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## Requirement for Interactions of Natural Killer T Cells and Myeloid Derived Suppressor Cells for Transplantation Tolerance

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### Abstract

The goal of the study was to elucidate the cellular and molecular mechanisms by which a clinically applicable immune tolerance regimen of combined bone marrow and heart transplants in mice results in mixed chimerism and graft acceptance. The conditioning regimen of lymphoid irradiation and anti-T cell antibodies changed the balance of cells in the lymphoid tissues to create a tolerogenic microenvironment favoring the increase of natural killer T (NKT) cells, CD4<sup>+</sup>CD25<sup>+</sup> Tregs, and Gr-1<sup>+</sup>CD11b<sup>+</sup> myeloid derived suppressor cells (MDSCs), over conventional T cells. The depletion of MDSCs abrogated chimerism and tolerance, and add back of these purified cells was restorative. The conditioning regimen activated the MDSCs as judged by the increased expression of arginase-1, IL-4R $\alpha$ , and PDL1, and the activated cells gained the capacity to suppress the proliferation of conventional T cells to alloantigens in the mixed leukocyte reaction. MDSC activation was dependent on the presence of host invariant NKT cells. The conditioning regimen polarized the host invariant NKT cells toward IL-4 secretion, and MDSC activation was dependent on IL-4. In conclusion, there was a requirement for MDSCs for chimerism and tolerance, and their suppressive function was dependent on their interactions with NKT cells and IL-4.

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#### Author Contributions

D. Hongo designed and performed research, contributed vital analytical methods, collected, analyzed and interpreted data, and wrote the manuscript; X. Tang purified MDSCs cells and helped perform adoptive transfer experiments; J. Baker helped perform in vitro experiments; E. G. Engleman helped with research design; S. Strober provided overall research supervision and wrote the manuscript.

#### Disclosure

The authors of this manuscript have no conflicts of interest to disclose as described by the American Journal of Transplantation.

#### Supporting Information

Additional Supporting Information may be found in the online version of this article.

## Introduction

A continuing important goal in the field of organ transplantation is the induction of immune tolerance in order to eliminate the lifelong need for anti-rejection drugs and their attendant side effects. Although many tolerance induction protocols have been successfully developed in laboratory animals, only a few, using hematopoietic cell transplantation to induce chimerism and tolerance have been applied to clinical trials (1–6). The conditioning regimen of total lymphoid irradiation (TLI) used with the T cell depletive reagent, anti-thymocyte globulin/serum (ATG/ATS), has been shown to induce tolerance in mice and humans after the development of persistent mixed chimerism (3, 4, 7–10). The conditioning creates an imbalance of T cell subsets favoring immunosuppressive invariant natural killer T (NKT) cells and Treg cells over conventional T cells (8–10). The NKT and Treg cells are required for chimerism and tolerance induction, and the lack of NKT cells in  $J\alpha 18^{-/-}$  mice or the depletion of Treg cells in wild type mice by a single pretransplant injection of anti-CD25 mAb abrogated tolerance (9, 10). Add back of wild type purified NKT cells to  $J\alpha 18^{-/-}$  mice or purified wild type  $CD4^+CD25^+$  T cells to Treg depleted wild type mice restored tolerance (9, 10). Furthermore, the added back host NKT cells must produce IL-4 in order to activate the host Treg cells to produce IL-10 that is also necessary for tolerance (9, 10). The TLI and ATS conditioning biases the NKT cells and Treg cells as well as Tcon cells toward secretion of these Th2 cytokines (9–11). Interestingly, after TLI based conditioning the host NKT cells can also interact with donor Treg cells in an IL-4 dependent manner to protect recipients against graft versus host disease (GVHD)(12). In the latter model, the NKT cells must first interact with host  $CD11c^+MHCII^+Gr-1^{lo}$  dendritic like cells that subsequently interact with donor Tregs in a Stat-6 dependent manner (13).

In the current work, we determined whether host myeloid derived suppressor cells (MDSCs), myeloid cells identified by the  $Gr-1^{hi}CD11b^+$  phenotype in mice (14–17) play, a required role in the development of chimerism and tolerance using the TLI and ATS conditioning regimen. A previous study of tolerance induction using a non-chimeric model of co-stimulation blockade showed that MDSCs were required for heart graft acceptance, and that the MDSCs were generated in the bone marrow and needed to traffic to the heart graft in a CCR2 dependent manner (18). In the present study, we determined whether the MDSCs must interact with NKT cells that are activated during the conditioning regimen to achieve tolerance, since previous studies of immunity to the CT26 colon tumor reported that MDSCs suppress tumor immunity after interaction with non-invariant (type II) NKT cells (19–22).

The results of the current study show that MDSCs are required for the induction of chimerism and tolerance in the TLI and ATS protocol, and that the MDSCs are activated to suppress alloreactivity by the direct or indirect interaction with host invariant (type I) NKT cells and IL-4.

## MATERIALS AND METHODS

### Mice

Adult 8–10-week old male BALB/c (H-2K<sup>d</sup>), C57BL/6 (H-2K<sup>b</sup>) and IL-4<sup>-/-</sup> (BALB/c) mice were purchased from the Jackson Laboratory (Maine). C57BL/6 neonates were obtained from Charles River Laboratories. CD1d<sup>-/-</sup> (BALB/c; H-2K<sup>d</sup>) (23) and Ja18<sup>-/-</sup> (BALB/c; H-2K<sup>d</sup>) (24) were bred in the Department of Comparative Medicine, Stanford University. All the animals were maintained in the department according to institutional guidelines approved by the National Institutes of Health (NIH).

### Cardiac transplantation and monitoring for graft survival

Heterotopic heart transplantation was performed on day 0 using C57BL/6 neonatal hearts (one day old) transplanted into the ear pinna of anesthetized BALB/c hosts as previously described (25). Graft survival was assessed by daily palpation, and rejection by cessation of heartbeat. Heart grafts that failed within 72h were excluded from the experimental groups as “technical failures”.

### TLI conditioning and Rabbit antithymocyte serum treatment

Mice were conditioned with 10 doses of 240cGy total lymphoid irradiation (TLI) using an X-ray machine (Polaris MC-500, KIMTRON inc. Woodbury, CT, USA) as described before (7–10). In addition, BALB/c hosts were also injected intraperitoneally with 5 doses of 0.05 mL of anti-thymocyte serum (ATS) in 0.5mL saline (Accurate chemical and Scientific, Westbury, NY).

### Bone marrow transplantation and assessment of graft tolerance

Bone marrow harvesting and transplantation was performed as previously described (26, 27). TLI and ATS conditioned hosts (10 – 12 mice per group per experiment) were injected intravenously with an inoculum of donor C57BL/6 BM ( $50 \times 10^6$ ). Bone marrow graft acceptance was assessed by chimerism (donor - derived cells in blood) by staining PBMC with anti-H-2K<sup>b</sup> mAb.

### Reagents and immunophenotypic analysis by flow cytometry

The antibodies used for phenotypic analysis of the cells were purchased from ebiosciences: anti-PD-1 (clone: J43), anti- CD25 (clone: PC61.5), anti- CD4 (GK1.5), anti- MHCII (IA/IE), anti-H-2K<sup>b</sup> and anti- PDL1 (clone: MIH5) or Biolegend: anti- Gr-1 (clone: RB6-8C5), CD11b (clone: M1/70), anti- TCR $\beta$  (clone: H57-597), anti- CD8, anti- CD4, anti- Tim-3 (clone: B8.2C12), and anti- mouse F4/80 (clone: BM8); and anti-Ly6C (clone: AL-21), anti-Ly6-G (clone: 1A8), anti-CD124 (IL-4R $\alpha$ ), or anti- arginase-1 from BD biosciences. The fluorescent conjugates used were as follows: phycoerythrin (PE), PE– CY7, FITC, allophycocyanin (APC), APC-CY7, pacific blue, peridinin-chlorophyll-cy5.5 (PerCP Cy5.5), and aqua amine (Molecular Probe, Invitrogen) for exclusion of dead cells. NKT cells were stained using CD1d tetramer (tet) obtained from the NIH Tetramer facility, Rockville, MD.

### Anti-Gr-1 monoclonal antibody treatment

For the depletion studies, MDSCs (CD11b<sup>+</sup>Gr-1<sup>+</sup>) were depleted with a single intraperitoneal injection of anti-Gr-1 mAb (clone: RB6-8C5)(280µg/ml) on day 13 after heart transplant and 2 days before bone marrow cell infusion on day 15. The efficacy of depletion was verified 24 and 72 hrs post injection by FACs.

### Intracellular staining for the detection of arginase-1

For intracellular staining of arginase-1, cells were fixed and permeabilized with Fix/perm buffer (eBioscience), washed and then stained with anti-arginase-1 (BD biosciences), as described before (28).

### Sorting of MDSCs for adoptive transfer experiments and in vitro assays

Splenocytes were enriched using anti- CD11b microbeads and MACS columns (Miltenyi), and stained with anti- CD11b (Mac-1) and Gr-1 mAbs, sorted using the FACS Aria cell sorter.

### Sorting of NKT cells for adoptive transfer into IL-4<sup>-/-</sup> conditioned mice

NKT cells were enriched from the spleen of wild type untreated BALB/c mice after incubation with CD1d tetramers and purification on MACS columns before FACS sorting as described before (10).

### In vitro MDSC suppression assays, (Mixed leukocyte reactions)

Single cell suspensions of enriched and sorted MDSCs cells obtained from untreated wild type (UNT WT), or TLI/ATS conditioned wild type, or Jα18<sup>-/-</sup> or CD1d<sup>-/-</sup>, or IL-4<sup>-/-</sup> hosts, 5 days after completion of conditioning were cultured in RPMI medium with 10% fetal calf serum in flat-bottomed 96-well plates (Corning, B.V.) at a density of  $1 \times 10^5$  cells per well. Stimulator cells (splenocytes) were obtained from C57BL/6 or BALB/c male mice, irradiated with 3,000 rad from a <sup>137</sup>Cs source (Shephard), and cultured at a density of  $2 \times 10^5$  cells/well.

Preparations of responder cells obtained from BALB/c or C57BL/6 splenocytes were pulsed with 2.5µM CFSE (Molecular Probe, Invitrogen) and cultured at a density of  $1 \times 10^5$  cells/well. The responders, stimulators and respective MDSCs were cultured at 37°C and 5% CO<sub>2</sub> in Complete (10% FBS) RPMI medium for 5 days. To assess MDSCs mediated suppression of responder cell proliferation, cells were harvested, and stained with TCRαβ, CD4, and CD8 T cell markers versus CFSE (FITC) using FACS LSRII analyzer (BD). Data was reported as percentage of CFSE<sup>dull</sup> cells among gated CD4<sup>+</sup> and CD8<sup>+</sup> T cells.

### In vitro culture and (IL-4, IL- 13 and IFNγ) Cytokine analysis

Invariant splenic NKT cells were purified and stimulated in vitro using polyclonal activators, phorbol myristate acetate (PMA) and ionomycin as previously described (9). The culture supernatants from the wells were harvested after 48 hours, and analyzed. The quantity of IL-4, IFNγ and IL-13 production was measured using a luminex machine (Bioplex, BioRAD).

## Statistical analysis

The data were analyzed using the Mann-whitney test, and expressed as mean  $\pm$  SE. The p-values of  $<0.05$  were considered significantly different. The survival curves were analyzed using Logrank (mantel-cox) test.

## Results

### An imbalance favoring NKT cells, Tregs, and MDSCs over Tcon cells creates a tolerogenic microenvironment

In the current study, we conditioned BALB/c mice with 10 doses of TLI of 240cGy each and 5 doses of ATS given during the first 2 weeks after C57BL/6 heart transplantation as shown in Figure 1A. Donor bone marrow cells ( $50 \times 10^6$ ) were injected intravenously immediately after the completion of conditioning on day 15. Figure 1B shows the changes in the composition of spleen cells in untreated control BALB/c mice as compared to experimental mice given the full transplantation regimen including infusion of bone marrow cells or experimental mice given only TLI/ATS conditioning regimen without heart or bone marrow transplantation. As shown in representative flow cytometric 2 color profiles in Figure 1B gated on all mononuclear cells, the spleen of untreated mice contained about 10% of CD8<sup>+</sup> T cells and about 16% of CD4<sup>+</sup> T cells. Among the CD8<sup>+</sup> T cells almost all (98.5%) were PD-1<sup>-</sup>Tim-3<sup>-</sup>, and among the CD4<sup>+</sup> T cells about 8% were CD25<sup>+</sup> Treg cells, about 3% were CD1d tetramer<sup>+</sup> NKT cells, and about 6% were PD-1<sup>+</sup>. Among the gated cells that did not stain for CD4 or CD8, about 2% were Gr-1<sup>hi</sup>CD11b<sup>+</sup> cells that expressed the phenotype of MDSCs (14–18).

After transplantation, the balance of cells changed dramatically such that the percentage of CD8<sup>+</sup> and CD4<sup>+</sup> T cells fell at least 5 fold to about 1 to 2% each, and the percentage of MDSCs increased about 8 fold to about 17% Figure 1B (right panel). As shown in Figure 1C, this changed the balance of T cells to MDSCs in the spleen such that the mean percentage of T cells (26%) was about 15 fold higher than MDSCs (1.5%) before transplantation, and about 2 to 3 fold lower (5% vs 12.5%) after transplantation. The changed ratios were explained by the increase in the absolute number of MDSCs in the spleen and a decrease in the absolute numbers of T cells (Supplemental Figure 1). The changed balance of MDSCs and T cells after transplantation was mainly accounted for by the TLI/ATS conditioning regimen, since the mean percentage of T cells fell to about 5% and the mean percentage of MDSCs rose to about 11% after conditioning alone (Figure 1B; middle panel; and Figure 1C). The mean percentages of Tregs, and NKT cells among CD4<sup>+</sup> T cells rose about 5 fold to about 30% and 10% respectively after conditioning alone or transplantation (Figure 1B, and 1C) as reported before (9, 10). As expected, CD4<sup>+</sup>CD25<sup>+</sup> Tregs expressed high levels of intracellular Foxp3 (Supplementary Figure 1C).

Additional changes after conditioning and transplantation or conditioning alone were observed for the expression of the negative co-stimulator receptors, PD-1 and Tim-3, on CD8<sup>+</sup> T cells. Our previous study showed an increase in the mean percentage of PD-1<sup>+</sup>Tim-3<sup>+</sup> cells among CD8<sup>+</sup> T cells from about 1% in untreated mice to about 50% after conditioning alone or after transplantation (10). Similarly, the mean percentage of

PD-1<sup>+</sup> cells among CD4<sup>+</sup> T cells increased from about 5% in untreated mice to about 60% in conditioned or transplanted mice (10). These changes were confirmed in the present study (Figure 1B).

In order to determine the contribution of ATS alone and TLI alone as compared to the combination in promoting an increase in the percentage of MDSCs in the spleen, PBMC, and bone marrow, each tissue was analyzed at the same time point after initiation of treatment as shown in Supplemental Figure 2. TLI alone but not ATS alone induced a significant increase in the mean percentage of MDSCs in the spleen, and there was a significant difference between the combination of TLI and ATS as compared to TLI alone. Addition of transplantation to the TLI/ATS conditioning did not result in a significant increase. The pattern observed in the spleen was also observed in the PBMC and bone marrow with the largest increase observed after TLI/ATS conditioning and transplantation, and no significant increase with ATS alone (Supplemental Figure 2).

### Depletion of MDSCs abrogates tolerance to combined bone marrow and heart transplants

Since MDSCs have not been studied previously in our model system, we determined whether tolerance induction required MDSCs. Mice given the conditioning regimen and combined transplants were treated with an anti-Gr-1 mAb that has been reported previously to deplete MDSCs and abrogate tolerance in a non-chimeric model using co-stimulatory blockade to achieve organ graft acceptance (18). Accordingly, mice given heart transplants and postoperative TLI/ATS conditioning were given anti-Gr-1 mAb on day 13 (2 days before the injection of donor marrow cells on day 15). Figure 2A shows that the marked increase in the Gr-1<sup>hi</sup>CD11b<sup>+</sup> MDSCs in the spleen and PBMC after heart transplantation and conditioning was markedly reduced 24 hour after the injection of mAb and less than 1% of cells were detected by 2 color staining with Gr-1 versus CD11b or Gr-1 versus F4/80.

The depletion of these MDSCs was also confirmed by the reduction of F4/80<sup>+</sup>Ly6G<sup>+</sup> or F4/80<sup>+</sup>Ly6C<sup>+</sup> cells to about 1% or less (Supplemental Figure 3) of spleen cells, since the MDSCs express the latter markers as shown in Figure 3A. The depletion of MDSCs to 1% or less persisted for 48 hours after injection of mAb, and then a gradual increase to pretreatment levels was observed starting at about 72 hours (data not shown). Figure 2B shows that the depletion of MDSCs abrogated tolerance in mice after conditioning and transplantation, since the heart grafts were uniformly rejected by day 35. None of the hosts developed chimerism by day 28 (Figure 2C). The reduction of graft survival and the percentage of donor type cells among PBMCs were significantly reduced as compared to the non-depleted controls ( $p < 0.001$ ).

In further experiments, purified BALB/c MDSCs obtained from TLI/ATS conditioned mice without transplants (see Materials and Methods, and enrichment scheme in Figure 6) were added back to the depleted hosts at the time of the bone marrow cells infusion. Figure 2B shows that the add back significantly increased the heart graft survival as compared to the depleted hosts ( $p < 0.01$ ), and about 40% of grafts survived at least 100 days. Of 8 hosts given the added back MDSCs, 3 had donor cell chimerism of at least 10% in the PBMC as compared to the uniform failure to detect chimerism in the depleted mice without the add back (Figure 2C).

### Activation of MDSCs after transplantation is regulated by NKT cells

In order to study the changes in surface receptors that define subsets of MDSCs during tolerance induction, the expression of Ly6G and Ly6C on Gr-1<sup>+</sup>CD11b<sup>+</sup> MDSCs in untreated wild type BALB/c mice was compared to wild type or CD1d<sup>-/-</sup> BALB/c mice given TLI/ATS conditioning and transplants. Ly6G is highly expressed on granulocytes and Ly6C is highly expressed on monocytes (15, 29–31). Figure 3A shows that staining for Gr-1 versus CD11b in untreated mice detected a population of CD11b<sup>+</sup> cells that could be divided into Gr-1<sup>hi</sup> and Gr-1<sup>lo</sup> subsets as shown in the boxes in the 2 color panels. The percentage of Gr-1<sup>hi</sup>CD11b<sup>+</sup> cells increased from 1% in untreated mice to 6.6% and 6.0% in the WT and CD1<sup>-/-</sup> treated mice respectively, and the percentage of Gr-1<sup>lo</sup>CD11b<sup>+</sup> cells increased from 0.4% to 4.9 and 2.7%. Thus, the increase in Gr-1<sup>hi</sup> and Gr-1<sup>lo</sup>CD11b<sup>+</sup> cells after transplantation occurred in the presence or absence of NKT cells. The Gr-1<sup>hi</sup> and Gr-1<sup>lo</sup>CD11b<sup>+</sup> subsets were gated and examined for expression of Ly6G and Ly6C. Among the Gr-1<sup>hi</sup>CD11b<sup>+</sup> cells the percentage of Ly6G<sup>hi</sup>Ly6C<sup>lo</sup> cells was reduced from 59% in untreated mice to about 18% after transplantation in WT but remained at 58% in CD1d<sup>-/-</sup> mice. The mean values for these cells were significantly different in the WT transplanted mice versus either the untreated or CD1d<sup>-/-</sup> treated mice (Figure 3B). There were opposite significant changes in the mean percentages of Ly6G<sup>lo</sup>Ly6C<sup>lo</sup> cells (Figure 3B). In contrast, there were no significant changes in the staining patterns for Ly6G or Ly6C in the spleen of untreated versus transplanted mice in the gated Gr-1<sup>lo</sup>CD11b<sup>+</sup> cells (Figure 3C). In summary, there was a down regulation of Ly6G expression among splenic MDSCs after transplantation that occurred in wild type, but not in NKT cell deficient mice.

The immunosuppressive molecules PDL1 and arginase-1 are expressed by activated MDSCs in tumor infiltrates or after immune stress, and contribute to their regulatory function (32, 33). In addition, signaling via the IL-4R $\alpha$  and IL-13R has been reported to activate MDSCs to secrete TGF $\beta$  and to upregulate the IL-4R $\alpha$  receptor expression (22, 34). Accordingly, we compared the intensity of staining of these Gr-1<sup>+</sup>CD11b<sup>+</sup> MDSC activation molecules in untreated WT mice versus WT and CD1d<sup>-/-</sup> transplanted mice. Figure 4A–C shows that PDL1, arginase-1, and IL-4R $\alpha$  molecules were all upregulated after transplantation as judged by the increase in staining intensity in WT transplanted mice as compared to untreated mice. The mean intensity of staining was significantly increased for all 3 molecules after transplantation (Figure 4D–F). However, when CD1d<sup>-/-</sup> transplanted mice were studied instead of WT transplanted mice, then there were no significant increases ( $p > 0.05$ ) (Figure 4D–F).

### The increase in MDSCs after transplantation is transient

We examined the kinetics of the increase in Gr-1<sup>hi</sup> and Gr-1<sup>lo</sup>CD11b<sup>+</sup> cells in the spleen and blood at serial time points before and after combined bone marrow and heart transplantation. Figure 5A shows that the Gr-1<sup>hi</sup>CD11b<sup>+</sup> spleen cells increased sharply to a peak of about 12% about 10 days after the infusion of donor bone marrow cells, and then declined slowly thereafter, such that their percentage returned to the pre-treatment levels by 100 days after heart transplantation. Donor cells were not detected among the MDSCs at the peak, but were easily detected by day 28 after marrow infusion (data not shown). The Gr-1<sup>lo</sup> cells followed the kinetics of the Gr-1<sup>hi</sup> cells. Interestingly, the sharp rise in the Gr-1<sup>hi</sup> cells in the blood

preceded the infusion of the donor bone marrow cells, peaked at about 5 days after the infusion, and gradually declined to pretreatment levels about 100 days after heart transplantation (Figure 5B). Interestingly, the upregulation of PDL-1, and IL-4R $\alpha$  declined to baseline by day 43 on CD11b<sup>+</sup>Gr-1<sup>hi</sup> cells in concert with the decline in the number of CD11b<sup>+</sup>Gr-1<sup>hi</sup> cells in the blood (Supplementary Figure 6).

### MDSC suppression of alloreactivity in vitro is dependent on NKT cells

In order to confirm that the cells expressing the Gr-1<sup>hi</sup>CD11b<sup>+</sup> phenotype in the spleen after transplantation are able to suppress alloreactivity, the Gr-1<sup>hi</sup>CD11b<sup>+</sup> splenic cells were purified as shown in Figure 6A, and added to responder and irradiated allogeneic stimulator spleen cells in the mixed leukocyte reaction. Responder cells were labeled with CFSE, and the dilution of this dye was used as an indicator of cell division. MDSCs were obtained from untreated mice, and from mice conditioned with TLI/ATS without transplants in order to determine whether the conditioning regimen alone induces MDSCs with alloreactive suppressor function. In additional experiments, MDSCs were harvested from either conditioned BALB/c CD1d<sup>-/-</sup> or J $\alpha$ 18<sup>-/-</sup> mice. Whereas the CD1d<sup>-/-</sup> mice lacked both invariant (type I) NKT cells and non-invariant (type II) NKT cells, the J $\alpha$ 18<sup>-/-</sup> mice were lacking only invariant NKT cells (23, 24, 35, 36). Experiments were performed with BALB/c responder cells in Supplemental Figure 4 or C57BL/6 responder cells in Figure 6B, since MDSCs have been reported to suppress alloreactivity without MHC restriction (17).

The bright staining of CFSE in BALB/c or C57BL/6 WT responders cells cultured alone was markedly reduced, as expected in representative histograms, after the addition of allogeneic stimulator cells due to proliferation of responder cells that diluted the CFSE content per cell (Supplemental Figure 4 and Figure 6B). Whereas less than 1% of C57BL/6 responder cells alone expressed dull CFSE staining, the addition of stimulator cells resulted in about 78% and 30% of dull staining cells among gated CD4<sup>+</sup> and CD8<sup>+</sup> T cells respectively (Figure 6B). The addition of MDSCs from untreated mice to the cultures did not result in significant reduction in the percent of dull CFSE cells (Figure 6B and 6C). Addition of MDSCs from conditioned WT mice resulted in a reduction in dull staining CD8<sup>+</sup> T cells to about 1%, and a reduction of CD4<sup>+</sup> dull staining cells to about 42% (Figure 6B). The reduction in the mean percentages of dull staining cells after the addition of the latter MDSCs was statistically significant, and more profound with CD8<sup>+</sup> T cells than with CD4<sup>+</sup> T cells (Figure 6C). Similar results were observed with C57BL/6 or BALB/c responder cells (Supplemental Figure 4).

The in vitro experiments were repeated adding MDSCs from conditioned CD1d<sup>-/-</sup> and J $\alpha$ 18<sup>-/-</sup> mice to the cultures of responder and stimulator cells. As shown in staining profiles in Figure 6B, and in comparisons of means in Figure 6C, the potency of suppression of proliferation was significantly reduced when MDSCs were obtained from CD1d<sup>-/-</sup> or J $\alpha$ 18<sup>-/-</sup> NKT cell deficient mice instead of from WT mice. In summary, the MDSC suppression of proliferation of responder cells to alloantigen stimulation that developed after conditioning was dependent on the presence of invariant NKT cells in the conditioned mice, and was more profound with CD8<sup>+</sup> responders.

Since the suppressive capacity of MDSCs in the current study was dependent on the contribution of invariant NKT cells, we determined whether the invariant NKT cells from conditioned hosts secrete IL-13, IFN $\gamma$  and/or IL-4 after in vitro stimulation. Invariant NKT cells from conditioned mice were sorted after staining positively with CD1d tetramers, stimulated in vitro with PMA and ionomycin, and supernatants were assayed for the concentrations of IL-4, IFN $\gamma$ , and IL-13. As shown in Supplemental Figure 4, the mean concentration of IL-4 in the supernatants from stimulated NKT cells was about 10,000 pg/ml. The mean concentrations for IL-13 and for IFN $\gamma$  were less than 500 pg/ml and similar to concentrations from non-stimulated NKT cells. Accordingly, we tested the ability of MDSCs from IL-4<sup>-/-</sup> conditioned mice to reduce proliferation in the mixed leukocyte reaction. As shown in Figure 6B and C, the addition of the IL-4<sup>-/-</sup> MDSCs failed to significantly suppress proliferation as compared to cultures without MDSCs. In further experiments, purified NKT cells from wild type mice were injected into IL-4<sup>-/-</sup> conditioned mice in order to determine whether the injected NKT cells can restore the suppressive function of the MDSCs. Figure 6B and C shows that the MDSCs from the latter mice had significantly increased suppressive function as compared to IL-4<sup>-/-</sup> mice without the cell injection. It is of interest that sorted wild type MDSCs that suppressed the MLR expressed low levels of MHC Class II receptors (Supplementary Figure 4C), and did not show the dendritic cell characteristics of the myeloid cells that interacted with donor Tregs in the study by Van der Merwe et al. (13)

## Discussion

The goal of the current study was to determine whether a direct or indirect interaction between host NKT cells and MDSCs was a requirement to induce tolerance to combined bone marrow and heart transplants using posttransplant conditioning with TLI and ATS. The conditioning created an imbalance favoring immune suppressive cells and established a “tolerogenic microenvironment” that had features similar to that reported in tumor infiltrating immune cells (11, 37–40). Hosts depleted of MDSCs could no longer be tolerized, and instead failed to achieve chimerism and heart graft acceptance. Tolerance could be restored to a portion of hosts depleted of MDSCs by treatment with anti-Gr-1 mAb by adding back-purified Gr-1<sup>+</sup>CD11b<sup>+</sup> MDSCs from the spleen of syngeneic BALB/c mice that had been conditioned with TLI and ATS.

A previous study showed that MDSCs are required for the induction of tolerance to allografts in mice treated with co-stimulatory blockade using anti-CD40L mAb to promote heart graft acceptance in a non-chimeric model (18). The role of NKT cells was not investigated. The current study is consistent with the latter study, and suggests that the TLI alone or in combination with ATS conditioning regimen stimulated the generation and efflux of MDSCs from the marrow, and that the host MDSCs contributed to the engraftment of the donor hematopoietic progenitors during the transient release of MDSCs from the host marrow. Tolerance and chimerism were maintained after the percentage of MDSC returned to baseline about 3 months after transplantation. This suggests that the induction but not the persistence of tolerance was dependent on MDSCs. Local tumor irradiation also induces the rapid efflux of myeloid cells from the bone marrow into the tumors (41).

After the TLI and ATS conditioning regimen, the MDSCs developed an activated phenotype with increased expression of PDL-1, arginase-1, and IL-4R $\alpha$ . The PDL-1 can suppress immune responses of activated conventional T cells by inducing negative co-stimulation via PD-1, and arginase-1 can suppress by increasing superoxide production and by reducing the availability of arginine that is required for T cell metabolism (34, 42–45). The increased expression of activation markers on the MDSCs after conditioning was dependent upon the presence of NKT cells, and these changes were markedly attenuated in CD1d<sup>-/-</sup> as compared to wild type mice. This attenuation was associated with a failure of MDSCs from CD1d<sup>-/-</sup> or J $\alpha$ 18<sup>-/-</sup> mice lacking invariant NKT cells to suppress the ability of conventional CD4<sup>+</sup> and CD8<sup>+</sup> T cells to proliferate in response to alloantigenic stimulation in the MLR assay. In view of the reported role of IL-13 in the activation of MDSCs (19, 20, 46), and the requirement for invariant NKT cells in the current study of tolerance, the invariant NKT cells obtained from the spleen of TLI and ATS conditioned mice were stimulated in vitro to induce cytokine secretion. Whereas a large quantity of IL-4 was secreted, IL-13 and IFN $\gamma$  were not easily detected. Accordingly, we compared the suppressive function of the MDSCs from conditioned wild type and IL-4<sup>-/-</sup> mice in the MLR assay, and found that the IL-4<sup>-/-</sup> MDSCs failed to suppress. Injection of wild type NKT cells into IL-4<sup>-/-</sup> conditioned mice restored the MDSC suppressive function. Although we did not study the role of MDSCs in the injected donor bone marrow cells, it is possible that the host NKT cells could also activate donor MDSCs in addition to activating donor Tregs (12, 14) such that the activated donor MDSCs contribute to the induction of tolerance. In conclusion, the establishment of chimerism and tolerance required activated host MDSCs, and their activation and suppressive function was dependent on a direct or indirect interaction with NKT cells and IL-4.

## Supplementary Material

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## Acknowledgments

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## Abbreviations

<b>TLI</b>	Total lymphoid irradiation
<b>NKT</b>	Natural Killer T cells
<b>ATS</b>	Anti-thymocyte serum

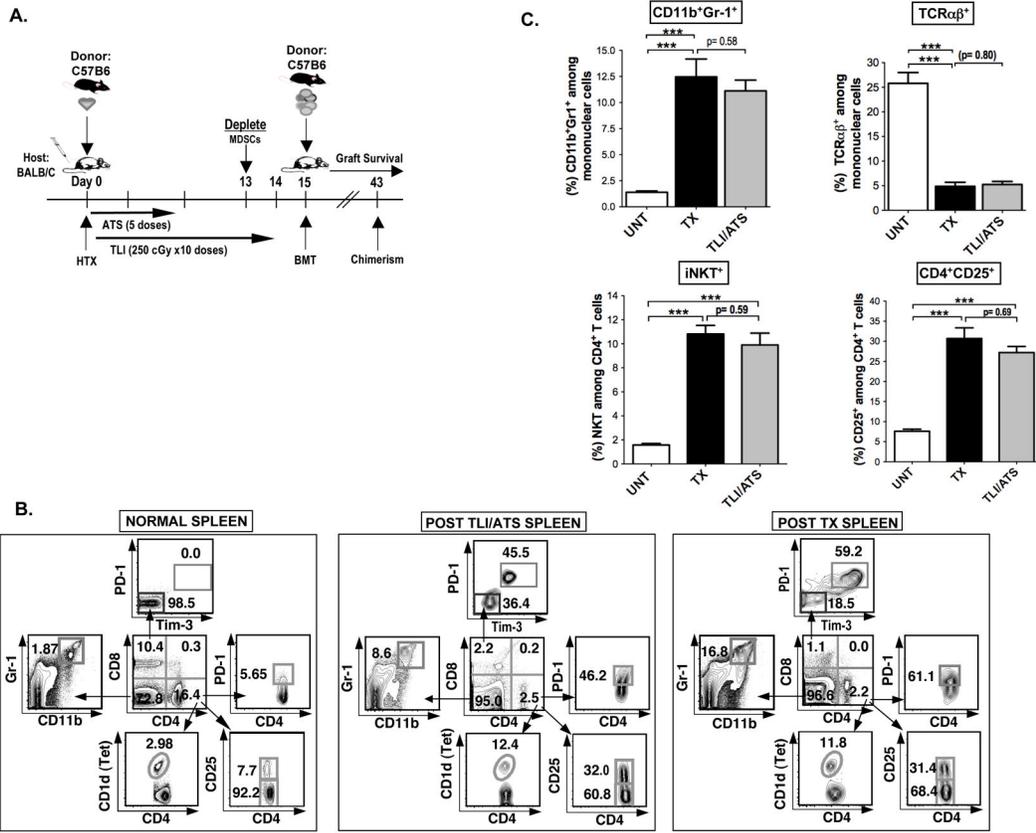
## MDSCs Myeloid-derived suppressor cells

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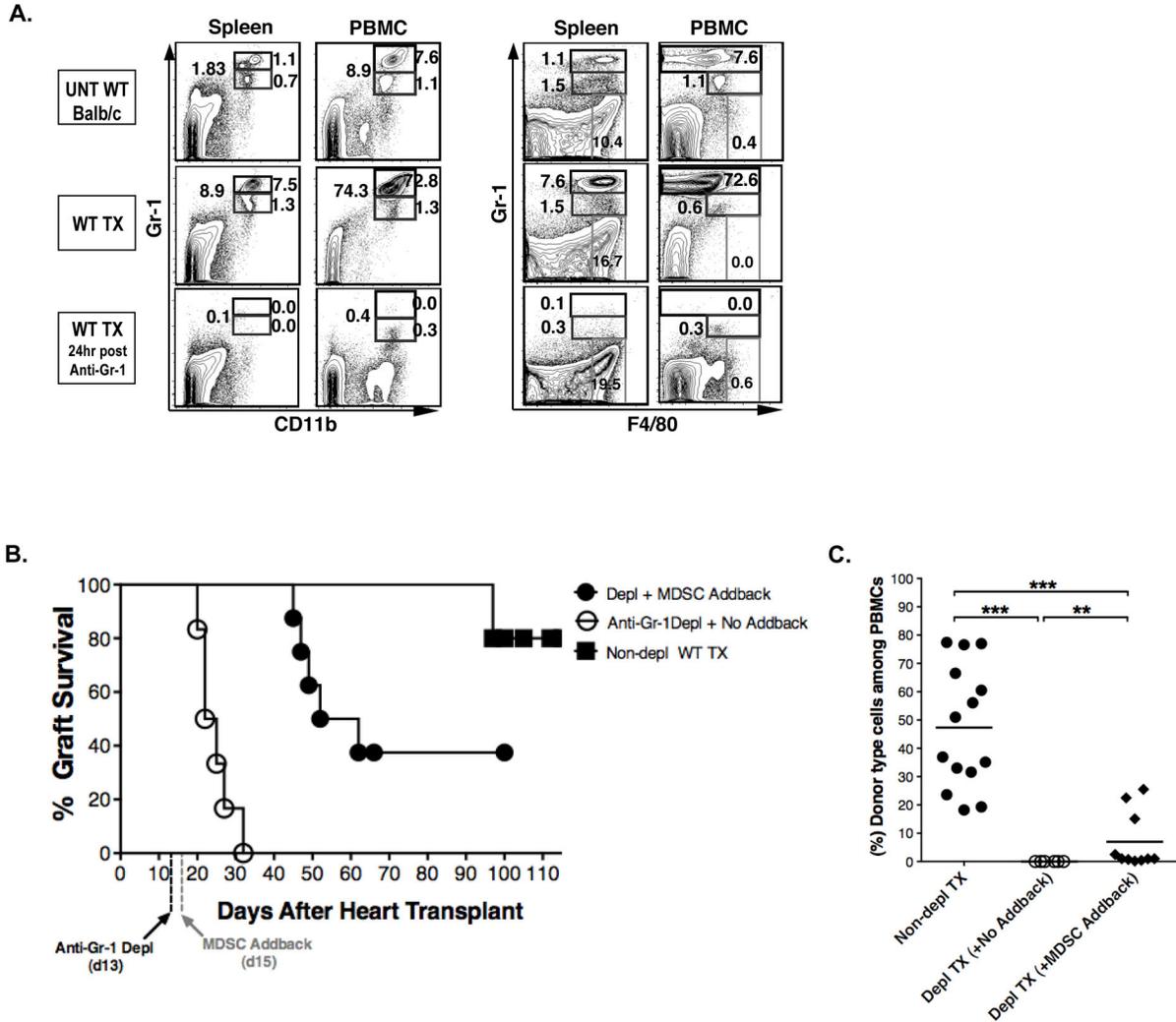
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**Figure 1. An imbalance favoring NKT cells, Tregs and MDSCs over Tcon cells and upregulation of negative costimulatory receptors creates a tolerogenic microenvironment after TLI/ATS conditioning**

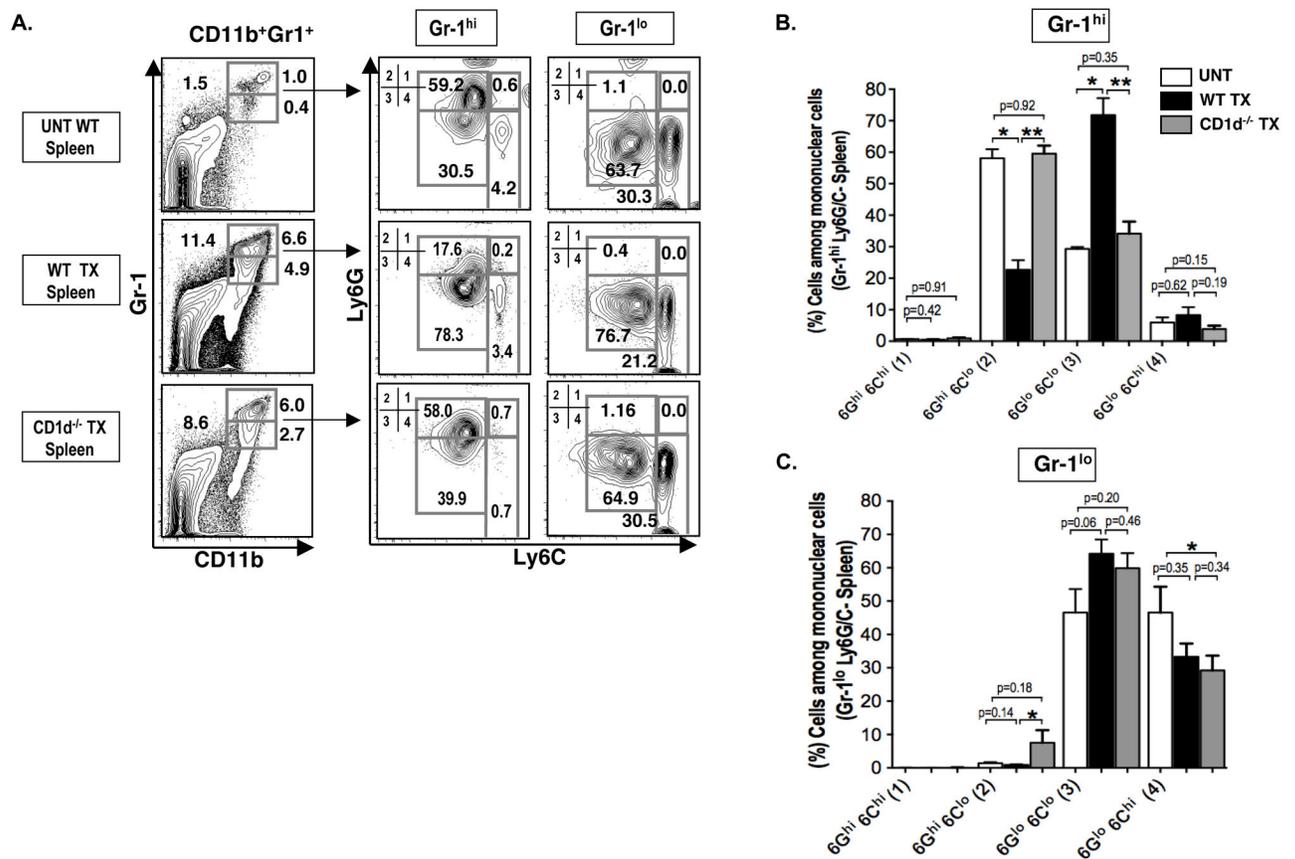
(A) Experimental scheme: BALB/c hosts were given donor C57BL/6 neonatal heart transplants (HTX) on day 0, and ATS was injected i.p. on days 0, 2, 6, 8, and 10. Hosts were conditioned over 14 days with 10 doses of 240cGy each. Some hosts were depleted of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells (MDSCs) with a single dose of anti-Gr-1 mAb (280 μg i.p. on day13). On day 15, 50 × 10<sup>6</sup> C57BL/6 donor bone marrow cells were injected intravenously (BMT), and chimerism and heart graft survival were monitored thereafter. (B) Representative FACS patterns of showing changes in the phenotypes of CD4<sup>+</sup> and CD8<sup>+</sup> T cell subsets, CD4<sup>+</sup>CD25<sup>+</sup>Tregs, CD4<sup>+</sup>CD1dtetramer<sup>+</sup>NKT cells, and Gr-1<sup>+</sup>CD11b<sup>+</sup> MDSCs in the spleen of untreated normal wild type mice, hosts conditioned with TLI and ATS without transplantation or with combined bone marrow and heart allografts (TX, SPLEEN) on day 5 after the completion of TLI. Boxes show percentages of each cell type. Arrows shows gating of cells from a box. (C) Mean (± SEM) percentages of CD11b<sup>+</sup>Gr-1<sup>+</sup> cells, TCR αβ<sup>+</sup>, CD4<sup>+</sup>CD1dtetramer<sup>+</sup>NKT, and CD4<sup>+</sup>CD25<sup>+</sup> cells in the spleen of untreated (UNT) controls, of TLI and ATS conditioned BALB/c (TLI/ATS), and of TLI and ATS conditioned and transplanted (TX) hosts (day 5 after injection of donor bone marrow cells) (Upper panels and lower panels respectively) (UNT, N= 8; TX, N= 10; TLI/ATS, N= 8).



**Figure 2. Depletion of MDSCs abrogates tolerance to combined bone marrow and heart transplants**

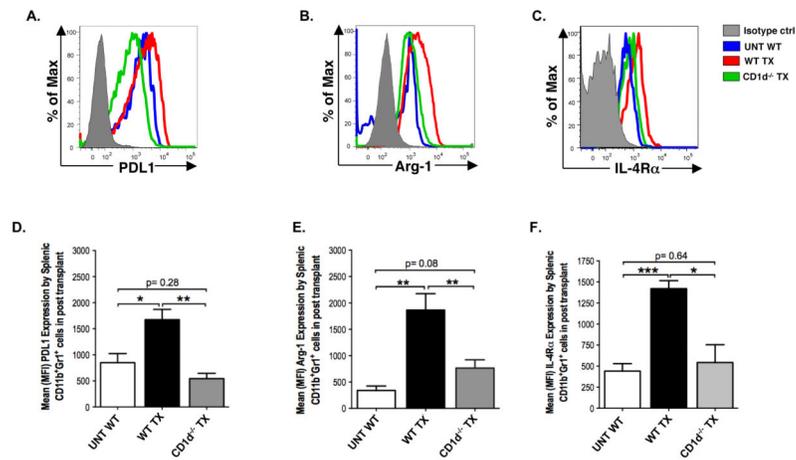
(A) Representative spleen FACS patterns of Gr-1 versus CD11b and F4/80 staining are shown in untreated wild type mice, transplanted wild type hosts without anti-Gr-1 mAb treatment (WT TX), and transplanted wild type hosts with anti-Gr-1 mAb treatment. Patterns are also shown for peripheral blood mononuclear cells (PBMCs). Two days prior to end of TLI conditioning (day 13), BALB/c hosts were given a single injection of anti-Gr-1 mAb antibody (280  $\mu\text{g/ml/mouse}$ ) intraperitoneally, and spleen cells were obtained for analysis 24 hours after the injection. (B) Heart allograft survival in untreated (Non depl, WT TX, N= 10), or treated with anti-Gr-1 mAb (day 13) (Anti-Gr-1 depl + No add back, N= 8) or treated with anti-Gr-1 mAb and given an add back of sorted ( $1 \times 10^6$ ) MDSCs (CD11b<sup>+</sup>Gr-1<sup>+</sup>) cells (Depl+MDSCs add back, N= 8) from TLI/ATS conditioned wild type mice at the time of donor bone marrow infusion. (C) Shows the mean percentage of donor type cells (H-2K<sup>b+</sup>) among peripheral blood mononuclear cells (PBMC) at day 28 after injection of donor bone marrow cells in non depleted, anti-Gr-1 depleted alone, or anti-

Gr-1 depleted hosts given an add back of sorted ( $1 \times 10^6$ ) MDSCs cells. Data are from 3 independent experiments. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$



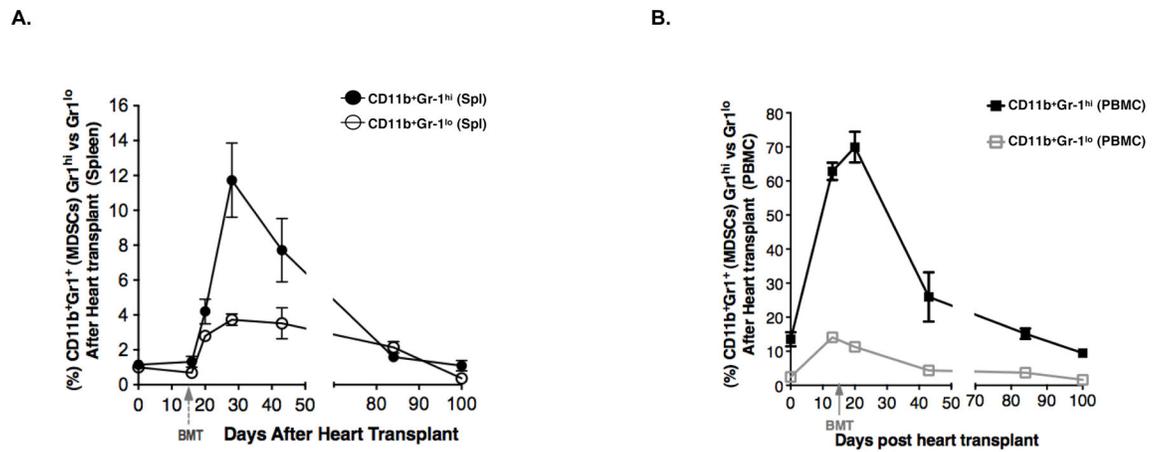
**Figure 3. NKT cells regulate Ly6G expression on MDSCs after transplantation**

(A) Representative FACs staining of Ly6G versus Ly6C among CD11b<sup>+</sup>Gr-1<sup>+</sup> cell subsets (Gr-1<sup>hi</sup> vs Gr-1<sup>lo</sup>) in the spleen of untreated hosts (UNT WT, N= 12), or in wild type (WT TX, N= 10) or CD1d<sup>-/-</sup> hosts (CD1d<sup>-/-</sup> TX, N= 12) after conditioning and transplant. Four subgroups of Gr-1<sup>hi</sup> or Gr-1<sup>lo</sup> CD11b<sup>+</sup> cells were enclosed in boxes labeled 1, 2, 3, and 4 with percentages shown; Ly6G<sup>hi</sup>Ly6C<sup>hi</sup> (1), Ly6G<sup>hi</sup>Ly6C<sup>lo</sup> (2), Ly6G<sup>lo</sup>Ly6C<sup>lo</sup> (3), and Ly6G<sup>lo</sup>Ly6C<sup>hi</sup> (4). (B) Bar graphs showing mean (± SEM) percentages of Ly6G<sup>+</sup> Ly6C<sup>+</sup> cell subgroups in boxes 1–4 among Gr-1<sup>hi</sup> cells. (C) Bar graphs show among Gr-1<sup>lo</sup> cells.



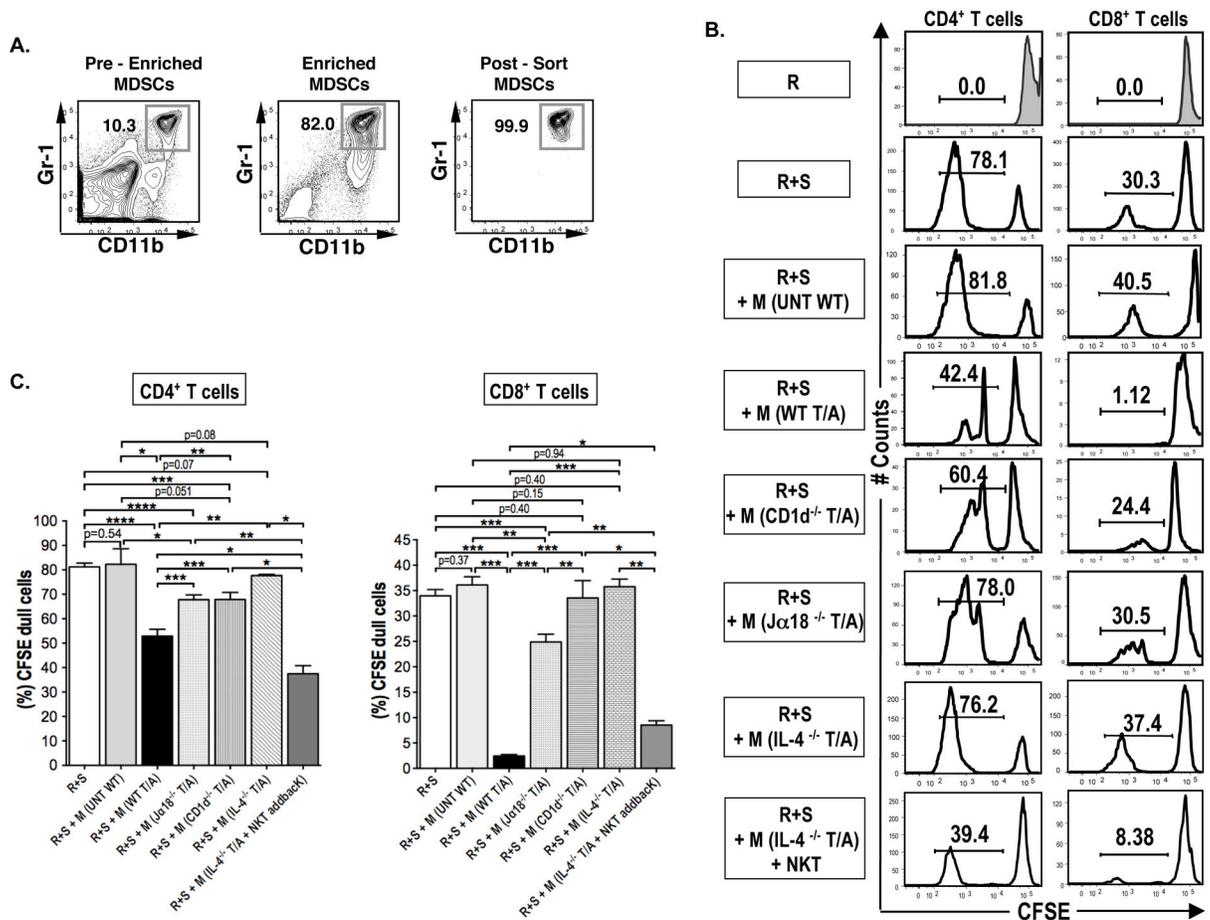
**Figure 4. Upregulation of PDL1, Arginase-1, and IL-4Rα on MDSCs after transplantation is dependent on NKT cells**

(A–C) Representative histogram plots showing the expression of PDL1, arg-1 (arginase-1), and IL-4Rα (interleukin- 4 receptor alpha) on gated CD11b<sup>+</sup>Gr-1<sup>+</sup> cells from untreated wild type mice (UNT WT, N= 8), or transplanted wild type mice (WT TX, N= 10), or transplanted CD1d<sup>-/-</sup> mice (CD1d<sup>-/-</sup> TX, N= 12) 12 days after bone marrow infusion. (D – F) Bar graphs showing means (± SEM) of mean fluorescence intensity (MFI) measurements of PDL-1, arg-1, and IL-4Rα on CD11b<sup>+</sup>Gr-1<sup>+</sup> cells in spleen.



**Figure 5. The increase in MDSCs after transplantation is transient**

(A–B) The mean ( $\pm$  SEM) percentages of CD11b<sup>+</sup>Gr-1<sup>hi</sup> and CD11b<sup>+</sup>Gr-1<sup>lo</sup> in the spleen (A) and among PBMCs (B) of TLI/ATS conditioned and transplanted wild type hosts at different time points (CD11b<sup>+</sup>Gr-1<sup>hi</sup>, N= 15; CD11b<sup>+</sup>Gr-1<sup>lo</sup>, N= 15). Data represents 5 independent experiments.



**Figure 6. MDSC suppression of alloreactivity in vitro is dependent on NKT cells and IL-4**  
**(A)** Representative FACS patterns showing the CD11b<sup>+</sup>Gr-1<sup>+</sup> enrichment and sorting for assay of in vitro suppression in the mixed leukocyte reactions (MLR). **(B)** Representative CFSE histogram plots showing CD4<sup>+</sup> and CD8<sup>+</sup> T cell proliferation in the presence or absence of sorted BALB/c MDSCs ( $2 \times 10^5$ ) from untreated wild type mice, N= 8 (WT T/A), TLI and ATS conditioned wild type mice N=8 (UNT WT), or TLI and ATS conditioned CD1d<sup>-/-</sup> mice, N= 10 (CD1d<sup>-/-</sup> T/A), or TLI and ATS conditioned Ja18<sup>-/-</sup> mice, N= 8 (Ja18<sup>-/-</sup> T/A), or TLI and ATS conditioned IL-4<sup>-/-</sup> mice, N= 5 (IL-4<sup>-/-</sup> T/A) or TLI and ATS conditioned IL-4<sup>-/-</sup> mice given an intravenous injection of  $0.5 \times 10^6$  wild type NKT cells on day 15 after completion of TLI, N= 5 (IL-4<sup>-/-</sup> T/A + NKT) in day 5 cultures. There were  $2 \times 10^5$  C57BL/6 responders (R) cells and  $4 \times 10^5$  BALB/c stimulator (S) cells in MLR cultures. The percentages of dull CFSE cells are shown. **(C)** Mean ( $\pm$  SEM) percentages of CFSE<sup>+</sup> dull cells among gated CD4<sup>+</sup> and CD8<sup>+</sup> T cells after in vitro culture.