

Dead or Alive: Molecular Assessment of Microbial Viability

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Nucleic acid-based analytical methods, ranging from species-targeted PCRs to metagenomics, have greatly expanded our understanding of microbiological diversity in natural samples. However, these methods provide only limited information on the activities and physiological states of microorganisms in samples. Even the most fundamental physiological state, viability, cannot be assessed cross-sectionally by standard DNA-targeted methods such as PCR. New PCR-based strategies, collectively called molecular viability analyses, have been developed that differentiate nucleic acids associated with viable cells from those associated with inactivated cells. In order to maximize the utility of these methods and to correctly interpret results, it is necessary to consider the physiological diversity of life and death in the microbial world. This article reviews molecular viability analysis in that context and discusses future opportunities for these strategies in genetic, metagenomic, and single-cell microbiology.

Yet it hath happened that the veritable body without the spirit hath walked.

—Ambrose Bierce, *The Death of Halpin Frayser*

Microbiologists, like characters in zombie fiction, quickly learn the critical importance of distinguishing the living from the dead. In addition to characterizing the numbers and species of microorganisms in samples, it is important to collect data on their physiological states. The most fundamental physiological state of microbial cells is their viability, defined here as the capacity to form progeny. For ecologists, pathobiologists, metagenomicists, food or water safety analysts, infectious-disease clinicians, and virtually every other stripe of microbiologist, the observation of a viable microorganism in a sample means something entirely different from the observation of a dead one. Despite its importance, this distinction remains extremely challenging by current microbiological methods.

Microbiological culture meets this requirement, as it selectively detects viable organisms. However, because only a small percentage of species can be cultured, this strategy underestimates microbial diversity [\(1](#page-5-0)[–](#page-5-1)[6\)](#page-5-2). In contrast, nucleic acid-based methods, ranging from species-specific PCR to metagenomic methods, have greatly advanced our ability to detect diverse microorganisms independently of microbiological culture [\(7,](#page-5-3) [8\)](#page-5-4). However, these methods provide only limited information on the physiology of microorganisms in samples. They can assess microbial viability retrospectively, by measuring quantitative changes over time, but they cannot discern viability cross-sectionally (in single measurements). Traditional PCR is notoriously poor at differentiating DNA associated with a viable bacterial cell from DNA associated with an inactivated one or from a free DNA fragment. All of these analytes register as "hits" in PCR, despite their very distinct meanings.

In order to address this limitation, alternative PCR-based strategies have been developed. This article reviews two complementary strategies. One strategy, termed viability PCR, or vPCR, correlates viability with cell envelope impermeability [\(9,](#page-5-5) [10\)](#page-5-6). In viability PCR, microbes in samples are incubated with a membrane-impermeative reagent such as propidium monoazide (PMA). Upon photoactivation, PMA binds tightly to exposed DNA and interferes with PCR amplification. Nonviable cells with damaged membranes, and free nucleic acids, are not protected

from the reagent, and their amplification is inhibited after the reagent-DNA complex is photoactivated. In contrast, viable cells with intact cell membranes exclude PMA, enabling strong quantitative PCR (qPCR) signals in the presence of the reagent. The operating principle is similar to microscopy-based live/dead staining, in which a membrane-impermeative DNA stain (typically, propidium iodide [PI]) is excluded from intact cells but penetrates and stains the DNA of membrane-compromised cells. In live/dead staining, inactivated cells are quantified relative to total cell counts by fluorescence microscopy or flow cytometry [\(11\)](#page-5-7) rather than by PCR.

The second strategy, termed "molecular viability testing" (MVT), correlates viability with the ability to rapidly synthesize a macromolecule (a species-specific rRNA precursor, or pre-rRNA) in response to a brief nutritional stimulus [\(12](#page-5-8)[–](#page-5-9)[14\)](#page-5-10). Pre-rRNA synthesis upon nutritional stimulation is detected by reverse transcriptase-qPCR (RT-qPCR) measurement of species-specific prerRNA molecules. Pre-rRNAs in inactivated cells, and free nucleic acids, do not increase in numbers upon nutritional stimulation and therefore are excluded.

The two methods have complementary applications which are best understood in the context of the physiology of microbial viability and inactivation.

Diversity in death. It is tempting to define microbial viability on the level of a single cell. We can state that a cell becomes nonviable when it loses the capacity to form progeny. A broader definition of viability might extend to cells that retain homeostasis and metabolic activity, even if they can no longer divide over the near term under specific conditions. Such cells are sometimes termed "viable but nonculturable" [\(15](#page-5-11)[–](#page-5-12)[18\)](#page-5-13). Either way, a singlecell view can be limiting, because most microbiological activities of practical interest are exerted by populations of cells. Within a population of cells of a given species, there can be tremendous physiological diversity due to microenvironments and to stochas-

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TABLE 1 Examples of bacterial inactivation

Scenario	Description	Examples of causes
	Rapid loss of cytoplasmic membrane integrity, resulting in immediate and catastrophic loss of homeostasis, cellular functions, and culturability	Surfactants (e.g., quaternary ammonium compounds)
	Rapid and nearly simultaneous oxidation or denaturation of multiple targets, including the cytoplasmic membrane, proteins, ribosomes, and/or DNA	Oxidative disinfectants (e.g., chlorine, peroxide), organic solvents (e.g., ethanol, phenol), heat
3	Rapid physical inactivation of a narrow range of targets, resulting in rapid loss of viability followed by slower decay (over hours or days) of cellular components, including the cytoplasmic membrane	UV, solar disinfection, low-temp pasteurization
	Inactivation of a specific and essential target (e.g., DNA, RNA, and protein biosynthetic enzymes), resulting in rapid loss of viability followed by slower decay (over hours or days) of cellular components, including the cytoplasmic membrane	Antibiotics such as rifamycins, macrolides, aminoglycosides, and quinolones

tic variations [\(17,](#page-5-12) [19\)](#page-5-14). Therefore, a population exhibiting microbial viability might be defined as one that includes a detectable number of proliferation-competent cells. The "detectable" threshold, of course, depends on the analytical method used.

The definition of death is also important, and far from straightforward, because microbial cells are inactivated by diverse pathways [\(11\)](#page-5-7). [Table 1](#page-1-0) illustrates four (of numerous) possible scenarios for inactivation of bacterial cells. In the first scenario, cationic surfactants such as quaternary ammonium compounds (QACs) disrupt the cell membrane, resulting in immediate and catastrophic loss of nearly all metabolic and catabolic activities [\(20\)](#page-5-15). In this "onestep" situation, all measures of bacterial viability correlate well with culturability. In the second, more complex scenario, disinfectants such as ethanol, phenol, and chlorine act broadly on membranes, internal proteins, and/or nucleic acids, in hierarchies that differ between agents, doses, microbial species, and conditions. Under nearly all conditions, however, these effects follow each other in rapid succession, such that a few short minutes of exposure can result in broad damage. As a result, there can be good correlation between different measures of viability in this scenario, as in the first scenario $(20-24)$ $(20-24)$ $(20-24)$.

The third and fourth scenarios in [Table 1](#page-1-0) differ from the first two in that the immediate effects are relatively narrow. Viability is lost rapidly due to damage to one or more critical cellular components, but other cellular components are left intact. Examples include shortwave UV damage to DNA [\(25\)](#page-5-18), inactivation of the respiratory chain by solar disinfection [\(26,](#page-5-19) [27\)](#page-5-20), denaturation of critical catabolic or metabolic machinery by low-temperature pasteurization [\(28\)](#page-5-21), and inhibition of DNA, RNA, or protein synthesis by antibiotics that bind tightly to specific enzymatic targets within one of these pathways. In these situations, bacterial cells can remain visibly intact and impermeable to PMA and PI for hours or days after viability is lost.

In some situations, "cadaver" cells can persist for very long times before environmental damage and innate senescence processes [\(17,](#page-5-12) [29\)](#page-5-22) finally take their tolls on cell envelopes. In one study [\(26\)](#page-5-19), *Salmonella enterica* serovar Typhimurium cells were exposed to a transient dose of artificial UV A light (1,500 kJ m⁻², equivalent to a half day of solar disinfection), followed by a 48-h "chase" in darkness. Over the course of the chase period, multiple physiological parameters, including culturability, glucose uptake, ATP content, ATP synthesis (proton pumping), membrane polarization, and membrane permeability (PI staining), were measured. ATP depletion and loss of ATP synthetic capacity were immediate, followed rapidly by loss of glucose uptake capacity. Loss of membrane polarity and culturability was complete at 24 h in darkness.

However, most cells were still structurally intact and impermeable to PI after 48 h postexposure [\(26\)](#page-5-19). In a related study, continuous UV A exposure impacted *Escherichia coli* cells in a stepwise fashion, such that membrane permeabilization required nearly twice the dosage required for loss of viability [\(27\)](#page-5-20). Under scenarios such as these, there can be marked divergence between different measures of viability.

While it is convenient to define viability as the ability to form progeny either in the laboratory or in nature, this view is complicated by the fact that most microbial species are not easily cultured experimentally. Therefore, molecular correlates of viability such as viability PCR, LIVE/DEAD staining, and MVT are useful and even necessary. However, such correlates must always be used and interpreted with an eye to the diverse ways that microorganisms can die. A physiological definition of death such as the irreversible loss of all brain functions in humans seems not in sight for microorganisms.

Viability PCR. Of the two methods discussed in this review, viability PCR is by far the more extensively evaluated and vetted. In one of several reviews [\(9,](#page-5-5) [30](#page-5-23)[–](#page-5-24)[33\)](#page-5-25), Elizaquível et al. [\(31\)](#page-5-26) cataloged over 30 published studies that applied the method to food safety models alone. Viability PCR has also been extensively optimized. The most significant optimization was the replacement of a first-generation membrane-impermeative reagent, ethidium monoazide (EMA), with the next-generation PMA reagent [\(10\)](#page-5-6). EMA was found to penetrate viable cells of many species, resulting in signal reduction in the presence of viable cells. PMA was found to be more membrane impermeative and more specific in its ability to differentiate intact from permeabilized cells, possibly due to its higher charge relative to EMA [\(10\)](#page-5-6).

In outline, viability PCR involves splitting a sample into two aliquots and incubating ("treating") one of the aliquots with PMA at concentrations that are usually optimized in preliminary experiments. A "control" aliquot is left untreated. After an appropriate incubation period, the treated aliquot is subjected to photoactivation, which catalyzes stable cross-linking between PMA and any DNA molecules to which it has access. Both aliquots are subsequently subjected to DNA purification and qPCR amplification. If the two aliquots exhibit similar qPCR signals, then target microorganisms in the sample are interpreted to be mostly viable (membranes intact). If the PMA-treated aliquot exhibits a measurably weaker signal than the control, then the target microorganisms are interpreted to be mostly inactivated. The difference in qPCR signal between the treated and control aliquot is often expressed as " ΔC_T " which refers to the difference in qPCR threshold cycles (C_T) . The extent of signal reduction or ΔC_T correlates with the

portion of the target DNA in the sample that is associated with inactivated cells [\(34\)](#page-5-27).

The utility of viability PCR has been expanded by modifications to this basic strategy [\(31\)](#page-5-26). Dithiothreitol cotreatment was reported to facilitate PMA penetration of inactivated *Bacillus subtilis*spores, thereby improving the ability to discern the viability of spores [\(35\)](#page-5-28). Deoxycholate (DOC) cotreatment helped PMA penetrate *E. coli* cells that were inactivated but not disrupted by pasteurization at low temperature (between 52°C and 70°C) [\(36\)](#page-5-29). However, this approach may be restricted to Gram-negative bacteria due to the effects of bile salts such as DOC on Gram-positive cell walls [\(37\)](#page-5-30). Additional strategies to increase PMA treatment efficiency include incubation at elevated temperature (10°C above the optimal growth temperature) to maximize dye penetration into damaged cells [\(37\)](#page-5-30) and the amplification of longer DNA sequences [\(38](#page-5-31)[–](#page-6-0)[40\)](#page-6-1). The latter strategy is thought to increase the probability that at least one dye molecule would bind to the targeted DNA stretch in damaged cells [\(38](#page-5-31)[–](#page-6-0)[40\)](#page-6-1).

In theory, viability PCR can function with any cellular or viral organism whose nucleic acid is enclosed by a lipid membrane or other PMA-impermeable structure. In addition to vegetative cells of commonly studied bacteria, it has been applied to fastidious bacteria [\(41,](#page-6-2) [42\)](#page-6-3), bacterial spores [\(35,](#page-5-28) [43\)](#page-6-4), protozoa [\(44,](#page-6-5) [45\)](#page-6-6), fungi [\(46,](#page-6-7) [47\)](#page-6-8), and some viruses [\(48](#page-6-9)[–](#page-6-10)[51\)](#page-6-11). Moreover, the method can be applied to any genetic target that can be amplified by PCR or by other DNA amplification procedures [\(52\)](#page-6-12). Therefore, in addition to analyzing species-defining DNA sequences, viability PCR can test the association of specific genetic functions (e.g., virulence, antibiotic resistance) with viable microbial cells. In theory, it can be integrated into virtually any nucleic acid amplification-based molecular microbiological operation, ranging from pathogen-targeted microbiological safety testing to metagenomic analyses.

In addition to the extensive published information about viability PCR, collective experience with PI as a viability stain (reviewed in reference [11\)](#page-5-7) translates well to PMA-based methods. PMA is PI with an amino group on the phenanthridine ring replaced by an azide group that enables photoinduced cross-linking to DNA. Thus, the two compounds are likely to have similar membrane-permeability characteristics [\(10\)](#page-5-6). Microbiologists who consider the use of these methods can draw from this wealth of published information.

Viability PCR has limitations for certain applications. Membrane integrity is a conservative correlate of microbial viability. The vast majority of cells with PMA-permeable membranes are likely to be nonviable; however, not all nonviable cells have compromised membranes [\(9,](#page-5-5) [34\)](#page-5-27). Inactivation under conditions such as scenarios 3 and 4 in [Table 1](#page-1-0) can lead to an overestimation of the viable cell population. Reported examples include photoinactivation of *Enterococcus faecalis* [\(53\)](#page-6-13), UV inactivation of *E. coli* O157: H7, *Salmonella*, and *Campylobacter* [\(34,](#page-5-27) [38\)](#page-5-31), low-temperature pasteurization of *Listeria innocua* [\(54\)](#page-6-14), and aminoglycoside treatment of *Staphylococcus* species [\(55\)](#page-6-15). There can be challenges even under scenario 2 [\(Table 1\)](#page-1-0). For example, in one study [\(34\)](#page-5-27), hypochlorite exposures required for maximal permeability effects were significantly higher than those required for inactivation as measured by culture. Experience with PI and live/dead staining predicts additional challenges, for example, cryoinjury of *Mycobacterium tuberculosis* cells [\(56\)](#page-6-16).

 ΔC_T values derive from the fraction of amplifiable DNA fragments that undergo at least one cross-linking event upon incubation with PMA and photoactivation. Often, at least a few fragments associated with inactivated cells escape PMA binding within the amplified sequences, enabling qPCR signal activity in PMA-treated samples. Conversely, at least a few fragments associated with viable cells undergo cross-linking due to "leakage" of PMA into intact cells (although this problem was far more pronounced with EMA $[10]$) and/or breakage of intact cells during the experimental procedure. As a result, ΔC_T values are rarely zero even when bacteria in a sample are mostly viable. These factors can differ between target organisms, inactivation mechanisms, and sample types [\(34,](#page-5-27) [55\)](#page-6-15). Thus, it is often necessary to conduct preliminary laboratory-based experiments to establish a threshold ΔC_T value for specific organisms and treatments of interest. This process can be complicated by a third variable, namely, the composition of natural samples. Liang and Keeley [\(57\)](#page-6-17) successfully applied viability PCR to cryptosporidium oocysts under defined medium conditions but had less success applying the method to natural-water samples, due to suspended solids that interfered with PMA uptake and/or cross-linking.

Despite these caveats, viability PCR meets important needs in molecular microbiology. With ongoing development and refinement, the method's applications continue to expand. The only insurmountable limitation is the innate inability to detect inactivation under conditions that do not impact membrane permeability. For such conditions, the alternative MVT approach should be considered.

Pre-rRNA analysis (MVT). Because MVT is newer than viability PCR, published experience and information are much more limited. Thus, there remains greater uncertainty as to the breadth of its applicability. However, there are enough data to demonstrate its value as a complementary approach for certain applications.

MVT detects an innate biosynthetic activity of viable bacterial cells, namely, the synthesis of rRNA precursors (pre-rRNA) that occurs in bacteria immediately upon exposure to fresh nutrients [\(12](#page-5-8)[–](#page-5-9)[14\)](#page-5-10). Pre-rRNAs are intermediates in rRNA synthesis whose leader and tail fragments are enzymatically removed to yield mature rRNA [\(Fig. 1\)](#page-3-0). In growing or nutritionally stimulated bacteria, pre-rRNAs can account for \geq 25% of total cellular rRNA [\(58\)](#page-6-18). This translates to hundreds or thousands of copies per cell, making them orders of magnitude easier to detect by RT-qPCR than even the most strongly expressed mRNA. When growth slows, pre-rRNA synthesis stops but maturation continues, resulting in active and substantial drainage of pre-rRNA pools [\(58](#page-6-18)[–](#page-6-19)[63\)](#page-6-20). PrerRNA is rapidly replenished when growth-limited cells are given fresh nutrients [\(12](#page-5-8)[–](#page-5-9)[14\)](#page-5-10). As a result of these active functions of drainage and replenishment, growth-related fluctuations in prerRNA copy numbers far exceed those of DNA and mature rRNA [\(58,](#page-6-18) [59,](#page-6-21) [63,](#page-6-20) [64\)](#page-6-22) and are readily resolved by RT-qPCR.

Because rRNA biosynthetic and regulatory pathways are evolutionarily conserved in bacteria, biosynthesis of pre-rRNA is consistently seen in nutritionally stimulated cells of all or nearly all bacterial species. [Table 2](#page-3-1) lists phylogenetically and physiologically diverse bacteria in which this response has been observed in our research (unpublished data) [\(12](#page-5-8)[–](#page-5-9)[14\)](#page-5-10). Despite this functional conservation, the nucleotide sequences of pre-rRNA leaders and tails are hypervariable and highly species specific. Therefore, RT-qPCR tests can be designed to detect pre-rRNA synthesis in virtually any bacterial species and to differentiate this activity from that seen

FIG 1 rRNA synthesis and maturation in bacteria. RNA polymerase (RNAP), reading from right to left in this diagram, produces a long transcript (30S rRNA) containing 3 rRNA subunits interspersed with external transcribed spacers (ETS) and internal transcribed spacers (ITS). This transcript is rapidly converted by RNase activity and other endonucleolytic activities to pre-RNA subunits with leader and tail sequences. The leaders and tails are trimmed in exonucleolytic processes closely tied to ribosome assembly and the initiation of protein synthesis. RT-qPCRs can be designed to target the pre-rRNA exclusively, or to straddle a pre-rRNA-mature rRNA junction as shown here, such that intact pre-rRNA is needed for successful amplification. The 5' leader region (ETS1) is especially useful for MVT because of its species specificity and relative abundance when transcription is active; however, other pre-rRNA sequences (e.g., ITS1) can also be targeted by RT-qPCR primers.

with other organisms' nucleic acids in complex samples [\(12,](#page-5-8) [58,](#page-6-18) [63,](#page-6-20) [66\)](#page-6-23).

In MVT, a sample is split into two aliquots, one of which is nutritionally stimulated by addition of bacteriological culture medium. If viable cells of a targeted species are present in the sample, then pre-rRNA (measured by RT-qPCR; [Fig. 1\)](#page-3-0) levels are seen to increase in the stimulated aliquot relative to the control (nonstimulated) aliquot. Because nonviable cells cannot catalyze this increase, the method selectively detects viable bacteria. Pre-rRNA stimulation is very rapid. One to 2 h of nutrient exposure is sufficient for consistent pre-rRNA upshift in most organisms. Slowgrowing mycobacteria such as *M. tuberculosis* ($G = \sim 24$ h) need 4 to 6 h of stimulation $(12-14)$ $(12-14)$ $(12-14)$. In most cases, these time periods are 1 to 2 generation times or less. Thus, although MVT assesses an early step in bacterial cell division, it is not bacteriological culture. Steps in bacterial proliferation that occur after initial rRNA synthesis (including but not limited to DNA replication and cell growth, septation, and division, repeated through many cycles) are not required for MVT positivity. Therefore, it may be possible to use MVT to assess the viability of at least some "unculturable" species.

In addition to membrane integrity, a cell needs to be able to sense and respond to its environment and to catalyze the energyexpensive process of rRNA synthesis in order to be positive by MVT. Therefore, MVT does not depend entirely on membrane integrity and is capable of assessing microbial inactivation under some, if not all, of the scenario 3 and 4 conditions listed in [Table 1.](#page-1-0) The method successfully detected inactivation of several Gramnegative and Gram-positive bacterial species by low-temperature pasteurization [\(13\)](#page-5-9). It also detected inactivation of *Staphylococcus* cells by tobramycin, an aminoglycoside antibiotic that specifically targets protein synthesis (unpublished results). Even hypochlorite treatment, a scenario 2 condition in [Table 1,](#page-1-0) can present challenges to viability PCR under some conditions. Whereas the hypochlorite exposures required for maximal permeability effects

were higher than those required for inactivation as measured by culture [\(34\)](#page-5-27); this was not seen when MVT was used in a separate study to assess hypochlorite inactivation of *Aeromonas hydrophila* and *Mycobacterium avium* cells [\(12\)](#page-5-8). A caveat is that no studies to our knowledge have applied the two methods side by side to identical bacteria under identical conditions.

MVT is very sensitive. The method has been shown to increase, by factors of 5-fold to 10-fold relative to standard (static) DNA detection by qPCR, the analytical sensitivity of detection of diverse bacterial species spiked into water, serum, and dairy milk [\(13\)](#page-5-9). Sensitivity comes in part from the elevated copy number of prerRNAs in stimulated bacteria. Upon lysis, a single cell can release hundreds or thousands of copies for detection. Sensitivity is also bolstered by the dynamic nature of the method. In contrast to static qPCR, MVT measures a bacterial "movement" in the form of a physiological change in response to a stimulus. In this sense, it is analogous to grouse hunting, in which the quarry is "flushed" to render it more visible. This quality could help to resolve borderline samples in diagnostic and other microbiological tasks [\(13\)](#page-5-9).

An additional strength of MVT is the possibility of using a uniform (nonstimulated minus stimulated) threshold ΔC_T value for diverse species and sample types. For example, a ΔC_T threshold of 1 (corresponding to approximately 2- to 3-fold more pre-rRNA of the targeted species in the stimulated aliquot) was used to confirm serum and aminoglycoside inactivation of *Pseudomonas aeruginosa* and *Acinetobacter baumannii* cells and to confirm successful pasteurization (63°C for 45 min) of milk spiked with *Lis-*

TABLE 2 Diversity of bacteria shown to exhibit MVT response

Species	Bacterial phylum ^a	Physiological characteristic(s)
Acinetobacter baumannii	Proteobacteria	Gram-negative aerobe
Aeromonas hydrophila	Proteobacteria	Gram-negative facultative anaerobe
Burkholderia cepacia	Proteobacteria	Gram-negative anaerobe
Chlamydia pneumoniae	Chlamydiae	Obligate intracellular organism ^b
Escherichia coli	Proteobacteria	Gram-negative facultative anaerobe
Filifactor alocis	Firmicutes	Fastidious Gram-positive anaerobe
Haemophilus influenzae	Proteobacteria	Gram-negative facultative anaerobe
Listeria monocytogenes	Firmicutes	Gram-positive facultative anaerobe
Mycobacterium avium	Actinobacteria	Slow-growing mycobacterium
Mycobacterium tuberculosis	Actinobacteria	Slow-growing mycobacterium
Porphyromonas gingivalis	Bacteriodetes	Gram-negative anaerobe
Pseudomonas aeruginosa	Proteobacteria	Gram-negative facultative anaerobe
Salmonella enterica	Proteobacteria	Gram-negative facultative anaerobe
Serratia marcescens	Proteobacteria	Gram-negative facultative anaerobe
Staphylococcus aureus	Firmicutes	Gram-positive facultative anaerobe
Stenotrophomonas maltophilia	Proteobacteria	Gram-negative aerobe

^a rRNA gene-based classification [\(4,](#page-5-32) [65\)](#page-6-24).

^b Nutrient stimulation by infection of fresh host cells.

teria monocytogenes, *M. avium*, and *S. enterica* [\(13,](#page-5-9) [14\)](#page-5-10). This may mitigate the need for preliminary experiments to define threshold ΔC_T values prior to application in the field.

Many environments are rich in nutrients that could potentially confound MVT by elevating steady-state pre-rRNA pools and thereby muting pre-rRNA upshift upon nutritional stimulation. However, most natural environments are limited in at least some nutrients. Provision of limiting nutrients *in vitro* appears to consistently stimulate pre-rRNA synthesis in bacteria derived from diverse environments, as seen with lake and tap water [\(12\)](#page-5-8), human serum [\(13,](#page-5-9) [14\)](#page-5-10), and dairy milk [\(13\)](#page-5-9). Given the physiological heterogeneity of bacteria in nature, there is a risk that large numbers of inactivated target cells could mute pre-rRNA upshift in viable target cells. However, viable cells of *A. hydrophila* [\(12\)](#page-5-8) and *Staphylococcus* $aureus(14)$ $aureus(14)$ were detectable even when outnumbered $\geq 1,000$ -fold by chlorine- or serum-inactivated cells.

Although characteristics such as these are promising, MVT also has important limitations, the most significant of which is the relatively small amount of experience with and published information on the method. Inevitably, as use of MVT expands, its "warts" will emerge and conditions will be identified that confound it. Examples might include conditions that require varying ΔC_T thresholds or natural conditions that permit full-throttle growth of a bacterial species, such that pre-rRNA synthesis cannot be further stimulated. Although such conditions have yet to be identified, it is unlikely that no such conditions exist and we cannot yet predict where they might arise.

Even if such problems are never observed, MVT has conceptual limitations. It cannot be applied to viruses, which have no ribosomes, and its applicability to eukaryotic cells has yet to be demonstrated. In contrast to bacteria, at least some eukaryotic protists have been reported to retain stable pools of pre-rRNA irrespective of growth physiology [\(66,](#page-6-23) [67\)](#page-6-25). Such species may not respond to nutritional stimulation as needed for MVT. An additional and significant limitation is that MVT is confined to a single type of genetic target, namely, pre-rRNA. Although pre-rRNA is very useful for detecting individual species, it does not confer information on specific genetic traits of interest such as virulence or drug resistance. In contrast, viability PCR can be applied to virtually any genetic target. Another limitation is the requirement for RNA amplification by use of RT-qPCR, which adds complexity and vulnerability to sample effects. Finally, we do not yet know whether dormant and viable-but-nonculturable cells make prerRNA in response to nutritional stimulation. These responses may depend on conditions and cell type.

Viability analysis in metagenomic and single-cell microbiology. Just as viability is an important consideration when assessing individual microbial species in samples, it is also important when studying microbial communities. When an environment changes or is subjected to stress, some species might be inactivated in large numbers while the activity of others might be unaffected or even bolstered. These distinctions can be difficult to discern in real time by standard DNA-based methods. In some situations, they can be detected retrospectively, but only after sufficient time has elapsed for the degradation and removal of DNA associated with inactivated cells. This makes it difficult to distinguish immediate environmental impacts on organisms, such as stimulation of the growth of specific species, from alternative effects that merely impact the persistence of residual DNA. Viability PCR and MVT have the potential to address these challenges.

Nocker et al. [\(68\)](#page-6-26) combined viability PCR with 454 pyrosequencing of amplified small-subunit (SSU) rRNA genes. Evidence was presented that the rRNA gene profiles of PMA-resistant cells changed dramatically when environmental water samples were heated to 50°C or 60°C. Similar results were seen when denaturing gradient gel electrophoresis was used as an analytical method in place of 454 pyrosequencing [\(69\)](#page-6-27). Lee and Levin [\(70\)](#page-6-28) combined EMA viability PCR with amplification of rRNA gene sequences using primers complementary to conserved prokaryotic smallsubunit rRNA gene sequences. They applied this approach not to raw samples but to suspensions of mixed bacterial cells cultured from fish fillets. However, the experiments demonstrated some aspects of a strategy that could be applied to microbial populations. They observed good correlations between EMA viability PCR and culture results when the cell suspensions were inactivated under some but not all conditions [\(70\)](#page-6-28). The discrepancies could be related to the use of EMA rather than PMA or, alternatively, to limitations of the "gold standard" culture method, which might not have provided an accurate picture of the true viable cell population due to the presence of species that did not grow under the culture conditions used. In view of this caveat, the feasibility of viable microbiome analysis might best be assessed independently of culture results.

Although MVT has not yet been evaluated as a tool for assessing viability on population or microbiomic scales, such strategies may be possible. Pre-rRNAs have regions such as the 5' external transcribed spacer upstream of the SSU rRNA (ETS1) and the internal transcribed spacer region (ITS1) between the SSU and large-subunit (LSU) rRNAs, which are bordered by highly con-served sequences within the mature rRNA [\(71](#page-6-29)[–](#page-6-30)[73\)](#page-6-31). It is conceivable that RT-qPCR protocols can be designed to generate cDNA primed from "universal" prokaryotic sequences within the mature rRNA, reading into species-specific pre-rRNA spacers. These cDNAs might then be characterized and measured quantitatively in stimulated versus nonstimulated samples.

In addition to population and microbiomic studies, microbiologists increasingly seek to characterize their subjects on the single-cell level [\(74,](#page-6-32) [75\)](#page-6-33). Viability staining using PI, combined with fluorescence microscopy or flow cytometry, was designed precisely for such applications [\(11\)](#page-5-7). This is less true of viability PCR and MVT, and we are not aware of studies that have applied these approaches to isolated single microbial cells. However, given the physiological heterogeneity of microbial cells in nature, such applications can and should be designed. In an intriguing study, Oerther et al. [\(58\)](#page-6-18) conducted fluorescence *in situ* hybridization (FISH) experiments with oligonucleotide probes targeting both total 16S rRNA and precursor 16S rRNA of *Acinetobacter calcoaceticus*. By measuring ratios of the latter to the former, they showed that individual cells of this species expressed highly variable levels of precursor 16S rRNA when adapting to various conditions in culture media and filtered sewage. Such approaches could in theory be used to detect pre-rRNA production after nutritional stimulation, thereby enabling the assessment of viability of individual cells.

To conclude, molecular viability analyses can distinguish the living from the dead in natural samples, without relying on microbiological culture with its many limitations. Although each strategy has limitations, MVT and viability PCR have complementary advantages that make them useful additions to the molecular microbiological toolbox. They fill critical needs, and they can help to advance our understanding of life and death in the microbial world.

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We are both inventors listed on patent applications related to molecular viability testing, one of the technologies discussed here. The technology has been licensed by the University of Washington to a commercial entity, AttoDx, Inc.

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