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## The Use of Experimental Structures to Model Protein Dynamics

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### Summary

The number of solved protein structures submitted in the Protein Data Bank (PDB) has increased dramatically in recent years. For some specific proteins, this number is very high – for example, there are over 550 solved structures for HIV-1 protease, one protein that is essential for the life cycle of human immunodeficiency virus (HIV) which causes acquired immunodeficiency syndrome (AIDS) in humans. The large number of structures for the same protein and its variants include a sample of different conformational states of the protein. A rich set of structures solved experimentally for the same protein has information buried within the dataset that can explain the functional dynamics and structural mechanism of the protein. To extract the dynamics information and functional mechanism from the experimental structures, this chapter focuses on two methods – Principal Component Analysis (PCA) and Elastic Network Models (ENM). PCA is a widely used statistical dimensionality reduction technique to classify and visualize high-dimensional data. On the other hand, ENMs are well-established simple biophysical method for modeling the functionally important global motions of proteins. This chapter covers the basics of these two. Moreover, an improved ENM version that utilizes the variations found within a given set of structures for a protein is described. As a practical example, we have extracted the functional dynamics and mechanism of HIV-1 protease dimeric structure by using a set of 329 PDB structures of this protein. We have described, step by step, how to select a set of protein structures, how to extract the needed information from the PDB files for PCA, how to extract the dynamics

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<sup>1</sup>**Caution in the use of MUSTANG:** MUSTANG output file align.pdb is found to break lines in some structures. Therefore, once the align.pdb is generated from MUSTANG, it needs to be normally scanned to detect and fix such broken lines.

<sup>2</sup>**PCA on all the backbone ATOMS:** *data-backbone-singleAltLocation-NoHETATM* subfolder in the *experimentalDynamics* folder has the structures with all backbone atoms. These structures can, as well, be used for MUSTANG alignment and then subsequent PCA and other related operations.

PCA can also be done on all atoms of each structure. In that case, first, the structures need to process to keep the same atoms for each residue in all structures and then use MUSTANG to align the structures. Afterwards, the Cartesian coordinates of all structures need to be extracted and perform PCA on them. For this, 'noOfAtoms' variable in *experimentalDynamics.m* need to be initialized accordingly.

<sup>3</sup>**Selecting a set of structures:** There are 564 HIV-1 X-ray structures in PDB (07/26/2013). Among them, 329 PDB structures are selected so that the MUSTANG structural alignment does not produce any gaps in the corresponding aligned sequences. If a different set of structures is selected that produces gaps after multiple structural alignment, the residues in a structure that fall along the gaps on the alignment need to be removed before the PCA calculation.

<sup>4</sup>**Construction of the selected dataset:** It is important to select a dataset that represents the whole conformational landscape of a protein structure. In panels A and B of Fig. 3, the open and closed structures are clustered on the left and the right side, respectively, and the intermediate conformations (1aid, 3t11, 4ej8, etc) span the middle region. Though the number of closed structures is much higher than the number of open and intermediate structures, this dataset is a good selection as it has representation from whole conformational landscape.

information using PCA, how to calculate ENM modes, how to measure the congruency between the dynamics computed from the principal components (PCs) and the ENM modes, and how to compute entropies using the PCs. We provide the computer programs or references to software tools to accomplish each step and show how to use these programs and tools. We also include computer programs to generate movies based on PCs and ENM modes and describe how to visualize them.

## Keywords

HIV-1 protease; principal component analysis; elastic network model; protein dynamics; acquired immunodeficiency syndrome; protein data bank

## 1 Introduction

There are large numbers of structures in the protein data bank (PDB (1)) for many categories of enzymes. Shown in Fig. 1 are the most abundant enzyme structures ordered by enzyme commission (EC) numbers. Some other examples for individual EC categories, with the numbers of their related structures in parentheses are: 3.4.21: Serine endopeptidases (2,459), 3.4.23: Aspartic endopeptidases (1,146), 3.4.24: Metalloendopeptidases (727), 3.4.22: Cysteine endopeptidases (720), 3.4.11: Aminopeptidases (292), 3.4.19: Omega peptidases (244), 3.4.17: Metallocoarboxypeptidases (144), 3.4.14: Dipeptidyl-peptidases (120), 3.4.25: Threonine endopeptidases (109), 2.7.7, Nucleotidyltransferases (107), 3.4.21: Serine endopeptidases (105), 3.4.16: Serine-type carboxypeptidases (97), 2.7.7: Nucleotidyltransferases (106), 3.4.23: Aspartic endopeptidases (77), and 3.4.19: Omega peptidases (58). In addition, there are many structures of non-enzyme proteins – structural proteins, immunoglobulin Fab's, viral proteins, and many others. The PDB has many additional ways to search for functionally related structures that are invaluable for finding structures with similar dynamics. You can search by biological process such as gene ontology (GO), cellular component, molecular function and transporter classification. In addition there are many receptors with multiple reported structures. Overall, there is abundant data to investigate functional protein dynamics of many classes of proteins directly from experimental structures.

Important conformational changes can readily be extracted from a set of PDB structures for a protein and these are found to relate directly to function. Experimental structures can be a rich source of information. It is well established that functionally related structures must have similar structures and similar dynamics – building on the broad experience of many researchers. There have been several efforts at extracting dynamics from specific sets of experimental structures. One approach is principal component analysis (PCA) (2–4), a statistical method based on covariance analysis. PCA can transform the original space of correlated variables into a greatly reduced space of independent variables (i.e., the principal components or PCs). By performing PCA, most of a system's variance will usually be captured in a quite small subset of the PCs. PCA has been applied often to analyze trajectory data from MD simulations to find the essential dynamics (5;6). Teodoro et al. applied PCA to the dataset composed of many conformations for HIV-1 protease (7;8). They found that PCA transformed the original high-dimensional representation of protein motions into a

low-dimensional one that provides the dominant protein motions. This is a huge reduction in dimensionality from hundreds of thousands to fewer than fifty degrees of freedom. Howe (9) used PCA to classify the structures in NMR ensembles automatically, according to correlated structural variations, and the results have shown that two different representations of the protein structure, the  $C^\alpha$  coordinate matrix and the  $C^\alpha$ - $C^\alpha$  distance matrix, gave equivalent results and permitted the identification of structural differences between conformations. More recent efforts include our own previous efforts in analyzing the HIV-1 protease set (10), those of the Bahar group (11), and our efforts in developing the MAVEN program (12), as well as related efforts by the Bahar group with their ProDy (13), and Grant with his Bio-3D (14). Any of these can provide a similar set of starting tools.

On the other hand, the Elastic Network Models (ENM) have proven themselves to be highly useful in representing the global motions for a wide variety of diverse protein structures (15–19). For modeling and simulating the dynamics of proteins, ENMs can be applied on multiple scales (20–23). All atom ENM models give a finer description of protein dynamics. The most common coarse-graining involves a single-site per residue representation, in which the sites are identified by the  $C^\alpha$  atoms and connected by uniform springs. The dynamics of such interconnected model can be described by the Gaussian Network Model (GNM) (17) or the Anisotropic Network Model (ANM) (15). GNM has been very successful in yielding information on the magnitudes of the fluctuations of the protein structures but provides no directional information or the 3-D nature of motion of the protein is considered in the model. However, in reality protein fluctuations are generally directional and anisotropic (24;25). ANM considers the anisotropy of the protein structure in modeling its dynamics and thus ANM computed collective motions are more relevant to biological function and mechanism of the protein molecule.

In this chapter, we give an example of how to use computational methods to extract protein dynamics from a large set of experimental structures of HIV-1 protease. Behind this is the implicit assumption that there is a significant amount of information about protein dynamics, mechanisms and allostery buried within the structures in the PDB. We will show how to utilize PCA to extract dynamics from the abundantly available HIV-1 protease structures and how to compute the agreement between PCA-based protein motion and the ANM modeled motion, and describe how these could be used in simulations with a new structure-based elastic network model.

## 2 Theory

### 2.1 Principal Component Analysis (PCA)

PCA is a multivariate technique to analyze a dataset where the observations are described quantitatively by a set of inter-correlated variables. The goals of PCA are to (i) extract the most important information from the data; (ii) remove noise and compress the data set by keeping only the important information; (iii) simplify the description of the data set; and (iv) analyze the structure of the observations and the variables. This method generates a set of new orthogonal variables called principal components (PCs). Each PC is a linear combination of the original variables. Hence, PCA can be considered as a mapping of the data points from the original variable space to the PC space. PCs are rank ordered in such a

way that PC1 represents the maximum variance among all possible choices for the first axis. Similarly, PC2 represents the second highest variance contribution, and so forth through all the modes. Usually only a few PCs are sufficient to understand the internal structure of the data (26).

For extracting functional dynamics from the PDB experimental structures, PCA is performed on the structure datasets. The input is the set of coordinates of all of the structures in the set (7;8). From these data, the average position of each point in the structure is computed as  $\langle r_i \rangle$  and the covariances for pairs of points  $i$  and  $j$  are computed according to

$$c_{ij} = \langle (r_i - \langle r_i \rangle)(r_j - \langle r_j \rangle) \rangle \quad (1)$$

where brackets  $\langle \rangle$  indicate averages over the entire set of structures. The covariance matrix  $C$  can be decomposed as

$$C = P \Delta P^T, \quad (2)$$

where the eigenvectors  $P$  represent the principal components (PCs) and the eigenvalues are the elements of the diagonal matrix  $\Delta$ . The eigenvalues are sorted in order. Each eigenvalue is directly proportional to the amount of the variance it captures.

## 2.2 Elastic Network Model (ENM)

Anisotropic Network Model (ANM) is an elastic network model used to compute the directions of the normal modes from a single structure (15). In ANM, the potential energy  $V$  is a function of the displacement vector  $D$  of each point in the structure

$$V = \frac{\gamma}{2} D H D^T, \quad (5)$$

where  $\gamma$  is the spring constant for all closely interacting points in a structure, and  $H$  is the Hessian matrix containing the second derivatives of the energy, with respect to each of the coordinates  $r = \langle x, y, z \rangle$ . For a structure with  $n$  residues, the Hessian matrix  $H$  contains  $n \times n$  super-elements of size  $3 \times 3$ . The Hessian matrix  $H$  can be decomposed (7;8;15) as

$$H = M \Lambda M^T, \quad (6)$$

where  $\Lambda$  is a diagonal matrix comprising the eigenvalues with the eigenvectors forming the columns of the matrix  $M$ . This decomposition generates  $3n-6$  normal modes (the first 6 modes account for the rigid body translations and rotations of the system and must be factored out, meaning that we actually perform singular value decomposition to extract the normal modes) reflecting the vibrational fluctuations. We like to further mention that for ANM coarse graining, it is shown that a cutoff distance of any value from 10Å to 13 Å is appropriate for placing the springs and such an ANM model represents the realistic protein dynamics. In this chapter, we use a cutoff distance of 13 Å.

### 2.3 Structure-based new ANM

The internal distance changes in a set of structures can provide information that can be used directly to derive new structure-based elastic network models. We have extracted spring constants between all residue pairs in a set of structures by simply relating these to the inverse of the variance of internal distance changes between pairs of residues, as the spring stiffness (normalized between 0 and 1). We have applied a cutoff of 13Å to limit the range of interactions. However the difference between the conventional ANM described in the previous section and this modified ANM is that here the values for the spring constants are obtained directly from the structure set rather than using a uniform value or distance dependent values, as is customary with ENM.

### 2.4 Comparing directions of motions using Overlaps

The alignment between the directions of motion, for example between a given PC and a given normal mode, is measured by their overlap, which was defined as the dot product of the two vector directions by Tama and Sanejouand (27)

$$O_{ij} = \frac{|P_i \cdot M_j|}{\|P_i\| \|M_j\|}, \quad (3)$$

where  $P_i$  is the  $i^{\text{th}}$  PC for model P and  $M_j$  is the  $j^{\text{th}}$  PC or normal mode for model M. A perfect match yields an overlap value of 1. They also defined the cumulative overlap (CO) between the first  $k$  vectors of  $M$  and  $P_i$  as

$$\text{CO}(k) = \left( \sum_{j=1}^k O_{ij}^2 \right)^{\frac{1}{2}} \quad (4)$$

which measures how well the first  $k$  PCs for model M together can capture the motion of a single PC for model P.

### 2.5 Coarse-grained global Entropies calculated from Principal Component Analysis

As covariance matrix can be decomposed as in equation 2 of section 2.1, an approximation of the entropy from the PCs can be obtained as well (10;28):

$$\Delta S = \text{Const} \sum_{i=1}^N \lambda_i \left( \text{PC}_i \text{PC}_i^T \right) \quad (1)$$

where  $\text{PC}_i$  is the  $i^{\text{th}}$  PC, and  $\lambda_i$  is the  $i^{\text{th}}$  eigenvalue,  $N$  is the total number of eigenvalues.

Andricioaei, I *et al* also reported a similar result for entropy calculation from the covariance matrices of the atomic fluctuations as shown in equation 7 of their paper (29). It should be noted that this expression is different from that for normal modes of the elastic network models, which because of the averaging normally involved the inverse of the eigenvalues.

### 3 Materials

There are a huge number of available HIV-1 protease structures in the PDB (564 X-ray and three NMR structures as of 07/26/2013), which provides a remarkably rich set of different conformational states, which can be viewed as direct structural information on the protein's dynamics.

The approach described here computes the essential or most important protein motions from multiple structures of the same protein, in contrast to using just the two structures such as the “open” and “closed” conformations, which have often been used to define the endpoints of conformational transitions. To demonstrate this approach, we use HIV-1 protease as an example. Its abundant experimentally determined structures are complemented by the relatively small size of the protein. In the next section, first, we will give a description of the structural components that are important to drive the motion of the HIV-1 protease structure. Then, we will describe the dataset of HIV-1 structures that we have used to perform our computations.

#### 3.1 HIV-1 Protease Architecture

HIV-1 protease functions as a homo-dimer as shown in Fig. 2A. The dimer has a single active site and 99 residues per monomer. Each monomer has three domains: a terminal domain (residues 1–4 and 95–99 of each chain), which is important for the dimerization and stabilization; a core domain (residues 10–32 and 63–85 of each chain), for dimer stabilization and catalytic site stability; and a flap domain that includes two solvent accessible loops (residues 33–43 of each chain) followed by two flexible flaps (residues 44–62 of each chain) important for ligand binding interactions. The conserved Asp25-Thr26-Gly27 active site triad is located at the interface between parts of the core domains. The active site of HIV-1 protease is formed at the homo-dimer interface. Each monomeric unit has important structural components as identified in Fig. 2B that are important for its functional dynamics. The principal advantage of this structural arrangement is that the hinge residue **E 34** causes the up-down swinging motion of the **flap elbow** (residues 37 – 42), which transmits the motion generated in the **fulcrum** (residues 9 – 21) to drive the dynamics of the **flap domain** (residues 42 – 58), whose conformation switches between open and closed states to facilitate substrate trapping in the catalytic pocket and product release following hydrolysis (30;31).

#### 3.2 HIV-1 protease structure set (X-ray-329)

We have used 329 PDB structures of HIV-1 protease for the computations to extract protein dynamics from experimental structures. The PDB Ids of the data set are here:

1A30	1A8G	1A8K	1A94	1A9M	1AAQ	1AID	1AJV	1AJX	1AXA	1B6J	1B6K	1B6L
1B6M	1B6P	1BDL	1BDQ	1BDR	1BV7	1BV9	1BWA	1BWB	1C6X	1C6Y	1C6Z	1C70
1D4S	1D4Y	1DAZ	1DIF	1DMP	1DW6	1EBK	1EBW	1EBY	1EBZ	1EC0	1EC1	1EC2
1EC3	1F7A	1FEJ	1FF0	1FFF	1FFI	1FG6	1FG8	1FGC	1FQX	1G2K	1G35	1GNM

1GNN	1GNO	1HBV	1HHH	1HIV	1HOS	1HPO	1HPS	1HPV	1HPX	1HSG	1HSH	1HTE
1HTF	1HTG	1HVH	1HVI	1HVJ	1HVK	1HVL	1HVR	1HVS	1HWR	1HXW	1IIQ	1IZH
1IZI	1K1U	1K2B	1K2C	1K6C	1K6P	1K6T	1K6V	1KJ4	1KJ7	1KJF	1KJG	1KJH
1LZQ	1MOB	1MER	1MES	1MET	1MEU	1MRW	1MRX	1MSM	1MSN	1MT7	1MT8	1MT9
1MTB	1MTR	1MUI	1N49	1NH0	1NPA	1NPV	1NPW	1ODW	1ODX	1PRO	1QBR	1QBS
1QBT	1QBU	1RL8	1RPI	1RQ9	1RV7	1SDT	1SDU	1SDV	1SGU	1SH9	1SP5	1T3R
1T7I	1T7J	1T7K	1TCX	1TW7	1U8G	1VIJ	1VIK	1XL2	1XL5	1YT9	1YTG	1YTH
1Z8C	1ZBG	1ZLF	1ZPK	1ZSF	1ZSR	2A1E	2A4F	2AID	2AOF	2AQU	2AVM	2AVO
2AVS	2AVV	2AZC	2B7Z	2BB9	2BBB	2BPV	2BPW	2BPX	2BPY	2BPZ	2BQV	2CEJ
2CEM	2CEN	2F3K	2F80	2F81	2F8G	2FDD	2FDE	2FGU	2FGV	2FNS	2FNT	2FXD
2FXE	2HB3	2HC0	2HS1	2HS2	2I4D	2I4U	2I4V	2I4W	2I4X	2IDW	2IEN	2IEO
2J9J	2J9K	2JE4	2NMZ	2NNK	2NNP	2O4K	2O4L	2O4P	2O4S	2P3A	2P3B	2P3C
2P3D	2PK5	2PK6	2PQZ	2PWC	2PWR	2PYM	2PYN	2Q3K	2Q63	2Q64	2QAK	2QCI
2QD6	2QD7	2QD8	2QHC	2QHY	2QHZ	2QI0	2QI1	2QI3	2QI4	2QI5	2QI6	2QI7
2QMP	2QNN	2QNP	2QNQ	2R38	2R3T	2R3W	2R43	2R5P	2R5Q	2RKf	2UPJ	2UXZ
2UY0	2Z4O	3A2O	3AID	3BGB	3BGC	3BVA	3BVB	3CKT	3CYW	3CYX	3D1X	3D3T
3FX5	3GGA	3GGV	3GGX	3GI4	3GI5	3GI6	3I7E	3KF0	3KFN	3KFR	3KFS	3LZS
3LZU	3MWS	3NDU	3NDX	3NU3	3NU4	3NU5	3NU6	3NU9	3NUJ	3NUO	3O9F	3O9G
3O9H	3O9I	3OK9	3OTS	3OXC	3PWM	3PWR	3QAA	3R4B	3S43	3S53	3S54	3S56
3S85	3S09	3T11	3U7S	3UCB	3UF3	3UHL	4DQB	4DQC	4DQE	4DQG	4DQH	4EJ8
4EJK	4EJL	4FAE	4FL8	4FLG	4FM6	4HVP	4I8W	4I8Z	4J54	4J55	4J5J	4PHV
7HVP	7UPJ	8HVP	9HVP									

The following method section gives a step by step description of how to retrieve these PDB files from the protein databank and then how to extract the dynamics from these structures.

## 4 Methods

To successfully complete the procedures described in this section, one needs the following softwares/programs:

- Perl 5 – Several perl scripts are included here. Perl programming language (32) can be downloaded free at [www.perl.org](http://www.perl.org).
- Python – A python script is used to calculate the internal distances between residue pairs for the set of 329 protein structures. A Python environment can be downloaded at <http://www.python.org/>
- Matlab – Several Matlab scripts are included here that can be executed in a Matlab programming environment (33). Matlab product site is <http://www.mathworks.com/products/matlab/>
- MAVENs – This software was developed in the Jernigan lab (12). In our Matlab code, we have invoked several MAVEN functions –
  - ANM.m – This is a function from MAVEN (12) used in `experimentalDynamics.m` to compute ENM normal modes from a given PDB structure.

- modeAnimator.m – This is a function from MAVEN used in experimentalDynamics.m to visualize the ENM modes and PCs by creating movies.
- readPDB.m, writePDB.m – These two Matlab functions from MAVEN are used to read and write PDB files, respectively.
- CompareVectors.m – This function from MAVEN is used in experimentalDynamics.m to compare the directions of PCs and ENM modes.
- plot\_compareVectors.m – This function from MAVEN plots the results obtained from the above CompareVectors.m.
- mat2vec.m – This function converts a matrix to a vector.

MAVEN is available for download at <http://maven.sourceforge.net>.

- MUSTANG – Multiple structural alignment will be done using this program (34). This program can be installed only on a linux operating system. You can download MUSTANG can be downloaded at <http://www.csse.monash.edu.au/~karun/Site/mustang.html>.
- PyMOL – This software has a free version for academic use (35). This can be used to visualize the structures and their dynamics. PyMOL can be downloaded at <http://pymol.org/>.

The following Table I summarizes the steps that are discussed in this section – starting from processing raw PDB structures to computing PCs and ANM modes, and comparing their dynamics.

#### 4.1 Extracting Cartesian coordinates from raw PDB files

In this section, we will describe how to prepare the dataset X-ray-329 for PCA. The 329 PDB Ids are listed in the pdbIds.txt file. Download these files from the protein data bank (<http://www.pdb.org/pdb/download/download.do>) (Download options: download Type – PDB File Format, Compression Type – uncompressed) and save them in a sub folder named *data-raw* under the parent folder *experimentalDynamics*. The downloaded PDB files have a lot of extra information that we will not be used.

The records of ATOM type for residue 8 and modified residue 67 of PDB file 2p3a are shown below. The important fields are labeled. Each residue of a protein is recorded in this way. When a residue in the protein is modified with a non-amino acid type molecule, HETATM keyword is used to identify that record. The TER key word is used as an end of chain marker. The PDB file has other detailed information and have different record identifiers. We will retain the ATOM type records for the C<sup>α</sup> atoms of each residue or modified residue for our calculation. When more than one alternate location is recorded, we arbitrarily retain the first alternate location for that ATOM.

The following three subsections describe how to copy the Cartesian coordinates from each PDB file and align these structures.



**4.1.1 Preparing a data set for MUSTANG from raw PDB files**—Download and save the following perl scripts in the same folder – *experimentalDynamics*. Run these perl scripts in the same sequence as they are listed below:

- copyBackboneAtoms.pl – This program copies the backbone ATOM and HETATM from a set of PDB files.
  - perl copyBackboneAtoms.pl
  - Output files after running this program will be saved in ***data-backbone*** subfolder of the *experimentalDynamics* parent folder.
  - Running this program will retain the backbone atoms for each ATOM and HETATM record. A sample output for residue 8 and modified residue 67 is shown below.
- retainFirstAltLocation.pl – This program retains the first alternate location for each ATOM when multiple alternate locations for that ATOM exist. It operates on a set of PDB files.
  - perl retainFirstAltLocation.pl
  - Output files after running this program will be saved in ***data-backbone-singleAltLocation*** subfolder of the *experimentalDynamics* parent folder.
  - After running this program, a PDB file will have residues with only the backbone atoms and only the first alternate location will be retained in case of multiple alternate locations. Output for residue 8 and modified residue 67 is shown below.
- replaceHETATM.pl – This program replaces the keyword HETATM with the keyword ATOM in a set of PDB files.
  - perl replaceHETATM.pl
  - Output files after running this program will be saved in ***data-backbone-singleAltLocation-NoHETATM*** subfolder of the *experimentalDynamics* parent folder.
  - MUSTANG (34) removes all records with the keyword HETATM before multiple structural alignment. To prevent the removal of needed data, replaceHETATM.pl program replaces the keyword HETATM with ATOM so that MUSTANG will use the HETATM coordinates as required in the multiple structure alignment. Sample output for residue 8 and modified residue 67 is shown below:
- retainCA.pl – This program copies the CA atoms from a set of PDB files.
  - perl retainCA.pl
  - Output files after running this program will be saved in ***data-CA*** subfolder of the *experimentalDynamics* parent folder.

- This program retains the records of the C<sup>α</sup> atoms only. Therefore, for each residue only the record for C<sup>α</sup> will be copied. Also, the TER keyword to separate the chains will not be retained in the output file so that MUSTANG considers the whole structure (multiple chains) as one chain. A sample output for residue 8 and modified residue 67 is shown below:

**4.1.2 Aligning PDB structures using MUSTANG**—There are several successful multiple structural alignment programs such as MUSTANG (34), TM-align (36), DaliLite (37), etc. A Wikipedia page has a list of multiple structure alignment softwares/programs ([http://en.wikipedia.org/wiki/Structural\\_alignment\\_software](http://en.wikipedia.org/wiki/Structural_alignment_software), 10/15/2013). We have used MUSTANG for multiple structural alignments of the selected PDB structures. MUSTANG does not consider sequence information in its alignment algorithm. Rather, it performs a structural alignment by finding maximal similar substructures. Thus it can capture the conformational variations among the structures much better than the alignment algorithms that rely upon sequence similarity information. Moreover, in its alignment MUSTANG uses the C<sup>α</sup> backbone atoms only. The running time MUSTANG 3.2.1 for the alignment of the selected dataset of 329 structures is approximately 5.00 hours on a Linux machine with the following configuration – Linux version 2.6.18-348.4.1. el5 Intel(R) Xeon(R) CPU E5630 @2.53GHz. MUSTANG needs a linux operating system. After installing MUSTANG under the *experimentalDynamics* folder on a linux machine, save and copy the following file *description* in the same folder; and copy *data-CA* subfolder with the structures in the same folder as well:

- *data-CA*: This folder has all the backbone PDB files for multiple structural alignments.
- *description*: This file has the path of the source directory where MUSTANG will find the input files for multiple structural alignment. After the path information, this file also has the list of the PDB file names that MUSTANG will read from the source directory. The list of the filenames in this file is in the same order as the list of the PDB Ids in the *pdbIds.txt* file which has the 329 PDB Ids that are listed in section 3.2. Update the line in *description* file that records the path of the source directory for the input files (path to the files in *data-CA* subfolder) that would be aligned.

Run the following command to execute MUSTANG:

- `mustang-3.2.1 -f description -o alignAll -F fasta -r ON`

This will create the following two files:

- *alignAll.pdb*: This file contains the aligned structures. Each chain corresponds to a specific PDB file and the header of this file lists the file names in the same order.
- *alignAll.afasta*: This contains the alignment of the amino acid sequences of the HIV-1 proteases based on the structural alignment.

### 4.1.3 Preparing data for PCA from MUSTANG output files

- copyChainsToPDBs.pl – This perl script will copy each chain of alignAll.pdb file to the corresponding PDB file according to PDB Ids listed in the pdbIds.txt file.
  - perl copyChainsToPDBs.pl pdbIds.txt alignAll.pdb

This will create a subfolder *aligned PDBs* in the *experimentalDynamics* folder. This subfolder will have the 329 PDB files with the aligned C<sup>α</sup> atoms of each structure. So when the Cartesian coordinates of each file will be placed in a matrix such that each row corresponds to the coordinates of one PDB Id, this matrix can be used for principal component analysis.

## 4.2 Use of Cartesian PCs to extract functional dynamics from the protein structures

Matlab script *experimentalDynamics.m* reads the Cartesian coordinates of the structures from the MUSTANG aligned files and perform PCA on them.

**4.2.1 Significance of Principal Components (PCs)**—Figure 3 shows the distribution of the 329 PDBs Ids projected onto the space of the first few PCs from three separate views – PC1-PC2 (panel A), PC1-PC3 (panel B), and PC2-PC3 (panel C). In panels A and B, open and closed structures are clearly separated in two regions (open structures on the left side and closed structures on the right side) and the intermediate conformations (1aid, 3t11, 4ej8, etc) spanning the middle region. The PC2-PC3 view in panel C, the structures are distributed based on conformational differences in the flap elbow region.

We used the MAVEN function *modeAnimator.m* to animate the motions of the structure along PC1, PC2, and PC3 vectors. The following code calculates the conformations along PC1 and can be found in *experimentalDynamics.m* matlab function:

```
m = readPDB(iframe,1); %read the MUSTANG reference structures
c = sqrt(length(m.IND) / sum(PC(:,1).^2));
%c controls the vector displacement amount
modeAnimator(m,PC(:,1),'',c,c/10 ,ofname,'',0,'',1);
%use PC1 as the mode vector to simulate the motion of the structure
```

The motion of the structure along PC1, PC2, and PC3 can be observed by opening the corresponding file using PyMOL visualization software. It is evident that, PC1 is closely related to the opening and closing (or expansion/contraction) of the flaps and the ligand binding cavity as shown in Fig. 4A. The two extreme ends of PC1 motion correspond closely to the closed (+) and the open (–) experimental structures (closed: PDB 1ebw, open: PDB 1rpi). The PC2 and PC3 correspond to twisting motions that are best seen in a perpendicular direction to those of PC1. PC2 is predominantly a twisting motion of the flap domains (panel C), whereas PC3 is predominantly a hinge motion of the core domains moving towards and away from the flaps (panel D).

experimentalDynamics.m also has code to visualize structures by using the ANM modes and the generated frames are saved in PDB file format that can be visualized using PyMOL software.

**4.2.2 Comparing PC based and ANM computed dynamics**—Matlab program experimentalDynamics.m has the code to compute the ANM modes by using the MAVEN function ANM.m, and it then computes the overlap and the cumulative overlaps with the previously computed PCs by using another MAVEN function CompareDynamics.m. Figure 5, generated by MAVEN function plot\_compareDynamics.m, shows the overlaps between the first 10 PCs and the first 10 ANM modes. The highest overlap is 60% found between PC1 and ANM mode 3.

Table II shows the cumulative overlaps between PCs and the ANM modes. The cumulative overlap between each of the first and the second PCs and the first 20 modes is above 80%. Interestingly, the cumulative overlap reaches 80% between the second PC and the first 6 modes. This clearly indicates that given an appropriate experimental dataset the motions captured by the PCs conform quite closely with the ANM motions.

### 4.3 New internal distance based ANM motions

The use of structural information in ANM improves the modeling of the protein dynamics. Section 2.3 describes a way to derive spring constants from the structures. Here, we compute the inverse of the variance of the internal distances from the aligned structures in the MUSTANG aligned file *align.pdb* by using the Python program *internal.py*. The calculated inverse values are stored in *hiv.329.var.sc* file that could be downloaded at the link in the footnote of Table I. MAVEN function ANM.m can be modified to use these values as the spring constants to compute the normal modes. Table III shows the overlaps of PCs based on these internal distances and the new ANM modes. The highest overlap is 79% that occurs between PC1 and mode 2, which is much higher than the highest overlap (60%) that occurred between PCs and conventional ANM modes (Fig. 5).

Table IV shows the cumulative overlap between PCs and the new ANM modes. We can see that cumulative overlap between PC1 and the first three modes reaches 90% which is quite high compared to the cumulative overlap between PC1 and the first three modes (62% as shown in Table II). However, the cumulative overlap between PC2 and the first three modified ANM 42%; on the other hand this value between PC2 and the first three conventional ANM modes is 71%, a much higher value. Therefore, in some cases cumulative overlap between a PC and the new ANM modes gets improved compared to the similar values between a PC and the conventional ANM modes. But when 20 new ANM modes are included, the values are constantly higher.

Taken together, this suggests that modified ANM can improve the performance of the ANM models.

### 4.4 Computing Entropy using PCs

We compute the entropy of the HIV-1 protease system using equation 2 described in section 2.5. By using *calc\_Entropy\_PC.m* matlab program, we compute the entropy from the

principal components of the 329 aligned HIV-1 protease structures. The residues of HIV-1 protease are colored in Fig. 6 according to the entropy values. It is clear from the figure that the entropies are asymmetrically distributed in the two HIV-1 protease subunits. Subunit A (right subunit) has higher entropies along the flap and flab elbow regions.

## 5 Conclusion

This chapter gives the background of two important methods – PCA and ENM. By following the steps with the set of 329 HIV-1 PDB structures, one can get a hands-on experience on how to apply PCA to extract dynamics and mechanism information by capturing the conformational variability buried in different PDB structures of the same protein. One can also learn how to model the functionally important global motions of the protein using the widely accepted ANM model and compare the dynamics and mechanism found from experimental structures by PCA and from the ANM model. The higher overlaps between PCs and modified ENM modes indicate that a rich dataset of protein structures can play an important role in understanding functional dynamics and mechanism of the protein.

Moreover, the PC's represent the variability apparent within the sets of structures, and hence these are used as a direct measure of the conformational entropy of the protein structure.

This approach can also be extended to other highly diverse protein structure sets. The PDB database continues to grow rapidly – in 2008 there were ~43,000 protein structures and now in 2013 there are more than 90,000 structures (1). In the future if new technologies for X-ray structure determination are developed that are much more efficient and very rapid, then there will be truly abundant structures of related proteins, including aberrant protein structures from patients. Among the various structures there are many single proteins with multiple X-ray structures determined under different conditions, as well as NMR structures. Generally proteins are robust and not easily disturbed by different environments or mutations; and the preponderance of evidence suggests that proteins have a limited range of conformations that are essential for their function. Therefore, the approach described here can generally be used to extract dynamics of any protein with significant numbers of available experimental structures.

## Acknowledgments

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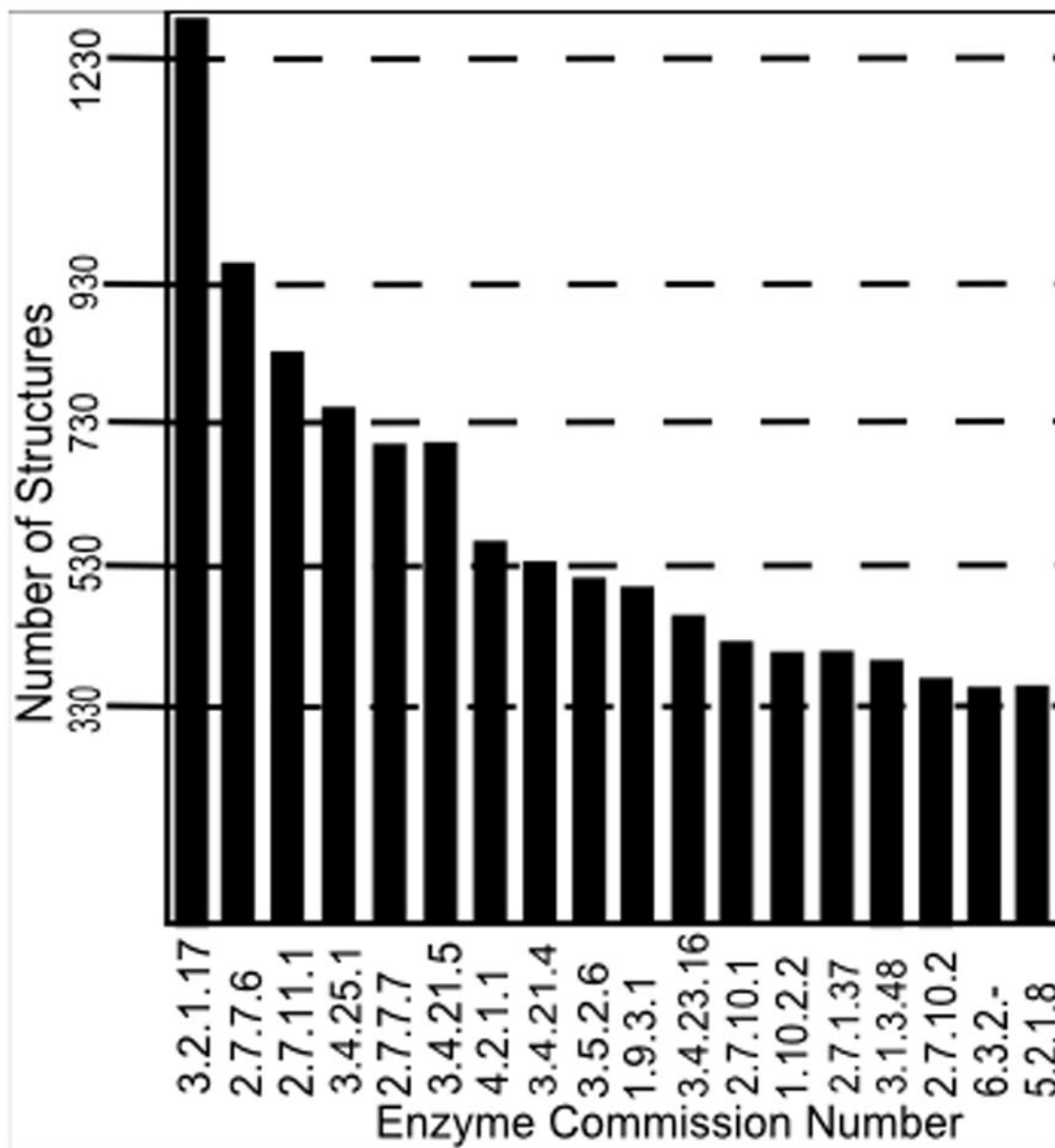
We used several Matlab functions from MAVEN by Zimmerman M, *et al* (12) as mentioned in section 4. MAVEN is also useful to compute PCs, PC-plot, ANM modes, overlap between PCs and ANM modes.

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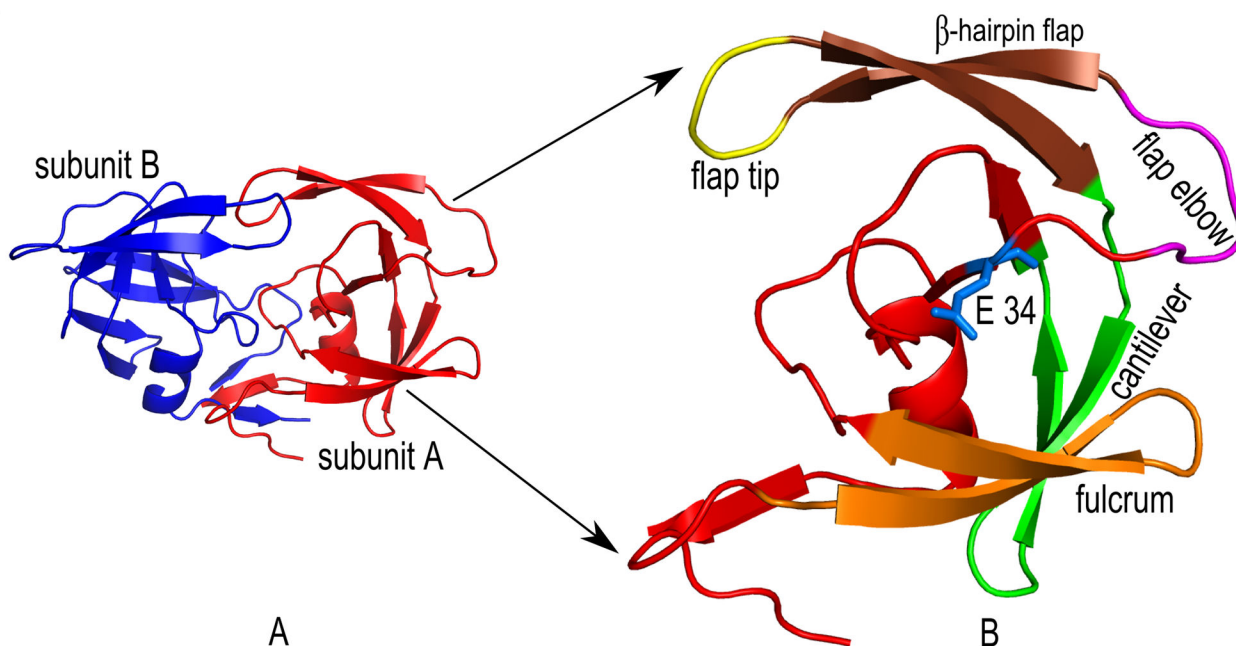
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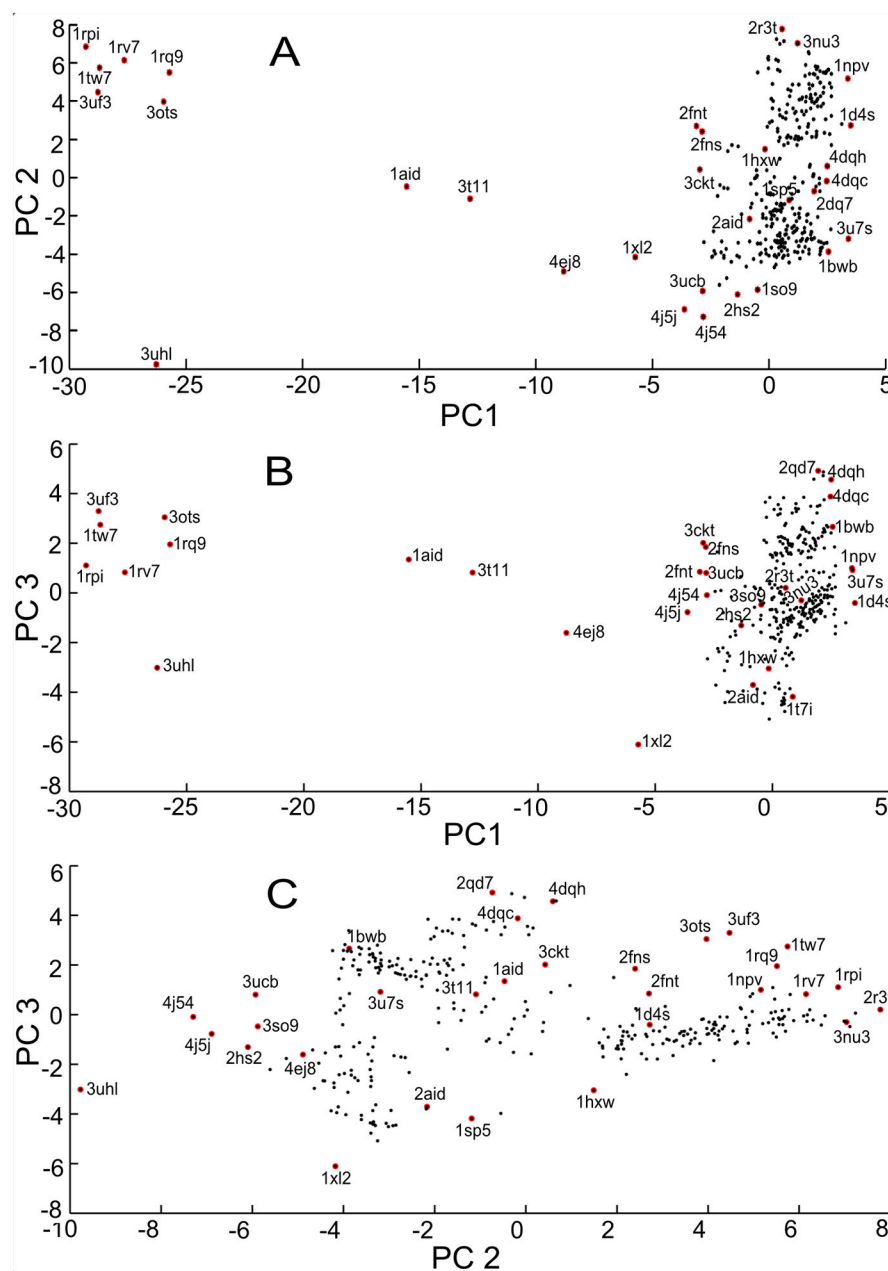
**Figure 1. Numbers of related protein structures available for extracting protein functional dynamics – snapshot of the PDB statistics for the largest categories of enzymes (08/30/2013)**  
 In total there are over 17,000 enzyme structures, and a significant number of structures for many diverse enzyme types. The most common structure on the left of this histogram with 1,285 structures is EC 3.2.1.17 that includes lysozymes, and at the right side is 5.2.1.8 acetylcholinesterases with 337 different structures (taken from enzyme classification histogram provided by PDB: [http://www.pdb.org/pdb/statistics/histogram.do?mdcat=entity&mditem=pdbx\\_ec&name=Enzyme%20Classification](http://www.pdb.org/pdb/statistics/histogram.do?mdcat=entity&mditem=pdbx_ec&name=Enzyme%20Classification)) (1)).





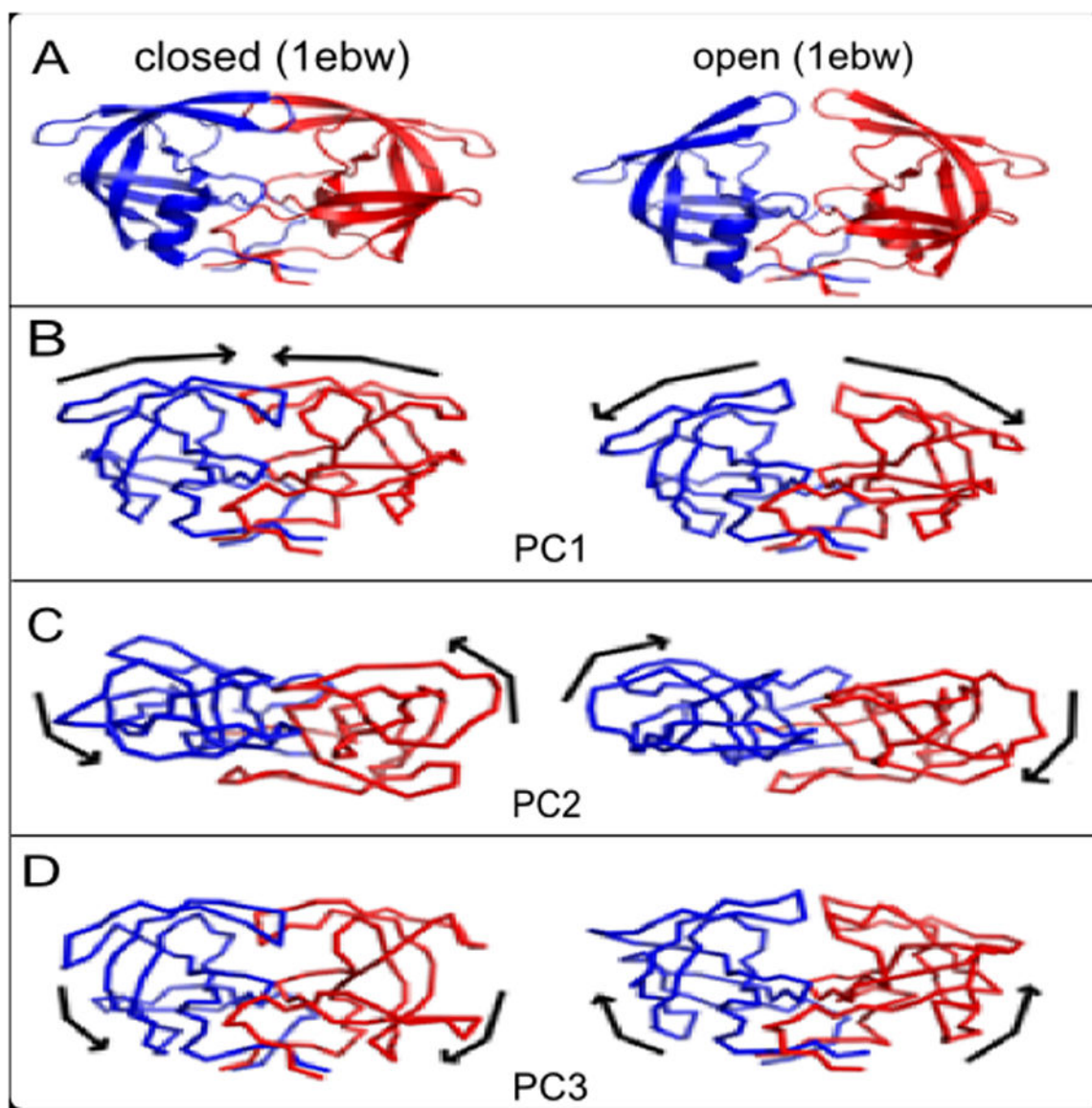
**Figure 2. Description of HIV-1 protease homo-dimer and its critical structural components that facilitate the functional dynamics**

(A) HIV-1 protease has two symmetric subunits – subunit A (red) and subunit B (blue). (B) Each subunit has several structural components that are important for its coordinated motions. **Fulcrum** (orange, residues 9 – 21) is a comparatively less mobile region that swings up and down similar to the flap elbow. **E-34 (blue)** – Hinge residue which is responsible for transmitting the motion from the fulcrum to the flap region. **Flap elbow** (magenta, residues 37 – 42) – Hinge residue E-34 drives the motion of this region to transfer the dynamics further away from the fulcrum to the upper flap region. This loop can make top-down and bottom-up swings. When the flap elbow swings from top to bottom, the flap domain opens up, and when it swings upward the flap domain closes. The **Flap domain** (residues 43 – 58) consists of flap tip (yellow, residues 49 – 52) and  $\beta$ -hairpin flaps (dark orange, residues 43 – 48 and 53 – 58). Opening and closing of the flap domains enable the protein to bind ligands and release its products after proteolysis. **Cantilever** (green, residues 59 – 75) functions as a base for the flap domain. The C-terminal  $\beta$ -hairpin flap is held by the N-terminal end of the cantilever and this arrangement is important to control the swinging of the flap (30;31).

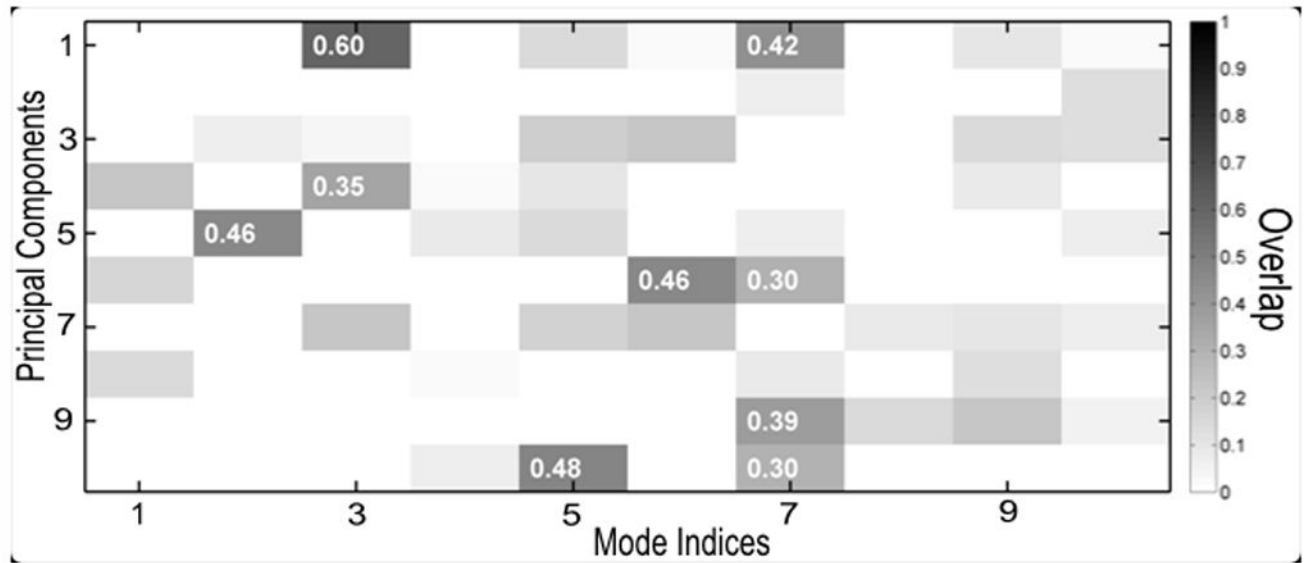


**Figure 3. Distributions of the 329 PDB structures by PCA**

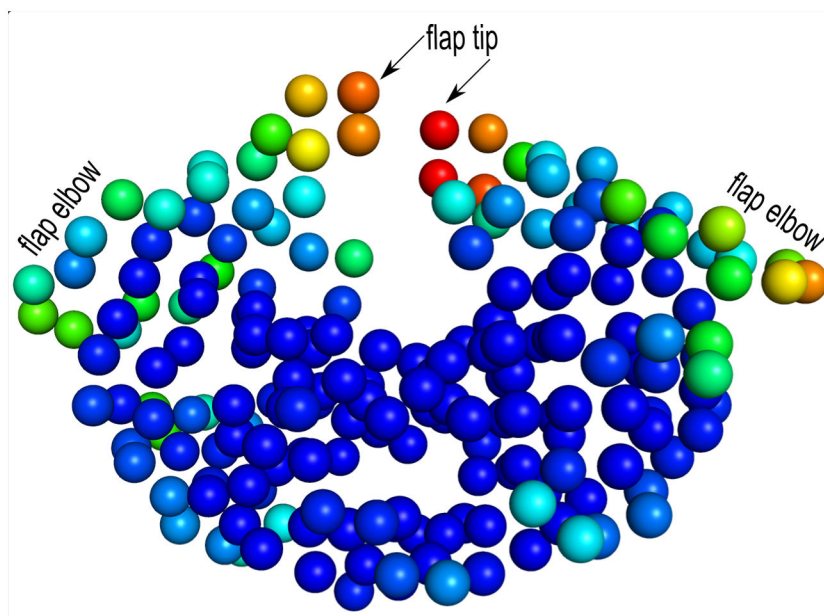
(A) Distribution of the structures on a PC1-PC2 plot. (B) Distribution of the structures on a PC1-PC3 plot. (C) Distribution of the structures on a PC2-PC3 plot. In plots A and B, open structures are located on the left side; closed structures are located on the right side; and the intermediate structures fall in between. Distribution of structures on PC2-PC3 plot (panel C) is based on primarily on the conformational differences along the flap elbow region. PC1, PC2, and PC3 capture 30%, 20%, and 7% of the variances in the dataset, respectively.



**Figure 4. Visualization of the first three PCs of HIV-1 protease on the structures**  
 (A) Structures showing the closed form (left, PDB 1ebw) and open form (right, PDB 1rpi) of HIV-1 protease. The two subunits are shown in red and blue color and in ribbon diagram.  
 (B) Snapshots of the structures displaced along the directions of PC1 shown in connected line segment. The direction of motions of the protein along each PC is shown with a black arrow. It can be seen that the opening-closing motion of the flaps can be easily identified from the extrema of PC1. Two extrema are shown for each motion in each row, together with arrows that indicate the directions for transition to the other structure. (C) PC2 images are shown looking down from the top of those in PC1 and PC3. PC2 is a twisting of the flap regions whereas (D) PC3 is a hinge motion between the core and flaps, with the core and flaps moving to and fro relative to one another.



**Figure 5.**  
Overlap between PCs and ANM modes. PC1 and mode 3 gives the highest overlap 60%.



**Figure 6.** Depiction of entropies of HIV-1 protease structure (PDB 1rpi) computed from PCs. Residues are colored spectrally according to the entropy values – coloring from red for the highest entropy to blue for the lowest entropy. Some of the residues along the flap and flap elbow regions on the subunit A (right subunit) have higher entropies than the same residues on subunit B (left subunit).

Record Id	Atom No	Atom Name	Alt Loc Ind	Res Name	Chain Id	Res No	Cart. Coordinates					
ATOM	72	N	AARG	A	8		26.288	-8.483	-5.943	0.60	23.05	N
ATOM	73	N	BARG	A	8		26.517	-8.547	-6.006	0.40	23.23	N
ATOM	74	CA	AARG	A	8		25.875	-8.023	-4.614	0.60	21.71	C
ATOM	75	CA	BARG	A	8		26.053	-8.180	-4.733	0.40	22.23	C
ATOM	76	C	AARG	A	8		26.929	-8.501	-3.626	0.60	21.10	C
ATOM	77	C	BARG	A	8		27.135	-8.490	-3.723	0.40	21.09	C
ATOM	78	O	AARG	A	8		27.328	-9.670	-5.635	0.60	20.83	O
ATOM	79	O	BARG	A	8		27.754	-9.554	-4.784	0.40	21.00	O
ATOM	80	CB	AARG	A	8		24.484	8.512	4.224	0.60	22.35	C
ATOM	81	CB	BARG	A	8		24.802	-8.972	-4.352	0.40	22.44	C
ATOM	82	CG	AARG	A	8		24.494	-7.944	-5.134	0.60	24.49	C
ATOM	83	CG	BARG	A	8		24.547	8.633	5.150	0.40	24.08	C
ATOM	84	CD	AARG	A	8		22.022	-8.424	-6.762	0.60	24.19	C
ATOM	85	CD	BARG	A	8		22.313	-9.292	-4.597	0.40	23.91	C
ATOM	86	CE	AARG	A	8		21.050	-8.042	-5.770	0.60	26.75	N
ATOM	87	CE	BARG	A	8		22.360	10.732	4.832	0.40	26.23	N
ATOM	88	CZ	AARG	A	8		20.261	-8.897	-6.410	0.60	27.91	C
ATOM	89	CZ	BARG	A	8		21.745	-11.634	-4.103	0.40	26.73	C
ATOM	90	HA	AARG	A	8		20.476	10.707	6.170	0.60	28.97	N
ATOM	91	HA	BARG	A	8		21.020	-11.326	-3.036	0.40	27.64	N
ATOM	92	HA	AARG	A	8		19.385	-8.454	-7.290	0.60	29.06	N
ATOM	44	HA	BARG	A	8		21.877	-12.918	-6.444	0.40	27.99	N

**A. Records for Residue 8**

HETATM	572	N	ACME	A	67		31.550	-12.012	0.379	0.70	29.54	N
HETATM	573	N	BCME	A	67		31.558	-11.938	0.292	0.30	29.11	N
HETATM	574	CA	ACME	A	67		32.720	-12.421	7.660	0.70	31.98	C
HETATM	575	CA	BCME	A	67		32.776	-12.485	7.653	0.30	30.89	C
HETATM	576	CB	ACME	A	67		32.828	-11.366	6.558	0.70	32.28	C
HETATM	577	CB	BCME	A	67		33.184	-11.741	6.377	0.30	30.93	C
HETATM	578	SG	ACME	A	67		34.001	-13.883	5.322	0.70	33.15	S
HETATM	579	SG	BCME	A	67		34.526	-12.577	5.566	0.30	33.46	S
HETATM	580	SO	ACME	A	67		33.313	-13.228	4.106	0.70	30.82	S
HETATM	581	SO	BCME	A	67		33.296	-13.133	3.990	0.00	19.59	S
HETATM	582	CE	ACME	A	67		31.653	-13.713	4.229	0.70	33.41	C
HETATM	583	CE	BCME	A	67		31.702	-13.776	4.257	0.00	18.95	C
HETATM	584	CZ	ACME	A	67		31.498	-14.878	3.263	0.70	34.43	C
HETATM	585	CZ	BCME	A	67		31.508	-14.024	2.274	0.00	21.88	C
HETATM	586	OH	ACME	A	67		31.302	-14.483	1.906	0.70	35.37	O
HETATM	587	OH	BCME	A	67		31.501	-14.547	1.927	0.00	21.78	O
HETATM	588	C	ACME	A	67		33.021	-12.522	0.606	0.70	32.22	C
HETATM	589	C	BCME	A	67		33.963	-12.613	0.623	0.30	31.53	C
HETATM	590	O	ACME	A	67		30.001	-11.978	0.379	0.70	33.07	O
HETATM	591	O	BCME	A	67		35.085	-12.146	0.424	0.30	41.46	O

**B. Records for Residue 67**

Schema 1.

Record Id	Atom No	Alt Loc	Ind	Res No	Cart. Coordinates						
ATOM	72	N	AARG	A	8	26.288	-8.483	-5.941	0.60	23.05	N
ATOM	73	N	BARG	A	8	26.517	-8.547	-6.064	0.40	23.23	N
ATOM	74	CA	AARG	A	8	25.875	-8.023	-4.614	0.60	21.71	C
ATOM	75	CA	BARG	A	8	26.053	-8.180	-4.733	0.40	22.23	C
ATOM	76	C	AARG	A	8	26.929	-8.501	-3.624	0.60	21.10	C
ATOM	77	C	BARG	A	8	27.135	-8.490	-3.723	0.40	21.09	C
<b>A. Records for Residue 8</b>											
HETATM	572	N	ACME	A	67	31.550	-12.012	8.379	0.70	29.54	N
HETATM	573	N	BCME	A	67	31.558	-11.938	8.292	0.30	29.11	N
HETATM	574	CA	ACME	A	67	32.726	-12.421	7.660	0.70	31.90	C
HETATM	575	CA	BCME	A	67	32.776	-12.485	7.653	0.30	30.89	C
HETATM	588	C	ACME	A	67	33.921	-12.522	8.646	0.70	32.23	C
HETATM	589	C	BCME	A	67	33.963	-12.613	8.623	0.30	31.53	C
<b>B. Records for Residue 67</b>											

Schema 2.

Record Id	Atom No	Alt Loc Ind			Res No	Cart. Coordinates					
ATOM	72	N	AARG	A	8	26.288	-8.483	-5.941	0.60	23.05	N
ATOM	74	CA	AARG	A	8	25.875	-8.023	-4.614	0.60	21.71	C
ATOM	76	C	AARG	A	8	26.929	-8.501	-3.624	0.60	21.10	C
A. Records for Residue 8											
HETATM	572	N	ACME	A	67	31.550	-12.012	8.379	0.70	29.54	N
HETATM	574	CA	ACME	A	67	32.726	-12.421	7.660	0.70	31.90	C
HETATM	588	C	ACME	A	67	33.921	-12.522	8.646	0.70	32.23	C
B. Records for Residue 67											

Schema 3.



Record Id	Atom No	Alt Loc Ind	Res No	Cart. Coordinates					
ATOM	72	N AARG A	8	26.288	-8.483	-5.941	0.60	23.05	N
ATOM	74	CA AARG A	8	25.875	-8.023	-4.614	0.60	21.71	C
ATOM	76	C AARG A	8	26.929	-8.501	-3.624	0.60	21.10	C
A. Records for Residue 8									
ATOM	572	N ACME A	67	31.550	-12.012	8.379	0.70	29.54	N
ATOM	574	CA ACME A	67	32.726	-12.421	7.660	0.70	31.90	C
ATOM	588	C ACME A	67	33.921	-12.522	8.646	0.70	32.23	C
B. Records for Residue 67									

Schema 4.

Record Id	Atom No	Alt Loc Ind	Res No	Cart. Coordinates	
ATOM	74	CA AARG A	8	25.875 -8.023 -4.614 0.60 21.71	C
A. Records for Residue 8					
ATOM	574	CA ACME A	67	32.726 -12.421 7.660 0.70 31.90	C
B. Records for Residue 67					

Schema 5.

**Table I**

Summary of the steps for extracting biomolecular dynamics

§4.1 Extracting Cartesian coordinates from raw PDB files §4.1.1 Data set preparation for MUSTANG from raw PDB files	
Program/File Name	Function
copyBackboneAtoms.pl	Copies backbone ATOM and HETATM from a set of PDB files.
retainFirstAltLocation.pl	Retains the first alternate location for each ATOM and HETATM when multiple locations for that ATOM/HETATM exist. It operates on a set of PDB files.
replaceHETATM.pl	Replaces the keyword HETATM with the keyword ATOM in a set of PDB files.
retainCA.pl	Copies the CA atoms from a set of PDB files with no TER keyword between chains to comply with the MUSTANG input file format.
§4.1.2 Multiple structural alignment using MUSTANG §4.1.3 Data set preparation for PCA from MUSTANG output	
copyChainsToPDBs.pl	Copies the chains from alignAll.pdb to individual PDB files.
pdblids.txt	This file list the PDB ids for 329 PDB structures used here.
§4.2 Principal Component Analysis (PCA) §4.3 Comparing and visualizing PCs and ANM modes §4.4 Comparing PCs and structure-based ANM §4.5 Computing Entropy using PCs	
experimentalDynamics.m	This Matlab program (i) computes principal components from aligned structures, (ii) computes ENM modes, (iii) computes the overlap between PCs and ENM modes, (iv) computes entropies from PCs.
readAlignedPDBcoordinates.m	This Matlab function reads the coordinates of aligned PDB structures and returns the coordinates of those structures.
internal.py	This program, written in Python, calculates the internal distances of Mustang aligned structures.
calc_Entropy_PC.m	This Matlab function computes entropy from computed PCs.

The above files, the files used from MAVEN, other accessory files and dataset can be downloaded at <http://ribosome.bb.iastate.edu/4papers/2013/ataur/experimentalDynamics/>

**Table II**

Cumulative Overlap between the first three PCs and sets of the ANM modes

ANM Modes/PCs	PC1	PC2	PC3
3 modes	0.62	0.71	0.44
6 modes	0.64	<b>0.80</b>	0.54
10 modes	0.77	<b>0.83</b>	0.59
20 modes	<b>0.80</b>	<b>0.85</b>	0.65

\* CO between a PC and ANM modes is shown in bold type if it is greater than 0.80

**Table III**

Overlaps between PCs and the new ANM modes

PCs/newANM Modes	Mode 1	Mode 2	Mode 3
PC1	0.09	0.79	0.40
PC2	0.34	0.01	0.24
PC3	0.34	0.01	0.10

**Table IV**

Cumulative overlaps between PCs and the new ANM modes

New ANM modes/PCs	PC1	PC2	PC3
3 Modes	<b>0.90</b>	0.42	0.35
6 Modes	<b>0.91</b>	0.44	0.41
20 Modes	<b>0.95</b>	<b>0.89</b>	<b>0.84</b>

Values in bold indicate cumulative overlaps above 80%