# Exploiting the Tumor Phenotype Using Biodegradable Submicron Carriers of Chemotherapeutic Drugs

## Sean M. Geary\* & Aliasger K. Salem

Division of Pharmaceutics and Translational Therapeutics, College of Pharmacy, University of Iowa, Iowa City, Iowa

\*Address all correspondence to: Sean M Geary: Department of Pharmaceutical Sciences and Experimental Therapeutics, 115 S. Grand Ave., College of Pharmacy, University of Iowa, Iowa City, IA 52242; Tel.: 319-335-8810; sean-geary@uiowa.edu, sean-geary@uiowa.edu and aliasger-salem@uiowa.edu

**ABSTRACT:** Tumor tissues possess characteristics that distinguish them from healthy tissues and make them attractive targets for submicron carriers of chemotherapeutic drugs (CTX). CTX are generally administered systemically in free form to cancer patients resulting in unwanted cytotoxic effects and placing limitations on the deliverable CTX dose. In an effort to raise the therapeutic index of CTX there are now liposome-based CTX formulations in clinical use that are more tumor specific than the free form of CTX. However, progression to liposome-based chemotherapy in the clinic has been slow and there have been no approved formulations introduced in the last decade. Alternative carrier systems such as those made from the biodegradable polymer poly(lactic-co-glycolic) acid (PLGA) have been investigated in preclinical settings with promising outcomes. Here we review the principle behind biodegradable submicron carriers as CTX delivery vehicles for solid tumors with a specific focUS on liposomes and PLGA-based carriers, highlighting the strengths and weaknesses of each system.

**KEY WORDS:** submicron carriers, PLGA, liposomes, chemotherapy, tumor targeting, enhanced permeation and retention effect, EPR, nanoparticles

ABBREVIATIONS: CTX: chemotherapeutic drug(s); PLGA: poly(lactic-co-glycolic) acid; EPR: enhanced permeation and retention; MPS: mononuclear phagocyte system; PEG: poly-(ethylene glycol); U.S. FDA: United States Food and Drug Administration; i.v.: intravenous(ly).

#### I. INTRODUCTION

There are a number of traits possessed by tumors that distinguish them from healthy tissues, thereby rendering them viable targets for submicron carriers of chemotherapeutic drugs (CTX). One of these traits is the demonstration of the enhanced permeation and retention (EPR) effect for macromolecules and nanoparticles. To explain, the aberrant neovasculature of neoplasms is characterized by a range of structural and functional abnormalities including randomly distributed apertures of up to  $1-2 \mu m$  in size in the endothelial monolayer, which in turn contributes to a relatively high degree of leakiness.<sup>1</sup> These apertures result from compounding features that include an inadequately formed or discontinuous basement membrane, transendothelial channels, and wide interendothelial junctions.<sup>2</sup> The leakiness results in relative ease of accessibility to macromolecules and

submicron carriers that would normally be excluded, although there is still the barrier of the interstitial fluid pressure, which is generally high in tumors and increases with increasing tumor size.3 Most tumors lack adequate lymphatic drainage and consequently macromolecules and submicron carriers can become trapped within the tumor microenvironment for significant durations. These combined phenomena (leakiness and inadequate lymphatic drainage) were first recognized in the context of macromolecules by Maeda et al. and are known collectively as the EPR effect.<sup>4</sup> It should be noted that discontinuous (or sinusoidal) capillaries possessing large gaps between endothelial cells and discontinuous or absent basement membrane are also found in healthy bone marrow, liver, and spleen.<sup>2</sup> Therefore, these healthy tissues will be susceptible to enhanced permeation with submicron carriers; however, due to effective lymphatic drainage, there should ideally be less accu-

<sup>11040-8401/14/\$35.00 © 2014</sup> by Begell House, Inc.

mulation of these carriers in these tissues compared to tumors. Thus, submicron carriers have the potential to target tumors passively using the EPR effect.

Another important trait that distinguishes tumors from healthy tissues is the presence of a rich neovasculature within tumors comprising actively proliferating endothelial cells expressing a range of surface proteins not expressed by, or expressed at lower levels in, quiescent endothelial cells that normally constitute the venules of healthy tissues. These cell surface proteins (e.g., alphaVbeta3) can act as target receptors for CTX-carrying submicron particles that have been coated with complementary ligands [e.g., the tripeptide arginine-glycine-aspartic acid (RGD)]. This process of active targeting can bias localization of submicron carriers to the tumor over other tissues.

The benefits of using submicron carriers to encapsulate CTX are manifold and extend beyond the EPR effect and active targeting. These benefits include prevention of rapid dissemination of the drug nonspecifically throughout the body and instead promote its delivery to target tissues in a concentrated form, protection from degradative enzymes and agglutinating factors that would otherwise inactivate the drug, and the potential for formulation modifications that can affect pharmacokinetic and biodistribution characteristics.<sup>5</sup> This last point is salient since it is important that the CTX is neither released too quickly nor too slowly and it is also important for the carriers to avoid uptake by the mononuclear phagocyte system (MPS) and remain in circulation long enough for sufficient accumulation at the tumor site.<sup>6</sup>

## II. PHYSICOCHEMICAL PROPERTIES OF SUBMICRON CARRIERS THAT DETERMINE RATE OF CLEARANCE FROM CIRCULATION

The fate of intravenously (i.v.) administered submicron carriers is primarily determined by their size, surface characteristics, and molecular composition.<sup>6,7</sup>

#### A. Size

When it comes to delivering submicron carriers to tumors, there are certain limitations that determine optimum size. Generally speaking, carriers less than 10 nm in diameter are not suitable for tumor targeting due to their rapid elimination by the kidneys.<sup>8</sup> When considering the upper size limit of systemically administered submicron carriers, a number of factors may impact tumor targeting efficiency. These include average fenestration size of the tumor, which can vary depending on tumor type or patient. Some tumors may have fenestrations as large as 700 nm but this is not always the case and it is generally accepted that carriers greater than 500 nm would be unsuitable for tumor targeting purposes. Empirically it has been shown, using liposomes (see below), that carriers 70-200 nm in diameter are optimal in terms of rates of clearance from the blood and accumulation in tumor tissues versus other healthy tissues. Carriers greater than 250 nm become more readily cleared by the spleen while carriers in the 10–70 nm range are vulnerable to clearance by tissues with fenestrated capillaries, possessing transendothelial circular openings (40-80 nm), such as the lung, skin, brain, muscle, and connective tissue.<sup>3</sup> Another drawback of carriers that are less than 70 nm in diameter is the higher ratio of surface area to volume thereby limiting the CTX load. In general, the rate of clearance of liposomes increases with increasing size and this is primarily explained by the MPS, particularly for conventional liposomes.

#### **B. Surface Characteristics**

"PEGylation" [coating the carrier surface with the synthetic amphipathic poly-(ethylene glycol) (PEG)] can alter the kinetics of clearance such that liposomes in the range of 80–250 nm have clearance rates that are less affected by changes in size.<sup>9,10</sup> PEGylation increases the hydrophilicity, and decreases the negative charge, of submicron carriers [liposomes and poly(lactic-co-glycolic) acid (PLGA) based] thereby abrogating aggregation and limiting opsonization.<sup>11</sup> It has been shown that negatively charged liposomes may be cleared from the circulation more rapidly than neutral liposomes, often through opsonization, while positively charged liposomes have been shown to be toxic and are also rapidly eliminated.<sup>12</sup>

#### C. Molecular Composition

The use of carriers made from biodegradable constituents is an attractive option since it avoids the harmful effects that can result from long-term accumulation of nonbiodegradable carriers within the patient. It is also desirable that the degradation of these particles results in the generation of nontoxic and readily eliminated byproducts. Finally, carriers made from compounds that can be modified to influence various pharmacokinetic properties and biodistribution patterns are highly desirable. These characteristics can be found for both liposomes and PLGA-based carriers and have been well researched as candidates for submicron delivery of CTX to cancer patients.

#### **III. LIPOSOMES**

Liposomes are self-assembling colloidal structures that are biocompatible and biodegradable and were originally identified by Bangham et al.13 In terms of colloidal-based drug-delivery systems, liposomes have undergone the most scrutiny. The initial findings in the early 1980s that liposomes were rapidly cleared from the circulation by the MPS instigated some pessimism as to the potential of liposomes as either diagnostic or therapeutic tumor targeting vectors.<sup>14,15</sup> However, by the late 1980s hope had been restored with an accumulation of findings that the phospholipid component of liposomes can affect their systemic clearance rate in vivo while both a combination of phospholipid components and cholesterol content can affect the drug-retaining capacity or permeability of the liposome.<sup>16,17</sup> There are a range of phospholipids capable of forming liposomes; examples include phosphatidylcholines, phosphatidylglycerols, phosphatidylserines, and sphingomyelins. Specifically, small unilamellar liposomes containing solid-phase phospholipids, sphingomyelin, or distearoyl phosphatidylcholine have longer circulation times than liposomes composed of fluid phospholipids (e.g., egg yolk phosphatidylcholine) due to their increased stability in plasma. Other lipids, such as cholesterol, are often included in the liposome formulation to further stabilize the liposome.<sup>18</sup> Unilamellar liposomes possess an aqueous core suitable for the encapsulation of water-soluble chemotherapeutic drugs and range in size from 50 to 250 nm in diameter. Multilamellar liposomes possess many lipid bilayers, making them particularly suitable as carriers of lipophilic drugs.

As mentioned earlier, to limit uptake by the MPS, liposomes can be PEGylated, and this hydrophilic coating can extend blood circulation times to days as opposed to hours when compared to conventional (uncoated) liposomes. In addition, longer-chained PEGs (e.g., PEG 1900 and PEG 5000) can increase blood residence times by approximately twofold compared to shorter-chained PEGs (e.g., PEG 750 and PEG 120).<sup>19</sup> Two of the liposome formulations to gain approval for clinical use were vectors for doxorubicin, Doxil (for treatment of Karposi's sarcoma and refractory ovarian cancer) and Myocet (for treatment of metastatic breast cancer).<sup>20–22</sup> The primary difference between the two formulations is that Doxil is PEGvlated while Myocet is uncoated and this is reflected in their blood circulation half-lives of 55 and 2.5 h, respectively. Preclinical studies using PEGylated liposomes have demonstrated their capacity for prolonged circulation, extravasation at tumor capillary beds, and improved antitumor activity when encapsulating a CTX compared to administration with the free form of the drug.<sup>14</sup> Specifically, in mice, it was shown that 24 h after i.v. injection of PEGylated liposomes (80-100 nm in diameter), 29% were still circulating in the blood compared to <2% for conventional liposomes. In addition, accumulation of PEGylated liposomes within the liver and spleen was reduced by more than threefold and approximately twofold, respectively, when compared to conventional liposomes. Pharmacokinetic studies indicated that PEGylated liposome clearance is dose independent and obeys single first-order kinetics while clearance of conventional liposomes was found to be dose dependent, suggesting uptake

by the MPS. In a colon carcinoma model, i.v. administered PEGylated liposomes accumulated to a greater extent (2.3-fold) in the tumor compared to conventional liposomes and it was established that the liposomes were capable of extravasating, thereby entering the tumor interstitium. Finally, the therapeutic index of a CTX (epirubicin) was increased when encapsulated into PEGylated liposomes and used to treat tumorchallenged mice (colon carcinoma C-26).<sup>14</sup> In a separate study, non-PEGylated versus PEGylated liposomes were compared for organ uptake rate in non-tumor-challenged mice and it was shown that the former when ~150 nm in diameter were mostly (~50% of injected dose) present in the liver within 6 h while <20% remained in circulation.<sup>23</sup> This was compared to PEGylated liposomes of a similar size which, after 6 h, were still found in circulation in large quantities (>40% of injected dose) while <20% were present in the liver and <5% in the spleen. In the same study, in vivo fluorescence microscopy was used to assess the ability of PEGylated liposomes of various sizes (63, 133, 198, and 388 nm) to passively target tumors (C-1300 neuroblastoma in mice).<sup>23</sup> A greater percentage (40-45%) of the injected dose of 133 and 198 nm liposomes remained in the circulation (at t = 6 h) compared to the 63 nm (25%) and 388 nm (25%) liposomes. The reason for the 388 nm liposomes not remaining in circulation to the same extent as those in the 100–200 nm range was primarily due to increased uptake of larger liposomes by the spleen. When fluorescently labeled PEGylated liposomes (size 126 ± 35 nm) were injected into tumorchallenged mice, fluorescence could be detected in the tumor vasculature on the surface of the tumor within 30 min of administration, while interstitial patches of fluorescence were detectable within 6 h. The location of the fluorescence indicated that the liposomes had extravasated to some degree. When liposomes were  $402 \pm 48$  nm, extravasation was not detected. Similar findings with respect to liposome size were reported by others using different tumor models and different liposomal formulations suggesting that such characteristics can possibly be ascribed to PEGylated liposomes in general.<sup>24,25</sup>

#### IV. PLGA

PLGA is a biodegradable synthetic copolymer that has long been approved for a range of medical applications by the U.S. FDA and that can be used to manufacture submicron carriers via a range of techniques.<sup>26</sup> The solvent extraction/evaporation method is most commonly used to prepare submicron particles due to ease of preparation, high reproducibility, and flexibility in being capable of loading hydrophobic or hydrophilic (e.g., doxorubicin) CTX using the singleor double-emulsion method, respectively. Due to their hydrophobic nature, uncoated PLGA carriers are rapidly cleared from the blood making surface modifications essential if longer circulating half-lives are desired. PLGA carriers can be readily modified with PEG, which reduces their surface negative charge as well as surface hydrophobicity. Once injected, i.v. unmodified PLGA carriers (~200 nm in diameter) have been shown to accumulate in various tissues (primarily in the liver > spleen > lungs), probably as a result of the MPS where they degrade at a more rapid rate than their in vitro counterparts.27,28 Although PEGylation of PLGA carriers can decrease clearance rates, these carriers still generally have shorter circulation half-lives than liposome formulations, suggesting that alternative/additional modifications of PLGA carriers are required.<sup>29</sup> One possible explanation for poorer circulation times is that many of the methods used to PEGylate PLGA carriers, such as through amino- or carboxyl-terminated PLGA, adsorption, or incorporation of PLGA polymer conjugates, lead to inadequate surface-coating density of PEG. It has been suggested that significant loss of PEG (or other surface-coated ligands) can occur due to the hydrolysis of PLGA.<sup>30</sup> Recently, coating carriers with biotinylated PEG, or other biotinylated ligands, via avidin has proven to be an efficient method of enhancing ligand density.<sup>31,32</sup> To explain, an avidin-lipid bioconjugate (e.g., avidin-linoleic acid or avidinpalmitic acid) was included in the PLGA carrier formulations. Due to the amphiphilic nature of the bioconjugate, avidin orients itself to the surface of the PLGA carrier and is therefore available for the binding of biotinylated ligands or biotinylated PEG. Treatment of tumorchallenged mice with

doxorubicin-loaded PLGA carriers that had been PEGylated using avidinpalmitic acid resulted in antitumor effects equivalent to free doxorubicin, but with significantly reduced cardiotoxicity.<sup>32</sup>

Surface modifications with heparin, chitosan, poloxamers, and albumin have also been attempted as alternatives to PEG. Specifically, human serum albumin has been proposed as a promising coating agent for many reasons, which include: it is highly abundant, weakly immunogenic, nontoxic, and biodegradable, and has been implicated in playing a role in active targeting through albumin receptors on tumor cells.33 Treatment of glioma with free doxorubicin is generally ineffective at least partially due to the impediment of the blood-brain barrier. Promising results in terms of crossing the bloodbrain barrier have been obtained using surfactantcoated nanocarriers of various CTX.34-37 Surfactant coating of submicron carriers has been shown to be important if effective delivery to the brain is to be achieved.<sup>38</sup> This is due to apolipoproteins (found in the plasma) adsorbing to the surfactant that then promote receptormediated endocytosis of the carriers by brain endothelial cells. One such surfactant is the block copolymer, poloxamer 188 (Pluronic F-68), which was used to coat doxorubicin-loaded PLGA submicron carriers and was shown to have enhanced antitumor activity over free doxorubicin and doxorubicin-loaded PLGA submicron carriers coated with polysorbate 80 (considered the gold standard surfactant for brain delivery).<sup>39</sup> Comparing a number of PLGA-based formulations in a rat glioblastoma model, it was shown that PLGA carriers incorporating lecithin in their core and encapsulating doxorubicin stabilized with human serum albumin and then coated postlyophilization with poloxamer 188 had greater antitumor activity than PLGA carriers that contained no lecithin.<sup>40</sup> It was proposed that the presence of lecithin may have enhanced the efficiency of coating by the poloxamer. Surprisingly, the most effective formulation also had the largest size (468 nm: PDI 0.4) compared to 250 and 380 nm for other formulations. This is an interesting and possibly important observation since based on studies with liposomes (see above), carriers of this size might have been assumed to be ineffective due to

splenic-mediated depletion. Human serum albumin was chosen as a stabilizer over the more commonly used polyvinylalcohol because polyvinylalcohol may be unsafe for parenteral applications.<sup>40</sup> However, the use of human serum albumin resulted in significantly larger PLGA carrier size (>400 nm) compared to when polyvinylalcohol was used (200 nm).<sup>39</sup>

In one study comparing Taxol (a currently U.S. FDA approved formulation of the CTX paclitaxel) with PLGA carriers encapsulating paclitaxel, it was shown that the latter had greater in vivo antitumor activity in a murine liver tumor model.<sup>41</sup> These PLGA carriers (112 nm in diameter and a neutral surface charge) were made using a nanoprecipitation method and included in the formulation were PLGA, PLGA-PEG, and the biodegradable diblock copolymer PCLPEG. The nanoprecipitation method used for encapsulating PTX was shown to be better than the single-emulsion method in terms of loading, encapsulation efficiency, and percent recovery of paclitaxel. Thus, PLGA carriers offer a potential alternative to Taxol, which suffers from the disadvantage of having Cremophor EL as part of its formulation (to enhance paclitaxel solubility), which can cause a range of deleterious side effects.<sup>42</sup>

## V. ACTIVE TUMOR TARGETING OF LIPOSOMES AND PLGA-BASED CARRIERS

Endothelial cells in tumors overexpress a range of cell surface molecules responsible for migration, adhesion, proliferation, or survival and these include alphaVbeta3 (possibly the most intensively researched), alphaVbeta5, alpha5beta1, E-selectin, endoglin, endosialin, and vascular endothelial growth factor receptor.<sup>43,44</sup> Active targeting of tumor vasculature is feasible through the differential expression of these and other cell surface markers that are either not expressed or expressed to a lesser extent on quiescent vasculature. Active targeting would include targeting to sites of angiogenesis where CTX may be able to generate an antiangiogenic effect by destroying the proliferating endothelial cells, thereby causing tumor cell death indirectly since malignant tumors are dependent on angiogenesis for growth and metastases.<sup>45</sup> Targeting of tumor vasculature offers a number of advantages over directly targeting the tumor cells, including (i) ease of access-endothelial cells are readily "seen" by targeting particles and do not need to pass into the tumor interstitium to have an antitumor effect; (ii) attacking the tumor endothelia reduces the possibility of acquired drug resistance; (iii) endothelial cells have a more predictable pattern of homogeneous expression of surface proteins and are less likely to be subject to antigenic mutations often associated with tumor cells, thereby rendering them as less evasive targets. Particles coated with peptides or antibodies specific for these markers have been shown to improve tumor targeting of lipid-based and PLGA-based submicron carriers of CTX. For instance, the peptides NGR (Asn-Gly-Arg) and CDC<u>RGD</u>CFC (RGD-4C) have been shown to target tumor vasculature in vivo through the binding of CD13 (aminopeptidase N) and alphaV integrin, respectively.46-49

#### A. Liposomes

In one study, PEGylated doxorubicin-liposomes coated with the targeting NGR peptide were used to treat SCID (severe combined immunodeficient) mice implanted with an orthotopic neuroblastoma xenograft.<sup>50</sup> Targeted liposomes accumulated within tumors tenfold more than non-targeted liposomes at 24 h post-administration. In addition, it was shown that administration of these targeted liposomes carrying doxorubicin (3 mg/kg doses of doxorubicin) i.v. to tumorchallenged mice resulted in substantial tumor regressions and significantly extended survival times compared to mice treated with non-targeted liposomes that had little antitumor effect. The addition of the targeting peptide made no difference to the degree of accumulation of liposomes in the liver but, inexplicably, resulted in a tenfold to 20-fold increase in the spleen, although no cytotoxicity within the spleen was observed. In a separate study, using a syngeneic murine orthotopic tumor model of pancreatic carcinoma, where PEGylated liposomes (120 nm in diameter) were functionalized with RGD-4C, it was shown that these liposomes localized to

the vasculature of the tumor but not to the adjacent healthy pancreatic tissue.<sup>51</sup> Encouragingly, there was also very little or no detectable accumulation of these targeted liposomes to other tissues such as the heart, liver, spleen, and brain. When treated therapeutically with 1 mg/kg doxorubicin, only actively targeting liposomes possessed detectable antitumor activity compared to free doxorubicin and doxorubicin encapsulated in non-targeting liposomes. Immunohistochemical analysis revealed that sites of necrosis coincided with sites of alphaVbeta3 expression. It was also noted that metastases were more vulnerable to treatment than the primary tumor, possibly because of there being more neovascularization in the metastases.

#### **B. PLGA-Based Carriers**

It is reasonable to assume that, as observed in preclinical studies with liposomes (above), surface functionalization of PLGA-based carriers with targeting ligands for the tumor and/or the tumor vasculature will improve their therapeutic efficacy. The majority of studies demonstrating improved targeting of PLGA-based carriers to date have been performed in vitro using a range of targeting ligands to tumor or endothelial cells.<sup>52–55</sup> In vitro studies have shown that active targeting of PLGA-based carriers to specific cell types can be achieved by attaching monoclonal antibodies to preformed PLGA-based carriers. For example, cytokeratin-specific monoclonal antibodies specific for breast epithelial cells were adsorbed to the surface of PLGA-based carriers, which rendered them capable of being internalized by breast epithelial cells but not by a different cell type (colon).<sup>56</sup> Of particular interest, it was noted that uncoated particles were internalized by both cell types, thereby indicating that coating of particles with antibodies renders the particles less amenable to nonspecific uptake. Such a phenomenon may be explained by the monoclonal antibody creating a hydrophilic surface, thus repelling nonspecific uptake. Adsorption of monoclonal antibodies (or other targeting ligands) may not be as efficient as other methods of surface functionalization of PLGA particles, partly due to

potentially suboptimal surface coating and also due to the loss of bound antibody through hydrolysis of PLGA. As alluded to earlier, PLGA hydrolysis could also be problematic for antibody directly or indirectly attached to PLGA through covalent linkages such as N-ethyl-N'-(3-(dimethylamino)propyl)carbodiimide-activated carboxy-terminated PLGA polymers or through functionalized coblock polymers (e.g., functionalized PEGylated PLGA), but can be overcome through the use of amphiphilic ligand conjugates.<sup>30,31,57,58</sup>

There is a dearth of in vivo studies involving active tumor targeting of PLGA-based submicron carriers. However, of those that have been published there have been some promising outcomes with PLGA particles encapsulating either doxorubicin or paclitaxel, using aptamers, RGD, or folates as targeting ligands independently. The same group that demonstrated the improved antitumor efficacy of paclitaxel in PLGA particles (PTX-PLGA) over Taxol subsequently compared active versus passive targeting of PTX-PLGA in the same murine tumor model.<sup>59</sup> They used the tripeptide RGD as the targeting ligand, which is capable of binding alphaVbeta3, an integrin expressed by active endothelial cells as well as tumor cells.<sup>60</sup> RGD was grafted onto PLGA particles during their manufacture using PCL-PEG-RGD. It was shown that the RGD-functionalized PTX-PLGA targeted tumor endothelium more precisely and displayed significantly more antitumor activity than non-functionalized PTX-PLGA. In a separate study, PEGylated PLGA particles (156 nm diameter; zeta potential = -32.9) loaded with PTX were coated [using N-ethyl-N'-(3-(dimethylamino)propyl)carbodiimide/N-hydroxysuccinimide chemistry] with an aptamer (AS1411) specific for nucleolin, a cell surface protein that is expressed by angiogenic endothelial cells as well as tumor cells.<sup>61</sup> These particles displayed significantly more tumor activity and resulted in prolonged survival of mice challenged with C6 glioma xenografts compared to Taxol or particles not coated with aptamer.

#### **VI. CONCLUSIONS**

For more than three decades, the field of nanomedicine in general is one that has had the scientific community buzzing with excitement at its potential in terms of therapeutic applications; however, the translation from preclinical studies, which have been plentiful and promising, to clinical success and approval for medical practice has been slow and infrequent.<sup>62</sup> There are many factors that may individually or cumulatively explain this slow progress, which include safety concerns, U.S. FDA regulations, prohibitive resources required to instigate clinical trials, patient variability and compliance, and the multiple manufacturing steps often required.<sup>63</sup>

Nevertheless, if cancer patients are to experience greater quality of treatment both in terms of enhanced antitumor efficacy as well as reduced off-target toxicities, then advances in CTX delivery need to be made. Both liposomes and PLGA-based submicron carriers have been intensively researched as vectors for CTX, to an extent that their advantages and disadvantages have been well characterized (Table 1). To date, only a few liposomal formulations (Doxil, Myocet, DaunoXome), and as yet no PLGA-based formulations, carrying CTX are available to cancer patients and they all rely on passive tumor targeting. It is evident from preclinical studies that improvements in tumor therapy are likely to be made using submicron carriers of CTX that are actively, rather than passively, targeting the tumor (the neovasculature of the tumor in particular), and there are concerted efforts by researchers to use materials that have already been approved as safe by the U.S. FDA or are likely to be so in the future. The promising results with PLGA-based submicron carriers showing delivery of CTX across the blood-brain barrier herald a promising noninvasive therapy for glioma patients. Further in vivo studies of actively tumor targeting carriers need to be performed to optimize therapeutic approaches and side-by-side comparisons of liposomes versus PLGA-based submicron carriers would be edifying since there is currently a paucity of such studies.

Liposomes		PLGA-based carriers	
Advantages	Disadvantages	Advantages	Disadvantages
Size and charge readily modified	Labile (storage)	Tunable CTX release kinetics <sup>64</sup>	Subject to undesired burst release <sup>65</sup>
Carry hydrophobic and hydrophilic drugs	Vulnerable to complement- mediated lysis <sup>66</sup>	Carry hydrophobic and hydrophilic drugs	Circulation half-life generally shorter than liposomes <sup>29</sup>
Nontoxic	Multiple manufacturing steps	Nontoxic	Poor loading (1%) often observed despite high encapsulation efficiencies <sup>67</sup>
Weakly immunogenic	High production cost	Weakly immunogenic68	Relatively high polydispersity indices
Biodegradable		Biodegradable	Upscaling manufacture may be problematic
Readily surface functionalized		Readily surface functionalized	
		Potential to avoid multidrug resistance <sup>69,70</sup>	
		Drug delivery to cytosol (via endocytic pathway) <sup>71</sup>	

**TABLE 1:** Advantages and disadvantages of chemotherapeutic drug (CTX)-loaded liposomes and poly(lactic-co-glycolic) acid (PLGA)-based submicron carriers

## ACKNOWLEDGMENTS

We gratefully acknowledge support from the Lyle and Sharon Bighley Professorship, the American Cancer Society (Grant No. RSG-09-015-01-CDD), and the National Cancer Institute at the National Institutes of Health (Grant No. 1R21CA13345-01/UI Mayo Clinic Lymphoma SPORE/P50CA09727411).

# REFERENCES

- 1. Ribatti D, Nico B, Crivellato E, Vacca A. The structure of the vascular network of tumors. Cancer Lett. 2007;248:18–23.
- 2. Jain RK. Transport of molecules across tumor vasculature. Cancer Metast Rev. 1987;6:559–93.
- 3. Jain RK. Transport of molecules in the tumor interstitium: a review. Cancer Res. 1987;47:3039-51.

- 4. Matsumura Y, Maeda H. A new concept for macromolecular therapeutics in cancer chemotherapy: mechanism of tumoritropic accumulation of proteins and the antitumor agent smancs. Cancer Res. 1986;46:6387–92.
- 5. Panyam J, Labhasetwar V. Biodegradable nanoparticles for drug and gene delivery to cells and tissue. Adv Drug Deliv Rev. 2003;55:329-47.
- 6. Moghimi SM, Hunter AC, Murray JC. Long-circulating and target-specific nanoparticles: theory to practice. Pharmacol Rev. 2001;53:283–318.
- Davis ME, Chen ZG, Shin DM. Nanoparticle therapeutics: an emerging treatment modality for cancer. Nat Rev Drug Discov. 2008;7:771–82.
- 8. Choi HS, Liu W, Misra P, Tanaka E, Zimmer JP, Itty Ipe B, Bawendi MG, Grangioni JV. Renal clearance of quantum dots. Nat Biotechnol. 2007;25:1165–70.

- Woodle MC, Matthay KK, Newman MS, Hidayat JE, Collins LR, Redemann C, Martin FJ, Papahadjopoulos D. Versatility in lipid compositions showing prolonged circulation with sterically stabilized liposomes. Biochim Biophys Acta. 1992;1105:193–200.
- Klibanov AL, Maruyama K, Torchilin VP, Huang L. Amphipathic polyethyleneglycols effectively prolong the circulation time of liposomes. FEBS Lett. 1990;268:235–7.
- 11. Needham D, McIntosh TJ, Lasic DD. Repulsive interactions and mechanical stability of polymer-grafted lipid membranes. Biochim Biophys Acta. 1992;1108:40–8.
- 12. Senior JH. Fate and behavior of liposomes *in vivo*: a review of controlling factors. Crit Rev Ther Drug Carrier Syst. 1987;3:123–93.
- Bangham AD, Standish MM, Watkins JC. Diffusion of univalent ions across the lamellae of swollen phospholipids. J Mol Biol. 1965;13:238–52.
- Papahadjopoulos D, Allen TM, Gabizon A, Mayhew E, Matthay K, Huang SK, Lee KD, Woodle MC, Lasic DD, Redemann C, *et al.* Sterically stabilized liposomes: improvements in pharmacokinetics and antitumor therapeutic efficacy. Proc Natl Acad Sci U S A. 1991;88:11460–4.
- 15. Poste G, Bucana C, Raz A, Bugelski P, Kirsh R, Fidler IJ. Analysis of the fate of systemically administered liposomes and implications for their use in drug delivery. Cancer Res. 1982;42:1412–22.
- Senior J, Gregoriadis G. Stability of small unilamellar liposomes in serum and clearance from the circulation: the effect of the phospholipid and cholesterol components. Life Sci. 1982;30:2123–36.
- 17. Gabizon A, Papahadjopoulos D. Liposome formulations with prolonged circulation time in blood and enhanced uptake by tumors. Proc Natl Acad Sci U S A. 1988;85:6949–53.
- 18. Damen J, Regts J, Scherphof G. Transfer and exchange of phospholipid between small

unilamellar liposomes and rat plasma high density lipoproteins. Dependence on cholesterol content and phospholipid composition. Biochim Biophys Acta. 1981;665:538–45.

- 19. Allen TM, Hansen C, Martin F, Redemann C, Yau-Young A. Liposomes containing synthetic lipid derivatives of poly(ethylene glycol) show prolonged circulation half-lives *in vivo*. Biochim Biophys Acta. 1991;1066:29–36.
- Markman M, Gordon AN, McGuire WP, Muggia FM. Liposomal anthracycline treatment for ovarian cancer. Semin Oncol. 2004;31:91–105.
- 21. Krown SE, Northfelt DW, Osoba D, Stewart JS. Use of liposomal anthracyclines in Kaposi's sarcoma. Semin Oncol. 2004;31:36–52.
- 22. Alberts DS, Muggia FM, Carmichael J, Winer EP, Jahanzeb M, Venook AP, Skubitz KM, Rivera E, Sparano JA, DiBella NJ, Stewart SJ, Kavanagh JJ, Gabizon AA. Efficacy and safety of liposomal anthracyclines in phase I/II clinical trials. Semin Oncol. 2004;31:53–90.
- 23. Unezaki S, Maruyama K, Hosoda J-I, Nagae I, Koyanagi Y, Nakata M, Ishida O, Iwatsuru M, Tsuchiya S. Direct measurement of the extravasation of polyethyleneglycol-coated liposomes into solid tumor tissue by *in vivo* fluorescence microscopy. Int J Pharm. 1996;144:11–7.
- 24. Ahl PL, Bhatia SK, Meers P, Roberts P, Stevens R, Dause R, Perkins WR, Janoff AS. Enhancement of the *in vivo* circulation lifetime of L-alpha-distearoylphosphatidylcholine liposomes: importance of liposomal aggregation versus complement opsonization. Biochim Biophys Acta. 1997;1329:370–82.
- 25. Liu D, Mori A, Huang L. Role of liposome size and RES blockade in controlling biodistribution and tumor uptake of GM1containing liposomes. Biochim Biophys Acta. 1992;1104:95–101.
- Jain RA. The manufacturing techniques of various drug loaded biodegradable poly(lactideco-glycolide) (PLGA) devices. Biomaterials. 2000;21:2475–90.

- 27. Mohammad AK, Reineke JJ. Quantitative detection of PLGA nanoparticle degradation in tissues following intravenous administration. Mol Pharm. 2013;10:2183–9.
- Li SD, Huang L. Pharmacokinetics and biodistribution of nanoparticles. Mol Pharm. 2008;5:496–504.
- 29. Li Y, Pei Y, Zhang X, Gu Z, Zhou Z, Yuan W, Zhou J, Zhu J, Gao X. PEGylated PLGA nanoparticles as protein carriers: synthesis, preparation and biodistribution in rats. J Control Release. 2001;71:203–11.
- Beletsi A, Panagi Z, Avgoustakis K. Biodistribution properties of nanoparticles based on mixtures of PLGA with PLGA-PEG diblock copolymers. Int J Pharm. 2005;298:233-41.
- Park J, Mattessich T, Jay SM, Agawu A, Saltzman WM, Fahmy TM. Enhancement of surface ligand display on PLGA nanoparticles with amphiphilic ligand conjugates. J Control Release. 2011;156:109–15.
- Park J, Fong PM, Lu J, Russell KS, Booth CJ, Saltzman WM, Fahmy TM. PEGylated PLGA nanoparticles for the improved delivery of doxorubicin. Nanomedicine. 2009;5:410–8.
- 33. Taheri A, Dinarvand R, Atyabi F, Nouri F, Ahadi F, Ghahremani MH, Ostad SN, Borougeni AT, Mansoori P. Targeted delivery of methotrexate to tumor cells using biotin functionalized methotrexate-human serum albumin conjugated nanoparticles. J Biomed Nanotechnol. 2011;7:743–53.
- Kreuter J. Nanoparticulate systems for brain delivery of drugs. Adv Drug Deliv Rev. 2001;47:65-81.
- 35. Calvo P, Gouritin B, Chacun H, Desmaele D, D'Angelo J, Noel JP, Georgin D, Fattal E, Andreux JP, Couvreur P. Long-circulating PEGylated polycyanoacrylate nanoparticles as new drug carrier for brain delivery. Pharm Res. 2001;18:1157–66.
- 36. Michaelis K, Hoffmann MM, Dreis S, Herbert E, Alyautdin RN, Michaelis M, Kreuter J,

Langer K. Covalent linkage of apolipoprotein e to albumin nanoparticles strongly enhances drug transport into the brain. J Pharmacol Exp Ther. 2006;317:1246–53.

- 37. Goppert TM, Muller RH. Polysorbatestabilized solid lipid nanoparticles as colloidal carriers for intravenous targeting of drugs to the brain: comparison of plasma protein adsorption patterns. J Drug Target. 2005;13:179–87.
- 38. Kreuter J, Ramge P, Petrov V, Hamm S, Gelperina SE, Engelhardt B, Alyautdin R, von Briesen H, Begley DJ. Direct evidence that polysorbate-80-coated poly(butylcyanoacrylate) nanoparticles deliver drugs to the CNS via specific mechanisms requiring prior binding of drug to the nanoparticles. Pharm Res. 2003;20:409–16.
- 39. Gelperina S, Maksimenko O, Khalansky A, Vanchugova L, Shipulo E, Abbasova K, Berdiev R, Wohlfart S, Chepurnova N, Kreuter J. Drug delivery to the brain using surfactant-coated poly(lactide-co-glycolide) nanoparticles: influence of the formulation parameters. Eur J Pharm Biopharm. 2010;74:157–63.
- 40. Wohlfart S, Khalansky AS, Gelperina S, Maksimenko O, Bernreuther C, Glatzel M, Kreuter J. Efficient chemotherapy of rat glioblastoma using doxorubicin-loaded PLGA nanoparticles with different stabilizers. PLoS One. 2011;6:e19121.
- Danhier F, Lecouturier N, Vroman B, Jérôme C, Marchand-Brynaert J, Feron O, Préat V. Paclitaxel-loaded PEGylated PLGA-based nanoparticles: *in vitro* and *in vivo* evaluation. J Control Release. 2009;133:11–7.
- Weiss RB, Donehower RC, Wiernik PH, Ohnuma T, Gralla RJ, Trump DL, Baker JR Jr, Van Echo DA, Von Hoff DD, Leyland-Jones B. Hypersensitivity reactions from taxol. J Clin Oncol. 1990;8:1263–8.
- 43. Brooks PC, Montgomery AM, Rosenfeld M, Reisfeld RA, Hu T, Klier G, Cheresh DA. Integrin alpha v beta 3 antagonists promote tumor regression by inducing

apoptosis of angiogenic blood vessels. Cell. 53. 1994;79:1157–64.

- 44. Kim S, Bell K, Mousa SA, Varner JA. Regulation of angiogenesis *in vivo* by ligation of integrin alpha5beta1 with the central cellbinding domain of fibronectin. Am J Pathol. 2000;156:1345–62.
- 45. Folkman J. Angiogenesis in cancer, vascular, rheumatoid and other disease. Nat Med. 1995;1:27–31.
- Pasqualini R, Koivunen E, Ruoslahti E. Alpha v integrins as receptors for tumor targeting by circulating ligands. Nat Biotechnol. 1997;15:542–6.
- 47. Arap W, Pasqualini R, Ruoslahti E. Cancer treatment by targeted drug delivery to tumor vasculature in a mouse model. Science. 1998;279:377–80.
- Pasqualini R, Koivunen E, Kain R, Lahdenranta J, Sakamoto M, Stryhn A, Ashmun RA, Shapiro LH, Arap W, Ruoslahti E. Aminopeptidase N is a receptor for tumor-homing peptides and a target for inhibiting angiogenesis. Cancer Res. 2000;60:722–7.
- 49. Pasqualini R, Koivunen E, Ruoslahti E. A peptide isolated from phage display libraries is a structural and functional mimic of an RGD-binding site on integrins. J Cell Biol. 1995;130:1189–96.
- Pastorino F, Brignole C, Marimpietri D, Cilli M, Gambini C, Ribatti D, Longhi R, Allen TM, Corti A, Ponzoni M. Vascular damage and antiangiogenic effects of tumor vessel-targeted liposomal chemotherapy. Cancer Res. 2003;63:7400–9.
- 51. Murphy EA, Majeti BK, Barnes LA, Makale M, Weis SM, Lutu-Fuga K, Wrasidlo W, Cheresh DA. Nanoparticle-mediated drug delivery to tumor vasculature suppresses metastasis. Proc Natl Acad Sci U S A. 2008;105:9343–8.
- 52. Chittasupho C, Xie SX, Baoum A, Yakovleva T, Siahaan TJ, Berkland CJ. ICAM-1 targeting of doxorubicin-loaded PLGA nanoparticles to lung epithelial cells. Eur J Pharm Sci. 2009;37:141–50.

- Zhang Z, Huey Lee S, Feng SS. Folatedecorated poly(lactide-co-glycolide)-vitamin E TPGS nanoparticles for targeted drug delivery. Biomaterials. 2007;28:1889-99.
- 54. Dhar S, Gu FX, Langer R, Farokhzad OC, Lippard SJ. Targeted delivery of cisplatin to prostate cancer cells by aptamer functionalized Pt(IV) prodrug-PLGA-PEG nanoparticles. Proc Natl Acad Sci U S A. 2008;105:17356–61.
- 55. Wang Z, Chui WK, Ho PC. Design of a multifunctional PLGA nanoparticulate drug delivery system: evaluation of its physicochemical properties and anticancer activity to malignant cancer cells. Pharm Res. 2009;26:1162–71.
- 56. Kocbek P, Obermajer N, Cegnar M, Kos J, Kristl J. Targeting cancer cells using PLGA nanoparticles surface modified with monoclonal antibody. J Control Release. 2007;120:18-26.
- 57. Zhao H, Yung LY. Selectivity of folate conjugated polymer micelles against different tumor cells. Int J Pharm. 2008;349:256–68.
- Cheng J, Teply BA, Sherifi I, Sung J, Luther G, Gu FX, Levy-Nissenbaum E, Radovic-Moreno AF, Langer R, Farokhzad OC. Formulation of functionalized PLGA-PEG nanoparticles for *in vivo* targeted drug delivery. Biomaterials. 2007;28:869–76.
- 59. Danhier F, Vroman B, Lecouturier N, Crokart N, Pourcelle V, Freichels H, Jérôme C, Marchand-Brynaert J, Feron O, Préat V. Targeting of tumor endothelium by RGD-grafted PLGA-nanoparticles loaded with paclitaxel. J Control Release. 2009;140:166-73.
- 60. Desgrosellier JS, Cheresh DA. Integrins in cancer: biological implications and therapeutic opportunities. Nat Rev Cancer. 2010;10:9-22.
- Guo J, Gao X, Su L, Xia H, Gu G, Pang Z, Jiang X, Yao L, Chen J, Chen H. Aptamerfunctionalized PEG-PLGA nanoparticles for enhanced anti-glioma drug delivery. Biomaterials. 2011;32:8010–20.

- 62. Venditto VJ, Szoka FC, Jr. Cancer nanomedicines: so many papers and so few drugs! Adv Drug Deliv Rev. 2013;65:80–8.
- 63. Duncan R, Gaspar R. Nanomedicine(s) under the microscope. Mol Pharm. 2011;8:2101–41.
- 64. Shive MS, Anderson JM. Biodegradation and biocompatibility of PLA and PLGA microspheres. Adv Drug Deliv Rev. 1997;28:5–24.
- 65. Kumari A, Yadav SK, Yadav SC. Biodegradable polymeric nanoparticles based drug delivery systems. Colloids Surf B Biointerfaces. 2010;75:1–18.
- 66. Liu S, Ishida T, Kiwada H. Characterization of bovine serum factor triggering the lysis of liposomes via complement activation. Biol Pharm Bull. 1998;21:390–7.
- 67. Danhier F, Ansorena E, Silva JM, Coco R, Le Breton A, Preat V. PLGA-based nanoparticles: an overview of biomedical applications. J Control Release. 2012;161:505–22.

- 68. Zhang XQ, Dahle CE, Baman NK, Rich N, Weiner GJ, Salem AK. Potent antigenspecific immune responses stimulated by codelivery of CpG ODN and antigens in degradable microparticles. J Immunother. 2007;30:469–78.
- 69. Shah N, Chaudhari K, Dantuluri P, Murthy RS, Das S. Paclitaxel-loaded PLGA nanoparticles surface modified with transferrin and Pluronic((R))P85, an *in vitro* cell line and *in vivo* biodistribution studies on rat model. J Drug Target. 2009;17:533–42.
- Song XR, Cai Z, Zheng Y, He G, Cui FY, Gong DQ, Hou SX, Xiong SJ, Lei XJ, Wei YQ. Reversion of multidrug resistance by co-encapsulation of vincristine and verapamil in PLGA nanoparticles. Eur J Pharm Sci. 2009;37:300–5.
- 71. Vasir JK, Labhasetwar V. Biodegradable nanoparticles for cytosolic delivery of therapeutics. Adv Drug Deliv Rev. 2007;59:718–28.