

# Domesticated transposase Kat1 and its fossil imprints induce sexual differentiation in yeast

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Transposable elements (TEs) have had a major influence on shaping both prokaryotic and eukaryotic genomes, largely through stochastic events following random or near-random insertions. In the mammalian immune system, the recombination activation genes1/2 (Rag1/2) recombinase has evolved from a transposase gene, demonstrating that TEs can be domesticated by the host. In this study, we uncovered a domesticated transposase, *Kluyveromyces lactis hobo/Activator/Tam3* (hAT) transposase 1 (Kat1), operating at the fossil imprints of an ancient transposon, that catalyzes the differentiation of cell type. Kat1 induces mating-type switching from mating type a (*MATa*) to *MATα* in the yeast *K. lactis*. Kat1 activates switching by introducing two hairpin-capped DNA double-strand breaks (DSBs) in the *MATa1–MATa2* intergenic region, as we demonstrate both in vivo and in vitro. The DSBs stimulate homologous recombination with the cryptic hidden MAT left alpha (*HMLα*) locus resulting in a switch of the cell type. The sites where Kat1 acts in the *MATa* locus most likely are ancient remnants of terminal inverted repeats from a long-lost TE. The *KAT1* gene is annotated as a pseudogene because it contains two overlapping ORFs. We demonstrate that translation of full-length Kat1 requires a programmed –1 frameshift. The frameshift limited Kat1 activity, because restoring the zero frame causes switching to the *MATα* genotype. Kat1 also was transcriptionally activated by nutrient limitation via the transcription factor mating type switch 1 (*Mts1*). A phylogenetic analysis indicated that *KAT1* was domesticated specifically in the *Kluyveromyces* clade of the budding yeasts. We conclude that Kat1 is a highly regulated transposase-derived endonuclease vital for sexual differentiation.

mating type | DNA double-strand break | transposable element | frameshift | DNA hairpin

The mating types in budding yeasts are encoded by the mating type (MAT) loci *MATa* and *MATα*. Some yeasts have the unusual ability to change their mating type without going through mating or meiosis, a process called “mating-type switching.” In *Saccharomyces cerevisiae*, mating-type switching has been thoroughly explored (1). Switching is initiated when the homothallic switching (HO) endonuclease induces a DNA double-strand break (DSB) in the *MAT* locus (2). Next, the replacement is completed through a gene conversion, in which transcriptionally silent copies of *MAT* genes, known as “hidden MAT left, *HMLα*” and “hidden MAT right, *HMRa*,” are copied into the expressed *MAT* locus.

The related yeast *Kluyveromyces lactis* also uses gene conversion for mating-type switching. *K. lactis* has a nonfunctional copy of the *HO* gene (3), indicating that the common ancestor of *K. lactis* and *S. cerevisiae* used an HO-mediated switching mechanism but that *K. lactis* has acquired a new mechanism since then. Previously, we discovered a novel switching mechanism in which the *MATα3* gene that resides in the *MATα* locus was the critical component (4). *MATα3* shares homology with mutator-like transposable elements (MULEs), and regulated excision of this element from the genome initiates mating-type switching from *MATα* to *MATa*. Furthermore, a transcriptional regulator called “mating type switch 1” (*Mts1*; also known as “Rme1”) is another critical component for switching in *K. lactis*. Transcription of *Mts1* is induced by nutrient limitation in a cAMP/Ras-dependent

manner (5). Next, *Mts1* binds to sites close to the *MATα3* gene, stimulating the excision of the MULE and hence switching (4). *Mts1* also induces switching from *MATa* to *MATα*, but in this case the molecular mechanism is unknown.

Transposable elements (TEs) and their remnants make up almost half of the human genome. Active TEs mobilize using either a cDNA/DNA copy of the element (copy and paste) or direct excision of the element from its original position and its insertion into a new position (cut and paste) (6). The former produces an additional copy of the TE, increasing the mutational load of the host genome. Nonautonomous TEs lack autonomous TE’s transposase gene but can still be mobilized from the genome using a transposase protein encoded by another TE.

A peculiar feature of many TEs is that they undergo translational frameshifts (7, 8). Programmed translational frameshifting is an alternate process of translation in which the ribosome slides back one nucleotide (–1 frameshifting) or skips one nucleotide (+1 frameshifting) (8, 9). The eukaryotic –1 frameshifting sites typically contain a “slippery” sequence (10) fitting the consensus motif X XXY YYZ, where XXX represents any three identical nucleotides; YYY represents AAA or UUU; Z represents A, C, or U; and spaces separate zero-frame codons. The tandem slippage model for frameshifting (11) posits that the peptidyl site (P-site) tRNA repairs from XXY to XXX, maintaining the codon–anticodon interaction except at the wobble position. Similarly, the aminoacyl site (A-site) tRNA repairs from YYZ to YYY. The relative frameshifting efficiency of such heptanucleotide slippery sequences is low (<1%), but additional stimulatory elements can increase frameshifting substantially. Such stimulatory elements, consisting of mRNA secondary

## Significance

Transposable elements (TEs) are mobile genetic elements that colonize the nuclei of all organisms. Although TEs can be detrimental, they are considered important evolutionary forces. We discovered a domesticated TE in the mating-type locus of the yeast *Kluyveromyces lactis*. *K. lactis hobo/Activator/Tam3* (hAT) transposase 1 (Kat1) mobilizes this TE from the genome by inducing DNA double-strand breaks followed by gene conversion, resulting in a switch of mating type. Hence, Kat1 triggers an adaptive genome rearrangement facilitating sexual differentiation. Surprisingly, the translation of Kat1 requires a programmed frameshift. The frameshift in the *KAT1* gene dampens the activity of Kat1. In contrast, Kat1 is transcriptionally activated by nutrient limitation. Together our results reveal Kat1 as a highly regulated transposase that stimulates sexual reproduction.

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structures such as pseudoknots (12), usually are on the 3' side of the slippery sequence. It is believed that such mRNA structures induce a translational pause that allows time for codon-anticodon repairing.

We set out to elucidate the molecular mechanism underlying the switching from *MAT $\alpha$*  to *MAT $\alpha$*  in *K. lactis*. We discovered a protein related to hAT (*hobo/Activator/Tam3*) transposases that was essential for switching. This enzyme, *K. lactis* hAT transposase 1 (Kat1), cleaved the *MAT $\alpha$*  locus in two different positions, resulting in DSBs that stimulated recombination. Kat1 activity was found to be highly regulated. Transcription of *KAT1* was induced by *Mts1*, and translation of the *KAT1* mRNA required a programmed  $-1$  frameshift. The frameshift limited the Kat1 activity, thereby maintaining a balance between the *MAT $\alpha$*  and *MAT $\alpha$*  genotypes.

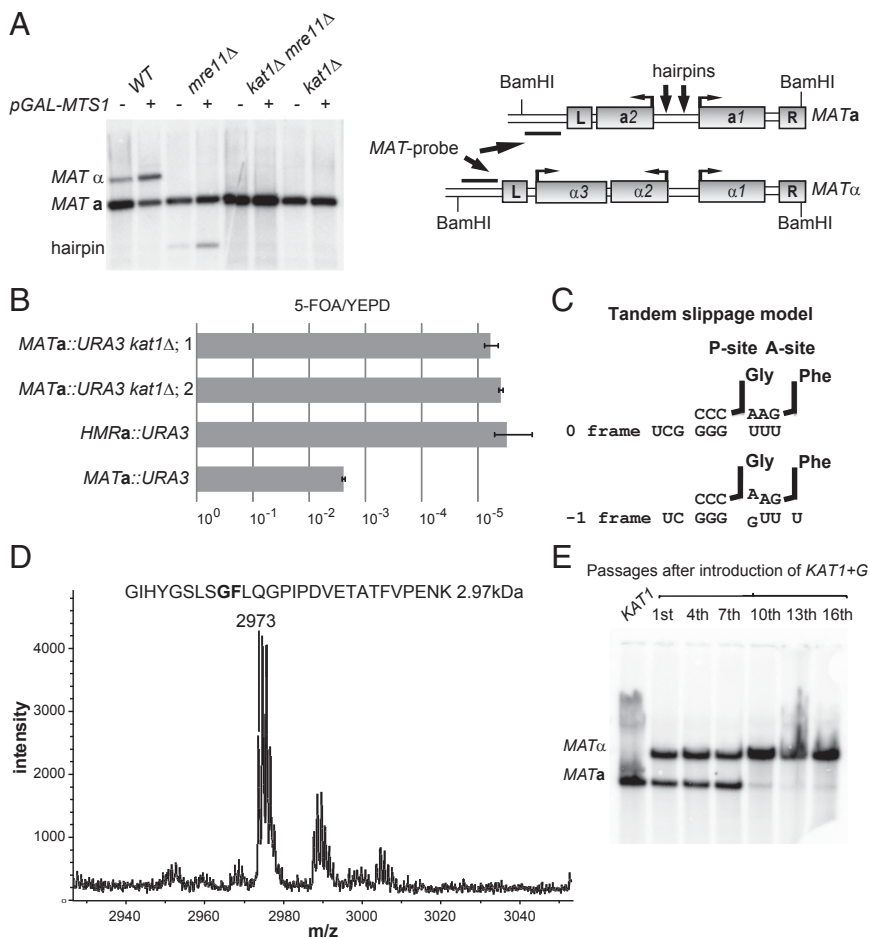
## Results

Hairpin-capped DSBs are observed in the *MAT $\alpha$ 1*-*MAT $\alpha$ 2* intergenic region during mating-type switching in *K. lactis* (4). The Hermes transposase previously has been shown to generate hairpin-capped DSBs (13). Because Hermes belongs to the hAT superfamily of transposases (14), we hypothesized that a protein related to hAT transposases was cleaving the *MAT $\alpha$*  locus. We searched the genome sequence translated in six frames using the hAT transposase from the fungus *Fusarium oxysporum* (Tfo1) as query (15). Two loci, one on chromosome A and one on chromosome D, shared homology with Tfo1 (Expect < 0.02) (Fig. S1). Both loci were deleted, and mating-type switching was assessed in the mutant strains by the overexpression of *Mts1*,

followed by DNA blot analysis. The strain with the deletion on chromosome A switched mating type with normal efficiency, but the strain with the locus deletion on chromosome D displayed no detectable switching (Fig. 1A). The locus required for switching (*KLLA0D05677g*) was named "KAT1." The *kat1 $\Delta$*  strain displayed a normal growth rate.

Strains lacking the DNA repair protein meiotic recombination 11 (*Mre11*) accumulate a hairpin-capped DSB in *MAT $\alpha$*  because *Mre11* is required for opening hairpins (16, 17). An *mre11 $\Delta$*  *kat1 $\Delta$*  double mutant did not accumulate the hairpin (Fig. 1A), indicating that Kat1 was acting upstream of *Mre11*. To investigate if there was any residual switching in the *kat1 $\Delta$*  strain, a more sensitive assay was used (5). This assay relies on a uracil requiring *URA3* gene inserted into the *MAT $\alpha$*  locus. Mating-type switching results in the loss of *URA3* and resistance to 5-fluoroorotic acid (5-FOA). Because *HMR $\alpha$*  is used as a donor during switching, the *HMR $\alpha$ ::URA3* strain becomes 5-FOA resistant with a low frequency, thus serving as a control. This assay showed that the level of mating-type switching was  $\sim 1,000$ -fold lower in the *kat1 $\Delta$*  strains than in the WT strain (Fig. 1B) and was similar to the level in the *HMR $\alpha$ ::URA3* strain. Thus, mating-type switching was completely blocked in the *kat1 $\Delta$*  strains.

The *KAT1* locus is annotated as a pseudogene because it contains two long ORFs interrupted by a  $-1$  frameshift. We hypothesized that the translation of Kat1 involved a programmed  $-1$  frameshift. To identify a slippery sequence, the *KAT1* loci from five closely related *Kluyveromyces* species (*K. aestuarii*, *K. dobzhanskii*, *K. lactis*, *K. marxianus*, and *K. wickerhamii*) were compared. The other yeast species contained a  $-1$  frameshift in a position similar



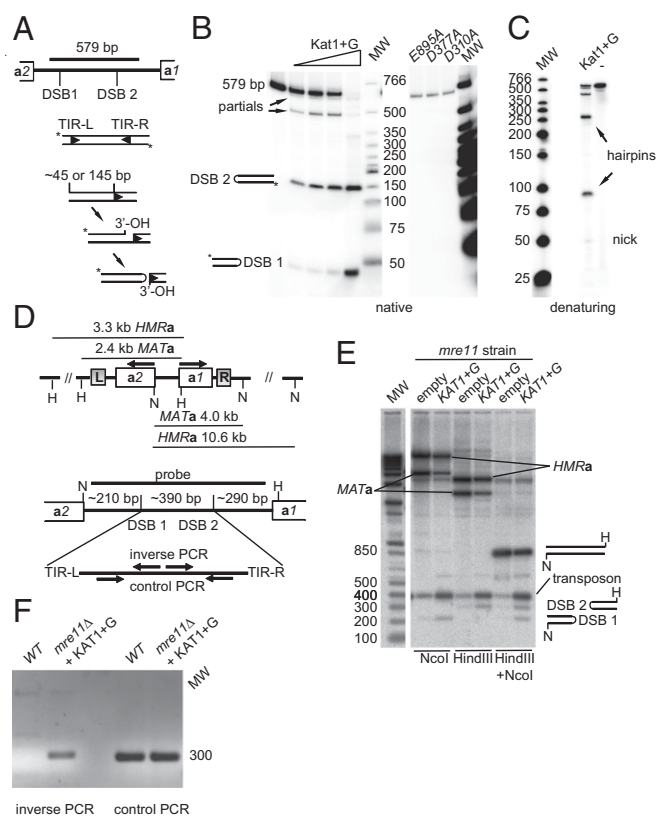
**Fig. 1.** Kat1 is essential for mating-type switching and is subjected to a programmed  $-1$  frameshift. (A, Left) DNA blot analysis of BamHI-digested genomic DNA from WT, *mre11 $\Delta$* , *kat1 $\Delta$* , and *mre11 $\Delta$  kat1 $\Delta$*  containing plasmid alone (-) or *pGAL-MTS1* (+), hybridized with a *MAT*-specific probe. The *MAT $\alpha$* , *MAT $\alpha$* , and hairpin bands are indicated. (Right) Schematic diagram of the *MAT $\alpha$*  and *MAT $\alpha$*  loci. Boxes denote genes and the Left (L) and Right (R) repetitive elements. The probe used, the BamHI sites, and approximate positions of hairpins (arrows) are indicated. (B) The ratios of 5-FOA/yeast extract peptone dextrose (YEPD) plating efficiencies were determined in *MAT $\alpha$ ::URA3*, *HMR $\alpha$ ::URA3*, and two independently generated *MAT $\alpha$ ::URA3 kat1 $\Delta$*  strains. Error bars represent the SEM from three independent measurements. (C) Tandem slippage model for frameshifting at the *KAT1* slippery site. The ribosomal P- and A-sites harboring the tRNA<sup>Gly</sup> and tRNA<sup>Phe</sup> are indicated. The zero-frame and  $-1$  frame base pairings between the tRNAs and mRNA are shown. (D) MS analysis of a peptide obtained from a GST-Kat1 slippery site-MBP fusion protein. The predicted peptide (2.97 kDa) from the tandem-slippage hypothesis is shown above the graph. The x axis shows the molecular weight in daltons. (E, Lower) DNA blot analysis as described in A. DNA was prepared from a strain carrying the *KAT1+G* allele at the endogenous locus. (Upper) The number of overnight passages in synthetic complete medium after the generation of the *KAT1+G* strain.

to *KAT1*. Multiple sequence alignments revealed an 8-bp sequence that was conserved in the overlapping sequence between the long ORFs (Fig. S24). In *K. lactis* a predicted pseudoknot (Fig. S2B), known to stimulate frameshifting by inducing a translational pause (12), was located 5 bp downstream from the conserved octamer. The potential slippery sequence in *KAT1* (CG GGG UUU) was not a typical slippery site, because the predicted A-site tRNA<sup>Phe</sup> would exhibit a first-position purine:purine clash after slipping one nucleotide in the 3' direction (Fig. 1C). However, the ribosome can accommodate purine clashes in certain circumstances (18). To investigate this frameshifting further, we generated a frameshift reporter protein. A glutathione S-transferase (GST)–Kat1 slippery site–maltose binding protein (MBP) fusion protein, in which the MBP moiety was in the –1 frame compared with the GST moiety, was expressed in *Escherichia coli* because bacteria can use eukaryotic frameshifting signals (19). A GST–MBP fusion protein was readily obtained, indicating that the *KAT1* slippery site was functional. Next, we analyzed trypsin-treated fusion protein by MS. The mass of the peptide covering the frameshift site (2.97 kDa) strongly suggested that the A-site tRNA during slippage indeed was tRNA<sup>Phe</sup> (Fig. 1D). (The split peaks close to the 2.97-kDa peak are caused by the isotopic contribution of C13.) In addition, an MS/MS analysis of the 2.97-kDa peptide confirmed that it covered the frameshift site (Fig. S3). A dual-luciferase assay was used to characterize the slippery site further, confirming that the sequence of the octamer was important for frameshifting (Fig. S2C). Hence, *KAT1* translation was subjected to a programmed –1 frameshift involving an unusual purine:purine clash.

We reasoned that the frameshift in *KAT1* could limit Kat1 activity, thereby restricting *MATa*-to-*MATα* switching. To test this idea, a single nucleotide was inserted into the slippery site, allowing *KAT1* to be translated in a continuous frame. To address the cellular role of the frameshift, the frameshift-correcting mutation (*KAT1+G*) was introduced at the endogenous *KAT1* locus in a *MATa* strain. Next, the resulting strain was grown for 16 overnight passages in nutrient-limited conditions (synthetic dextrose plus the required amino acids), and samples were collected for DNA blot analysis. After 10 passages the majority of the cells had switched to the *MATα* genotype (Fig. 1E). Introduction of a plasmid overexpressing Mts1 into the cells from the 16th passage resulted in a switch back to the *MATa* genotype, demonstrating that the phenotype is reversible (Fig. S2D). Overexpression of Kat1+G, but not Kat1, resulted in an almost complete conversion to *MATα* after only a single overnight passage (Fig. S44). Hence, the frameshift in the *KAT1* gene is critical to maintain the balance between the *MATa* and *MATα* cell-types.

The proteins encoded by the recombination activating genes 1/2 (*Rag1/2*) promote V(D)J recombination in lymphoid cells and are known to generate hairpin-capped DSBs (20). The generation of hairpin-capped DSBs by hAT transposases and *Rag1/2* involves a two-step reaction. First, the enzymes introduce a nick at (or close to) the boundary between the terminal inverted repeats (TIRs) and the host DNA. In the second step, the newly formed 3' hydroxyl (OH) attacks a phosphodiester bond on the opposite strand, forming a covalently closed hairpin structure (Fig. 2A). To investigate if Kat1 shares this activity, GST–Kat1+G was purified (Fig. S4D) and incubated with a radiolabeled 579-bp DNA fragment covering most of the *MATa1*–*MATa2* intergenic region (see Fig. S5 for details). The results showed a dose-dependent cleavage of the substrate. Kat1 generated two DSBs in the substrate, resulting in products of ~45 and 145 bp (Fig. 2B). Because the substrate was end-labeled, the fragment between the sites was not observed as a product. In denaturing conditions, the labeled products migrated with an apparent size twice that on the native gel, showing that they contained hairpin-capped ends (Fig. 2C).

The active site of transposases contains a DDE motif (21), which is critical for the catalytic activity. A multiple sequence



**Fig. 2.** Kat1 is an endonuclease that generates two hairpin-capped DSBs in *MATa*. (A) Mechanism of DNA cleavage of hAT transposases. A schematic diagram of the 579-bp fragment used in the cleavage assays is shown with the DSB 1/2 sites indicated. First a nick is generated at or close to the TIR. Next, the exposed 3' OH attacks the complementary strand to generate a hairpin, releasing the transposon end. The approximate lengths of the end-labeled products are shown. (B, Left) In vitro activity of Kat1. Increasing amounts of GST–Kat1+G were mixed with the 579-bp substrate, followed by separation on 6% PAGE using neutral conditions. (Right) The same assay using GST–Kat1+G with mutations in the DDE motif. The lengths of the products and DNA ladder are indicated. MW, molecular weight. (C) Same conditions as in B, but the products were separated in denaturing conditions. Nicked products and hairpins are indicated. (D, Upper) Schematic diagram of the *MATa*/*HMRa* loci showing the NcoI (N) and HindIII (H) sites and the lengths of restriction fragments. (Lower) The *MATa1*–*MATa2* intergenic region, showing the probe used, restriction enzyme sites, the approximate positions of DSBs, and lengths of generated fragments. (Lower) The primers for inverse/control PCR are depicted schematically. (E) DNA blot analysis using NcoI, HindIII, and double-digested DNA using the probe indicated in C. DNA was prepared from an *mre11Δ* strain containing plasmid alone or p*KAT1+G*. The *MATa* and *HMRa* bands are indicated. Bands induced by Kat1 overexpression were named “DSB 1,” “DSB 2,” and “transposon.” The molecular weight marker is shown on the left. (F) Genomic DNA from *mre11Δ* containing p*KAT1+G* or WT containing plasmid alone was subjected to inverse/control PCR using the primers depicted in C followed by agarose gel electrophoresis and ethidium bromide staining.

alignment between *KAT1* and four hAT transposases (Fig. S1) identified residues predicted to be part of the DDE motif. Exchanging the residues in Kat1 that were predicted to define the DDE motif (D310, D377, and E895) for alanines abolished the Kat1 activity in vitro (Fig. 2B) and in vivo (Fig. S4B). The proteins were not unstable or poorly expressed, because the steady-state levels of the DDE mutants were similar to WT levels both in yeast (Fig. S4C) and as recombinant proteins (Fig. S4D).

To obtain evidence for Kat1 binding to the *MATa1*–*MATa2* intergenic region in vivo, we performed ChIP of Myc–Kat1+G (Fig. S6). Because expression of WT Myc–Kat1+G results in

a rapid switch of mating type to the *MAT $\alpha$*  genotype, we used the DDE mutant *kat1D310A+G* for this experiment, assuming that Kat1D310A binds *MAT $\alpha$*  with normal efficiency. The precipitated DNA was analyzed by quantitative PCR (qPCR) using two primer pairs in the *MAT $\alpha$ 1*–*MAT $\alpha$ 2* intergenic region. The data were normalized to a primer pair from a locus not expected to be bound by Kat1. The Myc–Kat1 samples showed approximately sevenfold enrichment over the background signal, demonstrating that Myc–kat1D310A was binding to *MAT $\alpha$*  in vivo.

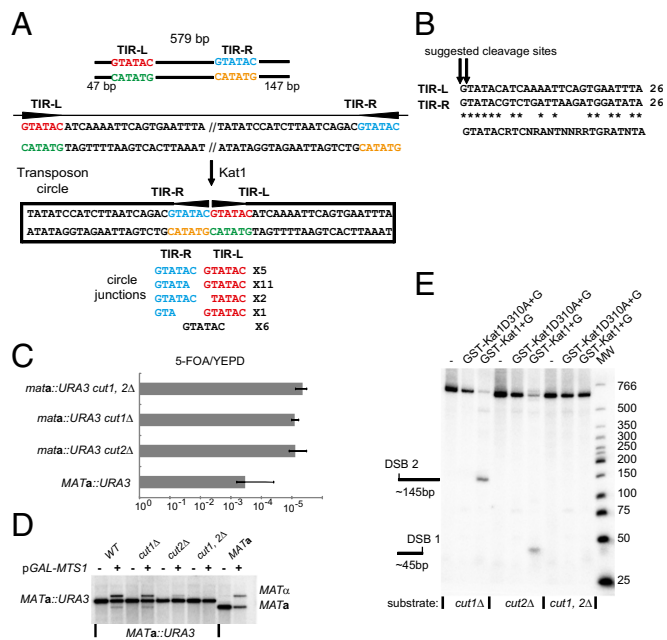
To examine the activity of Kat1 in vivo, we overexpressed Kat1+G, followed by DNA blotting using a probe spanning the *MAT $\alpha$ 1*–*MAT $\alpha$ 2* intergenic region. We used an *mre11* strain because it is easier to detect hairpins in this background. In agreement with the in vitro results, we observed that Kat1+G overexpression induced two DSBs (Fig. 2D and E). The endogenous *KAT1* gene induced small amounts of DSBs in the absence of Kat1 overexpression. The DNA between the DSBs hybridized strongly with the probe, indicating that it was stabilized in vivo, possibly by circularization. To investigate this possibility, we performed inverse PCR (Fig. 2D and Fig. S5). Inverse PCR allows amplification of templates that are circular but cannot amplify templates that are hairpin-capped or linear. This analysis showed that the DNA between the DSBs was circular (Fig. 2F). We consider the circular DNA to be a nonautonomous transposon. Thus, Kat1 is an endonuclease that generates two DSBs in *MAT $\alpha$*  and, furthermore, the DNA between the DSBs is circularized.

To pinpoint where Kat1 cuts the *MAT $\alpha$*  locus, we sequenced the circular transposon. We performed inverse PCR using three different primers pairs and cloned and sequenced several independent clones from each. The sequencing analysis showed that the transposon was circularized at two sequences that were recognition sites for the AccI endonuclease (GTATAC) (Fig. 3A). Among the 25 clones sequenced, we obtained five different circle junctions. In 18 (72%) of the clones, the two AccI sites either were intact or lacked a single base pair, indicating that Kat1 cleaves close to the G residue in the AccI sites. In seven clones there were further deletions. We speculate that the shorter junctions may result from the processing of the transposon ends in vivo. Moreover, the G residues in the AccI sites were located 47 bp and 147 bp (Fig. 3A and Fig. S5), respectively, from the ends of the 579-bp fragment used in the cleavage assay (Fig. 2B). Therefore, the Kat1 cutting sites suggested by sequencing of the circle junctions were consistent with the sizes of the products in the in vitro assay.

DNA transposases normally act on TIRs that are longer than 6 bp. In addition, a recognition site of only 6 bp seems too short to mediate specificity to Kat1 cleavage. An alignment of the sequences flanking the two AccI sites (using one in the inverse orientation) revealed two imperfect 26-bp-long TIRs (designated “TIR-L” and “TIR-R”), suggesting that the Kat1 recognition site is extended (Fig. 3B).

To explore if the TIRs are important in vivo, we generated strains carrying exact deletions of the AccI sites in TIR-L and/or TIR-R, called “*cut1 $\Delta$* ” and “*cut2 $\Delta$* ,” respectively. Deletion of either of the sites reduced mating-type switching to background levels in the assay involving *MAT $\alpha$ ::URA3* strains without overexpression of Mts1. (Fig. 3C). However, upon Mts1 overexpression, the strains in which only one of the sites was deleted still switched to *MAT $\alpha$*  (Fig. 3D). Thus both sites are essential for switching under normal conditions, but either one of them suffices when switching is induced by Mts1 overexpression. In the in vitro cleavage assay, the substrates containing deletion of one of the sites were cut at the other site, and the substrate containing deletions of both sites was not cut at all (Fig. 3E), confirming that the TIRs are crucial for the endonuclease activity of Kat1.

To examine the regulation of the cleavage event, we analyzed data from a genome-wide analysis of Mts1-binding sites (22) and observed a peak of Mts1 binding upstream from the *KAT1* gene



**Fig. 3.** Identification of TIRs in the *MAT $\alpha$ 1*–*MAT $\alpha$ 2* intergenic region. (A, Top) Diagram of the 579-bp PCR fragment used in the cleavage assay showing the distance from the ends of the fragment to TIR-L and TIR-R flanked by a pair of *AccI* sites. The sites are colored for clarity. (Middle) DNA sequence of the TIRs and their relative orientations in linear and circular forms. (Bottom) The sequence of cloned inverse PCR fragments covering the circle junctions. The number of clones representing each sequence is shown. (B) Alignment of 26 bp of DNA from TIR-L with the reverse complement of TIR-R. Identical bases are indicated by asterisks, and a putative consensus Kat1 site is depicted below. The tentative Kat1 cleavage sites are indicated by the arrows. (C) The ratio of 5-FOA/YEPD plating efficiencies was determined in *MAT $\alpha$ ::URA3*, *mata::URA3-cut1 $\Delta$* , *mata::URA3-cut2 $\Delta$* , and *mata::URA3-cut1,2 $\Delta$*  strains. The *cut1 $\Delta$*  and *cut2 $\Delta$*  mutations denote deletions of the two GTATAC sites. Error bars represent the SEM from three independent measurements. (D) DNA blot analysis of BamHI-digested genomic DNA from the strains in C and a *MAT $\alpha$*  strain containing plasmid alone (–) or pGAL-MTS1 (+), hybridized with a *MAT $\alpha$* -specific probe. The *MAT $\alpha$* , *MAT $\alpha$ ::URA3*, and *MAT $\alpha$*  bands are indicated. (E) In vitro nuclease assay using 579-bp substrates with deletions of the two *AccI* sites, either singly or in combination, labeled as in Fig. 2B.

(Fig. S7). Closer scrutiny of this upstream region revealed a consensus Mts1-binding site, which was conserved in *K. dobzhanskii*. qRT-PCR showed that overexpression of Mts1 resulted in a *KAT1* mRNA level approximately ninefold higher than seen in the same strain lacking Mts1 expression (Fig. 4A). Hence, Mts1 activates *KAT1* transcription, presumably by binding to the *KAT1* regulatory region.

## Discussion

We hypothesized that *KAT1* originated from an ancient transposition event and investigated the *RPL10*–*SEC53* intergenic region from related yeasts whose genome sequences were known. Fig. 4B shows a phylogenetic tree (23) and the distance between the *RPL10* and *SEC53* genes in selected budding yeasts. In yeasts belonging to the same clade as *K. lactis*, close homologs to *KAT1* were found in the *RPL10*–*SEC53* intergenic region. *RPL10* and *SEC53* were closely linked (<1 kb) in the other species investigated, except in *S. cerevisiae*, where these genes reside on different chromosomes. The lack of synteny indicated that *KAT1* originated from a transposition event that occurred in the common ancestor of the *Kluyveromyces* yeasts.

We also investigated the presence of an *HO* gene in these yeast species. BLAST searches revealed genes encoding long

(>700-aa) proteins highly homologous to *S. cerevisiae* *HO* in four species (Fig. 4B). The *KAT1* and *HO* genes did not coexist in the same genomes, as is consistent with the idea that a *KAT1*-

mediated switching mechanism has replaced an ancestral *HO*-mediated mechanism.

We suggest a model of how *K. lactis* switches mating type from *MATa* to *MAT $\alpha$*  (Fig. 4C). Nutrient limitation induces the transcription of *Mts1* (4, 22), which in turn activates *KAT1* transcription. Translation of *Kat1* also is regulated by a  $-1$  frameshift, probably acting as a general repressor of *Kat1* synthesis. Next, *Kat1* generates two hairpin-capped DSBs in the *MATa* locus, and the DSBs promote a gene conversion using *HML $\alpha$*  as donor.

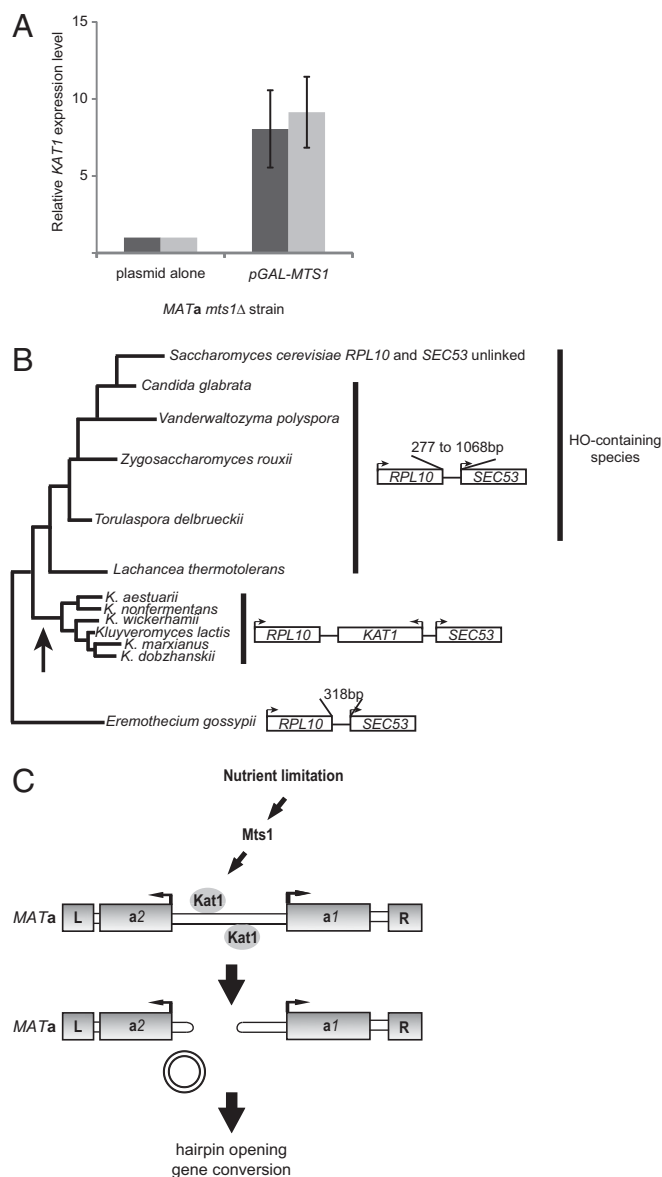
The *KAT1* translational slippery site is unusual because it involves a purine:purine clash in the first position of the A-site after the  $-1$  frameshift took place. Recent evidence shows that the ribosomal decoding center can accommodate purine:purine mismatches under certain circumstances (18) and therefore may be more flexible than previously thought. Another unusual feature of the *KAT1* slippery site is that the conserved sequence (an octamer) is 1 bp longer than the common heptameric slippery sequences (9). We have found that the identity of the first base of the octamer is important for frameshifting efficiency, because a mutation of the first C to T reduces frameshifting by  $\sim 20$ -fold in the dual-luciferase assay (Fig. S2C). The reduced frameshifting in the C to T mutant suggests a potential role for the exit-site tRNA in *KAT1* frameshifting, but further studies are required to confirm this observation.

There are numerous examples of TEs becoming domesticated to perform functions that are beneficial for the host (24). A thoroughly studied example is the *RAG1/2* proteins essential for V(D)J-recombination in lymphoid cells (20). *RAG1/2* originated from a TE related to *transib* elements (25). Other examples are the transposase-related genes required for large-scale genome rearrangements in different ciliate species (26–28). Remarkably, *Drosophila* species use domesticated retrotransposons called “HeT-A” and “TART” for maintaining telomeres (29).

Nutrient limitation induces mating-type switching through transcriptional activation of the *Mts1* gene. Previous studies have shown that TEs can be activated by different cellular stresses (30–32). An interesting example is provided by the fission yeast *Tf2* retroelements that contain binding sites for Sre1, the yeast ortholog of sterol regulatory element binding protein, in their LTRs. Binding of Sre1 results in activation of *Tf2* by oxygen deprivation. Sequences adjacent to the *Tf2* LTRs also could become oxygen-regulated, showing that TEs can rewire transcription of host genes (33). Hence, the relationship between TEs and their host genomes is multifaceted.

*Kat1* cleavage generates hairpin-capped ends similarly to *RAG1/2* (34) and *Hermes* (13). In addition, during V(D)J recombination the signal joints are circularized, and circular forms of the hAT transposons *restless*, *hobo*, and *Hermes* have been observed (35, 36). However, an obvious difference between *Kat1*-mediated switching and *RAG1/2*-mediated recombination is the fate of the hairpin-capped DSBs (coding ends). During V(D)J recombination the hairpins are opened and then joined by nonhomologous end-joining (NHEJ) to generate mature V(D)J joints. In contrast, the hairpins in *K. lactis* are channeled into a gene-conversion pathway dependent on the *RAD50* group of homologous recombination (HR) genes (37). The differential pathway usage might reflect the general activity of NHEJ and HR in yeasts and mammals, NHEJ being the main DSB-repair pathway in mammals, and HR being the main DSB-repair pathway in yeasts.

Mating-type switching in *K. lactis*, and presumably all species in the *Kluyveromyces* clade, involves two domesticated transposases. In *MAT $\alpha$*  cells, excision of the *MAT $\alpha 3$*  MULE induces switching, and in *MATa* cells *Kat1* induces switching. It seems that the activity of  $\alpha 3$  and *Kat1* must be carefully balanced to ensure equal ratios of the *MATa* and *MAT $\alpha$*  cell types. If the activity of one of the transposases were to dominate, then one cell type would take over in natural populations. Therefore, it



**Fig. 4.** *Kat1* regulation, phylogeny of *KAT1*, and model for mating-type switching. (A) RT-qPCR using two primer pairs (light and dark gray bars) amplifying the *KAT1* cDNA. Messenger RNA was prepared from an *mts1* $\Delta$  strain containing empty plasmid or pGAL-*MTS1*. The y axis shows the relative expression level of the *KAT1* mRNA. The level in the strain containing empty plasmid was set to 1.0. The expression level was normalized to actin (*ACT1*) using the comparative threshold ( $C_t$ ) method. Error bars indicate the SEM from three independent experiments. (B) Phylogenetic tree of the ascomyceteous lineage showing selected species. The length of the branches is not drawn to scale. The distance between *SEC53* and *RPL10* genes in the different species is shown at right. Also, the presence of long (>700-aa) *HO*-encoding genes in the species is shown. The arrow denotes the likely acquisition of the *KAT1* gene in the common ancestor of the *Kluyveromyces* yeasts. (C) Diagram showing the *MATa* locus with the genes boxed. The L and R boxes depict repeats that flank all mating-type loci, presumably used as sequences to resolve recombination intermediates. Nutrient limitation activates switching via stimulation of *MTS1/KAT1* transcription. *Kat1* acts on two sites in the *MATa1-MATa2* intergenic region, generating hairpin-capped ends, and the intervening DNA is joined into a circle. Next, a gene conversion takes place using the *HML $\alpha$*  locus as donor.

was not surprising that the frameshift-correcting *KAT1*+G allele mediated a shift of cultures to the *MAT $\alpha$*  cell type. Thus, a programmed frameshift regulates the ratios of mating types, which in turn control the likelihood of encountering a mating partner.

We speculate that in an ancestor of the *Kluyveromyces* yeasts an active *KAT1*-related TE was inserted between the *MAT $\alpha$ 1* and *MAT $\alpha$ 2* genes. This insertion may have rendered the ancestral *HO* gene redundant. Since then, the *KAT1*-related element has deteriorated, but the signals for excision have been maintained. In contrast, in another copy of the element (the *KAT1* gene) the coding capacity has been maintained, but the signals for excision have deteriorated. The excision of the *MAT $\alpha$* -linked element has become regulated, stimulated by nutrient limitation through recruitment of an Mts1-mediated activation and repressed by a frameshift in the *KAT1* gene. In this view, the *MAT $\alpha$ 1*–*MAT $\alpha$ 2* intergenic region contains a nonautonomous TE that is mobilized by Kat1. Thus we have an intriguing example of a domesticated transposon promoting sexual differentiation of the host.

## Materials and Methods

**Yeast Strains.** The yeast strains used in this study are listed in Table S1. Gene deletions/insertions relied on HR using a one-step disruption/insertion procedure modified for *K. lactis* (38). See *SI Materials and Methods* for details.

**Plasmids.** Cloning was performed using standard methods (39). The pGAL-*MTS1* vector (pPMB35) and the *K. lactis* plasmids pCXJ18/20 were described previously (4, 40). Detailed procedures for plasmid construction are presented in *SI Materials and Methods*.

**Methods.** DNA blots, DNA/RNA/protein preparations, and transformation of yeast and *E. coli* followed standard protocols (39, 41). Sequences of oligonucleotides used for generating probes for DNA blots, ChIP, and qPCR are available in Table S2. *E. coli* strain DE3 harboring *GST*–*KAT1*+G or DDE mutants was induced by the addition of 1 mM isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG) (18 h at 18 °C). Proteins were purified from 1 L of culture using glutathione Sepharose 4B (GE Healthcare). The Kat1 cleavage reaction was performed by incubating the end-labeled 579-bp DNA substrate with increasing concentrations of Kat1+G in a buffer containing 50 mM Tris-HCl (pH 8.0), 0.5 mM EDTA, 1 mM DTT, 100  $\mu$ g/mL BSA, and 5 mM MgCl<sub>2</sub> at 30 °C for 3 h. The reactions were terminated by the addition of EDTA (10 mM) and were glutathione Sepharose 4B deproteinized by phenol:chloroform extraction followed by ethanol precipitation in presence of glycogen. The products were resolved on 6% native/denaturing PAGE.

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