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## FULL PAPER

# Prediction of pathological complete response of breast cancer patients undergoing neoadjuvant chemotherapy: usefulness of breast MRI computer-aided detection

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**Objective:** To evaluate the usefulness of MR computer-aided detection (CAD) in patients undergoing neoadjuvant chemotherapy for prediction of the pathological complete response of tumours.

**Methods:** 148 patients with breast cancer (mean age, 47.3 years; range, 29–72 years) who underwent neoadjuvant chemotherapy were included in our study. They underwent MRI before and after neoadjuvant chemotherapy, and we reviewed the pathological result as the gold standard. The computer-generated kinetic features for each lesion were recorded, and the features analysed included “threshold enhancement” at 50% and 100% minimum thresholds; degree of initial peak enhancement; and enhancement profiles comprising lesion percentages of washout, plateau and persistent enhancement. The final pathological size and character of tumours were correlated with post-chemotherapy mammography, ultrasonography and MR CAD findings. Kruskal-Wallis test and intraclass correlation coefficient were used to analyse the findings.

**Results:** We divided the 148 patients into complete pathological response and non-complete pathological response groups. A complete pathological response was defined as no histopathological evidence of any residual invasive cancer cells in the breast or axillary lymph nodes. 39 patients showed complete pathological response, and 109 patients showed non-complete pathological response. Between enhancement profiles of MR CAD, plateau proportion of tumours was significantly correlated with the pathological response of tumours (mean proportion of plateau on complete pathological response group was 27%,  $p = 0.007$ ).

**Conclusion:** When plateau proportion of tumours is high, we can predict non-complete pathological response of neoadjuvant chemotherapy.

**Advances in knowledge:** MR CAD can be a useful tool for the assessment of response to neoadjuvant chemotherapy and prediction of pathological results.

In the early 1980s, neoadjuvant chemotherapy was introduced to improve outcomes in patients with advanced breast cancer.<sup>1</sup> This therapeutic method has been known for its fascinating advantages. Large and advanced breast cancers might be downstaged for conservative surgery rather than mastectomy. It also offers an improved survival rate. Furthermore, tumour response may be assessed *in vivo* by measuring tumour size. As a result, ineffective chemotherapy can be stopped and patients can avoid unnecessary toxicity.<sup>2,3</sup> Previous studies have shown that the size of residual tumours and the response of a tumour to neoadjuvant chemotherapy are related to the recurrence-free survival rate.<sup>4–8</sup> It was also exhibited<sup>8,9</sup> that neoadjuvant chemotherapy could lead to a pathological complete response

(pCR) in up to 30% of patients with breast cancers, and these patients showed a better survival outcome than patients with residual cancers. This result emphasizes that prediction of chemotherapeutic effects before treatment could be critical for a successful cancer treatment.

Pathological correlation with MRI has demonstrated greater sensitivity for evaluating breast cancers than do conventional imaging methods such as mammography and ultrasonography. MRI can predict the size and extent of lesions, including margins, with sensitivity near 100%.<sup>10</sup> It also accurately evaluates residual tumour and better determines chemotherapeutic response. This imaging method could be beneficial for evaluation of response to

neoadjuvant chemotherapy. As a result, it might enable physicians to optimize treatment regimens both early in the course of chemotherapy and post-operatively and to offer more opportunities for breast conservation.<sup>11</sup>

However, breast MRI requires more time for image processing and interpretation than do other conventional methods and has demonstrated variable specificity. To overcome these limitations, computer-aided detection (CAD) programs for breast MRI are widely used. These systems have the potential to improve efficiency of breast MRI and reduce the number of false-positive diagnoses.<sup>12</sup> CAD may not only improve consistency and detection rate but also provide new methods of analysis that are not available with manual interpretation such as quantitative measurement of kinetic curve thresholds.<sup>13</sup> But, a previous study has shown that CAD was less accurate than a radiologist in the assessment of tumour size in patients with breast cancer undergoing neoadjuvant chemotherapy.<sup>14</sup> Other research reported that CAD is sufficiently accurate for the assessment of the extent of residual tumours, but the assessment by a radiologist and CAD showed a fair-to-poor agreement for assessment of response to chemotherapy.<sup>15</sup> However, controversy remains as to whether CAD is accurate for MRI of patients with breast cancer who were treated with neoadjuvant chemotherapy.

Therefore, the purposes of this study were to retrospectively evaluate whether MRI parameters assessed with CAD are associated with the pCR of tumours, and to evaluate the accuracy of CAD in breast MRI for the assessment of the extent of residual tumours in patients undergoing neoadjuvant chemotherapy for breast cancer.

## METHODS AND MATERIALS

### Patient selection

Patient selection for this study was approved by the ethics committee of our institution (Asan Medical Center, Seoul, Korea).

Selected patients with histopathologically confirmed breast cancer underwent neoadjuvant chemotherapy from November 2007 to February 2011. Patients who underwent both baseline and follow-up MRI measurements before and after treatment as well as surgery after completing neoadjuvant chemotherapy were included in our study. 151 patients were identified from a retrospective review of our breast MRI database, which involved dynamic contrast-enhanced breast MRI.

In cases of multifocal disease, the largest one was selected as the index tumour. After elimination of 3 females who underwent MR examination after the first cycle of neoadjuvant chemotherapy, 148 lesions of 148 patients (mean age, 47.3 years; range, 29–72 years) were included in the analysis. All patients underwent mammography, ultrasonography and core biopsy with a histological diagnosis of breast cancer. The mean interval between pre-neoadjuvant chemotherapy MR examinations and initiation of neoadjuvant chemotherapy was 10 days (range, 0–33 days), and the mean interval between the initiation of neoadjuvant chemotherapy and post-treatment MR examination was 141 days (range, 59–205 days). The median number of neoadjuvant chemotherapy cycles was seven (range, four to nine cycles). The median interval between post-treatment MR examination and surgery was 17 days (range, 1–74 days).

107 patients (72%) received taxane plus anthracycline regimens, whereas 29 patients (20%) received an anthracycline-based regimen. The remaining 12 patients (8%) received a trastuzumab plus taxane regimen.

### MRI protocol

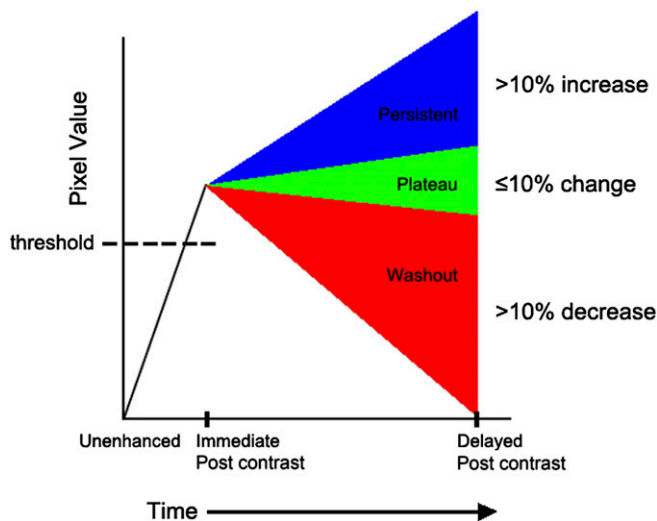
MRI was performed using a 1.5-T MR scanner (MAGNETOM® Avanto; Siemens Medical Solutions, Erlangen, Germany). The body coil was used as the transmitter, and a dedicated four-channel phased-array breast coil (Siemens Medical Solutions) as the receiver. Bilateral breast imaging was performed with the following protocol: an axial short  $T_1$  inversion recovery sequence [repetition time (TR)/echo time (TE) = 4400/74 ms; inversion time, 130 ms; 5-mm thickness without an interslice gap; field of view,  $340 \times 340 \text{ mm}^2$ ; matrix size,  $224 \times 448$  pixels; acquisition time, 134 s], a three dimensional (3D)  $T_1$  weighted fast low-angle shot dynamic gradient-echo sequence (TR/TE = 5.0/2.4 ms; flip angle,  $10^\circ$ ; 0.9-mm thickness without an interslice gap;  $0.9 \times 0.9 \times 0.9 \text{ mm}^3$  isotropic voxel; one unenhanced and five contrast-enhanced acquisitions with a temporal resolution of 60 s) and an intravenous bolus injection of  $0.2 \text{ ml kg}^{-1}$  gadoterate meglumine (Dotarem®; Guerbet, Paris, France) administered using a MR-compatible power injector (Spectris; Medrad®, Pittsburgh, PA) with a flow of  $1 \text{ ml s}^{-1}$ , followed by a 20-ml saline flush. One pre-contrast and five post-contrast dynamic series were obtained at every 60 s after injection of a contrast agent. Post-processing manipulations included subtraction images and maximum-intensity projection images.

### Computer-aided detection data collection and analysis

All MRI examinations were subsequently processed by a computer-aided evaluation software (CADstream®; Confirma Inc., Kirkland, WA), a commercially available CAD system. For measurement of MR image parameters, pre-contrast and all post-contrast  $T_1$  weighted image series were transferred to a CAD system. The system automatically segmented the tumours into three dimensions and calculated the tumour diameter (maximal size of an enhancing lesion), tumour volume (total enhancing lesion volume), peak enhancement value (highest pixel signal intensity at the first post-contrast series) and proportions of persistent, plateau and washout-enhancing components within a tumour. We took the 50% enhancement threshold level to compare the pre-contrast and first post-contrast series to attain greater sensitivity in the detection of slowly enhancing lesions frequently found in the neoadjuvant chemotherapy setting.<sup>16–18</sup> A colour map was generated according to the delayed phase enhancement type after peak enhancement as follows: persistent type, which indicated increased pixel signal intensity of  $>10\%$  from the first post-contrast series; washout type, which indicated decreased pixel signal intensity at the last post-contrast series of  $>10\%$  from the first post-contrast series; or plateau type, which indicated increased pixel signal intensity at the last post-contrast series of  $<10\%$  and decreased intensity of  $<10\%$  from the first post-contrast series (Figure 1).

The percentages of regions demonstrating different enhancement curves in a given lesion are automatically summarized in an enhancement profile of the lesion by the program (Figure 2).

Figure 1. Definition of computer-assisted diagnosis-generated variables.



The CAD-processed MRI examinations were reviewed on a CAD workstation by a breast radiologist. The same radiologists, who had performed a one-dimensional measurement at a picture archiving and communication system workstation, selected the same lesions for CAD measurement. CAD-generated enhancement profiles

were recorded, with the percentages of each total enhancement of the lesions allocated to persistent, plateau and washout enhancement types. CAD-generated maximum tumour sizes were recorded. Maximum sizes of residual invasive malignancy at final surgery were obtained from the surgical pathology reports.

**Histopathological analysis**

Histopathological examinations were performed by board-certified breast pathologists according to the TNM classification. Final tumour diameters were determined on the basis of gross and microscopic evaluation of the surgical specimens. Standardized report templates included the number and size of measurable invasive components and carcinoma *in situ* components of the tumours. The definition of pCR was the absence of invasive tumour cells in the primary tumour sites (ductal carcinoma *in situ* may be present).

**Statistical analysis**

The Kruskal–Wallis test was utilized to analyse the relationship between pCR and kinetic features of MR CAD. Intraclass correlation coefficient (ICC) was used each for evaluation of consensus between MRI, MR CAD and final pathological diameter.

All statistical analyses were performed with a statistical software (SPSS® for Windows v. 12.0; SPSS, Chicago, IL). A  $p < 0.05$  was considered to indicate a statistically significant difference. The Kruskal–Wallis test was used for analysis, and ICC was applied for evaluation of consensus between measures.

Figure 2. Demonstration of the kinetic features of tumour on a report from MR computer-aided detection (CAD). The tumour location, distance from the nipple, size and angio volume are present in the standardized report of the CAD system. It also shows kinetic parameters of the tumour with a colour-coded image. For breast studies, it is only able to show the washout map. Radiologists can manually add information about the morphology of the tumour and the final assessment in the report. For colour images see online: [www.birpublications.org/doi/abs/10.1259/bjr.20140142](http://www.birpublications.org/doi/abs/10.1259/bjr.20140142).

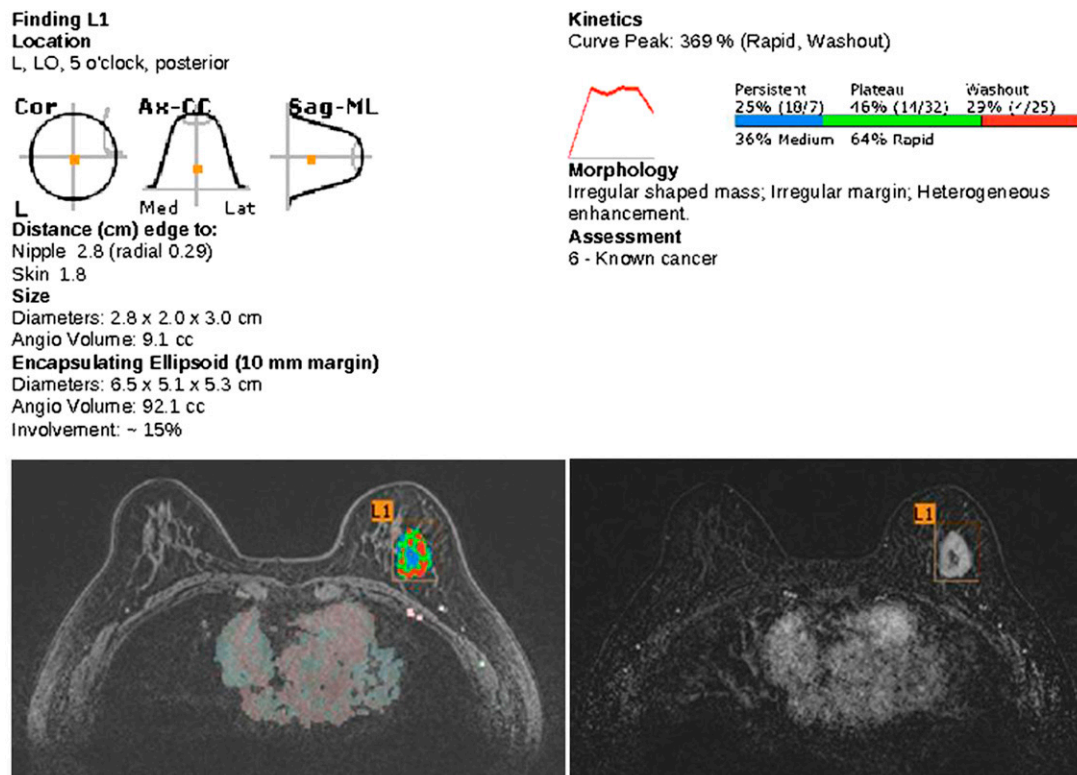


Table 1. Relationship between enhancement profiles of MR computer-aided detection and pathological result of tumour

Variable (%)	Total (n = 148)				pCR (n = 39)		Non-pCR (n = 109)		
	Minimum	Maximum	Mean	SD	Mean	SD	Mean	SD	p-value
Rapid	3	97	50.2770	20.31983	50.7949	21.25556	50.0917	20.07234	0.846
Medium	0	97	49.7162	20.32727	49.2051	21.25556	49.8991	20.08267	0.846
Persistent	4	66	44.0203	18.33493	48.7179	19.96042	42.3394	17.50884	0.067
Plateau	3	75	31.3581	10.10423	27.4872	8.95566	32.7431	10.16840	0.007
Washout	0	40	24.6041	15.79028	23.4718	16.87655	25.0092	15.44434	0.445

pCR, pathological complete response; SD, standard deviation.  
Kruskal-Wallis test ( $p < 0.05$ ).

## RESULTS

### Patients and lesions

A total of 148 patients were included in our study. The mean age of the included patients was 47.3 years (range, 29–72 years). The size of the lesions ranged from 1.9 to 13.0 cm on pre-operative MRI. Pre-operative histopathological evaluation after core needle biopsy revealed invasive ductal carcinoma ( $n = 140$ , 95%), invasive lobular carcinoma ( $n = 3$ , 2%), metaplastic carcinoma ( $n = 3$ , 2%), mucinous carcinoma ( $n = 1$ , 0.7%) and invasive apocrine carcinoma ( $n = 1$ , 0.7%).

### Lesion features after neoadjuvant chemotherapy

After neoadjuvant chemotherapy, 75 lesions did not show any abnormal enhancing signal in the non-visible MR CAD. The mean size of lesion on the final pathology was 1.2 cm (range, 0–8.8 cm). The final pathological findings of the no visible MR CAD signal group were residual invasive ductal carcinoma ( $n = 34$ , 45%), no residual tumour ( $n = 18$ , 24%), ductal

carcinoma *in situ* ( $n = 17$ , 23%), invasive lobular carcinoma ( $n = 3$ , 4%) and others ( $n = 3$ , 4%). Pathological findings of the visible signal group ( $n = 73$ ) were residual invasive ductal carcinoma ( $n = 67$ , 92%), ductal carcinoma *in situ* ( $n = 3$ , 4%), no residual tumour ( $n = 2$ , 3%) and metaplastic carcinoma ( $n = 1$ , 1%).

While 39 patients exhibited complete pathological response, 109 patients showed non-complete pathological response. Between enhancement profiles of MR CAD, plateau proportion of tumours indicated a significant negative correlation with pCR (mean, 27%;  $p$ -value, 0.007; Table 1). Proportion of plateau enhancement on total tumour volume was quite low in the complete pathological response group. The  $p$ -value for persistent enhancement was nearly significant ( $p$ -value, 0.067; one-tailed  $p$ -value, 0.034). Other profiles showed no significant correlation (Figures 3 and 4).

### Agreement with pathological findings

On evaluation of consensus to pathological diameter, manual measurement of conventional MRI revealed higher agreement

Figure 3. MR computer-aided detection (CAD) images of a 51-year-old patient with invasive ductal carcinoma. Before chemotherapy, there is a well enhancing mass with internal non-enhancing area in the inner portion of the right breast (a). The colour-coded kinetic pattern was overlaid in the MR CAD image (b), delayed plateau enhancement component was 15% of the total tumour angio volume (c). After chemotherapy, the previously noted malignant mass markedly decreased and a subtle enhancing parenchymal lesion remained (d). The kinetic curve showed predominant progressive enhancing pattern on MR CAD (e, f). After a breast conserving operation, there was 1cm of focal ductal carcinoma *in situ* on the final pathology. Ax, axial; CC, craniocaudal; Cor, coronal; Lat, lateral; L1, lesion 1; LO, lower outer; Med, medial; ML, mediolateral; Sag, sagittal. For colour images see online: [www.birpublications.org/doi/abs/10.1259/bjr.20140142](http://www.birpublications.org/doi/abs/10.1259/bjr.20140142).

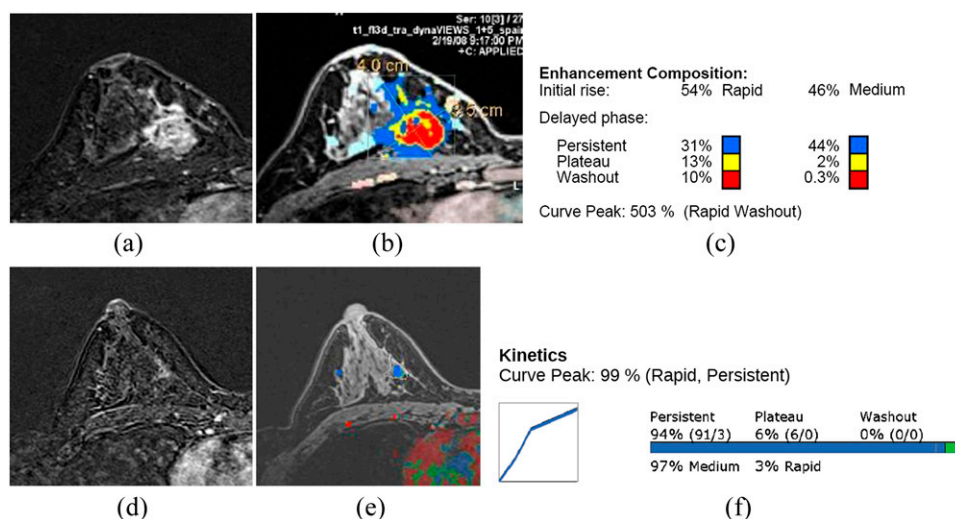
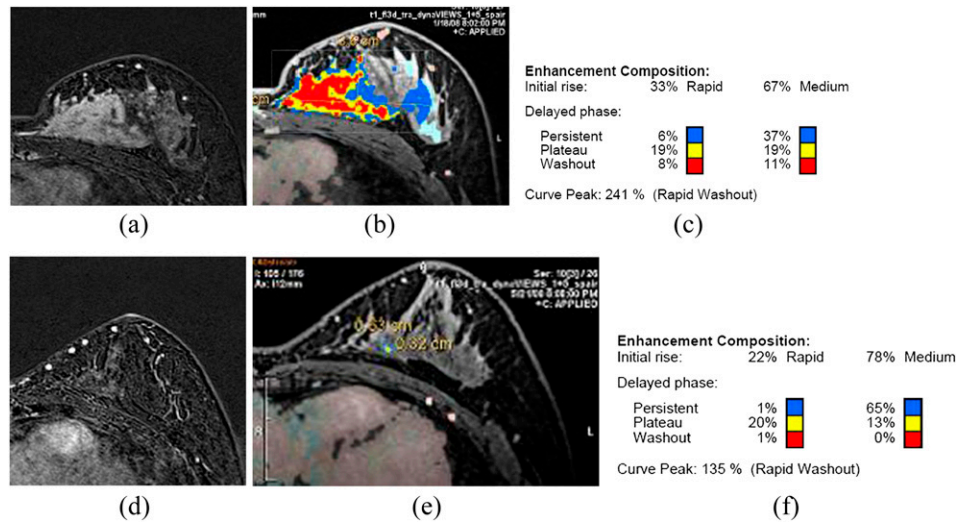


Figure 4. MR computer-aided detection (CAD) images of a 48-year-old patient with invasive ductal carcinoma. Before chemotherapy, there was a large well enhancing mass in the left breast on breast MRI (a). The colour-coded kinetic pattern was overlaid in the MR CAD image (b), plateau enhancement component was 38% of the total tumour angio volume (c). After chemotherapy, the size of the large mass significantly decreased (d), but there was still an enhancing parenchymal lesion on MRI of about 8 cm. The colour-coded kinetic pattern on MR CAD was also significantly improved from the previous study (e, f). There was 10 cm of residual invasive ductal carcinoma on final pathological result after modified radical mastectomy. For colour images see online: [www.birpublications.org/doi/abs/10.1259/bjr.20140142](http://www.birpublications.org/doi/abs/10.1259/bjr.20140142).



(ICC = 0.6378) than that of automated measurement of MR CAD (ICC = 0.3844) (Figure 5).

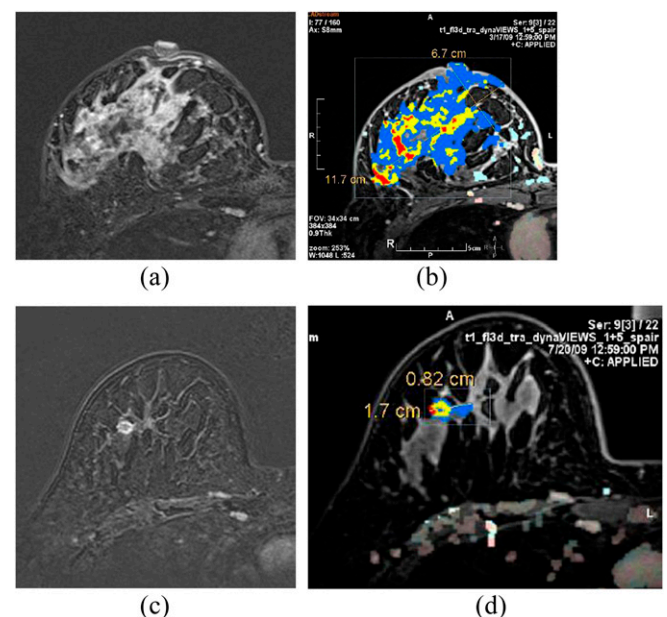
## DISCUSSION

Neoadjuvant chemotherapy was undertaken with the aim of shrinking tumours in patients who were not candidates for primary surgery and in the hope of allowing greater conservation of the breast.<sup>19</sup> In addition, neovascularity associated with cancers may affect the effectiveness of chemotherapeutic agents for the treatment of primary breast cancer as well as potential metastasis. While the tumour vasculature remains intact, prior chemotherapeutic treatment before surgery might improve the delivery of chemotherapeutic agents to the targeted tumour cells, leading to more successful chemotherapy. Owing to these potential advantages of neoadjuvant chemotherapy in the treatment of advanced breast cancer, it has become widely adopted over the past several years.<sup>20</sup>

All conventional methods for initial assessment of breast cancers, such as mammography and sonography, have been known to be suboptimal in the accurate assessment of response to neoadjuvant chemotherapy.<sup>21</sup> Some previous studies have suggested that the lack of concordance may be related to chemotherapy-induced fibrosis.<sup>22</sup> It has been accepted that breast MRI with contrast enhancement indicates a high degree of sensitivity ranging from 95% to 100% and a variable specificity ranging from 37% to 97% to detect breast cancers.<sup>23–30</sup> Measuring the size of residual tumour and enhancement patterns in predicting response to neoadjuvant chemotherapy with breast MRI has been also investigated in many previous studies.<sup>31,32</sup> Chemotherapy-induced fibrosis can be difficult to differentiate from residual disease on conventional imaging methods, but MRI could be a more effective method for differential diagnosis of residual lesion vs chemotherapy-induced fibrosis.

We applied a computer-assisted program capable of semi-automatic assessment of kinetic features in a given lesion. The

Figure 5. MR computer-aided detection (CAD) images of a 52-year-old invasive ductal carcinoma patient. In breast MRI, there was a well enhancing large mass in the right breast (a). The colour-coded kinetic pattern was overlaid in the MR CAD image with calculated tumour size (b). After chemotherapy, the size of the tumour markedly decreased (c, d). After modified radical mastectomy, there was 2.0 cm of residual invasive ductal carcinoma on the final pathology. FOV, field of view; L, length; W, width. For colour images see online: [www.birpublications.org/doi/abs/10.1259/bjr.20140142](http://www.birpublications.org/doi/abs/10.1259/bjr.20140142).



advantage of this approach lies in the exclusion of interobserver variability, as well as additional information on the enhancement pattern distribution of the whole lesion.

After chemotherapy, >70% of patients (33/46) showed false-negative findings on MR CAD. The potential for falsely negative MRI examinations following neoadjuvant chemotherapy has been demonstrated in multiple previous studies, by Abraham et al<sup>11</sup> (1/31 lesions), Rieber et al<sup>33</sup> (4/13 lesions), Wasser et al<sup>34</sup> (1/20 lesions), Rosen et al<sup>35</sup> (1/19 lesions) and Chen et al<sup>36</sup> (7/13 lesions). Multiple previous studies have shown a significant reduction in the peak contrast enhancement of tumours following chemotherapy, and a MRI false-negative rate has been attributed to this phenomenon. In case a residual enhancement below threshold could be present, lowering the specified minimum for significant enhancement might reduce the false-negative rate of CAD. However, a false-positive rate could be increased when the threshold is decreased.

Comparing the pre-chemotherapy enhancement profiles of MR CAD and tumour response, a significant correlation between the total volume of delayed plateau enhancement and tumours showing final pathological incomplete response was identified. The proportion of delayed persistent enhancement did not reach statistical significance but showed nearly significant results. We think that this phenomenon might be correlated with angiogenesis of tumours. The reaction of chemotherapeutic agents through vessels could be disturbed owing to poorly expressed or overexpressed neovascular structures. Our findings can be associated with a previous study by Weidner et al.<sup>37</sup> Their research that highlighted blood microvessel density as a prognostic factor to breast cancer was initially accepted as a powerful parameter to identify more aggressive phenotypes of breast cancer. However, different opinions have been published on this subject that new vessels developed in tumour settings are not adequately assembled and these fragile conduits have been demonstrated to be collapsed in intratumour masses. Accordingly, the newly formed intratumour blood vessels are faint or not even functional.<sup>38</sup> The

application of this finding for patients who pre-arranged neoadjuvant chemotherapy may be expected to prevent unnecessary and inconducive chemotherapy. Furthermore, a recent multi-institutional prospective study<sup>39</sup> also reported that pCR is more highly predictive of recurrence-free survival for every established receptor subset than overall breast cancers.

Multiple studies have shown that lesion size measured on MRI after neoadjuvant chemotherapy correlates well with residual malignancy at pathology as well as correlation coefficients ranging from 0.70 to 0.98.<sup>40–44</sup> In our study, conventional MRI showed the highest agreement compared with other methods and MR CAD, similar to previous studies.

There are some limitations in our study. First, this was a retrospective study with a small sample size and was conducted at a single institute. Second, it was not possible to correlate computer-aided volumetric results with tumour volumes determined by histopathology, as the 3D volumetric results were not available in the clinical situation. Third, performed neoadjuvant chemotherapy regimens were not the same in all of the patients. Fourth, some part of the residual invasive ductal carcinoma component showing no significant enhancement owing to its small size could be excluded in the final MRI measurement.

In conclusion, MR CAD can be a useful tool for the assessment of response to neoadjuvant chemotherapy and prediction of pathological results. While the poor response of neoadjuvant chemotherapy could be expected in cases of a high plateau proportion of tumour, less invasive components of final pathological findings might be suspected when the signal of MR CAD disappeared after neoadjuvant chemotherapy. The prediction of therapeutic response with MR CAD could help determine effectiveness of treatment and avoid ineffective chemotherapy with its associated possible complications. This subsequently offers other treatment choices to physicians and patients.

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