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### Molecular Dynamics Simulation of Water in Cytochrome *c* Oxidase Reveals Two Water Exit Pathways and the Mechanism of Transport

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### Abstract

We have examined the network of connected internal cavities in cytochrome *c* oxidase along which water produced at the catalytic center is removed from the enzyme. Using combination of structural analysis, molecular dynamics simulations, and free energy calculations we have identified two exit pathways that connect the Mg<sup>2+</sup> ion cavity to the outside of the enzyme. Each pathway has a well-defined bottleneck, which determines the overall rate of water traffic along the exit pathway, and a specific cooperative mechanism of passing it. One of the pathways is going via Arg 438/439 (in bovine numbering) toward the Cu<sub>A</sub> center, approaching closely its His204<sub>B</sub> ligand and Lys171<sub>B</sub> residue; and the other is going toward Asp364 and Thr294. Comparison of the pathways among different *aa*<sub>3</sub>-type enzymes shows that they are well conserved. Possible connections of the finding to redox-coupled proton pumping mechanism are discussed. We propose specific mutations near the bottlenecks of the exit pathways that can test some of our hypotheses.

### 1. Introduction

Cytochrome *c* oxidase (CcO) is the terminal enzyme of the respiratory electron transport chain, which pumps protons across the inner mitochondrial or bacterial plasma membrane using energy derived from the reduction of  $O_2$  to  $H_2O$  [1–6]. Water molecules continuously generated (at the rate of the order of 1000 s<sup>-1</sup> under 1:2 stoichiometry with the pumped protons) at the binuclear catalytic center (BNC) inside of the enzyme must escape from there at an appropriate rate to ensure the enzyme's high turnover. An EPR study [7] finds that most, if not all, of the generated water molecules upon exit pass through the cavity right above heme  $a_3$ , which contains the Mg<sup>2+</sup> ion and many experimentally observable water molecules [8, 9]; however, neither the details of the exit pathways nor the mechanism of transport along them have been identified.

Whether Mg-cavity is already "outside" of the enzyme or not has been an issue of debate [10–14]. The notion that Mg-cavity is virtually outside obviously creates a problem for the proton pumping mechanism. Namely, if water molecules could move freely between that

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region and the outside of the protein, there would be nothing to prevent the backflow of protons from the P-side of the membrane to the Proton-Loading Site (PLS), which is most likely located in the region just above heme  $a_3$  and exposed to Mg-cavity [15]. If there were a free connection to the P-side, then when the PLS is set to accept a proton, a leaked proton in the Mg<sup>2+</sup> region could occupy the PLS before the pumped proton, which would prevent the proton pumping. Although the proton backflow from Mg-cavity to the N-side through the D-channel could be blocked by a proposed Glu242 "valve" [16, 17] (all numbering is for *bovine* enzyme unless otherwise indicated), additional blockade for proton backflow between the P-side and Mg-cavity is still necessary for CcO to function as an effective proton pump. In order to have such a blockade, there should be something special about the flow of water molecules between Mg-cavity and the outside that prevents an easy access of the proton son the P-side to the PLS. Since water exit pathways could also serve as the proton exit pathways [18], their identification is crucial for a complete understanding of the proton pumping mechanism of the enzyme.

In this paper we investigate the possible water exit pathways in CcO, and examine the mechanism of water traffic along them. The analysis is based on combination of geometrical analysis of internal cavities in the enzyme and the network of their connectivities, molecular dynamics (MD) simulations of water traffic, and free energy calculations of energy profiles along the putative exit pathways. We find that there are only two well-defined plausible exits from Mg-cavity; each exit path has a bottleneck, which opens up a possibility of specific mutations to check the proposed hypotheses. The analysis is extended to one mammalian and three bacterial enzymes.

The structure of the paper is as follows. First, we describe the methodology we have used to identify and verify the exit paths. We then present the MD and free energy results to show the plausibility of the proposed pathways and discuss the mechanism of water traffic along them. A comparison of the exit pathways among different species is made next. We then discuss implications of our finding for the mechanism of CcO, and an unexpected possible connection of our findings to the uncoupling mutations in the D-channel [19].

### 2. Theory and Methodology

### 2.1 Internal Cavity Detection and Visualization

The structure of the first two subunits of bovine CcO by Tsukihara *et al.* [8] (PDB code 1V55, all redox centers reduced), is used for the analysis. The definitions of the internal cavities and the methods for their detection are the same as described previously [15]. In short, we determine the space available for water molecules using hard-sphere model; the radii set for protein atoms is parameterized on the basis of experimentally observed water molecules in the x-ray structures (1V54 and 1V55). After obtaining the coordinates of the centers of cavity-defining spheres, they are visualized by VMD software [20] with MSMS algorithm [21]. (The cavities shown in the figures are reduced slightly in volume for better presentation of internal topology of the cavities.) The external surface of the cavities generated with this scheme are equivalent to the reverse of Connolly surface [22] of internal protein atoms.

### 2.2 Molecular Dynamics

The reference protein structure is the same as that for internal cavities detection. The partial charges of the redox centers and their surrounding residues are taken from the work of Johansson *et al.* [23]. The bonding parameters for non-standard residues such as hemes and copper complexes are the same as in our previous work [24]. For other standard residues, the default values from AMBER-03 force field [25] are used. The protonation states of the titratable residues are determined by the electrostatic continuum method [26], and the resulting assignments are the same as in the ref. [24] with the exception of Glu242 being deprotonated (as explained below). Since the R state of BNC is the most relevant state for water exit process, the MD system is modeled as such. Namely, heme  $a_3$  and Cu<sub>B</sub> are reduced, and Cu<sub>A</sub> and heme *a* are oxidized. Glu242 is initially faced "down" in order to comply with the state that is observed in the x-ray structures [8, 9]. In the deprotonated form, Glu242 is stabilized in the "down" conformation, as observed in a recent MD study [16].

For the initial configuration, water molecules observed in the x-ray structure (1V55) are used. The exceptions are for the number of water molecules in certain cavities such as the hydrophobic cavity near the BNC (with four water molecules) and Mg-cavity (with various numbers as explained in the results section). No explicit membrane is incorporated in the MD simulations, but all C<sub>a</sub> atoms are restrained by a harmonic potential with force constant of 200 kJ/mol·nm<sup>2</sup> (allowing ~3Å of standard deviation in fluctuation) in order to avoid severe deviation from the experimental structure. The exceptions are for the residues that have noticeably different geometry between two redox states (such as Asp50, Asp51, Leu381, and Leu382), the prolines that compose the proposed pathways (Pro130, 131, 228, and 437), and one residue before and after them. Heme Fe and Cu<sub>B</sub> atoms are restrained more strongly (K = 8000 kJ/mol·nm<sup>2</sup>) in order to retain the core part of the enzyme. The other metals (Cu<sub>A</sub>, Mg<sup>2+</sup>, Na<sup>+</sup>) are also restrained (K = 2000 kJ/mol·nm<sup>2</sup>).

In some simulations, water molecules are "confined" within a certain spherical region in order to keep the system in a consistent designated state. Such a confinement is achieved by a restraint harmonic potential (K =  $8000 \text{ kJ/mol} \cdot \text{nm}^2$ ) centered at a reference point in space and acting on the oxygen atom of water, and applies only when the deviation from the reference point is larger than certain threshold value. (This restraint is appropriately set so it applies only in rare occasions when the water molecule is close to escaping from a certain compartment in the cavity.)

MD simulations are performed with version 3.3.1 of the GROMACS simulation package [27] (compiled in single-precision mode) with ported ffamber03 force field [28]. The system is solvated with about 16000 TIP3P [29] water molecules. A periodic boundary condition of a rectangular unit cell with initial minimum margin of 5.5Å between the exterior of the protein and the border is applied. The Lennard-Jones interactions smoothly switched off between 8 and 10Å, and Coulomb interactions are calculated using the smooth particle-mesh Ewald method [30, 31] with real-space cutoff of 10Å. Simulations in NPT ensemble are achieved by Nosé-Hoover thermostats [32] at 310K with coupling constants of 1 ps (with separate coupling for the protein and the solvent) and Parrinello-Rahman (isotropic) barostat

[33] at 1 bar with coupling constant of 2 ps. Bonds involving hydrogen are constrained with SETTLE [34] and LINCS [35] for the water molecules and the protein respectively. An integration time-step of 2 fs is used. After the hydrogen atoms are attached with GROMACS, 5000 steps of steep-descent energy-minimization are employed; this is followed by series of gradual steps of equilibrations with total of 2 ns; 10 ns of production phase is sampled for each trajectory. The coordinates of the whole system are recorded every 0.5 ps. The MD simulations are used both to trace possible water traffic in the 10 ns time interval, and for the averaging in free energy calculations.

### 2.3 Potential of Mean Force

The potential of mean force (PMF)  $W(\xi)$  along some "reaction coordinate"  $\xi$ , is related to the distribution function  $\rho(\xi)$  as

$$W(\xi) = W(\xi^*) - k_B T \ln \left[ \frac{\rho(\xi)}{\rho(\xi^*)} \right]$$
(1)

where  $\xi^*$  is an arbitrary reference point. For adequate sampling of water distribution along the pathways, the umbrella sampling method of Taurrie and Valleau [36] is used. We introduce the harmonic biasing potentials of the form

$$w_i(\xi) = \frac{1}{2}K(\xi - \xi_i)^2$$
 (2)

to constrain the probe water molecule near  $\xi_i$  in order to enhance its sampling in that window. For each window potential  $w_i$ , MD simulations are performed to obtain the biased water distribution. To combine and unbias these distributions, weighted histogram analysis method (WHAM) [37] is employed.

The above method is used to study energy profile along a given section of a putative exit pathway; the coordinate  $\xi$  is taken approximately parallel to the direction of a pathway, which is illustrated by the arrows in the corresponding figures (Fig 2a, 4a, and 5a). (The details for each pathway are described in the results section.) Umbrella sampling on each window is done by GROMACS with modifications to allow one-dimensional harmonic potential restraint along any given direction. A window size of  $\xi = 0.6$  Å and force constant of K = 5600 kJ/mol·nm<sup>2</sup> are used for exits-R and T; for exit-P,  $\xi = 0.5$  Å and K = 8000 kJ/mol·nm<sup>2</sup> are used. (The force constants are chosen in such a way that one standard deviation in the distribution overlaps with the center of the adjacent windows under a flat potential surface.) In PMF calculations, the statistics for each window is collected from a 2.5 ns MD trajectory for every time-step (2 fs), which is preceded by 2.5 ns of equilibration. To handle modified GROMACS output files, WHAM is performed by our in-house program.

The transfer rates estimated from the PMFs profiles are obtained by the transition state theory,

$$k = \kappa \frac{k_B T}{h} * \exp\left(\frac{-\Delta G^*}{k_B T}\right) \quad (3)$$

where  $G^*$  is the free energy barrier height obtained from the PMFs and  $\kappa$  is the transmission coefficient which is set to 1.0 (classical upper limit). Since our analysis here is only semi-quantitative, we did not pursue more sophisticated methods [38–42]; in principle, the transmission coefficient which depends on the rate of diffusion along the channel can be obtained more accurately.

### 3. Results

### 3.1 Internal Cavities and the Network of Their Connectivities

Although there are programs that are intended to detect and visualize internal cavities in proteins [43, 44], they are not suitable for the description of narrow and intricately-shaped internal cavities of CcO because they are grid-based and use standard radii set (which we find to be too large for CcO). Our own in-house built program [15] instead uses random points and smaller radii set optimized for CcO; with this program we are able to detect virtually all of its internal cavities relevant to the functions of the enzyme.

Fig. 1a shows all the internal cavities that are relevant to water exit process. The arrows indicate most likely paths between the cavities suggested by MD and PMF simulations or geometrical analysis. The cavity right above Glu242, shown in green, is the hydrophobic BNC-cavity, which is believed to contain water molecules to form hydrogen bond chains between Glu242 and propionate-d of heme  $a_3$  or the BNC [14, 45, 46]. Right above BNC-cavity and heme  $a_3$ , there is another cavity (shown in silver in Fig. 1a), which contains numerous water molecules that are observed in the x-ray [8, 9]. It also contains a Mg<sup>2+</sup> ion ligated by His368, Asp369, Glu198<sub>B</sub><sup>1</sup>, and by three water molecules. This cavity will be called Mg-cavity.

Stemming out from the center of Mg-cavity, there are three branches extending toward three other internal cavities or channels (shown on Fig. 1a). Those cavities are directly accessible from (or have direct exit to) the surface of the enzyme on the P-side and can be considered as possible terminals of water exit pathways. Using the names of the important residues along the respective pathways, they will be called channel-R (after Arg438 and 439), channel-T (after Thr294), and channel-P (after Pro130, 131, and 228) throughout the paper. Similarly, the bottlenecks that connect each branch of Mg-cavity to their nearby external channel will be called pass-R, T, P respectively. (The whole exit pathways from Mg-cavity to the P-side are called exit-R, T, P respectively.)

Although there are many other small internal cavities in the upper half of CcO, the result of networking analysis based on geometry indicates that only those three described above can connect Mg-cavity to the outside without a gap greater than 4.0Å<sup>2</sup>. Therefore, we will focus our discussion only on the three exit pathways shown in Fig. 1a as exit-R, exit-T, and exit-P.

<sup>&</sup>lt;sup>1</sup>Subscript B is used to indicate the residue number on the subunit B (or II). The residues on the other subunits are indicated in the same manner, except for the residues of the subunit A (or I), which are listed without subscripts.

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The overall schematics of the exit pathways are shown in Fig. 1b. More details on how each of the pathways is constructed are given below.

### 3.2 Examining Water Exit Pathways with MD Simulations and Free Energy Calculations

This section presents the analysis of the putative pathways identified in the previous section using MD and free energy calculations.

In order to examine whether water molecules can escape along a given pathway on a short timescale (here 10ns) we performed MD simulations and monitored the behavior of water. (For previous MD studies of water in Mg-cavity, see refs. [47–50]. None of them was long enough, however, to monitor water exit.) In order to check if the exit rate has any dependency on the number of water molecules in Mg-cavity ( $n_{Mg}$ ), we vary it from 19 to 22. (In the crystal structure 1V55,  $n_{Mg}$  is 20.)

To explore the timescales not accessible to MD simulation (i.e. well beyond 10ns), the free energy profiles are calculated in the region of the bottleneck of each exit path (, the details of which are shown in Fig. 2a, 4a, and 5a).

**Exit-R**—Fig. 2a shows a detailed picture of pass-R. The schematics of this exit pathway are also shown in Fig. 1b. The branch of Mg-cavity along this pathway is going through space between Arg438 and Arg439. It ends at a small oval pocket (area B in Fig. 2a) near the carbonyl group of Arg438, which can fit at most two water molecules, although only one is observed in the crystal structures. The water molecule in this pocket (w1, Fig. 2a) is also within hydrogen bond distance from Asp369 and a Cu<sub>A</sub>-ligand, His204<sub>B</sub> (one of the Cu<sub>A</sub> atoms is also only ~6Å away). In order to access this pocket, water molecules in the body of Mg-cavity need to go through a certain route indicated by the red arrow connecting area A to B in Fig. 2a. From their positioning, w1 and w2 can form a hydrogen bond occasionally, but they cannot form a hydrogen bond chain to the water molecules in channel-R except for special occasions, which will be described later. The gap between this pocket and channel-R (area C) is relatively short (~2Å), but it appears to be blocked by Pro437, Ser197<sub>B</sub>, Asp369, and backbone carbonyl group of Arg438. Right at the end of this bottleneck, there is Lys171<sub>B</sub>, which has been suggested as one of the steps in a possible proton exit pathway in our previous study [18].

MD simulations suggest that water molecules can escape via this pathway within very short time (several ns) with various  $n_{Mg}$ . (See Table 1.) For all of the observed exit instances, a water molecule (w2) comes into the oval pocket (area B) and coexists with the frontier water molecule (w1) for at least several hundreds of ps, and then w1 gets pushed out of area B to the entrance of channel-R, which leads to the surface of the protein. This transition between the tip of a branch of Mg-cavity and channel-R is indicated by the blue arrow in Fig. 2a.

The PMF of the frontier water molecule along this pathway (Fig. 2b) confirms this observation. The results concerning the energy barriers and the estimated rates are

<sup>&</sup>lt;sup>2</sup>There is one additional pathway identifiable on the basis of the distances between the cavity walls, which is passing near Arg439; however, due to strongly negative MD and PMF probes (barrier greater than 20 kcal/mol), we will disregard it in this study without further analysis and discussion.

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summarized in Table 2. The solid line shows the PMF of the probe water molecule (w1) along this pathway when w2 is confined within area A. This mechanism will be called "self exit". Under self exit mechanism,  $G^*_{forward}$  is ~12.5 kcal/mol, which corresponds to the transfer rate (expressed as the inverse lifetime) of the order of  $(100\mu s)^{-1}$ . On the other hand, when PMF (dotted line) is taken while w2 stays at area B,  $G^*_{forward}$  lowers significantly to ~4 kcal/mol (rate of (~100ps)^{-1}). In this mechanism, called the "push-out exit", the first molecule is pushed out by the second molecule entering the transition compartment B. This change in PMF (thus  $G^*_{forward}$ ) by the presence of w2 in area B suggests that the push-out mechanism is dominant, if not exclusive, form of water exit through pass-R. As will be shown below, a similar mechanism is operating for the second possible exit channel. The mechanisms of escape are shown schematically in Fig. 3

The distinction between the self- and push-out exit mechanisms appears to be important because under the push-out mechanism, a hydrogen bond chain could be transiently formed between water molecules that bridge Mg-cavity and the external channels, while the self-exit mechanism can not have such a chain. Since water w1 does not come within a hydrogen bond distance (~3.4Å) to the water molecules in channel-R when it is not crossing the barrier, as indicated in the PMF and observation of MD trajectories, the only time the water molecules in Mg-cavity can form a hydrogen bond chain to the exit channel-R is when water molecules cross over the barrier (in both directions).

In order to complete characterization of water transport via the push-out mechanism, in Fig. 2c we show the PMF for the second water molecule (w2) that enters the transition compartment B from the preceding area A. In order to check whether  $n_{Mg}$  can change the overall rate of water exit from Mg-cavity, the PMF is calculated for different  $n_{Mg}$  (varying from 19 to 22). The results indicate that transfer of w2 occurs on the time-scale of tens of ps, given the barrier in PMF  $G^*_{forward}$  about 3–4 kcal/mol; thus, it confirms that the push-out mechanism is plausible.

For a quick consistency check, we can now make a rough estimate of the overall exit rate along this pathway using results of PMF calculations and compare it with what is observed in MD simulations. Let the forward and backward rates of the first transition be  $k_1$  and  $k_{-1}$  respectively, and the rate of forward reaction of the second transition be called  $k_2$ , as indicated in Fig. 3, then the overall exit rate k can be estimated as  $k = k_1 k_2 / (k_{-1} + k_2) \approx (k_1 / k_{-1}) k_2$  (because  $k_2 \ll k_{-1}$ ). If we use the forward rate  $k_2 \sim (100 \text{ ps})^{-1}$  and the ratio of  $k_1 / k_{-1} \sim (1/10 - 1/50)$ , the overall rate k is on the order of  $(10 \text{ ns})^{-1}$ . Despite the simplicity of this estimate, it agrees very well with the observation from MD simulations.

**Exit-T**—At the other end of Mg-cavity, there is a second branch, see Fig. 4a and 1b, which can be described as half-arc (shown in green in Fig. 4a) that begins at the water molecule (w3), which is located in between the two propionates of heme  $a_3$ . The arc goes over His291 and His368, via water molecules w4 through w7, and ends at a small pocket (area B) near propionate-a of heme  $a_3$  and Asp364. The two water molecules in that terminal pocket (w6 and w7) are separated from other water molecules in Mg-cavity by the wall of the cavity along this arc (mainly formed by His291 and His368), and do not form hydrogen bonds

(except for between w5 and w6 in rare occasions) or exchange positions with them according to the observation of MD trajectories.

This branch has a further "stick-out" that is going through a gate made by Thr294 and Phe293, and extending toward channel-T. A water molecule (w8) can occupy the position at the tip of this stick-out (area C), but it oscillates between there and the border of channel-T frequently, which explains why it is invisible in the x-ray structures. Although this water molecules is usually not within hydrogen-bonding distance with w6, occasionally it can come down to area B and stay there for a while with w6 (up to hundreds of ps) before it gets bounced back to area C. The border between area C and channel-T is blocked mainly by Ile365, but the frequent exchange of water molecules between them indicates its energy barrier is lower than one between area B and C. Therefore, the actual bottleneck of water exit through this branch lies on the path through this stick-out.

MD results in Table 1 show that this pathway also can be used for water exit with various  $n_{Mg}$ . For all of the observed exit instances, a water molecule from the body of Mg-cavity (w5 in area A) comes over to the pocket (area B) which contains the frontier water molecule (w6), and then eventually pushes it out, similar to the mechanism described for exit-R.

Fig. 4b shows the result of PMF analysis. The scheme and the results are very similar to those for exit-R. The solid line indicates the PMF of "self" exit (moving w6 from B to C while confining w5 within A), and the dotted line indicates the PMF of "push-out" exit (confining w5 in area B while moving w6). As in exit-R, the self-exit mechanism has higher barrier (~7kcal/mol) than that of the push-out mechanism (~1.5kcal/mol), although the estimated rate in the former mechanism is of the order of  $(10ns)^{-1}$  and does not seem to be as prohibitive as the one in exit-R. However, since  $G^*_{backward}$  is low (~1 kcal/mol), the frontier water molecule (w6) most likely need to make many attempts until w5 comes over to fill the space and complete the evacuation, which would make the effective exit rate much slower than  $(10ns)^{-1}$ . Estimated from MD simulations, the push-out mechanism again is dominant in exit-T, although may not be as much as in exit-R.

**Exit-P**—The third branch (Fig. 5a, also shown as the arrow between water w9 and w10 in Fig. 1b) is stemming out from the position near the  $Mg^{2+}$  ion and reaches out to a water molecule (w9) before it makes a hook-like arc that ends at a small pocket (area B), which contains a water molecule (w10). (Both water molecules are observable in the x-ray structures.) This pocket appears to be isolated from the rest of Mg-cavity, but our MD simulations suggest that, when it is empty, w9 can come over to occupy it, although no exchange is observed when it is filled already. Thus, despite of its remoteness, this small pocket can be considered as a part of Mg-cavity. This pocket is about 3Å away from channel-P through pass-P, which is blocked mainly by three prolines (130, 131, and 228).

In MD simulations, we did not observe any escape of water molecules from exit-P as shown in Table 1. In order to check further whether this is because MD simulations are not long enough to capture the escape or this really means there is no water exit through this pathway at a meaningful rate, we calculate PMF along this pathway; the results are shown in Fig. 5b. For this pathway, only the "self" exit mechanism is analyzed because the pocket for exit-P

(area B) is so small that only one water molecule can exist at a time in it. Another reason is due to the hook-like shape of the connection between area A and B, which inhibits another water molecule (w9) from approaching the pocket while the frontier water molecule (w10) is still in area B. The results from Fig. 5b shows that  $G^{\dagger}_{forward}$  is ~13kcal/mol and estimated rate is of the order of  $(100\mu s)^{-1}$ , which is much slower than that of exit-R and T (through "push-out" mechanism) and within an order of magnitude to the rate of water generation  $((\sim 1 \text{ ms})^{-1})$ . Therefore, we conclude that this pathway is most likely not used for water escape.

### 3.3 Comparisons Among Different Species

We have examined next whether the pathways we obtained are conserved among different species; for that we have analyzed internal cavities in CcO from *Paracoccus denitrificans* (PDB code = 1AR1) [51], *Rhodobacter sphaeroides* (PDB code = 2GSM) [9], and also  $ba_3$ -type cytochrome *c* oxidase of *Thermus thermophilus* (PDB code = 1XME) [52]. For the first two bacterial species, the interfaces between Mg-cavity and each external channel (R, T and P) turn out to be qualitatively equivalent. Nevertheless, channel-R for the two bacterial enzymes is shorter than mammalian one. (More detailed differences will be discussed in the next section.) For exit-T, a noticeable difference in the bacteria is that arginine is in place for Ile365, which is located at the border of Mg-cavity and channel-T.

Unlike the other two bacterial species,  $ba_3$ -type CcO of *Thermus thermophilus* exhibits a striking difference in both of the plausible pathways. As can been seen in Fig. 7, exit-R continues up to the region near water w1 (in Fig. 2a), and some of its key surrounding residues (His204<sub>B</sub>, Pro437, Arg438 and Arg439) are conserved; however, for this enzyme, the pathway toward Lys171<sub>B</sub> is strongly disrupted. (There is even no Lys171<sub>B</sub> homologue.) Instead, it has a pass toward a different external channel (with two structural water molecules) that lies "above" His204<sub>B</sub>, which has much shorter path to the outside than channel-R of the other species. From its topological resemblance, this pathway is probably used as exit-R for this specie. Even more surprisingly, exit-T in *ba*<sub>3</sub> oxidase is also strongly disrupted, but without any alternative exit route. Although it requires further verifications with MD simulations and PMF calculations, it is likely that there is no exit-T for this enzyme.

It appears at least some parts of channel-R are well conserved. We therefore proceed to describe channel-R in greater detail for different species: mitochondiral CcO (1V55), *Rhodobacter sphaeroides* (2GSM) and *Paracoccus denitrifcans* (3EHB).

**Channel-R of Mitochondrial CcO**—The structure of internal water molecules in channel-R of *bovine* enzyme is schematically shown in Fig. 6a. The integers represent the water molecules with corresponding residue index number found in the original PDB file. The links between them show their connectivities with distance (in Å) shown on the side. The channel starts at the water molecule closest to Mg-cavity (48) and the pathway branches at the next water molecule (24) into three directions to the outside, which are suggested by the structural water molecules as well as the geometrical internal cavities. As can been seen from the diagram (and also from Fig. S1 in Supplementary Information), the structural water

molecules form complete single-file hydrogen bond chains (up to some point) toward the outside, which suggests existence of specific routes for transfer of water (and possibly of protons) even within channel-R.

Among the three paths, the longest one is the most noteworthy because the relative position of the first four water molecules on it appears to be conserved among the three species. (See Table S1 in Supplementary Information for more detailed information.) Along this path (or any paths in channel-R), there is no side chain of titratable residues facing toward the water molecules except for Lys171<sub>B</sub> (in all three species) and Tyr447 (only in eukaryote). Interestingly, the water molecules near Tyr447 (40 and 63) are only 2.49Å apart, which indicate possible presence of an excess proton shared between them as expected in the Dchannel of *Rhodobacter sphaeroides* [53]. Since the bacterial species (with shorter path) do not have this Tyr-water complex (they have phenyl alanine instead), it might be serving an important function in either proton pumping or backflow blocking required for this longer pathway of the mammalian enzyme, and would be an interesting site for mutagenesis study to verify its role as well as the plausibility of channel-R as a proton exit pathway. This long chain of water continues up to a compartment with eight observable water molecules enclosed by the two main subunits and subunits D and K. (Subunit K is a small (54 residues) subunit found only in mitochondrial CcO which appears eleventh in 1V55; the role of this subunit is still unknown.) Structurally, most probable way to the outside is through water 11 toward the external water 2225 where  $Asn41_{K}$  is placed in between.

Between the remaining paths, the shortest one leads to Val366 and the other one leads to  $Leu21_B$  and  $Phe9_B$ . Those residues are separating channel-R from the outside, but MD simulation shows the water molecules can escape through them (regardless of the occurrence of water exit through pass-R). However, these holes may be covered by the membrane if its position is at where it provides consistent interpretations of an experiment [15, 54]; thus, plausibility of their use for water or proton exit depends on the actual membrane position, which is yet to be determined.

**Channel-R of Bacterial CcO's<sup>3</sup>**—Channel-R of *Rhodobacter sphaeroides* (2GSM) [9] is similarly shaped to the eukaryotic one except that the length of the longest path is much shorter. Fig. 6b shows the relative position of the observed water molecules in channel-R of this species. It also has three branches, and the longest one leads to water 5 before it gets to external water 56 with Gln44<sub>B</sub> in between. (It may require a mobile water molecule or two in between to conduct protons.) The shortest path leads to a dead end, which is blocked by many residues including Ile54<sub>B</sub>, Val50<sub>B</sub>, and Gly225<sub>B</sub>. Although the intermediate water molecules are not resolved in this structure, geometrical cavity shows the third path, which leads to His55<sub>B</sub>. Since the width of the bacterial membrane is thinner than mitochondrial one [55–57], there is a higher chance of water escaping from this route.

For *Paracoccus denitrificans*, we used N131D mutant structure (3EHB) [58] for the analysis because there is no native one with water molecules. (Because the conformations of most residues are almost equivalent between the wildtype and the mutant, this structure should

<sup>&</sup>lt;sup>3</sup>In this section, the residue numbering refers to those of corresponding species.

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have very close water organization of channel-R as the wildtype.) This species also has the first four water molecules on the longest path (384, 622, 288, 289 as shown in Fig. 6c) in conserved relative position as the other species and two more water molecules (291 and 290) in equivalent relative positions as *Rhodobacter sphaeroides*. Despite the structurally equivalence to *Rhodobacter sphaeroides*, the longest path appears to have a different exit route, which is from the fourth water molecule (289) toward external water molecules (370 or 616) through Gln215<sub>B</sub>. Its shortest path also appears to be blocked by many residues including Ala189<sub>B</sub> and Val190<sub>B</sub>. The third path is also similar to the other two species, and leads to a water molecule (387) nearby Gln32<sub>B</sub>.

Besides Tyr447 of *bovine*, mutagenesis studies on the residues at the end of the water chains in channel-R such as  $Asn41_K$  of *bovine*,  $Gln44_B$  and  $His55_B$  of *Rhodobacter sphaeroides*,  $Gln 215_B$  and  $32_B$  of *Paracoccus denitrificans* would be interesting because it may be able to elucidate each path's plausibility as proton exit.

### 4. Discussion

We have identified two exit pathways, R and T, by which water molecules produced in the catalytic cavity of CcO can escape the enzyme. These pathways are remarkably conserved in their key features across a diverse range of species. Each exit path has a bottleneck, or "pass", at the position where Mg-cavity is connected to the exit channels, which lead directly to the surface of the protein. Each bottleneck has a well-defined structural organization that suggests specific mutational probes. We find that the passage of water molecules through these bottlenecks occurs by a special cooperative mechanism, in which a frontier molecule at the transition compartment gets a "push" by another water molecule from behind, which allows formation of a transient hydrogen-bond chain between the inside of Mg-cavity and the external channel. From our MD and PMF simulations, the rate of water transfer in the forward direction is approximately (10ns)<sup>-1</sup>. The backward rate was not estimated directly in our calculations, but is likely to be comparable to the forward rate due to the thermal equilibrium water occupation of the Mg-cavity, which is indicated from lack of dramatic change in exit rate upon the change of  $n_{Mg}$ .

Proton transfer inside of the protein requires a path of hydrogen bonds. Our results indicate that the water pathways presented here are also likely places where a chain of hydrogen bonds can be formed that could connect the Mg-cavity and "outside", which makes them strong candidates for proton exit pathways. Although other possibilities cannot be excluded, it is worthwhile to discuss the implications of these water pathways being also proton exits to the mechanism of proton pumping in CcO.

### 4.1 Regulation of Proton Backflows

For a proper function of CcO, it is crucial to prevent the backflow of protons from the P-side to Mg-cavity, where the so-called proton loading site (PLS) is most likely located; it was suggested that PLS is His291 [26], or propionates of heme  $a_3$  [59], or a group nearby [15].

According to present analysis, a critical residue that is located near the bottleneck of exit-R that can block proton entrance from outside would be  $Lys171_B$ . It is interesting that this

residue was also singled out previously on the basis of completely different type of analysis [18]. For exit-T, Ile365 appears to be capable of preventing the formation of a hydrogen bond chain from the outside to Mg-cavity. In the bacterial species the residue at this position is arginine, so its positive charge may serve the same function as that of  $Lys171_B$ . Mutations of Thr294 in exit-T was reported to disable the pumping [60]. This residue appears to hold a water molecule (w6, in Fig. 4a) in position that prohibits formation of hydrogen bond with the water molecules in Mg-cavity, which would be needed for a proton to leak back through this path. This data suggests that Thr294 could also be a part of putative proton backflow blockage.

Mutation of  $Lys171_B$ , Ile365 (or homologous arginine in the bacteria), and Thr294 that can interfere with the flow of protons according to our model appear to be interesting to probe further experimentally.

### 4.2 Proton Pumping Beyond Mg<sup>2+</sup> Region?

The apparent difference in the rates (by an order of magnitude) of proton exit in different phases of the cycle [61] could lead to a possibility that the exit of pumped protons may be somehow regulated, or even occurs via a secondary pumping step [11]. It is interesting to consider our results in the context of these ideas.

A particular section of exit-R (w1 and w2 in Fig. 2a) is running rather closely to  $Cu_A$  center, and its ligands  $His204_B$  and  $Glu198_B$ . This raises a possibility of electrostatic coupling of redox state of  $Cu_A$  to the passage of protons along channel-R in this section. In principle, it is possible that this part of the protein is receiving the pumped proton from the PLS upon the reduction of  $Cu_A$  and releasing them upon the oxidation of  $Cu_A$ . The involvement of  $Cu_A$ and  $Glu198_B$  in proton exit was indeed suggested by a recent EPR study [62]. Lys171<sub>B</sub>, which is suggested as one of the steps in proton exit pathway in our previous study [18] also might be mediating this proton transfer. Tyr447 in mitochondrial enzyme could be another possible element for such process. A somewhat similar model was recently discussed by Sharpe *et al.* [62, 63].

### 4.3 Implications from ba<sub>3</sub> Oxidase

We have already mentioned that  $ba_3$  oxidase of *Thermus thermophilus* has an altered version of exit-R. Since this exit is in proximity to  $Cu_A$  site, the possible involvement of  $Cu_A$  and His204<sub>B</sub> in mediating the exiting protons is expected to be more pronounced here than in  $aa_3$  oxidases. Also Tyr460 in Fig. 7, which is equivalent to the Tyr447 in the eukaryotic enzyme but facing towards different orientation, is within hydrogen bond distance of one of the structural water molecules in the alternative channel-R, and may play some role in proton exit or proton backflow blockage. Therefore, it is also a promising target for experimental verifications.

### 4.4 Uncoupling Mutations in the D-channel May Produce Allosteric Perturbations in the Proton Exit Channel That Disrupt the Proton Blockade from the P-side

Some mutations of the residues near the entrance of the D-channel are known to selectively disable the enzyme's pumping function while retaining its oxidization capability [19, 58,

64–66]. The most notable example of this kind of mutation is N98D [19], which not only uncouples the pumping but also enhances the turnover rate. Although many hypotheses to explain this phenomenon have already been proposed [17, 58, 59, 65, 67–69], the consensus is still far from being reached. Here we discuss one additional possibility that connects mutations in the D-channel to the putative proton exit channels suggested by the present study.

The new possibility is that the mutations can compromise the proton blockade from the Pside and allow an unregulated proton leak into Mg-cavity. As we mentioned in the introduction, a leaked proton in Mg-cavity can occupy the PLS before the pumped proton from the D-channel, which effectively skips the pumping step; thus, it not only blocks the pumping but also can enhance the rate of turnover because the time needed for the pumping protons is saved in this model.

The question is how a remote perturbation induced by the mutation of Asn98 can propagate in the enzyme, given that the electrostatic effects appear to be insignificant? The mutation site is more than 20Å away from any of the three pathways we have mentioned, yet there is a possible allosteric connection. We notice that the mutation should induce instability between helices II and III, which are held together on one end (near the entrance of the D-channel) by Asn80 and Asn98 respectively. As can be seen in the x-ray structures, especially of the *bovine* enzyme [8], the amide groups of two Asn residues form a staggering double hydrogen bond pair, which stabilizes the helices; this stabilizing interaction can be weakened by the mutation.

The destabilization between the two helices induced by the mutation could cause instability at the other end (near the P-side) of the helices, where the shift of residues composing our exit-P (Pro130 and Pro131) could lead to proton-leakage through this pathway to Mg-cavity. This proton leakage can result in protonation of PLS by a proton from the "wrong" P-side of the membrane, which would disrupt the pumping. Even though the destabilization of the interaction between Asn98 and Asn80 could be a minor one (as suggested from little structural differences on those two residues observed in the recent x-ray structure of N98D mutant of *Paracoccus denitrificans* [58]), the effect on the other end could be larger because those asparagines are at the "hinge" of these two helices. As a matter of fact, there are apparent distortions in Gly153 and Gly154 (in *Paracoccus denitrificans* numbering where Pro168 and Pro169 are two of the three prolines on pass-P), which indicates some strains on this region. The proposed leakage of Mg-cavity induced by mutation on the "other end" of the enzyme can be also subtle and be revealed only by more extensive computational dynamics studies.

This hypothesis can also help to rationalize the results of other mutations near the entrance of the D-channel. For example, another uncoupling mutant, N163D [66] would destabilize interaction between Asn163(on helix IV)'s side chain and Phe94 (on helix III)'s backbone carbonyl group. The restoration of the pumping function by double mutation of D91N/N98D [70] could be also explained by formation of extra interaction betweens Asn91 (on helix III) and Thr10(on helix I, which overlaps with helix II)'s backbone carboxyl group and

Asn11(also on helix I)'s side chain. The results from different mutations on Asn98 [58] also appear to be in accordance with this hypothesis.

### 5 Conclusions

- The cavity containing the Mg<sup>2+</sup> ion in CcO is connected to the outside (the P-side) only through two well-defined pathways, which are likely to serve for both water and proton exit. One of them (exit-R) is going through Arg438/439 toward His204<sub>B</sub>, a Cu<sub>A</sub> ligand, and Lys171<sub>B</sub>, and the other (exit-T) is going toward Asp364 and Thr294. Each exit pathway has a well defined bottleneck, which invites a mutational study.
- 2. A chain of hydrogen bonds between the water molecules in Mg-cavity and the "outside" can be formed only transiently when water molecules cross the bottleneck of a given pathway; this occurs via a special mechanism in which one water molecule in the transition compartment gets a "push" through a barrier by another water molecule incoming from Mg-cavity. The lack of permanent connectivity supports the notion that Mg-cavity is "inside" of CcO.
- 3. Lys171<sub>B</sub>/Tyr447 and Thr294/Ile365 (and corresponding arginines for bacteria) are potential elements for proton backflow blockage for exit-R and T respectively. Mutation of these residues would be meaningful to verify their possible roles as well as the plausibility of respective exit pathway.
- 4. The proximity of the bottleneck of the proposed water and proton exit-R indicates the possible involvement of  $Cu_A$  and/or its ligands (such as His204<sub>B</sub> and Glu198<sub>B</sub>) in redox-coupled proton pumping beyond the Mg<sup>2+</sup> region.
- 5. The described pathways are well conserved for aa<sub>3</sub>-type CcO among different species except for certain detail in the structure of channel-R. For ba<sub>3</sub>-type CcO of *Thermus thermophilus*, exit-R is altered and exit-T is strongly disrupted. Its Tyr460 (homologous to Tyr447 of eukaryote) is also another prospective site for mutation.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Fig. 1a



### Fig. 1b

#### Fig 1.

Fig. 1a Overview of the All Three Putative Exit Pathways

The blue arrows indicate the passes that are found to be plausible under this study. The representative residues on the bottleneck of each pathway are shown. (Arg438 and 439 are right below  $His_{204B}$ .)

Fig. 1b The Schematics for Organization and Putative Exit Flow of Water Molecules in Mg-Cavity.

The integers indicate the relative position of water molecules in Mg-cavity found in the xray structure (1V55). (The number corresponds to their residue index in the original PDB file.) Subscripts in some water molecules show the notation used in explanations in the main text. (X's indicate the water molecules seen only in MD simulations.) The arrows show the plausible putative directions for water exit pathways. The lines between the protein residues and the water molecules show some of possible hydrogen bond connections. The thick dotted lines indicate the likely bottlenecks in each putative exit pathway. (Note that the number of water molecules in the black contour (excluding the two X's) adds up to 20 as described in the main text.)



Fig. 2a







Fig. 2c

### Fig 2.

Fig. 2a Detailed View of Pass-R

The residues and the water molecules are shown at the position of the crystal structure (1V55). The blue and red arrows indicate the primary and the secondary bottleneck of this exit pathway respectively.

Fig. 2b The PMF of the Primary Bottleneck of Exit-R

The reaction coordinate is illustrated by the blue arrow (between area B and C) in Fig. 2a. (The profiles are set to overlap at the second local minima near 6Å.) Note that the distance between two minima is longer than hydrogen bond distance in both profiles. Fig. 2c PMF of the Secondary Bottleneck of Exit-R

The reaction coordinate is illustrated by the red arrow (between area A and B) in Fig. 2a. (The profiles are set to overlap at the second local minima near 2.7Å.) Each profile is differed by the number of water molecules in Mg-cavity ( $n_{Mg}$ ) where 20 is the number found in the crystal structure (1V55).



### Fig. 3.

The Schematics for the Two Water Exit Mechanisms Over the Bottlenecks.

The spheres indicate water molecules and the rectangles represent the regions near the bottleneck shown in Fig. 2a, 4a, and 5a. "Push-out" mechanism initiates the exit by the transfer from A to B whereas "self" mechanism starts from the transfer from B to C.







### Fig. 4a Detailed View of Pass-T

The green arc indicates the steric barrier created by His291 and His368, which separates w6 and w7 from the rest of water molecules in Mg-cavity. w7 is at hydrogen bond orientation with Asp364, but not with propionate a of heme  $a_3$ . The pass between area B and C are blocked mainly by Thr294 and Phe293 (not shown). The three spheres near area C show the typical positions occasionally occupied by water molecules in MD simulations (especially when Ile365 isomerizes away from area C).

Fig. 4b The PMF of Pass-T

The reaction coordinate is illustrated by the blue arrow (between area B and C) in Fig. 4a. (The profiles are set to overlap at the second local minima near 4.8Å.)



Fig. 5b

### Fig 5.

Fig. 5a Detailed View of Pass-P

The bottleneck is created by three prolines (Pro 130, 131, and 228). Area B of this pathway is so small that two water molecules cannot coexist.

Fig. 5b The PMF of Pass-P

The reaction coordinate is illustrated by the blue arrow (between area B and C) in Fig. 5a.



Fig. 6a

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#### Fig 6.

Fig. 6a The Structure of Internal Water Molecules in Channel-R of Mitochondrial CcO (1V55)

The integers represent the water molecules observed in the x-ray structure (with the value indicating their residue index number in the original PDB file). The lines between them show the possible hydrogen bond links between them with the distance (in Å) shown on the side. Note that there is a complete chain of hydrogen bonding (with gaps less than 3.4Å) from water 48 all the way up to water 11. (Also see Fig. S1 in Supplementary Information for actual positioning of the water molecules with the cavity.)

Fig. 6b The Structure of Internal Water Molecules in Channel-R for CcO of *Rhodobacter sphaeroides* (2GSM)

The first four water molecules on the longest chain (125, 95, 55, and 87) are structurally very similar to the corresponding chain in the eukaryotic enzyme (Fig. 6a).

Fig. 6c The Structure of Internal Water Molecules in Channel-R for CcO of *Paracoccus denitrificans* (3EHB, N131D mutant)

The relative positions of water molecules on the main chain is equivalent to the one in *Rhodobacter sphaeroides*; however, it appears to have a different possible exit for proton via  $Q215_{B}$ .



### Fig. 7.

Alternative Exit-R for  $ba_3$  Oxidase of *Thermus thermophilus* The pathway toward the region where Lys171<sub>B</sub> is located (shown in orange) for mitochondrial CcO is disrupted. Alternatively, there is another small channel (with two structural water molecules) "above" His157<sub>B</sub> (shown in red) that leads to the outside. This external channel is enclosed also by Gln158<sub>B</sub>, Asn159<sub>B</sub>, Met160<sub>B</sub>, Phe161<sub>B</sub>, and Val456 (not shown). Note that Tyr460 is equivalent to Tyr447 of eukaryotes (in channel-R). Summary of MD results for each water exit pathway and  $n_{Mg}$ 

| n <sub>Mg</sub> | Exit-R    | Exit-T    | Exit-P |
|-----------------|-----------|-----------|--------|
| 19              |           | 1 (8.1ns) |        |
| 20              | 1 (1.4ns) | 1 (7.8ns) |        |
| 21              | 1 (4.7ns) |           |        |
| 22              | 1 (2.1ns) | 1 (0.5ns) |        |

The number in each cell shows the number of trajectories (out of four for each  $n_{Mg}$ ) that has observed water exit within 10ns. The time in parenthesis indicates the time (from the beginning of the simulation) when it occurred. The blank cells with a dash indicate no escape is observed.

# Table 2

| of PMF results |  |
|----------------|--|
| Summary        |  |

| Descript      | ions          | $\mathbf{G}^*_{\mathbf{forward}}$ | $\mathbf{G}^{*}_{\mathrm{backward}}$ | G    | Tforward   | Thackward | $\mathbf{S_{f}}  /  \mathbf{S_{i}}$ |
|---------------|---------------|-----------------------------------|--------------------------------------|------|------------|-----------|-------------------------------------|
| Doce D        | (Self)        | 12.4                              | 4.8                                  | 7.6  | $80\mu s$  | 400ps     | $1/2 \times 10^{5}$                 |
| N-665 1       | (Push-Out)    | 4.1                               | 5.5                                  | -1.4 | 100ps      | lns       | 10                                  |
|               | $n_{Mg} = 22$ | 3.7                               | 2.2                                  | 1.4  | 60ps       | 9 sd9     | 1/10                                |
| Bass D(A , D) | 21            | 2.9                               | 1.5                                  | 1.4  | 20ps       | 2ps       | 1/10                                |
|               | 20            | 3.8                               | 1.4                                  | 2.4  | 80ps       | 2ps       | 1/50                                |
|               | 19            | 3.7                               | 1.5                                  | 2.2  | 60ps       | 2ps       | 1/30                                |
| LG            | (Self)        | 6'9                               | 1.2                                  | 5.8  | 10ns       | 1ps       | $1/10^{4}$                          |
| I 4995- I     | (Push-Out)    | 1.5                               | 2.7                                  | -1.2 | 2ps        | 10ps      | L                                   |
| Pass-P        | (Self)        | 12.9                              | 9.7                                  |      | $200\mu s$ |           |                                     |
|               | -             |                                   |                                      |      |            |           |                                     |

Units of energy are in kcal/mol. The lifetimes that are estimated from transition state theory are shown up to the first significant figure. The dashes indicate that they cannot be determined due to the lack of local minimum at the end.