ORIGINAL ARTICLE Accuracy of genomic selection models in a large population of open-pollinated families in white spruce

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Genomic selection (GS) is of interest in breeding because of its potential for predicting the genetic value of individuals and increasing genetic gains per unit of time. To date, very few studies have reported empirical results of GS potential in the context of large population sizes and long breeding cycles such as for boreal trees. In this study, we assessed the effectiveness of marker-aided selection in an undomesticated white spruce (Picea glauca (Moench) Voss) population of large effective size using a GS approach. A discovery population of 1694 trees representative of 214 open-pollinated families from 43 natural populations was phenotyped for 12 wood and growth traits and genotyped for 6385 single-nucleotide polymorphisms (SNPs) mined in 2660 gene sequences. GS models were built to predict estimated breeding values using all the available SNPs or SNP subsets of the largest absolute effects, and they were validated using various cross-validation schemes. The accuracy of genomic estimated breeding values (GEBVs) varied from 0.327 to 0.435 when the training and the validation data sets shared half-sibs that were on average 90% of the accuracies achieved through traditionally estimated breeding values. The trend was also the same for validation across sites. As expected, the accuracy of GEBVs obtained after cross-validation with individuals of unknown relatedness was lower with about half of the accuracy achieved when half-sibs were present. We showed that with the marker densities used in the current study, predictions with low to moderate accuracy could be obtained within a large undomesticated population of related individuals, potentially resulting in larger gains per unit of time with GS than with the traditional approach.

Heredity (2014) 113, 343–352; doi:[10.1038/hdy.2014.36](http://dx.doi.org/10.1038/hdy.2014.36); published online 30 April 2014

INTRODUCTION

Marker-assisted selection for individuals with superior phenotypes has been suggested for quite some time, particularly to increase selection efficiency for low-heritability traits and for improving gains per time unit for traits requiring long testing periods ([Lande and](#page-8-0) [Thompson, 1990; Strauss](#page-8-0) et al., 1992). However, despite some promising results from experimental studies at the genome scale in forest trees (Resende et al., [2012a, b\)](#page-8-0), further investigation is still needed to bring marker-assisted selection to the operational level. This is especially true in forestry, where tree breeding programmes are frequently dealing with species with long breeding cycles involving essentially undomesticated populations and where large population sizes are often considered for the maintenance of high genetic diversity in reforestation stocks ([Mullin](#page-8-0) et al., 2011; [Namroud](#page-8-0) et al., [2012\)](#page-8-0).

Various approaches have been taken over the past 15 years to identify genetic markers that could explain a significant part of the variation observed in quantitative traits of interest. These approaches evolved from detecting quantitative trait loci (QTLs) in single families, to candidate gene approaches in larger populations and, finally, to genome-wide association studies or genomic selection (GS) using either first-generation or advanced breeding populations [\(Burdon and Wilcox, 2011](#page-8-0)).

Association studies have been carried out with some success for major crops [\(Buckler](#page-8-0) et al., 2009) and tree geneticists have also focussed their more recent efforts on association studies in large populations with low linkage disequilibrium (LD), aiming to overcome weaknesses in QTL analyses ([Burdon and Wilcox, 2011\)](#page-8-0). Promising results have been reported for various traits in several species (see, for example, González-Martínez et al., 2007; [Beaulieu](#page-8-0) [et al.,](#page-8-0) 2011). However, as for QTL mapping ([Pelgas](#page-8-0) et al., 2011), the variation in quantitative traits explained by individual markers is generally low, rarely reaching 5%.

[Meuwissen](#page-8-0) et al. (2001) proposed an alternative approach relying on genome-wide distributed markers to model the entire complement of QTL effects across the genome, whether these effects are significant or not, and to estimate genomic estimated breeding values (GEBVs). This method, called 'genomic selection', overcomes the problem of the limited proportion of total genetic variation captured by each significant individual marker such as in association genetics with properly designed training populations. GS is particularly well adapted for marker-assisted selection as it makes it possible to predict the genetic value of an individual using all its known genotypic information. The utility of GS models has already been shown for the selection of complex traits in numerous species, such as plants [\(Heffner](#page-8-0) et al., 2010) and animals [\(Habier](#page-8-0) et al., 2010).

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Received 12 September 2013; revised 16 March 2014; accepted 21 March 2014; published online 30 April 2014

The potential usefulness of GS for marker-assisted selection in forest trees was first shown by deterministic and simulation models ([Grattapaglia and Resende, 2011\)](#page-8-0). These simulations indicated that high accuracy of GEBVs could be obtained with populations of small effective size and high LD with a reasonably limited number of markers, but these represented idealized conditions. Recent results from empirical studies in small breeding populations confirmed that quite a high accuracy of GEBVs could be obtained ([Resende](#page-8-0) et al., [2012a, b;](#page-8-0) [Zapata-Valenzuela](#page-9-0) et al., 2012). They also showed that this approach could help speed up breeding cycles and significantly increase gains per time unit.

However, no attempt has been made to estimate the value of GS for populations with large effective size, such as for undomesticated tree populations or for commonly used first-generation breeding populations of boreal conifer species [\(Mullin](#page-8-0) et al., 2011). Conifer breeders generally assemble large breeding populations of several hundred individuals in order to maintain a high level of genetic diversity for long-term adaptive capacity. The objectives of the present study in that context were to: (1) compare the potential of GS with that of pedigree-based models, that is, based on phenotypic resemblance between relatives using pedigree information, to predict the genetic merit of individual trees for wood and growth traits in a large white spruce (Picea glauca (Moench) Voss) population; (2) evaluate the accuracy of GS predictions and the impacts of marker density as well as the design of the training and the validation data sets on these accuracies; (3) compare the efficiency of GS models relative to the pedigree-based ones; and (4) evaluate empirical genetic gains expected when selecting the top 5% trees based on GEBVs and compare gains per unit of time with the conventional approach.

MATERIALS AND METHODS

Plant material and tissue sampling

A white spruce provenance–progeny test was established by the Canadian Forest Service in Québec, Canada, on three sites (Mastigouche Arboretum: latitude (Lat.) $46^{\circ}38'$ N, longitude (Long.) $73^{\circ}13'$ W, elevation (Elev.) 230 m; Dablon Arboretum: Lat. 48°21' N, Long. 72°13' W, Elev. 323 m; and LaPatrie Arboretum: Lat. 45°20' N, Long. 71°15' W, Elev. 457 m) in May 1979, with 4-year-old seedlings that had been raised in a greenhouse at the Canadian Forest Service, Laurentian Forestry Centre (Québec City, January to May 1976), and then transplanted into a nursery bed at the Valcartier Forest Experiment Station (Lat. $46^{\circ}52'$ N, Long. 71°32′ W, Elev. 152 m). The test consisted of 214 open-pollinated families, that is, 5 families from each of 43 provenances (except one) sampled in Québec. Seedlings were planted following a randomized complete block design with six blocks, five-tree row plots, and a spacing of 2.4 m between row plots and 1.2 m between trees within plots. At 27 years after planting, three trees from each family covering the range of diameters at breast height were sampled in the Mastigouche Arboretum test. After 4 years, five additional trees per family were selected with the same diameters at breast height constraint to complete the discovery population used in the present study. Needle tissue taken in the upper part of the crown for DNA extractions and a 12-mm increment core taken at 1.3 m from the ground were collected on each tree for wood character assessment, kept on ice and transported to the Canadian Forest Service facilities where they were stored at -10 °C until further treatment.

Two validation populations were also assembled. The first population was sampled in the Dablon Arboretum test. It comprised 125 trees from a random sample of 100 of the 214 open-pollinated families. The second validation population was sampled in a range-wide provenance–progeny test established at Mirabel (Lat. 45°37' N, Long. 74°05' W, Elev. 61 m). It comprised 219 trees representing 100 of the 214 open-pollinated families present in the previous tests established in arboreta (Mastigouche, Dablon and LaPatrie). This test was set up in 1984 also using 4-year-old seedlings raised in the nursery of the Valcartier Forest Experiment Station. Foliage and wood core samples were

collected 27 years after planting in both tests and the same collection procedure as for the discovery population was followed. Family-wise, there was 47% overlap between the two validation populations. DNA extraction for all the samples collected was conducted as reported in Pavy et al. [\(2013\).](#page-8-0)

Phenotypic data

Pith to bark profiles of 11 different wood physical characters were obtained for 1694 trees (the discovery population), as in [Beaulieu](#page-8-0) et al. (2011), using the SilviScan technology at FPInnovations facilities (Vancouver, BC, Canada) [\(Table 1\)](#page-2-0). Measurements were taken from the radial surface of 2×7 mm wood flitch samples cut with a twin blade pneumatic saw from the thawed 12-mm wood cores. Wood density was measured at a resolution of 25 µm by X-ray densitometry that corresponds to density at 8% moisture content. Microfibril angle was measured in 1-mm steps using X-ray diffractometry, and wood modulus of elasticity was calculated using both the density and microfibril angle data. Cell wall thickness and cell dimensions were obtained using optical microscopy and image analysis. Trait averages of each trait for each tree were weighted by the annual ring area.

SNP genotyping

For this experiment, a total of 7338 valid single-nucleotide polymorphisms (SNPs) from 2814 genes were successfully genotyped using the Illumina Infinium HD iSelect bead chip PgAS1 (Illumina, San Diego, CA, USA) as described in Pavy et al. [\(2013\)](#page-8-0). The genes were from the white spruce gene catalogue GCAT [\(Rigault](#page-8-0) et al., 2011) and represented a large variety of functions (Pavy [et al.,](#page-8-0) 2013). The SNPs were separated by a minimum distance of 200 bp within genes, given that generally weak LD is observed within gene limits in white spruce genes (Pavy et al., [2012a\)](#page-8-0). The final SNP data set was filtered to retain segregating SNPs that had a GenTrain quality score ≥ 0.25 , a fixation coefficient $|Fe| < 0.50$, a minor allele frequency ≥ 0.003 given the large size of the discovery population, corresponding to a minimum number of heterozygotes of 10, and a call rate at each SNP locus of \geq 50%. A total of 6385 SNPs harboured by 2660 distinct gene loci met these criteria and were used for the present study. Despite the liberal call rate used, $>96\%$ of these SNPs had a call rate above 95%.

Population structure. A population structure analysis was used to assess whether there were differences in allele frequencies among unobserved ancestral populations that could bias prediction accuracy estimates. Given the results obtained in previous studies conducted in the same area ([Jaramillo-](#page-8-0)[Correa](#page-8-0) et al., 2001; Namroud et al., [2008, 2010](#page-8-0); [Beaulieu](#page-8-0) et al., 2011), we were expecting a weak or no population differentiation from SNPs. We used all available SNPs ($m = 6385$) in multidimensional scaling and principal component analysis (Price [et al.,](#page-8-0) 2006) to estimate population covariates for each individual. The results of the two analyses were similar, and two covariates obtained with multidimensional scaling were used in further analyses.

Estimated breeding values

As a control representative of the conventional pedigree-based approach, the EBVs of each tree for each character were obtained using the polygenic model in GS3 [\(Legarra](#page-8-0) et al., 2013, [http://snp.toulouse.inra.fr/](http://snp.toulouse.inra.fr/~alegarra/) \sim alegarra/). This mixed linear model is as follows:

$$
y = X\beta + Tu + e \tag{1}
$$

where β is a vector of fixed effects (including an overall mean and population structure), u is a vector of random additive genetic polygenic effects with a distribution $\sim N(0, A\sigma^2 u)$, X and T are the incidence matrices and A is the additive genetic (or numerator) relationship matrix ([Lynch and](#page-8-0) [Walsh, 1998\)](#page-8-0).

GS analyses

Genomic estimated breeding values. The GEBV of every single tree was estimated for each wood and growth trait using all of the 6385 SNPs available. The effect of each marker was estimated with the mixed linear model 2 in GS3 [\(Legarra](#page-8-0) et al., 2013). This model is:

$$
y = X\beta + Za + e \tag{2}
$$

Table 1 Variance components of wood and growth characters estimated in genomic selection analyses with three different models using all 6385 single-nucleotide polymorphisms (SNPs) and the full data set of 1694 trees

aExcept for 22-year height, all other traits were assessed from wood cores collected when trees were either 31 or 35 years old.

bPolygenic indicates pedigree information; markers indicates SNP information only; and combined indicates pedigree and SNP information.

^cHere, σ^2 is additive genetic variance explained by marker loci; $V'_{A}=$ is total additive genetic variance estimated as $V'_A=\sigma^2_a\sum^{\ell}_A$ $\sum_{i=1} 2p_kq_k$; σ^2_u is polygenic additive genetic variance; σ^2_e is residual variance; h_i^2 is individual heritability.

where β is a vector of fixed effects (including an overall mean and population structure), a and e are vectors of random marker and random error effects, respectively, and X and Z are the incidence matrices. The Z matrix was built from the number of alleles observed at each individual and marker pair (0, 1 and 2), the coding representing the number of copies of the minor allele. Missing genotypes (total of 1.21%) at any given marker were replaced by the mean of the genotype coding rounded up to the nearest genotype coding for that marker (average of the population for additive effect).

In model 2, only biallelic markers are considered and the value $+ \frac{1}{2}a_i$ is arbitrarily assigned to the first allele, whereas $-\frac{1}{2}a_j$ is assigned to the second allele. Hence, the effects of homozygotes for the first allele are $+a_j$ whereas those of the alternate homozygotes are $-a_j$, and 0 for the heterozygotes. It is also assumed that m follows a normal distribution $(\sim N(0, I\sigma^2 a))$, and *I* is an identity matrix. Such a model with a normal distribution of marker effects is often called ridge regression best linear unbiased prediction [\(Meuwissen](#page-8-0) et al., 2001; [VanRaden, 2008\)](#page-8-0).

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The total additive genetic variance estimated with the markers was estimated as:

$$
V_A' = \sigma_a^2 \sum_{i=1}^k 2p_k q_k \tag{3}
$$

where p_k and q_k are respectively the frequencies of alleles 1 and 2 of the locus k in the population, and the predicted individual GEBVs were calculated as:

$$
\hat{g}_i = \sum_j^n Z'_{ij} \hat{a}_j \tag{4}
$$

where \hat{a}_j is the estimated effect of the *j*th SNP locus, and Z'_{ij} is an indicator covariate $(-1, 0 \text{ or } 1)$ for the *i*th tree and the *j*th SNP locus.

Breeding values estimated from combining marker and polygenic effect estimates. Marker and additive polygenic (pedigree-based) effects were jointly estimated using model 3 in GS3 ([Legarra](#page-8-0) et al., 2013), and the following model:

$$
y = X\beta + Tu + Za + e \tag{5}
$$

With the GS3 software, best linear unbiased estimation and best linear unbiased prediction of fixed and random variables, respectively, are obtained using the Gauss–Seidel algorithm with residual update ([Legarra and Misztal,](#page-8-0) [2008](#page-8-0)) that was extended to estimate variance components by Markov chain Monte Carlo (Gibbs sampling). The Gibbs sampler was run for 100 000 iterations with a burn-in of 20 000 iterations, and priors drawn from an inverted γ^2 distribution with two degrees of freedom. Convergence of the posterior distribution (800 samples retained) was checked visually using trace plots.

Cross-validation (CV). To validate the models built to predict breeding values based on the complete set of 6385 SNPs, and to determine the limits of their applicability, we first carried out three CV schemes. For the first one (CV_1) , one individual per half-sib family was randomly assigned to each of 10 iteration sets, for a total of 214 individuals in each training set. With this validation scheme, half-sib relationships occurred between training and validation sets. The second CV scheme $(CV₂)$ was for between-family CV, where entire families were assigned to folds of the training and validation sets. In each of the 10 CV iterations, 27 randomly selected families, corresponding to 12.5% of the 214 available families, composed the validation data set, all the remaining trees made up the training data set. Although all known maternal (seed parent) relatedness was eliminated between training and validation sets in $CV₂$, the unknown paternal (pollen parent) contribution to relatedness within provenance is unaccounted for, and could be traced by markers if present ([Doerksen](#page-8-0) et al., 2014). Note also that spruces have a monoecious mating system allowing individuals to act as both seed and pollen parents. A third CV scheme (CV_3) was designed to control for any possible contribution of the pollen parent to relatedness within provenance between CV sets. The scheme was conducted by assigning entire families of geographically distinct provenances to four validation sets, thus eliminating as much as possible any possibility of paternal (and maternal) coancestry between sets. Consequently, if accuracy estimates obtained were different from zero, results from $CV₃$ could likely help determine if historical LD with some traits is still present in extant white spruce populations.

Models were then developed to estimate the marker effects using each of the training sets per CV scheme, and genomic breeding values of individuals making up the corresponding validation set were predicted using these models. The accuracy of prediction was estimated using the correlation $r(g, \hat{g})$ between the breeding value of an individual (g) as obtained with the conventional approach (that is, (\hat{u}) from polygenic model 1), and its estimated value using markers (\hat{g}) . The predictive ability was estimated as the correlation between the observed and the estimated phenotype $(r(y, \hat{y}))$. Both GEBV accuracy and predictive ability were reported as the average of the correlation coefficients of the CV scheme used. An accuracy estimate was also estimated for the pedigree method using a 10-fold CV scheme. Relative efficiency of GS models was then estimated as the ratio of the accuracy of GS models to that of pedigreebased ones.

Validation across sites. The three previous CV schemes were conducted on a single (Mastigouche) site, and hence there is an additional need to assess the impact of possible genotype-by-interaction $(G \times E)$ on prediction accuracy. Because of financial constraints, a full across-site validation using all the SNPs available as well as all the traits assessed in populations assembled on different testing sites was not possible. We thus limited the validation to two phenotypic traits, that is, wood density and microfibril angle. Moreover, only \sim 350 SNPs per trait that were found to be most significantly associated $(P<0.05)$ with these two traits in the discovery population (Mastigouche Arboretum), after an association study carried out using a mixed linear model implemented in Tassel [\(http://www.maizegenetics.net/tassel](http://www.maizegenetics.net/tassel)), were retained to genotype the two validation populations established at the Dablon Arboretum and Mirabel site. Thus, the training data sets consisted of all individuals from the Mastigouche Arboretum, whereas the individuals sampled at the Dablon Arboretum and Mirabel site were used as testing data sets. Genotyping was conducted at the Genome Quebec Innovation Centre (McGill University, Montreal, QC, Canada) using the highly multiplexed Illumina GoldenGate assay following procedures described in [Beaulieu](#page-8-0) et al. (2011).

RESULTS

Population structure

A weak population structure with no geographical pattern was detected with both principal component analysis and multidimensional scaling analysis, with 1.3% of variance explained by the first two principal component analysis eigenvectors. Weak population structure in white spruce from Québec has been reported in several studies using neutral genetic markers ([Jaramillo-Correa](#page-8-0) et al., 2001; Namroud et al., [2008, 2010\)](#page-8-0) and was expected based on our previous analysis of a subset of the present discovery population [\(Beaulieu](#page-8-0) [et al.,](#page-8-0) 2011). As a cautionary measure, we used the multidimensional scaling coefficients as population covariates for the GS analyses to control for any potential bias in prediction accuracy that could be brought about by such a population structure.

Variance component estimates with the full data set

Estimates of variance components with the full data set and the 6385 markers for the three models, that is, polygenic additive genetic effects (Equation 1), marker effects (Equation 2) and combined marker and polygenic effects (Equation 5), are presented for each wood and growth trait in [Table 1.](#page-2-0) When comparing the first two models, a slight increase was observed in the estimates of error variance (σ_e^2) for the marker-effect model. In addition, the estimates of additive genetic variance explained by marker loci (V'_A) were lower than those estimated using pedigree information (σ^2_u) . However, the markereffect model could nevertheless capture at least 60% of the additive genetic variance (V_A/σ_u^2) for most of the traits. Models combining both marker and pedigree information generally lead to the highest estimates of individual heritability. This is likely because of the fact that pedigree information makes it possible to capture unmarked loci that are also involved in the genetic control of the traits.

Goodness of fit of the models built with the full data set

The goodness of fit of the various models was first estimated using the full data set ([Table 2](#page-4-0)). For all the traits, except for average ring width, the correlation between observed and estimated phenotypes was the highest with the polygenic model, followed by the combined markerpedigree model and the marker-only model. The correlation between the individual breeding values estimated with the polygenic model and those estimated with the other two models ranged from 0.788 to 0.985 ([Table 2\)](#page-4-0). The lowest value was obtained for ring width.

Table 2 Goodness of fit of the models based on pedigree information, on marker-locus information or on combined marker-locus and pedigree information and correlations of breeding values estimated with the pedigree-based and the two other models using all 6385 SNPs

Trait ^a	Goodness of fit ^b			Correlation of EBVs	
	Pedigree ^c	Markers	Combined	Markers	Combined
Cell population	0.852	0.749	0.833	0.850	0.985
Fibre coarseness	0.942	0.817	0.907	0.866	0.977
Crystallite width	0.977	0.763	0.959	0.838	0.892
Wood density	0.918	0.760	0.903	0.871	0.950
Microfibril angle	0.951	0.810	0.921	0.872	0.962
Wood stiffness	0.921	0.783	0.870	0.870	0.982
Ring width	0.371	0.515	0.566	0.788	0.978
Specific fibre surface	0.961	0.834	0.936	0.891	0.967
Cell radial diameter	0.942	0.799	0.891	0.872	0.978
Cell tangential diameter	0.906	0.775	0.882	0.851	0.972
Cell wall thickness	0.939	0.810	0.922	0.878	0.965
22-Year height	0.914	0.745	0.879	0.832	0.958

Abbreviations: EBV, estimated breeding value; SNP, single-nucleotide polymorphism. ^aFor units, see [Table 1](#page-2-0).

bCorrelation between the observed and the estimated phenotypic values.

cPedigree indicates pedigree information only; markers indicates SNP information only; and combined indicates pedigree and SNP information.

Predictive ability of the models

The predictive ability of the models based on markers was always slightly better than those based on pedigree information alone when the validations were made using the CV_1 scheme (within-family CV; Table 3, upper part). Combining information from both the pedigree and the markers in the GS models did not markedly increase the predictive ability (Table 3). When CV was conducted by controlling for known relatedness (half-sibs) between training and testing/validation sets $(CV₂)$ scheme), the predictive ability of the models was substantially lower for most of the traits (Table 3, lower part). As expected, models based on pedigree information had lower predictive abilities ranging from -0.074 for fibre coarseness to 0.257 for wood density.

Accuracy of EBVs and GEBVs

The accuracy of predicted breeding values obtained with the different prediction models varied greatly depending on the CV scheme [\(Table 4](#page-5-0)). When model validations were conducted using the CV_1 scheme, accuracies varied from 0.457 (crystallite width) to 0.517 (cell wall thickness) in models relying on pedigree information alone. They were slightly lower (0.327–0.435) when estimated with the 6385 SNPs. Nevertheless, the relative efficiency of GS models reached 76% of that of pedigree-based ones on average, varying from 68 to 89%, depending on the trait. When validations were carried out using the CV₂ scheme, the accuracy of breeding values fell to \sim 0 when predicted from pedigree information only and decreased by \sim 50% when predicted with all SNPs [\(Table 4](#page-5-0), CV_2 scheme). We tested whether population structure might have an effect on the accuracy estimates obtained by running analyses without population structure covariates and we found only a marginal effect. When the choice of families to constitute the training and the validation data sets was constrained by the origin of the families (belonging to different provenances; CV_3), the accuracy estimates decreased but were still different from 0 for 7 out of the 12 traits studied [\(Table 4\)](#page-5-0), and went up to 0.132 ± 0.039 for fibre coarseness.

Table 3 Predictive ability of wood and growth traits of the different models for genomic selection using all 6385 SNPs

Abbreviations: CV, cross-validation; SNP, single-nucleotide polymorphism. aFor units, see [Table 1](#page-2-0).

bPedigree indicates pedigree information only; markers indicates SNP information only; and combined indicates pedigree and SNP information.

cBoth training and testing data sets share individuals of the same families.

dTraining and testing data sets are made up of individuals of different families.

Genetic gains

Expected genetic gains from 5% selection intensity were estimated empirically under both within- (CV_1) and between-family (CV_2) validation schemes ([Tables 5 and 6](#page-6-0)). For the CV₁ scheme, 30–60% of the maximum genetic gains could be captured by superior trees identified by markers only ([Table 5,](#page-6-0) see ratio $GEBV_{CVI}/EBV$). However, compared with gains predicted using pedigree information $(EBV_{CVI}, EBV obtained after CV)$, the relative efficiency of the markers varied between 65% (ring width) and 111% (height) (ratio $GEBV_{CVI}/EBV_{CVI}$). Moreover, with the assumption that a breeding cycle could be completed in 10 years with GS instead of 30 years using the conventional approach, gain per time unit in favour of markers would be two- to threefold [\(Table 5](#page-6-0)). When using markers to predict breeding values of trees from families that were different from those used in the training data set (CV_2) , the predicted gains dropped considerably but were still positive. But in contrast to CV_1 , no genetic gain was expected when selection was based on pedigree information alone for this validation scheme [\(Table 6](#page-7-0)).

GEBV estimates for trees sampled at the Dablon Arboretum and Mirabel site were obtained using the GS models built with all 1694 trees belonging to the 214 families sampled at the Mastigouche Arboretum and genotyped using the subsets of significant SNPs $(P<0.05)$. The accuracy of their wood density and microfibril angle GEBVs was estimated as the ratio of the correlation between the phenotype and the GEBVs divided by the square root of trait

Table 4 Accuracy of prediction of the genetic value for wood and growth traits using models based on pedigrees or genotypes using all 6385 SNPs

Abbreviations: CV, cross-validation; SNP, single-nucleotide polymorphism.

^aFor units, see [Table 1.](#page-2-0)

bBoth training and testing data sets share individuals of the same families

cTraining and testing data sets are made up of individuals of different families.

^dFamilies making up the validation data sets are from populations that are not represented in the training data sets.

heritability [\(Dekkers, 2007\)](#page-8-0). At the Dablon Arboretum, the accuracy estimates obtained for wood density and microfibril angle were 0.25 and 0.14, respectively, whereas they were 0.29 and 0.27 at the Mirabel site.

DISCUSSION

Predictive ability and accuracy of GS models

To our knowledge, this is the first experimental study presenting estimates of predictive ability and accuracy of GS models for wood and growth traits in spruces for a population of large effective size representative of first-generation breeding populations, as often used in boreal conifer improvement programmes [\(Mullin](#page-8-0) et al., 2011). The number of parents that contributed to generating the 1694 white spruce trees of our study was estimated to be 620, using the status effective number (Ns) defined as $Ns = 0.5/f$ ([Lindgren and Mullin,](#page-8-0) [1998](#page-8-0)) where the group's coancestry coefficient (f) is half of the relatedness coefficient derived from numerator the relationship matrix among all 1694 offspring.

Relatedness between training and testing data sets was either known (within-family CV) or unknown (between-family CV). Estimates of predictive ability were reduced by \sim 20% when the coefficient of relatedness between CV_2 sets was presumably much smaller than 0.25 [\(Table 3](#page-4-0), $CV₂$). Accuracy estimates were slightly higher than predictive ability for most of the traits with within-family CV and they could capture, depending on the trait, between 68 and 89% of the accuracy obtained with pedigree information. For the between-family validation (CV_2) , the prediction accuracy of the marker-based models was much better than that of the pedigreebased models (Table 4).

The low to moderate estimates of accuracy (Table 4) obtained with the markers for between-family validation (CV_2) may have been because of the presence of LD between markers and QTLs persisting across families in the population. However, because the paternal contribution to within-provenance relatedness was not accounted for, markers may have been capturing this cryptic/unknown relatedness. Indeed, markers can capture additive genetic relationships between individuals [\(Fernando, 1998](#page-8-0)) that affect the estimates of accuracy of GEBVs even in the absence of LD between markers and QTLs (Habier et al., [2007, 2013](#page-8-0)). This observation supports the need to carefully design CV schemes in order to better identify the origin of the accuracies obtained, and CV should account for family structure in the data to allow for long-lasting genomics-based breeding plans ([Habier](#page-8-0) et al., 2010). When the objective is to develop GS models to be used to select recombined individuals from the same population, separating prediction accuracy due to long-range LD and relatedness is less problematic. However, if one would like to apply GS models to select individuals from other populations, a much larger marker density might be required to do so [\(Meuwissen, 2009](#page-8-0)).

The white spruce genome is \sim 2100 cM (Pavy [et al.,](#page-8-0) 2012b); therefore, the full SNP data set analyzed in the present study represented 2660 gene loci with an average rate of 2.4 SNPs per locus that would result in average genome coverage of \sim 1.27 marker locus per cM. Although it is true that LD appears to be low in white spruce genes in essentially unrelated trees from natural populations, many exceptions were found where LD was sizeable ([Namroud](#page-8-0) et al., [2010](#page-8-0); Pavy [et al.,](#page-8-0) 2012a). Therefore, the true coverage would lie between the number of SNPs sampled and the number of gene loci sampled. For most traits in the present study, not all SNPs were likely to be close to a QTL and the assumption that all SNP effects were non-null is likely unrealistic, considering that the genotyping chip was built for several classes of traits and a large gene representation, but nevertheless is only a fraction of the complete white spruce gene space ([Rigault](#page-8-0) et al., 2011). In theory, differential shrinkage methods proposed to estimate GEBVs should ensure that false-positive or uninformative effects are regressed towards zero. But in practice, the false-positive or uninformative effects are not strictly equal to zero, and pre-selecting SNPs could be crucial for improving the quality of genomic predictions ([Croiseau](#page-8-0) et al., 2011). This might also be important for reducing the cost of GS implementation in breeding programmes. However, lower marker densities could negatively affect the capture of LD that would be detrimental to prediction accuracy over the long term.

[Grattapaglia and Resende \(2011\)](#page-8-0) provided theoretical expectations for up to a maximum effective population size of 100. For training populations of 1000 individuals, effective population sizes of 100 parents, a number of 100 QTLs controlling characters with an

Table 5 Empirical genetic gains from a 5% selection intensity made within families using EBVs (model based on pedigree information) and GEBV (model based on all 6385 SNPs) and gain per time unit under the assumption that with GS, a breeding cycle can be completed within a period of 10 years whereas it can be completed in 30 years with the conventional approach

Abbreviations: CV, cross-validation; EBV, estimated breeding value; GEBV, genomic estimated breeding value; GS, genomic selection; SNP, single-nucleotide polymorphism.

aFor units, see [Table 1](#page-2-0). bAverage of the 10 best trees out of the 214 making up each of the 10 validation data sets.

^cBoth training and testing data sets share individuals of the same families.
^dGain estimated after CV using pedigree information.

e(GEBV_{CV1}/T_E)/(EBV_{CV1}/T_C); $T_c = 30$ and $T_E = 10$, where T_c is the breeding cycle in years with conventional breeding and T_E is the number of years needed to complete a breeding cycle with GS.

heritability varying from 0.2 to 0.4 and a marker density of 1 to 2 SNPs per cM, they reported that prediction accuracy of GS models should vary approximately between 0.3 and 0.4 (see Figures 1 and 2 in [Grattapaglia and Resende, 2011](#page-8-0)). Thus, it appears that our results are quite in line with theoretical expectations when both training and validation data sets came from the same populations. As demonstrated by [Grattapaglia and Resende \(2011\),](#page-8-0) the number of QTLs controlling the characters might have some impact at low marker densities, but it is difficult to determine at the present time the most probable number of QTLs involved in growth and wood traits in spruces, though their numbers are likely to be large [\(Pelgas](#page-8-0) et al., [2011\)](#page-8-0). Similarly, the larger the effective population sizes, the lower the expected accuracy of predictions, especially when the marker density per cM is low. More empirical data are needed to have a better idea of the prediction accuracy that GS models can achieve with forest trees in various population setups.

The predictive ability of models developed for remotely related individuals (CV_2) was only slightly lower than that of those built for closely related individuals (CV_1) . This is likely an indication that some LD might be present but also that relatedness was picked up by the markers. Hayes et al. [\(2009\),](#page-8-0) using multibreed dairy cattle populations, reported that prediction equations in one breed do not predict accurate GEBVs when applied to other breeds. [Meuwissen](#page-8-0) [\(2009\)](#page-8-0), using computer simulation of small populations, showed that a substantially higher marker density and number of training records would be needed to obtain accurate predictions of breeding values of unrelated individuals. However, the notion of unrelatedness is tricky because some pairs of individuals are more closely related than others even if they are believed to be unrelated [\(Powell](#page-8-0) et al., [2010\)](#page-8-0). When the possibility of paternal (and maternal) coancestry between CV sets was eliminated, and confirmed by an average estimated kinship coefficient of zero between the training and validation sets used (CV_3) , the prediction accuracy was reduced, but it was still clearly different from zero for several of the traits. This result supports to some extent the presence of historical LD with trait loci.

Such an historical LD pattern may have arisen if all populations considered in the present study were from the same glacial lineage. The main phylogeographic patterns for North American trees detected with DNA markers were recently reviewed [\(Jaramillo-](#page-8-0)[Correa](#page-8-0) et al., 2009). The study identified converging patterns, such as the location of glacial refugia and postglacial recolonization routes, and the inference of common causes of vicariance. The glacial and postglacial history of white spruce was inferred based on chloroplast DNA and nuclear microsatellite polymorphisms [\(de Lafontaine](#page-8-0) et al., [2010\)](#page-8-0). Trees of an east Appalachian refugium population would have migrated into New England and northwards into the province of Québec and the Maritimes [\(de Lafontaine](#page-8-0) et al., 2010) \langle 10 000 years ago, suggesting that all populations considered in the present study are from the same glacial lineage, as supported by our analyses of population structure and previous ones ([Jaramillo-Correa](#page-8-0) et al., 2001; Namroud et al., [2008, 2010\)](#page-8-0). In addition, a molecular footprint of recent expansion following the last glacial maximum was found in the genes of white spruce and other boreal spruces ([Namroud](#page-8-0) et al., [2010\)](#page-8-0). Given these phylogeographical and demographic inferences, and the limited number of generations that occurred since the beginning of recolonization, the presence of shared ancestry and historical LD are likely in the populations sampled [\(Doerksen](#page-8-0) et al., [2014\)](#page-8-0).

So far, empirical accuracy estimates in forest trees have been reported only for small breeding populations of Pinus taeda and Eucalyptus (Resende et al., [2012a, b](#page-8-0); [Zapata-Valenzuela](#page-9-0) et al., 2012). As expected from deterministic and simulation models [\(Grattapaglia](#page-8-0) [and Resende, 2011](#page-8-0); Iwata [et al.,](#page-8-0) 2011), the accuracy of GS models for wood and growth traits in these species was slightly higher than that reported in the present study.

When GS models were built with \sim 350 markers found to be associated with wood density and microfibril angle in the discovery population (Mastigouche Arboretum) and validated (VS scheme) using half-sibs sampled on two different sites (Dablon Arboretum and Mirabel), the GEBV accuracy estimates obtained were reasonably high compared with those obtained using the CV_1 scheme (up to 70% of Accuracy of GS models in a large population J Beaulieu et al

accuracy values) in the Mastigouche Arboretum. This is likely because of the fact that $G \times E$ interactions in white spruce are generally low for growth and wood traits (Li [et al.,](#page-8-0) 1997; Lenz et al., 2011). Thus, when both the training and the validation data sets are related, moderate accuracy estimates could likely be expected in white spruce even when trees for training and for validation are located on different sites. The fact that the GS models were built using a larger genetic base (214 families) than that of the testing sets on these two other sites might also have contributed to better trace relatedness and consequently, to increasing the accuracy of predictions.

Implementing GS models in white spruce breeding programmes. Our results suggest that between 65 and 110% of the gain predicted with pedigree information alone [\(Table 5\)](#page-6-0) could be obtained with GS models when selecting the top 5% of individuals closely related to those used in the training data set. However, when the degree of relatedness between training and testing data sets was lower, the gains predicted were lower, as expected (Table 6).

The major advantage of GS over conventional phenotypic pedigreebased selection is the possibility to shorten breeding cycles and increase gains per time unit in long-lived species. One generation of genetic improvement for white spruce, from the selection of parent trees to the production of genetically improved seed, can last two to three decades. It generally takes 10–15 years, sometimes even more, for a white spruce tree to reach sexual maturity and produce seeds ([Nienstaedt and Zasada, 1990\)](#page-8-0), although this period can be made shorter with the use of flower induction techniques [\(Beaulieu](#page-8-0) et al., [1998](#page-8-0)). Testing is also time consuming, especially for characters such as wood traits; 15–25 years of growth may be needed before trees become large enough for the reliable assessment of wood and fibre properties. GS could eliminate the need for tree testing at least for one or two generations, after which retraining the models would be needed to maintain or increase GEBV accuracy (Iwata [et al.,](#page-8-0) 2011; [Resende](#page-8-0) et al., 2012a). New markers could also be added to future models to maintain a high level of GEBV accuracy [\(Goddard, 2009\)](#page-8-0).

Several approaches can be envisioned for implementing GS in white spruce, but a forward selection scenario using somatic embryogenesis to produce seedlings in selected somatic embryogenesis lines would likely be the most fruitful. Somatic embryogenesis is an intensive vegetative propagation technology that is well developed for white spruce ([Wahid](#page-9-0) et al., 2012; [Weng](#page-9-0) et al., 2012), and somatic embryogenesis varieties are already used in reforestation programmes in eastern Canada. Our results show that in the present unfavourable context of large population size, over twofold gains could be obtained per year using GS if the breeding cycle was reduced to 10 years compared with 30 years with conventional pedigree-based methods [\(Table 5](#page-6-0)). However, if GS is implemented following a clonal strategy, additional studies would be required to also model nonlinear genetic effects in order to predict more accurately the total genetic merit of clones.

For its practical implementation, the use of GS in white spruce breeding programmes or any other species also depends on the results of a cost–benefit analysis. Testing a very large number of markers on a large number of trees may not be cost effective, although genotyping costs are decreasing very rapidly and may represent a marginal cost when considering the value of genetic gains obtained from genetically improved plantations. But [Habier](#page-8-0) et al. (2009) noted that using smaller SNP sets may require distinct arrays of SNPs for each trait. In the present study and in previous ones [\(Beaulieu](#page-8-0) et al., 2011), we found that the list of most significant SNPs varied considerably from one character to the next, even when considering only wood traits. For instance, the average overlap between SNP subsets for wood

Abbreviations: CV, cross-validation; EBV, estimated breeding value; GEBV, genomic estimated breeding value; GS, genomic selection; SNP, single-nucleotide polymorphism. aFor units, see [Table 1.](#page-2-0)

bAverage of the 10 best trees out of \sim 220 making up each of the 10 validation data sets and representing families that were not involved in the training data set (CV_2) .

^cTraining and testing data sets are made up of individuals of different families

dGain estimated after CV using pedigree information.

density and microfibril angle was only 11%. Thus, in the case where improvement for multiple traits is sought, a large number of SNPs would still need to be genotyped. Moreover, a larger number of markers would likely make it possible to develop GS models for unforeseen traits without additional genotyping.

On the other hand, [Weigel](#page-9-0) et al. (2009) showed that a relatively small number of SNPs with large effects on lifetime net merit in Holstein bulls could capture a significant fraction of the gain that was achieved with a large number of SNPs. [Resende](#page-8-0) et al. (2012) also obtained good accuracy estimates with a limited number of SNPs. We tested a posteriori, for both wood density and microfibril angle, whether retaining only the 10% SNPs with the largest effects could make it possible to capture a large fraction of the accuracy obtained with all 6385 SNPs. We identified for each of 10 training data sets the 700 SNPs with the largest absolute effects. New GS models were built for each training data set using only these groups of 700 SNPs and were validated in corresponding validation data sets. Estimates of GEBV accuracies were obtained as previously done for the other CVs. The estimate of accuracy obtained for wood density GEBV was 0.335 (± 0.013) for the within-family validation (CV_1) compared with 0.370 (\pm 0.012) with all SNPs; it was 0.155 (\pm 0.027) for the betweenfamily cross-validation (CV_2) compared with 0.132 (\pm 0.024) with all SNPs. Similarly for microfibril angle, GEBV accuracies were, for the CV₁ scheme, 0.313 (\pm 0.018) versus 0.378 (\pm 0.019) with all SNPs, and for CV₂, 0.104 (\pm 0.019) versus 0.164 (\pm 0.029) with all 6385 SNPs. Thus, it appears that this strategy could make it possible to capture a large fraction of the maximum accuracy achievable with a much larger number of markers or loci. However, it could be at the expense of developing GS models that would hold for a larger number of generations.

CONCLUSION

For the time being, considering that for most tree species (1) the number of markers currently available is relatively limited, (2) genotyping large numbers of markers is still expensive, (3) only a few genetic tests are generally in place, (4) relatedness among individuals is picked up by the markers and (5) LD decays rapidly, especially in conifers, we recommend the development of GS models within the same population $(CV_1$ scheme) only and that should make it possible to obtain a quite high efficiency of marker models relative to conventional pedigree-based models even with lower marker coverage, and obtain potentially higher gains per time unit.

DATA ARCHIVING

Data available from the Dryad Digital Repository: [doi:10.5061/](http://dx.doi.org/doi:10.5061/dryad.6rd6f) [dryad.6rd6f](http://dx.doi.org/doi:10.5061/dryad.6rd6f).

CONFLICT OF INTEREST

The authors declare no conflict of interest.

ACKNOWLEDGEMENTS

This study is part of the SMarTForests project. We thank the team of A Montpetit (Genome Québec Innovation Centre, McGill University, Montreal) for assistance with the acquisition of SNP data on the Illumina platform. We also thank M Deslauriers, F Gagnon and S Blais for assistance in DNA extractions, the acquisition of SNP data and for preparing and cleaning SNP data files, D Plourde and É Dussault for wood core sampling, the EvalueTree team of FPInnovations in Vancouver for wood core processing and P-L Poulin for phenotypic data handling. We are also grateful to P Lenz for his constructive comments on a preliminary version of this manuscript and to I Lamarre for her editing work. This work was funded through grants from Genome Canada, Genome Québec, the Canadian Wood Fibre Centre and the Genomics R&D Initiative of the Government of Canada to JBe, JM and JBo.

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