

# Mechanical circulatory support devices as destination therapy—current evidence

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Advanced heart failure is an increasing problem worldwide. Nowadays, mechanical circulatory support devices (MCS) are an established therapeutic option for terminal heart failure after exhaustion of medical and conventional surgical treatment, and are becoming a realistic alternative to heart transplantation (HTX). There are a number of different treatment options for these patients, such as bridge to transplantation (BTT), bridge to candidacy (BTC), bridge to recovery (BTR) and the destination therapy (DT) option. The latter option has become more frequent throughout the last years, due to a donor organ shortage and an increasing number of older patients with terminal heart failure who are not eligible for HTX. These factors have led to a rapidly increasing number of LVAD implantations as well as centers which perform these procedures. This has also been due to improved LVAD survival rates and quality of life following the introduction of smaller, intrapericardial and more durable continuous flow left ventricular devices. The most common complications for these patients are device-related problems, such as coagulation disorders, gastrointestinal bleeding, device related infection, pump thrombosis or cerebrovascular accidents. However, some questions still remain unanswered or under debate, such as the exact time-point for LVAD implantation. In addition, aspects such as better biocompatibility for LVADs remain a major challenge. This review will concentrate on DT for terminal heart failure and provide an overview of the current evidence for LVAD implantation in this patient group, with particular emphasis on indication and time-point of implantation, choice of LVADs, and long term outcomes and quality of life.

**Keywords:** Heart transplantation (HTX); mechanical circulatory support (MCS); left ventricular assist device (LVAD); heart insufficiency



Submitted Jun 20, 2014. Accepted for publication Aug 23, 2014.

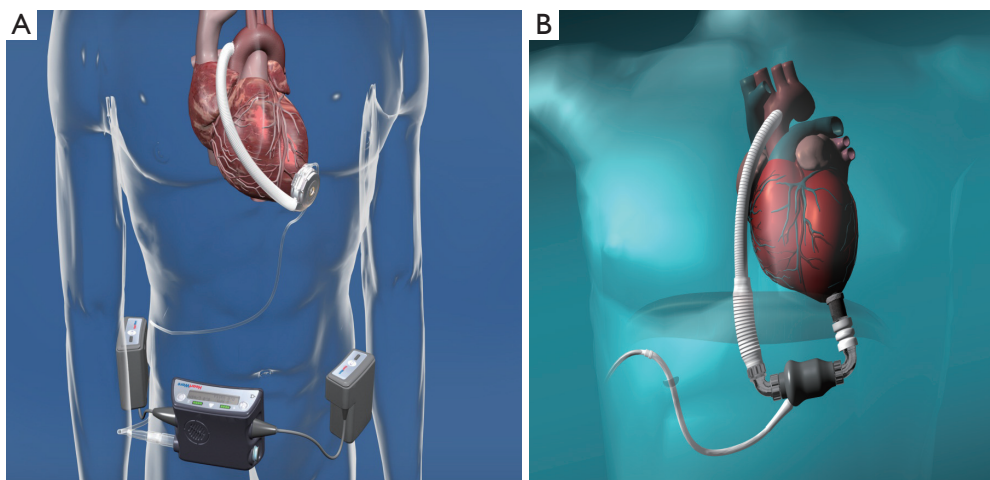
doi: 10.3978/j.issn.2225-319X.2014.08.20

View this article at: <http://dx.doi.org/10.3978/j.issn.2225-319X.2014.08.20>

## Background

Nowadays, heart transplantation (HTX) is the treatment of choice for selected patients with end-stage heart failure, with over 85,000 performed procedures worldwide during the last four decades. On average, more than 4,000 heart transplants are carried out every year, in over 249 centers worldwide (1). However, even significant scientific progress and numerous innovations within the field cannot solve the two current eminent problems of cardiac transplantation—a continuously increasing number of heart failure patients, and

a dramatic decrease in suitable donor organs. In 2013, only 299 hearts were donated and 297 HTXs were performed throughout Germany. This has resulted in a major imbalance between supply and demand, and around 20% of patients die whilst being on the waiting list for a heart transplant (currently around 904 patients in Germany) (2,3). As a consequence of persistent donor organ shortage, there has been a growing interest for alternative strategies, in particular mechanical circulatory support (MCS) not only as a bridge to transplantation (BTT), but also as a destination



**Figure 1** Two examples for CF-LVADs are shown, the HeartWare (A) and the Heart Mate II (B).

therapy (DT). Improved results and increased applicability and durability of left ventricular assist devices (LVADs) have established this treatment option as an alternative for end-stage heart failure patients. Medical treatment with blockade of the neuro-humoral pathway, inotropic support and cardiac resynchronization is only able to improve clinical symptoms of the patients in the short term and has resulted in a disappointing survival rate of just 10-30% (4). Already, back in 2001, the landmark Randomized Evaluation of Mechanical Assistance for the Treatment of Congestive Heart Failure (REMATCH) trial first demonstrated that implantation of LVADs as DT can provide better survival compared to any other known medical treatment in patients with end-stage heart failure who were ineligible for transplantation (5). The authors recommended at that time that appropriate selection of candidates and timing of LVAD implantation are critical for improved outcomes of DT. In a subsequent study, patients with advanced heart failure who were referred for DT before major complications of heart failure developed displayed the best chance of achieving an excellent one year survival with LVAD therapy (6).

Since then, subsequent studies investigating various types of LVADs have supported the benefits of LVAD implantation for advanced heart failure where HTX is deemed unsuitable (7). So far, three generations of LVADs have been on the market. The first generation of implantable ventricular assist devices (VADs) were pulsatile, volume-displacement pumps such as the HeartMate XVE in 1998 (Thoratec Inc.; Pleasanton, Calif, US). These devices had numerous limitations such as a large volume requirements and the need of excessive surgical dissection for device implantation. During the following

decade, significant improvements in pump design resulted in a new generation of LVADs—smaller and non-pulsatile continuous-flow rotary and axial blood pumps (second- and third-generation LVADs). These newer pumps represent a milestone for LVAD-development and provide even better patient-outcomes, which have enabled currently available LVADs such as the Heart Mate II (HM II) (Thoratec Inc. USA) (Figure 1), the Berlin Heart Incor (Berlin Heart AG, Germany), DuraHeart (Terumo, USA) and the HeartWare Ventricular Assist System (HVAD) (HeartWare Inc. USA) (Figure 1) to become the standard of care in specialized centers (8).

### Indication for DT

DT refers to patients who are not eligible for HTX and in whom an LVAD is the only effective option to treat terminal heart failure. The sixth INTERMACS report showed that from a total of 10,542 MCS implantations, nearly half of patients from 2011-2013 (41%) received an MCS as DT (9). In 2012, the European Society of Cardiology (ESC) published guidelines for the use of LVAD therapy which are shown in (Table 1). In general, these criteria for LVAD implantation were based on the patient selection criteria from the REMATCH trial (10). These included patients who have New York Heart Association class IV symptoms for at least 60 days under optimal heart failure therapy, or need inotropic support for heart failure treatment; display a left ventricular ejection fraction (LVEF) under 25%; have a peak oxygen consumption of <12-14 mL/(kg × min); or show a documented inability to be weaned

**Table 1** Indication for LVAD implantation

|  |
|--|
| Left ventricular function 25% and aVO <sub>2</sub> peak <12 mL/kg/min  |
| ≥3 hospitalizations within the previous 12 month   |
| Inotropic support dependent patient  |
| Secondary progressive hepatic and/or renal failure   |
| Increased left ventricular filling (post capillary wedge pressure ≥ 20 mmHg; systolic blood pressure 80-90 mmHg) |
| Cardiac index <2 L/min/qm  |
| Right ventricle dysfunction  |
| Modified from ESC guidelines 2012 by McMurray <i>et al.</i> LVAD, left ventricular assist device.                |

**Table 2** INTERMACS levels

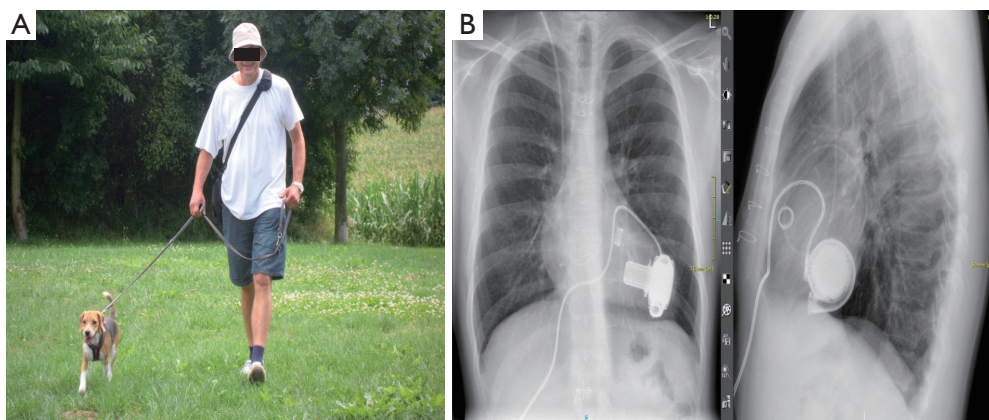
|   |
|---|
| Level 1: Critical cardiogenic shock- “Crash and Burn”   |
| Level 2: Progressive decline despite inotropic support- “Sliding on Inotropes”  |
| Level 3: Stable but inotrope dependent- “Dependent Stability”   |
| Level 4: Resting symptoms   |
| Level 5: Exertion intolerant  |
| Level 6: Exertion limited- “Walking wounded”  |
| Level 7: Advanced NYHA III  |
| Modified from Stevensson <i>et al.</i> , JHLT 2009,26(6):535-541.INTERMACS, Interagency Registry for Mechanically Assisted Circulatory Support. |

off intravenous inotropic therapy (10,11). Patients selected for DT usually have contraindications for heart transplantation, such as age >70 years, malignancy within the past five years, comorbidities such as insulin-dependent diabetes mellitus with end-organ damage, chronic renal failure, drug abuse, severe obesity or fixed pulmonary hypertension with a transpulmonary gradient of above 15 mmHg and vascular resistance >6 Wood Units (12). The best time point for implantation of an LVAD is still under debate, though it is well documented in the literature that patients with high INTERMACS categories have the best outcome with LVADs, including those assigned to DT (8,9). In general, the decision regarding when to implant an LVAD as DT should be based on published scientific evidence and INTERMACS values (Table 2). In addition to profound clinical experience by the responsible physician or surgeon, it requires a holistic evaluation of individual clinical patient parameters and preferences such as quality of life and tolerance of adverse events (13). Ultimately, the patient must decide between LVAD implantation at a later timepoint and lower INTERMACS level, running the risk of rapidly deteriorating heart failure in the meantime, or earlier LVAD implantation at a higher INTERMACS level, with the risk of complications associated with LVAD therapy.

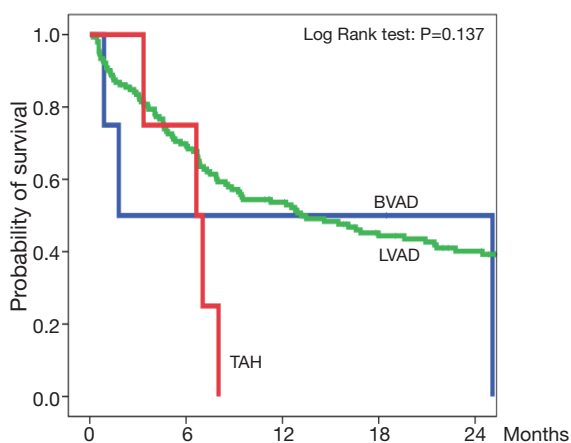
The intention of the patient's LVAD treatment, whether it be BTT or DT, is often assigned prior to device implantation. However, Teuteberg *et al.* emphasised that the possibility of a heart transplant during LVAD support changes continually over time. In an analysis of 2,816 patients enrolled in the INTERMACS database, he showed that 43.5% of patients who were initially implanted with BTT intent were no longer listed for cardiac transplantation at two years after LVAD implantation. At the same time, nearly 15% of patients assigned to DT were considered for transplant. Therefore, the most common pre-implant strategy may be a bridge to candidacy (BTC), in particular as the implant strategy also forecasts patient outcome. The two year survivals of patients supported for BTT, BTC, and DT were 78%, 70% and 61%, respectively. Rapid changes in patients' nutritional status, functional status, end-organ function, and adherence after LVAD can affect transplant candidacy and post-transplant survival. Therefore, there is a need for continued re-evaluation of the implant strategy and indication (BTT *vs.* DT) (7).

### Outcomes of DT

Many efforts have been made to optimize technical design, flow characteristics and durability of LVAD systems to



**Figure 2** Patient walking his dog whilst on HeartWare support (A) and a chest X-ray of the same patient showing the position and angle of the HeartWare.



| Patients at Risk |  | 0   | 6   | 12 | 18 | 24 |
|------------------|--|-----|-----|----|----|----|
| LVAD             |  | 152 | 100 | 73 | 54 | 46 |
| BVAD             |  | 4   | 2   | 2  | 2  | 2  |
| TAH              |  | 4   | 3   | 0  | 0  | 0  |

**Figure 3** Probability of survival for patients with different devices for DT who were treated at the Heart and Diabetes Center NRW, Bad Oeynhausen, Germany between August 2000 and March 2014 (n=160).

enable long term support (Figure 2). A particularly important technical milestone was the implementation of the continuous flow left ventricular assist devices (CF-LVAD). In 2009, Slaughter *et al.* highlighted in a randomized multicentre trial with 200 DT patients, that intended treatment with CF-LVAD, in this case the Heart Mate II device, resulted in a significant better one- and two-year survival (68%, 58%) as compared to a pulsatile Heart Mate I LVAD (55%; 24%). Remarkably, 18 patients were switched from pulsatile to CF-LVAD during the follow up period.

Although the functional status in both groups was improved by LVAD therapy, major adverse events, such device-related and non-device-related infections were significantly reduced in the CF-LVAD group. However, the incidence of strokes did not differ significantly between the two groups (14). Data from our own institution, the Heart and Diabetes Center NRW in Bad Oeynhausen, also shows better outcomes for patients treated with CF-LVADs compared to compared to the patients with biventricular pulsatile VAD's or patients on total artificial hearts (Figure 3). Furthermore, Rogers and colleagues demonstrated additional benefits for functional NYHA status and quality of life in a retrospective analysis of BTT and DT Heart Mate II patients in the above mentioned trial (15). In the DT (CF-LVAD) group, NYHA functional class improved from class IV to class I-II in 80% of patients at between six and 24 months (15). Recently, results of the post-FDA-approval study with the Heart Mate II for DT were reported (16). This study was a prospective evaluation of the first 247 consecutive Heart Mate II patients who underwent implantation after FDA approval of the device, and who were preoperatively identified for DT between January and September 2010. This cohort was compared to a historic patient group of DT Heart Mate II patients (n=133) of the pivotal trial. Baseline characteristics did not differ between both groups. Heart Mate II was implanted at INTERMACS levels 1 and 2 in 45% of patients, and at INTERMACS levels 2-3 in 28% of patients. Survival at one and two years was 74±3% and 61±3% in the post approval respectively, and 68±4% and 58±4% in the pivotal trial group, respectively. According to the INTERMACS levels, patient survival was worse at level 1-2 and best at INTERMACS level

4-7. Comparing adverse event rates, the authors found a general trend towards lower rates in the post approval cohort, including bleeding, device related infections, ischemic stroke and pump exchange for all reasons, but not for hemolysis, which had an increased incidence. The authors concluded that treatment with Heart Mate II for DT is superior to medical therapy in patients with terminal heart failure, but also raised a word of caution regarding the extension of LVAD implantation in 'less sick' patients, as a further reduction of adverse event rates would be essential to ultimately reach this goal (16). Recently, results of the investigator initiated post-market registry to evaluate the HeartWare Left Ventricular Assist System (ReVOLVE) were published. From February 2009 to December 2012, 314 HeartWare implants were enrolled into this registry. The primary outcome was defined as survival to transplant, successful recovery with the device being explanted, or ongoing continued HeartWare system support. Duration of HeartWare support ranged from 1 to 1.057 days and patient selection differed in the current study in that aspect that patients were older, and there were a higher percentage of females and patients with idiopathic cardiomyopathies compared to the ReVOLVE cohort (17). HTX was performed in 56 patients (22%), explant for recovery occurred in three patients (1%), 43 died whilst on support (17%), and 152 (60%) remained on the device. Successful support of patients with HeartWare was 87% at six months, 85% at one year, 79% at two years and 73% at three years. Adverse event rates were low and comparable to or even improved, compared to the CE-Mark-Trial. Complications included bleeding (28%), RV failure (9%) and driveline infections (6%) (17).

### Non device related problems

#### Right ventricular (RV) failure

The failing right ventricle is the burden before and after MCS/D implantation, and influences the outcome after LVAD implantation for DT. Therefore, the major problem during LVAD treatment is the question of whether the frequently impaired RV function is recovering after unloading of the left ventricle. Beyersdorf *et al.* published their experience with LVADs in patients with fixed pulmonary vascular resistance (PVR) for DT (18). A significant reduction in PVR was observed after several months of support. In addition, fixed PVR was successfully reversed with pulsatile and non-pulsatile VADs (18,19).

Reduction of PVR occurred even in patients with markedly elevated PVR (>7 WU), and strikingly, pulmonary hypertension (PHT) did not return after HTX in these patients. Post-transplant survival of this cohort was comparable with that of patients without prior PHT. The observation that unloading of the left ventricle by pulsatile and nonpulsatile VADs is able to reverse previously "fixed" PVR was subsequently confirmed by other groups (20,21). Atluri and colleagues identified central venous pressure >15 mmHg, severe echocardiographic RV dysfunction, preoperative ventilation, severe tricuspid regurgitation and a heart rate >100 as the major predictors of right ventricular failure before LVAD implantation, necessitating biventricular support (22). Device type has a large impact on survival rates, and the INTERMACS registry reports on an excellent one year survival of 81% after implantation of a continuous flow LVAD, compared to a reduced survival of 65% with a pulsatile LVAD. Therefore, continuous flow LVADs should primarily be used in DT to improve outcomes (9), as biventricular support has a significant worse outcome with survival rates of only 57% after one year (7). In terms of supporting only the left ventricle, there is up to 50% incidence of right ventricular failure after LVAD implantation, and as a consequence, perioperative mortality and morbidity rises up to 19% to 43% (5,6). Data from our own center show that temporary percutaneous right heart support by extracorporeal means and LVAD implantation is superior compared to first line implantation of a biventricular VAD during the first 48 hours after post-procedural RV failure (7 *vs.* 0 pts; P=0.005). Follow up after six months surprisingly revealed no significant difference in mortality between the two groups, and significantly more patients suffered from multiple organ and RV failure in the LVAD cohort. Thirty-seven patients required a delayed right heart support after initial LVAD implantation (23).

### Device related problems

#### Acquired von Willebrand Syndrome and gastrointestinal bleeding

A recent report from Segura *et al.* in 2013 described histologic changes within the aortic wall driven by continuous-flow LVAD support in 11 patients after LVAD support, at between 87 and 580 days. Aortic wall samples before and after continuous flow LVAD implantation showed smooth muscle and elastic fiber degeneration, medial fibrosis and also arteriosclerosis (24). However, long-

term cardiovascular changes from non-pulsatile flow LVADs have not yet been investigated in detail and their effect is currently unclear (25). In addition, the phenomenon of acquired von Willebrand Syndrome during long term CF-LVAD therapy is not yet completely understood, but its pathology is reversible after HTX (26). Meyer *et al.* found a decrease of up to 34 % in the high molecular multimers, independent of device type (Heart Mate II or HeartWare). These molecules play an important role in the primary hemostasis and result in reduced platelet activity and aggregation. Although causes of bleeding during LVAD therapy are multifactorial, several other groups have confirmed that acquired von Willebrand Syndrome has developed in patients with long term CF-LVAD due to the loss in high-molecular-weight von Willebrand factor (vWF) multimers (27-30). Moreover, the von Willebrand antigen (vWF:AG) and ratio of vWF to collagen binding activity (vWF:CB) may be potential markers of disease, as the mean vWF:AG and vWF:CB were significantly higher in patients with the Heart Mate II compared with the HeartWare. In addition, lower pump speed in HeartWare patients results in reduced loss of large multimers, whereas speed does not affect the vWF profile in Heart Mate II devices. This subtle difference in vWF profiles between the devices and patients, however, does not seem to correlate with overall bleeding complications (27).

Gastrointestinal bleeding is one of the major adverse events after CF-LVAD implantation, with an incidence of 19-40% (31,32). It is a leading cause for readmission within the first six months after LVAD implantation (33). Its main causes include arteriovenous malformations, 'over anticoagulation', and the acquired von Willebrand Syndrome. However, the rate of gastrointestinal bleeding observed in the ReVOLVE study was quite low, only 5% (17). In contrast, in the more rigorous clinical trial setting of U.S. BTT and continued access protocol (CAP) studies, the incidence of gastrointestinal bleeding was around 10% in 1,496 post-trial patients receiving a Heart Mate II device (34) and 12.7% in HeartWare patients (35,36). One explanation for this may be the differences in pump design, as Heart Mate II has an axial pump design, whereas HeartWare uses a centrifugal pump. In addition, there is usually a much stricter patient selection within a trademark study design such as the REVOLVE study and stricter patient management. Other single-center studies have identified age, ischemic cardiomyopathy, hypertension, body mass index (BMI), albumin, cardiopulmonary bypass time, and a history of gastrointestinal bleeding as pre-

operative risk factors for bleeding post-LVAD implantation (31,37).

### Driveline fracture, pump- and driveline infection

A partial or complete infection involving the device is a serious complication and often associated with an adverse outcome. In particular, infections involving the driveline influence long-term outcomes, and technical problems with the device may ultimately require a pump exchange. In a recent study, Moazami *et al.* reported that 6.4% of 1,128 patients with an implanted HMII needed a pump exchange after a mean support time of  $568 \pm 535$  days. Reasons for pump exchange were infections in 0.6% of patients and lead damage in 3%. Out of all the patients who underwent a pump exchange, 6.5% died in the first 30 days postoperatively and 30% died during the first postoperative year, while 65% remained on continuous support and 5% were transplanted (38). In a further study, Schaffer *et al.* compared 86 CF-LVADs with 47 pulsatile flow LVADs implanted between 2000 and 2009. The authors could demonstrate that *Staphylococcus* species were responsible for 50% of driveline and bloodstream infections (39). In an interesting study by Sinha *et al.*, 86 patients having received a LVAD were matched to 50 transplanted patients by comorbidities, age, sex and transplant date. Freedom from peri-transplant and post-transplant infections was compared at six months after transplant and survival was compared at three years. In this cohort, 44 patients (51%) were successfully discharged home on LVAD support, and 61 (71%) were transplanted. Interestingly, the authors demonstrated that a high incidence of infection during device support did not impact pre-transplant or post-transplant mortality, post-transplant infectious rate, or overall survival (40). Active infections at transplant also did not significantly influence six-month mortality. Although LVAD recipients had a significantly lower freedom from infection than the control heart transplant group, three-year survival did not significantly differ [79% (LVAD) vs. 87% (control)] (40). Finally, in a large database analysis, Kalavrouziotis *et al.* investigated 12,969 worldwide implanted Heart Mate II LVADs. A percutaneous lead dysfunction occurred in 1198 devices (9.2%) over a cumulative support period of 13,932 patient-years. As expected, lead failure was mostly localised to the external part of the cable (87.2%) and could be managed in 76% of the cases by clamshell reinforcement of the external connector strain or by tape or silicone cable reinforcement.

Mortality and significant morbidity, including pump exchange, urgent transplant or a more extensive lead repair occurred in 2.3% of all implanted devices (41).

### Thromboembolic events

Another frequent complication of long term LVAD support in the context of DT are thromboembolic complications resulting in neurologic sequelae (42). Although modern CF-LVADs such as the Heart Mate II have a significantly reduced risk of thromboembolic events and strokes compared to earlier devices, postoperative neurologic sequelae are still an important cause of morbidity and reduced quality of life (43,44). Only a few studies have addressed this problem and evaluated risk factors for stroke and thromboembolic events in CF-LVAD patients, which include a history of cerebrovascular accident, low serum sodium, and low serum albumin (45), high right atrial pressure, enlarged right ventricular end-diastolic dimension and preoperative atrial fibrillation (46,47). Recent results for 9,372 patients from the INTERMACS database suggested significant improvements for neurologic dysfunction with CF-LVADs (11). Morgan *et al.* reported in a series of 100 Heart Mate II patients (35 patients/DT and 65 patients/BTT) a total of 12 patients suffering strokes (12.0%). These included seven BTT patients and five DT patients, resulting in an overall incidence of stroke of 10.8% (7/65) for BTT patients and 14.3% (5/35) for DT patients. The etiology of strokes was embolic in four patients and hemorrhagic in eight. Median duration of support at the time of stroke was 340.5 days (range: 4-1,161 days). Patients with stroke had a significantly higher incidence of diabetes, history of previous stroke, and use of aortic cross-clamping with cardioplegic arrest during LVAD implantation compared with patients without neurologic events. At the time of stroke, 11 of the 12 patients were on warfarin, with a sub-therapeutic INR in all four patients with embolic strokes and supra-therapeutic INR in four of eight patients with a hemorrhagic stroke. Regarding antiplatelet therapy, 11 of the 12 patients were on 81 mg of daily aspirin at the time of stroke, and the effect of a stroke had a profound impact on survival, as mortality within 30 days of stroke was 25.0%. Among the nine surviving patients, two were transplanted, six were on ongoing LVAD support and one died 17 months after the stroke. Unsurprisingly, a Cox multivariate logistic regression analysis revealed that diabetes (OR 6.36; P=0.029), aortic cross-clamping with cardioplegic arrest (OR 4.75; P=0.025), duration of support (OR 1.00; P=0.008), and INR (OR 4.42;

P=0.020) were independent predictors of stroke (48).

### Pump thrombosis and pump exchange

Pump durability is particularly important for patients assigned to DT. Pump function and reliability are important for patients assigned to DT. This is related to the interaction between implantation techniques and anatomical characteristics of the patient. In addition, the diagnosis of LVAD thrombosis is challenging, as it involves a combination of clinical symptoms, serologic markers, imaging studies and changes in device power consumption (49). Shah *et al.* investigated 241 patients with either centrifugal CF-LVAD or axial CF-LVAD, implanted between 2000 and 2012. The results suggested that LDH may be a more sensitive marker for hemolysis than serum free hemoglobin in patients with a continuous flow device, and concluded that LDH is superior in detecting device thrombosis (50). Boyle *et al.* reviewed 469 patients enrolled in the BTT arm of the US Heart Mate II pivotal trial and reported a very low incidence of pump thrombosis of only 0.9% in patients who received warfarin and antiplatelet therapy (51). Although mortality on VAD support continues to decrease, morbidity due to device thrombosis is becoming more apparent, particularly in DT patients as a consequence of long-term support. Furthermore, suspected or confirmed thrombosis was one of the most common indications for device exchange in an analysis of 1,128 patients, of whom 72 underwent pump exchange between 2005 and 2010 (52). Operative mortality for pump exchange at 30 days was 6.5%, and 65% of the patients were alive two years after exchange. However, considering that one-year survival after the first continuous-flow LVAD implant is nowadays about 80%, about 65% after a second implant, and only 50% after a third implant, prevention of pump malfunction and pump thrombosis becomes crucial (52). In this regard, an interesting observation was reported by Starling *et al.*, who had observed an incremental increase in Heart Mate II pump thrombosis in three large LVAD centers in the US since 2011. Among 895 Heart Mate II patients, 72 confirmed pump thromboses were observed in 66 patients, and additionally in 36 patients a pump thrombosis was suspected. Remarkably, the occurrence of confirmed pump thrombosis increased rapidly after March 2011, from 2.2% at three months after implantation to 8.4% by January 2013. The same trend was observed at all three implanting institutions and for all operating surgeons (53). Similarly, an INTERMACS database analysis demonstrated an increase

in pump thrombosis from 2% before May 2011 to 5% from May 2013 onwards (53). One critical point was that the anticoagulation protocol was changed during the study and therefore could have resulted in the higher incidence of pump thrombosis (54). Another explanation may be the deposition of fibrin and denatured protein in the proximity of the inflow bearing, followed by heat generation with increasing shear stress on the red cells. If the deposition of fibrin and denatured protein becomes large enough, this could impair the pump's ability to unload the left ventricle. The "bearing-fibrin" deposition theory could therefore explain the hemolysis that develops as thrombus deposition begins (53).

### Rhythm disturbance

Ventricular arrhythmias are common in patients with CF-LVADs and there have been reports of patients surviving several months with ventricular fibrillation (VF) on LVAD support (55,56). Current theories suggest that LVADs are arrhythmogenic by introducing new areas of scarring or by altering gene expression of ion channels possibly involved in arrhythmogenesis (57). Cantillon *et al.* demonstrated that the presence of an ICD was associated with improved survival in patients with LVADs (58). In another study, 94 patients were enrolled after long term CF-LVAD, of whom 77 had an ICD and 17 did not. Twenty-two patients had a ventricular arrhythmia >30 days after LVAD implantation, and the authors showed that pre-operative ventricular arrhythmia was the major predictor of post-operative rhythm disturbances (4.0% *vs.* 45.5%;  $P < 0.001$ ) (59). No patients discharged from the hospital without an ICD after CF-LVAD implantation died during 276.2 months of follow-up. The authors concluded that patients with pre-operative ventricular arrhythmias are at risk of recurrent rhythm disturbances during CF-LVAD support and should have an active ICD therapy to minimize this risk. Patients without pre-operative history of rhythm disturbances are at low risk and may not need active ICD therapy (5).

### Recovery from LVAD

The possibility of weaning from the device should be considered, especially in DT patients. Despite initial encouraging attempts to wean patients from LVADs, the percentage of patients undergoing LVAD explantation for myocardial recovery remains very low (5-24%) and is only reported in small case series (60-62). Recent data from the

INTERMACS registry report a decreasing percentage of a Bridge to Recovery (BTR) strategy for LVADs over the last years, below 1% for 2013. The highest recovery rate (73.3%) in patients with IDCM was published by Birks and colleagues (63) using pulsatile-flow LVADs and treatment with clenbuterol. However, these data could not be reproduced by any other group. In a retrospective analysis of their MCS population from 1992 to 2009, Krabatsch *et al.* demonstrated that in 44 out of 387 patients with idiopathic dilated cardiomyopathy, LVAD explantation due to myocardial recovery was possible. Throughout this study, the initial weaning incidence was around 10.8% and the institutional weaning rate was 8.8%. The remaining 343 patients did not reach the institutional weaning criteria. In this trial, patients on pulsatile device had a threefold higher chance of weaning from an LVAD than with a non-pulsatile device. Younger patients had a significant better weaning rate than older patients ( $37.9 \pm 18.7$  *vs.*  $52.4 \pm 14.2$  years) (64). Although recovery after LVAD implantation is rare, Patel *et al.* demonstrated in a small single-arm prospective study with 21 patients that the combination of maximal neuro-hormonal blockade with heart failure medication and continuous-flow LVAD resulted in significant reverse remodelling. This process included a decrease in left atrial volume index and left ventricular internal diastolic diameter, and an increase in left ventricular ejection fraction. Although LVAD support could be weaned in only three patients, this study clarified that after LVAD implantation, optimization of the heart failure medication is necessary and should be continued in any case (65).

### Risk scores, pre- and post-operative care and costs

Rosenbaum *et al.*, using the Seattle Heart Failure Score (SHSF), investigated whether LVADs can be implanted in selected patients over the age of 65 years with acceptable survival compared with published outcomes in younger patients. In a single center study, he analyzed a cohort of 64 patients above 65 years with a CF-LVAD for BT or DT from 2005 to 2012. The patients showed a median survival of 1090 days and a survival rate of 85%, 74%, 55% and 45% at six months, one, two and three years. The observed survival was better than the SHSF calculated survival for both groups. Flint *et al.* investigated the association between pre-operative health status, as measured by the Kansas City Cardiomyopathy Questionnaire (KCCQ), and survival and hospitalization after LVAD in 1,125 clinical trial participants who received the Heart Mate II as DT



(n=635) or BT (n=490). He demonstrated that the KCCQ score among survivors and non survivors did not correlate with overall, 30 and 180 day mortality after Heart Mate II implantation. He concluded that the preoperative health status only has a limited association with outcome after LVAD implantation (66).

Several studies have evaluated the long-term outcomes and costs associated with LVAD therapy. Nearly ten years ago, the Randomized Evaluation of Mechanical Assistance for the Treatment of Congestive Heart Failure (REMATCH) study randomly assigned patients ineligible for transplant to treatment with an LVAD or optimal medical management (OMM). The LVAD patients had survival rates of 52% at one year and 23% at two years compared with 25% and 8% in the optimal medical management arm (5). Mean costs for the implant-related hospitalization was \$210,187 at that time (67). A follow-up cost-effectiveness analysis based on the REMATCH trial published in 2004 concluded that the incremental cost-effectiveness ratio (ICER) was \$802,700 per quality-adjusted life year (QALY). As centers gained more experience with patient selection, device implantation, and postoperative management, costs for the initial implant hospitalization decreased. Along this line, Miller et al. presented cost data from a cohort of patients implanted with a pulsatile flow LVAD after completion of the REMATCH trial in select high-volume centers and demonstrated that the mean cost for implantation decreased to \$128,048 (68). In a contemporary review of six pulsatile LVAD studies, Clegg *et al.* reported a cost per QALY of \$341,573 and cited a potential improvement in LVAD cost-effectiveness with the introduction of continuous-flow devices (69). Slaughter *et al.* again analyzed the cost for 83 CF- and 52 PF-LVAD patients. As suspected, the hospital length of stay and in-hospital mortality was lower in the CF-LVAD cohort and as a consequence the inflation-adjusted hospital costs were significantly lower for CF- compared to PF-LVAD patients (mean: \$193,812 *vs.* \$384,260;  $P < 0.001$ ) in this analysis. Clinical factors that strongly influenced hospitalization costs were identified as bleeding, respiratory failure, and infection (70).

### Conclusions and future perspectives

As a consequence of organ donor shortage and an aging population, HTX is not an option for every terminal heart failure patient nowadays. Currently, DT with an LVAD is often the only therapeutic alternative for these patients,

with the Heart Mate II and the HeartWare devices being the most frequently implanted CF-LVADs worldwide for DT. Despite the decreased incidence of pump thrombosis, driveline infection and thromboembolic events in recent years, these complications still significantly contribute to the morbidity and mortality of this therapy. Therefore, the next steps for improving the devices must address these problems.

Further miniaturization of the devices will lead to an easier and less traumatic implantation technique. Improved coating of the foreign surfaces and pump design will further reduce pump thrombosis and clot formation during long-term support, enabling less rigid anticoagulation protocols. One crucial step towards prevention of device-related infections would be the avoidance of a driveline by transcutaneous energy transfer (TET). In addition, the next generation of current devices such as the Heart Mate III will be able to mimic pulsatile flow through rapid changes of the pump speed, with potential benefits, as the long term effects of continuous flow devices on the vasculature and organ perfusion are not entirely understood. Finally, advances in stem cell research and cell therapy in combination with new generation devices may shed a different light on myocardial recovery and develop this strategy into a realistic and effective treatment option applicable to more than a very small cohort of patients.

### Acknowledgements

*Disclosure:* The authors declare no conflict of interest.

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**Cite this article as:** Puehler T, Ensminger S, Schoenbrodt M, Börgermann J, Rehn E, Hakim-Meibodi K, Morshuis M, Gummert J. Mechanical circulatory support devices as destination therapy—current evidence. *Ann Cardiothorac Surg* 2014;3(5):513-524. doi: 10.3978/j.issn.2225-319X.2014.08.20