

Effects of Season and Host Physiological State on the Diversity, Density, and Activity of the Arctic Ground Squirrel Cecal Microbiota

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We examined the seasonal changes of the cecal microbiota of captive arctic ground squirrels (*Urocitellus parryii***) by measuring microbial diversity and composition, total bacterial density and viability, and short-chain fatty acid concentrations at four sample periods (summer, torpor, interbout arousal, and posthibernation). Abundance of** *Firmicutes* **was lower, whereas abundances of** *Bacteroidetes***,** *Verrucomicrobia***, and** *Proteobacteria* **were higher during torpor and interbout arousal than in summer. Bacterial densities and percentages of live bacteria were significantly higher in summer than during torpor and interbout arousal. Likewise, total short-chain fatty acid concentrations were significantly greater during summer than during torpor and interbout arousal. Concentrations of individual short-chain fatty acids varied across sample periods, with butyrate concentrations higher and acetate concentrations lower during summer than at all other sample periods. Characteristics of the gut community posthibernation were more similar to those during torpor and interbout arousal than to those during summer. However, higher abundances of the genera** *Bacteroides* **and** *Akkermansia* **occurred during posthibernation than during interbout arousal and torpor. Collectively, our results clearly demonstrate that seasonal changes in physiology associated with hibernation and activity affect the gut microbial community in the arctic ground squirrel. Importantly, similarities between the gut microbiota of arctic ground squirrels and thirteen-lined ground squirrels suggest the potential for a core microbiota during hibernation.**

Although gut microbes share a mutualistic relationship with their mammalian hosts in which they benefit from access to fermentable substrates and a suitable living environment [\(1\)](#page-9-0), the gut microbiota may be exposed to periods of little or no available dietary polysaccharides when the host is fasting. Extended periods of host fasting likely select for microbes that are able to degrade and subsist on host-derived substrates such as mucins and other glycoproteins [\(2](#page-9-1)[–](#page-9-2)[4\)](#page-9-3). Indeed, several studies have revealed a profound effect of fasting on the gut microbiota. For example, fasting Burmese pythons (*Python molurus*) have higher relative abundances of *Bacteroidetes*, a phylum with species able to utilize hostderived substrates [\(1\)](#page-9-0), whereas greater relative abundances of *Firmicutes*, which rely upon diet-derived substrates [\(5\)](#page-9-4), were observed after the ingestion of a meal [\(6\)](#page-9-5). Similarly, fasted Syrian hamsters (*Mesocricetus auratus*) exhibit decreased bacterial densities, relative abundance of *Firmicutes*, and microbial metabolic activity compared to those of fed hamsters [\(7\)](#page-9-6).

Many obligate seasonal hibernators (e.g., ground squirrels) naturally exhibit an endogenous circannual rhythm of hibernation and activity (reviewed in reference [8\)](#page-9-7). During hibernation, animals voluntarily fast, and they conserve endogenous energy reserves by entering a state of torpor characterized by days to weeks of profoundly reduced metabolic rate, body temperature (T_b) , and activity [\(9\)](#page-9-8). Torpor is not continuous; rather, it is interspersed with brief $(<$ 24 h) spontaneous interbout arousals (IBAs) where T_b and metabolic rate are restored to levels typical of a euthermic animal (reviewed in reference [10\)](#page-9-9). In response to fasting, hibernators reduce the mass, complexity, and function of the gastrointestinal tract (GIT) as an energy-saving measure [\(11,](#page-9-10) [12\)](#page-9-11). The physiological and morphological changes of the GIT during hibernation in combination with prolonged fasting make obligate hibernators an intriguing model to investigate interrelationships between host physiology and the gut microbial community.

Early culture-dependent examinations of the gut microbiota of

hibernating mammals showed decreased microbial densities and changes in diversity compared to those of nonhibernating animals [\(13,](#page-9-12) [14\)](#page-9-13). In a study that utilized culture-independent techniques, Sonoyama et al. [\(7\)](#page-9-6) found little effect of torpor on the gut microbiota of Syrian hamsters. However, the Syrian hamster is a facultative hibernator that exhibits relatively short torpor bouts (3 to 4 days) and eats during IBAs, both of which may diminish effects of hibernation on the gut microbiota. Carey et al. [\(4\)](#page-9-3) were the first to survey the gut microbiota of an obligate seasonal hibernator, the thirteen-lined ground squirrel (TLS; *Ictidomys tridecemlineatus*), using next-generation DNA sequencing techniques. Their results clearly indicate significant seasonal changes in gut microbial diversity and composition. Similar studies with other obligate seasonal hibernators are needed to confirm if these findings are unique to TLSs or are shared phenomena across hibernating species.

The arctic ground squirrel (AGS; *Urocitellus parryii*) is an ideal species to study hibernator-gut microbe interactions, as it has evolved the most extreme hibernation phenotype of any mammal. The hibernation season of AGSs can last up to 9 months [\(15,](#page-9-14) [16\)](#page-9-15), during which no food or water is consumed. Torpor bout dura-

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tions average $>$ 25 days and are interspersed with \sim 12-h IBAs [\(17,](#page-9-16) [18\)](#page-9-17). The core T_b of AGS during torpor can attain -2.9 °C, the lowest T_b of any mammal [\(19\)](#page-9-18) (see Fig. S1 in the supplemental material).

Hibernation (heterothermy) is an ancestral and conserved polyphyletic character trait occurring in at least 11 orders of mammals (reviewed in reference [20\)](#page-10-0). Homeothermy, on the other hand, is currently interpreted as a derived trait, i.e., a loss of the ability to enter torpor among species capable of surviving periods of high energy demand and low energy resources in the absence of required heterothermic periods. Given this, one would expect a convergence of microbial communities in mammals that exhibit hibernation. However, deviations from a common hibernation microbiota would suggest the importance of the vagaries of the fairly conserved physiology of hibernation as having a selective force on the microbial community.

Comparison of hibernation microbiotas in the TLS and AGS provides an opportunity to assess whether hibernation selects for a common gut microbiota or if the physiological extremes inherent in the AGS (e.g., T_b of ≤ 0 °C during torpor) exert additional selective pressures that affect gut microbial community structure or function. Convergence in microbiota structure and function between the TLS and AGS would support the concept of a core microbial community among the *Sciuridae* and perhaps across all obligate hibernators. Alternatively, the more extreme hibernation phenotype of the AGS may serve as a further selective agent in shaping the microbiota such that there is no evidence of convergence, and by extension, of a core hibernation microbiota. In this contribution, we set out to describe the structure and function of the gut microbial community of the AGS during hibernation and compare it to that of TLS in order uncover the potential for a core hibernation microbiota.

MATERIALS AND METHODS

Animal information. We trapped free-living arctic ground squirrels (*Urocitellus parryii*) in the northern foothills of the Brooks Range, AK (68° 8'N, 149° 38'W) and transported them to the University of Alaska Anchorage (UAA). Euthermic squirrels were housed in individual metal cages (46 by 30 by 30 cm) at 25°C with a 10:14 h light-dark cycle and provided with rodent chow (Mazuri number 5663; Brentwood, MO) and water *ad libitum*. Squirrels fed for ca. 3 months prior to terminal sample collection (summer squirrels; see below) or immergence into hibernation (all other squirrels). We surgically implanted a subset of squirrels with temperature-sensitive transmitters (model TAF40; Data Sciences International, New Brighton, MN) to continuously monitor T_b (for surgical details, see reference [21\)](#page-10-1). Prior to hibernation, we transferred squirrels to an environmental chamber held at 0.0° C \pm 1.0°C with no light and a reduced food ration. Upon entering torpor, squirrels were housed in plastic tubs (Nalgene, Rochester, NY; 38 by 56 by 20 cm) and maintained at -5.0° C \pm 1.0°C without light, food, or water for the duration of the study. All procedures within this study were approved by the UAA Institutional Animal Care and Use Committee (protocol numbers 156096, 156099, and 156098).

Sample collection. We sampled squirrels at four time periods across their annual cycle: (i) summer ($n = 12$; euthermic $T_{\rm b}$; 1 to 2 months prior to onset of hibernation; see Fig. S1A in the supplemental material), (ii) torpor ($n = 11$; mean of 15 days into torpor after 100 days of hibernation; see Fig. S1B), (iii) IBA ($n = 11$; mean of 9.5 h into IBA after 100 days of hibernation; see Fig. S1C), and (iv) 3 days after ending hibernation (posthibernation; $n = 10$; 3 days after last torpor bout, no food provided; see Fig. S1D). Durations of IBA and torpor are consistent within individuals in midhibernation [\(17\)](#page-9-16); thus, we were able to time sample collection for

torpor by averaging midseason torpor bout lengths and for IBA by averaging duration of a squirrel's previous IBAs. A squirrel was considered aroused when the T_b was \geq 30°C.

Ceca were excised from euthanized squirrels and immediately placed onto ice while processed. Ceca were weighed (nearest 0.01 g) before and after removal of contents. Contents (1 to 2 g) were subsampled for pyrosequencing and short-chain fatty acid (SCFA) analyses and stored at -80° C (\leq 6 months). Samples for flow cytometry were immediately transferred to an anaerobic chamber (Plas Labs; Lansing, MI; 80% N_2 , 10% CO₂, and 5 to 10% H₂) and processed for microbial enumeration and viability.

Flow cytometery. We determined bacterial density and viability using flow cytometry as described by Ben-Amor et al. [\(22\)](#page-10-2) and modified by Sonoyama et al. [\(7\)](#page-9-6). Cecal contents (0.01 g) were suspended in anaerobic phosphate-buffered saline (PBS) containing 1 mM dithiothreitol, 5 mM EDTA, and 0.01%, (wt/vol) Tween 20 for 15 min and vortexed for 3 min. After centrifugation (700 \times g for 1 min), we decanted the supernatant and centrifuged it at $6,000 \times g$ for 3 min. The resulting pellet was washed twice with anaerobic PBS, resuspended, and serially diluted. Samples for bacterial viability were incubated with 5 mM SYTO BC and 14.5μ mol/ml of propidium iodide (PI) for 15 min at room temperature in the dark. Controls for bacterial viability included unstained sample, live-stained sample (SYTO BC only) and heat-killed sample with dead stain (PI only). To determine microbial density, we incubated samples (10 μ l) with 1,000 \times SYTO BC for 10 min at room temperature in the dark. Beads (6 μ m; 1 \times 106 beads/ml) were added to the tubes and bacterial densities determined as the ratio of cells to beads. Controls contained beads and unstained cells.

Samples were vortexed just prior to analysis on a FACScalibur flow cytometer (Becton, Dickinson [BD], San Jose, CA) that was calibrated daily with Calibrite3 beads (BD). Data were analyzed using the CellQuest (BD) software. We measured all parameters using logarithmic amplification, and forward scatter (FSC) was set at E01. Background noise was eliminated using a double threshold (FSC and side scatter [SSC]). Samples were run on a low-flow-rate setting with a goal of 200 to 600 events/s.

16S rRNA gene 454 Roche pyrosequencing. DNA was extracted from cecal contents (0.25 g) using MoBio PowerSoil (MoBIO, Carlsbad, CA) kits according to manufacturer protocols, with the following modifications: (i) the powerbead tube was incubated at 60°C for 12 min prior to the 15 min vortex and (ii) an additional 100% ethanol wash was performed prior to the 70% ethanol wash. We determined DNA concentrations and purity using a Nanodrop ND-1000 spectrophotometer (Thermo Scientific, Wilmington, DE).

The V4-V5 region of the bacterial 16S rRNA gene was amplified using universal eubacterial primers (530F and 1100R; Molecular Research, Shallowater, TX). A single-step 30-cycle PCR using the HotStarTaq Plus master mix kit (Qiagen, Valencia, CA) was performed under the following conditions: 94°C for 3 min, followed by 28 cycles of 94°C for 30 s, 53°C for 40 s, and 72°C for 1 min and a final elongation step at 72°C for 5 min. Following PCR, all amplicons from individual samples were bar coded (5= end), mixed in equal concentrations, and purified using Agencourt Ampure beads (Agencourt Bioscience Corporation, MA). Samples were sequenced utilizing Roche 454 FLX titanium instruments and reagents according to the manufacturer's guidelines (Molecular Research).

We processed pyrosequencing data using QIIME 1.6.0 [\(23\)](#page-10-3). Sequences were demultiplexed, and primers, short sequences (<200 bp), sequences with more than six ambiguous base calls, and sequences with homopolymeric runs exceeding 6 bp were removed. Sequences were preclustered and denoised using Denoiser [\(24\)](#page-10-4) and assessed for reverse primers (removed if detected). We clustered sequences into operational taxonomic units (OTUs) at 97% similarity and removed chimeras (both *de novo* and reference-based checks) using USEARCH 5.2.32 [\(25\)](#page-10-5). Taxonomy was assigned to the representative OTUs using the Ribosomal Database Project Classifier 2.2 retrained on the Greengenes reference sequence data set (October 2012 release) [\(26,](#page-10-6) [27\)](#page-10-7). We removed singleton sequences from the OTU table, aligned representative OTUs using PyNAST [\(28\)](#page-10-8), and

a Values are the means \pm the standard errors. Different capital letters indicate significant differences among sample periods. NA, not applicable.

filtered them using the Greengenes lanemask. Finally, we used the filtered and aligned OTUs to construct a phylogenetic tree with FastTree 2.1.3 [\(29\)](#page-10-9).

Short-chain fatty acid analysis. We centrifuged cecal contents (1.0 g) at 10,000 \times g for 10 min and kept the supernatant. We repeated the centrifugation and combined supernatants until no supernatant formed. The combined supernatant was acidified (36 N H_2SO_4 ; 0.2 \times supernatant volume), vortexed, centrifuged at $15,000 \times g$ for 10 min, and assayed via gas chromatography at the U.S. Department of Agriculture Dairy Forage Research Center (Madison, WI). Samples were passed through a glass precolumn (30 mg of glass wool) and analyzed using a Shimadzu GC17A (Kyoto, Japan) equipped with a flame ionization detector (250°C) connected to a Phenomenex (Torrance, CA) Zebron ZB-FFAP column (30 by 0.53 mm [inside diameter] by 1.0 μ m; 100°C for 1 min, ramped [10°C/ min] to 130°C for 3 min and then 135°C for 1 min) with splitless injection (230°C). We created individual standard curves for each analyte (acetate, propionate, butyrate, valerate, *iso*-butyrate, and *iso*-valerate) using a multipoint calibration (0, 15, 25, and 45 mM) with 2-ethylbutyrate as the internal standard.

Statistical analyses. We calculated alpha diversity by rarefying the OTU table from 1 to 5,000 sequences/sample at increasing steps of 500 sequences/sample for a total of 10 points per curve; rarefied OTU tables underwent 50 repetitions. The quantity 5,000 sequences/sample was chosen to ensure that all curves were continuous. Alpha diversity was calculated using three separate metrics: Observed Species, Phylogenetic Diversity-Whole Tree (PD whole), and the Chao1. For the Chao1 rarefaction curves, we constructed a unique OTU table including singleton sequences. Significant differences were determined among sample periods for all three metrics using nonparametric *t* tests with Monte Carlo permutations ($n = 999$) on the rarified OTU tables.

We determined bacterial beta diversity by standardizing OTU tables to the minimum sequences/sample (5,858) to decrease the weight of more abundant OTUs. Two-dimensional principal coordinates analysis (PCoA) plots were generated from individual distance matrices produced from both unweighted and weighted UniFrac [\(30\)](#page-10-10) and Bray Curtis distance metrics. We determined significant differences among sample periods using a pairwise one-way permutational multivariate analysis of variance using PRIMER 6 software [\(31\)](#page-10-11). Dispersion was determined using a pairwise permutational analysis of multivariate dispersion (PRIMER 6).

Bacterial taxonomies were summarized at the phylum, class, order, family, and genus levels from the same standardized OTU table used in the beta diversity analysis. Taxonomies at each level were grouped by sample period and compared using a Kruskal-Wallis Rank-Sum test in R (version 2.13.1). Additionally, we determined significant differences in mean squirrel T_b at sampling, cecal content, total days of hibernation, total torpor bouts, torpor bout duration, IBA duration, cecal bacterial density and viability, and cecal SCFA concentration and molar proportion among sample periods using one-way analysis of variance followed by Tukey's honest significant difference (HSD) test. Differences were considered significant at a P of \leq 0.05 for all analyses.

Pairwise comparisons of the number of shared OTUs between sample

periods were determined using the shared_phylotypes.py function in QIIME. Shared OTUs were defined as those occurring in 100% of microbiotas being compared. We calculated the percentage of shared OTUs between sample periods using the following equation: [(number of shared OTUs between sample periods "A" and "B")/number of unshared OTUs in sample period "A") + (number of unshared OTUs in sample period "B") + (number of shared OTUs between sample periods "A" and "B")] \times 100.

Significant differences in percent shared OTUs were determined by one-way analysis of variance followed by Tukey's HSD test. To determine core microbiota membership, we used the compute_core_microbiome.py in QIIME at 100% on filtered OTU tables.

Nucleotide sequence accession number. All 16S rRNA gene sequences have been submitted to the DDBJ/EMBL/GenBank databases under accession number [SRP033606.](http://www.ncbi.nlm.nih.gov/sra/?term=SRP033606)

RESULTS

Hibernation characteristics. Squirrel T_b during sampling averaged -0.49 ± 0.18 °C when torpid and 36.0 \pm 0.79°C when in IBA. Body temperature during IBA did not significantly differ from that of posthibernation [\(Table 1\)](#page-2-0). Torpor bout length prior to sampling did not differ significantly between IBA and torpid squirrels [\(Table 1\)](#page-2-0). On average, squirrels sampled during IBA were euthermic for 9.5 \pm 1.5 h at sampling. The durations that animalswere hibernating before being sampled during either IBA or torpor did not significantly differ, whereas squirrels sampled at posthibernation averaged 79 more days of hibernation and ca. four more torpor bouts than both IBA and torpid squirrels [\(Table 1\)](#page-2-0).

The mean mass of cecal contents was significantly greater in summer than during hibernation and posthibernation ($P <$ 0.0001) [\(Table 1\)](#page-2-0). Additionally, the cecal contents collected from summer squirrels were thick and pasty, whereas, during torpor, cecal contents were liquid with small particles. Cecal contents collected during IBA and posthibernation were more viscous than those collected during torpor.

Microbial diversity. A total of 771,046 16S rRNA gene reads were sequenced from 46 squirrel ceca. After quality processing, 602,577 sequences remained with an average of 13,695/sample. Additionally, 2552 OTUs were identified from the processed sequences reads, and rarefaction curve analysis indicated that pyrosequencing captured the majority of microbial diversity in our samples (see Table S1 and Fig. S2 in the supplemental material).

Alpha diversity of gut microbiotas differed among sample periods [\(Fig. 1;](#page-3-0) see also Fig. S2 in the supplemental material). During summer, alpha diversity (for all three distance metrics) was significantly higher than at all other sample periods ($P = 0.006$ to 0.04). There were no significant differences in alpha diversity between gut microbiotas during IBA and torpor; however, posthibernation gut microbiotas were significantly lower in alpha diversity than

FIG 1 Alpha diversity of cecal microbial communities. Plotted are the last means \pm the standard errors of Chao1 (A), observed species (B), and PD whole tree metrics (C) from rarefaction plots (see Fig. S2 in the supplemental material). Significant differences are indicated by different letters.

were torpor and IBA microbiotas ($P = 0.0222$ and 0.0342, respectively).

Beta diversity of AGS gut microbiota differed among sample periods. The three distance metrics used to determine beta diversity showed similar trends, and only unweighted UniFrac analyses are presented here. Gut microbiotas in summer significantly separated along the first principal coordinate axis (PC1; $P < 0.0009$) compared to gut microflora from the other three sample periods [\(Fig. 2\)](#page-3-1). The PC2 and PC3 axes from the PCoA revealed no distinct trends or separation among sample periods. There was no significant separation among gut microbiotas in torpor, IBA, and posthibernation. Gut microbial communities in posthibernation

FIG 2 Principal-coordinate analysis plots (PC1 versus PC2 [A] and PC1 versus PC3 [B]), of unweighted UniFrac distance metric of gut microbiotas from 4 sample periods. Each point represents the gut microbial community of an individual arctic ground squirrel at a given sample period.

had a significantly higher dispersion than did gut microbiotas from all other sample periods ($P = 0.002$). Dispersion of gut microbiota in summer, torpor, and IBA did not differ significantly. Gut microbial communities in summer squirrels clustered tightly, indicating low dispersion among samples and high similarity in beta diversity [\(Fig. 2\)](#page-3-1).

Bacterial taxonomic composition. Eleven bacterial phyla were identified in the cecal microbiotas, with the majority of OTUs assigned to the phyla *Bacteroidetes* and *Firmicutes*. The microbial compositions were similar among individual squirrels within a sample period (see Fig. S3 in the supplemental material), and significant differences were observed at every taxonomic level across sample periods [\(Fig. 3;](#page-4-0) see also Table S2 in the supplemental material). The mean relative abundance of *Firmicutes* was significantly higher in summer than during torpor, IBA, and posthibernation. In contrast, the mean relative abundance of *Bacteroidetes* was significantly lower during summer than in torpor and IBA. The phyla *Verrucomicrobia* and *Proteobacteria* accounted for

FIG 3 The relative abundance of dominant taxa per sample period. (A) Phylum; (B) family; (C) genus. Values for each taxa are presented in Table S2 in the supplemental material. * and #, unclassified family and genus, respectively, within a taxonomic group. Taxa with less than 1% relative abundance were not included.

the majority of the remaining OTUs. The relative abundance of *Proteobacteria* was significantly lower in summer than in all other sample periods, whereas the relative abundance of *Verrucomicrobia* was significantly higher in IBA and posthibernation than in summer and torpor. The *Verrucomicrobia* relative abundance tended to be elevated in torpor compared to summer. No other bacterial phyla were present at a relative abundance greater than 1% [\(Fig. 3;](#page-4-0) see also Table S2 in the supplemental material). Four squirrels contained less than 0.005% relative abundance of the archaeal phylum *Euryarchaeota*. No other archaeal phyla were detected.

The majority of *Bacteroidetes* were assigned to the class *Bacteroidia* and the order *Bacteroidales*. Dominant families included *Bacteroidaceae*, S24-7, *Rikenellaceae*, *Prevotellaceae*, and an unclassified family in the order *Bacteroidales*. Dominant genera included *Bacteroides*, *Prevotella*, and unclassified genera of both the S24-7 and *Rikenellaceae* families. During torpor and IBA, the unclassified S24-7 genus was more abundant than any other genus and was significantly higher in relative abundance than in gut microbiotas from summer and posthibernation [\(Fig. 3;](#page-4-0) see also Table S2 and Fig. S3 in the supplemental material). The relative abundance of the *Rikenellaceae* unclassified genus was signifi-

FIG 4 Shared OTUs among squirrel microbiotas. (A) Pairwise comparisons of shared OTUs between sample periods. The mean percentage of shared OTUs is indicated in each comparison, and significant differences are indicated by different letters (*P* - 0.05). (B) Venn diagram of taxa represented in 100% of squirrel microbiotas. ^ and #, unclassified order and genus, respectively, within a taxonomic group. Numbers in parentheses are OTU numbers.

cantly lower in gut microbiotas of summer and posthibernation than in those of IBA and torpor. Relative abundance of *Prevotella* was significantly lower in microflora of squirrels during torpor, IBA, and posthibernation than in summer. A significantly higher relative abundance of *Bacteroides* was observed in microbiotas during posthibernation than during summer [\(Fig. 3;](#page-4-0) see also Table S2 and Fig. S3 in the supplemental material).

The majority of *Firmicutes* matched to the class *Clostridia* and the order *Clostridiales*. Dominant families included *Lachnospiraceae* (over 90%) and *Ruminococcaceae*. *Coprococcus* was the only OTU within *Lachnospiraceae* classified to the genus level. The majority of OTUs from the *Ruminococcaceae* family were members of the genera *Ruminococcus*, *Roseburia*, *Blautia*, and an unclassified genus. Relative abundances of all genera were significantly reduced in torpor, IBA, and posthibernation compared to those in summer [\(Fig. 3;](#page-4-0) see also Table S2 and Fig. S3 in the supplemental material). An unclassified genus of the family *Clostridaceae*was significantly lower in relative abundance during torpor and IBA than in summer.

All OTUs from *Verrucomicrobia* were classified as *Akkermansia*, while the most prominent OTUs from *Proteobacteria* were classified to the genera *Desulfovibrio* and *Sutterella*. The latter were primarily found during hibernation and posthibernation. The *Desulfovibrio* relative abundance was significantly higher in IBA than at all other time points. The relative abundance of *Sutterella* was significantly lower in summer than in all other sample periods [\(Fig. 3;](#page-4-0) see also Table S2 and Fig. S3 in the supplemental material).

Pairwise comparisons between the various stages of the annual cycle of hibernation and activity [\(Fig. 4A\)](#page-5-0) indicate that the microbiotas in summer squirrels shared 16.5% ($\pm 3.2\%$), 16.8% $(\pm 3.3\%)$, and 14.5% $(\pm 4.2\%)$ of their OTUs with those of torpid, IBA, and posthibernation squirrels, respectively. Microbiotas of squirrels in torpor shared 23.2% (\pm 4.2%) and 18.4% (\pm 4.7%) of OTUs with microbiotas from IBA and posthibernation squirrels, respectively, and squirrels sampled during IBA shared 18.9% $(\pm 5.3\%)$ of OTUs with microbiotas at posthibernation. The percentage of shared OTUs between torpor and IBA (23.2%) was significantly higher than the percentage of shared OTUs between

Period	Density (log cells/g)	Viability		
		Live cells $(\%)$	Dead cells $(\%)$	Injured cells $(\%)$
Summer active $(n = 12)$	10.29 ± 0.25 A	79.34 \pm 6.46 A	14.21 ± 5.14 A	6.89 ± 1.58
Late torpor $(n = 10)$	$9.34 \pm 0.21 B$	$69.41 \pm 4.95 B$	$23.38 \pm 7.05 B$	6.12 ± 1.93
Late IBA $(n = 10)$	9.67 ± 0.18 C	ND	ND	ND
Posthibernation $(n = 11)$	10.12 ± 0.50 A	$73.71 \pm 6.17 B$	21.08 ± 6.31 B	5.17 ± 2.05

TABLE 2 Bacterial densities and viabilities from squirrel cecal content among sample periods*^a*

^a Values are the means the standard errors. Different capital letters indicate significant differences among sample periods. ND, not determined.

all other pairs (all $P \le 0.001$), and the percentage of shared OTUs between summer and posthibernation (14.5%) was significantly lower than the percentage of shared OTUs between all other pairs (all $P \le 0.011$). There was no significant difference in the percentage of shared OTUs between IBA and posthibernation and that shared between torpor and posthibernation ($P = 0.999$). Core microbiota analysis indicated that OTUs from the genera *Sutterella* (no. 359) and *Bacteroides* (no. 591), and an unclassified genus of *Rikenellaceae*(no. 1342) were represented in 100% of the squirrel microbiotas from all sample periods [\(Fig. 4B\)](#page-5-0). These, along with an unclassified member of the class *Clostridia* (no. 27), were represented in 100% of the microbiotas from torpor, IBA, and posthibernation sample periods. An OTU from the genus *Oscillospira* (no. 73) and an additional OTU from the family *Rikenellaceae* (no. 976) were shared among 100% of squirrels sampled during torpor and IBA and during torpor and posthibernation sample periods, respectively. Finally, summer microbiotas shared three additional OTUs with IBA microbiotas (*Oscillospira* no. 191 and two OTUs in the S24-7 family [no. 204 and1350]), one additional OTU with posthibernation microbiotas (*Lachnospiraceae* unclassified genus no. 128), and one additional OTU with torpor microbiotas (*Rikenellaceae* unclassified genus no. 40).

Bacterial cell counts and viability. Bacterial densities differed significantly among sample periods across hibernation [\(Table 2\)](#page-6-0). Bacterial densities were ca. 0.6 to 1 order of magnitude lower in torpor and IBA than in summer $(P < 0.0001)$. A similar differential occurred between hibernation and posthibernation; densities at posthibernation were significantly higher than at both torpor $(P < 0.0001)$ and IBA ($P = 0.0022$). Densities at posthibernation were only slightly lower and not significantly different than those enumerated during summer. Within hibernation, bacterial densities at IBA were significantly higher than at torpor (ca. 0.3 orders of magnitude; $P = 0.015$).

The percentage of live and dead bacteria among sample periods differed, while the percentage of injured bacteria remained unchanged [\(Table 2\)](#page-6-0). The mean percentage of live bacteria in summer was significantly greater than during torpor and posthiberna- $\frac{1}{100}$ (both $P < 0.0001$), and no significant difference in percentage of live bacteria was found between torpor and posthibernation microbiotas. Percentages of dead bacteria were significantly higher at torpor and posthibernation than in summer (*P* 0.0001), and there was no significant difference in the percentage of live or dead bacteria between torpor and posthibernation. Bacterial viability was not determined for IBA samples.

SCFA total concentrations and percent composition. Mean total cecal SCFA concentrations differed among sample periods [\(Table 3\)](#page-7-0), with greater concentrations in summer than in torpor, IBA, and posthibernation $(P < 0.0001)$. Concentrations during summer were 10-fold greater than during torpor. During IBA and

posthibernation, concentrations were significantly greater than in torpor $(P = 0.0062$ and 0.0001, respectively) but did not differ between each other.

The mean molar proportion of individual SCFAs differed among sample periods [\(Table 3\)](#page-7-0). The percentage of acetate was higher than that of any other SCFA at every sample period and was highest in torpor $(P < 0.0001)$. The percentages of acetate in IBA and posthibernation cecal samples did not significantly differ, but they were significantly higher than in summer cecal samples (both $P < 0.0001$). The butyrate percentage was highest in samples collected in summer ($P < 0.0001$). Differences in percentage of butyrate between IBA and posthibernation were not significant, but both groups had significantly higher butyrate percentages than during torpor $(P < 0.0001)$. Although the percentage of butyrate was greater than the percentage of propionate in samples collected during summer, the percentage of propionate was greater than that of butyrate at all other sample periods. Samples collected during torpor had significantly lower propionate than all other sample periods ($P < 0.0001$), and samples collected from summer, IBA, and posthibernation did not differ in propionate molar proportion. Branched-chain fatty acid concentrations (BCFA) were low compared to acetate, propionate, and butyrate concentrations; however, BCFA percentages were significantly higher in IBA and posthibernation compared to in torpor and summer (*P* 0.0001 to 0.012) [\(Table 3\)](#page-7-0). Percentage of valerate was significantly lower in torpor than in any other sample period $(P < 0.0001)$.

DISCUSSION

Hibernation alters the diversity and composition of arctic ground squirrel gut microbiota. The goal of this study was to characterize the effect of changes in host physiology on the gut microbiotas of captive AGSs at physiologically distinct time points in their annual cycle. We found that diversity, activity, density, and viability of the gut micobiota were contingent upon host physiological state. Hibernation was associated with major changes in diversity and composition of the gut microflora that were not reversed immediately following hibernation. Specifically, alpha diversity was lower, and beta diversity and composition differed in gut microbiotas of torpid, IBA, and posthibernation squirrels from those of summer squirrels. That hibernation is a physiological state that induces a significant alteration of the gut microbiota of AGSs is further supported by similarities between the summer microbiotas of adult AGSs characterized in this study and those of captive juvenile AGSs across their first active season, prior to their first hibernation season [\(32\)](#page-10-12). We found evidence of a core hibernation microbiota in AGSs, and characteristics of the gut microbiota of AGSs during hibernation are similar to those described for TLSs [\(4\)](#page-9-3), strongly suggestive of a core hibernation microbiota in obligate seasonal hibernators.

 a Values are the means \pm the standard errors. Different letters indicate significant differences among sample periods.

Loss of diet-derived substrates due to fasting during hibernation may select for a gut microflora that utilizes host-derived nutrients, and the microbial community composition in AGSs suggests that this is the case. In our study, animals were not allowed food after entering their first torpor bout, and during hibernation and posthibernation their ceca contained low relative abundances of *Firmicutes*, a phylum comprising taxa that prefer dietary poly-saccharides for growth [\(33,](#page-10-13) [34\)](#page-10-14). This result is suggestive of a fasting effect on the gut microbial community that is further supported by the observation that bacteria known to degrade host-derived substrates (e.g., *Bacteroides* spp. [[2](#page-9-1) , [35\]](#page-10-15) and *Akkermansia muciniphila* [\[36\]](#page-10-16)) are in higher relative abundances during hibernation and posthibernation than in summer. Elevated relative abundances of *A. muciniphila* have also been reported for hibernating TLSs ([4\)](#page-9-3) compared to summer animals. Although little is known about the family S24-7, it exhibited the highest relative abundance during hibernation. Interestingly, Serino et al. [\(37\)](#page-10-17) reported increased relative abundance of S24-7 in mice maintained on diets enriched in gluco-oligosaccharides, an available host-derived nutrient [\(38\)](#page-10-18). Finally, mucins are high in the sulfur-rich amino acid cysteine, and sulfates are released upon degradation of mucins by microbes [\(39\)](#page-10-19). We observed a higher relative abundance of *Desulfovibrio* spp., a sulfate-reducing genus, during hibernation and posthibernation than in summer gut microbiotas. Similar to our findings, Sonoyama et al. ([7\)](#page-9-6) reported an increase in *Defsulfovibrio* and *A. muciniphila* in fasted compared to fed Syrian hamsters.

Differences in cecal molar proportions of acetate and butyrate during hibernation and posthibernation compared to summer indicate that fasting selects for a microflora reliant on host-derived substrates. The primary metabolic product of mucin degradation, particularly by *A. mucinphila*, is acetate [\(36\)](#page-10-16), while *Firmicutes* are the primary butyrate producers in the gut [\(33,](#page-10-13) [40,](#page-10-20) [41\)](#page-10-21). The lower concentrations of butyrate and higher concentrations of acetate during hibernation and posthibernation than in summer align with the observation that *Firmicutes* are in lower relative abundance and *Akkermansia* and *Bacteroides* spp. are in higher relative abundances during the same sampling periods. Similar to our findings for the AGS, Carey et al. ([4\)](#page-9-3) reported a significant correlation between *Firmicutes* relative abundance and butyrate concentration and molar proportion in the TLS. Total SCFA concentrations were lower during IBA and posthibernation than in summer, reflecting that at euthermic *T*^b gut microbes are likely substrate limited due to host fasting. Other studies have reported low cecal SCFA concentrations in hibernators upon emergence from hibernation and slow increases through the early active season [\(4,](#page-9-3) [42\)](#page-10-22), suggesting that it requires considerable time (weeks) after ending hibernation for SCFA concentrations and molar proportions to rebound to summer levels. Interestingly, although at low concentrations at all sample periods, concentrations of BCFA were significantly higher during IBA and posthibernation than during torpor, indicative of increased mucin and protein catabolism (43) associated with high T_b and a lack of dietary-derived nutrients.

Temperature is known to affect microbial diversity in other ecosystems [\(44](#page-10-24) , [45\)](#page-10-25); thus, it is probable that radical fluctuations in host T_b could similarly influence gut microbial diversity in AGSs. However, we failed to detect differences in microbial diversity between IBA and torpor, indicating that a relatively brief $(\sim]12 \text{ h}$) elevation in T_b during an IBA is insufficient to initiate a shift in microbial diversity. These findings are consistent with the microbiotas in TLSs during torpor and IBA [\(4\)](#page-9-3). We did observe an effect of temperature during posthibernation. After 72 h at euthermic *T*b, gut microbiotas contained higher relative abundances of *Bacteroides*spp. and *Akkermansia* than during torpor; likely due to the ability of these genera to degrade host-derived nutrients at prolonged high T_b in a fasted host. Our study was not designed to determine the immediate effect of low T_b on gut microbiotas early in hibernation.

While T_b is likely a minor influence on microbial diversity during hibernation, it has a large effect on bacterial density and metabolism. The lowest concentrations of SCFAs and bacterial densities occurred during torpor, when the mean T_b (-0.49 °C at sampling) was well below the optimal growth temperature of mesophiles [\(46\)](#page-10-26). Higher SCFA concentrations and bacterial densities in IBA than in torpor probably reflect increased microbial activity at high T_b . Assuming a $Q₁₀$ (change in the rate of reaction as a function of temperature) of 2, an increase in host T_b from 0 to 36°C would result in a ca. 4-fold increase in microbial metabolism; more than sufficient to account for higher concentrations of SC-FAs at IBA than in torpor. Assuming a range of generation times from 21 min (*Escherichia coli* [\[47\]](#page-10-27)) to 2.5 h (*Bacteroides* spp. [\[48\]](#page-10-28)) and a squirrel at euthermic T_b ($>$ 30°C) for an average of 9.5 h during an IBA, microbial densities would increase by 1 to 6 orders of magnitude, resulting in greater bacterial densities, as observed during IBA compared to torpor. The higher density of microbes at posthibernation than at IBA can be attributed to the longer duration at high T_b . Presumably, the primary energy source during posthibernation is host-derived nutrients, as the squirrels had fasted >194 days when sampled. Bacterial densities at posthibernation tended to be lower than in summer, which is not surprising given that the microflora is likely substrate-limited despite the availability of host-derived nutrients. Combined with the ca. 70% viability of the bacterial population during torpor, these results suggest that the gut microbiota of hibernators is poised to respond to increases in temperature and the resumption of feeding upon reentry into the summer active season.

Potential contributions of short-chain fatty acids to the host during hibernation. We did not measure the rate of SCFA production by microbes or uptake by host tissues, and in the absence of flux measurements, their contribution to host energy balance is unknown. However, given current knowledge of host utilization of SCFAs by mammals, SCFAs produced by gut microbes during hibernation hold the potential to contribute to squirrel energetics in a number of ways. For example, acetate is absorbed by gut epithelial cells and either transported to the liver for biosynthesis of fatty acids, cholesterol, etc., or used by peripheral gut tissues as an energy source [\(41,](#page-10-21) [43\)](#page-10-23). Alternatively, it may be converted to ketone bodies by liver or gut epithelial cells [\(49\)](#page-10-29). Ketones are used by hibernators as a fuel source in muscle, brain, and heart tissues [\(50,](#page-10-30) [51\)](#page-10-31), are protective of reperfusion injury to the heart, and facilitate the transition from low to high O_2 consumption during rewarming from torpor to IBA [\(51\)](#page-10-31). Propionate is utilized in regulation of synthesis of free amino acids and as a gluconeogenic substrate [\(52\)](#page-10-32). In hibernation, gluconeogenesis occurs only during IBA [\(53\)](#page-10-33) and microbially derived propionate may serve as a substrate to replenish glucose stores. In other rodents, butyrate is known to contribute 60 to 70% of the energy used by enterocytes [\(54\)](#page-10-34). Thus, during IBA, butyrate may provide energy for maintenance of host enterocytes. Further experiments are needed to

confirm the role of microbially produced SCFAs during hibernation.

The potential for a core microbiota in obligate seasonal hibernators. Our results suggest the presence of a core hibernation (torpor and IBA) microbiota in AGSs. Summer microbiotas shared fewer OTUs with torpor, IBA, and posthibernation microbiotas than the last three sample periods shared with one another. That all percentages of shared OTUs were low is not surprising given our stringent definition of shared OTU (occurring in 100% of samples being compared). Upon further analysis, we found five OTUs (*Sutterella*, *Oscillospira*, *Bacteriodes*, an unclassified genus of *Rikenellaceae*, and an unclassified member of the class *Clostridia*) that were common to all AGS microbiotas sampled during hibernation. Of these five, *Rikenellaceae* and *Bacteroides* were in higher relative abundances in hibernation microbiotas compared to summer. *Akkermansia* and unclassified members of the S24-7 family were also increased in relative abundances in hibernation microbiotas compared to summer and were found in 70% and 90% of hibernation microbiotas, respectively (data not shown). Similar results were obtained when examining microbiotas from torpor, IBA, and posthibernation sample periods combined.

In our study and that of Carey et al. [\(4\)](#page-9-3), squirrel ceca were sampled during summer activity and after ca. 4 months of hibernation ("late winter" in reference [4\)](#page-9-3), allowing for comparisons of the effects of hibernation on microbiotas of AGSs and TLSs. The microbiotas of both species were dominated by members of the phyla *Bacteroidetes* and *Firmicutes* and, to a lesser extent, by *Verrucomicrobia* and *Proteobacteria*. Moreover, members of these phyla differed in relative abundances seasonally, with higher relative abundances of *Bacteroidetes*, *Verrucomicrobia*, and *Proteobacteria* and lower abundances of *Firmicutes* occurring during hibernation compared to summer active microbiotas. In AGSs, the relative abundance of *Bacteroidetes* in hibernation (torpor and IBA) microbiotas was ca. 1.6-fold higher than that in the TLS (82% and 52% in the AGS and TLS, respectively; see [Table 2](#page-6-0) in reference [4\)](#page-9-3); however, the magnitude of the difference between summer and hibernation microbiotas was greater in the TLS than in the AGSs. The relative abundance of *Firmicutes* during hibernation was nearly 3-fold lower in the AGS than in the TLS, and the magnitude of the difference between summer and hibernation microbiotas was also lower in the AGS than the TLS. Unlike in the TLS, members of the phyla *Actinobacteria* or *Tenericutes* did not differ seasonally in the AGS. In both the AGS and TLS these were minor taxa. Similarities in diversity in summer microbiotas may reflect that both the AGS and TLS were fed rodent chow diets in captivity (Mazuri no. 5663 and Harlan Teklad no. 7001 in the AGS and TLS, respectively [\[4\]](#page-9-3)); importantly, however, TLS diets were supplemented with fruit and sunflower seeds [\(4\)](#page-9-3). Differences in relative abundance of taxa or the magnitude of change across the season could reflect differences in hibernation phenotype (lower T_b during torpor and longer torpor bout length in AGSs [\[15,](#page-9-14) [19\]](#page-9-18)) or composition of the captive diet prior to the onset of hibernation.

In both the TLS and AGS, the relative abundance of *Akkermansia* spp. was higher in hibernation microbiotas than summer microbiotas. The relative abundance of the family *Rikenellaceae* was also higher in the hibernation microbiotas than in summer microbiotas of both the AGS and TLS. In the AGS the dominant member of the family *Rikenellaceae* was an unclassified genus, whereas in the TLS the dominant member was the genus *Alistipes.* Interestingly, in an early preliminary analysis of our sequences using

the same database as Carey et al. [\(4\)](#page-9-3) (Greengenes release February 2011), the OTUs in AGS microbiotas matched to the genus *Alistipes*. It therefore seems likely that the same genus of the *Rikenellaceae* family is dominant in both AGS and TLS microbiotas. In the AGS, an unclassified genus in the family S24-7 was the dominant member of the hibernation microbiota and was 2-fold higher in hibernation microbiotas than in summer microbiotas. The genus *Bacteroides* and the unclassified genera of the families S24-7 and *Rikenellaceae* comprise the majority of the taxa from the order *Bacteroidales* and phylum *Bacteroidetes* identified in the hibernation microbiota of the AGS. Carey et al. [\(4\)](#page-9-3) did not report S24-7 in the microbiotas of TLSs, likely due to the use of the older database to assign taxonomic identities (IDs); however, the order *Bacteroidales* was the most abundant in hibernation microbiotas in TLSs, accounting for nearly all of the taxa in the phylum *Bacteroidetes*.

In addition to being characterized by high relative abundances of the genus *Akkermansia* and members of the order *Bacteroidales*, both squirrel species were characterized by increases in less abundant taxa in their hibernation microbiotas, notably members of the order *Burkholderiales* and the family *Desulfovibrionaceae*. Finally, the AGS and TLS hibernation microbiotas had significant decreases in the *Lachnospiracea* compared to summer and completely lost *Lactobacillaceae*from their hibernation microbiotas.

Conclusion. Our results clearly indicate that hibernation profoundly affects diversity, composition, activity and densities of the gut microbiota of captive AGSs. Additionally, hibernation selected for a core microbiota comprising *Sutterella*, *Oscillospira*, *Bacteriodes*, an unclassified genus of *Rikenellaceae*, and an unclassified member of the class *Clostridia*, and hibernation microbiotas were characterized as well by increased abundance of *Akkermansia* and S24-7 and the loss of *Lactobacillaceae*. These results, when combined with the data from TLSs [\(4\)](#page-9-3), provide compelling evidence that changes in the microbiota during hibernation may be a phenomenon shared among obligate seasonal hibernators. These results also suggest the potential for a core hibernation microbiota among sciurids; however, this requires further study with multiple hibernating species. Core gut microbiotas have been suggested for humans [\(55\)](#page-10-35) and mice [\(56\)](#page-10-36), and discovery of a core hibernation gut microbiota among geographically distant and phylogenetically distinct hibernating species would be novel. Questions remain as to the point at which hibernation influences the microbiota and as to the relative effects of host T_b and fasting on the gut microbial community. Additionally, the importance of the gut microbiota to the hibernation phenotype has not been explored. For example, urea nitrogen salvage has been proposed as a method by which obligate seasonal hibernators conserve protein during hibernation [\(57](#page-10-37)[–](#page-11-0)[59\)](#page-11-1), though few studies have addressed this. Arctic ground squirrels are known to remodel tissues [\(60\)](#page-11-2) and catabolize lean mass during hibernation at ambient temperatures of -0°C [\(18,](#page-9-17) [61\)](#page-11-3), and the gut microbiota may play an important role in protein conservation during their long hibernation season.

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