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# **Lipid-Related Markers and Cardiovascular Disease Prediction**

# **The Emerging Risk Factors Collaboration**

# **Abstract**

**Context—**The value of assessing various emerging lipid-related markers for prediction of first cardiovascular events is debated.

**Objective—**To determine whether adding information on apolipoprotein B and apolipoprotein A-I, lipoprotein(a), or lipoprotein-associated phospholipase  $A_2$  to total cholesterol and highdensity lipoprotein cholesterol (HDL-C) improves cardiovascular disease (CVD) risk prediction.

**Design, Setting, and Participants—**Individual records were available for 165 544 participants without baseline CVD in 37 prospective cohorts (calendar years of recruitment: 1968– 2007) with up to 15 126 incident fatal or nonfatal CVD outcomes (10 132 CHD and 4994 stroke outcomes) during a median follow-up of 10.4 years (interquartile range, 7.6–14 years).

**Main Outcome Measures—**Discrimination of CVD outcomes and reclassification of participants across predicted 10-year risk categories of low (<10%), intermediate (10%–<20%), and high  $(20\%)$  risk.

**Results—**The addition of information on various lipid-related markers to total cholesterol, HDL-C, and other conventional risk factors yielded improvement in the model's discrimination: C-index change, 0.0006 (95% CI, 0.0002–0.0009) for the combination of apolipoprotein B and A-I; 0.0016 (95% CI, 0.0009–0.0023) for lipoprotein(a); and 0.0018 (95% CI, 0.0010–0.0026) for lipoproteinassociated phospholipase  $A_2$  mass. Net reclassification improvements were less than 1% with the addition of each of these markers to risk scores containing conventional risk factors. We estimated that for 100 000 adults aged 40 years or older, 15 436 would be initially classified at intermediate risk using conventional risk factors alone. Additional testing with a combination of apolipoprotein B and A-I would reclassify 1.1%; lipoprotein(a), 4.1%; and lipoprotein-associated phospholipase A2 mass, 2.7% of people to a 20% or higher predicted CVD risk category and, therefore, in need of statin treatment under Adult Treatment Panel III guidelines.

**Conclusion—**In a study of individuals without known CVD, the addition of information on the combination of apolipoprotein B and A-I, lipoprotein(a), or lipoprotein-associated phospholipase A2 mass to risk scores containing total cholesterol and HDL-C led to slight improvement in CVD prediction.

> Routinely used risk prediction scores for cardiovascular disease (CVD) contain information on total cholesterol and high-density lipoprotein cholesterol (HDL-C) and several other

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Online-Only Material: The 7 eTables, 16 eFigures, 2 eAppendixes, and Author Audio Interview are available at <http://www.jama.com>.

conventional risk factors.<sup>1,2</sup> There is considerable interest in whether CVD prediction can be improved by assessment of various additional lipid-related markers either to replace, or supplement, traditional cholesterol measurements in these scores.<sup>3</sup>

Proposals to replace information on total cholesterol and HDL-C with single parameters, such as the total cholesterol:HDL-C ratio or non–HDL-C (ie, total cholesterol - HDL-C), $4.5$ have been motivated by a desire for greater simplicity and a belief that these parameters better reflect the underlying atherosclerotic process. For example, non–HDL-C reflects the cholesterol content of several proatherogenic lipoprotein subfractions (very low-density lipoprotein, intermediate-density lipoprotein, and chylomicron remnants) in addition to lowdensity lipoprotein cholesterol.

Similar considerations apply to proposals to replace information on total cholesterol and HDL-C with apolipoprotein B and apolipoprotein A-I.<sup>6–9</sup> Because apolipoprotein B and A-I are the principal surface proteins found on proatherogenic lipoproteins and HDL, respectively, they might be more strongly related to CVD risk than is the cholesterol contained in these lipoproteins. However, perhaps partly due to inconclusive epidemiological evidence, there are conflicting guidelines about the relevance of apolipoprotein B and A-I to CVD prediction.<sup>1,6-11</sup>

There is also debate about the value of supplementing conventional risk factors with targeted assessment of lipoprotein(a). In 2010, the European Atherosclerosis Society Consensus Panel recommended lipoprotein(a) measurement to augment risk assessment in people at intermediate (10%– $\langle 20\% \rangle$ ) or high ( $20\%$ ) predicted 10-year CVD risk.<sup>12</sup> However, the 2010 American College of Cardiology Foundation/ American Heart Association Task Force on Practice Guidelines did not support this recommendation.<sup>6–8</sup> Similar uncertainties apply to the incremental predictive value of assessing circulating concentrations of lipoprotein– associated phospholipase  $A_2$ .<sup>8</sup>

Complementing previous reports from this collaboration,  $13-15$  the current analysis has 2 objectives. First, to determine whether replacing information on total cholesterol and HDL-C with various lipid parameters improves prediction of first-onset CVD outcomes. Second, to determine whether additional information on apolipoprotein B and A-I, lipoprotein(a), or lipoprotein-associated phospholipase  $A_2$  to prognostic models containing information on total cholesterol, HDL-C, and other conventional risk factors improves CVD risk prediction.

# **METHODS**

#### **Study Design**

Details of this collaboration have been published.<sup>16</sup> Eligible prospective studies had information for each participant on total cholesterol, HDL-C, age, sex, smoking status, diabetes, and blood pressure; assayed triglyceride, apolipoprotein B and A-I, lipoprotein(a), or lipoprotein-associated phospholipase  $A_2$  mass or activity; had not selected participants on the basis of having had previous CVD (defined in each study at the initial examination); recorded cause-specific mortality, vascular morbidity (nonfatal myocardial infarction or stroke), or both during follow-up using well-defined criteria; and recorded more than 1 year

of follow-up. Because information on directly measured LDL-C, adiposity measures, family history of CVD, and socioeconomic factors was available only in subsets of the participants, these variables were not included in the main analysis. eTables 1–4 and eAppendix 1 provide study details, including assay methods, acronyms, and references (available at [http://](http://www.jama.com) [www.jama.com](http://www.jama.com)). Data from the Apolipoprotein Related Mortality Risk Study (AMORIS) could not be incorporated into these current analyses because it did not measure baseline levels of HDL-C, blood pressure, smoking status, body mass index, or diabetes (eTable 5).<sup>17</sup> In registering fatal outcomes, all contributing studies in this analysis used International Classification of Disease coding to at least 3 digits and ascertainment was based on death certificates, with 29 studies also involving review of medical records, autopsy findings, and other supplementary sources. Studies used definitions of myocardial infarction based on World Health Organization or similar criteria and of stroke based on clinical and brain imaging features. The study was approved by the Cambridgeshire ethics review committee.

#### **Statistical Analysis**

Because recent risk scores have tended to combine coronary heart disease (CHD) and stroke outcomes due to the existence of shared risk factors and treatments,18 the primary outcome used herein was first-onset CVD, defined as fatal or nonfatal CHD event or any stroke. We compared prognostic models that replaced information on total cholesterol and HDL-C with various nontraditional lipid parameters that have been previously proposed, including the total cholesterol: HDL-C ratio (which is mathematically equivalent to the non–HDL-C:HDL-C ratio); the HDL-C: total cholesterol ratio; non–HDL-C; apolipoprotein B and A-I; apolipoprotein B: A-I ratio; apolipoprotein A-I:B ratio; total cholesterol and apolipoprotein A-I; apolipoprotein B and HDL-C, and log<sub>e</sub> transformations of ratios.

We also evaluated supplementing risk scores containing total cholesterol and HDL-C with triglyceride, apolipoprotein B, apolipoprotein A-I, lipoprotein(a), and lipoprotein-associated phospholipase  $A_2$  mass or activity. Lipoprotein(a) was modeled nonlinearly by including linear and quadratic terms of log-transformed lipoprotein(a). Because of differences in the mean and standard deviation of concentrations of lipoprotein-associated phospholipase  $A_2$ recorded across studies using different assay methods (eTables 3 and 4), values were standardized within each study. Cox proportional hazards modeling allowed for separate baseline hazards by study (and, when appropriate, by trial group) and sex but estimated common coefficients (log<sup>e</sup> hazard ratios) across studies. We censored deaths from non-CVD causes. Prognostic models were compared using measures of risk discrimination and reclassification.<sup>19–21</sup> We extended our previous methods<sup>19</sup> to a 2-stage approach allowing examination of between-study heterogeneity, calculating the C index and the D measure, and their changes, within each study separately before pooling results. Studies were weighted by numbers of CVD outcomes (eAppendix 2). Between-study heterogeneity in the risk discrimination measures and their changes was quantified by the  $\ell^2$  statistic.<sup>22</sup> The proportional hazards assumption was satisfied. For participants in studies with at least 10 years of follow-up, we constructed reclassification tables using data from studies that had recorded both fatal and nonfatal CVD outcomes to examine movement of participants between 3 predicted 10-year CVD risk categories (<10%, 10%–<20%, and ≥20%) upon

addition of lipid-related markers to conventional risk factors<sup>8</sup> and summarized these using the net reclassification improvement.<sup>20</sup>

Our clinical modeling involved 3 key assumptions. First, we assumed the use of sequential screening, ie, initial screening with conventional risk factors alone followed by additional measurement of further lipid-related markers in people at 10% to less than 20% predicted 10-year CVD risk. Second, we assumed statin allocation would reduce CVD risk by 20% in people without a history of CVD (including in people at <20% predicted 10-year risk). This estimate was derived from relative risk reductions observed with statins in a meta-analysis of randomized trials (eAppendix 2). $8,23$  Third, we assumed a policy of statin allocation per Adult Treatment Panel III guidelines,  $24$  that is, people at 20% or more of predicted CVD risk plus others, such as people with diabetes irrespective of their predicted 10-year risk. Analyses were performed using Stata statistical software version 11.0 (StataCorp), 2-sided <sup>P</sup> values, and 95% CIs.

## **RESULTS**

Individual records were available for 165 544 participants without baseline CVD in 37 prospective cohorts (calendar years of recruitment, 1968–2007) with up to 15 126 incident fatal and nonfatal CVD outcomes (10132 CHD and 4994 stroke events) recorded during median follow-up of 10.4 years (interquartile range [IQR], 7.6–14 years). The Table describes the baseline characteristics of participants and presents adjusted hazard ratios for CVD with baseline levels of risk factors (supplemented by eTables 1–3, available at [http://](http://www.jama.com) [www.jama.com](http://www.jama.com)).

#### **Replacement of Cholesterol With Other Lipid-Related Markers**

Replacing total cholesterol and HDL-C with information on various lipid-related markers did not improve risk discrimination or reclassification (Figure 1 and eTable 6). For example, replacement of information on total cholesterol and HDL-C with apolipoprotein B and A-I significantly worsened risk discrimination (C-index change: −0.0028; P <.001) and risk classification (net reclassification improvement:  $-1.08\%$ ;  $P = .01$ ). No improvement in risk discrimination was observed in subgroups defined by baseline age, sex, elevated triglyceride, history of diabetes, and other conventional risk factors (eg, lipids, blood pressure, smoking status, metabolic syndrome), use of lipid- or blood pressure–lowering medications at entry, fasting status, type of assay, predicted 10-year CVD risk, and study design (eFigure 1). In separate analyses of CHD and stroke as individual outcomes, replacement of information on total cholesterol and HDL-C with various lipid-related markers did not improve risk discrimination (eFigure 2).

#### **Addition of Lipid-Related Markers**

Prognostic models for CVD that added lipid-related markers to models containing total cholesterol and HDL-C and other conventional risk factors changed the C index by the amounts shown in Figure 2 and eTable 7, available at [http://www.jama.com.](http://www.jama.com) However, none of these lipid-related markers significantly improved CVD risk classification. Again, broadly similar results to those observed overall for the lipid-related markers were found in

clinically relevant subgroups, including participants who reported using lipid-lowering medications at entry. Although there was tentative evidence of effect-modification in some groups (eFigures 3–6), cautious interpretation is required given the multiplicity of comparisons made. First, apolipoprotein A-I and B, as well as lipoprotein(a), could improve CVD prediction more in individuals with higher total cholesterol or in people initially classified at 10% to less than 20% predicted 10-year risk ( $P < .001$  and  $P = .02$ , respectively; eFigures 3 and 4). Second, the addition of apolipoprotein B and A-I could preferentially improve CVD risk discrimination in men  $(P=01)$ , participants using blood pressure– lowering medications at entry ( $P = .005$ ), and individuals with lower HDL-C ( $P = .022$ ; eFigure 3). Third, the addition of apolipoprotein B and A-I significantly improved risk discrimination for CHD (C-index increase of 0.0010; P <.001) but not for stroke (C-index increase of  $-0.0002$ ;  $P = .30$ ). By contrast, addition of lipoprotein $(a)$  or lipoproteinassociated phospholipase A2 mass provided improvements for CHD that were similar to those for stroke (eFigure 7).

Similar results to those described above were observed in analyses that used the D measure (eFigures 8 and 9), or that were restricted to studies with at least 10 years of follow-up (eFigure 10). Levels of lipid-related markers contributed relatively little to heterogeneity in the study-specific C index, which was mostly due to differing age ranges across cohorts (eFigures 11–15). We could not reliably evaluate the effect of joint assessment of apolipoprotein B and A-I, lipoprotein(a), and lipoprotein-associated phospholipase  $A_2$ because only about 10% of the participants in this analysis had concomitant information on all these parameters.

#### **Clinical Modeling**

We modeled a population of 100 000 adults aged 40 years or older with similar age structure as the European standard population and an age- and sex-specific incidence of CVD as in the current study; 15 436 people would be initially classified at 10% to less than 20% 10 year predicted CVD risk using conventional risk factors alone, of whom 13 622 would remain after excluding those recommended for statin treatment by Adult Treatment Panel III guidelines (such as people with diabetes irrespective of their predicted 10-year risk<sup>24</sup> (Figure 3 and eAppendix 2). For these 13 622 people, assessment of lipoprotein(a) would reclassify 555 people (4.1%) to 20% or greater predicted risk, 86 of whom would be expected to have a CVD event within 10 years; assessment of lipoprotein-associated phospholipase  $A_2$  mass would reclassify 365 people (2.7%), 72 of whom would be expected to have a CVD event within 10 years; and assessment of the combination of apolipoprotein B or A-I would reclassify 154 people (1.1%), 16 of whom would be expected to have a CVD event within 10 years (eFigure 16). Assuming statin allocation per the Adult Treatment Panel III guidelines,<sup>24</sup> such targeted assessment could help prevent about 17 (ie,  $0.20 \times 86$ ) extra CVD outcomes over 10 years for those additionally tested for lipoprotein(a),  $14 (0.20 \times 72)$ extra CVD outcomes over 10 years for those tested for lipoprotein-associated phospholipase A<sub>2</sub> mass, or 3 ( $0.20 \times 16$ ) extra CVD outcomes over 10 years for those tested for a combination of apolipoprotein B or A-I. In other words, such targeted assessment of individuals at intermediate CVD risk could help prevent 1 extra CVD outcome over 10 years for every 801 assessed for lipoprotein(a) (ie, 13 622/17), 973 assessed for lipoprotein-

associated phospholipase  $A_2$  mass (13 622/14), and 4541 assessed for the combination of apolipoprotein B and A-I (13 622/3). Under these circumstances, statins would be newly allocated to about 33 of 801 people (4.1%) assessed for lipoprotein(a), 26 of 973 people  $(2.7%)$  assessed for lipoprotein-associated phospholipase A<sub>2</sub> mass, or 50 of 4541 (1.1%) assessed for the combination of apolipoprotein B and A-I. Alternatively, assuming use of the more selective statin allocation policies in Canada<sup>9</sup> or the United Kingdom, then the numbers needed to screen listed above should each be multiplied by 0.6.

# **COMMENT**

In contrast with some existing guidelines,  $1,6,7,9$  the current analysis has shown that replacement of information on total cholesterol and HDL-C with various lipid parameters does not improve CVD prediction. For example, none of the following measures were superior to total cholesterol and HDL-C when they replaced traditional cholesterol measurements in risk prediction scores: the total cholesterol:HDL-C ratio; non–HDL-C; the linear combination of apolipoprotein B and A-I; or the apolipoprotein B:A-I ratio. Furthermore, replacement of total cholesterol and HDL-C with apolipoprotein B and A-I actually significantly worsened risk discrimination. These findings applied to clinically relevant subpopulations, including people with diabetes and people with elevated triglyceride levels.

With regards to the value of adding information on various emerging lipid-related markers to risk scores already containing total cholesterol, HDL-C, and other conventional risk factors, we observed slight potential for improvement in CVD prediction. This conclusion was suggested by the following analyses. First, we showed that each of the lipid-related markers studied herein slightly increased CVD prediction when using measures (eg, the C index and D measure) that are independent of clinical risk categories. Second, we found that none of these markers significantly improved reclassification of participants across the clinical risk cutoff levels that are currently used to inform treatment decisions. Third, we modeled a scenario assuming targeted lipid-related marker assessment in people judged as being at intermediate risk (10%– <20% 10-year predicted CVD risk) after initial screening by conventional risk factors alone. If such targeted measurement were to be coupled with allocation of statins per US Adult Treatment Panel III guidelines,<sup>24</sup> then our data suggest that it could help prevent 1 extra CVD outcome over 10 years for approximately every 4500 people additionally screened with a combination of apolipoprotein B and A-I, or about 800 people screened with lipoprotein(a), or about 1000 people screened with lipoproteinassociated phospholipase  $A_2$  mass.

The generalizability of our findings has been enhanced by inclusion of data from 165 000 participants in 15 countries and by the general lack of heterogeneity in the results. To enhance validity, we have restricted analysis to prospective studies with extended follow-up. For example, although some large retrospective case-control studies have reported stronger associations of apolipoprotein B and A-I with CHD than those observed herein, it remains uncertain to what extent this difference might be explained by factors such as changes in lipid levels observed in the hours after the onset of infarction in case-control studies of acute myocardial infarction.<sup>25,26</sup> In contrast with literature-based reviews,<sup>27</sup> our access to

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individual participant data has enabled time-to-event analysis, analysis of clinically relevant subgroups, and consistent comparison across studies. To estimate incremental improvement in CVD prediction, we have studied only people with complete information on conventional risk factors. Our findings are consistent with a separate and complementary analyses of the evidence from randomized trials of patients treated with statins.<sup>2,28</sup>

This study has potential limitations. Our analysis does not, of course, address etiological and therapeutic questions being explored in randomized trials. Reclassification analyses are intrinsically sensitive to choice of follow-up interval and clinical risk categories. Somewhat greater clinical impact than suggested by our analysis would be estimated if we had used less conservative modeling assumptions (eg, use of more effective statin regimens $^{23}$  and longer time horizons) or alternative disease outcomes (such as an exclusive focus on CHD rather than on CHD plus stroke). Conversely, our clinical models could have overestimated potential benefits of assessing lipid-related markers because not all people eligible for statins will receive them or be willing, adherent, or able to take them.<sup>31</sup> Although we did not find that our results varied importantly by assay methods used, further study of this issue is needed, perhaps particularly for lipid-related markers for which measurements have only recently been standardised.12,32 Furthermore, large studies are needed to assess whether concurrent assesment of lipoprotein(a) concentration and apolipoprotein(a) isoform size confers greater improvement in CVD prediction than lipoprotein(a) alone (such assessment was not possible in the current study because it lacked concomitant data on such isoforms). This study had a limited ability to study lipid-related markers in combination with one another and to investigate populations not of European descent.

In summary, in a study of individuals without known cardiovascular disease, replacing information on total cholesterol and HDL-C with apolipoprotein B and apolipoprotein A-I worsened CVD prediction. Furthermore, addition of the combination apolipoprotein B and A-I, lipoprotein(a), or lipoprotein-associated phospholipase  $A_2$  to risk scores containing total cholesterol and HDL-C provided slight improvement in CVD prediction. The clinical benefits of using any of these biomarkers remains to be established.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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## **Appendix**

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C-Index Change (95% CI)



#### **Figure 1. Changes in Cardiovascular Disease Risk Discrimination and Reclassification When Replacing Cholesterol Markers With Lipid-Related Markers**

The model analyzed patients with conventional risk factors of age, systolic blood pressure, smoking status, history of diabetes, and total and high-density lipoprotein cholesterol (HDL-C), each of which were included as individual linear terms. The models were stratified by sex. Overall, the C-index for a model containing conventional cardiovascular disease (CVD) risk factors was 0.7244 (95% CI, 0.7200–0.7289). The net reclassification improvement analysis was calculated only for participants in studies that had at least 10 years of followup.

 ${}^{a}P<.001$  for comparison against the model containing conventional risk factors.

 $b$ *P* $\lt$ .05 for comparison against the model containing conventional risk factors.



C-Index Change (95% CI)

#### **Figure 2. Changes in Cardiovascular Disease Risk Discrimination and Classification After Adding Lipid-Related Markers**

The model containing conventional risk factors include age, systolic blood pressure, smoking status, history of diabetes, total and high-density lipoprotein cholesterol (HDL-C), each included as individual linear terms. Models were stratified by sex.

<sup>a</sup>Net reclassification improvement was calculated only for participants in studies with at least 10 years of follow-up. Change in C-index adding lipoprotein(a) greater than 30 mg/dL was 0.0001 (95% CI, -0.0001 to 0.0003).

bTriglyceride values were log-transformed.

 $c_{P}$  <.05 for comparison against model containing conventional risk factors.

 $\rm{d}P$  <.001 for comparison against model containing conventional risk factors.

<sup>e</sup>Lipoprotein(a) was modeled nonlinearly by including linear and quadratic terms of logtransformed lipoprotein(a).





#### **Figure 3. Modeling of Reclassification per 100 000 People Initially Screened With Conventional Risk Factors and Then Additional Targeted Assessment of Lipid-Related Markers**

Conventional risk factors were age, smoking status, systolic blood pressure, history of diabetes, total and high-density lipoprotein cholesterol (stratified by sex).

<sup>a</sup>Following Adult Treatment Panel III (ATP-III) guidelines, this model assumes that people who should receive statins are those at a 20% or higher predicted 10-year cardiovascular disease (CVD) risk and other people (eg, those with diabetes) who merit statins irrespective of predicted 10-year CVD risk. People reporting statin use at baseline were also assumed to merit statin allocation.



**Table**

Summary of Available Data and Hazard Ratios for Cardiovascular Disease With Measured Baseline Levels of Risk Factors Summary of Available Data and Hazard Ratios for Cardiovascular Disease With Measured Baseline Levels of Risk Factors





 $^e$ Concentrations of lipoptotein-associated phospholipase A2 were standardized to a mean (SD) of 0 (1) within each study due to different assays yielding different absolute levels. Concentrations of lipoptotein–associated phospholipase A2 were standardized to a mean (SD) of 0 (1) within each study due to different assays yielding different absolute levels.

 $d_{\mbox{\scriptsize{Median}}}$  and interquartile range. Median and interquartile range.

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