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## Coupled Electron and Proton Transfer Reactions during the O→E Transition in Bovine Cytochrome *c* Oxidase

Dragan M. Popović and Alexei A. Stuchebrukhov\*

Department of Chemistry, University of California, One Shields Avenue, Davis, CA 95616, USA

### Abstract

A combined DFT/electrostatic approach is employed to study the coupling of proton and electron transfer reactions in cytochrome *c* oxidase (CcO) and its proton pumping mechanism. The coupling of the chemical proton to the internal electron transfer between heme *a* and the binuclear center is examined for the O→E transition. The novel features of the His291 pumping model are proposed, which involve timely well-synchronized sequence of the proton-coupled electron transfer reactions. The obtained  $pK_a$ s and  $E_m$ s of the key ionizable and redox-active groups at the different stages of the O→E transition are consistent with available experimental data. The PT step from E242 to H291 is examined in detail for various redox states of the hemes and various conformations of E242 side-chain. Redox potential calculations of the successive steps in the reaction cycle during the O→E transition are able to explain a cascade of equilibria between the different intermediate states and electron redistribution between the metal centers during the course of the catalytic activity. All four electrometric phases are discussed in the light of the obtained results, providing a robust support for the His291 model of proton pumping in CcO.

### Keywords

Cytochrome *c* oxidase; Computational chemistry; Donor-acceptor systems; Gating mechanism;  $pK_a$  and  $E_m$  calculations; Proton-loading site; Proton pumping

## 1. Introduction

Cytochrome *c* oxidase (CcO) is one of the most fascinating biochemical systems where coupled electron (ET) and proton transfer (PT) reactions play a crucial role.[1, 2] The enzyme is redox-driven proton pump, where the reduction of oxygen to water is coupled to proton pumping across the membrane, generating in part the proton gradient utilized in the production of ATP by ATP-synthase. [2, 3]

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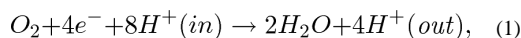
\*Corresponding author. Fax: +1 530 752 8995., dpopovic@ucdavis.edu, stuchebr@chem.ucdavis.edu.

Supplementary Material available:

SM contains details on the calculated protonation free energy of E242 and H291 for different reduction levels of the hemes. This material is available online free of charge at <http://www.elsevier.com/bbabi/>.

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CcO contains four redox-active metal centers: Cu<sub>A</sub>, heme *a* (Fe<sub>a</sub>), and the binuclear complex consisting of heme *a*<sub>3</sub> (Fe<sub>a3</sub>) and Cu<sub>B</sub>, see Figure 1. Electrons supplied to Cu<sub>A</sub> by reduced cytochrome *c* are sequentially transferred through Fe<sub>a</sub> to the active site, where the reduction of oxygen takes place. [3–5] In the catalytic cycle, the transfer of each of the four electrons required for the reduction of an oxygen molecule is accompanied by the translocation of the two protons, of which one is consumed internally in the binuclear center for the chemical reduction of oxygen (“chemical or substrate proton”) and the other is pumped across the membrane (“vectorial or pumped proton”). The overall reaction can be expressed as follows:



where (in) and (out) indicate two sides of the membrane: the matrix (*N*-) and inter-membrane (*P*-) side, respectively. Three possible entrance proton transfer pathways (D-, K-, and H-pathways) have been identified in the X-ray structures of bovine and bacterial CcO at high resolution. [6–11] Both chemical and pumped protons are delivered along the D- and K-channels. [5, 12, 13] Presumably, 6–7 protons are delivered along the D-channel, whereas the D-channel provides all pumped protons. In contrast, ref. [7] and [14] suggest the primary role of H-pathway in translocation of the pumped protons from the *N*- to *P*-side of the membrane.

The molecular mechanism of proton pumping of CcO still remains a subject of intense debate. Some recent reviews of the enzyme structure, function and kinetics, can be found e.g. in refs. [10, 15–23].

### 1.1. Recent theoretical studies. His291 model

In the work by this group, [24–27] on the basis of combined electrostatic and *ab initio* calculations a kinetic model of proton pumping by CcO has been proposed. The key elements of the model are H291 (a ligand to Cu<sub>B</sub> center) and its the redox-dependent protonation state, and the two proton-conducting water chains connecting E242 both to the catalytic site (BNC) and to H291 (PLS). [25, 28] From the mechanistic point of view, the salt bridge PRD<sub>a3</sub>/R438 and a crystallographic water molecule W1 located between the propionates of heme *a*<sub>3</sub> (Figure 2) are likely to be important for both the regulation of the transfer of the pumped proton to PLS, [24, 29], as well as for water exit from the hydrophobic catalytic cavity. [30] As established experimentally, E242 plays a key role in conducting both the pumped and chemical protons. [31–33] The proposed “kinetic gating mechanism” suggests that E242 is connected to PLS and the active site (BNC) by two separate proton-conducting water chains with different proton conducting rates. The *faster* chain delivers the pumped protons to PLS, whereas the slower chain delivers the chemical protons to BNC. The difference in rates ensures that a proton is preloaded into the pump site PLS *before* the driving redox event, *i.e.* the protonation of the reduced oxygen intermediates at the active site by the chemical protons, occurs. [25] The structure and dynamics of proton-conducting water networks suggests that the rate of proton transfer from E242 to H291 is likely much faster than that between E242 and OH<sup>−</sup> in the catalytic center.

Recently, we have extended the proposed model by considering the rotational isomerization of E242 residue, a central proton intermediate, which can exist in two distinct conformations – downward and upward, which are assumed to be the proton input and output, respectively. [34] The two conformations seem to be of the great importance, as the Glu side-chain can form the H-bonded proton-conducting pathway only to one site at a time: either to the D-channel, or to PLS, or to BNC, see Figure 2. If Glu is connected to one of the pathways, the other two are temporary closed. The gating through conformational changes of the Glu side-chain [34] is similar to that in the E242 “valve model” proposed by the Wikström group. [35]

Several other computational groups have applied recently various methods to examine the pumping mechanism of CcO. Thus in Ref. [36] the transition state theory and energy diagrams have been used to analyze the proton pumping mechanism. The QM/MM has been employed to study the microscopic  $pK_a$ s of E242 in controlling the proton translocation, [37] while the generalized valence bond molecular dynamics, [38] Monte Carlo dynamic analysis, [39] quantum-chemical studies [40–46] and the continuum electrostatics [47–49] have been applied to study different aspects of this complex protein system.

In this paper, we report on the new calculations that combined first principles DFT and continuum electrostatics to evaluate the energetics of the proton transfer between E242 and H291 for different populations of the reduced/oxidized heme  $a$  and heme  $a_3$  in the course of the O→E transition studied recently in ref. [15]. The E242 conformational changes, thought to be of great importance for the unidirectionality and prevention of a backward proton leakage, [34, 36, 50–52] are taken into account and their importance for the kinetic gating mechanism proposed earlier [25] is discussed. The coupling of the chemical and pumped proton translocation to the internal electron transfer to the binuclear catalytic center ( $Fe_{a_3}$  to  $Cu_B$ ) is examined. The midpoint potentials ( $E_m$ ) of the redox centers are evaluated at the different stages of the reaction cycle; all four electrometric phases observed in experiment [15] are discussed in the light of the obtained results. The new data provide a solid support for the novel features of the H291 model of CcO pumping.

The key question we examine in this study is whether the pumped proton translocation occurs already upon the reduction of heme  $a$ , as recently have been suggested on the basis of potentiometric data. [15, 53] For that purpose, we have monitored the PT reaction from E242 to H291 for different distributions of an electron on the two hemes during the corresponding ET event in bovine CcO.

## 1.2. Electrometric study: O→E transition

For convenience, here we briefly summarize the experimental data [15] on the O→E transition observed in potentiometric measurements. [12, 54–59] Upon single-electron injection, four kinetic phases are observed: a pure electronic kinetic phase, and three protonic phases, which overlapped with electron movement parallel to the membrane.

In ref. [15], phase 1 (10  $\mu$ s) is associated with electron transfer from  $Cu_A$  to heme  $a$ , thereby moving a *fraction of electron* ( $\beta=0.70$ ) to heme  $a$ . Further electron redistribution between  $Cu_A$ ,  $Fe_a$ , and the  $Fe_{a_3}/Cu_B$  binuclear center is coupled to three proton transfers, which

generate three additional kinetic phases (Figure 3). The spectroscopic data indicate that by the end of the 150- $\mu$ s phase, 60% of the electron is transferred to the Fe<sub>a3</sub>/Cu<sub>B</sub> center, while 40% still remains on heme *a*. This electron transfer is coupled to the translocation of a pumped proton from the *N*-side of the membrane to an unknown PLS above the BNC. E242 is apparently a proton donor for this proton transfer.

The next 800- $\mu$ s phase corresponds to the transfer of the remaining 40% of the electron from heme *a* to the BNC and an accompanying transfer of the chemical proton to the BNC. Finally, the last 2.6-ms phase is associated with the reprotonation of the donor site for *chemical* proton<sup>†</sup> and a displacement of the pumped proton from the PLS to the *P*-side of the membrane. Presumably, this happens due to repulsion between the chemical proton arrived to the BNC and the pumped proton preloaded to the PLS. [24, 60] Reprotonation of the proton donor site, before proton release from the PLS to the *P*-side of the membrane, further facilitates the proton pumping event. [34]

Although the experimental results are obtained for CcO from *P. denitrificans*, as it has been demonstrated recently, all species of the A-family show remarkable structural similarity. Moreover, their microscopic electrostatic and thermodynamic properties of the key amino-acid residues are almost identical, which strongly suggests similar mechanism in these species. [61]

## 2. Model and Methods

### 2.1. Studied model

Our His291 model [25] closely corresponds to the above interpretation of the electrometric study. The only inconsistency is related to the order of coupled electron and proton transfer steps associated with the ET from heme *a* to the BNC and the PT from E242 to PLS. Obviously, the reduction of heme *a*<sub>3</sub> would make the translocation of a pumped proton more energetically feasible, because an electron closer to the PLS (presumed His291) would increase its p*K*<sub>a</sub>. In line with this, in ref. [52] the PT from Glu to PLS (PRAa<sub>3</sub> was assumed for PLS) was found to be uphill in energy by 4.6 p*K*-units (275 meV). Nevertheless, the experimental data for the O→E transition can be interpreted to suggest a mechanism in which the translocation of the pumped proton occurs *before* the ET to the binuclear center, [15] contrary to our proposal. In contrast, ref. [58] supports the transfer of the pumped proton to PLS *upon* ET to the binuclear center. However, the latter experimental results are for the F→O transition, which may suggest that the oxidative and reductive halves of the catalytic cycle are not entirely identical in all mechanistic details, besides the obvious difference in chemistry and kinetic rates.

The aforementioned discrepancy has motivated us to study the coupling between the PT and ET reactions in CcO in more details. Here we used a modified model shown in Figure 4. We particularly focus on the PT from Glu to His291 for different populations of the reduced/oxidized hemes (*a* and *a*<sub>3</sub>) during the coupled ET reaction.

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<sup>†</sup>In the different transitions of the catalytic cycle, K319 (in K-channel) or E242 (in D-channel) play the role of a donor for the chemical (substrate) proton.

## 2.2. DFT/electrostatic method

The calculations of the  $pK_a$  values of H291 and E242 have been done using a method that combines DFT (Jaguar 5.5 program [62]) and continuum electrostatic calculations (MEAD suite [63] and Karlsberg program [64]), as described in our recent work. [26, 34] Briefly, in the calculations the whole system is divided into a quantum-mechanical (QM) part, and the surrounding medium, which consists of the rest of the protein, membrane, internal cavities and external aqueous phase. Density functional theory [65] is applied to a relatively small QM system, to optimize its geometry, evaluate the gas phase electronic energies ( $G_{elec}$ ), calculate the reorganization energies ( $G_{strain}$ ), and obtain the atomic partial charges for the different redox and protonation states of the complex. Electrostatic calculations, on the other hand, are used to evaluate the reaction ( $G_{rf}$ ) and protein field ( $G_{pf}$ ) solvation energies. Thus, the protonation energies of the two key residues (Glu and His) are calculated by DFT modified by the influence of the protein charges and dielectric inhomogeneities. The  $pK_a$ 's then can be obtained relative to the appropriate model compound in a standard fashion.

The starting structure of the active site was taken from the X-ray crystal structure of bovine heart cytochrome c oxidase obtained by Yoshikawa, et al, at 2.3Å resolution (PDB ID: 2OCC). [7] The QM model used to calculate the  $pK_a$  of H291 consists of the  $Cu_B$  center together with  $OH^-/H_2O$  ligand and three coordinated 4-methylimidazoles (representing H240, H290 and H291). Also, Y244 cross-linked to H240 is included as a part of the QM system by replacing it with phenol ring. When  $pK_a$  of E242 is evaluated, only propionic acid representing Glu side-chain was treated by the DFT. Since, the system contains only two separate QM parts, the self-consistency in their  $pK_a$ s is straightforward to achieve.

The hybrid density functional B3LYP [66] and open shell electronic configurations (for oxidized  $Cu_B$ ) are used with a restricted open shell variant of DFT. Geometry optimizations are done with the LACVP+\* basis set, while the single point energies are calculated with the LACV3P+\* basis set. [62, 67] Electronic reorganization of the solute and the corresponding set of the ESP fitted charges for the QM system are obtained by the DFT-SCRF method, [68] which surrounds the complex by a continuum dielectric. For a detailed set up of the calculations, see our recent publications, [26, 27, 69] while a review of the combined DFT/electrostatic method can be found elsewhere. [70–73]

## 2.3. Continuum electrostatic calculations

The electrostatic calculations have been performed by using program MEAD, [63, 74] as described in ref. [26]. To correctly describe the effects of the protein charges on the  $pK_a$ s of selected groups, the protonation state of all other titratable groups of the enzyme, for a given redox state of metal centers, is required. To this end, we performed the standard continuum electrostatic calculations as described in ref. [24]. In different redox states the equilibrium proton distribution is slightly different due to the proton uptake from aqueous phase, and much more different from the standard protonation state.

The electrostatic calculations were performed on chains A and B of bovine heart CcO (PDB ID: 2OCC). [7] The two chains were embedded into a membrane and solvated in aqueous phase. The membrane domain is modeled as a low dielectric slab of 40Å that covers the

central part of the enzyme (Fig. 1). The two discrete rotational isomers of the Glu side-chain have been used in the present study, see Figure 2. They correspond to the proton loading and proton releasing conformers of residue E242 (see ref. [34] for details).

#### 2.4. Redox midpoint potential calculations

Electrostatic energies are evaluated by solving the *Poisson-Boltzmann* equation:

$$\nabla [\varepsilon(\vec{r}) \nabla \varphi(\vec{r})] = -4\pi\rho(\vec{r}) + \kappa^2(\vec{r})\varphi(\vec{r}) \quad (2)$$

with the charge distribution  $\rho(\vec{r})$  and dielectric constants of  $\varepsilon_{prot.} = 4$  and  $\varepsilon_{solv.} = 80$  to obtain the electrostatic potential  $\varphi(\vec{r})$ . The second term accounts for the ionic strength effects due to mobile ions in solution but it can be safely dropped, since we previously found that mobile ions do not make any significant contribution to the calculated potentials in the interior of CcO. [24]

Gibbs free energy of a specific protonation/redox state of the enzyme  $n, \vec{n}$  is given as:

$$G(\vec{n}) = \sum_{\mu=1}^P RT \ln 10 \left( pH - pK_{a,\mu}^{intr} \right) (x_{\mu}^n - x_{\mu}^0) - \sum_{\eta=1}^R F \left( E_{sol} - E_{intr,\eta}^0 \right) (x_{\eta}^n - x_{\eta}^0) + \frac{1}{2} \sum_{\mu=1}^N \sum_{\nu=1}^N \left( W_{\mu\nu} \left( x_{\mu}^n + z_{\mu}^0 \right) \left( x_{\nu}^n + z_{\nu}^0 \right) \right), \quad (3)$$

where  $n$  is the  $N$ -dimensional protonation/redox state vector ( $N = P + R$ ; total number of protonatable sites plus redox-active groups) with elements  $x_i^n \in (0, 1)$  corresponding to the deprotonated (reduced) and protonated (oxidized) states respectively, and  $x_i^0$  referring to the neutral reference state.  $z_{\mu}^0$  is the unitless formal charge of the deprotonated (reduced) form of the group  $\mu$ , *i.e.*  $-1$  for acids and  $0$  for bases and redox centers.  $E_{sol}$  is the external redox potential and  $F$  is the Faraday constant. The first sum runs over all  $P$  protonatable sites, the second sum runs over all  $R$  redox groups, and the third term is associated with the charge-charge interactions between all  $N$  titratable sites in the system. The intrinsic quantities,  $E_{intr,\eta}^0$  and  $pK_{a,\mu}^{intr}$ , are defined as  $E_m$  or  $pK_a$  of the site in protein when all other sites are in their neutral reference states. [74]

The redox midpoint potential of hemes and Cu centers are calculated at pH 7 ( $E_{m,7}$ ), as thermodynamic average over the protonation and redox states of all titratable sites of the enzyme, as explained in detail elsewhere. [74–78] This method aims to reproduce the shift in  $E_m$  ( $pK_a$ ) associated with transferring a model compound representing the redox (protonatable) group with known solution  $E_m$  ( $pK_a$ ) value from the aqueous phase to the protein environment. The following model compounds in aqueous solution with the corresponding  $E_{m,sol}$  values are used in the present study: 1) for the *a*-type heme, *bis*-histidine heme *a* model compound is used with the experimental value of  $-120$  meV; 2) for histidine–H<sub>2</sub>O heme *a*<sub>3</sub>, a value of  $-20$  meV is assigned; 3) for the redox potential of histidine–OH<sup>−</sup> heme *a*<sub>3</sub>, a value of  $100$  meV is applied. [79, 80] Redox midpoint potentials of the Cu<sub>A</sub> and Cu<sub>B</sub> centers are evaluated from the shift due to interactions with other charged sites in the protein (redox or protonatable). Their  $E_m$ s can be expressed as the intrinsic midpoint reduction potential plus a shift in redox potential associated with site-site interactions. [81] Thus, the shift in their  $E_m$ s arises from the coupling with other redox-

centers and changes in the protonation state of the protein, primarily the neighboring cluster of residues, when the Cu center goes from the oxidized to reduced state.

## 2.5. Solvation energy calculations

Once the charges of the QM system have been calculated, its geometry optimized, and the equilibrium charge distribution of the protein has been determined, the solvation energy of the QM system in the protein can be calculated. For solvation calculations, the MEAD suite [63] has been used. The solvation energy in the protein consists of two main contributions – the Born solvation energy (reaction field), and the energy of interaction with the protein charges (protein field).

To find these contributions, the *Poisson* Equation (or PBE) is solved numerically. The dielectric constants of 1, 4 and 80, were used for the QM system, the protein-membrane, and the solvent region, respectively. [82–84] Also, we examined the dependence of the results on the various values of the dielectric constant associated with water filled cavities inside of the protein ( $\epsilon_{cavity} = 15, 20, 40, \text{ and } 80$ ).

In these calculations, the RESP fitted charges are used for the QM-system, while partial charges of the protein atoms are taken from our own parameterization [76] consistent with CHARMM22 parameter set, [85] modified so as to reflect the equilibrium protonation state of the enzyme for a given redox state of the metal centers. [24] The protein charges [76] and atomic radii [85] that we use in this application have been used successfully before to calculate the electrostatic energies, protonation probabilities of titratable groups and redox potentials of cofactors in different proteins. [26, 64, 76, 81, 86]

## 2.6. Coupling of the heme redox states

We performed the calculations to evaluate  $pK_a$ s and energetics of the proton transfer between the key protonatable sites – E242 and H291, for different populations of the reduced and oxidized hemes during the course of the transition. The initial state consists of the oxidized  $Cu_A$ , reduced  $Fe_a$ , and oxidized binuclear center (as in  $O_H$  state of the catalytic cycle). [59] In the final state, the electron resides on  $Fe_{a3}$ , after it was transferred from the reduced  $Fe_a$  to the binuclear center. Simultaneously, we have monitored the  $pK_a$  changes of the key residues for the different populations of the reduced and oxidized hemes during the corresponding electron transfer event.

In order to drive an electron from  $Fe_a$  to  $Fe_{a3}$ , along the reaction coordinate, we introduced a coupling parameter  $\eta$  which is numerically equivalent to parameter  $\gamma$  [15, 87] used to describe a fraction of an electron on  $Fe_{a3}$  during the transition. As a matter of fact,  $\eta$  is the reaction coordinate of the coupled electron and proton transfer reaction, as discussed in the next section.  $\eta$  can take values between 0 and 1, and it is a coupling parameter chosen in such a way that  $\eta = 0$  and  $\eta = 1$  correspond to the initial ( $S_{initial} = a^- [a_3/Cu_B]$ ) and final ( $S_{final} = a [a_3/Cu_B]^-$ ) redox state of the enzyme during the ET. Any (intermediate  $S(\eta)$  state for the given distribution of an electron can be defined as combination of the two redox states of the enzyme:

$$S(\eta) = (1-\eta) \cdot S_{initial} + \eta \cdot S_{final} \quad (4)$$

Not only the charges of the oxidized and reduced state of heme moieties are combined according to Eq. 4, but also all other protein charges producing the protein field in the two states ( $S_{initial}$ ,  $S_{final}$ ) have to be combined and weighted by parameter  $\eta$  in the same manner. So obtained, the weighted  $S(\eta)$  protein charges were used later in solvation energy calculations.

Alternatively, one can calculate the protonation state of the enzyme for the different fractions of an electron distributed on the two hemes by solving the PBE each time and later use the obtained equilibrium charge distributions to evaluate the corresponding protein field terms in the solvation electrostatic calculations. We have checked both methods and confirmed that the results are consistent between the two approaches.

### 3. Results

We have adopted the following abbreviations to simplify the notation: Glu “down” protonated/deprotonated –  $\mathbf{gH/g}^-$ ; Glu “up” protonated/deprotonated –  $\mathbf{GH/G}^-$ ; His protonated/deprotonated –  $\mathbf{PH/P}^-$  ( $P$  stands for the PLS). Also, the initial ( $a^- [a_3/\text{Cu}_B]$ ) and final ( $a [a_3/\text{Cu}_B]^-$ ) redox state of the enzyme are associated with the fully reduced state of heme  $a$  or heme  $a_3$ , respectively.

#### 3.1. Pumped proton vs. ET between hemes

The change of  $\text{p}K_a$ s of E242 and H291 during the electron redistribution between heme  $a$  and heme  $a_3$  is shown in Figure 5 (See also Fig. S1). The  $\text{p}K_a$ s are plotted against the coupling parameter ( $\eta$ ). The  $\eta$  value represents the population of the reduced heme  $a_3$  (or BNC), while  $1 - \eta$  gives the population of the reduced heme  $a$ .  $\text{Cu}_A$  center is always oxidized, while  $\text{Cu}_B$  center is in the oxidized state with a hydroxyl ligand, as presumably is the case in the  $\text{O} \rightarrow \text{E}$  transition.

Since we consider the two conformational and two protonation states of E242, total four curves are obtained for each group. The  $\text{p}K_a$  value is calculated for equilibrated state of the protein that corresponds to a given state of the group, *e.g.*  $\mathbf{gH}$ ,  $\mathbf{g}^-$ ,  $\mathbf{GH}$ ,  $\mathbf{G}^-$ ; therefore the  $\text{p}K_a$  of  $\mathbf{GH}$  and  $\mathbf{G}^-$  may not be exactly the same. The difference in  $\text{p}K_a$  of the two E242 conformers is in the range of 1–3  $\text{p}K$ -units depending on the protonation state of H291 and the redox state of the metal centers (for more details see [34]). The difference in conformational energy is around 3 kcal/mol in the protonated state, and 6 kcal/mol in the deprotonated state (*cf.* ref. [52]). In contrast to H291, E242 is roughly equidistant from the two hemes, which makes its  $\text{p}K_a(\eta)$  dependence more moderate.

The calculated  $\text{p}K_a$ s correspond to a given distribution  $\eta$  of an electron between the metal centers. It is seen that when the electron is on heme  $a$ , the  $\text{p}K_a$  of Glu is higher than that of H291, and when electron is on heme  $a_3$ , the opposite is true. Moreover, for the electron on heme  $a$ , H291 is deprotonated for all protonation states of Glu. Thus upon electron transfer from heme  $a$  to heme  $a_3$ , the proton can be transferred from E242 to H291. This is a typical



situation for a coupled electron and proton transfer reactions. [88] Namely, without an electron, the proton transfer is unfavorable, likewise without the proton, electron transfer is unfavorable; however, transition of both electron and proton is favorable in energy. The transition in this case occurs in the course of thermal fluctuations. The calculated data reflect the statistics in the thermodynamic ensemble, and indicate that as a function of the reaction coordinate  $\eta$ , the coupled reaction has a transition state  $-pK_a$  of His becomes higher than that of Glu - when  $\eta$  is roughly between 0.4 and 0.6. The fractional value of  $\eta$  underscores the statistical and coupled nature of the reaction.

Figure 6 is obtained by taking the difference in  $pK_a$ s between the corresponding Glu- and His-curves. This difference is the free energy of proton transfer from Glu to His in  $pK$ -units,  $\Delta G_{PT} = pK_a^{Glu} - pK_a^{His}$ , at different values of the reaction coordinate  $\eta$ . The ET energy cost for overall coupled reaction is not included here; hence the shown curves do not represent the total free energy change, but rather only part related to the corresponding proton transfer. The four curves shown correspond to  $pK_a$  differences: **a – 1**, **b – 2**, **c – 3**, and **d – 4** of the curves in Fig. 5, in the order from top to bottom. The difference between the curves is due to two possible conformation states of Glu, and different protein states equilibrated at the initial state of the reaction<sup>‡</sup>. This difference between the curves represents the uncertainty in the conditions under which proton transfer occurs; however, for all cases shown, the qualitative picture is clear: when electron is still on heme *a*, there is no driving force for the proton transfer, while there is a significant driving force when electron is on heme *a*<sub>3</sub>. The actual transition can occur as a coupled reaction, at the intermediate value of the reaction coordinate  $\eta$ .

In all cases, E242 always possesses  $pK_a > 9$ , and presumably gets rapidly reprotonated through the D-channel. [35] Hence, one can also say that H291 gets effectively protonated by a translocation of the pumped proton from the *N*-side of the membrane.

The curve depicting a transition:  $a^-/[a_3/Cu_B]/gH/P^-$  to  $a/[a_3/Cu_B]^-/gH/PH$  (second curve from top) is the one most likely related to the experimental measurements and the schematics of the model presented in Figure 4. According to the experimental data, [15] by the end of the 150- $\mu$ s phase, 60% of an electron is transferred to the  $Fe_{a_3}/Cu_B$  site, while 40% still remains on  $Fe_a$  and this electron transfer is coupled to the translocation of the pumped proton from the *N*-side of the membrane to the PLS.

For the transition –  $a^-/[a_3/Cu_B]/gH/P^-$  to  $a/[a_3/Cu_B]^-/gH/PH$ , a transfer of the pumped proton from E242 to H291 is isoenergetic at  $\lambda=0.63$  (crossing point of the two  $pK_a$ - curves, Fig. 5), when 63% of an electron is transferred to the  $Fe_{a_3}/Cu_B$  center, while 37% still remains on  $Fe_a$ . However, if energy of the ET is taken into account, the energy of 14 meV (0.23  $pK$ -units) separates the two states. The corresponding Glu and His  $pK_a$ s are both 9.66. Setting the proton affinity of the *N*-side of the membrane as the reference state is a natural choice, since on the *N*-side is  $pH=7$ . It means that a drop in energy for a direct translocation of the pumped proton from the *N*-side to H291 site is 2.66  $pK$ -units. Also, based on  $pK_a$  of

<sup>‡</sup>The effect of further possible variations in the initial and the final conditions of the protein is shown in Figure S2 in SM.

E242, the repotonation  $g^- \rightarrow gH$  is downhill in energy by 2.66 pK-units at 63% of the reduced population of  $Fe_{a3}$ .

For the experimentally observed distribution of 40% to 60% for the electron residing on heme  $a$  vs. heme  $a_3/Cu_B$  complex, the calculated  $pK_a$ s of Glu and His are 9.72 and 9.38, respectively. At physiological pH both sites are protonated and there is a stabilization of the state with the protonated His of 2.38 pK-units relative to the  $N$ -side of the membrane. Also, the repotonation  $g^- \rightarrow gH$  is favorable by 2.72 pK-units at this point, what set up the state with  $g^-$  to +0.34 pK-units relative to the reference energy level. The energy of the ET itself is  $-10$  meV ( $-0.17$  pK-units, at room temperature), as expected from the experiment. [15] The obtained energy levels are in good agreement with results of the recent study from the Wikström group. [52]

We examined the dependence of the results on the various values of the dielectric constant associated with water filled cavities inside of the protein ( $\epsilon_{cavity} = 15, 20, 40,$  and  $80$ ). The obtained  $pK_a$ s of both, E242 and H291, are somewhat higher for the lower values of  $\epsilon_{cavity}$ , but this does not considerably affect their difference, *i.e.*  $G_{PT}$ . Since, lower values of  $\epsilon_{cavity}$  have a slightly stronger effect on the  $pK_a$  of H291, the obtained  $G_{PT}$  curves cross the  $x$ -axis at somewhat lower values of  $\eta$ . For  $\epsilon_{cavity} = 15$ , the corresponding shift is about 0.10–0.15 depending on a transition which curve describes (data are not shown).

### 3.2. Chemical proton vs. the internal ET in the BNC

Based on the obtained results, the proton translocation from E242 to H291 (PLS) upon the reduction of heme  $a$  is an energy requiring step, which could be facilitated by an initially generated small population of the reduced heme  $a_3$ . The proton transfer to the PLS considerably increases the redox potential of heme  $a_3$  ( $E_m \sim 0.35V$ ), thereby stabilizing the electron at the BNC at the significant level, which in turn further increases the driving force for proton transfer to the PLS. In other words, the increased population of the protonated His (**PH**) gives rise to the reduced population of heme  $a_3$ , and *vice versa*. Therefore, one can say that the electron and the proton drive each other at this step to the more stable (intermediate) state of the enzyme where they occupy heme  $a_3$  (BNC) and H291 (PLS), respectively. By the end of 150- $\mu$ s phase, an electron is equilibrated between the two hemes, and the transfer is incomplete primarily because of the small difference in the redox potential between the two hemes of only 10 mV. But also, the redox potential of the  $Cu_B$  complex is lower than the  $E_m$  of heme  $a_3$  by 110 mV, what prevents an electron flow to the oxidized  $Cu_B$  and consequently a shift of the equilibrium heme  $a \rightleftharpoons$  heme  $a_3$  towards the binuclear center, see Figure 7. After a chemical proton enters the binuclear center for the chemical reduction of  $OH^-$  ligand (oxygen intermediate), the redox potential of the  $Cu_B$  complex compared with the  $E_m$  of heme  $a_3$  becomes yet by 135 mV more positive and the electron ultimately joins the proton at the  $Cu_B$  complex (Fig. 7).

The final step in the discussed pumping mechanism, after the reprotonation of the proton donor group, is a displacement of the preloaded proton from the H291 site to the  $P$ -side of the membrane due to electrostatic repulsion with the chemical proton in the BNC. The pumping step is exergonic by 250 meV.

We did not explore here all different possibilities, such as for example, the existence of the two proton-loading sites, whereas the PLS1 gets a proton when electron is distributed between the hemes, but a proton moves further to the PLS2 after the electron reaches the Cu<sub>B</sub> complex. We also did not study in all detail the coupling between the translocation of a chemical proton and the electron transfer within the binuclear center by considering the different populations of the reduced Fe<sub>a3</sub> and Cu<sub>B</sub>, as we did for the hemes. The reason is that there is no experimental data which indicate such equilibration of an electron in the binuclear center.

### 3.3. Redox potential of the metal centers

In a separate set of calculations, the redox potentials of the metal centers have been examined, as described in the Method section. The obtained results on the redox potential calculations of Cu<sub>A</sub>, heme *a*, heme *a*<sub>3</sub>, and Cu<sub>B</sub> center are presented for different steps of the reaction cycle in the O to E transition. The data shown in Table 1 represent the midpoint potential values at pH 7 ( $E_{m,7}$ ). The evaluated  $E_m$ s are obtained for the protonated state of E242 site in *down* conformation, **gH**, unless it is otherwise stated.

The intrinsic midpoint potential ( $E_{m,intr}$ ) of the Cu<sub>A</sub> center is modeled based on the experimental measurements provided in ref. [89], whereas the value of +258 mV is fitted to the  $E_m$  of Cu<sub>A</sub> center for the equilibrium state when an electron is shared with heme *a*. The calculated strength of coupling between the two redox sites is 40 mV and it shows the anti-cooperative effect, *i.e.* the interaction between Cu<sub>A</sub> and Fe<sub>a</sub> causes the  $E_m$  potential for one of these sites to be decreased by approximately 40 mV upon reduction of the other, in agreement with experiments. [90]

Upon reduction of the Cu<sub>A</sub> center, there is an uptake of 0.68 H<sup>+</sup> by the neighboring cluster of residues from the *P*-side of the membrane (*cf.* ref. [91]). Once the electron is completely transferred to heme *a*, the cluster around the Cu<sub>A</sub> site releases 0.39 H<sup>+</sup> back to the *P*-side, while the cluster around Fe<sub>a</sub> accepts 0.33 H<sup>+</sup>. Consistent with the experimental finding, [92] there is no net proton uptake from solution upon the ET between Cu<sub>A</sub> and heme *a*. After the PT from E242 to H291 occurs and the electron gets equilibrated between the two hemes, both protonatable clusters release the remaining fraction of proton back to the *P*-side. Taking the effects of protonation changes due to transient proton uptake from the *P*-side along with the electrostatic coupling between the Cu<sub>A</sub> and Fe<sub>a</sub> centers, the following potential ranges are obtained for Cu<sub>A</sub>, 227–282 mV and heme *a*, 240–294 mV, both in good agreement with the experiments [89, 90, 93] and the  $E_m$  of bovine cytochrome *c* in aqueous solution of 240–264 mV. [94–96] Specifically for the ROOO redox state of the enzyme the calculated  $E_m$  of Cu<sub>A</sub> and Fe<sub>a</sub> are 282 and 240 mV, respectively. Provided that there is no the PT reaction from E242 to the PLS in the OROO state, the corresponding  $E_m$ s are 227 and 294 mV. If the two redox centers share an electron as in the 10- $\mu$ s phase, their equilibrium  $E_m$ s are 258 and 270 mV, respectively. If both metal centers, Cu<sub>A</sub> and Fe<sub>a</sub>, are oxidized the corresponding  $E_m$ s are 267 and 278 mV.

Previous electrostatic calculations using the ZINDO charges gained  $E_m = 280$  mV for heme *a* in the redox state of the enzyme corresponding to the fully oxidized BNC. [34] In the present calculations, using the DFT charge set for the metal centers, the  $E_m$  of 270 mV is

obtained for  $\text{Fe}_a$  that is in the equilibrium with the  $\text{Cu}_A$  center, what is in good agreement with the experiments, [89, 90] indicating that the system has been modeled properly in respect to the protein and cofactor charges used in both calculations.

Upon the full oxidation of the  $\text{Cu}_A$  center with 40% of the reduced population remaining on  $\text{Fe}_a$ , as in phase 2, the  $E_m^{\text{Cu}_A}$  decreases from 258 to 238 mV. After the reoxidation of heme  $a$ , once the electron is completely transferred to the BNC as in phase 3, the  $E_m$  of  $\text{Cu}_A$  raises to value of 267 mV. It is noteworthy to point out that the obtained  $E_m$  variation of  $\text{Cu}_A$  and  $\text{Fe}_a$  at pH 7 is related in part to their experimental pH-dependence of  $-20$  mV/pH unit for both redox sites. [90, 91]

According to data presented in Table 1, the electron is in phase 1 equilibrated between  $\text{Cu}_A$  and  $\text{Fe}_a$ , since the  $E_m$  of  $\text{Fe}_{a3}$  and the  $\text{Cu}_B$  center are too low. We also estimated the  $E_m$  of the two hemes for the  $g^-$  state of E242, concluding that the ET from  $\text{Cu}_A$  to  $\text{Fe}_a$  is not feasible unless the Glu residue is in the  $gH$  state.

The transfer of the pumped proton from E242 to His291 increases the  $E_m$  of all three metal centers in subunit  $A$  by 70, 200 and 240 mV. At the same time the  $E_m$  of  $\text{Cu}_A$  site slightly decreases. However, the electron is by the end of phase 2 equilibrated between the two hemes, which possess the highest potential in the enzyme at this stage. The calculated  $E_m$  values at this point probably correspond to the high-asymptotic redox potentials observed in anaerobic redox titrations in equilibrium with protons. [90, 97, 98]

The entrance of the chemical proton in the BNC produces an additional increase in the redox potential of the metal centers buried in low dielectric of the membrane region. The  $\text{Cu}_B$  complex has by far the highest midpoint potential in phase 3 and consequently the electron flows therein to join the chemical proton. Interestingly, the  $E_m$  of  $\text{Fe}_a$  also elevates by an additional 40 mV comparing to its  $E_m$  value in previous phase, due to the coupling primarily with the oxidized state of  $\text{Fe}_{a3}$ . Namely, going from phase 2 to phase 3, the reduced population of  $\text{Fe}_{a3}$  vanishes from 60% to 0% (fully oxidized). On the other hand, the  $E_m$  of  $\text{Fe}_{a3}$  increases by only 5 mV due to the coupling with oxidized  $\text{Fe}_a$  and reduced  $\text{Cu}_B$  center. The oxidized state of  $\text{Fe}_a$  also causes an increase in redox potential of the  $\text{Cu}_A$  site. The computationally obtained redox potentials might here also correspond to static equilibrium measurements with the heme  $E_m$  values related to the high-potential heme transition. [98]

In the last phase, the expulsion of the pumped proton from H291 site (PLS) causes a significant drop in the redox potential of the  $\text{Cu}_B$  center, but also considerably affects the potential of both hemes, as well. Once again, the  $E_m$  changes are caused by the deprotonation of His site and a mutual redox coupling of metal centers. Comparing the calculated  $E_m$ s at this stage with the experimental results, one can note their resemblance to the steady-state redox potentials. [89]

## 4. Discussion of the model

### 4.1. Electronic and protonic phases

The sequence of the electronic and protonic phases and the corresponding transition steps examined are schematically shown in Figure 3. Here we summarize the obtained results, and comment on the relevant previous results.

**Phase 1** is associated with the transfer of the injected electron via the Cu<sub>A</sub> center to heme *a*. As established experimentally, only a fraction of electron  $\beta=0.70$  moves to heme *a* in this phase. [15] Previous studies also indicate that the coefficient  $\beta$  is 0.70–0.80 in bacterial [59] and  $\beta \sim 0.50$  in bovine oxidase. [55]

Previously, we explored the coupling between the protonation state of E242, and the redox state of heme *a*. Based on the classical electrostatics, we have found that upon the reduction of heme *a*, the  $pK_a$  value of E242 changes from 10.4 to 13.2, [34] making it sensitive to the redox changes of the system. The  $pK_a$ s of 9.6 and 11.8 are obtained by the present DFT/electrostatic calculations. Furthermore, we find that before the reprotonation of E242, the electron transfer from Cu<sub>A</sub> to heme *a* is not feasible. According to the calculations, the reduction of heme *a* is only possible if the E242 site is already in the protonated state. The strength of the coupling between the two groups is about 130 meV. This gives rise in the  $E_m$  of heme *a* of 130 mV at neutral pH, what is in good agreement with the experiments [99] (see also refs. therein).

At this stage, the calculated redox potential of heme *a* of 270 mV vs. the experimentally measured midpoint potential of Cu<sub>A</sub> (250 mV in *P. denitrificans* [98]) corresponds to an electron distribution of 0.68 vs. 0.32, respectively. The  $E_m$  of Cu<sub>A</sub> center in bovine CcO has been determined in experiments as 258 mV [89] and 270mV. [55] This gives the electron distribution ratio of 0.61/0.39 and 0.50/0.50 respectively, both in good agreement with the experimental value  $\beta \sim 0.50$  in bovine CcO. [55]

**Phase 2** is associated with the transfer of the pumped proton from the *N*-side of the membrane to an unknown PLS group (most likely H291 or PRA<sub>a3</sub>), via the D-channel and E242, and simultaneous redistribution of the injected electron between the redox centers of the enzyme. By the end of the phase 2, a fraction 0.6 of an electron is localized on the Fe<sub>a3</sub>/Cu<sub>B</sub> binuclear center and fraction 0.4 remains on heme *a*. It is assumed that first a proton gets translocated from Glu to the PLS in a concerted manner that would lower the energy barrier required for this reaction, [100] followed by the reprotonation of E242 site and a subsequent “equilibrated” electron transfer between the two hemes. Further support for the described sequence of events comes from the interpretation of the experimental results which indicate that the rate-limiting step in the reduction of the binuclear complex is a proton uptake with the time constant of 150  $\mu$ s. [15] The electron transfer by itself is very fast ( $\tau=1$  ns), [52, 101] due to the electron tunneling between the two hemes across a short distance.

The results of present study support this sequence of steps and predict an electron distribution ratio of 0.37 vs. 0.63 between Fe<sub>a</sub> and Fe<sub>a3</sub>, respectively. Obviously, the

midpoint potential of heme *a* is initially much higher than the  $E_m$  of heme  $a_3$  and accordingly in phase 1 the electron is distributed between  $\text{Cu}_A$  and  $\text{Fe}_a$  (Table 1). However, after the PT from E242 to the PLS occurs, the order in the redox potential changes due to a simultaneous increase in the  $E_m$  of both hemes. To be more specific, the  $E_m$  of heme *a* changes from 270 to 340 mV, while the  $E_m$  of heme  $a_3$  increases from 150 to 350 mV. Eventually, their midpoint potentials get separated by only 10–14 mV. Thus, the proton uptake by a protonatable group, presumably the proton-loading site, close to the  $\text{Fe}_{a3}/\text{Cu}_B$  center increases their redox potentials particularly stabilizing the reduced population of heme  $a_3$ , which in turn would further increase and stabilize the population of the protonated His (**PH**). The shift in the midpoint potentials of two hemes is consistent with the position of the PLS, which is H291 in our calculations. The evaluated  $E_m$ s are obtained by assuming the prior reprotonation of E242 site.

According to the present calculations, even a small fraction of an electron on heme  $a_3$  would be sufficient to assist a proton transfer from E242 to H291 site. The elevated occupancy of the **PH** state causes an increase in occupancy of the reduced heme  $a_3$ , and *vice versa*. Therefore, the electron and proton drive each other at this step to the more stable state where they tend to occupy  $\text{Fe}_{a3}$  and H291, respectively. However, the ET is still not complete.

**Phase 3** is the transfer of the chemical proton and the remaining fraction of the electron to the binuclear center (BNC). The transport of the chemical proton takes place by passing through the K-channel (in  $\text{O} \rightarrow \text{E}$ [59] and  $\text{E} \rightarrow \text{R}$  transitions[56]) or along the D-channel and E242 (in all other transitions) providing a proton to oxygen intermediates. This proton transfer is accompanied by the electron transfer joining each other at the  $\text{Cu}_B$  center. Figure 7 and discussion in “*Chemical proton vs. the internal ET in the BNC*” refer to the obtained results of calculations at this stage. We have demonstrated that in this phase of the  $\text{O} \rightarrow \text{E}$  transition, the electron wants to go all the way down to the  $\text{Cu}_B$  center, whereas the electron ultimately joins the chemical proton. In Figure 7, two options are displayed:

- a. The chemical proton enters the BNC increasing its redox potential and consequently driving the reduction of the  $\text{Cu}_B$  center. The calculated  $E_m$ s of  $\text{Cu}_A$ ,  $\text{Fe}_a$ ,  $\text{Fe}_{a3}$  and  $\text{Cu}_B$  center at this stage are 267, 380, 355 and 490 mV, respectively.
- b. Energetically less favorable pathway, where first the  $\text{Cu}_B$  center gets reduced, followed by the entrance of a chemical proton in the BNC. Reduction of the  $\text{Cu}_B$  center is here uphill in energy by 110 meV and this is most likely the reason why in phase 2 the electron gets stacked (equilibrated) between the hemes, instead of proceeding further to the  $\text{Cu}_B$  center, see Table 1.

Among the other options, there is also possibility (similar to phase 2) that the increased population of the protonated  $\text{OH}^-$  ligand (*i.e.*  $\text{H}_2\text{O}$ ) gives rise to the reduced population of the  $\text{Cu}_B$  center, and *vice versa*. Therefore, the electron and proton may drive each other at this step to the more stable intermediate state of the enzyme, where they form the reduced  $\text{Cu}_B$  and  $\text{H}_2\text{O}$  in the BNC. Moreover, it could also mean that the PT and the ET reactions may proceed simultaneously at this phase.

**Phase 4** consists of the reprotonation of the proton donor site K319 (in the K-channel) or E242 (through the D-channel) depending on the transition of the catalytic cycle, and a subsequent ejection of the pumped proton from the preloaded pumping site (in phase 2) due to electrostatic repulsion with the chemical proton in the BNC. [24] It should be mentioned that the electrometric measurements [15] cannot distinguish which one takes place first, a release of the pumped proton or the reprotonation of the proton donor group. Our calculations support a scenario, where the reprotonation of E242 (or K319) occurs before the ejection of the pumped proton from the PLS, additionally increasing the electrostatic repulsion with the preloaded pumping site. [34] As a result, the reprotonation of the proton donor site may control proton release from the proton loading site. The final pumping step is then exergonic by 250 meV based on the present calculations.

#### 4.2. Identity of PLS

One of the key features of the considered model is the existence of the proton-loading site (PLS) located above the heme porphyrins. The identity of PLS have been recently examined in the theoretical kinetic study [87] showing that only a few sites may play the role – propionates of heme  $a_3$ , H291, Trp126, Trp236, R438 and R439, all at roughly the same dielectric depth. The identity of the PLS is still not known, but the main candidates are H291, [24, 25] PRA $a_3$  [36, 52] and PRD $a_3$ . [17, 51, 102, 103] One model proposed earlier suggests that the pumped proton might be kept distributed between the propionates of heme  $a_3$ , H291 and water molecule W1 (Fig. 2), including a possible formation of the Zundel-cation or a larger cluster that involves a water W1 and some of the neighboring water molecules in the hydrophilic cavity above the Fe $a_3$ /Cu $B$  complex. [24]

#### 4.3. The nature of the “kinetic gating”

The key question that still remains unanswered is why the conducting rate is greater for the translocation of a proton from E242 to the PLS, rather than to the BNC. In other words, why does the transfer of the pumped proton occur before the translocation of the chemical proton?

It was initially assumed, [24, 25] but recently experimentally supported [15] (and computationally examined in MD studies [35, 104]) that the water network to the PLS has greater proton-conducting rate than the one to the BNC, despite the fact that OH<sup>-</sup> ligand in the BNC possesses greater proton affinity than the PLS itself. [24, 27] Therefore, here it is not the thermodynamic but rather kinetic criterion that decides in which direction a proton should go. As a result, this leads to the creation of a *meta*-stable intermediate state with a preloaded proton at PLS, from which the pumped proton is later ejected to the *P*-side of the membrane.[25, 48]

Kinetic gating is presumably based on the intrinsic properties of the hydrophobic cavity including the internal water molecules, rotational isomerization of E242 side-chain, the redox properties of the metal centers and the redox-dependent affinity switches. The redox-dependent affinity switches are related to the protonatable groups which may considerably change their  $pK_a$ s and protonation state upon the redox changes of the metal centers. Upon the ET to heme  $a$ , the rotational isomerization of the E242 side-chain is greatly facilitated,

but also the mobile properties of the water molecules within the hydrophobic cavity get changed. This includes the water dipole reorientation, [105] the insertion of more water molecules in the given PT pathway, [51] but also the ability of the proton-conducting network to the PLS to be formed first and stay stable longer during the course of the transition than the competing network to the BNC. [30] Moreover, structurally and energetically it is easier to form and to maintain longer almost a linear H-bonded chain, as the one to the PLS, than a bent water molecules chain, as required for supplying a chemical proton to the BNC. It seems that the distribution and the mobility of water molecules, both within the D-channel and in the hydrophobic catalytic cavity, play a significant role in determining the proton-conducting rates along the different pathways. [10, 102]

An additional but related question refers to the number of water molecules in the hydrophobic catalytic cavity. It seems that the cavity can accommodate up to 7 water molecules, but most likely in the steady-state of the working enzyme, there are only 4–5 water molecules. [28, 35, 37, 51, 106, 107] From MD studies, [51, 105–107] it appears that only two water molecules may be sufficient to provide the PT pathway to the PLS, while three of them are probably required to form a pathway to the BNC. Due to their increased mobility, some of water molecules can interexchange or jump between the two pathways, forming one or other water chain, *i.e.* to open or close pathways separately. Finally, the newly formed water molecule released from the BNC may also interfere with the water molecule networks in the hydrophobic cavity. This raises a question of the mechanistic role of the R438/PRD<sub>3</sub> salt bridge which opens to release the excessive water molecules [30] and closes to prevent a proton leakage, [34, 36, 48, 50–52] and its function in the redirection of pumped protons. [24, 29]

Thus, there are several possible mechanisms, which all likely to work together, that can justify the kinetic gating mechanism. [25] However, a robust explanation why one proton-conducting network is more efficient than the other, despite a fact that formation of a water molecule in the BNC is thermodynamically more favorable<sup>§</sup> than protonation of the PLS, [24, 47, 49] is still missing.

The solution is most likely related to the internal water molecules inside of the D-channel and hydrophobic cavity. Any mutation [10, 32, 108] disturbing the water networks existing in wild-type CcO may lead to changes of the rates along the proton-conducting pathways below and above the E242 site. It appears that the *fast* water network to the PLS is more sensitive to these changes and requires a more precise timing than the *slower* one to the BNC.

We have discussed here only the kinetic gating situation, which is the most relevant for the present study. Furthermore, the proton paths, transition states and the corresponding energy barriers have not been studied. However, several models have been proposed lately, discussing other aspects, alternative mechanisms, different gating situations and kinetic

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<sup>§</sup>Although for the final conclusion a more elaborated study such as a large scale QM calculations, which would include all relevant residues around the binuclear center, is required.



energy barriers, *e.g.* refs [36, 51, 52, 109]. Also, a recent computational study [110] has cast some doubts on the E242 “valve model” and the water-wire gating via water reorientation.

## 5. Conclusion

According to new calculations presented here, the proton translocation from E242 to H291 upon the reduction of heme *a* is an endergonic process, which requires to be either directly coupled to an exergonic process (such as an ET) or to a series of the consecutive energetically favorable steps, assuming at the same time that the corresponding activation barrier of the transition state is not too high. The calculations show that the endergonicity of the pumped proton transport can be lessened by an initially generated small population of the reduced heme *a*<sub>3</sub>. Thus, even a small fraction of an electron on heme *a*<sub>3</sub> would be sufficient in facilitating the PT and stabilizing the protonated state of the PLS. The increased occupancy of the His protonated state (**PH**) would in turn elevate the occupancy of the reduced heme *a*<sub>3</sub>, and *vice versa*. Therefore, the electron and proton might drive each other at this stage, as in a typical coupled electron and proton transfer reaction, [88] to the more stable intermediate state where they occupy Fe<sub>a3</sub> and H291, respectively. However, by the end of the 150- $\mu$ s phase, the **PH** state exhibits a 100% occupancy, but the ET is not complete since 40% of the electron still remains on heme *a*. At this point, the transfer **GH**/ $P^- \rightarrow G^-$ /**PH** is favorable by 2.6 pK-units (155 meV), what is sufficient to achieve a complete PT between the two protonatable sites. The difference in the midpoint potential between Fe<sub>a</sub> and Fe<sub>a3</sub> of only 10 mV along with the low  $E_m$  of the Cu<sub>B</sub> center cause the equilibration of the injected electron between two hemes.

The transfer of the remaining 40% of electron from heme *a* to the binuclear center is accompanied by the chemical proton transfer to the BNC. During the redistribution of the injected electron in the O $\rightarrow$ E transition, the fully reduced state of heme *a*<sub>3</sub> is perhaps just a short-living transient state formed only upon the entrance of a chemical proton in the BNC. However after the chemical proton transfer occurs, the electrostatic and thermodynamic properties of the active site significantly change, thereby affecting additionally the pK<sub>a</sub>s of the key protonatable sites. Under these circumstances the redox potential of the Cu<sub>B</sub> center becomes the highest in the system and the electron directly goes to the Cu<sub>B</sub> center joining the chemical proton. Consequently, the pK<sub>a</sub> of H291 decreases due to electrostatic repulsion with the chemical proton, thereby giving rise to the pumping event.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

<b>CcO</b>	cytochrome <i>c</i> oxidase
<b>DFT</b>	density functional theory
<b>QM</b>	quantum-mechanical
<b>PBE</b>	<i>Poisson-Boltzmann</i> Equation
<b>SCRF</b>	self-consistent reaction field
<b><math>E_m</math></b>	midpoint potential
<b>ATP</b>	adenosine-5'-triphosphate
<b>BNC</b>	binuclear center
<b>PLS</b>	proton-loading site
<b>PRA<sub>3</sub> and PRD<sub>3</sub></b>	propionates A and D of heme <i>a</i> <sub>3</sub>
<b>ET</b>	electron transfer
<b>PT</b>	proton transfer
<b><i>N</i>-side</b>	negatively charged inner side of the membrane
<b><i>P</i>-side</b>	positively charged outer side of the membrane
<b>ROOO and OROO</b>	the redox state of Cu <sub>A</sub> , Fe <sub>a</sub> , Fe <sub>a3</sub> and Cu <sub>B</sub> center with R meaning reduced and O meaning oxidized. Bovine notation is used throughout the paper, unless it is otherwise stated

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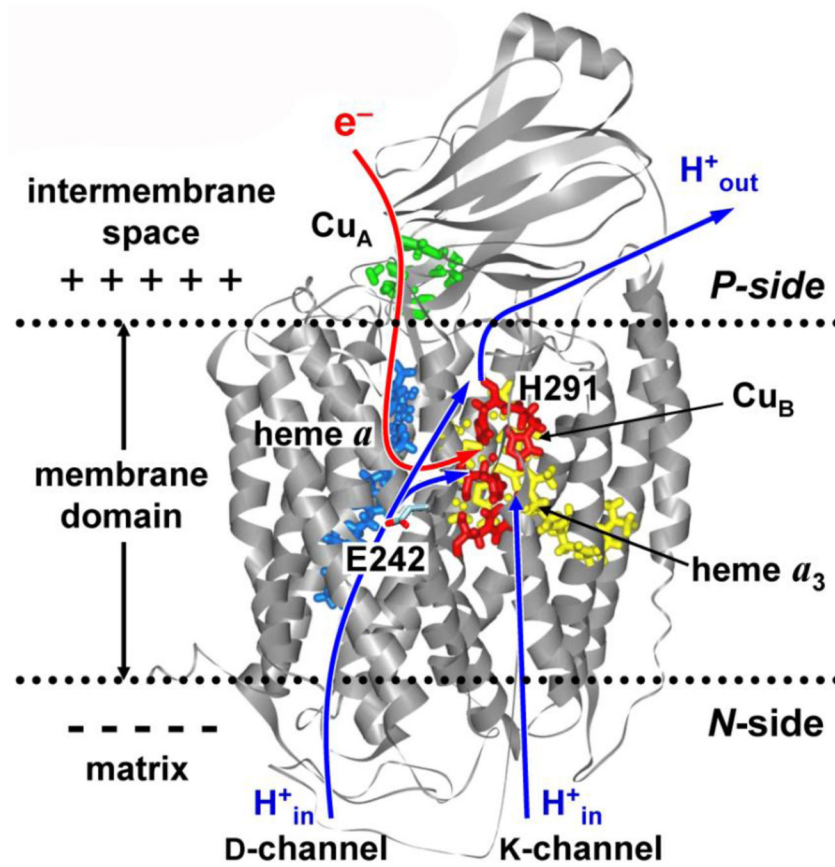
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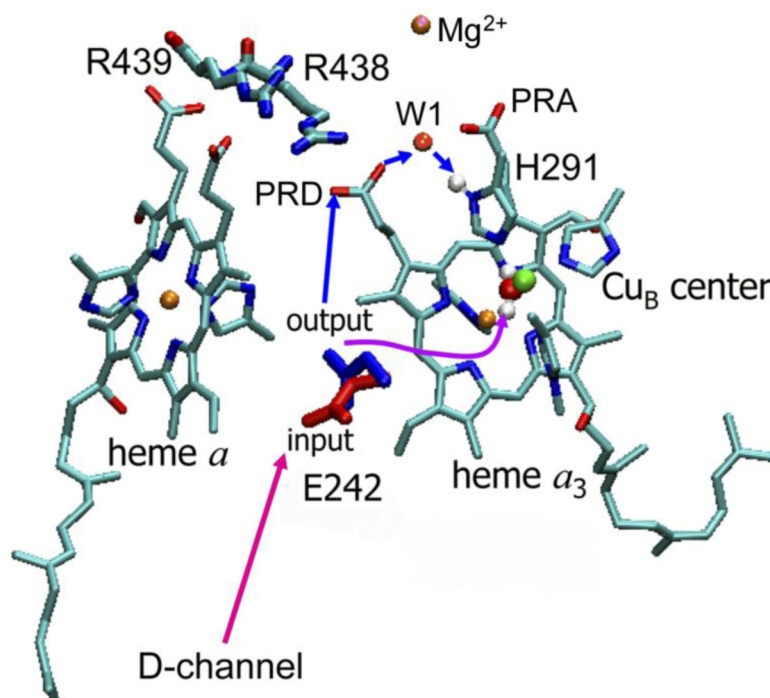
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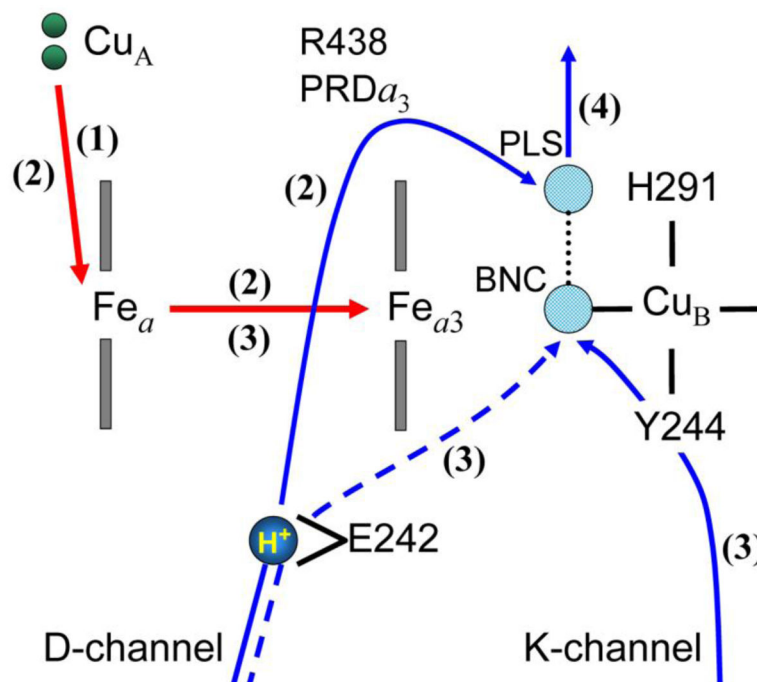




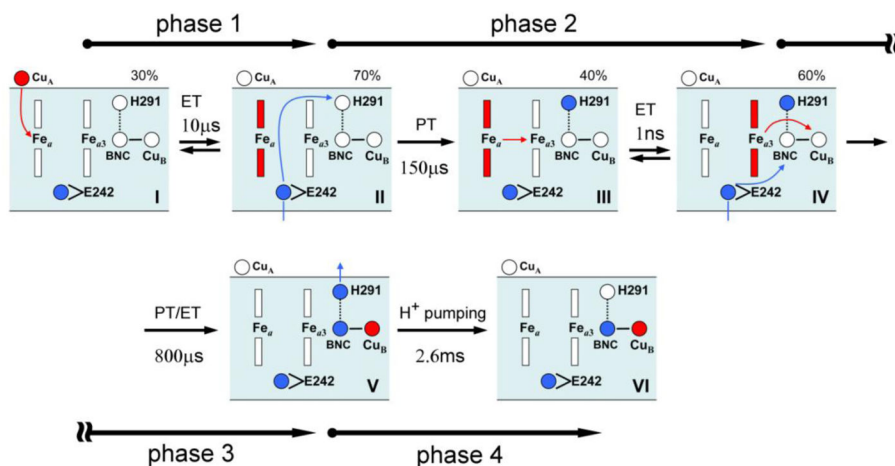
**Figure 1.** Structure of the core catalytic subunits A and B from bovine cytochrome c oxidase embedded in the inner mitochondrial membrane. [7] Two entrance proton-conducting channels providing pumped and chemical protons are also shown.



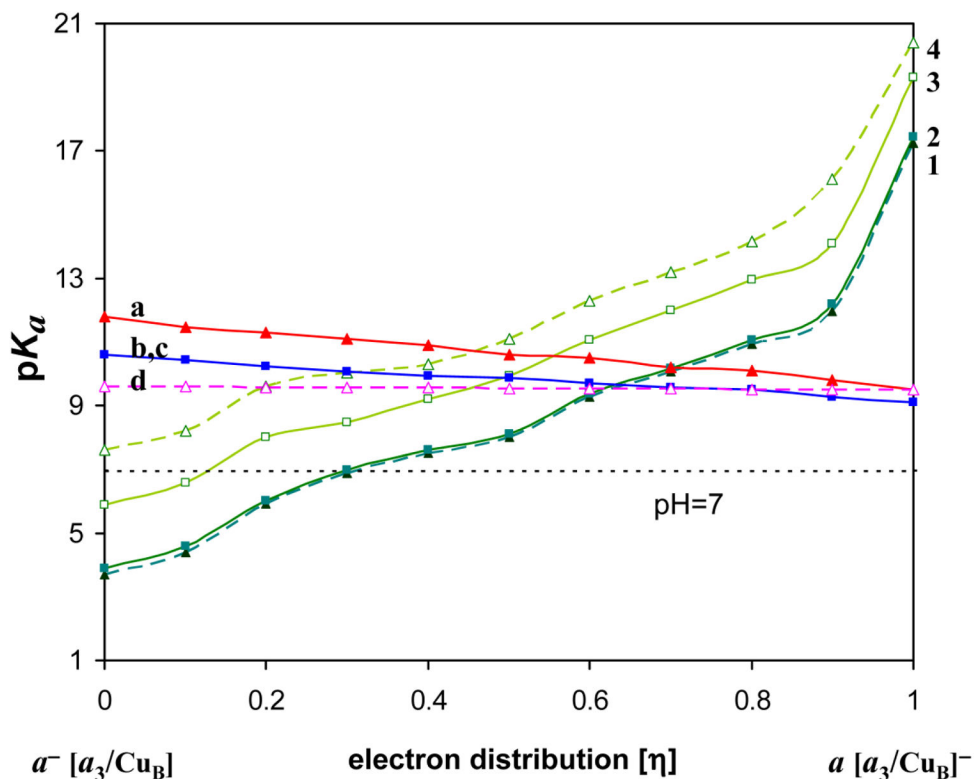
**Figure 2.** The gating through conformational change of the E242 side-chain. The coordinates of *red* conformation (pointing downwards) are taken from the X-ray structure of bovine heart cytochrome *c* oxidase [7] and represent a proton loading conformation of E242 residue that is in position to closely interact with the D-channel residues and water molecule network. The *blue* conformation is obtained by the quantum-chemical optimization [34] and presumably represents the proton releasing conformation of residue E242. The internal water molecules of the hydrophobic cavity (not shown for clarity) may provide a proton-conducting network to the PLS (via PRD<sub>a3</sub>/R438 salt bridge and W1 water molecule), or to the BNC for the chemical reduction of oxygen intermediates. The proton-conducting rate of the former network is presumably faster than the rate of the latter. The protons on a H<sub>2</sub>O ligated in the BNC and H $\delta$ 1 proton on H291 residue, which may work as the PLS, are explicitly shown.



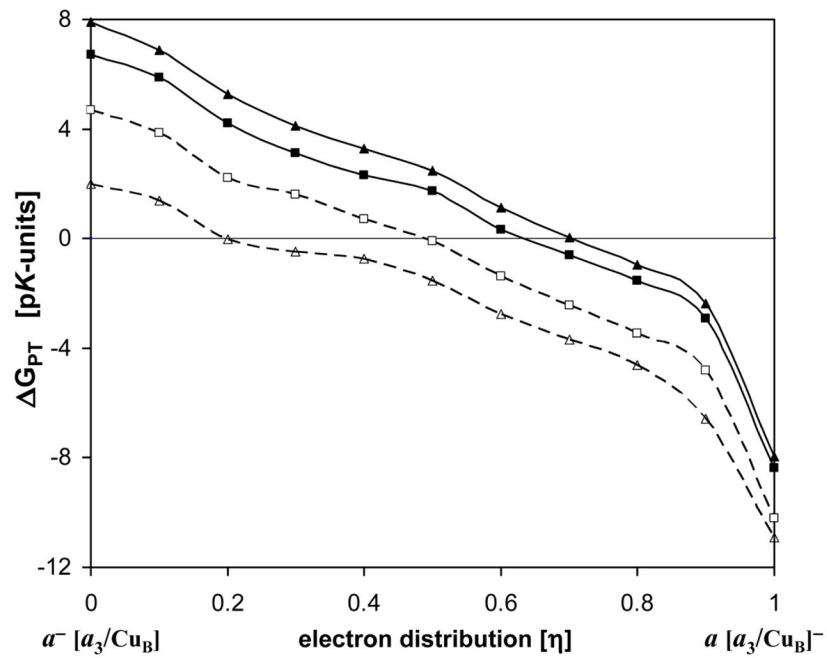
**Figure 3.** Schematic representation of the electrometric results. The numbers correspond to the order of the kinetic phases observed in the experiment. [15] The BNC is OH<sup>-</sup> ligand of the binuclear catalytic center; the PLS is the proton-loading site of the pump, presumably H291. The donor of the pumped protons – E242 is shown in the two conformations, *down* and *up*, as discussed in the paper. For the O→E transition, the third phase apparently corresponds to the transfer of a chemical proton along the K-channel; in other transitions, the same proton transfer may take place along the D-channel.



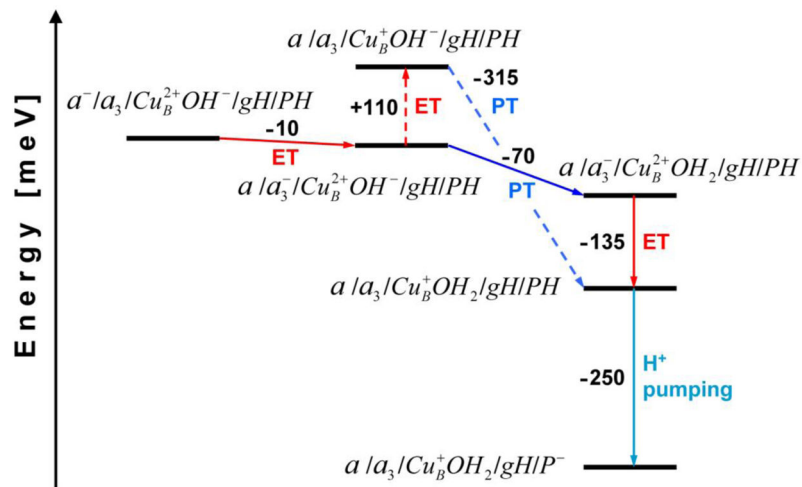
**Figure 4.** Schematics of the studied pumping model based on the kinetic measurements and interpretation of the experiments for the O → E transition. [15] The four redox-active centers (Cu<sub>A</sub>, Fe<sub>a</sub>, Fe<sub>a3</sub>, Cu<sub>B</sub>) and three key protonatable sites (E242, H291, OH<sup>-</sup> ligand in the BNC) of the proposed model are schematically shown. The reduced and oxidized metal centers are shown in red and white color, while the protonated and deprotonated sites are displayed in blue and white, respectively. The red arrows represent the electron transfer (ET) steps, as the blue arrows represent the proton translocations (PT).



**Figure 5.** The  $pK_a$ s of E242 and H291 for the different fraction of an electron on heme  $a$  and heme  $a_3$  during the electron transfer. The order of the Glu  $pK_a$ -curves from the top: a) Glu “up” protonated-  $\mathbf{GH}$ , b) Glu “down” protonated-  $\mathbf{gH}$ , c) Glu “down” deprotonated-  $\mathbf{g}^-$ , d) Glu “up” deprotonated-  $\mathbf{G}^-$ . The His  $pK_a$ -curves from the bottom: 1) His with  $\mathbf{GH}$ , 2) His with  $\mathbf{gH}$ , 3) His with  $\mathbf{g}^-$ , 4) His with  $\mathbf{G}^-$ . The pairs of curves: 1 and a, 2 and b, 3 and c, and, 4 and d, correspond to each other.



**Figure 6.** The free energy of proton translocation from E242 to H291 in pK-units. The  $\Delta G_{PT}$  curves are related to the corresponding  $pK_a$  curves shown in Fig. 5. The curves from top to bottom correspond to the following initial conditions of the protein: **GH**, **gH**, **g<sup>-</sup>**, and **G<sup>-</sup>**. See Fig. 5 caption, and text for further details.



**Figure 7.** The energy diagram illustrating the transfer of a chemical proton and an electron transfer within the binuclear center at pH 7. The red, blue and turquoise lines refer to electron transfer (ET), proton transfer (PT), and proton pumping step, respectively. The dashed-line shows a thermodynamically less favorable path. The redox/protonation state changes of CcO are designated in the following order: heme *a*/heme *a*<sub>3</sub>/Cu<sub>B</sub>-oxygen ligand/E242/H291.

The calculated redox midpoint potentials ( $E_{m,7}$  at pH 7; in mV) of the redox-active cofactors for the different steps in the reaction cycle during the O to E transition in bovine CcO

**Table 1**

Calculation/Experiment	Transfer step	Redox-active centers			
		Cu <sub>A</sub>	Fe <sub>a</sub>	Fe <sub>a3</sub>	Cu <sub>B</sub>
phase 1:	[ET]	258 <sup>a</sup>	270	150	0
		↔	140 <sup>b</sup>	17 <sup>[b]</sup>	
phase 2:	[PT <sub>pump</sub> /ET]	238	340	↔	240
phase 3:	[PT <sub>chem</sub> /ET]	267	380	355	→ 490
phase 4:	[pumping]	267	278	120	240
Exp.1: <sup>c</sup>	pH 7.0	212–258	254–281	n.a. <sup>g</sup>	227–272
	pH 7.0	224	267	n.a.	230
Exp.2: <sup>d</sup>	pH 7.0	230–265	267–359	300–360	>400
Exp.3: <sup>e</sup>	pH 7.0	270	342	330	n.a.
Exp.4: <sup>f</sup>	pH 6.5	250	391 (238)	383 (405)	412
	pH 8.0	250	359 (222)	350 (372)	366

<sup>a</sup>The  $E_m$  of the Cu<sub>A</sub> center is adopted from ref. [89], see text for details.

<sup>b</sup>The calculated  $E_{ms}$  of heme *a* and heme *a3* in phase 1, if residue E242 is in its deprotonated state.

<sup>c</sup>Steady-state redox potential ranges of the metal centers in bovine CcO, taken from ref. [89], see also refs therein.

<sup>d</sup>The range of  $E_m$  values obtained from the equilibrium experiments performed in the anaerobic conditions or in the presence of an inhibitor (CO, CN<sup>-</sup>, NO, etc.) in the BNC of bovine CcO, summarized from refs. [90, 93, 95, 97].

<sup>e</sup>The  $E_{ms}$  of the metal centers in bovine CcO obtained by fitting the experimental data to theoretical model. [55]

<sup>f</sup>Experimental  $E_{ms}$  of the redox centers, at pH 6.5 and 8.0, in CcO from *P. denitrificans*. For heme *a* and heme *a3* are listed their high-asymptotic redox potentials. The numbers in brackets refer to the low-potential and high-potential heme transitions, see ref. [98] for details.

<sup>g</sup>Data are not available.