

Protective Role of the Capsule and Impact of Serotype 4 Switching on *Streptococcus mitis*

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The polysaccharide capsule surrounding *Streptococcus pneumoniae* is essential for virulence. Recently, *Streptococcus mitis*, a human commensal and a close relative of *S. pneumoniae*, was also shown to have a capsule. In this study, the *S. mitis* type strain switched capsule by acquisition of the serotype 4 capsule locus of *S. pneumoniae* TIGR4, following induction of competence for natural transformation. Comparison of the wild type with the capsule-switching mutant and with a capsule deletion mutant showed that the capsule protected *S. mitis* against phagocytosis by RAW 264.7 macrophages. This effect was enhanced in the *S. mitis* strain expressing the *S. pneumoniae* capsule, which showed, in addition, increased resistance against early clearance in a mouse model of lung infection. Expression of both capsules also favored survival in human blood, and the effect was again more pronounced for the capsule-switching mutant. *S. mitis* survival in horse blood or in a mouse model of bacteremia was not significantly different between the wild type and the mutant strains. In all models, *S. pneumoniae* TIGR4 showed higher rates of survival than the *S. mitis* type strain or the capsule-switching mutant, except in the lung model, in which significant differences between *S. pneumoniae* TIGR4 and the capsule-switching mutant were not observed. Thus, we identified conditions that showed a protective function for the capsule in *S. mitis*. Under such conditions, *S. mitis* resistance to clearance could be enhanced by capsule switching to serotype 4, but it was enhanced to levels lower than those for the virulent strain *S. pneumoniae* TIGR4.

Eighty-five years have passed since Griffith's publication of the "transforming principle" (1). In his studies, *Streptococcus pneumoniae* underwent *in vivo* transformation, changing from an avirulent strain devoid of a capsule to a virulent encapsulated strain (1). More than 90 *S. pneumoniae* capsular serotypes have now been identified, and most of these are encoded by a single genetic locus varying from 10 kb to 30 kb in length (2). Serotype variants of single clones are not uncommon and are thought to arise from natural transformation events. In such variants, the acquisition of DNA segments of more than 39 kb involving the capsule locus region has been reported (3–5).

A close relative of *S. pneumoniae* is *Streptococcus mitis*, a predominantly commensal that colonizes various oral niches, including the teeth, tongue, cheeks, subgingival sites, and tonsils, as well as the nasopharynx (6), where it interacts with several other bacteria (7). The common presence of *S. mitis* in bronchoalveolar lavage fluid (BALF) indicates that *S. mitis* is, in addition, an important component of the human lung microbiome (8). *S. pneumoniae* is also a nasopharyngeal commensal but, unlike *S. mitis*, has a high pathogenic potential and is responsible for an estimated 14.5 million infections and 1 million deaths in children under 5 years of age annually (9). Most of the deaths caused by *S. pneumoniae* are a result of pneumonia, bacteremia, or meningitis, and in virtually all cases, these diseases are caused by encapsulated strains. In contrast, *S. mitis* is only occasionally associated with diseases (10–13). Its potential as a probiotic microorganism has been previously reported (14), but concerns about its pathogenic potential have been raised (15). Despite the ubiquitous prevalence of *S. mitis* as a human colonizer, few studies have addressed the molecular mechanisms involved in *S. mitis* commensalism or pathogenicity (12).

The *S. pneumoniae* capsule plays a significant role in colonization and invasive infections by preventing both phagocytosis and entrapment in the respiratory tract mucus (16–18). Recently, capsule loci with sequences indicative of different capsule types were identified in strains of *S. mitis* (19–21). Such variation in capsule types may result from adaptations to immune responses and other selective environmental pressures, as suggested for *S. pneumoniae* (22). In *S. pneumoniae*, variations in pathogenic potential are observed among different serotypes. Some capsule types, independently of their genetic background, are thought to provide higher resistance to phagocytosis (23) or may be expressed at levels that affect the accessibility of surface proteins, therefore affecting the ability of *S. pneumoniae* to colonize and cause disease (24). In *S. mitis*, the role of the capsule remains mostly unknown, except for its negative effect on biofilm formation, demonstrated *in vitro* (20).

In *S. pneumoniae* and *S. mitis*, the capsule locus is flanked by the *dexB* and *aliA* genes. In addition, the *cpsABCDE* genes in the 5' end of the capsule locus are conserved in both species (20). This

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TABLE 1 Strains used in this study

Strain	Description	Source ^a
<i>S. mitis</i>		
CCUG31611 ^T	Wild-type <i>S. mitis</i> biovar 1 type strain, which corresponds to NCTC 12261; encapsulated, transformable strain; sequenced genome; Km ^s Erm ^s	CCUG
MI016 Δ <i>cps</i>	CCUG31611 ^T <i>cps::km</i> Km ^r	20
TIGR4 <i>cps</i> (MI030)	CCUG31611 ^T Ω TIGR4 <i>cps</i> locus; Km ^r Erm ^r	This study
MI067	CCUG31611 ^T Ω TIGR4 <i>cps</i> locus; Km ^r Erm ^r ; similar to MI030, but from independent expts	
MI051 Δ <i>pabB</i>	CCUG31611 ^T <i>pabB::spec</i> Spec ^r	This study
SK575	CCUG62644; <i>cps</i> locus positive	
SK579	CCUG62641; <i>cps</i> locus positive	CCUG
SK597	CCUG55094; <i>cps</i> locus positive, <i>lytA</i> positive, <i>ply</i> positive	
<i>S. pneumoniae</i>		
TIGR4	Wild-type serotype 4, transformable strain; sequenced genome	45
TIGR4 Δ <i>cps</i> (SP011)	TIGR4 <i>cps::erm</i> Erm ^r	This study
TIGR4 Δ <i>cps</i> (FP23)	TIGR4 <i>cps::km</i> Km ^r	46
SP026.1	Insertion of kanamycin resistance cassette downstream of <i>dexB</i> ; Km ^r	This study
SP029.1	Insertion of erythromycin resistance cassette upstream of <i>aliA</i> ; Erm ^r	This study
SP033	SP029.1 transformed with DNA from SP026.1; Km ^r Erm ^r	This study
Δ <i>pabB</i>	TIGR4 <i>pabB::km</i> Km ^r	16
D39	Wild-type serotype 2, transformable strain; sequenced genome; NCTC 7466	NCTC
R36A	D39 derivative, highly passaged spontaneous unencapsulated strain of NCTC 10319	NCTC
Serotype 1	Corresponds to sequence type 306	Clinical isolate, Norwegian Institute of Public Health

^a CCUG, culture collection, University of Göteborg; NCTC, National Collection of Type Cultures.

raises the question of whether *S. mitis* and *S. pneumoniae* may exchange capsules. For *S. mitis*, acquisition of the *S. pneumoniae* capsule would have the potential to alter its virulence. In *S. pneumoniae*, intraspecies capsule switch has occasionally been observed both before and after the introduction of the 7-valent pneumococcal vaccine (3–5). This most often involves the exchange of long stretches of DNA, an expected finding, given the large sets of specific enzymes usually required for expression of different capsule structures. The role of *S. mitis* as a reservoir of genetic diversity for *S. pneumoniae*, including antibiotic resistance determinants, is well documented (25–27). It remains to be determined whether the capsule locus may also provide a pool of sequences that may be exchanged between *S. mitis* and *S. pneumoniae*. In clinical isolates, proper assessment is still limited by the high variability and relatively few sequenced genomes of *S. mitis* strains.

The first aim of the present study was to examine whether exposure to whole DNA from the virulent strain *S. pneumoniae* TIGR4 may lead to capsule switching in competent *S. mitis*. The second aim was to investigate the role of the native capsule or the capsule from *S. pneumoniae* in (i) *S. mitis* NCTC 12261^T resistance to phagocytosis *in vitro*, (ii) *S. mitis* NCTC 12261^T resistance to clearance in mouse models of early lung infection and bacteremia, and (iii) the ability of *S. mitis* NCTC 12261^T to grow *ex vivo* in blood. We found that the TIGR4 capsule locus could be taken up and could be expressed by the *S. mitis* type strain. Both the native capsule and the TIGR4 capsule in *S. mitis* provided resistance to phagocytosis *in vitro* and resistance to clearance in a mouse model of lung infection, with higher resistance levels being conferred by the TIGR4 capsule. In the bacteremia model, no significant differences were observed between the wild type and mutants. Survival in human whole blood was, however, favored by the TIGR4 cap-

sule and was significantly reduced or abolished in a capsule deletion mutant.

MATERIALS AND METHODS

Bacteria and media. All bacteria used and their characteristics are listed in Table 1. The bacteria were stored at –80°C in Todd-Hewitt broth (THB; Becton, Dickinson and Company, Le Pont de Claix, France) supplemented with 15% glycerol. Precultures used in the transformation experiments described below were made from overnight (ON) cultures incubated at 37°C in a 5% CO₂-supplemented atmosphere, diluted 1:25 in THB, and grown until the cultures reached an absorbance at 600 nm (optical density at 600 nm [OD₆₀₀]; Biophotometer; Eppendorf) of 0.3, before storage at –80°C in 15% glycerol. Frozen stocks used for bacterial growth in human serum, human and horse whole blood, and the mouse challenge experiments were made by growing the strains in THB (Becton, Dickinson and Company, Le Pont de Claix, France) supplemented with 5% yeast extract (Becton, Dickinson and Company, Le Pont de Claix, France) to an OD₆₀₀ of approximately 0.5. The medium used in the transformation assays of *S. mitis* and *S. pneumoniae* was THB without and with 0.2% yeast extract (Becton, Dickinson and Company), respectively. For growth on agar plates, blood agar base no. 2 (Oxoid, Hampshire, England) supplemented with 5% defibrinated sheep blood (TCS Biosciences Ltd., Buckingham, United Kingdom) was used. Incubation was performed at 37°C under 5% CO₂-supplemented atmospheric conditions ON. Selective levels of antibiotics were 500 µg ml⁻¹ kanamycin and 20 µg ml⁻¹ erythromycin.

Construction of mutants. The capsule locus deletion strategy for *S. pneumoniae* was performed as described previously for *S. mitis* (20) following a procedure adapted from Pearce et al. (28), using the PCR ligation mutagenesis strategy (29). Primer pairs are listed in Table 2 and were constructed on the basis of the genome sequences available for *S. pneumoniae* TIGR4 (GenBank accession number NC_003028.3) and *S. mitis* NCTC 12261^T (GenBank accession number NZ_AEDX00000000.1). The PCR ligation mutagenesis strategy was also used to investigate the possibility of capsule switching in competent *S. mitis* (Fig. 1). Primer pairs

TABLE 2 Primers used in the study

Primer	Sequence ^a	Purpose	
FP415	<u>CGTGAGGCTCTTGAAAAAGG</u>	<i>S. pneumoniae</i> SP011 Δ <i>cps</i> construction	
FP416	<u>AGGCGCGCCGTGAGGCTGACTAAGGAC</u>		
FP417	<u>AGGCCGGCCAGGAGGAGCTCCATGAATGA</u>	SP026.1 construction	
FP418	<u>GCTTTGGCAAAATCATCTCC</u>		
FP585	<u>GACCAAGAATACCGCGAAAA</u>		
FP586	<u>AGGCGCGCCTCTGTCTTTTATACAGTCCT</u>		
FP587	<u>AGGCCGGCCCTTCATTCTTGAAATCAATTAAC</u>		
FP588	<u>TTTATCGTGGACGTGGTCAA</u>		
FP593	<u>CATGAACGTTTGGGATTGAA</u>		SP029.1 construction
FP594	<u>AGGCGCGCCCGCAAAAACAAGCCAAAAAT</u>		
FP595	<u>AGGCCGGCCGACGCGCTTACGATATTCAT</u>		
FP596	<u>GCTTTGGCAAAATCATCTCC</u>		Verification of capsule switch event in <i>S. mitis</i> TIGR4cps
FP617	<u>AGCCAGAACCATTGAGGTTG</u>		
FP618	<u>CCTGCCGCATATAAGATTG</u>		
FP623	<u>GTGGGAACACGTCCAGAGAT</u>		
FP624	<u>TTGTGCCAAGCTAACAGCAG</u>		
FP643	<u>GAAAAATGGTGGCACAATGC</u>		
FP644	<u>GACTGCCTTGTCTTGAAGTTCC</u>		
FP789	<u>CGCCAATTCTTTGAGAATCA</u>	Screening of region taken up by <i>S. mitis</i> MI030 and MI067	
FP790	<u>CTAGCACTGCAAGCGAATCA</u>		
FP795	<u>TAGTCTAAATTTTTTAAAAACAATG</u>		
FP796	<u>TGCCTGTTGTGGCATTTC</u>		
FP805	<u>AGGACCAAAAAGGAGACA</u>		
FP806	<u>TGAGCGAATCCTTGATAACA</u>		
FP803	<u>TGATTGCCATTAATGCTAG</u>		
FP804	<u>TTGCCCATGCCTTCTGGG</u>		
FP791	<u>GGTTAATCCAAATTACGAGATG</u>		
FP792	<u>CCAAGACCTGTTTTCAAGT</u>		
FP793	<u>CTCCAGAGATTGCCAAGTTC</u>		
FP794	<u>CCAAGACCTGTTTTCAAGT</u>		
FP787	<u>AATGATAACGCAGGCAAACC</u>		
FP788	<u>TGTTTCAGGAGCAGCAACAC</u>		
FP797	<u>ATATCCGTTTCACGCACCTC</u>		
FP798	<u>CAATGCTAACTGCACCGAAA</u>		
FP799	<u>GAGCTGTTGGGAATGGTGTT</u>		
FP800	<u>TGAATCCCTCGAAGAAATG</u>		
FP725	<u>AAGGCAACTGGAATCACAGC</u>	<i>S. mitis</i> MI051 Δ <i>pabB</i> construction	
FP726	<u>AGGCGCGCCTGTATCTCTCGCCCAAAGC</u>		
FP727	<u>AGGCCGGCCCTACACCCGCCTATCCGACT</u>		
FP728	<u>AAGACATGAGGTCCATCTGGT</u>	Amplification of the Km resistance cassette	
FP001	<u>AGGCGCGCCGTTGATTTTTAATG</u>		
FP068	<u>AGGCCGGCCCTAGGTACTAAAACAATTCACAGTA</u>		
FP015	<u>GGCGCGCCCGGGCCAAAATTTGTTTGAT</u>	Amplification of the Erm resistance cassette	
FP016	<u>GGCCGGCCAGTCGGCAGGACTCATAGAAT</u>		
FP676	<u>AGGCCGGCCGTAACGTGACTGGCAAGAGATATT</u>	Amplification of the Sp resistance cassette	
FP677	<u>AGGCCGGCCAAAATAAAAACAAAAAATT</u>		

^a Primers are listed 5' to 3'; restriction sites are underlined.

designed to insert the markers within the capsule flanking intergenic regions of *S. pneumoniae* were used. For the introduction of the kanamycin resistance marker upstream of the capsule locus, we used primer pairs FP585-FP586 and FP587-FP588, and for the introduction of the erythromycin resistance cassette downstream of the capsule locus, we used primer pairs FP593-FP594 and FP595-FP596. Ligation and purification of the PCR products were performed using T4 DNA ligase (Fermentas) and a PCR purification kit (Qiagen), respectively. Insertion of the antibiotic resistance markers in the capsule locus flanking regions followed two steps. The kanamycin or erythromycin resistance marker was first inserted into the wild type, creating mutants SP26.1 and SP29.1, respectively. This was followed by the second step, in which DNA from SP29.1 was used to transform the SP26.1 mutant. The resultant kanamycin and erythromycin

doubly resistant mutant, SP033, was used as the DNA donor in the capsule switch experiments.

For construction of the *S. mitis* Δ *pabB* mutant, the ligation mutagenesis strategy described above was used. The *pabB* flanking regions were amplified using primer pairs FP725-FP726 and FP727-FP728, and the spectinomycin resistance marker (a kind gift from D. Morrison) was amplified using primer pair FP676-FP677.

Transformation. Transformation of *S. pneumoniae* was done as described by Bricker and Camilli (30). Briefly we used 9 ml of THB supplemented with yeast extract, 1 ml 5% glycine (Calbiochem), and 50 μ l 1.0 M HCl (Sigma), to which 200 μ l of preculture was added. The bacteria were grown at 37°C in a 5% CO₂-supplemented atmosphere until the OD₆₀₀ reached 0.03. At this density, the following substrates were added to the

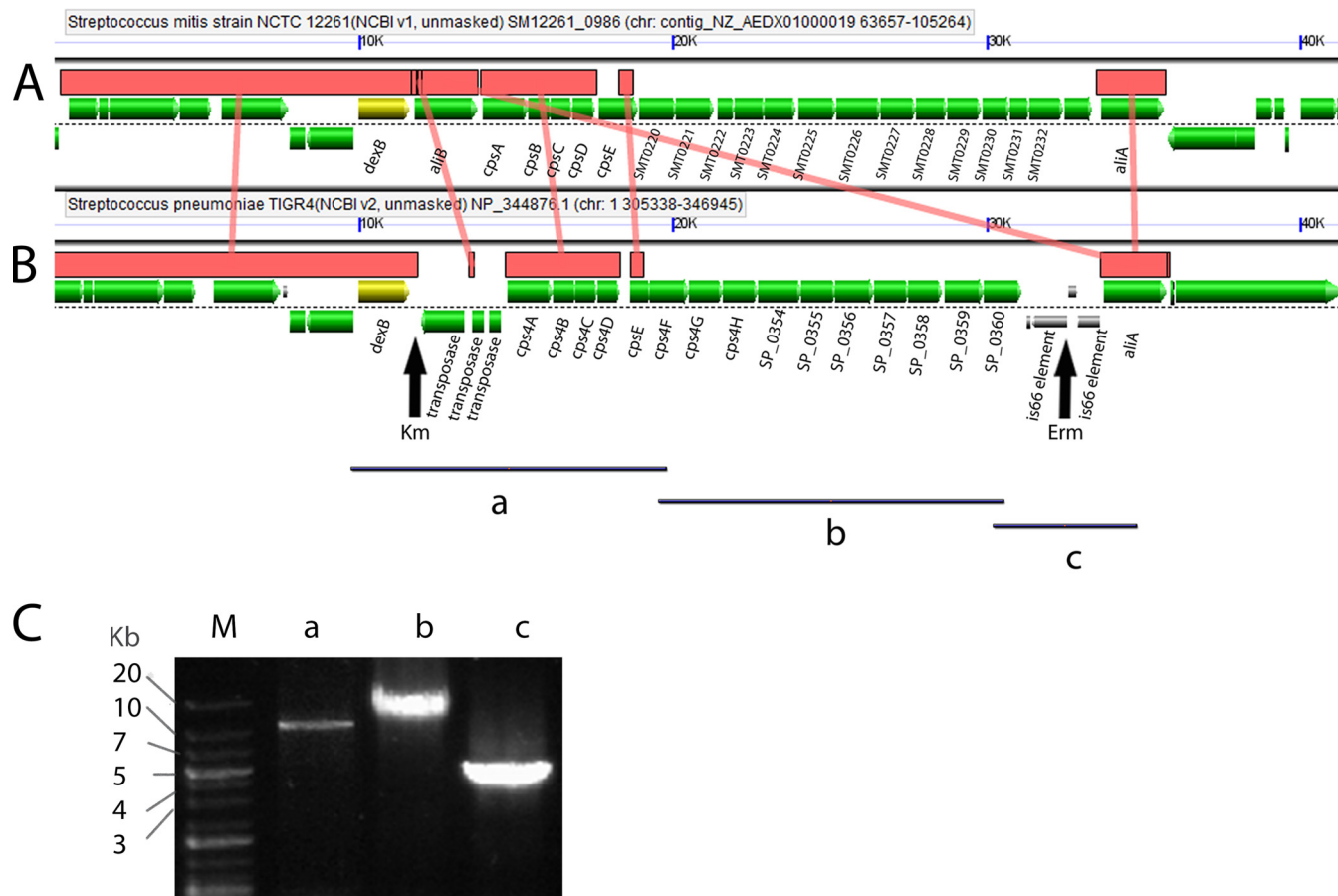


FIG 1 Schematic representation of the *S. mitis* and *S. pneumoniae* capsule locus and flanking regions. The interspecies homologous regions between *S. mitis* NCTC 12261^T (A) and *S. pneumoniae* TIGR4 (B) are shown as horizontal brown bars connected by vertical brown lines. The alignment and graphical representation were obtained using the GeVo program, available at <https://genomeevolution.org/CoGe/GEvo.pl>. The *dexB* gene, shown in yellow, was chosen as the reference gene for alignment. The vertical arrows show the sites of insertion of the erythromycin (Erm) and kanamycin (Km) resistance markers in *S. pneumoniae* TIGR4, used as the donor of the capsule locus. Black bars, the PCR-amplified regions used to confirm the capsule switch event leading to the insertion of the *S. pneumoniae* capsule locus into the *S. mitis* genome. The primer pair used for amplification of fragment a was FP643-FP618, that used for amplification of fragment b was FP617-FP624, and that used for amplification of fragment c was FP623-FP644. (C) Agarose gel electrophoresis imaging of amplicons a, b, and c. Lane M, DNA ladder molecular size marker.

cultures (final concentrations): 10 mM NaOH (Sigma), 0.02% bovine serum albumin (Sigma), 1 mM CaCl₂ (Merck), and 100 ng ml⁻¹ *S. pneumoniae* TIGR4 competence-stimulating peptide (CSP; EMRISRIILDFL LRKK; GenScript). Then, 1 ml of the mixtures was transferred to 15-ml Falcon tubes, and DNA was added. For ligation mutagenesis, 5 μl of the purified final product from the PCR was used.

Transformation of *S. mitis* was carried out as previously described, with slight modifications (5). Briefly, precultures of *S. mitis* were diluted 1:10 in TSB. Competence-stimulating peptide for *S. mitis* CCUG31611^T (EIRQTHNIFNFKRR; GenScript) was added at a final concentration of 125 nM. For the capsule switch experiments (Table 3), the bacteria were allowed to grow to an OD₆₀₀ of 0.03 before addition of chromosomal DNA at a concentration of 20 to 30 μg per ml culture and CSP at a final concentration of 250 nM. The cultures were incubated under aerobic conditions at 37°C for 4 h. Mutants were selected on blood agar plates supplemented with the appropriate antibiotics.

The correct insertion sites of the antibiotic resistance markers in the *S. pneumoniae* and *S. mitis* mutants were verified by PCR using primer pairs complementary to sequences within the antibiotic resistance genes combined with primers in regions up- or downstream from the insertion. To verify the capsule switch event in *S. mitis*, DNA from the *S. mitis* MI030 mutant was used in PCRs using primers amplifying overlapping se-

quences of the *S. pneumoniae* capsule locus. For this purpose, Accutag LA DNA polymerase (Sigma) was used according to the manufacturer's recommendations along with primer pairs FP643-FP618, FP617-FP624, and FP623-FP644, as illustrated in Fig. 1. To verify the extension of genetic exchange in the vicinity of the genes flanking *dexB* and *aliA*, primers were constructed to amplify genes upstream of *dexB* and genes downstream of *aliA* in *S. pneumoniae*. For the region upstream of and within *dexB*, we

TABLE 3 *S. mitis* transformation data for independent experiments^b

Expt no.	No. of CFU ml ⁻¹		
	Erm	Km	Erm + Km
1	ND	ND	3 ^a
2	90	146	117
3	1	1	0
4	3	4	3
5	ND	ND	1 ^a

^a Colonies selected for capsule switch characterization (*S. mitis* MI030 and MI067).

^b The recipient strain was *S. mitis* NCTC 12261^T, and the DNA source was *S. pneumoniae* SP033. ND, not determined; Erm, erythromycin; Km, kanamycin.

used the following primer pairs: FP789-FP790 (SP_0329), FP795-FP796 (SP_0338), FP805-FP806 (SP_0340, *luxS*), FP803-FP804 (SP_0341), FP791-FP792 (SP_0342, *dexB*), and FP793-FP794 (SP_0342, *dexB*). For the region downstream of *aliA*, we used the following primer pairs: FP787-FP788 (SP_0368), FP797-FP798 (SP_0379), and FP799-FP800 (SP_0394).

Capsular test. The Neufeld capsular reaction test was performed according to the manufacturer's recommendations. Briefly, bacteria were grown on blood agar at 37°C in a 5% CO₂-supplemented atmosphere ON. For the test, bacteria were transferred and mixed with 2.5 µl phosphate-buffered saline (PBS) and 2.5 µl pool A antiserum (Statens Serum Institute, Denmark) using 1-µl disposable loops. For the control, 5 µl PBS was used. Images were acquired using a Zeiss Axiovert Observer Z1 microscope coupled to an AxioCam Mrm camera (Zeiss). We also performed a dot blot assay using serial dilutions of the bacterial strains and reaction of the strains with anti-type 4 serum (Statens Serum Institute) and performed Ponceau staining as a control. The blot was scanned, and capsule data were normalized for Ponceau staining values.

Optochin test. Bacteria were grown in TSB at 37°C in a 5% CO₂-supplemented atmosphere ON. The bacteria were then streaked on blood agar plates using 1-µl disposable loops. Optochin discs (Fluka Analytical) were placed in the center of the streak, and the plates were incubated at 37°C in a 5% CO₂-supplemented atmosphere ON.

Phagocytosis assay. Cells of the murine macrophage cell line RAW 264.7 were grown in RPMI medium (Lonza, Verviers, Belgium) with 10% heat-inactivated fetal calf serum (FCS; Lonza or Sigma) and 100 U ml⁻¹ of streptomycin and penicillin (Lonza or Sigma). They were grown to 90% confluence in 12-well multidishes (Corning), washed 2 times with prewarmed RPMI or PBS, pH 7.4, and inoculated with bacteria at a multiplicity of infection (MOI) of 10:1. The bacteria were incubated with the macrophages for 1 h at 37°C in a 5% CO₂-supplemented atmosphere by a modification of the procedure described by Peppoloni et al. (31). To measure the amount of bacteria within the macrophages, the cells were washed with RPMI, followed by incubation with 1 ml RPMI, 1% FCS, 10 µg ml⁻¹ penicillin (Sigma), and 200 µg ml⁻¹ gentamicin (Sigma) for an additional 45 min at 37°C in a 5% CO₂-supplemented atmosphere. This procedure killed 100% of the *S. mitis* organisms cultured in the absence of macrophages (data not shown). After washing the cells twice with PBS, lysis of the macrophages was performed using 1 ml water, followed by serial dilution and plating. To estimate bacterial killing by the macrophages over time, the number of CFU was also determined for the next 2 h at 1-h intervals following the initial measurement of internalized bacteria. At each time point, the wells were washed two times with PBS, followed by lysis and plating. Phagocytosis by RAW 264.7 macrophages was also investigated using flow cytometry, as previously described (32). This method provides information on the association of streptococci with macrophages. Briefly, the bacteria were labeled with 6-carboxyfluorescein succinimidyl ester (FAMSE; Molecular Probes) for 60 min at 37°C and then washed with PBS until no residual FAMSE was visible in the supernatant. The bacteria were frozen at -80°C in 10% glycerol until use. The bacteria were incubated with RAW 264.7 macrophages at an MOI of 10:1 at 37°C in a 5% CO₂-supplemented atmosphere for 1 h. The cells were washed with PBS and trypsinized for 7 min, followed by addition of FBS and 100 µl 4% paraformaldehyde (PFA) in PBS. The samples were kept in the dark at 4°C until analysis using a FACSCalibur flow cytometer (BD Biosciences). The proportion of macrophages associated with bacteria was determined in the flow cytometer by analyzing a minimum of 25,000 cells.

For transmission electron microscopy (TEM), the bacteria were grown as described above. RAW 264.7 macrophages were grown in 6-cm petri dishes as described above at an MOI of 10:1. The bacteria were incubated with the macrophages for 1 h at 37°C in a 5% CO₂-supplemented atmosphere. The cells were then fixed by adding 2% glutaraldehyde (GA; Electron Microscopy Sciences, PA) in 100 mM phosphate buffer, pH 7.4, to the culture medium at a 1:1 volume ratio. After 5 min, the

supernatant was discarded and replaced with fresh 1% GA in phosphate buffer. After overnight incubation at 4°C, the cells were washed with 100 mM cacodylate buffer, postfixed with 2% OsO₄ (EMS, PA) solution containing 1.5% potassium ferricyanide for 1 h, and stained en block with 1.5% aqueous uranyl acetate for 30 min. The cells were then dehydrated using a graded ethanol series and propylene oxide and embedded in epoxy resin (Sigma-Aldrich, St. Louis, MO). Ultrathin sections of 60 to 70 nm were cut with an ultramicrotome (Ultracut UCT; Leica, Wetzlar, Germany), stained with 0.2% lead citrate (Taab, Berks, England) in 0.1 M NaOH for 20 s, and examined in a Philips CM100 transmission electron microscope.

Mouse model of early lung infection. The mouse model of early lung infection was performed as previously described (24). Briefly, outbred female CD1 mice (age, 6 weeks; Charles River Breeders) were infected intranasally (i.n.) with a bacterial inoculum corresponding to 5 × 10⁶ CFU in 50 µl PBS while they were under general halothane anesthesia (Zeneca). Bronchoalveolar lavage fluid (BALF) was collected and used to determine the number of bacterial CFU after dilution and plating on blood agar.

Mouse model of bacteremia. Female BALB/c mice (age, 8 weeks; Charles River Italia, Lecco, Italy) were infected intravenously with the *S. mitis* wild type and capsule mutants and *S. pneumoniae* TIGR4 and a capsule mutant (FP23) at amounts corresponding to 5 × 10⁶ CFU per mouse. The experiment was repeated with a 10-fold higher dose (5 × 10⁷ CFU per mouse) for the *S. mitis* wild type and capsule mutants (33). At 30 min, blood samples were collected from the submandibular vein of the mice. To prevent blood coagulation, 100 U ml⁻¹ of heparin (MS Pharma, Milan, Italy) was added.

Ex vivo growth in blood. Human blood and serum were obtained with written consent from healthy human volunteers under ethical approval granted by the local University College London ethics committee (application 3076/001). The blood was prevented from clotting using a final concentration of 0.1 M sodium citrate. Growth in blood and serum was investigated using an inoculum of bacteria corresponding to approximately 5 × 10⁶ CFU per ml. The samples were incubated at 37°C for up to 6 h in a 5% CO₂-supplemented atmosphere before serial dilution and plating on blood agar plates.

Ethical statements. Experiments with the mouse model of bacteremia were performed according to institutional guidelines, authorized by the Comitato Etico of the Azienda Ospedaliera Universitaria Senese, and approved by the Ministry of Health of Italy. Experiments with the mouse model of lung infection were approved by the UCL Biological Services Ethical Committee and the United Kingdom Home Office (project license PPL70/6510) and were performed according to United Kingdom national guidelines for animal use and care under a United Kingdom Home Office license.

Statistical analysis. For the *in vitro* assays, comparisons were conducted using one-way analysis of variance (ANOVA), followed by the Holm-Sidak test. For the bacteremia model, one-way analysis of variance on ranks followed by the Kruskal-Wallis test was used. For the lung infection assays and growth in human whole blood, ANOVA followed by Dunnett's test with comparison against the results for the *S. mitis* wild type or *S. pneumoniae* TIGR4 was used. Significance was set at a *P* value of <0.05.

RESULTS

***S. mitis* capsule switching to serotype 4.** *S. mitis* acquisition of genetic material from related streptococci has recently been suggested, but the extent and the location of the recombination events have so far been analyzed in only a few strains whose genomes have been sequenced (34). We wanted to experimentally test whether the *S. mitis* native capsule could be replaced by the *S. pneumoniae* TIGR4 capsule during *S. mitis* competence for natural transformation. *S. pneumoniae* TIGR4 was chosen because it is a well-characterized strain and highly invasive in mice and humans. To track this event, we introduced kanamycin and erythro-

mycin resistance cassettes in the *S. pneumoniae* TIGR4 capsule flanking regions as markers of recombination (Fig. 1; Table 1). The kanamycin resistance cassette was inserted immediately downstream of the *dexB* gene, while the erythromycin resistance cassette was inserted upstream of *aliA*. Transformants were selected on agar plates containing the appropriate antibiotics. *S. pneumoniae* SP033 DNA with the antibiotic resistance markers in the flanking regions was used to select candidate mutants for capsule switch in *S. mitis* (Table 1). Transformation resulting in the incorporation of both antibiotic resistance markers yielded positive results in four of five experiments (Table 3). A control experiment using DNA from an *S. mitis* strain with antibiotic resistance markers in the flanking regions showed that transformation levels were at least 56-fold higher (data not shown) than those with DNA from *S. pneumoniae* (Table 3). Two *S. mitis* mutants (Table 3) resistant to the combination of antibiotics (MI030 and MI067) were randomly selected from experiments 1 and 5 for (i) characterization of the capsule flanking regions that were incorporated by *S. mitis* into its genome, (ii) assessment of the growth rate, and (iii) assessment of TIGR4 capsule expression. PCR amplification using primers that were designed to amplify *S. pneumoniae* but not *S. mitis* sequences in the genes upstream and downstream of the *dexB-cps-aliA* region (Table 2) was conducted. The genes upstream of *dexB* screened were SP_0329, SP_0338, and SP_0340 (*luxS*), and the genes downstream of *aliA* screened were SP_0368, SP_0379, and SP_0394. The results showed that these genes were present in the *S. pneumoniae* TIGR4 positive control but not in *S. mitis* capsule-switching mutant MI030 or MI067. To verify the incorporation of the *S. pneumoniae* capsule locus, primers designed to amplify overlapping regions were used. The results showed that the whole locus of *S. pneumoniae* TIGR4 had been transferred to the *S. mitis* type strain (Fig. 1).

To verify whether the recombination event leading to the transfer of the TIGR4 capsule to *S. mitis* was accompanied by the production of a type 4 capsule, the Neufeld capsular reaction test was used. Similar to the findings for *S. pneumoniae* TIGR4, the MI030 and MI067 capsule mutants showed strong agglutination with pool A antiserum, whereas no agglutination was observed with the *S. mitis* wild type. Images of the capsular reaction tests using the *S. mitis* wild type, *S. mitis* MI030, and *S. pneumoniae* TIGR4 are shown in Fig. 2A to C, respectively. To confirm the species identity of the recombinant *S. mitis* strain, the optochin test was used. As expected, the test showed a clear inhibition zone around the optochin discs for *S. pneumoniae* but not for *S. mitis* expressing the native or the TIGR4 capsules (Fig. 2D). The dot blot assay using anti-type 4 serum confirmed the expression of the TIGR4 capsule by MI030 (data not shown). *S. mitis* MI030 showed values that were approximately 13% lower than those for *S. pneumoniae* TIGR4. This indicates that *S. mitis* MI030 may produce slightly less of the serotype 4 capsule or that anti-type 4 serum reacts more with *S. pneumoniae* TIGR4, because whole *S. pneumoniae* is used for antiserum production (Statens Serum Institute, Denmark).

To investigate whether capsule deletion or capsule switch could have a deleterious effect on growth, we compared the growth rate of MI030 and MI067 to that of the wild-type strain and *S. mitis* Δ *cps* during their exponential phases in TSB medium. The average doubling time was 60 min (standard deviation [SD], 3.8 min) for *S. mitis*, 49 min (SD, 4.1 min) for *S. mitis* Δ *cps*, 62 min (SD, 12.2 min) for MI030, and 70 min (SD, 10.8 min) for MI067. The results were from 3 to 4 independent experiments. Figure 2E

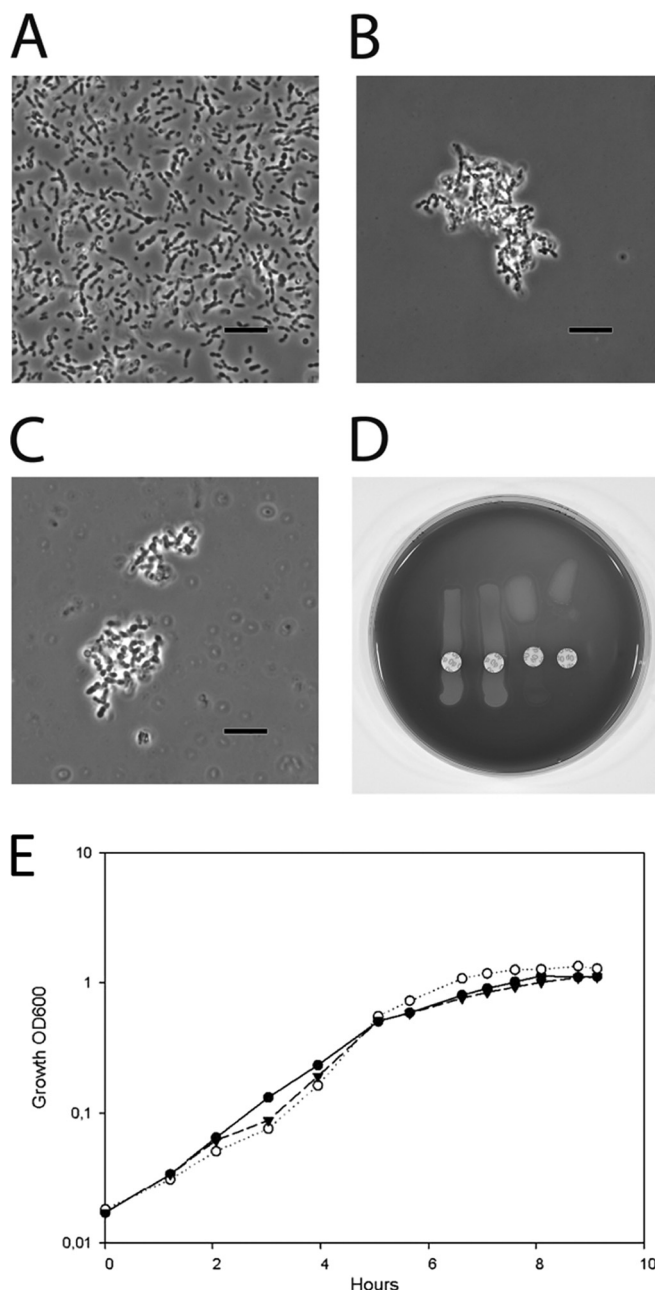


FIG 2 TIGR4 capsule expression by *S. mitis*. The capsule reaction test (Neufeld test) with pool A antiserum (Statens Serum Institute, Denmark) and the optochin sensitivity test were performed. (A to C) Lack of agglutination of the *S. mitis* wild type (A), agglutination of the *S. mitis* TIGR4cps isogenic mutant that acquired the type 4 capsule (B), and agglutination of *S. pneumoniae* TIGR4 serotype 4 (C). The images in panels A to C were taken with phase-contrast and oil immersion. Bars, 5 μ m. (D) Optochin sensitivity test confirming the species identification of (from the left) the *S. mitis* wild type, *S. mitis* TIGR4cps, the *S. pneumoniae* TIGR4 wild type, and *S. pneumoniae* SP033 (TIGR4 capsule donor). (E) Growth curve of the *S. mitis* wild type (black circles), *S. mitis* Δ *cps* (MI016; white circles), and *S. mitis* TIGR4cps (MI030; black inverted triangles).

shows the growth curves of the wild type and MI030 in a representative experiment. Comparison using one-way ANOVA did not reveal significant differences in growth rates between the *S. mitis* wild type and the mutants.

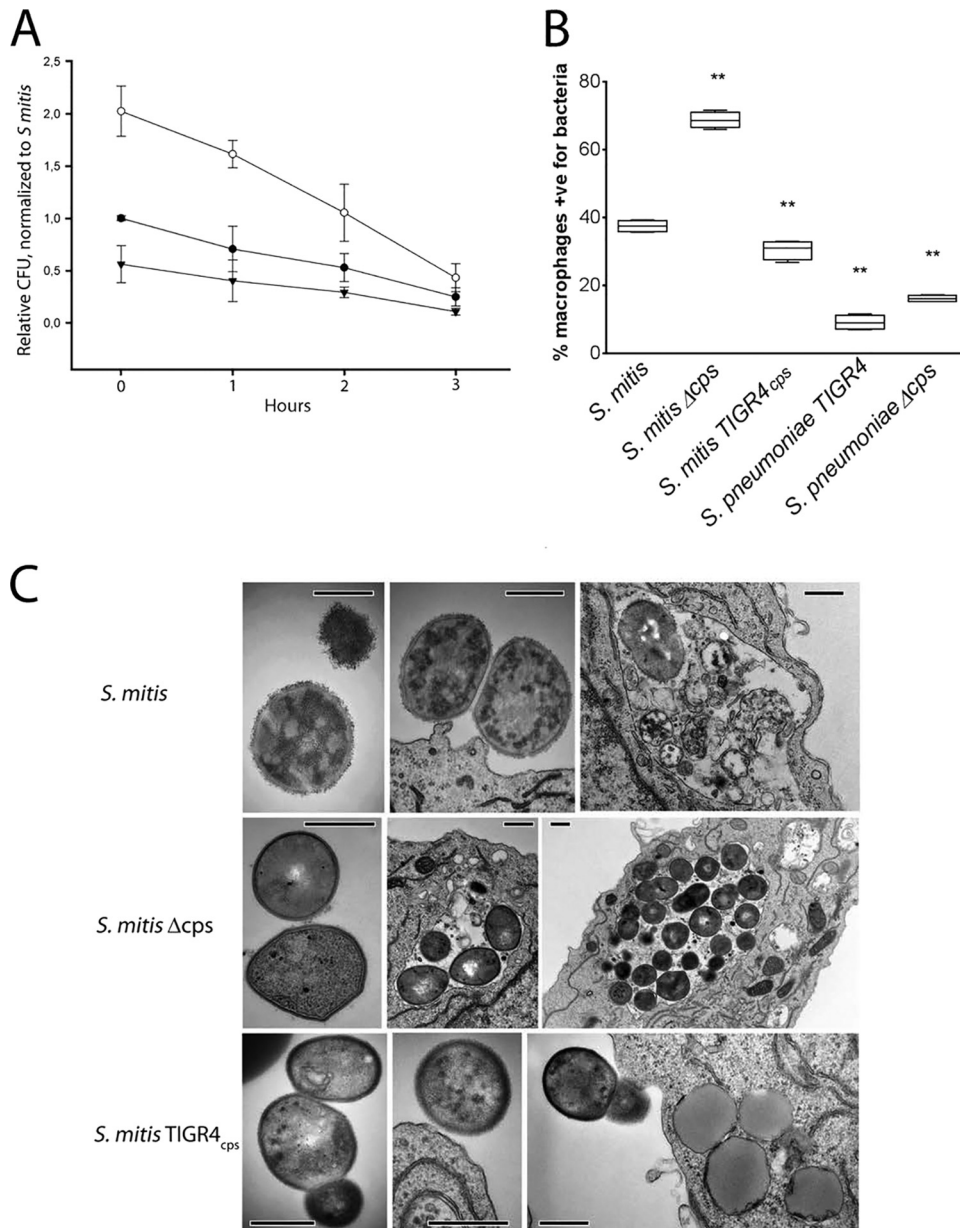


FIG 3 Effect of capsule locus deletion and interspecies capsule switch on *S. mitis* phagocytosis and intracellular killing by RAW 264.7 macrophages. (A) The *S. mitis* wild type, *S. mitis* Δ cps, and *S. mitis* TIGR4_{cps} were incubated with RAW 264.7 macrophages for 1 h to allow phagocytosis. The number of CFU was used to determine intracellular killing over 3 h. The numbers of CFU were normalized to the baseline values determined for the *S. mitis* wild type. The means and standard deviations from three independent experiments are presented. (B) The wild type and mutants were labeled with FAMSE and then incubated with RAW 264.7 macrophages for 1 h to allow phagocytosis, before the samples were analyzed by flow cytometry. The median proportion of macrophages positive (+ve) for *S. mitis* is shown ($n = 4$ or 5). Lines in center of boxes, median values; central boxes, values from the lower to the upper quartiles (25th to 75th percentiles); vertical lines extend from the minimum to the maximum value. **, significantly different from the *S. mitis* wild type ($P < 0.01$). (C) TEM images of internalized and extracellular *S. mitis* and capsule mutants. Bars, 500 nm.

Taken together, the results revealed that the entire *S. pneumoniae* TIGR4 capsule locus was taken up and expressed by the *S. mitis* type strain, without compromising growth in TSB. In the experiments performed to characterize the protective role of the *S. mitis* capsule, described below, MI030 was included to initially address the possibility that capsule type may impact *S. mitis* resistance to phagocytosis or clearance from lungs and blood.

The native and the TIGR4 capsules in *S. mitis* provide different levels of resistance against phagocytosis. It is not known

whether capsule expression by *S. mitis* may offer resistance against phagocytosis or intracellular killing by macrophages. To address this question, RAW 264.7 macrophages were used in phagocytic assays that compared the uptake and killing of the wild-type strain with those of the capsule locus (MI016) and the capsule-switching mutant *S. mitis* TIGR4_{cps}. The capsule deletion mutant was present within the macrophages in larger amounts than the *S. mitis* encapsulated strains, measured by determination of the number of CFU, and within 3 h of incubation it showed a steeper decline

than the *S. mitis* encapsulated strains (Fig. 3A; $P < 0.05$). The different resistance levels against *S. mitis* phagocytosis offered by the native and the TIGR4 capsules were confirmed by flow cytometry (Fig. 3B). Both the encapsulated and unencapsulated *S. pneumoniae* strains were more resistant to phagocytosis than the *S. mitis* wild-type strain and the *S. mitis* TIGR4cps strain. To verify the intracellular localization of *S. mitis*, TEM images were obtained (Fig. 3C). The results confirmed the streptococcal intracellular localization. Notably, *S. mitis* TIGR4cps (MI030) was seldom observed within macrophages, whereas the capsule deletion mutant was often found in high numbers within single macrophages (Fig. 3C). Taken together, the intracellular viability, the flow cytometry, and the image analysis results indicate that the capsule increases *S. mitis* resistance to phagocytosis and that both the streptococcal capsule type and the genetic background play important roles in resistance against phagocytosis.

The capsule protects *S. mitis* from clearance in a mouse model of early lung infection. *S. mitis* is commonly present in BALF as part of the lung microbiome (8). Mechanisms that may affect the ability of *S. mitis* to resist clearance from the lungs have, however, never been explored. We therefore sought to investigate whether the capsule may have a protective effect against clearance using a mouse model of early lung infection (Fig. 4A). The encapsulated strains of *S. mitis* were recovered from BALF at significantly higher levels than the nonencapsulated mutant, with the TIGR4 capsule mutant exhibiting the highest values. Resistance was higher for *S. pneumoniae* TIGR4 than for the *S. mitis* type strain. No significant differences were observed for *S. mitis* TIGR4cps and *S. pneumoniae* TIGR4. The results indicate that the capsule protects *S. mitis* from lung clearance and that the capsule type may play an important role.

Capsule expression by *S. mitis* has no significant role in a mouse model of bacteremia. *S. mitis* is occasionally associated with septicemia, particularly in immunocompromised individuals (35). We therefore sought to investigate whether the capsule protected *S. mitis* from clearance in a mouse model of bacteremia. Inoculation of mice with 5×10^6 bacteria resulted in approximately 99% clearance from the blood after 30 min, whereas the level of clearance from the blood was 82% for *S. pneumoniae* (Fig. 4B). We therefore increased the *S. mitis* inoculum by a factor of 10 (Fig. 4C and D). Blood count comparisons between the wild type, the *S. mitis* Δ cps strain, and *S. mitis* TIGR4cps revealed no significant differences after 30 min (Fig. 4C) or 24 h (Fig. 4D) ($P = 0.18$). The results suggest that the genetic background of the *S. mitis* type strain may play a more important role than the capsule in clearance from blood *in vivo*.

***S. mitis* shows reduced growth in blood and high susceptibility to blood bactericidal action.** The effective clearance of the *S. mitis* type strain in the mouse model of bacteremia compared to the clearance of *S. pneumoniae* TIGR4 led us to hypothesize that *S. mitis* may have a reduced ability to grow in blood compared to *S. pneumoniae*. To start addressing this hypothesis, we extended the comparisons between *S. mitis* and *S. pneumoniae* to include other strains with different or potentially different capsular types in an *ex vivo* model of blood growth. Since blood samples from individual mice are limited to small volumes, we chose to use horse blood for this screening assay. The growth of the four wild-type strains of *S. mitis* was suppressed, whereas significant growth was observed for the three wild-type strains of *S. pneumoniae* representing serotypes 1, 2, and 4 (Fig. 4E). The *S. pneumoniae* Δ cps strain showed

reduced growth compared with the wild type, supporting a protective role of the serotype 4 capsule for *S. pneumoniae*. Notably, suppression of *S. mitis* growth was not reversed by expression of the *S. pneumoniae* serotype 4 capsule. The results support the hypothesis that genetic differences other than capsule type may have a major role in the different abilities of *S. mitis* and *S. pneumoniae* to grow in blood.

In human blood, the capsule provides a survival advantage to *S. mitis* that is enhanced in the capsule-switching mutant. To account for possible effects on bacterial growth that may depend on the species that is used as the source of blood, we investigated the role of the capsule on the growth of *S. mitis* in human blood as well (Fig. 4F). After 4 h incubation in human blood, no *S. mitis* Δ cps colonies were recovered, despite the finding that the growth of the *S. mitis* Δ cps strain in serum was superior to that of the encapsulated strains (data not shown). For the *S. mitis* wild type, there was a greater than 100-fold reduction in the number of CFU in blood compared to that in the inoculum. In contrast, the *S. mitis* TIGR4cps strain demonstrated some increase in the number of CFU, but the increase was not as pronounced as that for *S. pneumoniae* TIGR4. The results therefore show that the capsule provided a survival advantage to *S. mitis* in human whole blood that was not found in horse blood. This indicates that the advantage provided by the capsule in the *S. mitis* type strain may depend on the blood source.

Since the ability to grow in blood may be an important factor behind the differences in the pathogenic potential of *S. mitis* and *S. pneumoniae*, we decided to further investigate the influence of blood bactericidal actions on growth, without the effect of bacterial replication. For this purpose, *pabB* deletion mutants of *S. mitis* and *S. pneumoniae* were included in the blood growth assay using human blood. Since for replication *pab* deletion mutants depend on the presence of *para*-aminobenzoic acid (PABA), which is absent in whole blood, growth is abolished (36). In serum, the numbers of CFU for the Δ *pab* mutants after 4 h of incubation were similar to those in the inoculum at time zero, indicating that human serum had no detectable bacterial killing activity. In whole blood, the reduction in the number of CFU in relation to that in the wild-type strains was stronger for *S. mitis* than for the *S. pneumoniae* Δ *pab* mutants, indicating that *S. mitis* may be more susceptible to the bactericidal actions in human whole blood than *S. pneumoniae*.

DISCUSSION

The recent focus on human microbiomes and the realization that ecological disturbances within microbial communities may be the culprit behind several diseases have increased the interest in commensals. In the oral cavity, *S. mitis* is a commensal that appears to be unique in its ability to colonize virtually all oral sites, in addition to the oro- and nasopharynxes, as well as the lungs. The molecular mechanisms involved in *S. mitis* colonization and host interactions are, however, still poorly characterized. Although several of the methodologies developed for the study of *S. pneumoniae* may be adapted to *S. mitis*, the challenges of working with a species that has not been extensively characterized should not be underestimated. The genetic variability between strains of *S. mitis* is well documented, and a full understanding of its molecular mechanisms involved in the commensal and occasional pathogenic interactions with the host will require the characterization of several strains. However, the natural transformation of *S. mitis* has

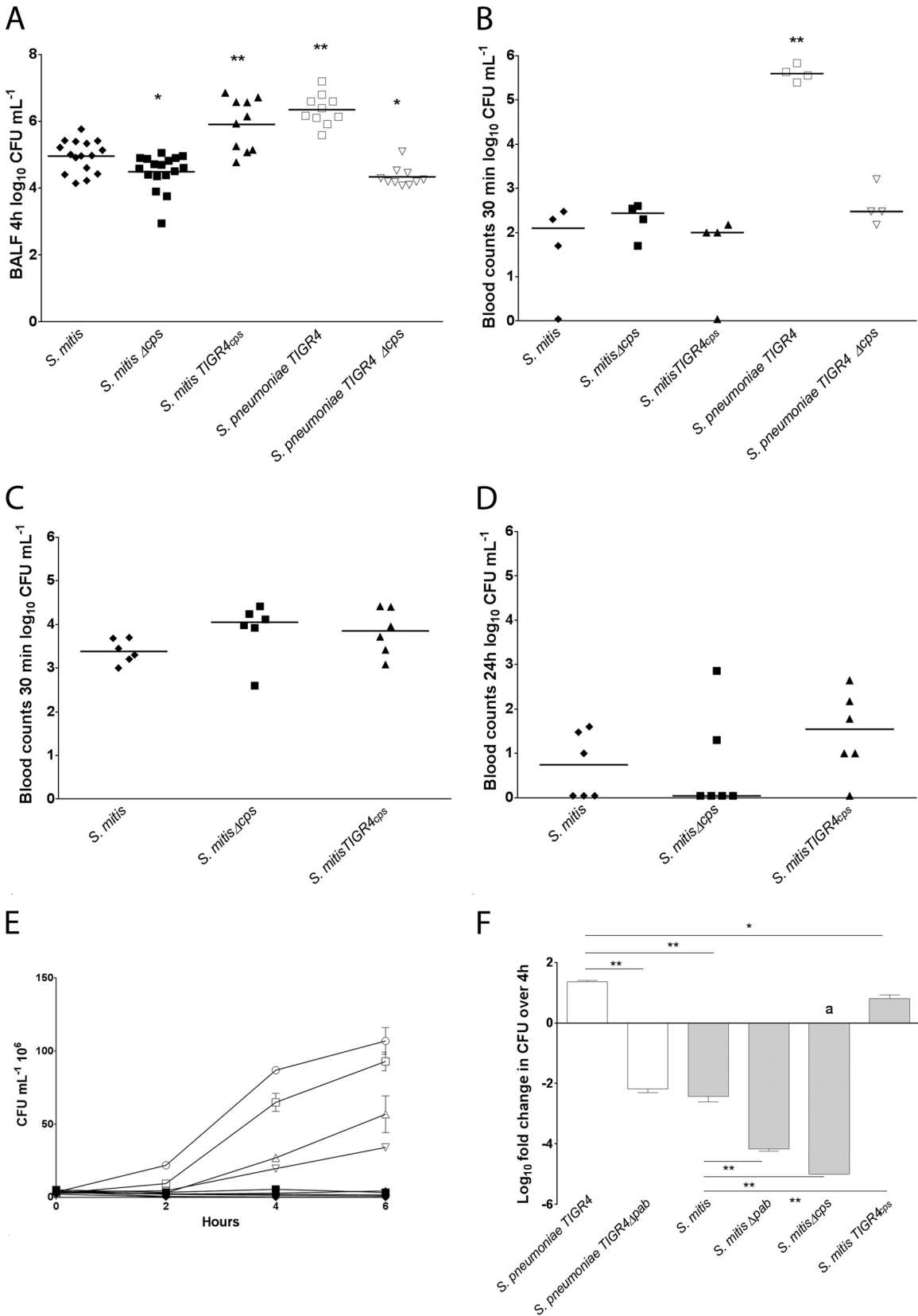


FIG 4 Effect of capsule locus deletion and interspecies capsule switch on *S. mitis* clearance in mouse models of lung infection and bacteremia and in *ex vivo* models of blood growth. (A) BALF samples were collected after 4 h of infection. The numbers of CFU mL^{-1} recovered in BALF are presented. Data were analyzed using one-way ANOVA followed by Dunnett's test using *S. mitis* as a control. **, $P < 0.01$; *, $P < 0.50$. (B and C) Bacterial counts (number of CFU mL^{-1}) in blood

been reported for only a couple of strains (20, 37), and in our hands, the transformation of isolates other than the type strain, including the SK575, SK579, and SK597 strains used in this study, has so far been unsuccessful with or without addition of their respective CSPs (data not shown). In contrast to *S. pneumoniae* strains, which are mostly grouped into two CSP phenotypes, each *S. mitis* strain appears to use its own specific CSP (19), a methodological issue that should be considered when using strains that have not yet been sequenced. Animal models have been extensively used for modeling *S. pneumoniae* infection and colonization, but the models may need to be adapted to allow the study of *S. mitis* strains. In the bacteremia model used in our study, for instance, the *S. mitis* inoculum size had to be increased in relation to that for *S. pneumoniae* TIGR4 to better investigate the role of the capsule. The reason was that *S. mitis* was rapidly cleared from the blood at inoculum sizes that in *S. pneumoniae* TIGR4 resulted in recoveries approximately 3 log units higher (Fig. 4B). The results presented here begin to address the gap in knowledge on the molecular biology of *S. mitis* by revealing the contribution of the capsule and the capsule type to *S. mitis* NCTC 12261^T resistance to phagocytosis by RAW 264.7 macrophages, resistance to clearance from the lungs in a mouse model, and survival in human blood.

S. mitis resistance to lung clearance was enhanced in the encapsulated strains, with *S. mitis* TIGR4 showing the highest values. These values were comparable to those obtained for *S. pneumoniae* TIGR4. This indicates that the expression of the TIGR4 capsule by *S. mitis* may compensate for the reduced ability of the *S. mitis* wild type to resist lung clearance compared with that of *S. pneumoniae* TIGR4. For *S. pneumoniae* TIGR4, the unencapsulated isogenic mutant was also cleared more efficiently than the wild type. This is in accordance with previous findings showing that the capsule protects *S. pneumoniae* TIGR4 and D39 from early clearance from the lungs (38).

In a bacteremia model of infection, the capsule protects *S. pneumoniae* from clearance from blood (39). This was confirmed by our results showing that for the *S. pneumoniae* wild type the number of CFU recovered 30 min after inoculation compared to the number of CFU in the initial inoculum was 5-fold reduced, whereas for the *S. pneumoniae* Δcps strain, a reduction of almost 10,000 was observed. We were not able to demonstrate an effect of the capsule on the ability of *S. mitis* to resist clearance, even after increasing the inoculum size 10-fold. We also conducted experiments in which we measured clearance 24 h after intravenous inoculation, but again, no significant differences were observed. It remains to be determined whether this is a phenomenon restricted to the type strain or a more general characteristic within the *S. mitis* species.

In human blood, *S. mitis* Δcps had a remarkable disadvantage over the wild type, as no colonies of this strain were recovered after 4 h of incubation, whereas *S. mitis* TIGR4 was recovered in higher

numbers than the *S. mitis* wild-type strain. In contrast, growth of the *S. mitis* wild type and mutants was severely affected in the *ex vivo* experiments using horse blood. Since *S. mitis* is a predominant human colonizer, the results in human blood are of particular relevance. Results using blood from other animals are, however, also important, since they may reveal differences less likely to be associated with a life history of high levels of exposure to the microorganisms examined. Although alpha streptococci are described in mice and horses, they appear to constitute a significantly smaller proportion of their microbiota (40, 41). It was interesting, therefore, to observe that the poor growth of *S. mitis* in blood extended to both human and horse blood and was in contrast to the higher growth values found with *S. pneumoniae*. When bacterial replication was prevented by the *pabB* deletion, viability after 4 h in human blood was close to zero for *S. mitis*; in contrast, for *S. pneumoniae* Δpab , viability was only slightly affected. Further investigations on the mechanisms behind such differences are warranted, not only in view of its occasional association with septicemia in neutropenic patients and endocarditis but also in relation to its potential use in future probiotic applications (12).

S. pneumoniae and *S. mitis* are thought to have evolved from a common ancestor that more closely resembles *S. pneumoniae*, and the loss of virulence factors that allowed *S. mitis* to better adapt to a commensal lifestyle is thought to have occurred (19). It will be interesting to find out the factor that *S. mitis* may have lost or perhaps gained that contributed to the establishment of a more successful relationship with the host. Although our study focused on the role of the capsule in *S. mitis*, comparisons with *S. pneumoniae* showed for the first time important differences in blood survival between the two species. *S. pneumoniae* showed higher survival rates than *S. mitis*, and this finding was not restricted to the *S. mitis* type strain and the *S. pneumoniae* TIGR4 wild type or capsule isogenic mutants but was also a characteristic of 3 other wild-type isolates of *S. mitis* and 2 other isolates of *S. pneumoniae* representing serotypes 1 and 2. The superior ability of *S. pneumoniae* TIGR4 to survive in blood was also confirmed in experiments using human blood and in the bacteremia model. This raises the hypothesis that *S. mitis* and *S. pneumoniae* have species-specific differences in their ability to grow and/or survive in blood that may contribute to their differences in pathogenic potential. Understanding the mechanisms behind the commensal nature of oral streptococci is particularly relevant in view of their potential to modulate ecological relationships within microbial communities that may be beneficial to the human host (7).

Capsule expression by *S. mitis* is supported both by microscopic approaches comparing the wild type of the type strain to a capsule locus deletion mutant (20) and by biochemical means in SK137 (42). In addition, the capsule locus has been identified in a range of *S. mitis* strains (19), including 11 of the 15 available *S. mitis* genomes. Comparative analysis of the capsule locus indicates

of mice challenged intravenously with *S. mitis* or *S. pneumoniae*. Inoculum sizes of 5×10^6 CFU ml⁻¹ (B) and 5×10^7 CFU ml⁻¹ (C and D) were used. Blood samples were collected 30 min (C) and 24 h (D) following infection and spread on blood agar plates for determination of viable counts. (A to D) The dot plots show the numbers of CFU obtained for each mouse, with horizontal lines corresponding to median values. (E and F) *S. mitis* and *S. pneumoniae* growth and survival in blood. (E) Growth of *S. mitis* and *S. pneumoniae* in horse blood. Black symbols, *S. mitis* strains CCUG12261 (crossed circles), MI016 (circles), MI30.1 (inverted triangles) SK575 (triangles), SK579 (diamonds), and SK597 (squares); white symbols, *S. pneumoniae* strains TIGR4 (squares), SP035 (inverted triangles), D39 (triangles), and serotype 1 clinical isolate ST306 (circles). (F) *S. mitis* and *S. pneumoniae* TIGR4 survival in human blood. The bars are averages from two to three experiments with two to three parallel samples each and represent the fold change in the number of CFU ml⁻¹ after 4 h of incubation in relation to the number of CFU ml⁻¹ in the inoculum. Error bars represent standard errors of the means. Data were analyzed by one-way ANOVA followed by Dunnett's test using *S. pneumoniae* TIGR4 as a control. *, $P < 0.05$; **, $P < 0.01$; a, no colonies were recovered.

that variation in capsule types may not be restricted to *S. pneumoniae* but may also be a common feature in *S. mitis* (20). In these sequenced strains, the *wzy* polymerase genes differed among the strains and had no significant homologues in *S. pneumoniae*. As discussed above, strains belonging to the *S. mitis* species show a remarkable diversity, and in view of the few genomes sequenced, there is still limited information on the range of capsule types that *S. mitis* may produce. To date, 25 complete and 220 unfinished genomes of *S. pneumoniae* are publically available (NCBI), whereas for *S. mitis*, of the 15 genomes available at present, the complete genome sequence is available for only 1 (43). Future studies should determine whether regions within the capsule locus or even the entire locus may be exchanged between *S. mitis* and *S. pneumoniae*. Our study indicates that exchange of the entire locus is possible. A recent report indeed suggests a similar or identical *S. pneumoniae* serotype 19C capsule in an *S. mitis* strain (44). PCR serotyping has also revealed amplicons in *S. mitis* strains isolated from the pharynx whose sequences are similar to those in the capsule variable region of *S. pneumoniae* (21). In the previous study, the investigators recovered two different strains of *S. mitis* that were PCR positive for *S. pneumoniae* serotype-specific loci and that had sequences nearly identical to those from *S. pneumoniae* serotype 5 and serogroup 18, respectively. Such findings are consistent with the presence of the corresponding serotypes in *S. mitis*. Although the TIGR4 capsule provided a survival advantage to the *S. mitis* type strain in *in vitro* phagocytosis, as well as in the human blood growth assay and in the lung model of infection, significant advantages over the native capsule were not observed using the mouse model of bacteremia. The natural setting for recombination between *S. pneumoniae* and *S. mitis* is most probably during colonization of the respiratory tract and oral cavity, where favorable traits may be acquired. Thus, it will be interesting in future studies to investigate the interplay between the two species and the role of different *S. mitis* capsule types under colonization conditions.

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