lat Rev Cancer. Author manuscript; available in PMC 2014 November 13.

Published in final edited form as:

Nat Rev Cancer. 2014 September; 14(9): 611-622. doi:10.1038/nrc3793.

Mechanisms of disseminated cancer cell dormancy: an awakening field

María Soledad Sosa^{1,2,*}, Paloma Bragado^{1,2,3,*}, and Julio A. Aguirre-Ghiso^{1,2}

María Soledad Sosa: maria.sosa@mssm.edu; Paloma Bragado: bragado@clinic.ub.es; Julio A. Aguirre-Ghiso: julio.aguirre-ghiso@mssm.edu

¹Division of Hematology and Oncology, Department of Medicine, Department of Otolaryngology, Tisch Cancer Institute

²Black Family Stem Cell Institute, Ichan School of Medicine at Mount Sinai, New York NY 10029, USA

Abstract

Metastases arise from residual disseminated tumour cells (DTCs). This can happen years after primary tumour treatment because residual tumour cells can enter dormancy and evade therapies. As the biology of minimal residual disease seems to diverge from that of proliferative lesions, understanding the underpinnings of this new cancer biology is key to prevent metastasis. Analysis of approximately 7 years of literature reveals a growing focus on tumour and normal stem cell quiescence, extracellular and stromal microenvironments, autophagy and epigenetics as mechanisms that dictate tumour cell dormancy. In this Review, we attempt to integrate this information and highlight both the weaknesses and the strengths in the field to provide a framework to understand and target this crucial step in cancer progression.

The inability to treat metastasis, the major source of cancer-related deaths, is the most important challenge faced by modern oncologists^{1,2}. Importantly, dissemination has already occurred in many patients at the time of diagnosis¹. Adjuvant treatments are thought to prevent the development of local recurrences or metastasis by targeting residual disease. However, although some patients benefit temporarily from hormonal or targeted therapies², adjuvant treatments are not always effective. Why is this? The answer may lie in the fact that the biology of residual disseminated disease seems to be highly divergent from that of the primary tumour and/or overt metastasis³. This divergence includes the ability of the

Competing interests statement

The authors declare competing interests: see Web version for details.

DATABASES

 $\label{thm:protein Atlas: http://www.proteinatlas.org/BMP4|BMPR2|Fibronectin|NR2F1|POSTN|TGFB1|TGFB2|TGFBR3 \\ \textbf{FURTHER INFORMATION}$

An *in vitro* system to study tumor dormancy and the switch to metastatic growth: http://www.jove.com/video/2914/an-vitro-system-to-study-tumor-dormancy-switch-to-metastatic

ALL LINKS ARE ACTIVE IN THE ONLINE PDF

^{© 2014} Macmillan Publishers Limited. All rights reserved

³Present address: Molecular and Translational Oncology Laboratory, Institut d'Investigacions Biomèdiques August P_i I Sunyer, Barcelona 08036, Spain.

These authors contributed equally to this work.

disseminated disease to remain clinically asymptomatic^{3,4} because disseminated tumour cells (DTCs) can enter dormancy and become refractory to targeted or conventional therapies^{1,2,4} (BOX 1; FIG. 1). Unfortunately, our knowledge of the biology of dormant disseminated disease is cripplingly limited. Understanding dormancy is important because dormant cells may be the source of tumour recurrence. For example, ~62% of all deaths from breast cancer occur after the 5-year survival mark¹, suggesting that dormant DTCs may cause recurrence and that targeting dormant DTCs may be of great benefit to many patients.

Box 1

Early dissemination as a source of heterogeneity, dormant DTCs and premetastatic niches

Dormancy of disseminated tumour cells (DTCs) may not be a process exclusive to metastatic cells that arise from established primary tumours. This is because pre-invasive lesions also contain epithelial cells that can undergo epithelial-mesenchymal transition and disseminate; these cells are referred to as early DTCs. Such early DTCs can develop metastatic growth capacity that manifests after long periods of dormancy^{1,66} (FIG. 1). Early dissemination, which has not been explored by many laboratories^{65,66,141}, has important implications. First, by disseminating at early stages, DTCs that survive and eventually divide may evolve divergently from the primary tumour. This may generate metastases with different characteristics from those of the primary lesion and may explain the lack of success of treating metastasis with therapies designed exclusively on the basis of primary tumour characteristics. Second, the vast majority of early DTCs in mouse models seem to be dormant, and clinical evidence supports this hypothesis^{65,66}. This suggests that persistence in a dormant state even with interspersed division such as that observed in adult haematopoietic stem cells¹¹⁹ may allow these DTCs to remain unscathed after treatment, contributing to late recurrence of disease. Furthermore, premetastatic niches may in fact be conditioned or created by early DTCs. Thus, early DTCs might influence metastasis development even if they themselves remain dormant or senescent. This supports a cooperative model between early and later progressed DTCs for metastatic niche development and escape from dormancy to fuel metastasis.

The heterogeneity of primary and secondary tumours is also expected to exist in residual dormant cancer (FIG. 1). Although clinical dormancy is well documented 1.5, this clinical definition is of little use without a mechanistic understanding. Tumour dormancy was originally defined by Willis in the late 1940s and then redefined by Hadfield in the early 1950s as a temporary mitotic arrest 6 and a growth arrest 1 (BOX 2). Dormancy was later divided into three categories 4: cellular dormancy, where intrinsic and/or extrinsic mechanisms drive solitary or small groups of DTCs to enter quiescence (BOX 2); angiogenic dormancy, where the tumour mass is kept constant by a balance between dividing cells and cells that die due to poor vascularization; and immune-mediated dormancy, where the immune system keeps a proliferating tumour mass constant via a persistent cytotoxic activity. These categories are not static, as processes that affect single cells may share underlying mechanisms with processes that affect the tumour mass. Clinical evidence supports the idea that DTCs are non-proliferative, as determined by the lack of

proliferation markers when DTCs are profiled at the single-cell level^{7–9}, arguing that they enter cellular dormancy. In particular, quiescence may be more fitting than senescence as a functional definition for cellular dormancy (BOX 2). Similar to senescence, quiescence is a stable, non-proliferative cellular state, but in contrast to senescence, quiescence is reversible.

Box 2

Dormancy: cell cycle arrest due to reduced mitogenesis?

Is dormancy simply growth arrest due to a lack of mitogenic signalling? Growth arrest due to senescence, a replicative arrest that is triggered by signals such as oncogeneinduced stress ¹⁴², does not emerge as a central mechanism in cellular dormancy studies. As senescence is an irreversible barrier to transformation, this suggests tumour cells would not re-activate the senescence programme to become dormant ^{143–145}. The fact that disseminated tumour cells (DTCs) are found in a non-proliferative or possibly a slow-cycling phase suggests that G0/G1 arrest will be an inescapable part of this biology. However, a dormancy signature that predicts late relapse in breast cancer, for example^{44,111}, seems to contain genes other than those differentially regulated in cells deprived of growth factors 146. These differentially regulated genes include those involved in regulating the quiescence of normal stem cells^{44,131}. For example, ~62% of the genes induced in quiescent normal muscle, hair follicle and haematopoietic stem cells¹³¹ are upregulated in dormant head and neck squamous cell carcinoma cells⁴⁴. Signals in normal stem cell niches seem to regulate dormancy^{71,147}, and these same cues repress oncogene signalling via quiescence induction¹²⁰. Perhaps dormancy of DTCs may be an active programme that recapitulates quiescence of normal stem cells. However, in dormant DTCs cell cycle arrest is coupled to a persistent but latent form of tumour-initiating or pluripotent capacity that provides an adaptive and survival advantage that eventually fuels tumour growth.

Current therapies target proliferating tumour cells with varying success. Thus, the idea of 'awakening' dormant cells in order to kill them¹⁰ in the absence of effective and specific cytotoxic therapies could worsen patient outcome (BOX 3). However, and perhaps counterintuitively, if DTCs could be kept dormant or could be eradicated while dormant, this would constitute a novel strategy to prevent metastasis¹¹. Achieving such a clinical goal requires the answers to several important questions, which are explored in this Review. Here, we analyse data focusing on stress signalling and autophagy pathways linked to solitary tumour cell dormancy; microenvironments supportive of or restrictive for dormancy; and the role of stem cell, immune and vascular niches in the regulation of dormancy phenotypes. For information on the link between cancer stem cells, epithelial—mesenchymal transition (EMT) and cancer dormancy, please see recent reviews on these topics^{12,13}. Here, we integrate the literature published since we last reviewed the field⁴ to provide avenues for answering important questions on the biology and relevance of tumour cell dormancy.

Box 3

Therapy-induced dormancy and the disadvantages of awakening dormant cells to kill them

A key question for which there are limited data, is whether cancer therapy forces surviving residual tumour cells into dormancy by activating stress signalling^{31,44,71,79,148}. An example of this may be tumour cells that are known as drugtolerant persisters that survive targeted therapies by altering epigenetic mechanisms¹⁴⁹. These drug-tolerant residual tumour cells could be compared with disseminated tumour cells (DTCs) from patients in remission to determine mechanisms that are common to both.

Another frequent question is whether 'awakening' dormant tumour cells may facilitate their eradication with conventional anti-proliferative therapies. However, the clinical evidence suggests that chemotherapy treatment of highly proliferative local and distant lesions does not always fully stop their progression. In addition, residual DTCs are genetically heterogeneous ^{9,65,66,133,150} and awakening dormant DTCs would expand the genetic, and probably epigenetic, repertoire of mechanisms that would allow systemic disease to resist therapy. In support of this, awakened dormant tumour cells seem to rapidly fuel tumour recurrence in experimental systems ^{18,46,151}. Thus, we argue against awakening dormant DTCs and instead support keeping them dormant or eradicating them while dormant as an alternative strategy.

Coordination of quiescence and autophagy

Reduced PI3K-AKT signalling has been linked to dormancy-like phenotypes 14-17. In the presence of nutritional stress, cancer cells secrete factors that inhibit the PI3K pathway, resulting in quiescence and autophagy induction 14. In tumour cell spheroids, loss of adhesion and nutrient deprivation promoted short-term growth arrest. This arrest was linked to epidermal growth factor receptor (EGFR)-Y1086 autophosphorylation, which inhibited AKT activation and cyclin D1 induction 15. Although in vivo validation is still lacking, these findings might be clinically relevant. Balz et al. 16, were able to obtain cell lines from human bone marrow-derived breast cancer DTCs, despite their lack of proliferation in that compartment. Although difficult, cell lines from DTCs dormant in vivo can be expanded in culture and they appear to still carry characteristics of the *in vivo* phenotype¹⁸. Similarly, cancer cell lines that grow easily in vitro can show dormant behaviour in vivo^{19–21}. For example, Balz et al., found that these DTC-derived cell lines contained weak or undetectable AKT-S473 phosphorylation ¹⁶. Although this does not affect *in vitro* proliferation where growth factors are in excess, constitutive reduction in the ATK signalling pathway may predispose these cells to entering quiescence in vivo. Although correlative, the nonproliferative status of bone marrow DTCs in the bone marrow of patients, as determined by the absence of proliferation markers such as Ki67 and PCNA⁸, together with the reduction of phosphorylated AKT in these cells, suggests that PI3K-AKT inhibition might be linked to DTC quiescence^{14,16}. Furthermore, in dormant head and neck squamous cell carcinoma (HNSCC) tumour cells the levels of AKT phosphorylation were reduced ¹⁷. However, these

quiescent tumour cells maintained mTOR activation independently of AKT by upregulating the small GTPase RHEB via the transcription factor ATF6a. Both RHEB and ATF6a promoted the survival of the dormant HNSCC cells by protecting them from apoptosis ¹⁷. Thus, specific rewiring of pathways that coordinate metabolic homeostasis and survival during growth arrest might be a feature of dormant DTCs.

Tumour cells detached from the extracellular matrix (ECM) can survive by inhibiting AKT signalling and by inducing autophagy and an antioxidant response²². Floating tumour spheroids of ovarian cancer cell lines or spheroids isolated directly from patients' peritoneal fluid (ascites) were shown by one study to enter a G0/G1 arrest²³. This arrest was due to AKT inhibition and increased p130 (also known as RBL2) and p27 (also known as KIP1) protein levels, which sequester RB and inhibit cyclin-dependent kinase 4 (CDK4) and/or CDK6 activity to induce G0/G1 arrest. If tumour cells re-adhered, AKT was activated and proliferation ensued²³, with the cells escaping the G0/G1 growth arrest. Because ovarian cancer metastases are usually in the omentum and mesothelium²⁴ it is important to establish whether dormant DTCs persist in these anatomical sites or whether cellular dormancy is only a property of suspended cells in the peritoneum.

Autophagy might allow dormant DTCs to maintain metabolic fitness while inhibiting PI3K-AKT signalling, although reducing this signal may not always inhibit mTOR activation¹⁷. For instance, ARHI (also known as DIRAS3 and RHOI), a RAS homologue that is downregulated in 60-70% of ovarian cancers, was found to be upregulated along with p21 (a CDK inhibitor) in dormant ovarian tumours in mice²⁵. ARHI inhibited PI3K-mTOR signalling and induced the expression of autophagy-related gene 4 (ATG4) and LC3 (also known as ATG8), suggesting that it induces autophagy²⁵. RNA interference (RNAi)mediated downregulation of ARHI interrupted dormancy and tumours resumed growth in mice. Interestingly, inhibition of autophagy using chloroquine in tumours that had been rendered dormant by AHRI expression in vivo resulted in the avoidance of tumour regrowth. Thus, autophagy may be a survival mechanism during dormancy²⁵ and enable tumour cells to survive targeted therapies. For example, the treatment of mouse transgenic gastrointestinal stromal tumours with imatinib (a KIT and BCR-ABL inhibitor) promoted cell death²⁶. However, residual tumour cells in vivo displayed a reversible quiescent phenotype and their survival was dependent on ATG7 and ATG12. Thus, the autophagy signalling machinery might integrate quiescence and survival signals to promote damage repair, for example, via ATG7 regulation of p53 (REFS 27,28) and metabolic fitness by generating an alternative route for amino acid turnover into ATP^{29,30}. Further, AHRI or ATG expression might mark persistent and dormant minimal residual disease.

Blocking survival signals during drug-induced dormancy is an attractive possibility³¹. Bortezomib (a proteasome inhibitor) induces quiescence in surviving multiple myeloma cells³¹, which have dephosphorylated eIF2 α (eukaryotic translation initiation factor 2α) and reduced endoplasmic reticulum (ER) stress-related apoptotic gene induction (BOX 3). Importantly, salubrinal, a GADD34-PP1c (eIF2 α phosphatase) inhibitor, not only potentiated bortezomib cell killing, but also induced apoptosis in the quiescent tumour cells that survived bortezomib³¹. Similarly, in non-small-cell lung cancer (NSCLC) xenografts treated with an EGFR inhibitor (erlotinib), tumour cells entered a quiescence-like state, and

cells that survived this treatment eventually grew after a prolonged arrest (BOX 3) but were eliminated when erlotinib was combined with the BH3-mimetic ABT-737 (a BCL-2 and BCL- X_L inhibitor)³². The erlotinib-surviving fractions displayed increased levels of phosphorylated signal transducer and activator of transcription 3 (STAT3), a cancer cell survival regulator³². It is possible that microenvironmental or drug-induced stress conditions induce survival in quiescent tumour cells via autophagy or other stress-related proteins (for example, increased BCL-2 expression or decreased proteinase-activated receptor 4 (PAR4)³³ expression).

Quiescence and stress tolerance signalling

Although reduced mitogenic signalling can trigger quiescence, specific kinases such as dual specificity tyrosine-phosphorylation-regulated kinase 1B (DYRK1B) can actively induce this state ^{34,35}. In pancreatic and ovarian cancer cells DYRK1B blocks the G0/G1/S transition machinery proteins, including cyclin D1, CDK4 and p27 (REFS 34,36–38). A related kinase, DYRK1A, can also induce quiescence or RAS-induced senescence via the activation of the DREAM (DP, RB, E2F and MuvB) complex that induces G0 arrest during quiescence by repressing cell cycle genes ^{34,39}. Interestingly, DYRK1B also coordinates survival via an antioxidant response, and inhibition of this kinase, through unknown mechanisms, seems to specifically kill quiescent pancreatic cancer cells but not normal quiescent cells ³⁴. Thus, DYRK1A and DYRK1B may be markers of dormant cells and testing the expression and function of these proteins in DTCs may identify a role for quiescence in residual disease.

Pausing proliferation to repair damage is a stress response conserved across evolution⁴⁰. Farnesyl transferase inhibitors (FTIs) can induce a growth arrest in breast cancer cells for about 15 days *in vitro*. Cells that entered this arrest established a RHOC^{hi}/RHOA^{low} signalling ratio that activated the stress-activated kinase JUN N-terminal kinase (JNK) that was required for the growth arrest⁴¹. Similarly, upstream kinases such as MKK4 (also known as MAPKK4) that can also activate JNKs can induce dormancy in other systems^{42,43}. This supports the hypothesis that the activation of stress signalling pathways^{11,18,44–46} could induce a sustained state of quiescence linked to dormancy.

The integration of differentiation and stress pathways has been shown to regulate dormancy, but these pathways may have cell type-specific effects. This is particularly relevant in leukaemias as opposed to carcinomas. For example, in normal T cells, in contrast to epithelial cells, enhanced p38 activation can block T cell differentiation and induce growth arrest of immature thymocytes⁴⁷, whereas ERK1 and ERK2 activation favours T cell differentiation⁴⁷. In T cell acute lymphoblastic leukaemia (T-ALL), ERK1, ERK2 and p38 are inactivated by the ERK and p38 phosphatase MKP1 (also known as DUSP1)⁴⁸. In dormant T-ALL cells, MKP1 is downregulated⁴⁸. MKP1 in turn can be upregulated by NOTCH3, and this upregulation has been shown to induce dormancy escape in T-ALL cells *in vivo*. These data argue that during T-ALL dormancy maintaining high activation of both ERK and p38 signals simultaneously keeps these cells quiescent but uncommitted to full differentiation.

Activation of p38 and/or the unfolded protein response (UPR), an adaptive ER stress tolerance mechanism⁴⁹, might promote the survival of dormant tumour cells⁵⁰. A proteomic analysis revealed that p38-induced dormancy resulted in a UPR. Induction of protein disulphide isomerase (PDI) and GRP78 (also known as BiP) in dormant cells due to p38 activation resulted in the survival of these cells when exposed to chemotherapy and/or nutrient deprivation via BAX inhibition⁵⁰, possibly through BIK upregulation⁵¹. This survival response was concomitant with ATF6a activation, an inducer of GRP78 (REF. 17). Proteomic analysis of bone marrow DTC cell lines obtained from patients with breast, prostate or lung cancer, revealed the upregulation of PDI, GRP78 and GRP94 (REFS 52,53). This may be due to hypoxia⁵⁴ found in the bone marrow microenvironment⁵⁵, which is known to induce a UPR owing to low nutrient and ATP availability^{56,57}. Interestingly, expression of GRP78 and GRP94 was increased in DTCs isolated from bone marrow aspirates from patients with breast cancer⁵² and, clinically, GRP78 upregulation in primary breast cancer correlates with a poor prognosis⁵⁸. Cytokeratin 19 (CK19) upregulation also coordinated a UPR to promote the survival and the growth arrest of breast carcinoma cells by activating p38 and XBP1 (a UPR-regulated transcription factor), which in turn induce the PDI-like protein ERP29 (REF. 59). The above studies suggest that the activation of stress signalling might explain how DTCs survive therapy-induced or microenvironment-induced stress in different cancer types.

Microenvironmental regulation of dormancy

DTCs that survived dissemination and a new tissue microenvironment seem to undergo a default dormancy response before reactivation^{60–62}. The ability of different organs to support DTC growth has led to the classification of microenvironments as dormancypermissive or dormancy-restrictive ^{18,63,64}. This distinction is clinically relevant because in many cancer types DTC incidence in various organs is much higher than metastasis incidence in those organs, suggesting that the microenvironment influences DTC fate⁴. For example, the incidence of bone marrow DTCs is high in patients with gastric cancer, but clinically the incidence of bone metastasis is rare and bone marrow DTCs are commonly negative for proliferative markers, suggesting that they survive in a non-proliferative state 1,4,7,65,66. Mouse models of other tumour types concur with these data, as DTCs are found in animals with pre-malignant mouse mammary tumour virus (MMTV)-ERBB2 (also known as HER2)-positive lesions that are considered non-invasive⁶⁶ (FIG. 1). The number of ERBB2⁺ DTCs was never found to be higher than 10⁴ per bone marrow sample and bone metastasis did not develop in any cases. However, when DTCs were transplanted from MMTV-ERBB2 mice into lethally irradiated wild-type siblings, ERBB2+ bone marrow transplant recipients developed bone marrow carcinosis; this was not observed in nonirradiated recipient mice⁶⁶. These data suggest that signals encoded in specific microenvironments dictate DTC fate.

Dormancy-permissive microenvironments

The signals that regulate haematopoietic stem cell (HSC) dormancy in the bone marrow, including growth arrest-specific protein 6 (GAS6), bone morphogenetic protein 4 (BMP4), BMP7 and transforming growth factor-β2 (TGFβ2), could induce residual disease dormancy

in different types of cancer^{18,67–72} (FIGS 2,3). GAS6, which is a stromal-derived ligand of the MER, TYRO3 and AXL (also known as UFO) tyrosine kinase receptors, regulates HSC survival⁷³. Leukaemia cells with the E2A–PBX1 fusion protein express high levels of MER⁶⁷, and when these cells are in contact with human osteoblasts, which produce GAS6 (FIG. 2), they enter a G0/G1 arrest and are protected from chemotherapy-induced apoptosis⁶⁷. Similar studies with prostate cancer cell lines showed that GAS6 induces prostate cancer DTC dormancy but only when AXL receptor signalling predominates. This evidence supports the idea that HSC niche signals could induce dormancy^{68–70,74}.

Along the same lines, BMP7, a TGFβ family member secreted by normal bone marrow stromal cells, induced ERK inhibition and p38 activation (a low ERK/p38 signalling ratio)^{75,76} and dormancy of prostate DTCs (PC3 and other human prostate cancer cell lines) injected into the bone⁷¹. This was dependent on the induction of the metastasis suppressor protein NDRG1 and the CDK inhibitor p21 (REF. 71). Knockdown of the BMP7 receptor BMPR2 inhibited BMP7-induced DTC dormancy ⁷¹ and an inverse correlation between BMPR2 expression and bone metastasis was found in patients with prostate cancer⁷¹. Thus, loss of BMPR2 may allow DTCs to escape dormancy. NDRG1 also suppresses metastasis in prostate cancer and breast cancer models by binding to LRP6 and blocking WNT-induced metastasis⁷⁷. Similarly to BMP7, BMP4 is another BMPR2 ligand, and inhibition of BMP4 by the secreted antagonist COCO (also known as DAND5) induces the proliferation of dormant mouse mammary 4T07 DTCs in the lungs, a tissue with abundant BMP4 (REF. 72). However, in this model NDRG1 had an opposing function and was linked to COCO-induced metastasis. It is possible that NDRG1 functions differently in mouse versus human epithelial cancer cells or in different organs.

A third signal that regulates HSCs and induces dormancy of HNSCC DTCs in the bone marrow is TGF β 2 (REF. 18). TGF β 2 induced a low ERK/p38 signalling ratio, which induced the metastasis suppressor DEC2 (also known as SHARP1 and BHLHE41)¹⁸. In turn, DEC2 repressed CDK4 and induced p27 to induce bone marrow DTC quiescence. Importantly, inhibition of p38 or TGF β R1 (a TGF β 2 receptor) induced HNSCC multi-organ (liver, spleen, bone marrow and lung) proliferation of DTCs in mice¹⁸. In mouse lung, host-derived TGF β 2 was less abundant than in the bone marrow, providing clues for the organ-specific 'seed and soil' mechanisms⁷⁸ (FIG. 2). Other studies showed that inhibiting the lysophosphatidic acid receptor (EDG2; also known as LPAR1) in breast cancer DTCs also caused dormancy owing to the induction of a low ERK/p38 signalling ratio⁷⁹. These studies provide a new molecular description of how signals that control normal tissue function or the inhibition of signals that stimulate reactivation may enforce a dormancy phenotype in solitary DTCs lodged in those niches (FIGS 2,3).

Dormancy-restrictive microenvironments

The switch that regulates escape from dormancy has also been studied. For example, upregulation and activation of the adhesion protein vascular cell adhesion protein 1 (VCAM1) induced escape from dormancy in meta-static breast cancer cell lines that were dormant in mouse bone marrow 19 (FIGS 2,3). In this model, these bone marrow VCAM1 hi DTCs bound to $\alpha4\beta1$ integrin expressed by osteoclasts 19 and recruited osteoclast

progenitors, which together resulted in bone metastases¹⁹. However, the mechanisms underlying VCAM1 silencing and re-expression in these bone marrow DTCs are unknown. Another study has shown that dormancy escape⁸⁰ is promoted by periostin (POSTN), which is produced by fibroblasts⁸¹ or endothelial tip cells that surround single dormant DTCs (FIGS 2,3).

DTCs can also remodel their microenvironment to support proliferation after dormancy exit. Green *et al.* showed that the inhibition of myosin light chain kinase (MLCK) induced the dormancy of solitary DTCs in the lung, thus reducing the number of breast cancer lung macro-metastases⁸² (FIGS 2,3). Thus, MLCK regulates a dormancy-to-proliferation switch. Furthermore, using *in vitro* three-dimensional (3D) modelling they showed that production of fibronectin and β1 integrin activated MLCK and stress fibre formation to maintain proliferation⁸². Others⁸³ reproduced the first studies^{84,85} showing that FAK, SRC and β1 integrins are required to prevent dormancy onset^{46,86}. Importantly, pharmacological inhibition of SRC alone induced the dormancy or slow cycling of breast cancer cells, while inhibition of both MEK1 and SRC caused apoptosis of DTCs⁴⁶, suggesting that this pathway can be specifically targeted in DTCs in different niches (FIG. 2).

That the cytoskeletal architecture can signal to reactivate dormant tumour cells suggests that ECM stiffness might promote dormancy escape (FIGS 2,3). In hepatocellular carcinoma cells (HCCs), increased matrix stiffness was linked to increased TGF β 1 signalling, induction of cyclin D1 and cyclin D3, and proliferation *in vitro*⁸⁷. Thus, microenvironments that are less rigid might support DTC quiescence. Although this hypothesis awaits *in vivo* validation, it was shown in a breast cancer model that TGF β 1-induced fibrotic lungs (that are associated with type I collagen deposition) could support dormancy escape⁸⁸. Thus, like in primary tumours where ECM stiffness promotes proliferation⁸⁸, a dense type I collagen ECM might antagonize solitary DTC dormancy⁸³.

The multiplicity of signals that DTCs must activate to escape dormancy, including VCAM activation, $TGF\beta1$ expression⁸⁰ and POSTN production, might explain why dormancy is such a stable phenotype (FIG. 2). The reviewed data support the general principle that solitary cell dormancy can be traced back to the reciprocal crosstalk between cells and their niches and the regulation of mitogenic and stress signalling pathways (FIGS 2,3).

Immune modulation of tumour dormancy

The above data focused on ECM or soluble ligands as cues within the microenvironment. However, the immune cells are a key component of the microenvironment ^{89,90} (FIG. 2). The immune system is a default constituent of the tumour microenvironment having both protumour and antitumour functions ⁹⁰. Recipients of organs from donors who were disease-free but who had previously had melanoma develop melanoma metastasis in the transplanted organ after immunosuppression, suggesting that dormant cells were kept in check by the immune system⁹¹. However, it is unclear whether DTC reactivation is immune system-dependent or whether it is due to other microenvironmental changes from transplantation. There are few studies with clinically relevant models that have examined this. One example is of dissemination in uveal melanoma in RET. AAD mice that constitutively express the

RET oncogene in melanocytes and thus develop spontaneous uveal melanoma⁹². DTCs in these mice were found in almost all organs, including the lungs, reproductive tract and skin, as early as 2 weeks after primary tumours appeared⁹². Maintenance of dormancy in the DTCs in the lung and reproductive tract required CD8⁺ T cells, as mice depleted of these cells with antibodies developed metastasis with a much shorter latency than those with CD8⁺ cells (FIG. 2). Interestingly, cutaneous metastases grew despite CD8⁺ T cell depletion, suggesting an organ-specific function for CD8⁺ T cells and DTC fate. However, whether CD8⁺ T cells kill or induce the growth arrest of single DTCs remains unclear (FIG. 2). This is important because there is no direct clinical proof that the immune system is involved in the growth arrest of non-proliferative solitary DTCs or in controlling their numbers via immune editing.

Tumour mass dormancy might also depend on adaptive immunity. In immunogenic 3′-methylcholantrene-induced sarcoma models, around 80% of small stable tumour masses remained static for >150 days before spontaneous regression to undetectable levels. The static tumours had a high apoptotic fraction and a low Ki67 index (a marker of G0 exit), which was consistent with CD8⁺ T cell-mediated cytotoxicity and cytostasis. However, the small tumours resumed growth after CD8⁺ and CD4⁺ T cell depletion⁹³ (FIG. 2).

T cell-induced tumour mass dormancy may also involve crosstalk with endothelial cells and angiogenesis inhibition. In the pancreatic RIP-Tag2 cancer mouse model, pre-malignant adenomas develop by week 6-8 and adenocarcinomas by week 10 (REF. 94), and injection of CD4⁺ T cells at week 7–8 arrested tumour progression independently of CD8⁺ T cells via tumour necrosis factor receptor 1 (TNFR1) and interferon-y (IFNy) signalling⁹⁴ (FIG. 2). CD4⁺ T cell-mediated antitumour effects were due to the release of the potent angiogenesis inhibitors CXCL9 and CXCL10 and to reduced ανβ3 integrin expression⁹⁴. A similar function for CD4⁺ T cells but not CD8⁺ T cells was observed with a vaccination protocol that targeted tumour blood vessels⁹⁵. Finally, IFNy derived from T helper 1 cells can induce tumour cell senescence in a T antigen-induced pancreatic cancer model that is irreversible, unlike quiescence⁹⁶. These studies show that these immune-mediated microenvironmental responses can induce marked growth arrest phenotypes ⁹⁶ (FIG. 2). Interestingly, they seem to converge on IFNy signalling as a powerful inducer of a growth arrest and potentially a dormancy-inducing signal (FIG. 2). Although these studies did not address residual disease dormancy, they may provide mechanistic information to model the regulation of solitary DTC fate, the stage at which the immune system might have a better chance to curtail metastasis development.

The above data suggest that further work is necessary to conclusively determine whether the effects of CD4⁺ and CD8⁺ T cells on DTC behaviour require active cytotoxicity or whether they are the result of the immune system creating a microenvironment non-permissive for tumour expansion.

Cellular dormancy and angiogenic dormancy

Like normal tissues, tumours require a functional vasculature. When a DTC grows into a micrometastasis it recruits a new vasculature. If angiogenesis fails, cell death proceeds and

equilibrium between proliferation and apoptosis can keep a small tumour mass constant and clinically dormant⁹⁷. This could persist until genetic, epigenetic or microenvironmental signals trigger the angiogenic switch. However, there is no evidence to support the persistence of angiogenic dormancy for years or decades in patients. A study of angiogenicpoor dormant tumour masses 98 in *in vivo* models of breast cancer, gliobastoma, osteosarcoma and liposarcoma that remain dormant in immunodeficient mice for >90 days⁹⁹ showed that thrombospondin (TSP) and angiomotin were upregulated in these tumours, although their functional relevance was unclear. Recently, Ghajar et al. 80 found that breast cancer DTCs reside in a dormant state on the microvasculature in organs to which they have metastasized. Using in vitro 3D tissue modelling they found that endothelial cells in the stable microvasculature produce TSP, which keeps solitary DTCs in a dormant state. This effect of the endothelium on the tumour cells may at least partly explain the previously reported effect of TSP on the dormancy of small tumour masses that show low vascular densitiy^{100,101}. By contrast, in sprouting neovasculature, the tip cells produce TGFβ1 and POSTN, which may allow the solitary tumour cells to initiate proliferation⁸⁰ (FIG. 2). This suggests that angiogenesis regulators such as TSP that control a vascular switch triggered by poor oxygenation might also regulate solitary DTC behaviour (cellular dormancy (FIG. 2)). This suggests that molecules such as TSP might influence DTC activity and that tumour angiogenesis is the consequence of DTCs switching from dormancy to proliferation. Additional work could determine how TSP and POSTN regulate solitary DTC quiescence versus tumour mass dormancy and whether tumour mass dormancy is indeed occurring in patients.

Overexpression of the Notch signalling ligand delta-like 4 (DLL4) in endothelial cells can promote T-ALL cells to exit dormancy by binding to the NOTCH3 receptor on T-ALL cells¹⁰² (FIG. 2). Furthermore, this overexpression of DLL4 can be induced by vascular endothelial growth factor (VEGF)¹⁰². Thus, modulating the endothelial cell and dormant DTC interactions by using, for example, VEGF inhibitors, may be a new avenue to prevent dormancy exit.

To further study how endothelial cells might drive tumour cell entry and exit from dormancy, one study showed that expression of the angiogenesis inducers epoxyeicosatrienoic acids (EETs) in the endothelium¹⁰³ stimulated exit from tumour mass dormancy in mice⁹⁹. EETs stimulated metastasis of various xenograft tumours, including Lewis lung carcinomas and B16-F10 melanomas¹⁰³.

These studies suggest that endothelial cell–DTC interactions might substantially predate any micro-metastasis that arises and remains dormant because of a reduced vasculature¹⁰⁴ (FIG. 2). In addition to endothelial cells, other cell types may also foster a switch from dormancy, for example, recruitment of vascular (CD105⁺) and myeloid (CD11b⁺ and F4/80⁺) cells by tissue factor signalling can interrupt the dormancy of glioma cells *in vivo*^{98,105} (FIG. 2).

We propose that before angiogenic dormancy, which may be short-lived on the basis of mathematical modelling of human cancer kinetics^{106,107}, endothelial cells might establish niches that support cellular dormancy. Accordingly, live *in situ* imaging of melanoma and breast cancer DTCs in the mouse brain showed that these cells survive by establishing strict

perivascular localization and direct contact with endothelial cells¹⁰⁸. These DTCs remained dormant even though they are directly attached to endothelial cells in blood vessels (also observed in REF. 80) (FIG. 2). Findings such as these challenge the angiogenic dormancy theory that proposes that decreased oxygenation is the dominant driver of tumour cell dormancy. Thus, targeting the survival niche of solitary DTCs might be a more efficient strategy to stop metastatic growth.

Targeting dormancy

Recent studies have identified cues that induce dormancy^{18,44,71,72,109} and specific organs that are restrictive sites for metastasis due to dormancy induction^{18,67}. This knowledge could help to develop therapies (BOX 3) that mimic the dormancy programme to sustain, rather than awaken, dormant DTCs and thereby prevent relapse.

Drugs already in the clinic may be useful to induce dormancy in DTCs (BOX 4). One study showed that, in both primary cells and breast cancer and leukaemia cell lines, the DNA methylation inhibitor 5-azacytidine alone caused decreased expression of G0 to G1 exit genes — such as DNMT1 and FOXM1 — that are also downregulated in haematological and epithelial tumour dormancy models^{44,110}. Remarkably, expression of other key genes upregulated in the p38-induced dormancy signature^{44,111}, such as RARB and CDKNIA, were induced by 5-azacytidine¹¹⁰. Thus, demethylating agents coupled to specific RAR α and RAR β agonists could be used to reprogramme tumour cells into dormancy (BOX 4). In fact, our unpublished work indicates that a combination of all-*trans* retinoic acid and 5-azacytidine recapitulates the hallmarks of dormancy *in vivo* identified in bone marrow and p38-induced dormancy^{18,44,111} and induces a prolonged and stable quiescence.

Box 4

DTC and niche markers that may dictate DTC targeting

Patients with disseminated tumour cell (DTC)-negative bone marrow commonly have a favourable outcome and could be monitored for DTC appearance⁶³. However, bone marrow aspirates positive for DTCs (at the time of surgery or after treatment) are considered to be indicative of a poor prognosis. In this group, DTCs from different types of cancer (see the table) could be profiled for markers that indicate whether DTCs are proliferating (such as increased expression of COCO, fibronectin, periostin (POSTN), transforming growth factor-β1 (TGFβ1) or phosphorylated ERK (P-ERK) and low expression of phosphorylated p38 (P-p38)) or dormant (such as low expression of P-ERK, high expression of P-p38, TGFBR3, bone morphogenetic protein receptor type 2 (BMPR2), TGFβ2 or BMPs)⁶³. If proliferative markers are found then DTCs could be targeted with conventional anti-proliferative treatments in combination with a dormancyinducing therapy. If DTCs displayed dormancy markers then drugs to induce dormancy could be used to prolong dormancy. Markers or expression profiles from DTCs might help to identify specific targets in the unfolded protein response (UPR) and chaperone pathways that, when blocked, could eradicate DTCs. This could be followed by dormancy-inducing or dormancy-maintaining treatments. DTCs positive for interferon-y (IFNγ) receptors may be sensitive to immune therapy that affect DTCs via cytostatic and

cytotoxic mechanisms or via the regulation of vascular niches. Specific examples are provided in the table for ovarian cancer where dormant tumour cells may undergo autophagy to survive. Cloroquine may eliminate autophagic dormant DTCs, and SRC and MEK inhibitors may cause the eradication of DTCs. These strategies might work if markers for DTC phenotypes and therapeutic options to target DTCs were available.

Type of cancer	DTC and niche markers	Potential therapy	Potential patient outcome
Dormant DTC phenoty	pe		
Prostate, breast and HNSCC	 DTC: TGFβR3 ↑¹⁸, BMPR2 ↑⁷¹, P- ERK ↓, P- p38 ↑¹⁸ and GRP78 ↑⁵⁰ Niche: TGFβ2 ↑, BMP4 and BMP7 ↑^{18,71,72} 	 TGFβ2, BMP4 and BMP7 inducers or active biologicals Chaperone and MEK-ERK inhibitors 	Chronic asymptomatic MRD
Breast, glioblastoma, osteosarcoma and liposarcoma	DTC: POSTN \downarrow^{80} and TSP receptors \uparrow^{98}	POSTN blockers, TSP and angiomotin inducers	Chronic asymptomatic MRD
Ovarian	DTC: ARHI \uparrow^{25} and ATG genes \uparrow^{26}	Chloroquine ²⁶	MRD eradication
Pancreatic	 DTC: IFNR ↑ and TNFR ↑94 Niche: active CD4+ CD8+ T cells 	• IFN γ • TBVA-derived peptide vaccines 95; OX40 agonist 152	Chronic asymptomatic MRD
Active DTC phenotype			
Breast	DTC: EDG2 \uparrow^{79} , P-Src \uparrow^{46} , P-ERK \uparrow and P-p38 \downarrow^{18}	AZD0530/6244 (REF. 46)	MRD eradication
HNSCC and breast	DTC: P-ERK ↑ and P-p38 ↓ Niche: fibronectin ↑, COCO ↑ 72 and TGFβ1 ↑	 5-Aza-C¹¹⁰, HDAC inhibitors, anti- RARα and anti-RARβ retinoids Anti-β1, anti- α5β1 or anti- TGFβ1 mAbs 	Chronic asymptomatic MRD

5-Aza-C, 5-azacytidine; ATG, autophagy-related gene; BMP, bone morphogenetic progenitor; HDAC, histone deacetylase; HNSCC, head and neck squamous cell carcinoma; mAbs, monoclonal antibodies; MRD, minimal

residual disease; TBVA, tumour-associated blood vessel antigen; TGF β , transforming growth factor- β ; TNFR, tumour necrosis factor receptor; TSP, thrombospondin.

Uveal melanoma is another type of cancer in which epigenetic mechanisms might be manipulated for dormancy induction with currently available drugs. For example, inactivating mutations in BAP1 (REF. 112) promote epigenetic deregulation, leading to poorly differentiated metastatic tumours that resemble primitive neuroectoderm. Histone deacetylase (HDAC) inhibitors, such as LBH-589, converted uveal melanoma lines from class 2 (high metastatic risk) to class 1 (low metastatic risk)¹¹³ and induced a G0/G1 arrest and epigenetic reprogramming consistent with melanocytic differentiation. Thus, HDAC inhibitors or DNA demethylating agents might represent alternative adjuvant therapies to induce prolonged dormancy of uveal melanoma or other types of DTCs (BOX 4).

Future directions

The work reviewed here reveals an apparent 'fine-tuning' of cancer dormancy research into specific areas. An emerging theme includes how the interaction of endothelial cells with DTCs affects their dormancy (FIG. 2). Analysis of dormancy-inducing cues identified BMP4, BMP7 and TGF β 2, among others^{18,71,72,109}. These are ligands involved in regulating normal stem cell biology^{114–117}. This identifies another emerging theme in which dormant tumour cells might recapitulate evolutionary conserved programmes of normal stem cell quiescence, coordinating growth arrest and pluripotency for prolonged periods^{118,119} (BOX 2; FIG. 2). A characteristic of dormant DTCs, like normal adult stem cells, is their remarkable persistence over time. Moreover, quiescence insulates normal adult stem cells from transformation by powerful oncogenes¹²⁰. Interestingly, morphogenetic cues, such as BMPs and TGF β family members, which induce dormancy in tumour cells despite genetic alterations, regulate the 'oncogene-insulating' function of quiescence¹²⁰.

Dormancy may require robust epigenetic programming. Although much is unknown about the epigenetics of DTCs there is sufficient expertise in other areas of biology that could be applied to DTCs^{121–123}, such as the mechanisms underlying stem cell plasticity and EMT^{124–127}. For example, p38 limits self-renewal¹²⁸, which is a property of stem cells, and a p38-regulated dormancy gene signature was associated with longer metastasis-free periods in patients with oestrogen receptor-positive breast cancer^{44,111}. In addition, several genes in this p38-regulated dormancy signature are epigenetic regulators such as *NR2F1*, *TGFB2* and *DNMT1* (REFS 121,129,130), Specifically, TGFβ2 and NR2F1 can limit induced pluripotent stem cell (iPSC) reprogramming via the regulation of chromatin remodelling¹²¹, and NR2F1 is associated with a dormant phenotype that is characterized by repressive chromatin¹²⁷ (M.M.S., P.B. and J.A.A.-G., unpublished observations). These similarities between dormant tumour cells and adult stem cells, might assist in determining which epigenetic mechanisms regulate the long-term commitment of DTCs to quiescence while retaining growth potential^{115,131} (BOX 2; FIG. 2).

A question linked to early dissemination (BOX 1; FIG. 1), is whether the genetic alterations that are present in early DTCs might predispose these cells to dormancy. Perhaps a subpopulation of DTCs that evade therapy and evolve in parallel to the primary tumour

could result in delayed relapse, as evolution in distant organs may follow different kinetics. DTCs in patients with breast cancer without distant metastasis carry specific chromosomal gains such as 5cen-5q23.3 or 18q^{132,133}. Could some of these gains induce DTC dormancy? *NR2F1*, a gene that is induced in dormancy models, and that is known to induce quiescence and lineage commitment, is located in the 5q region and was independently found to be linked to a locus that limits progression in breast cancer¹³⁴. Perhaps DTCs overexpressing NR2F1 may remain dormant until additional genetic and/or epigenetic changes override this signal.

The current research provides insight into the complexities of cancer dormancy but also into the opportunities that might come from understanding this process. To apply this knowledge, clinicians will need markers informative of the dormancy status of DTCs⁶³ (BOX 4). Concomitantly, drug development must focus on targeting these cells, because markers without a therapeutic option will be of limited benefit⁶³. Finally, proper clinical trials to test dormancy therapies are essential. As recently explained ^{135,136}, clinical trials that use the time to first metastasis and the time to next metastasis as clinical parameters may help to determine whether new drugs are affecting dormant DTCs or whether they are inducing dormancy of proliferative DTCs. Importantly, not all cancers may be clinically dormant after diagnosis and treatment as some, like triple-negative breast cancer or pancreatic cancer, can recur as early as 3 years after ending treatment. However, the timing of recurrence is tied to the time of diagnosis. Early recurrence does not negate the fact that the metastases may have initiated from DTCs, such as early DTCs that persisted in a dormant state for a long time and gave rise to metastasis only a few years after diagnosis.

Minimal residual disease represents a new and challenging area of cancer biology that awaits exploration. The detection of DTCs in patients has been strongly suggested to be a risk factor for metastasis ^{137–139}. However, the enumeration and risk analysis will only be able to be addressed when this information is linked to detailed mechanistic analysis of DTC biology. The data summarized in this Review show that the field is moving promisingly in that direction. Although not yet considered a 'hallmark' of cancer ¹⁴⁰, in many cancer types tumour cell dormancy may be a rite of passage for cancer relapse. Understanding cancer dormancy will answer long-standing questions regarding how to treat the most life-threatening hallmark of cancer ¹⁴⁰, namely, untreatable metastatic disease.

Acknowledgments

This work was supported by grants from the Samuel Waxman Cancer Research Foundation Tumour Dormancy Program to J.A.A-G., the US National Institutes of Health/National Cancer Institute (CA109182, CA163131) and a DoD-BCRP Grant BC112380 to M.S.S.

Glossary

Disseminated tumour cells (DTCs) Cells that have physically separated from the primary tumour mass and have spread to other anatomical locations through the circulation. In the context of this Review these tumour cells are not

yet considered to be micrometastases as they must expand to form a small population of cells

Dormant A state of suspended animation or low activity of a cell or organism

Niches A term borrowed from ecology, it refers to a unique optimal tissue

microenvironment with defined nurturing and positional cues in a given anatomical location that allows a cell or a group of cells to

survive and function

Tumour cell Clusters of cells in a ball-like structure that can exist in suspension, spheroids for example, in the peritoneal fluid of patients with ovarian cancer.

These spheroids commonly shed cells into the peritoneal cavity

Omentum A layer of tissue from the peritoneum that connects abdominal

viscera and the stomach that is a protective and supporting cover

Mesothelium A mesoderm-derived epithelium that lines the body cavities

Minimal residual Remnant tumour cells that remain after treatment and that cannot

disease be detected by conventional methods in routine clinical testing.

These cells can persist in the primary site or as disseminated

tumour cells and might have proliferative and/or dormant phases

Unfolded protein (UPR). A cellular response to stress unique to the endoplasmic response reticulum (ER) that senses the misfolding of proteins in the ER

reticulum (ER) that senses the misfolding of proteins in the ER caused, for example, by overloading of the ER, oxidative damage or protein unfolding during synthesis. It activates a series of pathways that will help cells to survive by correcting the

proteotoxicity caused by unfolded proteins or by activating

mechanisms of cell death

CD8⁺ **T cells** Cytotoxic immune cells from the T cell lineage that are specialized

in killing target cells (that is, virus-infected cells)

Angiogenesis The formation of new blood vessels that create new pathways for

blood flow during normal tissue development or remodelling or during pathological conditions such as tumour growth or

retinopathy

Micrometastasis A small group of tumour cells that have grown in secondary organs

but that are too small to be seen or detected by currently available detection methods. These lesions derive from a disseminated

tumour cell and might not produce clinical symptoms

Angiogenic switch Occurs when a small tumour mass senses the lack of appropriate

blood supply and activates a series of transcriptional programmes that allows the production of signals that will recruit new blood

vessels

Transformation

A process in which cells that have been immortalized acquire additional genetic and epigenetic alterations that allow them to form primary tumours

References

- 1. Klein CA. Framework models of tumor dormancy from patient-derived observations. Curr Opin Genet Dev. 2010; 21:42–49. [PubMed: 21145726]
- 2. Goss PE, Chambers AF. Does tumour dormancy offer a therapeutic target? Nature Rev Cancer. 2010; 10:871–877. [PubMed: 21048784]
- Klein CA. Selection and adaptation during metastatic cancer progression. Nature. 2013; 501:365
 372. [PubMed: 24048069]
- Aguirre-Ghiso JA. Models, mechanisms and clinical evidence for cancer dormancy. Nature Rev Cancer. 2007; 7:834

 –846. [PubMed: 17957189]
- Demicheli R, et al. Breast cancer recurrence dynamics following adjuvant CMF is consistent with tumor dormancy and mastectomy-driven acceleration of the metastatic process. Ann Oncol. 2005; 16:1449–1457. [PubMed: 15956037]
- 6. Hadfield G. The dormant cancer cell. Br Med J. 1954; 2:607–610. [PubMed: 13190204]
- Pantel K, Alix-Panabieres C, Riethdorf S. Cancer micrometastases. Nature Rev Clin Oncol. 2009; 6:339–351. [PubMed: 19399023]
- 8. Pantel K, Brakenhoff RH. Dissecting the metastatic cascade. Nature Rev Cancer. 2004; 4:448–456. [PubMed: 15170447]
- Klein CA. Parallel progression of primary tumours and metastases. Nature Rev Cancer. 2009;
 9:302–312. [PubMed: 19308069]
- Essers MA, Trumpp A. Targeting leukemic stem cells by breaking their dormancy. Mol Oncol. 2010; 4:443–450. [PubMed: 20599449]
- Sosa MS, Avivar-Valderas A, Bragado P, Wen HC, Aguirre-Ghiso JA. ERK1/2 and p38α/β signaling in tumor cell quiescence: opportunities to control dormant residual disease. Clin Cancer Res. 2011; 17:5850–5857. [PubMed: 21673068]
- 12. Kang Y, Pantel K. Tumor cell dissemination: emerging biological insights from animal models and cancer patients. Cancer Cell. 2013; 23:573–581. [PubMed: 23680145]
- 13. Giancotti FG. Mechanisms governing metastatic dormancy and reactivation. Cell. 2013; 155:750–764. [PubMed: 24209616]
- 14. Jo H, Jia Y, Subramanian KK, Hattori H, Luo HR. Cancer cell-derived clusterin modulates the phosphatidylinositol 3'-kinase-Akt pathway through attenuation of insulin-like growth factor 1 during serum deprivation. Mol Cell Biol. 2008; 28:4285–4299. [PubMed: 18458059]
- Humtsoe JO, Kramer RH. Differential epidermal growth factor receptor signaling regulates anchorage-independent growth by modulation of the PI3K/AKT pathway. Oncogene. 2010; 29:1214–1226. [PubMed: 19935697]
- 16. Balz LM, et al. The interplay of HER2/HER3/PI3K and EGFR/HER2/PLC-γ1 signalling in breast cancer cell migration and dissemination. J Pathol. 2012; 227:234–244. [PubMed: 22262199]
- 17. Schewe DM, Aguirre-Ghiso JA. ATF6α-Rheb-mTOR signaling promotes survival of dormant tumor cells *in vivo*. Proc Natl Acad Sci USA. 2008; 105:10519–10524. This paper revealed that specific signals can be targeted to kill dormant cells while in quiescence. [PubMed: 18650380]
- 18. Bragado P, et al. TGF- β 2 dictates disseminated tumour cell fate in target organs through TGF- β -RIII and p38 α/β signalling. Nature Cell Biol. 2013; 15:1351–1361. First evidence that the bone marrow is a growth-restrictive 'soil' where TGF β 2 and p38 signalling keep DTCs in a dormant state. [PubMed: 24161934]
- 19. Lu X, et al. VCAM-1 promotes osteolytic expansion of indolent bone micrometastasis of breast cancer by engaging α4β1-positive osteoclast progenitors. Cancer Cell. 2011; 20:701–714.

- Demonstrates that VCAM1 on tumour cells instructs osteoclast differentiation to support reactivation of indolent tumour cells and bone metastasis. [PubMed: 22137794]
- Aslakson CJ, Miller FR. Selective events in the metastatic process defined by analysis of the sequential dissemination of subpopulations of a mouse mammary tumor. Cancer Res. 1992; 52:1399–1405. [PubMed: 1540948]
- 21. Aslakson CJ, Rak JW, Miller BE, Miller FR. Differential influence of organ site on three subpopulations of a single mouse mammary tumor at two distinct steps in metastasis. Int J Cancer. 1991; 47:466–472. [PubMed: 1993557]
- 22. Avivar-Valderas A, et al. Regulation of autophagy during ECM detachment is linked to a selective inhibition of mTORC1 by PERK. Oncogene. 2013; 32:4932–4940. [PubMed: 23160380]
- 23. Correa RJ, Peart T, Valdes YR, DiMattia GE, Shepherd TG. Modulation of AKT activity is associated with reversible dormancy in ascites-derived epithelial ovarian cancer spheroids. Carcinogenesis. 2012; 33:49–58. [PubMed: 22045027]
- 24. Lengyel E. Ovarian cancer development and metastasis. Am J Pathol. 2010; 177:1053–1064. [PubMed: 20651229]
- 25. Lu Z, et al. The tumor suppressor gene ARHI regulates autophagy and tumor dormancy in human ovarian cancer cells. J Clin Invest. 2008; 118:3917–3929. This report shows that induction of autophagy by ARHI contributes to induction and survival of dormant ovarian cells. [PubMed: 19033662]
- 26. Gupta A, et al. Autophagy inhibition and antimalarials promote cell death in gastrointestinal stromal tumor (GIST). Proc Natl Acad Sci USA. 2010; 107:14333–14338. [PubMed: 20660757]
- 27. Lee IH, et al. Atg7 modulates p53 activity to regulate cell cycle and survival during metabolic stress. Science. 2012; 336:225–228. [PubMed: 22499945]
- 28. Mortensen M, et al. The autophagy protein Atg7 is essential for hematopoietic stem cell maintenance. J Exp Med. 2011; 208:455–467. [PubMed: 21339326]
- 29. Avivar-Valderas A, et al. PERK integrates autophagy and oxidative stress responses to promote survival during extracellular matrix detachment. Mol Cell Biol. 2011; 31:3616–3629. [PubMed: 21709020]
- 30. Liang J, et al. The energy sensing LKB1-AMPK pathway regulates p27(kip1) phosphorylation mediating the decision to enter autophagy or apoptosis. Nature Cell Biol. 2007; 9:218–224. [PubMed: 17237771]
- 31. Schewe DM, Aguirre-Ghiso JA. Inhibition of eIF2α dephosphorylation maximizes bortezomib efficiency and eliminates quiescent multiple myeloma cells surviving proteasome inhibitor therapy. Cancer Res. 2009; 69:1545–1552. [PubMed: 19190324]
- 32. Fan W, et al. MET-independent lung cancer cells evading EGFR kinase inhibitors are therapeutically susceptible to BH3 mimetic agents. Cancer Res. 2011; 71:4494–4505. This article shows that residual tumour cells left behind after TKR inhibitors remain alive by inducing antiapoptotic signals. It also suggests that conventional treatments may expose the dormant population that can be targeted by using ABT-737 molecules. [PubMed: 21555370]
- 33. Alvarez JV, et al. Par-4 downregulation promotes breast cancer recurrence by preventing multinucleation following targeted therapy. Cancer Cell. 2013; 24:30–44. This article shows that PAR4 downregulation is required for relapses after therapy-induced stress and dormancy. [PubMed: 23770012]
- 34. Deng X, Ewton DZ, Friedman E. Mirk/Dyrk1B maintains the viability of quiescent pancreatic cancer cells by reducing levels of reactive oxygen species. Cancer Res. 2009; 69:3317–3324. [PubMed: 19351855]
- 35. Jin K, Ewton DZ, Park S, Hu J, Friedman E. Mirk regulates the exit of colon cancer cells from quiescence. J Biol Chem. 2009; 284:22916–22925. [PubMed: 19542220]
- 36. Ewton DZ, et al. Inactivation of mirk/dyrk1b kinase targets quiescent pancreatic cancer cells. Mol Cancer Ther. 2011; 10:2104–2114. [PubMed: 21878655]
- 37. Hu J, Nakhla H, Friedman E. Transient arrest in a quiescent state allows ovarian cancer cells to survive suboptimal growth conditions and is mediated by both Mirk/dyrk1b and p130/RB2. Int J Cancer. 2011; 129:307–318. Here the authors demonstrate that DYRK1B regulates the survival and quiescence of ovarian cancer cells. [PubMed: 20857490]

38. Jin K, Park S, Ewton DZ, Friedman E. The survival kinase Mirk/Dyrk1B is a downstream effector of oncogenic K-ras in pancreatic cancer. Cancer Res. 2007; 67:7247–7255. [PubMed: 17671193]

- Litovchick L, Florens LA, Swanson SK, Washburn MP, DeCaprio JA. DYRK1A protein kinase promotes quiescence and senescence through DREAM complex assembly. Genes Dev. 2011; 25:801–813. [PubMed: 21498570]
- Lopez-Maury L, Marguerat S, Bahler J. Tuning gene expression to changing environments: from rapid responses to evolutionary adaptation. Nature Rev Genet. 2008; 9:583–593. [PubMed: 18591982]
- 41. Chatterjee M, van Golen KL. Farnesyl transferase inhibitor treatment of breast cancer cells leads to altered RhoA and RhoC GTPase activity and induces a dormant phenotype. Int J Cancer. 2011; 129:61–69. [PubMed: 20824700]
- 42. Hickson JA, et al. The p38 kinases MKK4 and MKK6 suppress metastatic colonization in human ovarian carcinoma. Cancer Res. 2006; 66:2264–2270. [PubMed: 16489030]
- 43. Vander Griend DJ, et al. Suppression of metastatic colonization by the context-dependent activation of the c-Jun NH2-terminal kinase kinases JNKK1/MKK4 and MKK7. Cancer Res. 2005; 65:10984–10991. [PubMed: 16322247]
- 44. Adam AP, et al. Computational identification of a p38SAPK-regulated transcription factor network required for tumor cell quiescence. Cancer Res. 2009; 69:5664–5672. [PubMed: 19584293]
- 45. Hickson JA, et al. The p38 kinases MKK4 and MKK6 suppress metastatic colonization in human ovarian carcinoma. Cancer Res. 2006; 66:2264–2270. [PubMed: 16489030]
- 46. El Touny LH, et al. Combined SFK/MEK inhibition prevents metastatic outgrowth of dormant tumor cells. J Clin Invest. 2014; 124:156–168. Provides evidence that pharmacological targeting of MEK and SRC can prevent reactivation and induce dormant DTC killing. [PubMed: 24316974]
- 47. Diehl NL, et al. Activation of the p38 mitogen-activated protein kinase pathway arrests cell cycle progression and differentiation of immature thymocytes *in vivo*. J Exp Med. 2000; 191:321–334. [PubMed: 10637276]
- 48. Masiero M, et al. Notch3-mediated regulation of MKP-1 levels promotes survival of T acute lymphoblastic leukemia cells. Leukemia. 2011; 25:588–598. [PubMed: 21263446]
- 49. Walter P, Ron D. The unfolded protein response: from stress pathway to homeostatic regulation. Science. 2011; 334:1081–1086. [PubMed: 22116877]
- Ranganathan AC, Zhang L, Adam AP, Aguirre-Ghiso JA. Functional coupling of p38-induced upregulation of BiP and activation of RNA-dependent protein kinase-like endoplasmic reticulum kinase to drug resistance of dormant carcinoma cells. Cancer Res. 2006; 66:1702–1711. [PubMed: 16452230]
- 51. Fu Y, Li J, Lee AS. GRP78/BiP inhibits endoplasmic reticulum BIK and protects human breast cancer cells against estrogen starvation-induced apoptosis. Cancer Res. 2007; 67:3734–3740. [PubMed: 17440086]
- 52. Bartkowiak K, et al. Discovery of a novel unfolded protein response phenotype of cancer stem/progenitor cells from the bone marrow of breast cancer patients. J Proteome Res. 2010; 9:3158–3168. [PubMed: 20423148]
- 53. Bartkowiak K, et al. Two-dimensional differential gel electrophoresis of a cell line derived from a breast cancer micrometastasis revealed a stem/progenitor cell protein profile. J Proteome Res. 2009; 8:2004–2014. [PubMed: 19714817]
- 54. Bi M, et al. ER stress-regulated translation increases tolerance to extreme hypoxia and promotes tumor growth. EMBO J. 2005; 24:3470–3481. [PubMed: 16148948]
- 55. Spencer JA, et al. Direct measurement of local oxygen concentration in the bone marrow of live animals. Nature. 2014; 508:269–273. [PubMed: 24590072]
- 56. Elanchezhian R, et al. Low glucose under hypoxic conditions induces unfolded protein response and produces reactive oxygen species in lens epithelial cells. Cell Death Dis. 2012; 3:e301. [PubMed: 22513875]
- 57. Badiola N, et al. Induction of ER stress in response to oxygen-glucose deprivation of cortical cultures involves the activation of the PERK and IRE-1 pathways and of caspase-12. Cell Death Dis. 2011; 2:e149. [PubMed: 21525936]

58. Lee E, et al. GRP78 as a novel predictor of responsiveness to chemotherapy in breast cancer. Cancer Res. 2006; 66:7849–7853. [PubMed: 16912156]

- 59. Bambang IF, et al. Cytokeratin 19 regulates endoplasmic reticulum stress and inhibits ERp29 expression via p38 MAPK/XBP-1 signaling in breast cancer cells. Exp Cell Res. 2009; 315:1964–1974. [PubMed: 19265690]
- 60. Murrell DH, Foster PJ, Chambers AF. Brain metastases from breast cancer: lessons from experimental magnetic resonance imaging studies and clinical implications. J Mol Med. 2014; 92:5–12. [PubMed: 24306136]
- 61. Heyn C, et al. *In vivo* MRI of cancer cell fate at the single-cell level in a mouse model of breast cancer metastasis to the brain. Magn Reson Med. 2006; 56:1001–1010. [PubMed: 17029229]
- 62. Naumov GN, et al. Ineffectiveness of doxorubicin treatment on solitary dormant mammary carcinoma cells or late-developing metastases. Breast Cancer Res Treat. 2003; 82:199–206. [PubMed: 14703067]
- 63. Aguirre-Ghiso JA, Bragado P, Sosa MS. Metastasis awakening: targeting dormant cancer. Nature Med. 2013; 19:276–277. [PubMed: 23467238]
- 64. Bragado P, Sosa MS, Keely P, Condeelis J, Aguirre-Ghiso JA. Microenvironments dictating tumor cell dormancy. Recent Results Cancer Res. 2012; 195:25–39. [PubMed: 22527492]
- 65. Schardt JA, et al. Genomic analysis of single cytokeratin-positive cells from bone marrow reveals early mutational events in breast cancer. Cancer Cell. 2005; 8:227–239. [PubMed: 16169467]
- 66. Husemann Y, et al. Systemic spread is an early step in breast cancer. Cancer Cell. 2008; 13:58–68. First evidence that pre-malignant tumour cells can disseminate and remain dormant for long periods only to then give rise to metastasis. [PubMed: 18167340]
- 67. Shiozawa Y, Havens AM, Pienta KJ, Taichman RS. The bone marrow niche: habitat to hematopoietic and mesenchymal stem cells, and unwitting host to molecular parasites. Leukemia. 2008; 22:941–950. [PubMed: 18305549]
- 68. Shiozawa Y, et al. Erythropoietin supports the survival of prostate cancer, but not growth and bone metastasis. J Cell Biochem. 2013; 114:2471–2478. [PubMed: 23696192]
- 69. Joseph J, et al. Disseminated prostate cancer cells can instruct hematopoietic stem and progenitor cells to regulate bone phenotype. Mol Cancer Res: MCR. 2012; 10:282–292.
- 70. Shiozawa Y, et al. Human prostate cancer metastases target the hematopoietic stem cell niche to establish footholds in mouse bone marrow. J Clin Invest. 2011; 121:1298–1312. First evidence that DTCs can home and compete with HSCs in their niches, which supports a dormancyinstructive function. [PubMed: 21436587]
- 71. Kobayashi A, et al. Bone morphogenetic protein 7 in dormancy and metastasis of prostate cancer stem-like cells in bone. J Exp Med. 2011; 208:2641–2655. Provides evidence that one of the TGFβ family members, BMP7, induces dormancy of prostate cancer stem-like cells in the bone through the activation of p38 MAPK, which induces p21 and the tumour metastasis suppressor gene *NDRG1*. [PubMed: 22124112]
- 72. Gao H, et al. The BMP inhibitor Coco reactivates breast cancer cells at lung metastatic sites. Cell. 2012; 150:764–779. Shows in a breast cancer model that COCO-mediated inhibition of BMP4 signalling in the lungs favours breast cancer cell dormancy escape. [PubMed: 22901808]
- Dormady SP, Zhang XM, Basch RS. Hematopoietic progenitor cells grow on 3T3 fibroblast monolayers that overexpress growth arrest-specific gene-6 (GAS6). Proc Natl Acad Sci USA. 2000; 97:12260–12265. [PubMed: 11050245]
- 74. Shiozawa Y, et al. GAS6/AXL axis regulates prostate cancer invasion, proliferation, and survival in the bone marrow niche. Neoplasia. 2010; 12:116–127. [PubMed: 20126470]
- 75. Aguirre-Ghiso JA, Estrada Y, Liu D, Ossowski L. ERK^{MAPK} activity as a determinant of tumor growth and dormancy; regulation by p38^{SAPK}. Cancer Res. 2003; 63:1684–1695. [PubMed: 12670923]
- 76. Aguirre-Ghiso JA, Ossowski L, Rosenbaum SK. Green fluorescent protein tagging of extracellular signal-regulated kinase and p38 pathways reveals novel dynamics of pathway activation during primary and metastatic growth. Cancer Res. 2004; 64:7336–7345. [PubMed: 15492254]
- 77. Liu W, et al. N-myc downstream regulated gene 1 modulates Wnt-β-catenin signalling and pleiotropically suppresses metastasis. EMBO Mol Med. 2012; 4:93–108. [PubMed: 22246988]

78. Talmadge JE, Fidler IJ. AACR centennial series: the biology of cancer metastasis: historical perspective. Cancer Res. 2010; 70:5649–5669. [PubMed: 20610625]

- 79. Marshall JC, et al. Effect of inhibition of the lysophosphatidic Acid receptor 1 on metastasis and metastatic dormancy in breast cancer. J Natl Cancer Inst. 2012; 104:1306–1319. Provides evidence that blocking an LPA receptor commonly negatively regulated by the metastasis suppressor NM23 induces dormancy of breast cancer cells. [PubMed: 22911670]
- 80. Ghajar CM, et al. The perivascular niche regulates breast tumour dormancy. Nature Cell Biol. 2013; 15:807–817. Uses *in vitro* models to show that endothelial cells can regulate solitary breast cancer tumour cell dormancy and that a sprouting neovasculature niche promotes reactivation. [PubMed: 23728425]
- 81. Malanchi I, et al. Interactions between cancer stem cells and their niche govern metastatic colonization. Nature. 2012; 481:85–89. Suggests that disseminated tumour cells need to educate stromal cells in secondary niches to induce metastasis. They show that disseminated tumour cells produce TGFβ3 that activates lung fibroblast periostin production, which recruits WNT ligands and activates WNT signalling in breast cancer stem cells. [PubMed: 22158103]
- 82. Barkan D, et al. Inhibition of metastatic outgrowth from single dormant tumor cells by targeting the cytoskeleton. Cancer Res. 2008; 68:6241–6250. [PubMed: 18676848]
- 83. Cheng G, Tse J, Jain RK, Munn LL. Microenvironmental mechanical stress controls tumor spheroid size and morphology by suppressing proliferation and inducing apoptosis in cancer cells. PLoS ONE. 2009; 4:e4632. [PubMed: 19247489]
- 84. Liu D, Aguirre Ghiso J, Estrada Y, Ossowski L. EGFR is a transducer of the urokinase receptor initiated signal that is required for *in vivo* growth of a human carcinoma. Cancer Cell. 2002; 1:445–457. [PubMed: 12124174]
- 85. Aguirre Ghiso JA. Inhibition of FAK signaling activated by urokinase receptor induces dormancy in human carcinoma cells *in vivo*. Oncogene. 2002; 21:2513–2524. [PubMed: 11971186]
- 86. Shibue T, Weinberg RA. Integrin β1-focal adhesion kinase signaling directs the proliferation of metastatic cancer cells disseminated in the lungs. Proc Natl Acad Sci USA. 2009; 106:10290– 10295. [PubMed: 19502425]
- 87. Schrader J, et al. Matrix stiffness modulates proliferation, chemotherapeutic response, and dormancy in hepatocellular carcinoma cells. Hepatology. 2011; 53:1192–1205. [PubMed: 21442631]
- 88. Barkan D, et al. Metastatic growth from dormant cells induced by a col-I-enriched fibrotic environment. Cancer Res. 2010; 70:5706–5716. Provides evidence that type I collagen enrichment in lungs activates β1 integrin signalling in dormant D2.0R cells, inducing reactivation. [PubMed: 20570886]
- 89. Swartz MA, et al. Tumor microenvironment complexity: emerging roles in cancer therapy. Cancer Res. 2012; 72:2473–2480. [PubMed: 22414581]
- 90. Shiao SL, Ganesan AP, Rugo HS, Coussens LM. Immune microenvironments in solid tumors: new targets for therapy. Genes Dev. 2011; 25:2559–2572. [PubMed: 22190457]
- 91. MacKie RM, Reid R, Junor B. Fatal melanoma transferred in a donated kidney 16 years after melanoma surgery. New Engl J Med. 2003; 348:567–568. [PubMed: 12571271]
- 92. Eyles J, et al. Tumor cells disseminate early, but immunosurveillance limits metastatic outgrowth, in a mouse model of melanoma. J Clin Invest. 2010; 120:2030–2039. First evidence that the proliferative switch of early DTCs is regulated by the immune system. [PubMed: 20501944]
- 93. Koebel CM, et al. Adaptive immunity maintains occult cancer in an equilibrium state. Nature. 2007; 450:903–907. [PubMed: 18026089]
- 94. Muller-Hermelink N, et al. TNFR1 signaling and IFN-γ signaling determine whether T cells induce tumor dormancy or promote multistage carcinogenesis. Cancer Cell. 2008; 13:507–518. The authors reported that, depending on microenvironmental signals, CD4⁺ T cells can promote dormancy or reactivation. [PubMed: 18538734]
- 95. Zhao X, et al. Vaccines targeting tumor blood vessel antigens promote CD8⁺ T cell-dependent tumor eradication or dormancy in HLA-A2 transgenic mice. J Immunol. 2012; 188:1782–1788. [PubMed: 22246626]

96. Braumuller H, et al. T-helper-1-cell cytokines drive cancer into senescence. Nature. 2013; 494:361–365. [PubMed: 23376950]

- 97. Holmgren L, O'Reilly MS, Folkman J. Dormancy of micrometastases: balanced proliferation and apoptosis in the presence of angiogenesis suppression. Nature Med. 1995; 1:149–153. [PubMed: 7585012]
- 98. Almog N, et al. Transcriptional switch of dormant tumors to fast-growing angiogenic phenotype. Cancer Res. 2009; 69:836–844. [PubMed: 19176381]
- 99. Almog N, et al. Prolonged dormancy of human liposarcoma is associated with impaired tumor angiogenesis. FASEB J: Official Publ Feder Am Societies Exp Biol. 2006; 20:947–949.
- 100. Hsu SC, et al. Inhibition of angiogenesis in human glioblastomas by chromosome 10 induction of thrombospondin-1. Cancer Res. 1996; 56:5684–5691. [PubMed: 8971176]
- 101. Weinstat-Saslow DL, et al. Transfection of thrombospondin 1 complementary DNA into a human breast carcinoma cell line reduces primary tumor growth, metastatic potential, and angiogenesis. Cancer Res. 1994; 54:6504–6511. [PubMed: 7527299]
- 102. Indraccolo S, et al. Cross-talk between tumor and endothelial cells involving the Notch3-Dll4 interaction marks escape from tumor dormancy. Cancer Res. 2009; 69:1314–1323. [PubMed: 19208840]
- 103. Panigrahy D, et al. Epoxyeicosanoids stimulate multiorgan metastasis and tumor dormancy escape in mice. J Clin Invest. 2012; 122:178–191. [PubMed: 22182838]
- 104. Pencheva N, et al. Convergent multi-miRNA targeting of ApoE drives LRP1/LRP8-dependent melanoma metastasis and angiogenesis. Cell. 2012; 151:1068–1082. [PubMed: 23142051]
- 105. Magnus N, et al. Tissue factor expression provokes escape from tumor dormancy and leads to genomic alterations. Proc Natl Acad Sci USA. 2014; 111:3544–3549. [PubMed: 24520174]
- 106. Wells A, Griffith L, Wells JZ, Taylor DP. The dormancy dilemma: quiescence versus balanced proliferation. Cancer Res. 2013; 73:3811–3816. [PubMed: 23794703]
- 107. Taylor DP, Wells JZ, Savol A, Chennubhotla C, Wells A. Modeling boundary conditions for balanced proliferation in metastatic latency. Clin Cancer Res. 2013; 19:1063–1070. [PubMed: 23329811]
- 108. Kienast Y, et al. Real-time imaging reveals the single steps of brain metastasis formation. Nature Med. 2010; 16:116–122. [PubMed: 20023634]
- 109. Taichman RS, et al. GAS6 receptor status is associated with dormancy and bone metastatic tumor formation. PloS ONE. 2013; 8:e61873. Provides evidence that GAS6, an HSC niche regulator, may regulate prostate DTC dormancy. [PubMed: 23637920]
- 110. Tsai HC, et al. Transient low doses of DNA-demethylating agents exert durable antitumor effects on hematological and epithelial tumor cells. Cancer Cell. 2012; 21:430–446. Provides evidence that low-dose azacytidine treatment may be amenable for reprogramming tumour cells into a non-proliferative state. This may represent a dormancy-inducing strategy. [PubMed: 22439938]
- 111. Kim RS, et al. Dormancy signatures and metastasis in estrogen receptor positive and negative breast cancer. PloS ONE. 2012; 7:e35569. First evidence that a dormancy gene signature can predict late metastatic relapse in patients. [PubMed: 22530051]
- 112. Dono M, et al. Mutation frequencies of GNAQ, GNA11, BAP1, SF3B1, EIF1AX and TERT in uveal melanoma: detection of an activating mutation in the TERT gene promoter in a single case of uveal melanoma. Br J Cancer. 2014; 110:1058–1065. [PubMed: 24423917]
- 113. Landreville S, et al. Histone deacetylase inhibitors induce growth arrest and differentiation in uveal melanoma. Clin Cancer Res. 2012; 18:408–416. Provides evidence that HDAC inhibitors may be used to reprogramme cells into a dormancy-like phenotype. [PubMed: 22038994]
- 114. Chen T, et al. An RNA interference screen uncovers a new molecule in stem cell self-renewal and long-term regeneration. Nature. 2012; 485:104–108. [PubMed: 22495305]
- 115. Kobielak K, Stokes N, de la Cruz J, Polak L, Fuchs E. Loss of a quiescent niche but not follicle stem cells in the absence of bone morphogenetic protein signaling. Proc Natl Acad Sci USA. 2007; 104:10063–10068. [PubMed: 17553962]
- 116. Avagyan S, Glouchkova L, Choi J, Snoeck HW. A quantitative trait locus on chromosome 4 affects cycling of hematopoietic stem and progenitor cells through regulation of TGF-β2 responsiveness. J Immunol. 2008; 181:5904–5911. [PubMed: 18941179]

117. Langer JC, Henckaerts E, Orenstein J, Snoeck HW. Quantitative trait analysis reveals transforming growth factor-beta2 as a positive regulator of early hematopoietic progenitor and stem cell function. J Exp Med. 2004; 199:5–14. [PubMed: 14707111]

- 118. Wilson A, Laurenti E, Trumpp A. Balancing dormant and self-renewing hematopoietic stem cells. Curr Opin Genet Dev. 2009; 19:461–468. [PubMed: 19811902]
- 119. Wilson A, et al. Hematopoietic stem cells reversibly switch from dormancy to self-renewal during homeostasis and repair. Cell. 2008; 135:1118–1129. [PubMed: 19062086]
- 120. White AC, et al. Stem cell quiescence acts as a tumour suppressor in squamous tumours. Nature Cell Biol. 2014; 16:99–107. Provides strong evidence that niche signals via the induction of quiescence can antagonize oncogene signalling. This may explain how niche cues may be dominant over oncogenes and thus challenge oncogene addiction. [PubMed: 24335650]
- 121. Onder TT, et al. Chromatin-modifying enzymes as modulators of reprogramming. Nature. 2012; 483:598–602. This paper identifies genes found to regulate dormancy as key negative regulators of iPSC reprogramming, arguing that dormancy might involve changes in pluripotency. [PubMed: 22388813]
- 122. Gupta PB, et al. Stochastic state transitions give rise to phenotypic equilibrium in populations of cancer cells. Cell. 2011; 146:633–644. [PubMed: 21854987]
- 123. Hanna J, et al. Direct cell reprogramming is a stochastic process amenable to acceleration. Nature. 2009; 462:595–601. [PubMed: 19898493]
- 124. Kalluri R, Weinberg RA. The basics of epithelial-mesenchymal transition. J Clin Invest. 2009; 119:1420–1428. [PubMed: 19487818]
- 125. Mani SA, et al. The epithelial-mesenchymal transition generates cells with properties of stem cells. Cell. 2008; 133:704–715. [PubMed: 18485877]
- 126. Chaffer CL, et al. Poised chromatin at the ZEB1 promoter enables breast cancer cell plasticity and enhances tumorigenicity. Cell. 2013; 154:61–74. [PubMed: 23827675]
- 127. Bragado P, et al. Analysis of marker-defined HNSCC subpopulations reveals a dynamic regulation of tumor initiating properties. PloS ONE. 2012; 7:e29974. [PubMed: 22276135]
- 128. Ito K, et al. Reactive oxygen species act through p38 MAPK to limit the lifespan of hematopoietic stem cells. Nature Med. 2006; 12:446–451. [PubMed: 16565722]
- 129. Marion RM, et al. A p53-mediated DNA damage response limits reprogramming to ensure iPS cell genomic integrity. Nature. 2009; 460:1149–1153. [PubMed: 19668189]
- 130. Guo W, et al. Slug and Sox9 cooperatively determine the mammary stem cell state. Cell. 2012; 148:1015–1028. [PubMed: 22385965]
- 131. Cheung TH, Rando TA. Molecular regulation of stem cell quiescence. Nature Rev Mol Cell Biol. 2013; 14:329–340. [PubMed: 23698583]
- 132. Klein CA, et al. Comparative genomic hybridization, loss of heterozygosity, and DNA sequence analysis of single cells. Proc Natl Acad Sci USA. 1999; 96:4494–4499. [PubMed: 10200290]
- 133. Schmidt-Kittler O, et al. From latent disseminated cells to overt metastasis: genetic analysis of systemic breast cancer progression. Proc Natl Acad Sci USA. 2003; 100:7737–7742. [PubMed: 12808139]
- 134. Smits BM, et al. The gene desert mammary carcinoma susceptibility locus *Mcs1a* regulates *Nr2f1* modifying mammary epithelial cell differentiation and proliferation. PLoS Genet. 2013; 9:e1003549. [PubMed: 23785296]
- 135. Marino N, et al. Breast cancer metastasis: issues for the personalization of its prevention and treatment. Am J Pathol. 2013; 183:1084–1095. [PubMed: 23895915]
- 136. Steeg PS. Perspective: The right trials. Nature. 2012; 485:S58–59. [PubMed: 22648501]
- 137. Alix-Panabieres C, Muller V, Pantel K. Current status in human breast cancer micrometastasis. Curr Opin Oncol. 2007; 19:558–563. [PubMed: 17906452]
- 138. Braun S, et al. A pooled analysis of bone marrow micrometastasis in breast cancer. New Engl J Med. 2005; 353:793–802. [PubMed: 16120859]
- 139. Jauch KW, et al. Prognostic significance of bone marrow micrometastases in patients with gastric cancer. J Clin Oncol. 1996; 14:1810–1817. [PubMed: 8656249]

140. Hanahan D, Weinberg RA. Hallmarks of cancer: the next generation. Cell. 2011; 144:646–674. [PubMed: 21376230]

- 141. Kouros-Mehr H, et al. GATA-3 links tumor differentiation and dissemination in a luminal breast cancer model. Cancer Cell. 2008; 13:141–152. [PubMed: 18242514]
- 142. Lin AW, et al. Premature senescence involving p53 and p16 is activated in response to constitutive MEK/MAPK mitogenic signaling. Genes Dev. 1998; 12:3008–3019. [PubMed: 9765203]
- 143. Sang L, Coller HA, Roberts JM. Control of the reversibility of cellular quiescence by the transcriptional repressor HES1. Science. 2008; 321:1095–1100. [PubMed: 18719287]
- 144. Xue W, et al. Senescence and tumour clearance is triggered by p53 restoration in murine liver carcinomas. Nature. 2007; 445:656–660. [PubMed: 17251933]
- 145. Narita M, et al. A novel role for high-mobility group a proteins in cellular senescence and heterochromatin formation. Cell. 2006; 126:503–514. [PubMed: 16901784]
- 146. Coller HA, Sang L, Roberts JM. A new description of cellular quiescence. PLoS Biol. 2006; 4:e83. [PubMed: 16509772]
- 147. Kaplan RN, et al. VEGFR1-positive haematopoietic bone marrow progenitors initiate the premetastatic niche. Nature. 2005; 438:820–827. [PubMed: 16341007]
- 148. Sharma SV, et al. A common signaling cascade may underlie "addiction" to the Src, BCR-ABL, and EGF receptor oncogenes. Cancer Cell. 2006; 10:425–435. [PubMed: 17097564]
- 149. Sharma SV, et al. A chromatin-mediated reversible drug-tolerant state in cancer cell subpopulations. Cell. 2010; 141:69–80. [PubMed: 20371346]
- 150. Stoecklein NH, et al. Direct genetic analysis of single disseminated cancer cells for prediction of outcome and therapy selection in esophageal cancer. Cancer Cell. 2008; 13:441–453. [PubMed: 18455127]
- 151. Marlow R, et al. A novel model of dormancy for bone metastatic breast cancer cells. Cancer Res. 2013; 73:6886–6899. [PubMed: 24145351]
- 152. Pardee AD, et al. A therapeutic OX40 agonist dynamically alters dendritic, endothelial, and T cell subsets within the established tumor microenvironment. Cancer Res. 2010; 70:9041–9052. [PubMed: 21045144]
- 153. Aguirre-Ghiso JA, Liu D, Mignatti A, Kovalski K, Ossowski L. Urokinase receptor and fibronectin regulate the ERK(MAPK) to p38(MAPK) activity ratios that determine carcinoma cell proliferation or dormancy *in vivo*. Mol Biol Cell. 2001; 12:863–879. [PubMed: 11294892]

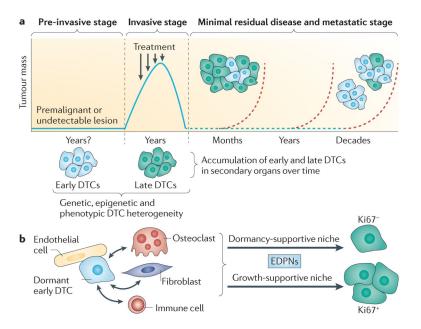


Figure 1. Dormancy of heterogeneous DTC subpopulations

a | Metastases may be initiated by and may evolve from dormant disseminated tumour cells (so-called 'early DTCs') from pre-invasive lesions, rather than established primary tumours^{9,65}. The time required for premalignant or undectable lesions is unknown and is indicated in the figure as 'Years?'. In fact, at the time of diagnosis, tumour cell dissemination has occurred in >50% of patients^{1,9}. After primary tumour surgery and/or treatment (indicated by arrows), the tumour mass decreases and residual disease characterized by solitary dormant DTCs can be detectable for long periods (dashed blue line). After months, years or decades the metastatic tumour mass then increases (dashed red lines and tumour cell clusters). DTCs that originate from different stages of tumour evolution could form these heterogeneous masses 1,9. For example, late DTCs, which arise from the established primary tumour may have higher metastatic potential and give rise to metastatic lesions earlier (within months of ending treatment of the primary tumour). By contrast, early DTCs (light blue) from pre-invasive lesions that remain dormant may generate metastatic tumours decades after first diagnosis. These DTCs may be the first colonizers of distant organs but may have remained in a dormant state while the primary tumour progressed. As the primary lesions progress, additional DTCs reach the target organs and contribute to dormant and proliferative DTC heterogeneity^{65,66}. **b** | A hypothetical possibility discussed in BOX 1 is that early DTCs may, by colonizing target organs, become the founders of early DTC pre-metastatic niches (EDPNs) by crosstalk (arrows) with different host cell types such as endothelial cells, immune cells, fibroblasts and/or other stromal cells. EDPNs could support the dormancy and/or outgrowth of later arriving DTCs from later stages of evolution. Note that in part b, the same process can proceed for early DTCs that go on to form metastases independently of late DTCs (blue tumour mass in part a).

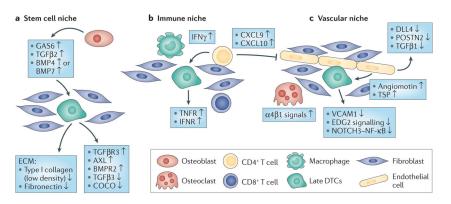


Figure 2. Permissive microenvironments and cues for DTC dormancy

Three non-mutually exclusive niches or microenvironments may actively support dormancy that contain active inducers of cellular dormancy and may lack signals that induce reactivation. a | Stem cell niches contain signals that also control haematopoietic stem cell (HSC) self-renewal and quiescence such as bone morphogenetic proteins (BMPs) or transforming growth factor-β2 (TGFβ2)^{18,72} produced by stromal cells (for example, osteoblasts). Other factors which may induce dormancy include the expression of the AXL¹⁰⁹, TGFβR3 or bone morphogenetic protein receptor type 2 (BMPR2) receptors^{18,71}. In addition, this environment may also lack proliferation reactivation signals such as type I collagen-dense microenvironments⁸⁸, fibronectin¹⁵³, COCO or TGFβ3 ligand¹⁴⁷. **b** | The immune niche contains macrophages, CD4⁺ cells or CD8⁺ cells that may produce interferon-y (IFNy), which may induce dormancy in tumour cells^{93,94}. In particular, tumour necrosis factor receptor 1-positive (TNFR1⁺) tumour cells may be susceptible to dormancy entry in this niche. c | In the vascular niche, extracellular matrix (ECM) components (for example, thrombospondin (TSP)) produced by endothelial cells not engaged in active sprouting may induce quiescence of DTCs⁸⁰. In addition, dormant DTCs in this niche have downregulated expression of vascular cell adhesion protein 1 (VCAM1) and lysophosphatidic acid receptors (EDG2)^{19,79}. The immune and vascular niches may crosstalk, as dormancy has been linked to CD4+ T cell-dependent production of CXCL9 and CXCL10, which inhibits angiogenesis ¹⁵² (inhibitory arrow).

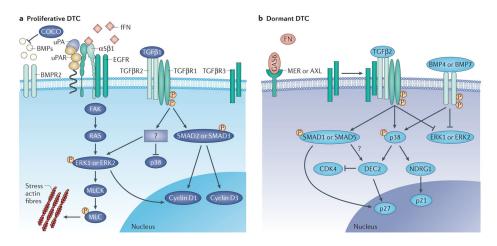


Figure 3. Intracellular pathways present in dormant and proliferative DTCs

a | In order to escape dormancy and become proliferative dormant disseminated tumour cells (DTCs) can inhibit bone morphogenetic protein (BMP) signalling by expressing inhibitors such as COCO¹⁴⁷. Proliferative DTCs also require activation of growth factor signalling via ERBB family receptors such as epidermal growth factor receptor (EGFR), which can be coupled to uPAR and β1 integrin signalling that can be activated by extracellular matrix (ECM) molecules such as fibrillar fibronectin (fFN) via α5β1 integrins or by heterotypic interaction with vascular cell adhesion protein 1 (VCAM1)¹⁵³. Receptor tyrosine kinases like the ERBB family funnel proliferative signals through FAK, SRC and the MEK-ERK modules to cyclin D1 and cyclin D3 or through myosin light chain kinase (MLCK). Other growth-promoting pathways activated in proliferative DTCs include the canonical transforming growth factor-β1 (TGFβ1) signalling pathway. Signals between the EGFR and TGFβ signalling pathways are integrated through as yet unknown mechanisms. **b** | In dormant DTCs TGF\u00e32 and bone morphogenetic protein 4 (BMP4) or BMP7 signals predominate, activating p38, inhibiting ERK1 or ERK2 (for example, by TGFβ2, BMP7 or EDG2 inhibition), and inducing p21 and p27 cyclin-dependent kinase (CDK) inhibitors (in all cases)¹⁸. Specific TGFβ2 canonical (SMAD1 or SMAD5) and non-canonical signalling result in the upregulation of DEC2 and BMP7 signalling⁷¹ and induce NDRG1 that subsequently leads to the induction of cell cycle inhibitors and prostate cancer cell dormancy. Paradoxically, in mouse mammary cancer models NDRG1 was also shown to be induced by COCO¹⁴⁷ and to support metastasis. Importantly, dormant DTCs may also be sensitive to the growth arrest-specific protein 6 (GAS6)¹⁰⁹ or become unable to derive proliferative signals from fibronectin in the ECM through α5β1 integrins (previously reviewed in REF. 4). Question marks indicate unknown mechanisms or factors.