



Published in final edited form as:

*Transl Res.* 2015 January ; 165(1): 115–125. doi:10.1016/j.trsl.2014.05.002.

## Epigenetic Regulation of Fetal Globin Gene Expression in Adult Erythroid Cells

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### Abstract

The developmental regulation of globin gene expression has served as an important model for understanding higher eukaryotic transcriptional control mechanisms. During human erythroid development there is a sequential switch from expression of the embryonic  $\epsilon$ -globin gene to the fetal  $\gamma$ -globin gene in utero, and post-partum the  $\gamma$ -globin gene is silenced as the  $\beta$ -globin gene becomes the predominantly expressed locus. Because the expression of normally silenced fetal  $\gamma$ -type globin genes, and resultant production of fetal hemoglobin (HbF) in adult erythroid cells can ameliorate the pathophysiologic consequences of both abnormal  $\beta$ -globin chains in sickle cell anemia and deficient  $\beta$ -globin chain production in  $\beta$ -thalassemia, understanding the complex mechanisms of this developmental switch has direct translational clinical relevance. Of particular interest for translational research are the factors that mediate silencing of the  $\gamma$ -globin gene in adult stage erythroid cells. In addition to the regulatory roles of transcription factors and their cognate DNA sequence motifs, there has been a growing appreciation of the role of epigenetic signals and their cognate factors in gene regulation, and in particular in gene silencing through chromatin. Much of the information about epigenetic silencing stems from studies of globin gene regulation. As discussed here, the term epigenetics refers to post-synthetic modifications of DNA and chromosomal histone proteins that affect gene expression and can be inherited through somatic cell replication. A full understanding of the molecular mechanisms of epigenetic silencing of fetal hemoglobin expression should facilitate development of more effective treatment of  $\beta$ -globin chain hemoglobinopathies.

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The authors declare that they have no conflict of interest.

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## Introduction

DNA methylation was the first well described epigenetic signal and was long posited to have a role in gene regulation (1-3). Vertebrate globin genes were among the first in which an inverse relationship between cytosine methylation and transcription was demonstrated (4-7). Both histone and non-histone chromosomal protein post-synthetic modifications have also been shown to have important roles in gene regulation, a concept formalized as the histone code (8-10). These relationships have been described in detail in a recent review (11). The current discussion will focus primarily on the epigenetic mechanisms involved in developmental human  $\beta$ -type globin gene silencing (and hence fetal hemoglobin silencing) and the preclinical and potential clinical translational avenues for overcoming this silencing in context of the treatment of inherited  $\beta$ -globin gene disorders.

In all vertebrates that have been studied, a switch from embryonic, or primitive, to definitive hemoglobin production occurs in erythroid cells during development. In humans and old world primates, as well as certain ruminants, an intermediate fetal hemoglobin (HbF) predominates during mid to late gestational stages and persists at a low level post-partum in definitive erythroid cells after adult hemoglobin (HbA) predominates (Table 1). The details of this switch have been reviewed extensively (12, 13).

As with much of human biology, the ability to identify important regulatory mechanisms that are physiologically relevant is a major challenge requiring robust pre-clinical models for understanding  $\gamma$ -globin gene silencing in adults and successfully targeting those mechanisms therapeutically. Because of a high degree of evolutionary conservation of gene regulatory mechanisms in erythroid cells, transgenic mice bearing a yeast artificial chromosome containing an intact human  $\beta$ -globin gene locus ( $\beta$ -globin YAC), have provided a valuable model system for studying developmental globin gene regulation. The transgenic mouse model also allows for testing the effects of modulating epigenetic processes in the context of whole animal physiology. At the same time the  $\beta$ -globin YAC mouse model is limited by the fact that the mouse lacks a true analog of the human fetal erythroid compartment, such that the transgenic human  $\gamma$ -globin gene is regulated like the murine embryonic  $\beta$ -type globin genes, which are repressed several orders of magnitude more than the human  $\gamma$ -globin gene in adult humans (14), (Table 1).

Cultured primary human erythroid cells derived from CD34+ progenitors induced to erythroid differentiation provide another powerful model for studying human  $\gamma$ -globin gene silencing (15, 16). The limitations of cultured primary erythroid cells include their limited life span and the fact that achieving terminal erythroid differentiation while maintaining cell viability is often challenging.

The primate baboon model has also been quite useful given that the developmental  $\beta$ -type globin gene repertoire of the baboon is very similar to humans, including a fetal hemoglobin (17). Other vertebrate models and cultured cell systems have provided important early insights into epigenetic control of globin gene silencing, but the discussion of pre-clinical translational studies is directed primarily at the above models.

Much of the focus of research on developmental  $\gamma$ -globin gene silencing has been on trans-acting transcription factors. The discovery of the quantitative trait locus BCL11A on chromosome 2p16 (18, 19), identified this factor as an important regulator of fetal hemoglobin expression. Subsequent studies have shown that BCL11A binds to an intergenic region in the  $\beta$ -globin locus and has a dominant silencing effect on murine embryonic  $\beta$ -type<sup>H1</sup> and  $\epsilon\gamma$ -globin, as well as human  $\epsilon$ - and  $\gamma$ -globin gene expression in  $\beta$ -YAC transgenic mice (12, 20).

Knockdown of BCL11A in cultured primary human adult erythroid cells also results in a significant upregulation of  $\gamma$ -globin gene expression, although the magnitude of this effect is much less than in the  $\beta$ -YAC mouse model (19). The transcription factor SOX6 also mediates embryonic  $\beta$ <sup>H1</sup> and  $\epsilon\gamma$ -globin gene silencing in the mouse, and it is known to interact with BCL11A (21, 22).

Krüppel-Like Factor 1 (KLF1), originally known as Erythroid krüppel-like factor (EKLF), was initially shown to be critical for adult  $\beta$ -globin gene transcription (23), and to increase the ability of the  $\beta$ -globin promoter to compete with the  $\gamma$ -globin promoter for the enhancer function of the erythroid-specific  $\beta$ -globin Locus Control Region (LCR) (24, 25). A more direct role of KLF1 in  $\gamma$ -globin gene silencing occurs through its stimulation of BCL11A expression (26, 27).

The MYB gene has also been implicated in regulating fetal hemoglobin levels through both quantitative trait locus studies and functional assays (18, 28-30).

A number of other transcription factors having been implicated in embryonic/fetal  $\beta$ -type globin gene silencing. These include GATA1 in association with FOG1 and the NuRD complex (31-34), NF-E4 (35), the TR2/TR4/DRED complex (36, 37), Ikaros in association with the PYR co-regulatory complex (38).

As the transcription factors involved in fetal globin gene silencing have been recently reviewed, the remainder of this review will focus on epigenetic silencing mechanisms (39).

## Epigenetic Regulators – Writers and Readers

There are only a few examples in which an epigenetic modification of DNA or a chromosomal protein has a direct effect on structure or function (40). An exception is histone acetylation which does appear to directly alter chromatin structure (11, 41). In most cases, epigenetic marks serve as a recognition signal for a protein or protein complex which ultimately carries out the specific associated regulatory function. A useful organizing concept for identifying potential targets for perturbing epigenetic fetal globin gene silencing is that of writers and readers. Writers are the enzymes that deposit or remove an epigenetic mark, while readers are the proteins or complexes that interpret those marks and carry out the associated regulatory function.

## DNA Methylation

DNA methyltransferases (DNMTs) are a major category of epigenetic writers, as DNA methylation was the first well established epigenetic regulatory signal. The most well-characterized of these are the *denovo* methylases, DNMT 3A and 3B, which symmetrically methylate cytosines in the dinucleotide CpG on both strands of unmethylated DNA, and DNMT1, a so-called maintenance methylase, that adds a methyl group to the symmetric CpG on the unmethylated strand of DNA following DNA replication. Since the discovery that silent embryonic and fetal  $\beta$ -type globin genes are methylated, and that the cytidine analog, 5-azacytidine inhibits the processive methylation of hemi-methylated DNA following replication, many studies have focused on DNMT1 as a target for reversing globin gene silencing. Initial studies in animal models (42) were followed by clinical interventions that demonstrated increased fetal hemoglobin expression in patients with both sickle cell anemia and  $\beta$ -thalassemia who were treated with 5-azacytidine (43-45). The mechanism by which 5-azacytidine actually induces increased human fetal gamma globin gene expression has been debated, and mechanisms such as generalized cytotoxicity and induced erythroid cellular stress have been proposed (13, 46-50). Nonetheless in well-characterized primate and human  $\beta$ -globin gene locus-bearing transgenic mouse models, disruption of DNA methylation appears to be its major mechanism of relieving  $\gamma$ -globin gene silencing, although perhaps indirectly in part (51-56). Despite the development of more specific inhibitors of DNMT1, such as decitabine which, unlike 5-azacytidine, lacks effects on RNA metabolism, concerns about the safety of this class of agents have limited clinical application in  $\beta$ -hemoglobinopathies. However, a recent study of low dose decitabine in  $\beta$ -thalassemia patients reported an increase in HbF without detectable short term cytotoxicity or genotoxicity (57).

The readers of DNA methylation are a group of proteins that preferentially bind to DNA containing symmetrically methylated CpG dinucleotides. The largest family of these are the Methyl cytosine Binding Domain (MBD) proteins, which include MBD1, MBD2, MECP2, and MBD4 (58). Of these, the role of MBD2 in regulating embryonic/fetal  $\beta$ -type globin gene silencing in adult erythroid cells is the most-well characterized. MBD2 binds preferentially to DNA containing a high density of methylated CpGs. MBD2 has been shown to bind directly to the avian embryonic  $\rho$ -globin gene and knockdown of MBD2 de-represses the gene in adult erythroid cells in culture (59). Knockdown of MBD2 has also been shown to induce a large increase in expression of the silent human  $\gamma$ -globin gene in human  $\beta$ -globin locus bearing transgenic mice (53), and in human primary CD34 precursor derived adult erythroid cells (60). Importantly, complete knockout of MBD2 in transgenic mice does not result in any major deleterious phenotype (61), suggesting that its disruption postnatally in somatic cells may have minimal toxicity.

MBD2 mediates silencing by recruiting the Nucleosome Remodeling and Deacetylase (NuRD) complex to methylated DNA (62, 63). Structural studies of the MBD2-NuRD complex have identified a critical coiled-coil protein interaction between MBD2 and p66 $\alpha/\beta$ , another NuRD complex component. Enforced expression of the p66 coiled-coil protein results in release of the Mi2 $\beta$  chromatin remodeling ATPase from the NuRD complex, and

de-repression of the silenced embryonic and fetal  $\beta$ -type globin genes, presumably by decoupling MBD2 from the NuRD chromatin remodeling function (60).

A closely related member of the MBD family, MBD3, also associates with a NuRD complex, but does not bind to methylated versus non-methylated DNA with high affinity (58, 64). Moreover, the presence of MBD2 and MBD3 in association with NuRD complex appears to be mutually exclusive (65). MBD3-NuRD is associated with the  $\gamma$ -globin gene promoter primarily through association with the GATA1 transcription factor associated protein, Friend of GATA1 (FOG1), (32, 33) or other complexes (66). Disruption of expression of the Mi2 $\beta$  subunit of NuRD results in increased  $\gamma$ -globin gene expression in transgenic mice (34), cultured mouse CID hematopoietic cells bearing a human  $\beta$ -globin gene locus and cultured primary human erythroid cells (67).

Recently it was shown that as little as a 50% knockdown of Mi2 $\beta$  in primary human erythroid cells results in a  $\sim$ 10-fold increase in  $\gamma$ -globin gene expression without affecting erythroid differentiation, compared to control CD34+ progenitor derived erythroid cells treated with scramble shRNA (67). The degree of differentiation in control cells in these studies leads to a level of 1%  $\gamma/\gamma+\beta$  RNA, which is comparable to normal adult reticulocyte levels. Interestingly, in these studies, the effect of Mi2 $\beta$  on  $\gamma$ -globin gene silencing did not appear to be due largely to an effect on MBD2-NuRD or MBD3-NuRD. Rather at least part of the effect was through down regulation of BCL11A and KLF1 in Mi2 $\beta$  knockdown erythroid cells. The purported relationships of MBD2-NuRD, MBD3-NuRD and Mi2 $\beta$  in  $\gamma$ -globin gene silencing in the context of other major epigenetic regulatory factors are depicted in Figure 1, (67). Based on the preponderance of evidence, it appears that MBD2 plays a greater role than MBD3 but not than Mi2 $\beta$ , in silencing  $\gamma$ -globin gene expression, while Mi2 $\beta$  plays a greater role than either MBD2 or MBD3.

## Histone Acetylation

Increased histone acetylation has long been posited to be associated with decompressed chromatin and active gene expression (68, 69). The writers for histone acetylation are histone acetyltransferases including P300/CBP; PCAF and TAF(11)250, (70) as well as histone deacetylases (which might be more properly thought of as “erasers”). The complexity of histone acetylation and its relationship to gene regulation has been intensively studied and will not be reviewed in detail here. The consensus is that acetylation of lysines at histone tails results in charge neutralization and loosening of the interaction of nucleosomes with their associated DNA (11).

A number of inhibitors of histone deacetylases have been identified or synthesized, the prototype being butyric acid (69). Butyric acid and derivatives were shown to induce expression of silenced embryonic and fetal  $\beta$ -type globin genes in several animal models (71, 72). While increased fetal hemoglobin expression was associated with increased histone acetylation in the vicinity of the  $\gamma$ -globin gene, (54) it is important to recognize that histone deacetylases might potentially affect acetylation of transcription factors and other non-histone proteins. Moreover, butyrate and other HDAC inhibitors have been shown to affect other signaling pathways including the STAT5, cAMP and MAP kinase systems (73-75).

Thus the exact molecular mechanism(s) of  $\gamma$ -globin gene activation by HDACs are not fully known. Nonetheless, treatment of patients with sickle cell anemia and  $\beta$ -thalassemia with sodium butyrate and butyric acid was shown to induce increased HbF expression (76, 77). The effect of naturally occurring butyrates is somewhat variable, possibly reflecting phenotypic differences in their metabolism or in the factors that are responsible for the mechanisms of action. Extensive efforts have been made to improve on the effectiveness of histone deacetylase inhibitors while decreasing unwanted side effects. Recent large scale chemical genetic studies independently identified HDAC1 and HDAC2 inhibitors as inducers of  $\gamma$ -globin gene expression, (78) affirming the likely mechanism of action of butyric acid and some of its derivatives.

## Histone Methylation

Unlike histone acetylation, which is generally associated with both active chromatin configuration and gene expression, histone methylation can signal either gene activation, gene silencing, or a bivalent state. For example, histone H3K4me3 methylation is usually associated with open chromatin and gene transcription while histone H3K9 and H3K27me3 methylation are most frequently associated with gene silencing (8, 79, 80). The presence of both H3K4me3 and H3K27me3 is associated with a poised bivalent state (81).

The major writers of histone methylation are the SET domain lysine specific methylases and the protein arginine methyltransferases (PRMT). A PRMT5-dependant multi-protein complex has been shown to contribute to human  $\gamma$ -globin gene silencing. Moreover, symmetric methylation of histone H4 arginine 3 (H4R3 Me2s) serves as a binding target for DNMT3A leading to methylation at the  $\gamma$ -globin gene promoter. The histone lysine methyltransferase Suv4-20h1 and components of the NuRD complex are also associated with these complex (66, 82). Recently, the methyltransferase inhibitor, adenosine-2', 3' dialdehyde (Adox) was shown to induce  $\gamma$ -globin gene expression in human primary erythroid cells in culture, suggesting that PRMT5 enzymatic activity may be mechanistically linked to silencing. As with most inhibitor studies, the possibility remains that the effects of Adox may be through another methyltransferase (83).

Another member of the protein arginine methyltransferase family, PRMT1, has been associated with human  $\gamma$ -globin gene silencing through association with a protein named friend of PRMT1 (FoP) (84). Knockdown of FoP resulted in increased  $\gamma$ -globin gene expression in cultured primary human erythroid cells. Interestingly, PRMT1 has also been shown to facilitate a number of histone acetylation events including acetylation of Lys9/Lys14 and subsequent transcription of the adult  $\beta$ -globin gene (85). This result suggests that the enzymatic activity of PRMT1 also may contribute to  $\gamma$ -globin gene silencing through increasing the  $\beta$ -globin gene's ability to compete for the  $\beta$ -globin locus control region enhancer activity.

Specific lysine demethylases are also involved in  $\gamma$ -globin gene silencing in both murine and human adult erythroid cells. The Lysine-specific demethylase 1 (LSD1) has been shown to associate with the transcription factor BCL11A through a complex containing the repressor element-1 silencing factor co-repressor-1 (CoREST) (86), and to mediate part of BCL11A's

strong  $\gamma$ -globin gene silencing activity. LSD1 also has been shown to associate with the TR2/TR4/DRED complex, along with several other co-repressor complexes (87). Inhibition of LSD1 by either RNA interference, or the LSD1 enzymatic activity inhibitor, tranilcypromine results in increased  $\gamma$ -globin gene expression in  $\beta$ -globin locus-bearing transgenic mice and cultured primary human erythroid cells (86, 88). However, since LSD1 is required for normal erythroid maturation, it has been suggested that its inhibition potentially might adversely affect that process (86).

## The Interplay between DNA Methylation and Histone Modification in Regulating Gene Expression

Studies in vertebrate model systems have demonstrated a close and often reinforcing relationship between DNA methylation and repressive histone modifications in gene silencing (89, 90). In some instances DNA methylation and associated Methyl binding domain proteins recruit co-repressor complexes that contain SET domain proteins that catalyze H3K9 methylation (91). Other studies have demonstrated that repressive histone marks such as H3K9 methylation may recruit DNA methyltransferases (92). Conversely, histone acetylation has been shown to prevent extinction of gene expression and subsequent DNA methylation (41, 93). The self-reinforcing nature of these interactions are depicted in Figure 2.

## MicroRNA and RNA Interference

Frequently microRNA (miRNA) and small inhibitory RNA (siRNA) are included in the category of epigenetic regulatory mechanisms. These small RNAs are capable of well-characterized post transcriptional gene silencing, but also have been shown to direct epigenetic modifications in plants and animals (94). Several miRNAs have been implicated in the regulation of  $\gamma$ -globin gene expression. Lin28B and the associated let-7 miRNA family are regulated during fetal to adult erythroid development. Enforced expression of LIN28B resulted in increased  $\gamma$ -globin gene expression in cultured adult primary human erythroid cells, while LIN28B knockdown decreased  $\gamma$ -globin gene expression in fetal cord blood derived human erythroid cells which normally express high levels of HbF (95). At least part of this effect was attributed to the effect of LIN28B on expression of BCL11A. Similarly, microRNA-486-3p was shown to bind to the BCL11A mRNA 3'untranslated region and down regulate its expression concomitant with upregulation of  $\gamma$ -globin gene expression in cultured human erythroid cells (96). The role of direct epigenetic changes in the actions of either LIN28B or microRNA-486-3p remains unknown.

## Interplay Between Transcription Factors and Epigenetic Regulators

Any discussion of epigenetic regulation of globin gene expression must account for the interplay between transcription factors and co-regulatory complexes with which they interact and which in turn often contain both "writers" (eg. Histone acetylases and deacetylases), and "readers" (eg. Methyl-cytosine binding proteins) of epigenetic chromatin marks. Several transcription factors that are involved in embryonic fetal  $\beta$ -type globin gene silencing are known to associate with one or more co-repressor complexes. Among these,

BCL11A has emerged as a dominant regulator of developmental globin gene silencing in mice and is also implicated as a strong mediator of  $\gamma$ -globin gene silencing in cultured human primary erythroid cells (19). BCL11A has been shown to associate with the MBD3-NuRD complex, as well as the LSD1/CoREST complex, Sin3A, NCoR/SMRT, and DNMT1 (86). Another transcription factor complex associated with embryonic globin gene silencing, the TR2/TR4/DRED orphan nuclear receptor complex, has been shown to associate with a number of epigenetic co-regulatory proteins, including the MBD3-NuRD, LSD1/CoREST, Sin3A complexes and DNMT1 (87). Thus the effectors of these transcription factors may be in large part epigenetic.

Another connection between epigenetic regulators and transcription factors that are involved in  $\gamma$ -globin gene silencing is through epigenetic regulation of expression of the transcription factors themselves. It was recently shown that Mi2 $\beta$ /CHD4, independently of the NuRD complex, is required for high level expression of both KLF1 and BCL11A in primary human adult erythroid cells and that Mi2 $\beta$ /CHD4 binds directly to BCL11A (67), (See Figure 1).

It is important to note that virtually all of the epigenetic and transcriptional regulatory factors that are discussed here and depicted in Figure 1, have been shown to play a role in normal developmental globin gene switching. However, the relative effect of a given factor in the totality of  $\gamma$ -globin gene silencing appears to vary considerably in developmental globin silencing or “switching” versus maintenance of silencing in the adult erythroid compartment.

A summary of the co-regulatory complexes that contain known epigenetic readers and writers and that are associated with transcription factors involved in developmental regulation of  $\gamma$ -globin gene expression is presented in Table 2.

## Translational Clinical Trials Targeting Epigenetic HbF Silencing

The first clinical trials aimed at reversing fetal hemoglobin silencing in patients with sickle cell anemia and  $\beta$ -thalassemia targeted DNA methylation with 5-azacytidine (43-45). While subsequent trials with cytotoxic agents raised questions as to the exact mechanism of 5-azacytidine induced HbF expression (13), a number of preclinical studies support a major role for DNA hypo-methylation (51-53, 55, 56). As noted previously, concerns about adverse effects of hypo-methylating agents with known cytotoxicity have limited the widespread use of 5-azacytidine and decitabine in the clinic.

The use of histone deacetylase inhibitors represents the other major example of clinical trials aimed at targeting epigenetic silencing of HbF expression in patients with  $\beta$ -globin gene disorders (76, 77, 97). Recent trials with oral butyrate derivatives have shown activity in patients with  $\beta$ -thalassemia. One such agent Sodium 2, 2 dimethyl butyrate was shown to be tolerated in Phase I/II trial (98). While butyrate and derivative compounds have demonstrated effectiveness in some patients, the effects are variable. The nature of this variability remains unknown and could involve differences in metabolism of various HDAC inhibitors or genetic heterogeneity in acetylated protein targets or downstream regulatory factors in different patients.



Based on the preclinical studies described previously, a number of epigenetic modulators are either in early phase clinical trial testing or such trials are being planned. Among these are inhibitors of the histone lysine demethylase, LSD1 (86, 88), the histone arginine methylase PRMT5 (83), as well as selective HDAC1 and HDAC2 inhibitors (78). The development of more selective HDAC inhibitors may increase their effectiveness while decreasing the unwanted side effects of these agents.

In the face of a large number of epigenetic targets for inducing HbF expression in patients with  $\beta$ -thalassemia and sickle cell anemia, consideration of several factors should guide the further development of targeting strategies. The same considerations also apply to therapeutic targeting of transcription factors such as BCL11A and KLF1. The first is selection of the most informative preclinical model systems to identify promising agents. Human  $\beta$ -globin locus bearing transgenic mice have provided a valuable model to identify important epigenetic and transcription factor silencers of embryonic/fetal  $\beta$ -globin gene expression. However, as noted previously because mice only have embryonic and adult  $\beta$ -globin expression, this model may either underestimate or overestimate the effect that a given epigenetic or genetic fetal globin gene silencer will have in humans.

Cultured human primary erythroid cells derived from CD34+ progenitors also provide a valuable model for identifying epigenetic targets for inducing fetal hemoglobin expression. One important caveat for studies using these cells is that it is very important that the level of  $\gamma$ -globin gene expression be measured after extensive erythroid differentiation when the  $\gamma/\alpha$  +  $\beta$  expression level in control cells approaches that in normal adult erythroid cells. Agents that interfere with differentiation might result in a sufficient increase in  $\gamma$ -globin gene expression in this model to be clinically useful, but may have deleterious effects on erythropoiesis. Variation in the level of erythroid differentiation achieved in studies of agents that disrupt  $\gamma$ -globin gene silencing in this cell model system must be taken in to consideration when assessing their relative therapeutic potential.

Another consideration is how specific the effect of a given type of epigenetic targeting might be. Clearly epigenetic regulatory factors have global effects on gene expression in all cell types, so that complete inhibition or ablation would likely be catastrophic in many instances. One exception might be the methyl binding domain protein MBD2, whose complete absence is tolerated in knockout mice with only a minimal phenotype (63). It is also generally believed that only genes that are in a poised state can be readily transcriptionally activated. Thus, if partial inhibition of multiple fetal globin gene silencing mechanisms can be achieved epigenetically, this might be highly effective with acceptable short and long term off target effects. Finally, the feasibility of targeting a given epigenetic regulator must be considered. Those that function through enzymatic activity such as DNA methylases, HDACs, histone demethylases, and histone methylases, and potentially the ATPase activity of Mi2 $\beta$ /CHD4, are more readily druggable. This is largely why clinical trials targeting these regulators already have been carried out or are underway. Like transcription factors, those epigenetic regulators such as MBD2-NuRD that function through protein-protein or protein DNA interactions have been considered “undruggable” in the past. However, recent success in developing agents such as covalently stapled peptides capable of disrupting protein-protein interactions in animal models (99-101), and targeting specific

proteins for degradation in the proteasome (102, 103) suggest that this class of epigenetic regulators may be targeted successfully in the future (Table 3).

In summary, epigenetic mechanisms play a key role in fetal globin gene silencing, both independently and in cooperation with specific transcription factor silencers such as BCL11A and KLF1. Among the first proof of principle targeted treatment trials in patients with  $\beta$ -hemoglobinopathies were those aimed at DNA methylation and histone acetylation, two key epigenetic marks of globin gene transcriptional activity. With further understanding of the specificity of epigenetic fetal globin gene silencing mechanisms, it is likely that targeting of this process will result in more successful future treatment of patients with  $\beta$ -globin disorders through induction of increased HbF levels.

## Acknowledgments

The author's work presented in this article was supported by NIH grants R01 DK 29902 and R56 DK029902, and Massey Cancer Center Core Grant P30 CA016059

The contributions of Maria Amaya, Megha Desai, Shou Zhen Wang, Sheng Zu Zhu, and many past students and fellows to the work done in the author's laboratory is gratefully acknowledged.

The helpful assistance of Amy Jones in preparation of this manuscript is most appreciated.

All authors have read this journal article and signed.

All authors have read the journal's authorship agreement.

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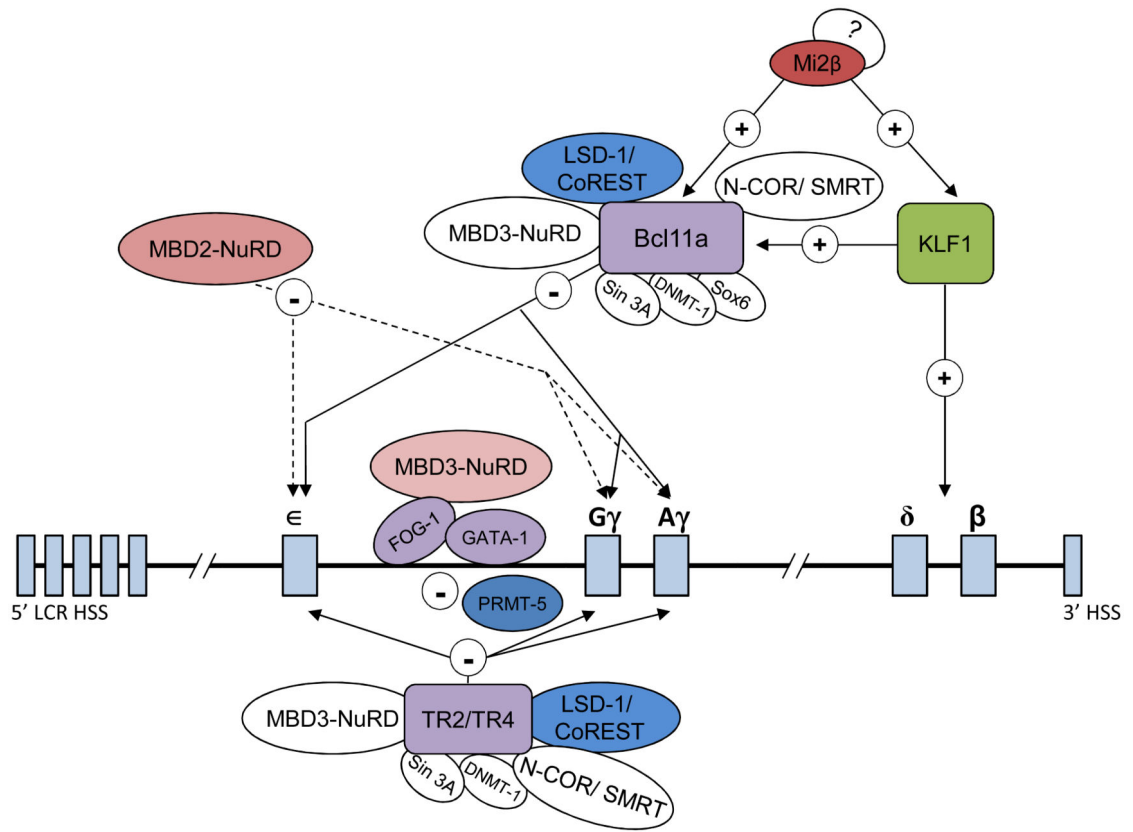
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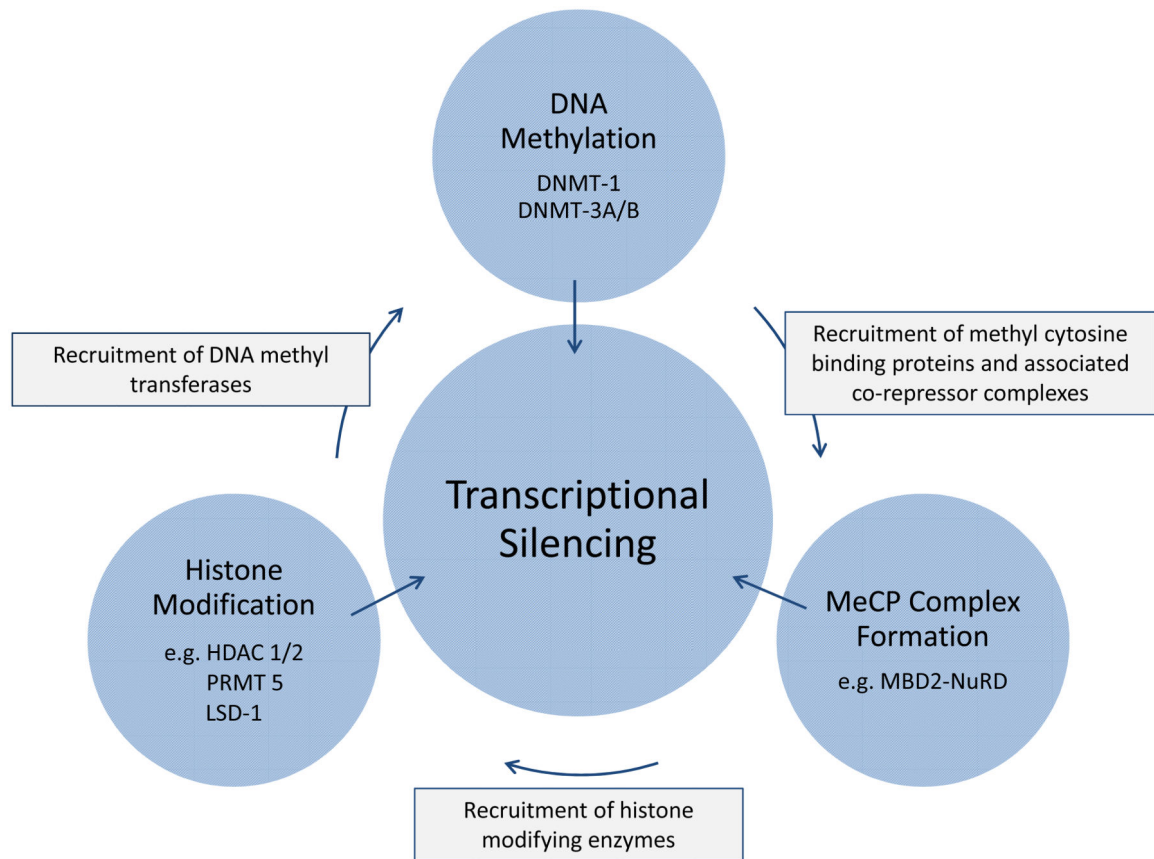
## Abbreviations

|                     |   |
|---------------------|---|
| <b>HbF</b>          | Fetal hemoglobin  |
| <b>HbA</b>          | Adult hemoglobin  |
| <b>β-YAC</b>        | Beta-globin <u>Y</u> east <u>A</u> rtificial <u>C</u> hromosome                       |
| <b>LCR</b>          | <u>L</u> ocus <u>C</u> ontrol <u>R</u> egion  |
| <b>NuRD</b>         | <u>N</u> ucleosome <u>R</u> emodeling and <u>D</u> eacetylase                         |
| <b>DNMT</b>         | DNA methyl transferase  |
| <b>MBD</b>          | <u>M</u> ethyl cytosine <u>B</u> inding <u>D</u> omain                                |
| <b>shRNA</b>        | Short hairpin RNA   |
| <b>HDAC</b>         | Histone deacetylase   |
| <b>PRMT</b>         | Protein arginine methyl transferase   |
| <b>Adox</b>         | Adenosine-2', 3'-dialdehyde   |
| <b>FoP</b>          | Friend of PRMT1 (Protein arginine methyl transferase)                                 |
| <b>siRNA</b>        | small inhibitory RNA, BCL11A, B-Cell Lymphoma/Leukemia A                              |
| <b>EKLF</b>         | Erythroid krüppel-like factor   |
| <b>KLF-1</b>        | Krüppel-like factor 1   |
| <b>TR2/TR4/DRED</b> | Nuclear receptor TR2/TR4 complex  |
| <b>Mi2β/CHD4</b>    | Chromodomain-helicase DNA binding protein 4   |
| <b>CID</b>          | Chemical inducer of dimerization  |
| <b>PCAF</b>         | P300-associated factor  |
| <b>CoREST</b>       | REST-co-repressor   |
| <b>NCoR/SMRT</b>    | Nuclear receptor co-repressor-1/Silencing Mediator for Retinoid and Thyroid Receptors |
| <b>LSD1</b>         | Lysine-Specific Demethylase-1   |





**Figure 1. Mi2 $\beta$ -mediated epigenetic globin gene silencing through multiple mechanisms**  
 Mi2 $\beta$  is a critical component of the MBD2/NuRD complex which regulates developmental globin gene silencing independently of BCL11A and KLF1/EKLF. Mi2 $\beta$  also binds to the distal promoter region of the  $\gamma$ -globin gene as part of the MBD3/NuRD/GATA-1/FOG-1 silencing complex. Importantly, Mi2 $\beta$  binds to and activates expression of BCL11A and KLF1/EKLF which in turn silence  $\gamma$ -globin gene expression. In each of these processes, Mi2 $\beta$  has been shown to directly promote  $\gamma$ -globin gene silencing. As depicted, Mi2 $\beta$  is also associated with the BCL11A complex and the TR2/TR4/DRED complex through its association with NuRD, but as indicated by the non-colored symbol, its role in the action of these complexes has not been demonstrated directly. Likewise, other non-colored symbols designate epigenetic modulators that have not been shown directly to mediate silencing in association with factors designated by colored symbols.



**Figure 2. Interdependence of epigenetic gene silencing**

Existing data suggest that DNA methylation and postsynthetic histone modification are dependent on one another and in turn reinforce each other. Either mark appears capable of establishing the other by recruiting epigenetic writer enzymes, thus resulting in a cycle that reinforces gene silencing. For example, as illustrated, DNA methylation recruits methyl cytosine binding proteins which recruit co-repressor complexes that contain histone modifying enzymes that catalyze loss of activating marks (Eg. Histone acetylation and methylation) or addition of repressive marks (Eg. Histone methylation). In turn, repressive histone modifications such as H3K9 methylation can recruit DNA methyltransferases that deposit the repressive methylation mark at CpG dinucleotides.

**Table 1**  
**Developmental stage-specific human and mouse  $\beta$ -type globin gene and corresponding hemoglobin expression patterns**

|              | <b>Developmental Stage</b> | <b>Predominant <math>\beta</math>-type Globin (Hemoglobin)</b> |
|--------------|----------------------------|--|
| <u>Mouse</u> | Primitive                  | $\beta^{H1}$ , $\epsilon^\gamma$ (Hb E) I-III                  |
|              | Definitive                 | $\beta^{maj}$ (Hb $\beta^{maj}$ )                              |
|              |                            | $\beta^{min}$ (Hb $\beta^{min}$ )                              |
| <u>Human</u> | Primitive                  | $\epsilon$ (Hb Gower-1, Hb Gower-2)                            |
|              | Definitive                 |  |
|              | Fetal Gestational          | $\gamma$ (HbF), (Hb Portland-1)                                |
|              | Post-partum                | $\beta$ (HbA)  |
|              |                            | $\delta$ (HbA2)  |

**Table 2**  
**Association of  $\gamma$ -globin gene silencing transcription factors with epigenetic modulators**

The epigenetic modulator factors may either act as effectors of transcription factor-mediated silencing (designated by †), or act to regulate expression of a specific transcription factor (designated by \*).

| <b>Transcription Factors</b> | <b>Associated Epigenetic Modulators</b>   |
|------------------------------|---|
| BCL11A                       | MBD3-NuRD (HDAC1/2) <sup>†</sup> , CoREST <sup>†</sup> , N-CoR/SMRT <sup>†</sup> , LSD1 <sup>†</sup> , Sin3A <sup>†</sup> , DNMT1 <sup>†</sup> , Mi2 $\beta$ /CHD4* |
| TR2/TR4                      | MBD3-NuRD (HDAC1/2) † LSD1 <sup>†</sup> , Sin3A <sup>†</sup> , DNMT1 <sup>†</sup>   |
| GATA1/FOG1                   | MBD3-NuRD (HDAC1/2) <sup>†</sup>  |
| NFE4                         | DNMT3A <sup>†</sup>   |
| KLF-1                        | BRG1/SWI/SNF <sup>†</sup> , Mi2 $\beta$ /CHD4*  |
| Ikaros                       | SWI/SNF <sup>†</sup>  |

**Table 3**  
**Potential epigenetic therapeutic targets of  $\gamma$ -globin gene silencing**

|  |
|--|
| DNA methyl transferases                    |
| Histone deacetylases                       |
| Histone demethylases                       |
| Methyl-CpG binding domain protein 2 (MBD2) |
| Mi2p/CHD4 ATPase chromatin remodeler       |
| PRMT5                                      |
| Friend of PRMT1 (FoP)                      |