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Surveillance of nuclear pore complex assembly by ESCRT-III/ Vps4

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SUMMARY

The maintenance of nuclear compartmentalization by the nuclear envelope and nuclear pore complexes (NPCs) is essential for cell function; loss of compartmentalization is associated with cancers, laminopathies and aging. We uncovered a pathway that surveils NPC assembly intermediates to promote the formation of functional NPCs. Surveillance is mediated by Heh2, a member of the LEM (Lap2-emerin-MAN1) family of integral inner nuclear membrane proteins, which binds to an early NPC assembly intermediate, but not to mature NPCs. Heh2 recruits the Endosomal Sorting Complex Required for Transport (ESCRT) – III subunit Snf7 and the AAA-ATPase Vps4 to destabilize and clear defective NPC assembly intermediates. When surveillance or clearance is compromised, malformed NPCs accumulate in a **S**torage of **I**mproperly assembled **N**uclear Pore **C**omplexes compartment, or SINC. The SINC is retained in old mothers to prevent loss of daughter lifespan, highlighting a continuum of mechanisms to ensure nuclear compartmentalization.

INTRODUCTION

The genome is compartmentalized by the nuclear envelope (NE), which establishes a double-membrane barrier to most molecules. The integrity of the NE is disrupted in several cancer cells (Vargas et al., 2012), and in cells expressing "laminopathy" (Capell and Collins, 2006) mutations (De Vos et al., 2011) suggesting that loss of nuclear compartmentalization is an input to human disease. Consistent with this idea, oxidative damage of nuclear pore complexes (NPCs), which control the traffic of molecules across the NE, can lead to nuclear protein aggregates in old neurons (D'Angelo et al., 2009) – a hallmark of neurodegeneration (Woulfe, 2007). Moreover, there is a disruption of nuclear transport in cells expressing a dominant-negative form of lamin A, which causes Hutchinson-Gilford progeria (Kelley et al., 2011). Cumulatively, these observations underscore the importance of nuclear

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AUTHOR CONTRIBUTIONS

BMW and CPL conceived of and designed experiments; they also analyzed and interpreted all data and wrote the manuscript; BMW carried out all of the experiments with the exception of those that lead to Fig. 3A (JJ) and 3B–C (PC).

compartmentalization to cellular homeostasis and predict mechanisms that maintain NE integrity and NPC function.

Loss of NE integrity could be triggered by defects in pathways that remodel the NE like the assembly of the NPC. NPC assembly during interphase requires the local fusion of the inner and outer nuclear membranes (INM and ONM) to form a pore in the NE (Fernandez-Martinez and Rout, 2009); fusion is coupled to the recruitment of ~30 distinct NPC constituents (nucleoporins or nups). Since nups are found in multiple copies in a mature NPC, NPC assembly requires at least 450 nup protomers in yeast (Alber et al., 2007a; 2007b) and many more in human cells (Bui et al., 2013). The spatiotemporal regulation of these events and the biochemical intermediates in this process remain ill defined, although integral INM proteins of the LEM (Lap2-emerin-MAN1)(Yewdell et al., 2011) and SUN (Sad1, UNC84) families (Talamas and Hetzer, 2011) might help form early NPC assembly intermediates.

The complexity of coupling the recruitment of hundreds of proteins with membrane remodeling events that, if compromised, could lead to a loss of NE integrity predicts the existence of mechanisms to ensure NPC assembly fidelity. Moreover, defects in NPC assembly would likely result in the accumulation of oligomeric protein assemblies, potentially embedded in the NE. How cells might deal with these putative protein aggregates remains unknown but it has been hypothesized that nuclear protein aggregates might be cleared through a vesicular intermediate in the NE lumen (Rose and Schlieker, 2012). Such a mechanism would be analogous to the nuclear egress of several viruses (Mettenleiter et al., 2013) and large ribonucleoprotein (megaRNP) granules (Speese et al., 2012). The machinery that contributes to these events includes the AAA-ATPase torsins, which reside in the ER lumen (Jokhi et al., 2013), but might also include the Endosomal Sorting Required for Transport (ESCRT) complexes (Lee et al., 2012).

The ESCRT machinery is composed of five modules, ESCRT 0, I, II, III and the AAA-ATPase Vps4 and is best known for sorting ubiquitylated membrane protein receptors into multivesicular bodies (MVBs)(McCullough et al., 2013). The invagination and fission of endosomal membranes to form MVBs is thought to be the result of the polymerization of ESCRT-III components into a spiral and the action of Vps4, which likely also recycles ESCRT-III (Henne et al., 2013; Hurley and Hanson, 2010). ESCRT-III functions in several other cellular contexts, including in HIV budding (Garrus et al., 2001; Votteler and Sundquist, 2013), plasma membrane wound repair (Jimenez et al., 2014), cytokinesis (Carlton and Martin-Serrano, 2007; Morita et al., 2007), centrosome duplication (Morita et al., 2010) and exosome secretion (Baietti et al., 2012). At least one additional study implicates ESCRTs in a nuclear function, although few nuclear binding partners have been identified (Stauffer et al., 2001).

Interestingly, both fission and budding yeast, which are divergent by about 500 million years (Rhind et al., 2011), share a genetic interaction between *VPS4* and a membrane ring nup gene, *POM152* (Fig. 1A), thus implicating the ESCRT machinery in a conserved function at NPCs (Costanzo et al., 2010; Frost et al., 2012). Consistent with this idea, compromised ESCRT-III/Vps4 function leads to the accumulation of misassembled NPCs into a NE

compartment that we term the SINC for **S**torage of **I**mproperly assembled **N**uclear Pore **C**omplexes. Our data support the interpretation that ESCRT-III is recruited to the NE by integral INM proteins to surveil and clear defective NPC assembly intermediates to ensure the fidelity of NPC assembly.

RESULTS

ESCRT-III and VPS4 genetically interact with the NPC

The evolutionary conservation of the genetic interaction between *VPS4* and *POM152* in fission and budding yeast (Costanzo et al., 2010; Frost et al., 2012) predicts a broader interaction network between the NPC and ESCRT machinery. To test this, we crossed *pom152* strains with strains containing gene deletions of ESCRT-0, I, II and III. This analysis revealed a striking network in which *POM152* specifically interacted with *VPS4* and the ESCRT-III genes *SNF7*, *VPS24* and *VPS2*, but not *VPS20* or genes encoding ESCRT-0, I or II (Fig. 1B–D, S1A). By measuring colony sizes, we calculated an epistasis value (ε) for the various gene pairings (Phillips, 2008) and determined that *pom152 snf7*, *pom152 vps24*, and $pom152$ *vps2* cells had an average ε of −0.33 and *vps4 pom152* of −0.42 (Fig. 1C). *Nup170*Δ strains showed an identical epistasis profile as *pom152*Δ cells (Fig. 1D, S1B, C). *VPS4* alleles encoding point mutants in the oligomerization (Gonciarz et al., 2008) or ATPase (Babst et al., 1998) domains were incapable of rescuing the loss of fitness of *vps4 pom152* cells, suggesting that these genetic interactions reflect a loss of Vps4's catalytic function (Fig. S1D).

As summarized in Fig. 1E, we also observed genetic interactions between *VPS4* and genes encoding the inner and outer ring nups, but not nuclear basket nups or a subset of NE proteins, with the exception of *APQ12* (Fig. S1C). This set of interactions were similar to those we reported between two integral INM proteins (Heh1 and Heh2) and the NPC (Yewdell et al., 2011). Consistent with this, we observed a mild fitness loss of *heh2 snf7* cells (Fig. 1F) and more strikingly, an enhancement of the *vps4 pom152* interaction after deletion of *HEH2* (Fig. 1F).

Snf7 binds to Heh1 and Heh2 in vivo

To evaluate if the genetic interactions between ESCRT-III*/VPS4* and NE genes reflected a biochemical interaction at the NE, we employed bifunctional complementation (BifC)(Chun et al., 2007) by co-expressing bait and prey proteins as fusions to the N- and C-terminal domains of Venus (VN and VC; Fig. 2A). First, we confirmed interactions between the ESCRT-III components Snf7 and Vps20 with the adapter ALIX/Bro1 (Wemmer et al., 2011) by co-expressing Snf7-VN with Vps20-VC or Bro1-VC. In ~90% of these cells, several fluorescent puncta appeared in the cytoplasm consistent with their localization on endosomes (Fig. 2B, C). Strikingly, the combinations of Heh1-VN or Heh2-VN with Snf7- VC resulted in the appearance of one or two fluorescent spots (Fig. 2B) in ~45 and 18% of cells, respectively (Fig. 2C). These interactions were specific as we were unable to detect fluorescence when Heh1/2-VN were combined with Vps20-VC or Bro1-VC, even when background fluorescence was enhanced (Fig. 2B). Interestingly, the specificity of the ESCRT-III component Snf7 over its sister ESCRT-III (Vps20) for Heh1/2 was also reflected by examining the steady state distribution of ESCRT subunits in $nu/133$ cells where NPCs are clustered to one side of the NE (Fig. S2)(Doye et al., 1994). In ~95% of these cells, a portion of Snf7-GFP (and Vps4-GFP) was found overlapping at the edge of the NPC cluster in an association that lasted for at least 10 min (Fig. S2B). We also observed the ESCRT-III subunit Vps24-GFP at the periphery of the NPC cluster but rarely other components of ESCRT-III, II, I or 0 (Fig. S2A, B).

Further support for ESCRT-III at the NE could be derived from our BifC experiments as the Heh1/2-Snf7 interaction spots colocalized with the NE fraction of a NE/ER marker, HDELdsRed, in at least 80% of cells (Fig. 2B, inset; 2D). In contrast, the majority of the Snf7-VN/ Vps20-VC and Bro1-VC interaction foci surrounded the vacuole. We further confirmed that Heh1/2 interact with Nup170 at the NE (Yewdell et al., 2011) and although difficult to detect, we observed an interaction between Snf7-VN and Nup170-VC at the NE (Fig. 2B, C). Taken together, our BifC data suggest that the ESCRT-III-NPC genetic network might reflect a physical link between Snf7 and the INM proteins Heh1/2 and their NPC binding partner, Nup170.

Heh2 specifically binds Snf7

To evaluate the interactions between Heh1/2 and Snf7 biochemically, we affinity purified Heh1/2-GFP from whole cell extracts of WT cells using conditions that maintain interactions between the Heh proteins and Pom152 and Nup170 (Yewdell et al., 2011); we could not detect Snf7 bound to either Heh1- or Heh2-GFP (Fig. 3A). To reconcile these results with the BifC, we reasoned Heh1/2-Snf7 interactions might be highly dynamic. Snf7 interactions are stabilized in the absence of Vps4 (Babst et al., 2002), therefore we assessed whether Snf7 was capable of binding to Heh1 or Heh2 in *vps4* cells. Strikingly, in *vps4* cells we detected Snf7 bound to Heh2-GFP by Western blot (Fig. 3A). This interaction was specific to Heh2 as it was undetectable in Heh1-GFP pull outs and in no-GFP controls derived from *vps4* cells. Consistent with this result, in *vps4 pom152* cells, we detected a fraction of Snf7-GFP (but not other ESCRTs) at the NE in association with a cluster of nups (Fig. S3A, B).

We probed the specificity of the interaction between Heh2 and Snf7 by purifying Heh2 Nand C-terminal domains (H2N and H2C; Fig. 3B) as GST fusion proteins from *E. coli* extracts (Fig. 3C, coomassie panel). Purified GST-fusions were incubated with yeast whole cell extracts of a WT strain or one expressing Snf7 as a fusion to the HA epitope (Snf7-HA). Snf7-HA specifically bound to GST-H2N but not to GST-H2C or GST alone. We further tested interactions with an ESCRT-I (Vps23), another ESCRT-III (Vps20), and Vps4. These experiments were performed in tandem with extracts containing Snf7-HA as a control. While a small fraction of Vps4 was bound, we did not detect Vps23 or Vps20 (Fig. 3D), even after normalizing for the abundance of Snf7.

Deletion of ESCRT-III and VPS4 leads to clustering of defective NPCs

Our genetic data and the interaction between Snf7 and Heh2 (and associated nups (Yewdell et al., 2011)) predict a role for ESCRT-III/Vps4 in NPC function. To test this idea, we examined the distribution of GFP-Nup49 in *ESCRT* strains. While in the majority of cases GFP-Nup49 was distributed at the NE in a punctate pattern representative of NPCs (Fig. 4A and B), it accumulated in a single cluster in \sim 10% of $\frac{sn}{7}$ and $\frac{vps}{4}$ cells (Fig. 4A, arrowheads, B) and ~14% of *snf7 pom152* and *vps4 pom152* cells. This nup cluster is morphologically distinct from the typical NPC clustering seen in genetic backgrounds where NPC assembly is perturbed like in $nup133$ (Doye et al., 1994), $nup120$ (Aitchison et al., 1995; Heath et al., 1995), *rtn1* yop1 (Dawson et al., 2009) and *pom33* per33 (Chadrin et al., 2010) cells (Fig. 4A). In these strains, the majority of the GFP-Nup49 is found in the NPC cluster consistent with a block in the assembly of all NPCs. In contrast, a variable fraction of the total GFP-Nup49 is found clustered in *vps4* and *snf7* cells, with a pool that remains uniformly distributed at the NE. This suggests that these nup clusters do not arise through the disruption of the assembly of every NPC. Interestingly, ~5% of *heh1* and ~10% of *heh2* cells had a morphologically similar nup cluster to *vps4* /snf7 strains (Fig. 4A, B) further supporting that Heh2 functions in a pathway with ESCRT-III/Vps4.

We examined the ultrastructure of the nup cluster in *vps4 pom152* by transmission EM. As shown in Fig. 4C, electron-dense structures morphologically similar to NPCs were crowded together on one side of the NE. Immunostaining with an antibody to the FG-nup, Nsp1, and gold-conjugated secondary antibodies is consistent with the conclusion that they contain nups (Fig. 4C). However, many of these densities were not uniform in size with diameters that often exceeded 100 nm, suggesting that they might not represent 'normal' NPCs. Interestingly, rarely (9/~1000 cell sections), we observed invaginations of the INM and vesicular structures in the NE lumen filled with protein density (Fig. 4D–G). These structures are reminiscent of perinuclear vesicles observed during viral (Mettenleiter et al., 2013) and megaRNP (Jokhi et al., 2013; Speese et al., 2012) nuclear egress.

The Storage of Improperly assembled Nuclear Pore Complexes

The lack of uniformity of the NPC-like densities in *vps4 pom152* cells prompted us to investigate whether the cluster contained fully formed NPCs by localizing GFP-tagged nups from each of the major nup subcomplexes (Fig. 1A; 5A, left "GFP" panels). We used Nup170-mCherry as a cluster reference to evaluate co-localization. Orthogonal views show a plaque-like accumulation on the surface of the NE (Fig. 5A, far right panel). Since the cluster varies in size, we also related the level of enrichment of total nup-GFP levels (*Equery*) that were proportioned into the cluster compared to the enrichment of Nup170 mCherry (*Eref*) by calculating a *Equery:Eref* ratio. These analyses showed that components of the membrane (Ndc1), inner (Nup188), outer (Nup85) ring complexes, and FG nups (Nup49) were localized to a cluster at the NE. Interestingly, while the mean value of relative enrichment for these nups was ~ 0.9 , there was a broad range (0.65–1.25) at the single cell level, suggesting variability in the accumulation of individual nups, which would not be predicted if the cluster contained mature NPCs (Fig. 5B). Most strikingly, the relative enrichment of the cytoplasmic and nuclear basket nups varied much more widely $(0.1-1.05)$ with mean values of ~ 0.6 and ~ 0.5 , respectively. A similar trend for all tested nups was observed in the nup clusters of *snf7*Δ and *vps4*Δ cells (Fig. 5B) and examples of *vps4*Δ cells in the same field showing different *Equery:Eref* ratios are displayed in Fig. 5C. Taken together, our data suggest that the cluster is predominantly filled with variable relative amounts of scaffold nups that are severely depleted of the peripheral elements of the NPC.

We therefore interpret the cluster of nups observed in *vps4 /snf7* cells to be composed of malformed NPCs of irregular nup composition and term this structure the SINC for **S**torage of **I**mproperly assembled **N**uclear Pore **C**omplexes.

The SINC accumulates due to NPC misassembly and not disassembly

To evaluate whether the accumulation of malformed NPCs in the SINC is a result of NPC breakdown or defects in NPC assembly, we tested whether NPC assembly factors accumulate in the SINC. We localized several GFP-tagged (GFP panels, Fig. S4) integral membrane proteins implicated in early NPC assembly events including Heh2 (Yewdell et al., 2011), Pom33, Per33 (Chadrin et al., 2010), Rtn1 (Dawson et al., 2009) and the SUNprotein Mps3 (Talamas and Hetzer, 2011) with Nup170-mCherry as a SINC reference (Fig. S4). All of these proteins were found enriched in the SINC. In contrast, we did not observe colocalization with spindle pole body proteins (Spc42-GFP) or with other NE membrane proteins like Hmg1 (Fig. S4). These data support a model in which the SINC forms during NPC assembly.

We next simultaneously assessed the distributions of an assembled (mCherry) and newly synthesized (GFP) version of the scaffold nup, Nup85, using recombination induced tag exchange (RITE) in *vps4 pom152* cells (Fig. 5D)(Verzijlbergen et al., 2010). In cells in which the genetic switch from the mCherry to GFP version was induced, the intensity of the "old" Nup85-mCherry at the SINC and at the NE remained constant over at least 60 minutes (Fig. 5E, F). In striking contrast, we observed the appearance and accumulation of "new" Nup85-GFP in the SINC. Importantly, not all Nup85-GFP is targeted to the SINC, as we also observed uniform NE accumulation. Taken together, these data are consistent with the interpretation that some NPC assembly events result in the formation of the SINC, which is representative of an accumulation of aberrant NPC assembly products rather than NPC breakdown.

The SINC is enriched in old mother cells

The accumulation of malformed NPCs in the SINC evoked a quality-control mechanism analogous to the incorporation of misfolded proteins into compartments like the juxtanuclear quality control compartment (JUNQ) and insoluble protein deposit (IPOD), which are subsequently retained in mother cells (Kaganovich et al., 2008; Spokoini et al., 2012). We therefore wondered whether the low penetrance of the SINC in 10–14% of *vps4* cells (Fig. 4B) reflected its enrichment in aged mother cells. To test this possibility, we assessed the replicative age of *vps4 pom152* cells by counting bud scars using calcofluor staining. Remarkably, the proportion of cells containing the SINC increased with bud scar number such that it was visible in virtually all cells containing >5 bud scars (Fig. 6A, B). To directly visualize SINC fate, we monitored its appearance and segregation through multiple divisions in *vps4* (Fig. 6C) and *vps4* pom152 (Fig. 6C, Movie S1) cells. Strikingly, in ~90% of mitoses the SINC was retained in the mother cell (Fig. 6D). Interestingly, we observed similar mother retention of the nup cluster of *heh2* cells (Fig. 6D; Movie S2), suggesting that this cluster might also be a SINC, whereas daughters inherited virtually all $nup133$ / *nup120* NPC clusters (Fig. 6D, Movies S3 and S4).

Nuclear transport defects segregate with SINC

In a model in which the SINC is a repository for defective NPCs that is restricted from being transmitted to daughters, we wondered whether nuclear transport would also be asymmetrically inherited. We monitored the localization of a 'classical' NLS reporter (NLS-GFP) in WT, $pom152$, $vps4$ and $vps4$ $pom152$ cells through multiple cell divisions and related a nuclear:cytoplasmic ratio for mother and daughter cells just after cytokinesis. In WT and $pom152$ cells, there were no differences between the relative nuclear accumulation of the NLS-GFP reporter (Fig. 6E, F). In contrast, in SINC-containing *vps4* or *vps4 pom152* cells, there was a clear reduction of NLS-GFP nuclear accumulation specific to mother cells, which was reversed in daughters (Fig. 6E, F).

Increased levels of nups in *vps4* **mother cells**

SINC formation occurs in cells lacking Heh2, ESCRT-III or Vps4, suggesting that the ESCRT machinery counteracts the accumulation of misassembled NPCs. Our data are therefore consistent with two potential models of ESCRT function. In one, ESCRT-III/Vps4 directly contributes to NPC biogenesis. In another, it prevents aberrant NPC assembly. To differentiate between these possibilities, we measured nup levels by quantifying the total fluorescence of Nup85-GFP at the NE in mother and daughter cells through several divisions. In WT and *pom152* cells, Nup85-GFP levels did not change, suggesting that there are similar NPC numbers in mothers and daughters after each division (Fig. 7A). A model in which Vps4 functions in NPC assembly predicts that the total levels of nups would be unchanged. Remarkably, however, in *vps4* and *vps4 pom152* cells there was a dramatic rise in Nup85-GFP fluorescence at the NE through each division that was restricted to mother cells; the levels of Nup85-GFP in *vps4* and *vps4 pom152* daughter cells did not appreciably change (Fig. 7A). These results suggest a model in which the SINC contains defective NPCs that would not otherwise form in the presence of Vps4, and implicate Vps4 in clearing or preventing the accumulation of defective nups at the NE.

Vps4 destabilizes defective NPC assembly intermediates

To gain more insight into the mechanism of ESCRT-III/Vps4 function, we modeled a defective NPC assembly step by introducing a temperature sensitive allele of the essential nup Nic96 (*nic96-1)*; at the non-permissive temperature (34°C, Fig. S5A) NPCs are not formed in these cells (Grandi et al., 1995). Consistent with this, we observed a decline of Nup85-GFP fluorescence at the NE and a concomitant increase in cytoplasmic Nup85-GFP in *nic96-1* cells grown at 34°C for several hours (Fig. 7B). Interestingly, we observed a \sim 40% reduction in the total levels of Nup85-GFP after 9 hours at 34°C by Western blot (Fig. 7C, D). These results suggest either a reduced synthesis or degradation of Nup85 when NPC assembly is inhibited. Strikingly, the deletion of *VPS4* resulted in the accumulation of Nup85-GFP in plaques reminiscent of the SINC (Fig. 7B). The fluorescence intensity of these plaques suggested a build up of Nup85-GFP levels. Indeed, when we examined protein levels by Western blot, the *nic96-1vps4*Δ cells showed a stabilization of Nup85-GFP to WT levels (Fig. 7C, D), which could not be explained by differences in growth rate (Fig. S5B) or transcript levels as measured by reverse transcriptase-quantitative PCR (Fig. 7E).

We next assessed whether the degradation of Nup85 was driven by the proteasome or vacuolar peptidases. As shown in Figure 7C and D, Nup85 levels were stabilized when proteasome function was attenuated through deletion of Rpn4 (Johnson et al., 1995) and unaffected in $pep4$ strains where vacuolar proteases are not activated (as monitored by the accumulation of the pro-form of carboxypeptidase Y (CPY)(Ammerer et al., 1986)(Fig. 7C)). Similar results were obtained by treating cells with either the proteasome inhibitor MG132 or the vacuolar peptidase inhibitor AEBSF (Lee and Goldberg, 1996)(Fig. S5C, D). Together, our results suggest a role for Vps4 in the clearance and proteasome-mediated degradation of Nup85 that is not assembled correctly.

DISCUSSION

Budding yeast ensure the formation of functional NPCs through: 1) an ESCRT-III/Vps4 dependent mechanism that plays a role in potentially recognizing and clearing defective NPC assembly intermediates and, 2) a compartment that we term the SINC that sequesters defective NPCs to prevent their passage to daughter cells. Together these mechanisms create a robust quality control system that ensures nuclear compartmentalization is maintained in progeny and supports the notion that defective NPCs might reduce replicative lifespan (Colombi et al., 2013; Lusk and Colombi, 2014; Makio et al., 2013; Shcheprova et al., 2008).

Our data implicate the ESCRT machinery in preventing the formation of nonfunctional NPCs. We propose a model in which ESCRT-III/Vps4 helps recognize and clear defective NPC assembly intermediates before a step in which they are committed to maturing into a malformed NPC destined for the SINC. The nature of this commitment step remains unclear but it is likely related to the fusion of the INM and ONM; it is difficult to imagine removing a double-membrane spanning complex without threatening nuclear integrity. Consistent with this assertion, in some post-mitotic cells the scaffold of the NPC is extremely long-lived, suggesting that there are no turnover mechanisms capable of removing NPCs (Savas et al., 2012; Toyama et al., 2013). Indeed, even pathways like piece-meal autophagy of the nucleus do not degrade NPCs (Pan et al., 2000).

While intact NPCs might not be degraded, we observe the proteasome-mediated degradation of at least Nup85 when NPC assembly is inhibited, supporting a role for ubiquitin modification of nups in the surveillance process; several studies have identified that nups are ubiquitylated (Hayakawa et al., 2012; Hitchcock et al., 2003; Peng et al., 2003). Interestingly, unlike the canonical ESCRT-mediated degradation of ubiquitylated membrane receptors that are recognized by ESCRT-0, I and II, the pathway here invokes a model in which ubiquitylation would occur after ESCRT-III/Vps4 function. This might explain why we do not observe vacuolar degradation of nups, which would be more typical of an ESCRT-mediated pathway.

The absence of the ubiquitin-binding arm of the ESCRT-machinery and the known endosomal ESCRT-III recruitment mechanism, which includes Vps25 and the ALIX homologue Bro1(Saksena et al., 2009; Teis et al., 2010), raises the question of how ESCRT-III is recruited to the NE. Our data support that NE-specific adaptors like Heh2 and Nup170

(either alone or in complex) are the most likely candidates to recruit Snf7. Moreover, a physical interaction between Snf7 and Heh2 provides an attractive hypothesis for how defective NPC assembly intermediates are recognized. Our prior data support that Heh2 is capable of binding to Pom152 and Nup170, a likely early intermediate in the NPC assembly pathway (Yewdell et al., 2011). Since Heh2 is not a stable component of NPCs, we suggest that it has the inherent capacity to distinguish between an assembly intermediate and fully formed NPCs. The molecular mechanism of this potential switch might underlie the commitment step between surveillance and the formation of an NPC, or the SINC.

The interaction of Snf7 with a putative Heh2-nup complex suggests that a step in surveillance is the removal of a membrane-associated complex. The general role of AAA-ATPases including Vps4 in remodeling protein complexes might indicate a direct role for Vps4 in removing nups prior to their degradation (Hanson and Whiteheart, 2005; Scott et al., 2005). Since ESCRT-III is Vps4's primary substrate (Obita et al., 2007; Shestakova et al., 2010), this scenario further emphasizes the importance of Snf7's interactions with Heh2 and nups in contributing to their ultimate clearance. It should be considered that, *a priori*, such surveillance need not occur at the NE, yet we favor that model because we localize Snf7 interactions at the NE using BifC.

A unifying theme of ESCRT-III function is the formation of helical filaments that contribute to membrane deformation and scission (Henne et al., 2013). Since there is evidence that NPC assembly intermediates accumulate at the INM during the initial steps of NPC assembly (Doucet et al., 2010; Funakoshi et al., 2011; Makio et al., 2009; Marelli et al., 2001; Talamas and Hetzer, 2011; Yewdell et al., 2011), we wonder whether clearance of defective NPC assembly intermediates might occur through the formation of an intralumenal vesicle derived from the INM that would be delivered to the proteasome in the cytoplasm. While we see intralumenal vesicles in *vps4* cells, their rarity made it unfeasible to identify their protein constituents by immuno-EM. Nonetheless, this model remains attractive as ESCRT-III function at the INM might support nuclear egress of herpesvirus (Mettenleiter et al., 2013) and megaRNPs (Speese et al., 2012). Last, we wonder whether the INM invaginations observed in $nup116$ (Wente and Blobel, 1993), *gle2-1* (Murphy et al., 1996), and *apq12* (Scarcelli et al., 2007) strains might reflect ESCRT-III function, as the machinery that drives these phenotypes remains poorly understood.

In eukaryotes that undergo mitotic NE breakdown, the surveillance mechanism described here is likely most relevant during NPC biogenesis in interphase or in post-mitotic cells. Interestingly, there is precedence for mitotic surveillance of the assembly of the nuclear basket that delays abscission (Mackay et al., 2010). Remarkably, co-depletion of the Snf7 orthologue, Chmp4C, alongside Nup153, overrides this checkpoint (Carlton et al., 2012), exemplifying another link between the NPC and ESCRT-III. Together, these results emphasize the importance of NPC assembly quality control at all steps of the cell cycle and highlight the critical importance of ensuring nuclear compartmentalization.

EXPERIMENTAL PROCEDURES

Yeast strains and epistasis analysis

All strains are listed in Table S1; see Extended Experimental Procedures for their generation. Epistasis was determined by comparing colony sizes on plates containing 10 fold serially diluted single and double deletion strains after 48 h at RT for at least three independent experiments. We quantified epistasis by measuring colony size using the formula $W_{xy} = \alpha_x \alpha_y + \epsilon$, where W_{xy} represents the fitness of the double knockout strain, α_x and α_{v} are the fitness of the single knockouts, and ε is the deviation due to epistasis (Phillips, 2008).

Fluorescence Microscopy

All images were acquired on a Deltavision widefield deconvolution microscope (Applied Precision/GE Healthcare) with an Evolve™ EMCCD camera (Photometrics) or a CoolSnap HQ² CCD camera (Photometrics)(Fig. 2B, 5A, 5C, 6A, S2B, and S4). Cells were either placed on 1.4% agarose pads containing CSM and 2% dextrose and sealed with VALAP $(1:1:1, \text{vaseline:}$ lanolin:paraffin) or in microfluidic plates $(\text{Y04C}/\text{CellASIC}^{\text{TM}})(\text{Fig. 6C, F,})$ and S5B).

Affinity purification/binding experiments

Heh1/2-GFP were purified from cell extracts using GFP-Trap_M magnetic beads (Chromotek) and bound proteins eluted with SDS-PAGE sample buffer. Similarly, for binding assays using recombinant GST-fusions as bait, GST-fusions were purified on GTsepharose from *E. coli* extracts before incubation with yeast cell extracts. Bound proteins were eluted with SDS-PAGE sample buffer and processed for Western blotting.

Electron microscopy

For immuno-EM, cells were fixed with 4% PFA and 0.1% glutaraldehyde and processed for immunolabeling with anti-Nsp1 antibody (32D6, Abcam), followed by 10 nm gold-labeled secondary antibodies (Fig. 4C). For high pressure freezing (Fig. 4D–G) cells were frozen using a Leica HMP101 and freeze substituted using a Leica Freeze AFS unit. Grids were viewed in a FEI Tecnai Biotwin TEM at 80 kV.

Quantification of Western blots

HRP-conjugated secondary antibodies and ECL were used to detect proteins on Western blots. For quantification of relative protein levels, the integrated density of an area surrounding the protein band was measured using Fiji/ImageJ (Schindelin et al., 2012) and normalized to the mean integrated density of the entire lane visualized using Ponceau S.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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HIGHLIGHTS

- **•** There is a surveillance mechanism that ensures proper nuclear pore complex assembly
- **•** A quality control compartment prevents the inheritance of malformed NPCs
- **•** ESCRT-III is recruited to the nuclear envelope by inner nuclear membrane proteins
- **•** Vps4 clears defective NPC intermediates via proteasomal degradation

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(A) Schematic of the NPC. Nups shown in the inner (red oval), outer (blue oval), and membrane (orange oval) ring complexes; nuclear basket and cytoplasmic filaments in green. FG-nups are grey lines and linker nup Nic96 is grey oval. Integral (Heh1/2) and associated (Esc1) INM proteins shown.

(B) *POM152* interacts with ESCRT-III genes and *VPS4*.

(C) Quantification of epistasis (ε) between *POM152* and ESCRT genes. ε can range from −1 to 1 where negative numbers are synthetic sick interactions and positive numbers are suppressive interactions. Mean +/- s.d. from 3 experiments. "E" abbreviates ESCRT. (D and E) Schematic of all tested genetic interactions.

(F) *VPS4* and *SNF7* genetically interact with *HEH2*.

See Figure S1.

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Figure 2. Snf7 physically interacts with Heh1 and Heh2 at the NE

(A) Diagram of BifC where bait and prey are co-expressed as VN and VC fragments of Venus. Interactions of bait and prey result in Venus fluorescence.

(B) Snf7 interacts with Heh1, Heh2 and Nup170 at the NE. Fluorescent micrographs of cells expressing VC and VN fusions. Background fluorescence has been enhanced to facilitate interpretation of the low signal to noise in some cells. Cell boundaries traced from transmitted light images (not shown). Bar is 5µm. Insets are a merge of fluorescent micrographs of Venus and the NE/ER marker HDEL-dsRed. In some cells vacuoles (V) are visible due to autofluorescence. Bar in inset is 1 µm.

(C) Plot of the percentage of cells expressing VN and VC fusions where Venus was observed. Plots are mean +/− s.d. from 3 experiments (n>200).

(D) Plot of the percentage of fluorescent spots seen colocalized with HDEL-dsRED at the NE. Mean +/− s.d. from 3 experiments (n>40).

See Figure S2.

Figure 3. Heh2 specifically binds Snf7

(A) Snf7 co-purifies with Heh2-GFP in *vps4* cells. Western blots of proteins bound to Heh1- and Heh2-GFP from WT and *vps4* cell extracts.

(B) Schematic of the topology and domain architecture of Heh2. LEM is Lap2-emerin-MAN1, MCHD is MAN1 C-terminal Homology Domain and TMD is transmembrane domain.

(C and D) Snf7 specifically interacts with the N-terminus of Heh2. GST-fusions of Heh2 domains were immobilized on GST-beads (bottom, coomassie panels) and incubated with

buffer or whole cell extracts (WCE) as indicated in legend. HA fusions detected by Western blot. See Figure S3.

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vps4Apom152A

Figure 4. Nups accumulate in a cluster at the NE in the absence of ESCRT-III/Vps4

(A) GFP-Nup49 accumulates at one side of the NE in *snf7* and *vps4* strains. Maximum intensity projections shown. Arrowheads point to the nup cluster. Bar is 5µm.

(B) Plot of the percentage of cells in the indicated strains where GFP-Nup49 accumulates in a cluster. Mean +/− s.d. from 3 experiments (n>400).

(C-G) TEM micrographs of *vps4 pom152* cells showing an accumulation of NPC-like structures on one side of the NE (pseudo-colored red) in addition to intralumenal vesicles. All bars are 200 nm. In (C), an anti-Nsp1 antibody and 10nm-gold conjugated secondary

antibodies label the NPC-like structures. "N" and "C" represent nucleoplasm and cytoplasm, respectively. Arrows, double arrows and arrowhead point to the ONM, INM and INM invaginations, respectively. Asterisks label putative vesicles in the NE lumen.

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Figure 5. The SINC is a product of NPC misassembly

(A) The SINC contains an irregular nup composition depleted of cytoplasmic and nucleoplasmic nups. *vps4 pom152* cells expressing GFP-tagged nups and Nup170mCherry. The 4th column is an orthogonal (x,z or y,z) view of the SINC. Bar is 1 µm. (B) Plot of the relative nup-GFP enrichment *(Equery)* in the SINC compared to Nup170 mCherry *(Eref)* expressed as a *Equery:Eref* ratio. Line is mean, error bars are s.d., grey circles are individual cells, and red circles with numbers correspond to the indicated cells in (C). n=20 in each of 3 experiments.

(C) Variability in the relative accumulation of Nup60-GFP and Nup170-mCherry in the SINC. Arrowheads point to SINCs, numbers refer to individual cells in (B). Bar is 5µm. (D) Schematic of RITE where estradiol induces a genetic switch from the production of Nup-mCherry to Nup-GFP. EBD is estradiol binding domain.

(E) Newly synthesized Nup85-GFP accumulates in the SINC. Fluorescent micrographs of the nucleus of a *vps4 pom152* cell showing Nup85-GFP (New/85-GFP), Nup85-mCherry (Old/85-mCh) and merged images at 10 min intervals in the presence of estradiol. Bar is 1 µm.

(F) Quantification of Nup85-GFP and mCherry fluorescence (normalized fluorescence intensity in arbitrary units (A.U.)) at the NE and SINC after estradiol addition, values normalized to t=0. Mean $+/-$ s.d. from 3 experiments (n=3, 5, 5). See Figure S4.

Figure 6. The SINC is not transmitted to daughter cells

(A) The SINC accumulates in old mothers. Fluorescence micrographs of Nup85-GFP in *vps4 pom152* cells with the indicated number of bud scars stained with calcofluor. Bar is 1 µm.

(B) Plot of percentage of cells with Nup85-GFP in SINC in reference to bud scar number. Mean +/− s.d. from 3 experiments, n>60.

(C) The SINC is retained in mother cells. Images of Nup85-GFP at a mother (M) nucleus and of its four daughter nuclei (D1-D4) in the indicated strains. Fluorescence in each image

series is normalized to the fluorescence of the mother cells after the final division to avoid saturating the SINC signal. Bar is 1µm.

See Movie S1.

(D) NPC clusters of *nup120A* and *nup133A* strains are not retained in mothers. Plot of the percentage of divisions that result in the retention of nup clusters in mother. Mean +/− s.d. from 3 experiments.

See Movies S2, S3, S4.

(E) Nuclear compartmentalization is lost in *vps4A* mother cells. Plot of the quantification of the relative nuclear accumulation of NLS-GFP between individual mother (M) and daughter (D) cells expressed as a ratio of M_{NUC} : M_{CYT} to D_{NUC} : D_{CYT} . Eleven divisions (mother and daughter) analyzed from 3 experiments. Mean +/− s.d. P values from an unpaired, two-tailed t-test.

(F) Daughter cells retain nuclear transport. Fluorescence micrographs of NLS-GFP of a mother (M) and its daughter (D) after division. Fluorescence intensities along indicated lines are plotted. MIP is maximum intensity projection. Bar is 1µm.

Figure 7. Vps4 destabilizes misassembled nups

(A) An extra pool of Nup85 accumulates in the SINC of *vps4* mothers. Plot of total Nup85-GFP fluorescence in mother (left) and daughter (right) nuclei measured after each of three divisions (normalized to first). Mean +/− s.d. from 3 experiments in which 5 cells (mother and daughter) were measured.

(B) Nup85-GFP is stabilized in *vps4* cells when NPC assembly is inhibited. Micrographs of Nup85-GFP at either RT or at 34°C. Bars are 5µm.

(C) Nup85-GFP is degraded by the proteasome when NPC assembly is inhibited. Western blot of Nup85-GFP levels (with actin or CPY load controls) from experiments identical to that shown in B.

(D) Plot of the quantitation of Nup85-GFP levels in the indicated strains. Mean +/− s.d. from 3 experiments.

(E) *NUP85* transcript levels decline in *nic96-1vps4*Δ cells. RT-qPCR analysis of *NUP85* transcript compared to WT cells.

See Figure S5.