbiota is an amplified response of the host to injury. The VDR and  $1,25(\text{OH})_2\text{D}_3$  regulated the microbiome indirectly to maintain tolerance in the gastrointestinal tract. It would be of interest to determine what the effect of vitamin D might be on the human microbiome and whether the effects of vitamin D could be narrowed to specific effects on a microbial family or species.

## Conclusions

Vitamin D deficiency exacerbates experimental animal models of IBD. Vitamin D is required for the integrity of the gut epithelium. In addition, vitamin D and  $1,25(\text{OH})_2\text{D}_3$  are critical regulators of T cell function. In the absence of vitamin D there are many effector T cells that produce  $\text{IFN-}\gamma$  and IL-17 in the gut.  $1,25(\text{OH})_2\text{D}_3$  and vitamin D promote regulatory T cell development and function to turn off the Th1 and Th17 cells and to control inflammation in the gut. Uncontrolled inflammation in the gut leads to dysbiosis in the vitamin D deficient host. The ability of vitamin D and the VDR to inhibit Th1, Th17 cells, induce regulatory T cells and reduce inflammation resulted in a shift in the microbiome and maintenance of tolerance in the gut. Overall the available data support a strong environmental link between vitamin D and experimental IBD; more needs to be done to determine how this might translate to the prevention and maintenance of gastrointestinal homeostasis in human patients with IBD.

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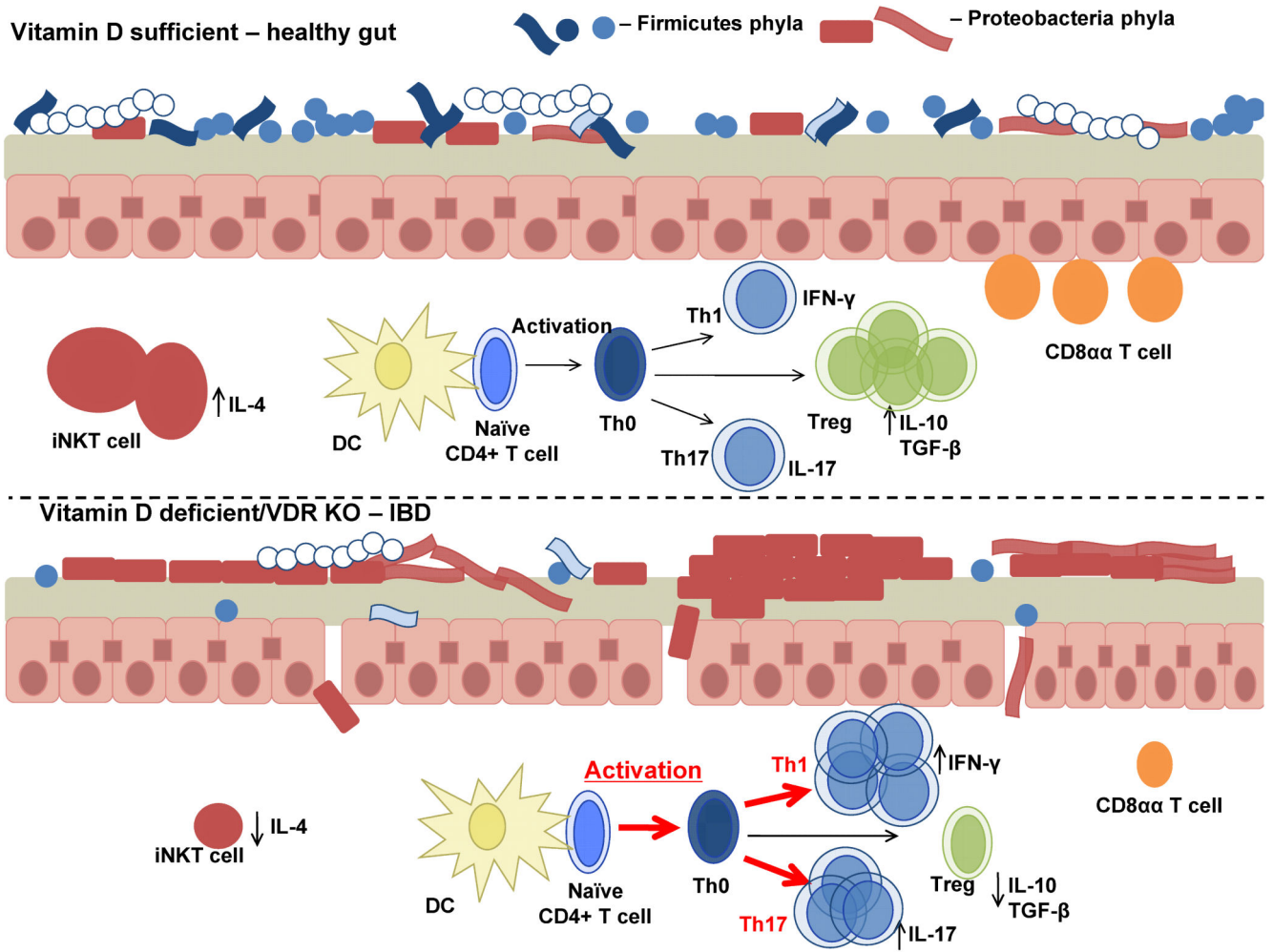
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**Figure 1.**

A model of the effects of vitamin D on gastrointestinal homeostasis. Vitamin D is required to maintain gastrointestinal homeostasis. In T cells vitamin D is important for turning off effector Th1 and Th17 cells, inducing several regulatory cells including Treg, CD8α expressing T cells and iNKT cells. Vitamin D regulates barrier function in the gut in part by regulating E-cadherin and other tight junction proteins. Changes in the microbiota occur during vitamin D deficiency due to unregulated inflammation and increased gastrointestinal permeability. Dysbiosis of the microbiota during vitamin D deficiency further induces local and systemic inflammation.