

# Dopamine release from transplanted neural stem cells in Parkinsonian rat striatum in vivo

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**Embryonic stem cell-based therapies exhibit great potential for the treatment of Parkinson's disease (PD) because they can significantly rescue PD-like behaviors. However, whether the transplanted cells themselves release dopamine in vivo remains elusive. We and others have recently induced human embryonic stem cells into primitive neural stem cells (pNSCs) that are self-renewable for massive/transplantable production and can efficiently differentiate into dopamine-like neurons (pNSC-DAn) in culture. Here, we showed that after the striatal transplantation of pNSC-DAn, (i) pNSC-DAn retained tyrosine hydroxylase expression and reduced PD-like asymmetric rotation; (ii) depolarization-evoked dopamine release and reuptake were significantly rescued in the striatum both in vitro (brain slices) and in vivo, as determined jointly by microdialysis-based HPLC and electrochemical carbon fiber electrodes; and (iii) the rescued dopamine was released directly from the grafted pNSC-DAn (and not from injured original cells). Thus, pNSC-DAn grafts release and reuptake dopamine in the striatum in vivo and alleviate PD symptoms in rats, providing proof-of-concept for human clinical translation.**

dopamine | Parkinson's disease | striatum in vivo | neural stem cells | CFE

**P**arkinson's disease (PD) is a chronic progressive neurodegenerative disorder characterized by the specific loss of dopaminergic neurons in the substantia nigra pars compacta and their projecting axons, resulting in loss of dopamine (DA) release in the striatum (1). During the last two decades, cell-replacement therapy has proven, at least experimentally, to be a potential treatment for PD patients (2–7) and in animal models (8–15). The basic principle of cell therapy is to restore the DA release by transplanting new DA-like cells. Until recently, obtaining enough transplantable cells was a major bottleneck in the practicability of cell therapy for PD. One possible source is embryonic stem cells (ESCs), which can develop infinitely into self-renewable pluripotent cells with the potential to generate any type of cell, including DA neurons (DAn) (16, 17).

Recently, several groups including us have introduced rapid and efficient ways to generate primitive neural stem cells (pNSCs) from human ESCs using small-molecule inhibitors under chemically defined conditions (12, 18, 19). These cells are nonpolarized neuroepithelia and retain plasticity upon treatment with neuronal developmental morphogens. Importantly, pNSCs differentiate into DAn (pNSC-DAn) with high efficiency (~65%) after patterning by sonic hedgehog (SHH) and fibroblast growth factor 8 (FGF8) in vitro, providing an immediate and renewable source of DAn for PD treatment. Importantly, the striatal transplantation of human ESC-derived DA-like neurons, including pNSC-DAn, are able to relieve the motor defects in a PD rat model (11–13, 15, 19–23). Before attempting clinical translation of pNSC-DAn, however, there are two fundamental open questions. (i) Can pNSC-DAn functionally restore the striatal DA levels in vivo?

(ii) What cells release the restored DA, pNSC-DAn themselves or resident neurons/cells repaired by the transplants?

Regarding question 1, a recent study using nafion-coated carbon fiber electrodes (CFEs) reported that the amperometric current is rescued in vivo by ESC (pNSC-DAn-like) therapy (19). Both norepinephrine (NE) and serotonin are present in the striatum (24, 25). However, CFE amperometry/chronoamperometry alone cannot distinguish DA from other monoamines in vivo, such as NE and serotonin (Fig. S1) (see also refs. 26–28). Considering that the compounds released from grafted ESC-derived cells are unknown, the work of Kirkeby et al. was unable to determine whether DA or other monoamines are responsible for the restored amperometric signal. Thus, the key question of whether pNSC-DAn can rescue DA release needs to be reexamined for the identity of the restored amperometric signal in vivo.

Regarding question 2, many studies have proposed that DA is probably released from the grafted cells (8, 12, 13, 20), whereas others have proposed that the grafted stem cells might restore striatal DA levels by rescuing injured original cells (29, 30). Thus, whether the grafted cells are actually capable of synthesizing and

## Significance

**With a combination of HPLC and carbon fiber electrodes, we demonstrate that grafted neural stem cells directly release dopamine in the damaged striatum in vivo and partially rescue a Parkinson's disease (PD) model. (i) Primitive neural stem cell-dopamine-like neuron (pNSC-DAn) retained tyrosine hydroxylase expression and reduced the PD-like asymmetric rotation; (ii) depolarization-evoked dopamine release and reuptake were significantly rescued in striatum in vitro (brain slices) and in vivo, as determined jointly by microdialysis-based HPLC and electrochemical micro-carbon fiber electrodes; and (iii) the rescued dopamine was released directly from the grafted pNSC-DAn (not from the injured original cells). Thus, pNSC-DAn grafts release and reuptake dopamine in the striatum in vivo and alleviate PD symptoms in rats, providing proof-of-concept for human clinical translation.**

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releasing DA *in vivo* must be investigated to determine the future cellular targets (residual cells versus pNSC–DAn) of treatment.

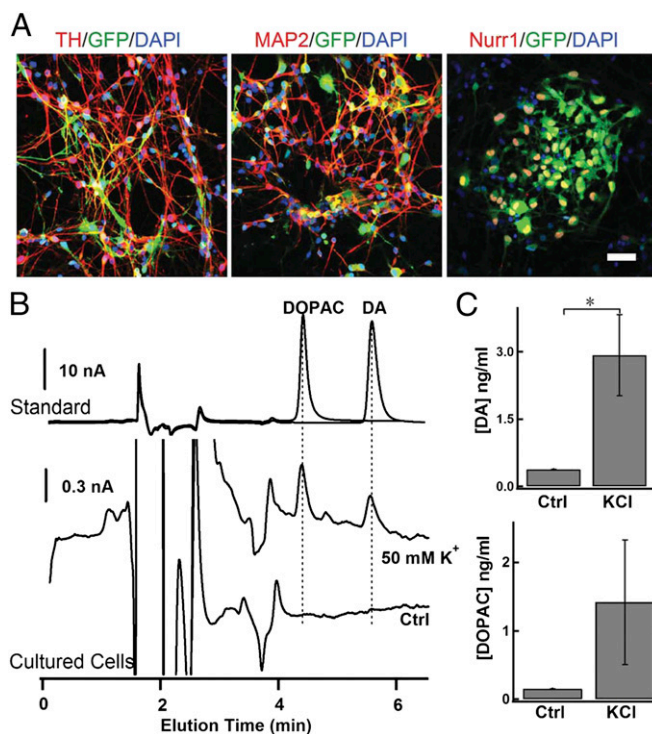
To address these two mechanistic questions, advanced *in vivo* methods of DA identification and DA recording at high spatiotemporal resolution are required. Currently, microdialysis-based HPLC (HPLC) (31–33) and CFE amperometric recordings (34, 35) have been used independently by different laboratories to assess evoked DA release from the striatum *in vivo*. The major advantage of microdialysis-based HPLC is to identify the substances secreted in the cell-grafted striatum (33), but its spatiotemporal resolution is too low to distinguish the DA release site (residual cells or pNSC–DAn). In contrast, the major advantage of CFE-based amperometry is its very high temporal (ms) and spatial ( $\mu\text{m}$ ) resolution, making it possible to distinguish the DA release site (residual cells or pNSC–DAn) in cultured cells, brain slices, and *in vivo* (34–39), but it is unable to distinguish between low-level endogenous oxidizable substances (DA versus serotonin and NE) *in vivo*.

In the present study, we developed a challenging experimental paradigm of combining the two *in vivo* methods, microdialysis-based HPLC and CFE amperometry, to identify the evoked substance as DA and its release site as pNSC–DAn in the striatum of PD rats.

## Results

**DA Release from Cultured pNSC–DAn.** As described previously, we differentiated human ESCs into pNSCs using small-molecule inhibitors that inhibit glycogen synthase kinase 3 and the transforming growth factor beta (TGF- $\beta$ ) and Notch signaling pathways. These pNSCs resemble nonpolarized neuroepithelia and remain stably renewable *in vitro*. Importantly, they retain plasticity and can generate midbrain DA-like neurons or hindbrain motor neurons with high efficiency after being patterned with the appropriate morphogens (18) (see also refs. 12, 19). After treatment with SHH and FGF8, pNSCs consistently produced  $\sim 65\%$  tyrosine hydroxylase (TH)-positive neurons, and most of the differentiated cells also expressed the mature neuron marker microtubule-associated protein 2 (MAP2) and the midbrain marker nuclear receptor related 1 protein (Nurr1) (Fig. 1A), indicating that the pNSCs had transformed into DA-like neurons (pNSC–DAn). Considering the potential application of pNSC–DAn in cell-replacement therapy, it was important to determine whether they were functional DA-releasing cells. HPLC analysis demonstrated the release of DA from pNSC–DAn in response to stimulation with 50 mM  $\text{K}^+$  in Krebs–Ringer solution (Fig. 1B and C). Consistently, 3,4-dihydroxyphenylacetic acid (DOPAC), a metabolite of DA, was also detected during the depolarizing stimulation (Fig. 1B and C). To quantify the DA release from these cells, correlation curves between the concentrations of standard samples (DA and DOPAC) and their corresponding peak areas in HPLC were calculated. The calibration formulas of the analyte were as follows:  $y = 0.60x - 0.0012$  ( $r^2 = 0.999$ ) for DA, and  $y = 0.53x - 0.0022$  ( $r^2 = 0.999$ ) for DOPAC ( $y$ , peak area;  $x$ , analyte concentration in  $\mu\text{M}$ ; Fig. S2D). The concentrations of DA and DOPAC from differentiated pNSC–DAn increased in the presence of 50 mM  $\text{K}^+$  (Fig. 1C). In contrast, NE was not detected after stimulation with 50 mM  $\text{K}^+$  (Fig. S3), excluding the possibility of NE release from these TH-positive pNSC–DAn.

**Transplantation of pNSC–DAn Relieves the Asymmetric Rotation Defect in PD Rats.** To determine whether the pNSC–DAn relieve Parkinsonian syndrome, we transplanted these cells into the ipsilateral striatum at week 4 after unilateral administration of 6-hydroxydopamine (6-OHDA) into the medial forebrain bundle (MFB). To identify the transplanted cells, these pNSCs were infected with lentivirus carrying GFP under the control of the EF-1 $\alpha$  promoter, as previously reported (Fig. 2A) (18). Most of the GFP-labeled grafted cells survived and remained TH-positive 1 wk after transplantation (Fig. 2B). Importantly, the surviving cells still exhibited TH and MAP2 staining in the grafted area 16 wk after transplantation (Fig. 2C and Fig. S4). Further characterization

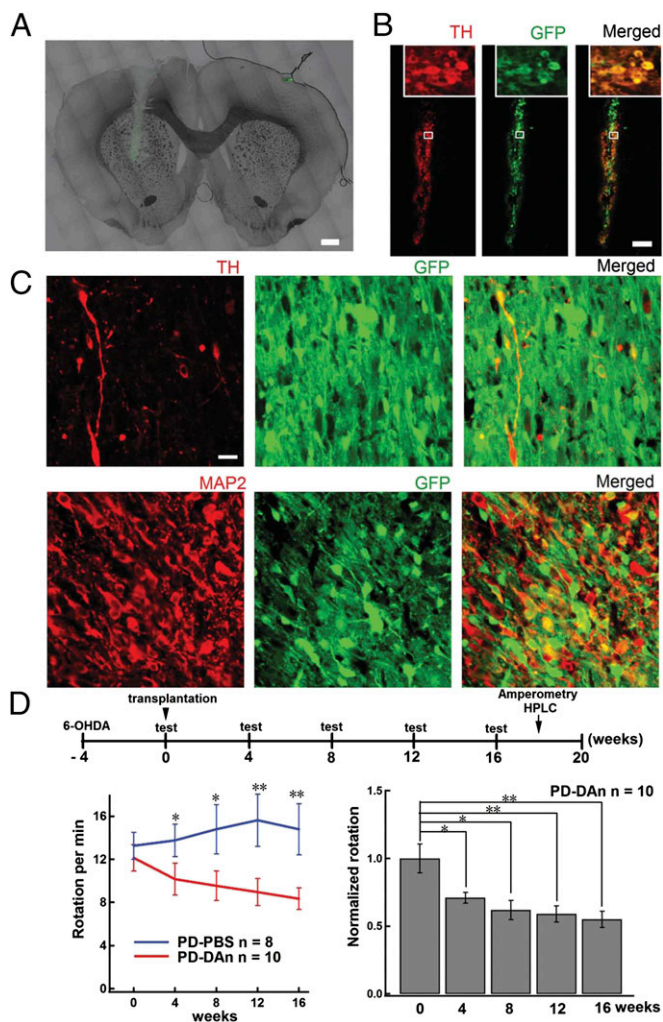


**Fig. 1.** Cultured pNSC-differentiated DA neurons (pNSC–DAn) are able to release DA. (A) Representative images of immunostaining for TH, MAP2, and Nurr1 in pNSC–DAn. (Scale bar, 50  $\mu\text{m}$ .) (B) HPLC for DA and its derivative DOPAC in pNSC–DAn (differentiated for 2 mo) with or without 50-mM  $\text{K}^+$  stimulation. (Top) HPLC chromatograms of standard samples of DOPAC (1  $\mu\text{M}$ ) and DA (1  $\mu\text{M}$ ). (Middle and Bottom) HPLC chromatograms of pNSC–DAn with 50-mM  $\text{K}^+$ -containing (Middle) or control Krebs–Ringer solution (Bottom). (C) Statistics of DA and DOPAC secreted from cultured pNSC–DAn with (high  $\text{K}^+$ , KCl) or without (Ctrl) 50-mM  $\text{K}^+$  stimulation (Student *t* test; \* $P < 0.05$ ).

also revealed the expression of DA D2 receptor, aldehyde dehydrogenase 1 (ALDH1A1), and vesicular monoamine transporter-2 (VMAT2) in grafted cells, indicating the persistence of mature DAn *in vivo* (Fig. S5). The apomorphine-induced asymmetric rotation was assessed every 4 wk from the day of transplantation until 16 wk (Fig. 2D). Note, compared with the PBS-injected PD rats (PD–PBS), animals with pNSC–DAn (PD–DAn) showed a significant and progressive reduction in contralateral rotations over time (Fig. 2D, Lower Left). Compared with that before transplantation, there was a gradual and significant recovery in rotation in PD–DAn rats during the 16 wk after transplantation (Fig. 2D, Lower Right).

**Grafted Cells Restore Stimulus-Induced DA Overflow in PD Striatum *In Vivo*.** The concentration of DA and its metabolites in PD–PBS, PD–DAn, and intact striatum were analyzed for evoked DA release *in vivo* by microdialysis-based HPLC, which provided unequivocal chemical verification of depolarization-dependent electrochemical signals including DA rather than NE and serotonin. In the intact striatum, the DA signal increased significantly with 70-mM  $\text{K}^+$  stimulation, which was consistent with the stimulus dependence of DA secretion in the striatum (Fig. S2). Compared with that in the control side, DA and its metabolites DOPAC and homovanillic acid (HVA) decreased remarkably in the PD–PBS striatum (Fig. 3). The diminished DA signal in the PD striatum was partially rescued by the pNSC–DAn grafts (Fig. 3) (PD–DAn,  $0.011 \pm 0.004 \mu\text{M}$ ; PD–PBS,  $0.002 \pm 0.0002 \mu\text{M}$ ;  $P < 0.05$ ). After pNSC–DAn transplantation, the DA level in the PD–DAn striatum recovered to  $\sim 6\%$  of that in the intact side (Fig. 3). These results confirmed that the grafted pNSC–DAn relieved the rotation defect probably due to the increased DA level in the striatum.



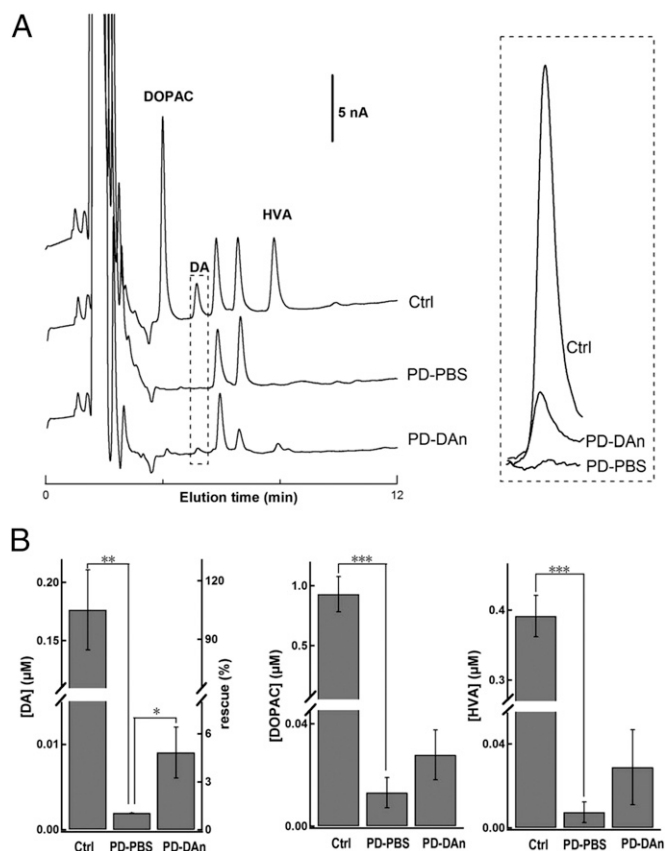


**Fig. 2.** Grafted pNSC-DANs survive for at least 16 wk and reduce asymmetric rotation in the PD rat model. (A) Representative micrographs of pNSC-DAN grafts in the striatum. The transplantation track is visible. (Scale bar, 1 mm.) (B) Representative immunomicrograph showing that the GFP-labeled pNSC-DAN colocalized with TH staining (red) 1 wk after transplantation. (Scale bar, 100  $\mu$ m.) (C) TH (Upper panels, red) and MAP2 (Lower panels, red) colocalized with GFP-labeled pNSC-DAN 16 wk after transplantation. (Scale bar, 20  $\mu$ m.) (D) Apomorphine-induced asymmetric rotation in 6-OHDA-lesioned rats was relieved for 16 wk after transplantation with pNSC-DAN. (Upper) Schematic of the experimental procedure. (Lower Left) Apomorphine-induced rotation in PD-PBS and PD-DAN rats (Student *t* test; \**P* < 0.05, \*\**P* < 0.01). (Lower Right) Apomorphine-induced rotation in PD-DAN rats declined over time after transplantation (ANOVA; \**P* < 0.05, \*\**P* < 0.01).

**Grafted Neurons Partially Rescue DA Release in Striatal Slices.** DA and its metabolites are easily oxidizable and can be detected by both HPLC (32, 33), which can identify substances (Fig. S2), and CFE amperometry (34, 35, 37, 38, 40), which has extremely high spatial and temporal resolution. Because the evoked release of DA and its metabolites had been confirmed by HPLC (Fig. 3), we performed amperometric recordings in striatal slices to determine whether the increased DA level in the striatum was due to its release from grafted pNSC-DAN. Because the pNSCs expressed GFP, the grafted neurons and fibers were clearly visible under a fluorescence microscope (Fig. 4A, Left), and CFEs were used to record DA release in the grafted areas (Fig. 4A, Right). Local application of 70-mM  $K^+$  induced repeatable DA signals represented by the amperometric current  $I_{amp}$ , both in intact and pNSC-DAN-grafted striatal slices (Fig. S6). In contrast, the depolarization-evoked DA overflow was diminished in striatal

slices from the lesioned side of PD-PBS rats (Fig. 4B and D) (intact control, 505.0  $\pm$  61.6 pA; PD-PBS, 6.1  $\pm$  1.1 pA; *P* < 0.001). However, the evoked DA overflow was partially restored in PD-DAN slices (Fig. 4B and D) (6.1  $\pm$  1.1 pA; PD-DAN, 26.1  $\pm$  7.4 pA, *P* < 0.01), and occurred exclusively in the grafted regions (Fig. 4C and E) (outside graft, 2.8  $\pm$  0.8 pA; inside graft, 25.6  $\pm$  6.3 pA; *P* < 0.01). The specificity of DA release in the GFP-positive area was consistent with the observation that the grafted pNSC-DAN remained TH-positive and that the TH-positive staining was mainly detected in GFP-positive cells and processes (Fig. 2A–C and Fig. S4). Combined with the absence of TH staining in the striatum on the lesioned side (Fig. S7) and that the differentiated pNSCs secreted DA in vitro, these findings demonstrated that the increased DA signal in the striatum is due to direct release from the grafted pNSC-DAN.

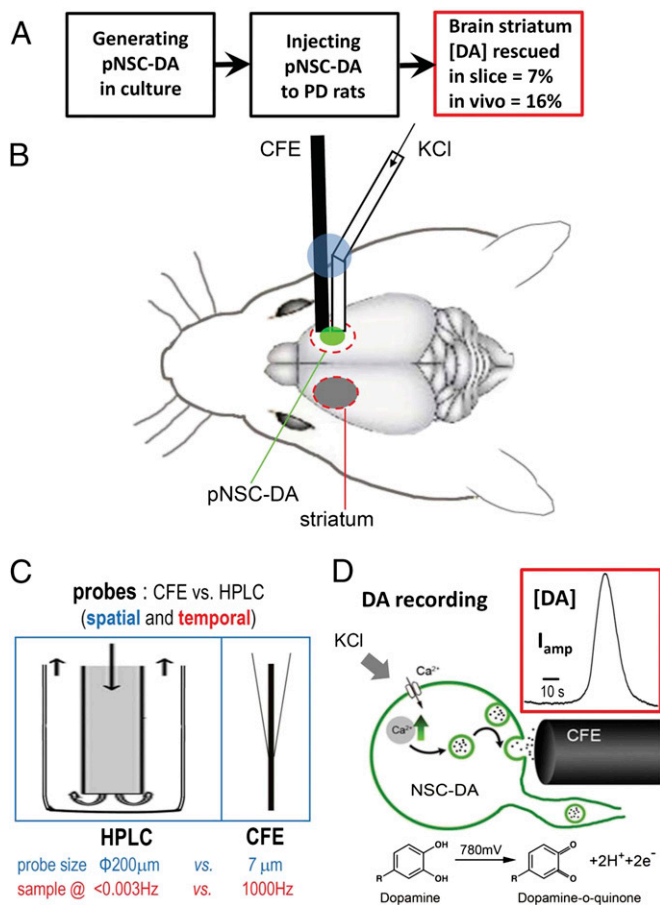
**Grafted Neurons Partially Rescue DA Release in PD Rats in Vivo.** To further assess the potential therapeutic effect of grafted cells, we performed in vivo amperometric recordings in anesthetized PD-DAN rats. Electrical stimulation of the DA fibers in the MFB is commonly used to evoke DA release in the striatum in vivo (34). However, the original MFB and striatal DA terminals on the lesioned side in PD-DAN rats were damaged, so we designed a “stimulus secretion-coupling electrode” that delivered high  $K^+$  locally to depolarize the original or grafted cells under the



**Fig. 3.** Microdialysis-based HPLC analysis of DA and its metabolites from pNSC-DAN-grafted striatum evoked by local application of 70-mM  $K^+$  in vivo. (A) Representative HPLC chromatograms after 70-mM  $K^+$  stimulation in the striatum of intact control (Ctrl), PD-PBS, and PD-DAN rats in vivo. DA and its metabolites DOPAC and HVA were detected. Expanded DA signals are shown in the Right panel. (B) Statistical analysis showing that the levels of DA, DOPAC, and HVA were reduced in PD-PBS rats, and the DA level was rescued in PD-DAN rats (Student *t* test; \*\*\**P* < 0.001, \*\**P* < 0.01, \**P* < 0.05; *n* = 6 for Ctrl; *n* = 5 for PD-PBS; *n* = 5 for PD-DAN). Left axis, [DA]; right axis, rescue by transplantation.







**Fig. 6.** Summary schema of pNSC-DAN transplantation and recording of DA release with CFEs in striatum in vivo. (A) Experimental procedure for striatal transplantation of pNSC-DAN and major output. (B) Exogenous pNSC-DANs (green) were implanted into the damaged striatum, whereas the striatum on the other side (gray) was left intact. A laboratory-made probe (pcCFE) with both a recording CFE and drug-delivery tube [fixed together by glue (blue)] (see *Materials and Methods* for details) was used for in vivo recording. (C) Comparison of experimental probes used for DA detection in the brain with microdialysis HPLC or amperometry (CFE). The higher temporal and spatial resolution of amperometry makes it possible for us to precisely record the kinetics and location of DA release. (D) Amperometric detection of DA release from grafted pNSC-DANs. When sufficient potential (780 mV) is applied to the electrode, DA is oxidized to DA-*o*-quinone, donating two electrons per DA molecule that are detected as amperometric current ( $I_{amp}$ ), which can be calibrated with DA concentration [DA]. (Inset) Typical trace recorded by a CFE with perfusion of 70-mM  $K^+$ .

(11, 17, 44, 45). Since 2011, several groups including ours have developed a rapid and highly efficient method to differentiate human ESCs into renewable pNSCs, which subsequently produce massive numbers of DA-like neurons for PD treatment (12, 18, 19). Importantly, striatal transplantation of pNSC-DANs significantly relieved the asymmetric rotation in a unilaterally damaged PD rat model (present work and see also refs. 12, 19). In the present work, we demonstrated that pNSC-DAN transplantation rescued DA release in the PD striatum, as determined by both microdialysis-based HPLC (for unequivocal DA verification) and CFE amperometry (for high spatiotemporal resolution) recordings in vivo.

The second major finding was determination of the cellular site of DA release in pNSC-DAN-transplanted striatal brain slices. Previous studies have shown that grafted cells can survive and reduce PD-like behaviors (6, 8–10, 13, 14). In addition, DA release can be rescued in vivo by both mouse (33) and human

(present work) ESC-derived cells in the grafted rat striatum. However, the critical question of whether the grafted cells release DA in vivo remained unanswered because the spatial (200  $\mu\text{m}$ ) and temporal (500 s) resolution of microdialysis-based HPLC was too low to distinguish grafted cells from residual cells within the implanted striatum. In contrast, DA recording by CFE amperometry has much higher spatial resolution (probe diameter, 7  $\mu\text{m}$  versus 200  $\mu\text{m}$ ) temporal resolution (sample rate, 1 ms versus 10 min) (Fig. 6C), making it possible to precisely record the location and kinetics of microregional DA release in striatal brain slices under a microscope. Strikingly, the restored DA release was limited to the grafted regions (Fig. 4). Considering that the differentiated pNSCs were able to secrete DA in vitro and that the diminished TH staining in the lesioned striatum was rescued by the engraftment of pNSC-DAN and that most of the TH-positive cell bodies and neurites were also GFP-positive (Fig. 2A–C and Fig. S4), the increased DA level in the striatum is most likely directly released from the grafted pNSC-DANs.

With high-resolution CFE recordings, another finding was that pNSC-DAN rescued DA reuptake in slices from the grafted striatum and in vivo. Here, the kinetics of evoked DA release and reuptake in the grafted PD striatum were determined by the amperometric current with high temporal resolution, in which the rise time represents DA release and the decay time indicates DA reuptake (34, 46–48). Interestingly, the depolarization-induced DA dynamics in the PD-grafted striatum were similar to those in the intact side, as evidenced by comparable rise times, HHDs, and decay times in the pNSC-DAN-grafted and intact sides of the striatum (Fig. 5). Thus, the DA release-coupled clearance was rescued by the grafted pNSC-DAN as well.

Altogether, human ESC-derived pNSC-DA cells can be functionally integrated into the striatum. As summarized in Fig. 6, the present study demonstrated that the rescued DA release and reuptake was most likely from grafted cells. Because human ESC-derived pNSCs, and probably also induced pluripotent stem cells from patients, have high efficacy for producing virtually infinite numbers of transplantable DANs, our work provides an in vivo mechanism for potential application of human ESCs in the treatment of PD.

## Materials and Methods

Methods are described in detail in *SI Materials and Methods*. All animal procedures were approved by and performed according to the guidelines of the Peking University Animal Use and Care Committee and the Association for Assessment and Accreditation of Laboratory Animal Care.

**Cell Culture and Differentiation of DANs.** pNSCs were induced from human ESCs as previously described (18).

**PD Model, Behavioral Testing, and Cell Transplantation.** Adult male Sprague-Dawley rats (~200 g) were microinjected with 6-OHDA into MFB to produce the PD model. The differentiated pNSC-DANs were microinjected into the ipsilateral striatum of the lesioned side of the PD rat, with PBS as the control. The rats were immunosuppressed by daily injection of cyclosporine A from 2 d before transplantation. Apomorphine-induced rotations were monitored every 4 wk after transplantation.

**HPLC Detection of DA Release in Vitro and in Vivo.** Microdialysis-based HPLC detection of the striatal DA and its metabolites were performed as described previously (32), with slight modifications. Cultured pNSC-DANs were incubated in the 3-mM  $K^+$ -containing solution for 5 min, followed by 50 mM of the  $K^+$ -containing solution for another 5 min, and the bath solution was collected for HPLC analysis.

**Amperometric Recording in Vivo and in Striatal Slices.** Electrochemical amperometric current ( $I_{amp}$ ) was recorded in brain slices and in vivo using CFEs, as previously described (34, 37). DA release was recorded as  $I_{amp}$  (oxidation amperometric current) by a CFE ( $\Phi 7 \mu\text{m}$ ) with a 200- $\mu\text{m}$  sensor tip held at 780 mV. For in vivo recording of DA release in striatum, the anesthetized rat was stimulated and recorded by a laboratory-made puffer-coupled CFE (pcCFE), which is consisted of a combined CFE and delivery tube (Fig. 6B). The pcCFE was placed in the striatum, and DA release was evoked by perfusion of 70 mM  $K^+$  through the drug-delivery tube. DA recording of striatal slices was performed as previously described (49). DA overflow was evoked by perfusion with 70 mM  $K^+$  for 10 s and recorded by CFE.

**Immunohistochemistry.** Immunohistochemistry was performed as previously described (49, 50), with slight modifications. Briefly, rats were anesthetized and prefixed with 4% (wt/vol) paraformaldehyde through transcardial perfusion. The brain was postfixed, dehydrated, and sectioned at 50  $\mu$ m on a cryostat. Cultured cells were fixed with 4% (wt/vol) paraformaldehyde in PBS. The fixed samples were permeabilized, blocked, and then incubated with primary antibodies, followed by secondary antibodies. Samples were observed using a Zeiss 710 inverted confocal microscope.

**Statistical Analysis.** At least three independent experiments were performed for each type of assay. Comparisons were made with the two-tailed unpaired Student *t* test or one-way ANOVA as indicated. All tests were performed

using the Statistical Package for the Social Sciences version 13.0, and significant differences were accepted at  $P < 0.05$ .

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