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SMO Expression in Colorectal Cancer: Associations with Clinical, Pathological and Molecular Features

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Abstract

Background—SMO (the official symbol for "smoothened, frizzled family receptor") is an important component of the hedgehog signaling pathway, which has been implicated in various human carcinomas. However, clinical, molecular, and prognostic associations of SMO expression in colorectal cancer remain unclear.

Methods—Using a database of 735 colon and rectal cancers in the Nurse's Health Study and the Health Professionals Follow-up Study, we examined the relationship of tumor SMO expression (assessed by immunohistochemistry) to prognosis, and to clinical, pathological and tumor molecular features, including mutations of *KRAS*, *BRAF* and *PIK3CA*, microsatellite instability,

Conflict of Interest Statement:

No potential conflicts of interest exist.

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Use of standardised official symbols: We use HUGO (Human Genome Organisation)-approved official symbols for genes and gene products, including BRAF; SMO (smoothened, frizzled family receptor); PIK3CA, AKT and KRAS; all of which are described at www.genenames.org.

CpG island methylator phenotype (CIMP), LINE-1 methylation, and expression of phosphorylated AKT and CTNNB1.

Results—SMO expression was detected in 370 (50%) tumors. In multivariate logistic regression analysis, SMO expression was independently inversely associated with phosphorylated AKT expression [odds ratio (OR), 0.48; 95% confidence interval (CI), 0.34–0.67] and CTNNB1 nuclear localization (OR, 0.48; 95% CI, 0.35–0.67). SMO expression was not significantly associated with colorectal cancer-specific or overall survival. However, in CIMP-high tumors, but not CIMPlow/0 tumors, SMO expression was significantly associated with better colorectal cancer-specific survival (log-rank $P = 0.012$; multivariate hazard ratio, 0.36; 95% CI, 0.13–0.95; $P_{\text{interaction}} =$ 0.035, for SMO and CIMP status).

Conclusions—Our data reveal novel potential associations between the hedgehog, the WNT/ CTNNB1, and the PI3K (phosphatidylinositol-4,5-bisphosphonate 3-kinase)/AKT pathways, supporting pivotal roles of SMO and hedgehog signaling in pathway networking. SMO expression in colorectal cancer may interact with tumor CIMP status to affect patient prognosis, although confirmation by future studies is needed.

Keywords

colon carcinoma; rectal cancer; hedgehog; HH; molecular pathology; clinical outcome

INTRODUCTION

Colorectal cancers represent a heterogeneous group of complex multifactorial diseases, which are influenced by host and environmental factors.¹ Molecular classification (e.g. by *KRAS*, *BRAF*, and MSI status) has become essential in both research and clinical practice to better predict tumor progression and behavior. $2-5$

The hedgehog signaling pathway plays a role in patterning, growth, and differentiation in various tissues, including the gastrointestinal tract. $6-8$ In mammals, hedgehog signaling is initiated through binding of one of three ligands [sonic hedgehog (SHH), indian hedgehog (IHH) and desert hedgehog (DHH)] to the trans-membrane receptor patched 1 (PTCH1), leading to release of the suppressed transmembrane protein smoothened, fizzled family receptor (SMO) and subsequent activation of GLI transcription factors.⁶ Hedgehog signaling has been implicated in the pathogenesis of various human cancers, either through hedgehog ligand-dependent activation, or through ligand-independent activation, i.e., by loss of function mutations in *PTCH1*, or gain of function mutations in the proto-oncogene *SMO*.^{9–11} Consequently, the hedgehog pathway is viewed as a potential therapeutic target. $9,12$

Although evidence supporting a role of the hedgehog pathway in colorectal neoplasia has tended to be inconsistent, $13-21$ accumulating experimental data demonstrate that the hedgehog signaling pathway cooperates with other molecular alternations and signaling pathways, such as WNT signaling, $22,23$ and phosphatidylinositol-4,5-biphosphonate 3-kinase $(PI3K)$ /AKT, $24-26$ in multiple tumorigenic contexts, even in the absence of hedgehog ligand-dependent pathway activation.22,25

Given evidence of cross-talk between hedgehog and other signaling pathways in human

carcinogenesis, we hypothesized that SMO expression in colorectal cancer might be associated with other important tumor characteristics, such as CTNNB1 and phosphorylated AKT expression. We therefore utilized a molecular pathological epidemiology database, 27.28 derived from colorectal cancers arising in two U.S. nationwide prospective cohort studies, to examine SMO expression status in colorectal cancer, and to assess the relationships between SMO expression and other important molecular features, including: microsatellite instability (MSI); CpG island methylation phenotype (CIMP); long interspersed nucleotide element-1 (LINE-1) methylation; and *KRAS*, *BRAF,* and *PIK3CA* mutations. We also sought to evaluate the prognostic association of SMO expression, and to explore the potential for its interaction with other tumor features in survival analyses.

MATERIALS AND METHODS

Study Group

We used the database of two prospective cohort studies, the Nurses' Health Study (NHS, N = 121,700 women observed since 1976) and the Health Professionals Follow-Up Study (HPFS, $N = 51,500$ men observed since 1986).²⁹ Participants were sent follow-up biennial questionnaires to update information on diet and lifestyle factors, and to identify newly diagnosed cancers and other diseases. In this population-based study, besides medications that a given patient took by themselves, treatment modality was chosen by treating physicians, and detailed treatment data were not available. After confirmation of colorectal cancer, we requested paraffin embedded tissue blocks from hospitals across the U.S., where participants had undergone resection of primary tumors. We were able to obtain colorectal cancer specimens for 1443 cases out of 3019 colorectal cancer cases recorded up to June 2006. Diagnostic biopsy specimens from rectal cancer patients who received pre-operative therapy were collected in order to avoid treatment-related artifact. Tumor location was categorized [cecum; ascending colon (including hepatic flexure); transverse colon; descending colon (including splenic flexure); sigmoid colon; rectum] based on medical records.30 All colorectal cancer cases were confirmed through review of histology by a pathologist (S.O.) blinded to exposure data. Tumor grade was categorized as high $(50\%$ glandular area) or low $(50\%$ glandular area). Based on the availability of SMO expression data and survival data, a total of 735 colorectal cancer cases diagnosed up to 2006 were included in this study. Patients were observed until death, or January 2011, whichever came first. Death of a participant was ascertained through the National Death Index, or by reporting by family members or postal authorities. The cause of death was assigned by study physicians. Written informed consent was obtained from all study subjects. Human Subjects Committees at Harvard School of Public Health and Brigham and Women's Hospital approved this study.

Immunohistochemistry for SMO, Phosphorylated AKT and CTNNB1

Tissue microarray blocks were constructed as previously described.31 Methods of immunohistochemical staining and interpretations for phosphorylated AKT (at amino acid position Ser 473) and CTNNB1 have been described previously.^{32–34} For SMO immunostaining, deparaffinized tissue sections were heated in a microwave for 15 minutes

in Antigen Retrieval Citra Solution, pH 6 (BioGenex Laboratories, San Ramon, CA). Tissue sections were incubated with Dual Endogenous Enzyme Block (DAKO, Carpinteria, CA), then Serum Free Protein Block (DAKO), each for 15 minutes. Slides were incubated at room temperature for one hour with a primary antibody against SMO (1:100, rabbit polyclonal; Santa Cruz, sc-13943, San Diego, CA). Envision™ anti-rabbit HRP-labeled polymer (DAKO) was applied to the sections for 30 minutes, followed by visualization using the chromogen 3,3-diaminobenzidine (DAKO), and hematoxylin counterstain. The specificity of the SMO antibody was confirmed by previous studies in different tissues and cells.35–37 Positive and negative controls were included in each panel of immunohistochemistry for all markers.^{33,34} Known positive prostate carcinoma was used as a positive control for SMO.38 Sections processed with replacement of primary antibody by Tris-buffered saline were used as a negative control.

For each case, cytoplasmic SMO status was recorded as absent, weak, moderate or intense staining. SMO expression was defined as the presence of weak to intense staining (Figure 1). Immunostained tissue for each marker was scored by a single pathologist (SMO by X.L.; phosphorylated AKT by Y.B.; and CTNNB1 by T.M.) blinded to other data. A subset sample of over 100 cases for each marker was scored independently by a second pathologist (SMO by T.M.; phosphorylated AKT by K.S.; and CTNNB1 by S.O.) unaware of other data. The concordance between the two observers (all $P < 0.0001$) was 0.91 ($\kappa = 0.79$, N = 118) for SMO, 0.81 ($\kappa = 0.59$, N = 132) for phosphorylated AKT, and 0.90 ($\kappa = 0.80$, N = 292) for nuclear CTNNB1 localization, indicating good to substantial agreement.

Sequencing of KRAS, BRAF and PIK3CA Mutation, and Analysis for Microsatellite Instability

Genomic DNA was extracted from paraffin-embedded tissue. PCR and pyrosequencing targeted at *KRAS* [codons 12 and 13 (since 90% of *KRAS* mutations occur in these two codons) $1.32,39$ *BRAF* (codon 600),^{40,41} and *PIK3CA* exons 9 and 20,⁴² were performed as previously described. Microsatellite instability was assessed using a panel of 10 microsatellite markers (D2S123, D5S346, D17S250, BAT25, BAT26, BAT40, D18S55, D18S56, D18S67 and D18S487).⁴¹ MSI-high was defined as the presence of instability in 30% or more of the markers, and MSI-low/microsatellite stability (MSS) as instability 0– 29% of the markers.⁴¹

Real-time PCR for CpG Island Methylation and Pyrosequencing to Measure LINE-1 Methylation

Sodium bisulfite treatment of DNA, and real-time PCR assays (MethyLight) were performed as previously described.41,43,44 We quantified promoter methylation at eight CIMP-specific loci: *CACNA1G, CDKN2A* (p16), *CRABP1, IGF2, MLH1, NEUROG1, RUNX3*, and *SOCS1*. CIMP-high was defined as $\frac{6}{6}$ (of 8) methylated promoters, and CIMP-low/0 as 0–5 (of 8) methylated promoters. To accurately quantify methylation level in LINE-1, a PCR-pyrosequencing assay was employed.^{45,46}

Statistical Analysis

All statistical analyses were performed using SAS software (version 9.2; SAS Institute Inc, Cary, NC). All *P* values were two-sided. When multiple hypothesis testing was performed, the *P* value for significance was adjusted to $P = 0.0033 (= 0.05/15)$ by Bonferroni correction. For categorical data, the chi-square test or Fisher's exact test was performed. To compare mean age and mean LINE-1 methylation levels, a t-test, assuming equal variances, was performed.

The Kaplan-Meier method and the log-rank test were performed for survival analyses. Deaths from causes other than colorectal cancer were censored in colorectal cancer-specific mortality analyses. To control for confounding, we used Cox proportional hazards models to calculate hazard ratio (HR) of death according to tumor SMO expression status. The model initially included age at diagnosis (continuous), sex, year of diagnosis (continuous), body mass index, tumor location (proximal vs. distal colon vs. rectum), tumor grade, MSI (high vs. low/MSS), CIMP (high vs. low/0), LINE-1 methylation (continuous), *BRAF* mutation, *KRAS* mutation, and *PIK3CA* mutation, in addition to CTNNB1 and phosphorylated AKT expression. To minimize residual confounding and overfitting, disease stage (I, II, III, IV, or unknown) was used as a stratifying variable using the "strata" option in the SAS "proc phreg" command. To avoid overfitting, variables in the final model were selected using backward stepwise elimination with a threshold of $P = 0.05$. Interaction was assessed using the Wald test on the cross-product of SMO and another variable of interest (excluding cases missing data) in a multivariate Cox model. To improve efficiency of the models, cases with missing data in any of the categorical variables [CIMP (1.8%), MSI (2.0%), *BRAF* (1.3%), *KRAS* (1.1%), *PIK3CA* (9.8%), CTNNB1 (4.7%) and phosphorylated AKT (6.9%)], were included in the majority category for that variable. We confirmed that excluding cases with missing information in any of the covariates did not substantially alter the results (data not shown).

To assess whether associations between SMO expression and the variables in Table 1 were independent of other variables, a multivariate logistic regression analysis was conducted for cross sectional analyses. To calculate adjusted odds ratios (OR), the model initially included variables as in Cox proportional hazards models. To avoid overfitting, a backward stepwise elimination with a threshold of $P = 0.05$ was used to select variables in the final model. After the variables in the final logistic regression model were selected, we employed a missing indicator method for those cases with missing data in a given variable, to obtain a more accurate effect estimate in the given variable.

RESULTS

SMO Expression in Colorectal Cancer

Among 735 colorectal cancer cases diagnosed up to 2006 with SMO expression data, we observed SMO expression in 370 tumors (50%) by immunohistochemistry (Figure 1). SMO expression was positively associated with *KRAS* mutation ($P = 0.0027$), and inversely associated with phosphorylated AKT expression $(P < 0.0001)$, *BRAF* mutation $(P = 0.0026)$, CTNNB1 nuclear localization ($P = 0.0005$) and CIMP-high status ($P = 0.0035$) (Table 1).

Multivariate Logistic Regression Analysis to Assess Associations with SMO Expression in Colorectal Cancer

Multivariate logistic regression analysis was performed to assess independent relationships between SMO expression and other factors. Phosphorylated AKT expression [multivariate OR = 0.48; 95% confidence interval (CI), 0.34–0.67; *P* < 0.0001] and CTNNB1 nuclear localization (OR = 0.48; 95% CI, 0.35–0.67; *P* < 0.0001) remained significantly associated with SMO expression in the final model.

In addition, *BRAF*-mutation/*KRAS*-wild-type (vs. *BRAF*-wild-type/*KRAS*-wild-type) and CIMP-high remained in the final model $[(OR = 0.49; 95% \text{ CI}, 0.28-0.85; P = 0.011)$ and $(OR = 0.59; 95\% \text{ CI}, 0.35-0.98; P = 0.043)$, respectively], but these associations were not statistically significant given multiple hypothesis testing (Table 2).

SMO Expression and Patient Survival in Colorectal Cancer

During follow-up of 735 patients with survival data (median follow-up time 14.1 years for censored cases), there were 373 deaths, including 216 deaths due to colorectal cancer. In Kaplan-Meier analyses, SMO expression was not significantly associated with colorectal cancer-specific survival (log-rank $P = 0.85$) or overall survival (log-rank $P = 0.72$).

We performed Cox proportional hazards regression models to assess mortality according to SMO status, but did not observe a significant association between SMO expression and survival in univariate, stage-stratified, or multivariate stage-stratified analyses (data not shown).

Interactions between SMO Expression and other Variables in Colorectal Cancer Survival Analysis

We examined whether any clinical, pathological, or molecular variables significantly modified the association of SMO expression with patient survival. We observed a borderline significant interaction between SMO expression and CIMP status in colorectal cancerspecific survival ($P_{\text{interaction}} = 0.035$, given multiple testing significance level was adjusted to $P = 0.0033$). For patients with CIMP-high tumor, SMO positivity was significantly associated with better colorectal cancer-specific survival (multivariate HR, 0.36, 95% CI, 0.13–0.95); whereas, for patients with CIMP-low/0 tumor, SMO positivity was not significantly associated with colorectal cancer-specific survival (Table 3). The differential effect of SMO expression on colorectal cancer-specific survival according to CIMP status was also evident in Kaplan-Meier analyses (Figure 2).

The association of SMO expression with cancer-specific mortality did not significantly differ according to any of the other variables.

DISCUSSION

In this study, the unique resource of a molecular pathological epidemiology database, 27.28 containing a large number of colorectal cancers, and prospectively collected data from two cohort studies, enabled us to comprehensively evaluate the associations of SMO expression with clinical, pathological and tumor molecular features. We observed that SMO was

expressed in around one half of colorectal cancers. In a multivariate logistic regression model, SMO expression was significantly inversely associated with phosphorylated AKT expression and CTNNB1 nuclear localization. An inverse association was also observed between SMO expression and *BRAF*-mutant/*KRAS* wild-type; however, the association was of borderline significance, when multiple testing was taken into account.

Recent studies have demonstrated that colorectal cancers constitute a group of heterogeneous tumors at the molecular level.^{47,48} The development and progression of colorectal neoplasia is attributable to the accumulation of genetic and epigenetic changes and the complex interaction of aberrations in various signaling pathways.^{49–52} Each tumor has its own unique characteristics in terms of molecular phenotype, tumor microenvironment, and interactomes within and between neoplastic and host cells.^{1,53} Therefore, tumor biomarker testing contributes to personalized medicine research and, ultimately, to clinical practice.^{50,54–56}

Experimental data suggest a link between SMO expression and the PI3K/AKT pathway. AKT is a major downstream effector of PI3K, and plays a crucial role in regulating a wide variety of cellular process, including cellular metabolism as well as cell proliferation and survival.⁵⁷ Riobo et al. have previously shown that PI3K and AKT are essential for SHH signaling.²⁴ Furthermore, SMO activity is required for cooperation between SHH and insulin-like growth factor in promoting myogenic proliferation and differentiation via the MAPK/ERK and PI3K/AKT pathways.²⁵ In our dataset, SMO expression was inversely associated with phosphorylated AKT expression in colorectal cancer, suggesting that SMO activation may tend to be mutually exclusive with AKT activation in colorectal cancer development.

Crosstalk between the hedgehog and WNT signaling pathways in intestinal tumorigenesis remains controversial.7,20,22,50,58 Several groups have reported possible negative regulation of the WNT pathway by hedgehog signaling.7,58 In one study, overexpression of IHH resulted in down-regulation of intestinal CTNNB1.58 Nuclear expression of CTNNB1 has been found to be inversely associated with GLI1 staining in colorectal cancers, suggesting that GLI1 plays an inhibitory role in the development of colorectal cancer driven by WNT signaling.²⁰ However, Arimura et al. have shown that reduced SMO expression inhibits WNT signaling by down-regulating nuclear CTNNB1 expression, independent of GLImediated hedgehog signaling.22 Our current findings suggest that tumors with SMO expression are inversely associated with CTNNB1 nuclear expression, favoring a negative regulation of the WNT pathway by hedgehog signaling.

While SMO expression was not associated with colorectal cancer-specific survival or overall survival, our data suggest a possible interaction with CIMP status in patient prognosis. CIMP constitutes an epigenomic phenomenon characterized by widespread promoter methylation, which leads to tumor suppressor gene silencing.⁵⁹ CIMP status has been extensively investigated in colorectal cancer. $60-65$ In our current study, we observed that SMO expression was inversely associated with CIMP-high status. Moreover, our data suggest that, within CIMP-high cancers (but not within CIMP-low/0 cancers), patients with SMO-expressing tumors may expect better cancer-specific survival compared to those with

SMO-nonexpression tumors. Given multiple hypotheses testing, and the exploratory nature of our interaction analyses, these findings need confirmation by additional independent studies.

Interestingly, we observed a possible inverse association between SMO expression and *BRAF*-mutation/*KRAS*-wild-type in colorectal cancers, independent of other molecular variables. *BRAF* mutation is present in 10–15% of colorectal cancers and associated with inferior prognosis.66–69 Nonetheless, our results need to be confirmed by independent studies.

There are also limitations in the present study. Firstly, data on treatment were limited. We speculated that chemotherapy administration did not substantially differ by tumor SMO expression, since the data were not available for treating physicians. Nevertheless, our regression analyses were adjusted for TNM stage, on which treatment decisions are largely based. Secondly, despite quite high agreement of readings of the two pathologists for SMO immunohistochemistry there was still a 9% discordance rate.

In conclusion, our large cohort study has shown that SMO expression in colorectal cancer is inversely associated with phosphorylated AKT expression and CTNNB1 nuclear localization. SMO expression in colorectal cancer may interact with tumor CIMP status to affect patient prognosis, although confirmation by future studies is needed. Our data are compatible with literature supporting a role for SMO in pathway networking in colorectal carcinogenesis.

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Abbreviations

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Synopsis

Our data reveal novel potential associations between the hedgehog, the WNT/CTNNB1, and the PI3K/AKT pathways, supporting pivotal roles of SMO and hedgehog signaling in pathway networking. SMO expression in colorectal cancer may interact with tumor CIMP status to affect patient prognosis, although confirmation by future studies is needed.

Figure 1.

SMO expression in colorectal cancer. No expression (A), weak expression (B), moderate expression (C), and intense expression (D) in colorectal cancer cells.

Figure 2.

Colorectal cancer-specific and overall survival in patients with colorectal cancer according to SMO expression status in strata of CIMP status. CI, confidence interval; HR, hazard ratio.

Table 1

Clinical, pathological and molecular features of colorectal cancer according to SMO expression status Clinical, pathological and molecular features of colorectal cancer according to SMO expression status

CIMP, CpG island methylator phenotype; HPFS, Health Professionals Follow-up Study; LINE-1, long interspersed nucleotide element-1; MSI, microsatellite instability; MSS, microsatellite stable; NHS,
Nurses' Health Study; SD, CIMP, CpG island methylator phenotype; HPFS, Health Professionals Follow-up Study; LINE-1, long interspersed nucleotide element-1; MSI, microsatellite instability; MSS, microsatellite stable; NHS, The % number indicates the proportion of patients with a specific clinical, pathological or molecular feature among all patients, or patients with specific tumor SMO expression status. The % number indicates the proportion of patients with a specific clinical, pathological or molecular feature among all patients, or patients with specific tumor SMO expression status.

Nurses' Health Study; SD, standard deviation.

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Table 2

Multivariate logistic regression analysis to calculate adjusted odds ratio (OR) for the association of a given variable (in the left column) with SMO expression (as an outcome variable)

The multivariate logistic regression model initially included age, sex, year of diagnosis, body mass index, tumor location, family history, microsatellite instability, CpG island methylator phenotype, *KRAS*, *BRAF* and *PIK3CA* mutation, LINE-1 methylation, CTNNB1 nuclear localization and phosphorylated AKT expression. A backward elimination with threshold of $P = 0.05$ was used to select variables in the final model. When multiple hypothesis testing was performed, the *P* value for significance was adjusted to $P = 0.0033$ (= 0.05/15) by Bonferroni correction.

CI, confidence interval; OR, odds ratio.

SMO expression status in colorectal cancer and patient mortality in strata of CpG island methylator phenotype status SMO expression status in colorectal cancer and patient mortality in strata of CpG island methylator phenotype status

mutation, PIK3CA mutation, LINE-1 methylation, CTNNB1 nuclear localization and phosphorylated AKT expression. A backward elimination with a threshold of P = 0.05 was used to select variables in *P* = 0.05 was used to select variables in The multivariate, stage-stratified Cox regression model initially included age, sex, year of diagnosis, body mass index, tumor location, tumor grade, microsatellite instability, *KRAS* mutation, *BRAF* mutation, *PIK3CA* mutation, LINE-1 methylation, CTNNB1 nuclear localization and phosphorylated AKT expression. A backward elimination with a threshold of the final models. the final models.

CI, confidence interval; HR, hazard ratio. CI, confidence interval; HR, hazard ratio.

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