## Clinical Research Article

# Dynamic optic nerve sheath diameter responses to short-term hyperventilation measured with sonography in patients under general anesthesia

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**Background:** Rapid evaluation and management of intracranial pressure (ICP) can help to early detection of increased ICP and improve postoperative outcomes in neurocritically-ill patients. Sonographic measurement of optic nerve sheath diameter (ONSD) is a non-invasive method of evaluating increased intracranial pressure at the bedside. In the present study, we hypothesized that sonographic ONSD, as a surrogate of ICP change, can be dynamically changed in response to carbon dioxide change using short-term hyperventilation.

Methods: Fourteen patients were enrolled. During general anesthesia, end-tidal carbon dioxide concentration (ETCO<sub>2</sub>) was decreased from 40 mmHg to 30 mmHg within 10 minutes. ONSD, which was monitored continuously in the single sonographic plane, was repeatedly measured at 1 and 5 minutes with ETCO<sub>2</sub> 40 mmHg (time-point 1 and 2) and measured again at 1 and 5 minutes with ETCO<sub>2</sub> 30 mmHg (time-point 3 and 4).

**Results:** The mean  $\pm$  standard deviation of ONSD sequentially measured at four time-points were 5.0  $\pm$  0.5, 5.0  $\pm$  0.4, 3.8  $\pm$  0.6, and 4.0  $\pm$  0.4 mm, respectively. ONSD was significantly decreased at time-point 3 and 4, compared with 1 and 2 (P < 0.001).

**Conclusions:** The ONSD was rapidly changed in response to ETCO<sub>2</sub>. This finding may support that ONSD may be beneficial to close ICP monitoring in response to CO<sub>2</sub> change. (Korean J Anesthesiol 2014; 67: 240-245)

Key Words: Carbon dioxide, Hyperventilation, Optic nerve sheath diameter, Ultrasonography.

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Korean J Anesthesiol Kim et al.

### Introduction

Cerebral hemodynamics, including cerebral blood flow (CBF) and intracranial pressure (ICP) can be affected by many factors such as brain tumor [1] and volatile agents [2]. Carbon dioxide (CO<sub>2</sub>), a potent cerebral vasodilator, is associated with CBF, which may contribute to ICP change [2,3]. It has been reported that the CBF can be affected dynamically, within a few minutes, in response to arterial carbon dioxide concentration (PaCO<sub>2</sub>) change and tight control of PaCO<sub>2</sub> can be associated with neurological outcomes [4], as well as important to improve surgical outcomes even in non-neurosurgical patients [5,6]. Therefore, PaCO<sub>2</sub> should be deliberately monitored during mechanically ventilated patients, particularly in neurocritically-ill patients. However, PaCO<sub>2</sub> can be measured by arterial blood gas analysis, thereby being inappropriate for continuous monitoring and rapid assessment of systemic CO2 level change. The end-tidal carbon dioxide concentration (ETCO<sub>2</sub>) has been reported to be a non-invasive parameter to estimate PaCO<sub>2</sub> indirectly [7], and is routinely monitored during general anesthesia.

Rapid evaluation and management of ICP can be helpful in early detection of increased ICP and improve postoperative outcomes in neurocritically-ill patients [8,9]. Although direct methods of measuring ICP are considered the gold standard, these methods carry risks, including bleeding and infection [10]. Ultrasonography of optic nerve sheath diameter (ONSD) is a non-invasive, indirect technique of evaluating changes in ICP and it shows a good correlation with direct ICP measurement. A previous study showed that ONSD is linearly correlated with ICP and ONSD > 5.7 mm suggested of ICP increase > 20 mmHg [11]. Although ONSD is well responsive to ICP change [12], it may show a delayed response in the case of highly increased ICP [12,13]. Moreover, despite of the association between ONSD and PaCO<sub>2</sub> [14], the dynamic responsiveness of ONSD, in association with acute arterial CO2 change which can affect ICP, still has not been well investigated.

In the present study, we hypothesized that the sonographic ONSD, as a surrogate for the ICP, can rapidly change in response to arterial CO<sub>2</sub> change by monitoring ETCO<sub>2</sub> reduction using short-term hyperventilation. To investigate the rapid change of ONSD, we monitor ETCO<sub>2</sub>, instead of PaCO<sub>2</sub>, to adjust systemic CO<sub>2</sub> level constantly throughout the research. For the evaluation of acute response of ONSD, we measured ONSD in a continuous fashion on a single fixed transverse sonographic plane.

#### **Materials and Methods**

#### **Patients**

Fourteen adult patients admitted for elective anterior cervi-

cal discectomy fusion surgery from December 2012 to March 2013 were enrolled. Patients suspected of having increased ICP were excluded with the criteria of less than 20 years of age, with known orbital disorder, or with history of previous intracranial surgery. All patients enrolled were provided written informed consent. The study protocol was approved by the Institutional Review Board of the hospital and was registered with the Clinical Research Informational Service (KCT 0000573, http://cris.nih.go.kr).

#### Anesthetic protocols

None of the patients was prescribed with premedication. General anesthesia was induced and maintained by target-controlled infusions of propofol and remifentanil via an infusion pump (TCI pump, Orchestra Base Primea, Fresenius Vial, France). The depth of anesthesia was equally maintained at a bispectral index (BIS) between 40 and 60, as assessed with a BIS A-1050 Monitor (Aspect Medical Systems, Newton, MA, USA). Rocuronium bromide 0.7 mg/kg was used to facilitate tracheal intubation. Direct arterial pressure was monitored by radial arterial catheterization. The ambient operating room temperature was kept at 25–26°C.

Fresh gas flow was maintained at 3 L/min of medical air containing 50% oxygen. Mechanical ventilation was applied using a tidal volume of 8 ml/kg, with a respiratory rate of 8-10 breaths/ min to maintain normocapnia during surgery. After induction, an ultrasound probe (L25x/M-turbo, Sonosite Inc., Bothell, WA, USA) was placed onto the patient's right upper eyelid and was fixed manually to maintain a consistent sonographic view. To adjust ETCO2 close to 40 mmHg and 30 mmHg, the respiratory rate was changed temporarily from 8-18 breaths/min with a fixed tidal volume. ONSD was measured at four specific timepoints during the mechanical ventilation, at 1 minute after ETCO<sub>2</sub> reached 40 mmHg (time-point 1), at 5 minutes after ETCO<sub>2</sub> reached 40 mmHg (time-point 2), at 1 minute after ETCO<sub>2</sub> reached 30 mmHg (time-point 3), and at 5 minute after ETCO<sub>2</sub> reached 30 mmHg (time-point 4). With ETCO<sub>2</sub> reaching 40 mmHg, this level was maintained for 5 minutes for stabilizing. We measured ONSD at 1 minute and 5 minutes maintaining ETCO<sub>2</sub> at 40 mmHg (time-point 1 and 2, respectively). Thereafter, controlled hyperventilation was gradually induced over 10 minutes until the ETCO2 was reduced to 30 mmHg by increasing the one respiratory rate every minute. This level was maintained again for 5 minutes and ONSD was measured at the same time-points as with ETCO<sub>2</sub> 30 mmHg (time-point 3 and 4, respectively). Mean arterial pressure (MAP), heart rate, body temperature, and PaCO2 were measured and the values were averaged in accordance with each ETCO<sub>2</sub> maintaining period. Body temperature was measured using an esophageal tempera-



ture probe (DeRoyal Inc., Powell, TN, USA) and a forced airwarming system (Bair Hugger, Augustine Medical Inc, MN, USA) was used to maintain esophageal temperature at 35.5–37.0°C.

#### Sonographic measurement of ONSD

Two observers who have experiences of more than 40 cases of ONSD measurements were participated in the present study. ONSD was measured using a 7.5 MHz linear probe with orbital imaging settings of the ultrasound machine. All patients were placed in the supine position after induction of general anesthesia. After ETCO2 was reached at 40 mmHg and stabilized, a thick gel layer was applied to each patient's right upper eyelid, and the ultrasound probe was placed on the superior and lateral aspects of the orbit and angled slightly to view the linear hypoechoic structure with clear margins posterior to the globe in the transverse plane. Once the optic nerve sheath was identified, ONSD was measured 3 mm behind the optic disc and the images were saved. Each ONSD was measured by one independent observer three times and the average value was recorded. During controlled hyperventilation, the observer held the ultrasound probe with the support of a flexible clamp continuously to maintain a consistent sonographic view. Again once ETCO2 reached 30 mmHg, ONSD was measured likewise. To assess the reliability between the two observers, all sonographic images were saved and transferred to picture archiving and communication system (PACS) of our institute. Then the other observer would measure the transferred image on PACS through conversion of numbers of pixels in to the scales in length.

#### Statistical analysis

Our pilot study showed that the mean difference and standard deviation (SD) of ONSD change were 0.6 and 0.4, respectively. A type I error of 0.05 and a power of 0.9 indicated that fourteen patients were required for samples. Statistical analysis was performed using SPSS 12.0 (SPSS Inc., Chicago, IL, USA). The Shapiro-Wilk test was used to check the normality of demographic and outcome data. The average ONSD value measured by two independent observers was used for data analysis. Oneway repeated measures analysis of variance was used to compare ONSD values at 4 time-points and Turkey method was used for post-hoc analysis. For assessment of the intraobserver reliability, Cronbach's Alpha, which is a model of internal consistency, was calculated. In order to assess the agreement between the values of the two observers, Bland-Altman analysis was used. Data of MAP, heart rate, body temperature, and PaCO<sub>2</sub> were expressed as mean  $\pm$  SD. A P value of < 0.05 was considered statistically significant.

#### Results

The demographic and intraoperative data of the 14 patients are shown in Table 1. There were no significant differences among MAP, heart rate, and body temperature at two  $\rm ETCO_2$  level ( $\rm ETCO_2$  40 and 30 mmHg).

The sequential ultrasound images, which show the consistency of the sonographic plane, were obtained at four different time-points (Fig. 1). The mean  $\pm$  SD of ONSD measured at four time-points were 5.0  $\pm$  0.5, 5.0  $\pm$  0.4, 3.8  $\pm$  0.6, and 4.0  $\pm$  0.4 mm (at time-point 1, 2, 3, and 4, respectively). Fig. 2 showed that ONSD was not significantly different between time-point 1 and 2, as well as time-point 3 and 4. However, ONSD decreased significantly at the time-point 3 and 4 compared with 1 and 2 (P < 0.001). ONSD was changed significantly with change of ETCO2 and was stabilized with the maintenance of ETCO2 level.

The measured values show good intra-observer reliability, as well as acceptable inter-observer variability. Cronbach's Alpha for evaluating intra-observer reliability was 0.93 for observer 1 and 0.94 for observer 2. Bland-Altman analysis showed that the mean difference of the values obtained between the two observers was 0.2 mm, when the limits of agreement (mean  $\pm$  1.96 times of SD) were -0.47 and 0.87 mm.

#### Discussion

The major finding of this study is that ONSD, which was measured continuously in a single transverse sonographic plane, showed rapid response to ETCO<sub>2</sub> change.

Because the brain is enclosed by the skull, increase in ICP can impede cerebral blood flow and may cause secondary ischemic brain injury. Increase in intra-cerebral volume is initially

Table 1. Demographic Data and Variables at Each ONSD Measurement

Demographics		
Age (yr)	51.1 ± 8.7	
Sex (M/F)	9/5	
Height (cm)	$155.6 \pm 29.2$	
Weight (kg)	$66.7 \pm 8.0$	
Variables	ETCO <sub>2</sub> 40 mmHg	ETCO <sub>2</sub> 30 mmHg
MAP (mmHg)	$64.4 \pm 6.2$	$66.4 \pm 4.7$
HR (beats/min)	$62.5 \pm 14.2$	$61.4 \pm 13.2$
Body temperature (°C)	$36.3 \pm 0.3$	$36.1 \pm 0.4$
PaCO <sub>2</sub> (mmHg)	$44.8 \pm 3.1$	$35.4 \pm 2.9*$
ETCO <sub>2</sub> (mmHg)	$39.4 \pm 0.9$	$30.0 \pm 0.6$ *
PaCO <sub>2</sub> -ETCO <sub>2</sub> (mmHg)	5.4 ± 3.1	$5.6 \pm 2.5$
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Data are expressed as mean  $\pm$  SD. MAP: mean arterial pressure, HR: heart rate, PaCO<sub>2</sub>: arterial partial pressure of carbon dioxide, ETCO<sub>2</sub>: end-tidal carbon dioxide concentration, PaCO<sub>2</sub>–ETCO<sub>2</sub>: the difference between PaCO<sub>2</sub> and ETCO<sub>2</sub>. \*P< 0.001.



Korean J Anesthesiol Kim et al.

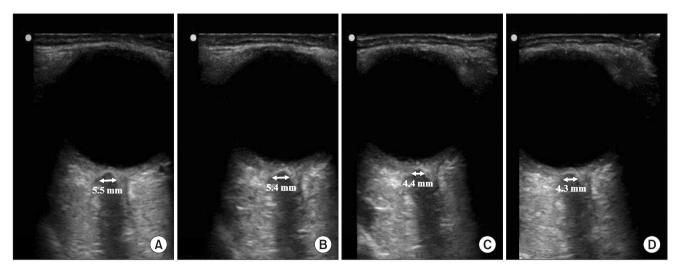
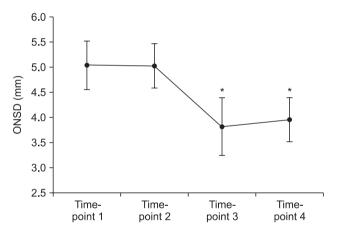


Fig. 1. The sequential ultrasound images at four time-points. (A) ONSD measured at 1 minute after ETCO<sub>2</sub> reached 40 mmHg (time-point 1), (B) ONSD measured at 5 minutes after ETCO<sub>2</sub> reached 40 mmHg (time-point 2), (C) ONSD measured at 1 minute after ETCO<sub>2</sub> reached 30 mmHg (time-point 3), (D) ONSD measured at 5 minutes after ETCO<sub>2</sub> reached 30 mmHg (time-point 4). ONSD: optic nerve sheath diameter, ETCO<sub>2</sub>: end-tidal carbon dioxide concentration.



**Fig. 2.** The change of sonographic ONSD at four specific time-points. ONSD decreased significantly between time-points 2 and 3 (P < 0.001). ONSD values at time-point 3 and 4 were significantly decreased compared with values at time-point 1 and 2. ONSD did not show differences between two time-points in the same ETCO $_2$  level (time-point 1 vs. 2, and 3 vs. 4). ONSD: optic nerve sheath diameter, ETCO $_2$ : end-tidal carbon dioxide concentration. \*P < 0.001 (compared with time-point 1 and 2).

compensated by cerebrospinal fluid extrusion, maintaining a low ICP state. However, exhausted compensatory mechanism results in decrease in brain compliance and rapid increase of ICP associated with small increase of intra-cerebral volume [15]. In patients with traumatic brain injury, increase of ICP is associated with poor outcome and monitoring ICP has been known to decrease mortality [5,16]. Moreover, even in non-neurosurgical patients intraoperative ICP monitoring may require for appropriate ICP management [17] for improved neurological outcomes [18].

CO<sub>2</sub> is known as a major factor affecting the ICP, even in patients with moderate to severe head injury [19]. ICP monitoring in association with CO<sub>2</sub> can be effective in appropriate management of mechanically ventilated patients in intensive care unit, as well as intraoperative period. Short-term hyperventilation can improve autoregulatory response in head injury [20] and even prevent acute ICP increase during reperfusion period of liver transplantation. However, sustained hyperventilation can decrease CBF, resulting in poor prognosis in patients with elevated ICP [19]. Non-invasive monitoring technique such as sonographic ONSD measurement can be promptly applied and performed at bedside to evaluate ICP [9,21] and may help to make optimal strategy in ICP management. Even though patients included in this study did not suffer from intracranial lesion and had normal brain compliance, ONSD showed a significant response in associated CO<sub>2</sub> change.

In the present study, same sonographic plane was held still during short-term hyperventilation in order to monitor consistently ONSD. Conventionally, ONSD is determined by averaging the two values obtained from the transverse and sagittal sonographic planes [22]. However, it may require additional time to measure ONSD from two different sonographic views, resulting in interference of the dynamic correlation between ONSD and CO<sub>2</sub> change. Moreover even a subtle movement of ultrasound probe can change the sonographic view and interfere in identifying the accurate boundaries of nerve sheath, causing problems of inconsistency and measurement errors. To maintain consistent sonographic view, the observers held the ultrasound probe with the support of a flexible clamp continuously in the single transverse plane during ETCO<sub>2</sub> change. This technique



may be responsible for high intraobserver reliability of our study and may be useful in minimizing the time-delay in conventional technique, better reflecting the dynamic changes in ONSD. In addition, sonographic measurements of ONSD may vary by the observer's skill [23] or the types of ultrasound devices [24]. To improve the reliability of our measurement technique, all sonographic images were evaluated by two experienced observers independently and the mean difference between the two observers was similar to previous result [22].

We found that ONSD responded rapidly in change to ETCO<sub>2</sub>, suggesting that ICP change can be occurred within 10 minutes. But, between time-point 1 and 2, as well as 3 and 4, when ETCO<sub>2</sub> was maintained for 5 minutes, ONSD did not change. This finding may also suggest that ONSD can reflect the static ICP status at sustained CO2 level, thereby being is useful in monitoring ICP in CO<sub>2</sub> change. Although PaCO<sub>2</sub> is more precise representation of systemic CO<sub>2</sub> concentration, it can only be obtained by intermittent arterial sampling, which makes it difficult to monitor continuously throughout general anesthesia. ETCO2 is routinely monitored during general anesthesia and it shows a good correlation with PaCO<sub>2</sub>, even in those with severe brain trauma [25,26]. Moreover, ICP variation has been reported to be associated with ETCO<sub>2</sub> change [27]. Therefore, ETCO<sub>2</sub> may be a more convenient and appropriate method to evaluate dynamic changes in ONSD than that of monitoring PaCO<sub>2</sub>.

This study had several limitations. First, there was no gold standard for ICP measurement. Although direct ICP measurement technique such as ventriculostomy is known as a standard, it is invasive and difficult to apply as routine monitoring of all patients likely to experience increased ICP, even in critically ill patients. Sonographic measurement of ONSD is a non-invasive technique and has been reported to provide a good association with ICP [21,28,29]. Thus, ONSD can be a good alternative in predicting ICP increase without risk of infection or bleeding. A second limitation is that of ETCO2, which is a non-invasive estimate of PaCO<sub>2</sub> that might be affected by other factors such as decreased cardiac output [30]. Although ETCO2 was compared with ONSD in the present study and showed a good correlation, PaCO<sub>2</sub> can reflect systemic CO<sub>2</sub> level more accurately. The differences between PaCO2 and ETCO2 in the initial ventilator setting of individual patients should be calibrated for more reliability. However, demographic data in the present study showed no differences in hemodynamic parameters and the differences between ETCO2 and PaCO2 were not significantly changed between the two ETCO<sub>2</sub> levels indicating that ETCO<sub>3</sub> was minimally influenced by other confounding factors. Third, the accuracy of the ONSD measurement through PACS by the second observer might raise an issue. Because the second observer calculated ONSD by measuring the numbers of pixels as oppose to using the caliper provided by the ultrasound machine directly. Considering this discrepancy, we tested the accuracy of measuring ONSD through PACS prior to the study. The ONSD measured through PACS were very close to the measured by the ultrasound machine's caliper. Although the values between directly measured ONSD and the ONSD measured through PACS may not be valid for inter-observer variability, the consistency of the sonographic plane is preferable to the continuous ONSD measurement. Thus, despite of its limits, using saved image for second ONSD measurement was inevitable and the interobserver variability of the present study showed similar results to the previous studies [22].

In conclusion, ONSD may reflect the dynamic changes in ICP associated with arterial CO<sub>2</sub> change during general anesthesia, which lends to support that monitoring ETCO<sub>2</sub> is important in neurocritically-ill patients. In patients with the risk of ICP increase, ONSD may be used as a quick and easy screening tool for detection of increased ICP and also be useful to evaluate ICP sequentially without any invasive procedure at bedside during mechanical ventilation.

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Korean J Anesthesiol Kim et al.

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