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## Modulation of the interstitial fluid pressure by high intensity focused ultrasound as a way to alter local fluid and solute movement: insights from a mathematical model

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## Abstract

High intensity focused ultrasound (HIFU) operated in thermal mode has been reported to reduce interstitial fluid pressure and improve the penetration of large macromolecules and nanoparticles in tumor and normal tissue. Little is understood about how the interstitial fluid pressure and velocity as well as the interstitial macromolecule transport are affected by HIFU exposure. A mathematical model is presented here which sheds light on the initial biophysical changes brought about HIFU. Our continuum model treats tissue as an effective poro-elastic material that reacts to elevated temperatures with a rapid drop in interstitial elastic modulus. Using parameters from the literature, the model is extrapolated to derive information on the effect in tumors, and to predict its impact on the convective and diffusive transport of macromolecular drugs. The model is first solved using an analytical approximation with step-wise changes at each boundary, and then solved numerically starting from a Gaussian beam approximation of the ultrasound treatment. Our results indicate that HIFU causes rapid drop in interstitial fluid pressure that may be exploited to facilitate convection of macromolecules from vasculature to the exposed region. However, following a short recovery period in which the interstitial fluid pressure is normalized, transport returns to normal and the advantages disappear over time. The results indicate that this effect is strongest for the delivery of large molecules and nanoparticles that are in the circulation at the time of treatment. The model may be easily applied to more complex situations involving effects on vascular permeability and diffusion.

## Keywords

focused ultrasound; hyperthermia; drug delivery; tissue transport

## 1. Introduction

The ability of HIFU to concentrate the acoustic energy on a focal spot, measuring a few millimeters in diameter, deep inside a number of soft tissues in a minimally invasive way has been investigated for several decades largely for application to tumor thermal ablation (Ter Haar 2007). An emerging application of HIFU currently under investigation is targeted drug delivery. Efficient delivery of therapeutic agents into target cells or tissues still remains a big challenge in medicine. HIFU has been reported to increase the uptake of therapeutic agents in a number of tumor models *in vivo* (Dittmar *el al* 2005, Frenkel *el al* 2006,

Khaibullina *et al* 2008, Lai *et al* 2010, Nelson *et al* 2002, Wang *et al* 2012). A promising technology is the combined use of HIFU and magnetic resonance (MR) imaging and thermometry, since MR imaging can provide high-resolution images for HIFU treatment planning and post-treatment evaluation and MR thermometry provides a way to estimate the acoustic energy deposited at the focal volume. HIFU guided by MR imaging has been shown to be effective in assessing the increased delivery of temperature sensitive liposomes (Grüll and Langereis 2012, Ranjan *et al* 2012) and the antitumor drug doxorubicin (Chen *et al* 2012) to tumor models *in vivo*.

The mechanisms responsible for this observed increased uptake of therapeutic agents are still a matter of investigation and debate in the literature. In general, ultrasound bio-effects are thought to arise from contributions of thermal and mechanical origin with longer pulses that generate higher thermal losses and shorter pulses that minimize such losses (O'Brien 2007, Ter Haar 2004). In the literature, traditional hyperthermia has long been studied for drug and nanoparticle delivery (Kong *et al* 2001, Chang *et al* 2005, Song *et al* 2005, Li *et al* 2013). In these studies, improved vascular permeability is generally considered as the major effect. While the treatments discussed here are not traditional hyperthermia, they are hypothermic in the sense that they involve elevated temperatures and thermal doses. However, generally the heat duration is much shorter (1–2 minutes rather than 30–60 minutes) and the temperatures higher than traditional hyperthermia, and because of this the thermal profile is much more localized.

The present work does not address the general mechanisms responsible for the reported increased uptake but it focuses on some aspects that may contribute to it.

Rapid edema formation (fluid accumulation in the interstitium) as a result of HIFU thermal ablation has also been reported in a number of studies in muscle and brain (Chen *et al* 1999, McDannold *et al* 2001, Vykhodtseva *et al* 2000, O'Neill *et al* 2009, O'Neill *et al* 2013). It is interesting to consider the processes that might be responsible for such a rapid reaction to thermal and mechanical stresses, and possibly take advantage of them to improve delivery of therapeutic agents. A drop in the IFP with no visible edema formation as a consequence of HIFU exposure under mild hyperthermia conditions with associated increase in nanoparticle delivery in a mouse tumor model has been recently reported (Watson *et al* 2012).

In order to gain some insight into these effects we present here a general mathematical model. The model is based on the linear biphasic model developed by Netti *el al.* (1995, 1997) to describe fluid transport in solid tumors when the tumor is treated as a poroelastic solid. The Netti model is an extension to time dependent situations of the mathematical model developed by Baxter and Jain (1989). These models have been adapted for analysis of hyperthermia treatments and drug delivery, sometimes with extensions for discrete rather than distributed vasculature (El-Kareh and Secomb 2004, Gasselhuber *et al* 2012), and other times including drug released from low temperature sensitive liposomes (Gasselhuber *et al* 2012a). In our study, we adapt this model to understand the initial rapid edema formation observed in the HIFU experiments referenced above. We will investigate mathematically these phenomena to see if they could be responsible for enhancing localized drug delivery. A major difference with general hyperthermia and other drug delivery models is that we

Our model consists of (i) a macroscopic fluid transport model able to predict the IFP and the interstitial fluid velocity (IFV) in tissue and (ii) a macroscopic solute transport model that describes the tissue concentration profiles of macromolecules with no extravascular binding. A focused ultrasound heating model was combined with experimental data to predict the change in tissue elastic properties. This same approach was extended a simplified case of a homogeneous, alymphatic tumor.

## 2. Materials and Methods

#### 2.1. Governing Equations

It is assumed that the tissue is composed by two phases: a fluid phase, and a solid phase that is porous-permeable, isotropic and linearly elastic. Blood and lymphatic capillaries are not explicitly taken into account but are represented as a continuous, spatially distributed fluid source/sink throughout the tissue. The tissue as a whole is compressible only through exudation of fluid.

The physiological parameters that control the fluid transport are the hydraulic permeability of the vascular wall ( $L_p$ ), the hydraulic conductivity of the interstitium (K), and the aggregate modulus of the interstitium:  $H = 2\mu + \lambda$  where  $\mu$  and  $\lambda$  are the Lamé constants of the solid matrix. We provide here the basic equations of the model. Additional details about the model and its computer implementation can be found in the Supplementary Information.

**2.1.1. Macroscopic Fluid Transport**—The transient evolution of inhomogeneous tissue deformation is modeled by a diffusion-type equation with a chemical reaction given by

$$\frac{\partial \mathbf{e}}{\partial t} - \nabla \cdot [K \nabla \mathbf{P}_{i}] = \Omega(\boldsymbol{r}, t),$$
 (1)

where the dilatation is defined by  $e = \frac{V_t - V_t^0}{V_t^0}$  with  $V_t$  and  $V_t^0$  the volumes of the deformed and undeformed tissue element respectively. With interstitial fluid pressure defined as  $P_i =$ *He* (see Supplementary Information), and assuming constant conductivity, we can rewrite (1) as:

$$\frac{1}{H}\frac{\partial P_{i}}{\partial t} - \frac{P_{i}}{H^{2}}\frac{\partial H}{\partial t} - K\nabla^{2}P_{i} = \Omega(\boldsymbol{r}, t). \quad (1a)$$

The right-hand side of (1) or (1a), accounting for the trans-capillary fluid exchange, is the source/sink term of the Baxter and Jain model (1989) given by

$$\Omega(\mathbf{r},t) \!=\! \varphi_{\rm V} \!-\! \varphi_{\rm L} \!=\! \frac{L_{\rm p}S}{V} (P_{\rm e} \!-\! P_{\rm i}) \!-\! \frac{L_{\rm pL}S_{\rm L}}{V} (P_{\rm i} \!-\! P_{\rm L}), \quad (2)$$

where  $\phi_v$  and  $\phi_L$  represent the transcapillary flow (Starling equation) and the lymphatic drainage, respectively;  $L_p$  and  $L_{pL}$  are the average hydraulic conductivity coefficients of the capillary and the lymphatic walls; S/V and  $S_L/V$  are the vascular and lymphatic surface densities in the tissue (vessel surface area S per unit of tissue volume (V));  $P_e$  (mmHg) is the effective vascular pressure  $P_e = P_V - \sigma(\pi_V - \pi_i)$  where the difference between the average vascular pressure  $P_V$  and the average IFP,  $P_i$ , is countered by the effective oncotic pressure ( $\sigma(\pi_V - \pi_i)$ ). The parameters  $\sigma$ ,  $\pi_V$  and  $\pi_i$  are, respectively, the average osmotic reflection coefficient of plasma proteins, the colloid osmotic pressure of plasma, and the colloid osmotic pressure of interstitial fluid and  $P_L$  is the average lymphatic pressure.

The left-hand side of (1) describes the transient evolution of the tissue dilatation (deformation) due to fluid percolation through the tissue. A redistribution of the dilatation of tissue occurs via two mechanisms: a pseudo-diffusion process through the solid matrix with a pseudo-diffusion coefficient given by KH and a pseudo-fluid-generation process given by the term on the right hand side of (1).

Netti and collaborators solved (1) for two particular cases, both involving the source term  $\Omega$ , an abrupt change of the macrovascular pressure from an initial value  $P_{\nu 0}$  to a final value  $P_{\nu f}$  and also an abrupt cessation of the trans-vascular fluid exchange. In our study, we focused instead on the effects resulting from changes occurring in the interstitial matrix. Owing to ultrasound exposure, we have assumed that the tissue in the focal region experiences a sudden change in the interstitium aggregate modulus *H* from a value  $H_0$  to a final value  $H_{f}$ . Eq. (1a) is then solved numerically for both normal and tumor tissue using a finite element technique.

The calculation of  $P_i$  from (1a) allows us to determine the tissue dilatation from  $e = P_i/H$ , the displacement **u** from  $e = \nabla \cdot u$ , and the convective IFV, v, from the generalized form of Darcy's law:

$$\phi \left[ \boldsymbol{v} - \frac{\partial \boldsymbol{u}}{\partial t} \right] = -K \nabla P_i. \quad (3)$$

**2.1.2. Macroscopic Solute Transport**—The transport of macromolecules (solute) in the interstitium is modeled using the macroscopic convective-diffusion equation proposed by Baxter and Jain (1989)

$$\frac{\partial C_{i}}{\partial t} + \nabla \cdot \left( -D\nabla C_{i} + r_{F} v C_{i} \right) = \phi_{S} - \phi_{R}, \quad (4)$$

where  $C_i$  is the interstitial solute concentration (in g/ml) and  $\nu$  is fluid velocity as determined by the fluid transport model. Similar to the fluid transport model, the discrete vessel source term is replaced by a distributed solute (macromolecular) source term  $\varphi_S$ . The remaining

variables in (4) are: the solute diffusion coefficient, *D*, the retardation factor (ratio of solute velocity to the fluid velocity),  $r_F$ , and an extravascular binding (reaction) term,  $\varphi_R$  (in g/ml s). In the pore model for transcapillary exchange (see for example, Taylor and Granger (1984), for a review), the solute source term  $\varphi_S$  can be written in terms of diffusion and convection sources as

$$\phi_{S} = \frac{\xi S}{V} (C_{p} - C_{i}) + \frac{L_{p}S}{V} (P_{e} - P_{i})C_{p}(1 - \sigma) - \frac{L_{pL}S_{L}}{V} (P_{i} - P_{L})C_{p}(1 - \sigma_{L}), \quad (5)$$

where  $\xi$  is the vascular (diffusive) permeability coefficient,  $C_p$  is the plasma solute concentration and  $\sigma$ ,  $\sigma_L$  are the reflection coefficients for the solute across the capillary and lymphatic membranes. The last term is most often excluded from the literature, but we include it here to make the obvious comparison to (2). It is useful to rewrite (5) explicitly in terms of the fluid flow,  $\Omega$ :

$$\frac{\xi S}{V}(C_p - C_i) + \Omega(1 - \sigma)C_p + \frac{\mathcal{L}_{pL}S_L}{\mathcal{V}}(P_i - P_L)(\sigma - \sigma_L)C_p \quad (6)$$

from which it is immediately clear that the convective components are negligible in tumor but not in normal tissue. Under steady state in both tissues types,  $\Omega = 0$ , however, only in normal tissues is there some inflow, and the third term ensures that this leads to a convective build-up of solute in the tissue. Note that we are neglecting the reaction term  $\varphi_R$ , which describes permanent binding of drug rather than transient build-up due to finite tissue filtration and permeability. For the steady state, an effective diffusion is most often used, and the lymphatic filtration term is mostly ignored in the literature. In terms of the excess drug concentration,  $C_{ex} = C_i - C_{iC}$ , defined as the difference in uptake between ultrasound treated and control or steady state tissue, (4) becomes (see Supplemental):

$$\frac{\partial C_{ex}}{\partial t} - \nabla \cdot \left( -D\nabla C_{ex} + r_F \mathbf{v} C_{ex} \right) = -\frac{\xi S}{V} C_{ex} + (1 - \sum) \Omega C_p, \quad (7)$$

where  $1-\sum = \frac{1}{\alpha} \left[ \frac{L_p S}{V} (1-\sigma) + \frac{L_{PL} S_L}{V} (1-\sigma_L) \right]$  is an effective "reflection" coefficient that determines the fraction of plasma solute that is transported into the interstitium along with the fluid and  $\Omega$  is given by the right hand side of either (1) or (1a).

## 2.2. Analytical Approximation

We can rewrite the inhomogeneous pressure PDE conveniently as:

$$\frac{1}{H}\frac{\partial P_{i}}{\partial t} - \frac{P_{i}}{H^{2}}\frac{\partial H}{\partial t} = K\nabla^{2}P_{i} - \alpha (P_{i} - P_{SS}), \quad (8)$$

with  $\alpha = \frac{L_p S}{V} + \frac{L_{PL} S_L}{V}$  and  $P_{SS} = \frac{1}{\alpha} \left[ \frac{L_p S}{V} P_e - \frac{L_{PL} S_L}{V} P_L \right]$ , so that  $\Omega = -\alpha (P_i - P_{SS})$ . Clearly, the steady state solution is just  $P_i = P_{SS}$ . With limited data, little is precisely known about the change in H through space and time. However, based on changes in T2-weighted and contrast enhanced MR images, we can make the following general statements: that a

change occurs that allows for an influx in fluid (edema) to occur at the treatment site, that this process is quite rapid (<2 minutes), and that there is a quite sharp boundary demarcating the treated spot on T2-weighted images. We have also observed that contrast injected shortly following treatment does not build up preferentially in the treated region. Our hypothesis is that these observations may be explained by a change in phase in the treated tissue that results in a rapid reduction in the tissue modulus, H. Without knowing the details, we can also approach this problem analytically using distinct domains in space and time. Writing:

$$H(\mathbf{r},t) = H_0 + \Delta H h(t-t_0) \left(1 - h(\mathbf{r} - \mathbf{r}_i)\right), \quad (9)$$

where h() is step function that goes from 0 to 1 as the argument crosses 0 from negative to positive,  $H = H_f - H_0$ , and  $t_0$ ,  $\mathbf{r}_i$  are the coordinates of the interface in time and space. The derivative of such a step is here written as  $\delta()$ , and is Dirac delta function, the integral of which is the unit step. The advantage of this formalism is that it keeps track of the step while being agnostic as to its precise nature. One can at any time replace it with an appropriate sigmoidal function to find a specific solution. In general, the steps in the various dimensions are not numerically identical, however, for convenience we use the same notation for all step functions used here. The main point is that far from the boundaries, in both space and time, H is a constant, either  $H_0$  or  $H_f = H_0 + H$ . The choice of sigmoidal step function, and its derivatives, determines only how things match across boundaries.

Extending this formalism to the tumor case is trivial. There, we have two different steady state pressures. The pressure inside the tumor is derived by removing the lymphatic terms (setting  $S_L = 0$ ) and the vascular osmotic pressure (setting  $P_e = P_v$ ). From this, it is trivial to show that, inside the tumor,  $P_{SS} = P_v$ , and  $\Omega = 0$ , that is the convective flow of fluid is zero. Similar to the approach above, the steady state pressure at the tumor boundary may be approximated as some step function. Netti's solution amounts to solving (1) for a particular choice of step function between the tumor and normal tissue pressures. For an ultrasound treatment spot completely inside the tumor and far from the tumor boundary, we just solve (8) replacing  $P_{SS}$  with  $P_v$ .

#### 2.3. Computer Model Implementation

**2.3.1. Experimental estimate of the function H**—Although the general behavior of tissue following ultrasound thermal treatment may be generally predicted from a step function given in (9), a result relevant to a particular situation requires that we specify H(r, t) more precisely and solve (1) or (1a) numerically. In our case, heat was gradually building up over a period of 60–120 s, a situation that requires a numerical approach. We implemented this approach in Comsol Multiphysics 3.5a by employing four different modules in cylindrical symmetry with  $R = \sqrt{x^2 + y^2} = r \sin \phi$ ,  $z = r \cos \phi$ , with  $\phi$  the polar angle, simulating, in turn, the heating of the tissue that causes protein denaturation, the change in IFP, the resulting tissue displacement/IFV, and, finally, the movement of solute (drug) due to diffusion and convection. The solution was found using a segregated solver with manual specification of steps, that is, by ignoring the possible effects of tissue and fluid movement on the heat distribution, etc. All of this hinges on some estimation of the change in tissue bulk modulus due to the heating. While there is no way to estimate H(r, t) or  $P_i(r, t)$ 

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directly in living tissue, it is possible to estimate relative changes e(r, t) from MR imaging, with some limits in temporal and spatial resolution. Since, in the model, the solid and fluid phases are considered intrinsically incompressible, tissue deformation can occur only with an increase of fluid content in the affected tissue, i.e. the amount of edema can be associated with the dilation e. The relative increase in fluid content in tissue immediately after HIFU exposure can be roughly estimated from T2 weighted (or better, proton density) MR images. Here, we have considered a particular set of data which shows the increment of fluid content in the focal plane at 2.5 minutes after a pulsed HIFU treatment of rabbit thigh. More details about this experiment can be found in O'Neill et al (2013). The focal spot considered in our analysis was obtained with an HIFU exposure lasted about 60 s and resulting in a temperature increase (hottest pixel) of about 15 °C as measured by MRI thermometry. From this, and other similar observations, edema already appears in the T2 images taken 2.5 minutes post treatment and remains relatively static for several hours (see Supplemental Information). The change in H can therefore be estimated by matching the results of the simulation to this data. We also know, from the physics of protein denaturation, that this process should be thermal dose dependent. In a previous paper looking at over 200 such sonications, we estimated the critical thermal dose at 159 cumulative equivalent minutes at 43 °C. We were also able to show that for our particular treatment (single focal spot from a 1 MHz beam treated over 60-120 s), the same transition will occur with temperatures peaking at 49 °C or greater. Although a phase change based on thermal dose is more accurate and universal, for our simulation we chose to work with temperature since it is easier to implement in Comsol Multiphysics. Without further guidance from experiment, we set:

$$H(\boldsymbol{r},t) = H_0 - \Delta H h(T_{mx}(\boldsymbol{r},t) - T_c), \quad (10)$$

with  $h(T_{mx}-T_c)=\frac{1}{2}\left(1+\operatorname{erf}\left(\frac{T_{mx}-T_c}{\sqrt{2}\sigma}\right)\right)$ , where erf () is the error function, that is, the integral of a normal Gaussian, with  $\sigma$  as the parameter controlling the width of the transition. The parameters H and  $\sigma$  were chosen to reasonably fit the experimental data (see Supplemental Information), beginning with  $\sigma = 2^{\circ}$ C and  $H = 0.5 H_0$ .  $T_{mx}(t)$  is the maximum temperature reached from start of insonation to time t –and ensures the effect is irreversible. This was implemented in Comsol by running separate solutions during heating and cooling, using the final temperature map from the heating phase as  $T_{mx}$  for the cooling phase.

**2.3.2.** Acoustic model and heating—In order to make use of (10) we must have as input  $T(\mathbf{r}, t)$ . This may, in principle, be obtained directly from MRI thermometry data of the treatments, however, for simulation purposes in Comsol Multiphysics, it is easier to model the temperature rise due to a theoretical acoustic beam than work with noisy data. This may be done using the bioheat equation, that is, we can solve (see O'Neill *et al* 2012, Wissler 1998):

$$\rho C \frac{\partial T}{\partial t} - k \nabla^2 T = -\rho C \omega_b (T - T_b) + Q_{US}, \quad (11)$$

with boundaries in the +R direction fixed at 37 °C, in the  $\pm z$  direction set to 21 °C, and an insulation condition at the R=0 axis. For simplicity, we modeled the ultrasound as a Gaussian beam (Wu and Du 1990, O'Neill *et al* 2012) in cylindrical coordinates, that is:

$$Q_{\rm US} \!=\! \frac{2 P_{tap} \alpha_z}{2 \pi \sigma_{\rm R}^2 (1\!-\!z^2/\sigma_z^2)} \! \exp \left( \frac{R^2}{2 \, \sigma_{\rm R}^2 (1\!-\!z^2/\sigma_z^2)} \!-\! \frac{z^2}{2 \sigma_z^2} \right) \! . \eqno(12)$$

This expression places the focus at (0,0), with focal width approximately  $\sigma_R$  and length  $\sigma_z$ , and acoustic absorption  $a_z$ . The constants used in the simulation come from literature and earlier studies that fit our experimental thermometry data to a similar expression (see O'Neill *et al* 2012). The total acoustic power,  $P_{tap}$ , was set so that the peak temperature reached 52 °C at the end of 60 s of sonication, matching several of our experimental data sets. The parameters used may be found in table 1.

#### **2.3.3. IFP and fluid transfer**—From (10), we find:

$$\frac{\partial H}{\partial t} = -\Delta H \,\delta(T_{mx}(\boldsymbol{r},t) - T_c) \frac{\partial T}{\partial t}(\boldsymbol{r},t), \text{ where } \delta(T - T_c) = \frac{1}{\sqrt{2\pi\sigma}} e^{-\frac{(T - T_c)^2}{2\sigma^2}}.$$
 These

expressions were substituted, with  $f = -\frac{\Delta H}{H_0}$ , in (6) to give the following PDE that, given  $T(\mathbf{r}, t)$  from (ii) may be solved numerically to find IFP:

$$\frac{1}{\left[1-fh(T_{mx}-T_c)\right]}\frac{\partial P}{\partial t} - H_0K\nabla^2 P_i = -H_0\alpha(P_i - P_{SS}) - \frac{fP_i\delta(T_{mx}-T_c)}{\left[1-fh(T_{mx}-T_c)\right]^2}\frac{\partial T}{\partial t}.$$
 (13)

where *h* and  $\delta$  are defined above. The initial and boundary conditions here are that  $P_i = P_{SS}$ , except at R=0, where we used a "no flux" boundary condition. The parameters used here and below are given in table 1.

Having solved for  $P_i(\mathbf{r}, t)$  in (13), it is possible to obtain the strain, tissue displacement and IVF. The tissue displacement  $\mathbf{u}$  can be determined by solving  $\nabla \cdot \mathbf{u} = e(\mathbf{r}, t)$  with  $\nabla \times \mathbf{u} = 0$ . This set of equations can be solved in Comsol Multiphysics by expressing  $\mathbf{u} = \mathbf{u} - \mathbf{u}_0$  as a gradient of a potential,  $\mathbf{u} = \nabla \phi$ , and solving the Poisson equation,  $\nabla \cdot \nabla \phi = e(\mathbf{r}, t)$ , at each time t, with initial and boundary conditions that  $\mathbf{u} = 0$  everywhere. Substituting the

result (with  $\frac{\partial u}{\partial t} = \frac{\partial \Delta u}{\partial t}$ ) and the gradient of  $P_i$  in (3), we can obtain the IVF:  $v = \frac{\partial u}{\partial t} - \frac{K \nabla P_i}{\phi}$ . In general the tissue volume fraction,  $\phi$ , is not constant, but depends on dilatation. An estimate of this change is given in Netti *al al* (1997) as  $\frac{\hat{e}-1+\phi_0}{\hat{e}}$ , with  $\phi_0$  the steady-state value and  $\hat{e} = \frac{e}{e_0}$ . This may be alternatively expressed in terms of IFP as  $1-(1-\phi_0)\frac{P_{SS}H}{P_cH_0}$ .

**2.3.4. Drug Delivery**—Having the flow of fluid from the vasculature,  $\Omega = -\alpha(P_i - P_{SS})$ ,

and from neighboring tissue,  $v = \frac{\partial u}{\partial t} - \frac{K\nabla P_i}{\phi}$ , from the solution to Eq. (13), it is possible to determine the changing concentrations of a drug or solute provided one knows the parameters for (5), the initial conditions, and the plasma concentration  $C_p(t)$ . Since we are only interested in the increase in drug accumulation, we instead solve (7), in which case, the initial and boundary conditions are  $C_{ex}=0$ , except at R=0 where we instead set the flux to zero. Anticipating that, for useful therapeutics, the decay of the plasma concentration will take much longer than any accumulation process, we here set  $C_p$  uniformly with a 72 h half-life starting from a concentration of 1 at  $t_0$ . The effective reflection coefficient,  $\Sigma$ , is taken to be 0.9 for normal tissue and 0.0001 for tumor based on the measured reflection coefficients for bovine serum albumin in these tissues (see table 1), while the coefficients for diffusion from the vasculature and through the tissue are taken from values estimated for IgG. Estimates are also made for small molecule drugs with diffusion and permeability increased 100-fold compared to IgG, and nanoparticles with diffusion and permeability decreased 100 fold.

Total drug accumulation may be found by adding the excess accumulation calculated here to the steady state accumulation found by solving (4) with  $P_i = P_{SS}$  and spatial gradients set to 0.

**2.3.5.** Parameter Values for Model—The parameters used in the simulations are given in table 1. Acoustic and thermal parameters are taken from previous studies, see O'Neill et al (2012). Most of the values for the tissue flow parameters have been taken from Jain et al (2007) since these are, to the best of our knowledge, the most updated estimated or measured values. The remaining values have been taken from Baxter and Jain (1989), Jain (1987) and Netti al al (1997). A discussion and justification for these values can be found in the cited references. Dreher et al (2006) was used to extrapolate the range of permeability and diffusion coefficients from IgG to small molecules and nanoparticles. In Dreher et al (2006),  $\xi_{eff}$  ranges from  $154 \times 10^{-7} cm/s$  for a 3.3 kDa (hydrodynamic radius ~1.8 nm) dextran to  $1.7 \times 10^{-7}$  cm/s for a 2 MDa (24 nm) dextran, compared to the value used here of  $5.73 \times 10^{-7}$  cm/s for 150 kDa IgG. Based on extrapolating permeability and diffusion as 1/ volume, we estimate that our range of values should correspond to particles between about 1.7 kDa (2 nm radius) and 17 MDa (50 nm) in size. The corresponding range in diffusion coefficient yields results reasonably similar to Figure 6 in the Dreher paper (2006). Because they have been evaluated experimentally, each of these parameters refers to macroscopic values. These are only representative values and one should expect a wide variability among different tissues and tumors with significant variations even at the local level. The same simulations are valid for tumor tissue using the tumor appropriate parameters, provided the ultrasound treatment is considered to be "far away" from the tumor boundary.

## 3. Results

#### 3.1 Analytical results

Using the step approximation (9) in (8) gives:

$$\frac{1}{H_0 + \Delta Hh(t-t_0)(1-h(\mathbf{r}-\mathbf{r}_i))} \frac{\partial P_i}{\partial t} - \frac{P_i \Delta H\delta(t-t_0)\left(1-h(\mathbf{r}-\mathbf{r}_i)\right)}{H_0 + \Delta Hh(t-t_0)(1-h(\mathbf{r}-\mathbf{r}_i))^2} = K\nabla^2 P_i - \alpha(P_i - P_{SS}).$$
(14)

Outside the treatment zone given by  $t > t_0$  and  $\mathbf{r} < \mathbf{r}_i$ ,  $P_i(\mathbf{r}, t) = P_{SS} = H_0 e_0$ . Inside the treatment zone:

$$\frac{\partial P}{\partial t} = (H_0 + \Delta H) \{ K \nabla^2 P_i - \alpha (P_i - P_{SS}) \}, \quad (15)$$

with matching (initial) condition at  $t_0$  (see Supplemental Information)

$$P_i(\mathbf{r} < \mathbf{r}_i, t_0^+) = H_f \frac{P_{SS}}{H_0} = H_f e_0.$$
 (16)

That is,  $e = P_i/H$  is continuous across  $t_0$ , while the pressure "instantaneously" changes due to the change in elastic modulus. This is due to the finite flow which limits the response of e. Note that for pressure to fall,  $H_f < H_0$ .

Far from the boundary, or for small conductivity *K*, we can take  $K\nabla^2 P_i = 0$ . In that case, for

example, near the center of the treatment,  $\frac{\partial P_i}{\partial t} = -H_f \alpha (P_i - P_{SS})$ , with the initial condition given in (16). The solution in spatial domains far from  $\mathbf{r}_i$  is therefore:

$$P_i(\mathbf{r} \ll \mathbf{r}_i, t > t_0) = P_{SS} \left( 1 + \frac{\Delta H}{H_0} e^{-H_f \alpha(t-t_0)} \right); P_i(\mathbf{r} \gg \mathbf{r}_i, t) = P_{SS}.$$
(19)

Most generally, the dynamics near the boundary require a numerical solution, however, it is possible to approximate what is happening there as well. It makes sense that an expression for  $P_i$  across the boundary will look also like a step across the boundary and therefore (see Supplemental Information):

$$\nabla^2 P_i = -\Delta P_i(t) \delta'(\mathbf{r} - \mathbf{r}_i),$$
 (20)

with formal solution to (15) in space and time:

$$P_{i}(\mathbf{r},t) = P_{SS} \left( 1 + \frac{\Delta H h(t-t_{0})(1-h(\mathbf{r}-\mathbf{r}_{i}))}{H_{0}} e^{-(H_{0}+\Delta H(1-h(\mathbf{r}-\mathbf{r}_{i})))\{K\delta'(\mathbf{r}-\mathbf{r}_{i})+\alpha\}(t-t_{0})} \right).$$
(21)

In the limits  $\mathbf{r} \ll \mathbf{r}_i$  and  $\mathbf{r} \gg \mathbf{r}_i$ , this reverts to (19). Around  $\mathbf{r} = \mathbf{r}_i$ , this represents a softening of the boundary due interstitial fluid transfer with the pressure gradient, since  $\mathscr{I}(\mathbf{r} - \mathbf{r}_i)$  is greater than zero inside the boundary and less than zero outside the boundary. If  $K\mathscr{I} > a$ , on the other hand, the major contribution to the fluid build-up is flow from the tissue outside the treatment zone rather than the vasculature. The enhanced IFP is clearly transient, returning to  $P_i = P_{SS}$  at long times, with the scenario above indicating the optimal level of fluid exchange. During the transient phase, when IFP is low, excess fluid enters the tissue

from the vasculature, given by  $\Omega$ , and from neighboring tissue near the boundary, given by –

 $K\nabla^2 P_i$ . The excess from these two sources is the cause of the swelling and edema,  $\frac{\partial e}{\partial t}$  (see (1)). The total amount of excess fluid can then be found by integrating over time, that is, the excess fluid is just the change in e. Since the dilatation inside the treatment zone ultimately goes to  $e = P_{SS}/H_f$ , the net volume fraction of excess fluid is just

 $\Delta e = \frac{P_{SS}}{H_f} - \frac{P_{SS}}{H_0} = e_0 \left[ \frac{H_0}{H_f} - 1 \right] = -e_0 \frac{\Delta H}{H_f}, \text{ with } e_0 = \frac{P_{SS}}{H_0}. \text{ This is just enough fluid to}$ rebalance the pressure. Based on our experimental results, the excess fluid is typically 70-100% of the baseline (see Supplemental Information). From the data set selected,

 $\frac{H_0}{H_f} = \frac{e(t=\infty)}{e_0} \approx 2$  and  $H_f = 0.5H_0$ . Using this with the parameters in table 1 implies the time-scale of this process, given by  $1/(H_f a)$ , is 778 s or ~13 minutes for normal tissue with a  $= 3.6 \times 10^{-6} mmHg^{-1}s^{-1}$ , but just 15 s in tumors with  $a = 1.86 \times 10^{-4} mmHg^{-1}s^{-1}$ . This time only gets shorter near the treatment boundary. Once the pressure has stabilized back to steady state, transport in the region returns to normal and any compound administered after this point will not show any excess build-up in the treated region. Clearly there exists a very narrow window in which to take advantage of this phenomenon.

Using the solution above, far from the treatment boundary, the expression for excess compound delivered from the vasculature over time, assuming  $C_p$ , the concentration of compound in the blood at the time of treatment, is constant (see Supplemental Information):

$$C_{ex} = (1 - \sum) C_p \Delta e \left[ 1 - e^{-(H_f \alpha - \frac{\xi S}{V})(t - t_0)} \right] \frac{e^{-\frac{\xi S}{V}(t - t_0)}}{1 - \frac{\xi S}{V H_f \alpha}}, \quad (24)$$

with  $1-\sum = \frac{1}{\alpha} \left[ \frac{L_p S}{V} (1-\sigma) + \frac{L_{PL} S_L}{V} (1-\sigma_L) \right]$ . Unfortunately, these parameters are not all available in the literature (and depend in general on the solute being transported), however, it is clear that for normal tissue,  $\Sigma \approx 1$ , whereas for tumors,  $\Sigma \approx 0$ . This expression makes it obvious that under the best circumstances, that is, without any damping of the diffusion

(setting  $\frac{\xi S}{V}$ =0), there will be a net excess of compound in the treated region that is proportional to the expansion of the tissue following the treatment. In general it is clear, however, that the reduction in diffusion (due to loss of concentration gradient) will eventually remove any advantage of this effect over time. Using the parameters from table 1,

we have, for IgG in normal tissue,  $\frac{\xi S}{V} \approx 7.3 \times 10^{-6} s^{-1}$  and for tumors,  $\frac{\xi S}{V} \approx 5.73 \times 10^{-5} S^{-1}$ , implying time constants of 38 and 4.8 hours, respectively, over

which the improved uptake effect return to normal.

#### 3.2 Simulation Results

The tissue temperature found by solving the bioheat equation with a Gaussian acoustic beam is shown in figure 1(a) for normal and tumor tissue at 60 s, along with the peak temperature

over time in figure 1(b). To match the experimental data of  $e(\mathbf{r} = 0, t = 2.5 \text{ min}) \approx 1.9 e_0$ , it was necessary to set f = 0.53 ( $H_f = 0.47H_0$ ) and  $\sigma = 2.0$ . –Using the result from the thermal simulation, the IFP, as expected, initially falls upon heating, then rises over time. Figure 2 shows the IFP and dilatation at treatment end (60 s). Figure 3 shows the time evolution of IFP and dilatation in the radial cross section up to 1 hour. Compared to the ideal case of instantaneous denaturation discussed in the analytical approximation, the IFP does not drop quite as far (0.72  $P_{SS}$  vs. 0.47  $P_{SS}$ ) and, due to the prolonged nature of the heat treatment, the IFP in the center of the treatment zone is recovering while the edge is still falling.

Eventually, IFP returns to normal and dilatation eventually goes to  $\frac{P_{SS}}{H_f}$ =2.13  $e_0$ , as predicted analytically.

Figure 4 compares the components of flow arising from the vasculature and neighboring tissue at 60 s. Vascular fluid flow clearly dominates, particularly in the case of the tumors where the permeability is much greater. The net motion of IFV is surprisingly positive, as indicated by the arrows in the figure. The reason for this is that the IFV comes from two

components, one is the expansion of the tissue  $(\frac{\partial u}{\partial t})$ , which is clearly outward as the treated spot swells, the other is the movement of the fluid through the tissue  $(-K\nabla P)$ , which is directed into the treatment spot. The first of these is slightly larger in normal tissue (7.2e-9 m/s vs. -5.45e-9 m/s in the radial direction), resulting in a net loss of fluid in the treated spot due to interstitial convection. This tendency may reverse later as the tissue expansion stops.

The build-up of excess IgG-like compound due to the treatment at 60 s is shown in figure 5 and, in figure 6, at 60 minutes. In tumors, build-up due to vascular convection is much larger than in normal muscle, both in absolute terms  $(9.786 \times 10^{-3} C_p \text{ vs. } 4.062 \times 10^{-6} C_p)$  and relative to steady-state, untreated tissue build-up (275% vs. 1%) (compare figure 5(a) vs. 5(b)). The build-up lasts a significant time, long after the pressure and dilatation have apparently stabilized. Eventually, however, the greater influx due to convection results in a loss of diffusion gradient that gradually wipes out any advantage over several hours. This is beginning to happen in both normal and tumor tissue by 1 hour (figure 6). Also observed is a significant increase in concentration in the tissue peripheral to the treated spot, presumably due to diffusion. The tissue build-up in excess of diffusion vs. radial distance is illustrated at different times for normal and tumor tissue in figure 7(a) and (b). It is generally much lower in the less permeable normal tissue compared to the tumor tissue, however, the advantage in tumor tissue is already reversing by 5 min compared to 60 min in normal tissue. The higher permeability and diffusion in tumor leads to rapid tumor uptake of these particles even without treatment, therefore the advantage of treatment is more transient. Figure 8 illustrates the different behavior of small molecules and nanoparticles in treated tumor tissue. Because small molecules diffuse easily even without treatment, the relative advantage is quickly lost, returning to near zero in under 20 minutes. On the other hand, for large nanoparticles, which have both low permeability and low diffusion, the advantage lasts much longer since, first, without treatment, very little actually gets into the tissue, and second, whatever gets in via convection cannot easily diffuse away.

#### 4. Discussion

#### 4.1. Ultrasound Mechanism of Action in Lowering the IFP

The results of our simulations show that in the regions of tissue where the IFP becomes negative, the convective flow favors drug accumulation. In order to understand how ultrasound might induce a sudden lowering of the IFP, it is useful to keep in mind the mechanisms that regulate the IFP and the interstitial fluid volume and to relate them to the physical chemistry of ultrasound interaction with tissue.

The interstitium is a connective tissue which is primarily composed of two phases: the interstitial fluid (IF), consisting of interstitial water and its solutes, and the extracellular matrix (ECM). The ECM is primarily composed by a hydrated gel of negatively charged macromolecules, the glycosaminoglycan chains (GAGs) (long polymer of amino sugars), in a framework of fibrous proteins and connective tissue cells (Aukland and Reed 1993). Collagen is the most abundant fibrous protein of the interstitial connective tissue is the fibroblast, but mast cells and cells of the immune system are also present in varying numbers.

Studies have shown that the fibroblasts have an important function for IFP control and tissue homeostasis (Wiig et al 2003). Fibroblasts exert a tension on collagen fibers via the  $\beta_1$ -integrins (Reed *et al* 1992) and the intracellular actomyosin contractility (Berg *et al* 2001). This tension in the fibrils opposes the inherent tendency of interstitium to attract water and swell. The gel swelling pressure is caused by the osmotic activity of the GAGs, which is due mainly to Na<sup>+</sup> ions attracted by their fixed negative charges (the Gibbs-Donnan effect).

During the rapid development of edema in thermally injured tissue and in the initial swelling of acute inflammation, several studies have shown that the relative IFP sharply decreases to negative values before the IFP rises again as the fluid accumulates (Wiig *et al* 2003, Lund *et al* 1988). In thermal injury, this could be attributed at least in part to the thermal denaturation of collagen to gelatin, i.e. conversion of fibrillar collagen from water insoluble to random coil denaturated collagen and water soluble  $\alpha$ -chains and degradation products (Lund *et al* 1989). Since collagen denaturation does not play a role in acute inflammation, other mechanisms should also be involved. It has been suggested that the initial fall in the IFP may be caused by the release of bonds between the fibroblast  $\alpha_2\beta_1$ -integrin and the collagen fibrils. This eliminates the gel-compressing action of the fibroblasts and allows a fuller expression of the glycosaminoglycan swelling pressure. This hypothesis has been confirmed by several *in vivo* and *in vitro* experiments (for a review, see, for example, Wiig et al 2003).

Several studies (Bamber 2004, Carstensen *et al* 1953, Goss *et al* 1980, Pauly and Schwan 1971, Sarvazyan and Hill 2004) have shown that ultrasound absorption by the protein content of tissue is significant. Therefore, it may be expected that ultrasound action can easily damage even temporarily the structure of the proteins involved in keeping the swelling pressure of the GAGs under control, resulting in a drop of the IFP until repair mechanisms can re-establish pre-existing conditions.

#### 4.2. Comparison to Experimental Observations

The hypothesis that ultrasound can affect the IFP and the IFV is a novel concept that needs to be further investigated and confirmed experimentally. The mathematical model presented in this paper can predict the IFP and the IFV once the dilatation is known through measurement of edema. Consequently, it can provide guidance for future experiments that test this hypothesis. It can also explain some experimental facts reported in the literature.

For example, McDannold *et al* (2001) have shown that pulsed HIFU sonications in rabbit thigh muscle produce a rapid tissue swelling. For sonication times longer than 60 s, the swelling was significant. For a 60 s sonication time, out of the 33 lesions, only 13 had no evident swelling. However, the swelling was small in the rest of the lesions and was observed between 30–63 s after the start of the sonication with an average time from the starting of the swelling of 45 s. Other studies referenced in the Introduction also report such rapid swelling without providing an explanation. The investigation presented in our paper suggests that this initial rapid swelling may be attributed to the initial fall of the IFP resulting from the damage of some key connective proteins during HIFU exposure.

In a previous work (O'Neill et al 2013), it was concluded that the largest increase in local uptake of MR contrast was correlated with the effects described in this paper, but not directly caused by them. This conclusion was arrived at by two observa. First, the edema itself occurred on the timescales in this paper, that is, within a few minutes. However, contrast injected at this time did not show significant accumulation. This effect is explained here by the rapid recovery of IFP that normalizes the flow over a relatively short time. Contrast injected at 24 hours, however, did show significant accumulation. The second observation has been the spatial correlation of the improved uptake with inflammatory pathology (O'Neill et al 2013). Inflammation is known to alter vascular permeability. In this model, inflammation suggests a change in  $L_p$ , and a resulting alteration of the *a* parameter and  $P_{SS}$  that should set in on a timescale of 6–24 hours. Unlike the transient effect of altering H, a change in  $P_{SS}$  results in a permanent alteration of fluid transport, in other words, an artificial "enhanced permeability and retention" (EPR) effect. In tumors, however, there is no or at best limited room for improvement, since, as shown in (8), the changes in  $\alpha$ and  $P_{SS}$  work against each other and cancel out for a system already without lymphatics. Alternative explanations need to be considered for enhanced accumulation in tumors. One likely possibility that is particularly relevant for nanoparticles is that they can be actively carried to the site of inflammation by macrophages.

Recently, Watson *et al* (2012) reported a 1.5-fold increase in accumulation of liposomes in epithelial and epithelial–mesenchymal (EMT) tumors in mice when the tumors where exposed to HIFU under mild-hyperthermia conditions, typically 5–18 minutes at 42 °C. The authors of this study concluded that although more studies are required, this reduction is likely due to the effect of insonation and hyperthermia on the extracellular matrix. Interestingly, the intratumoral water content did not appear to change as a result of ultrasound exposure in their study of a tumor mouse model. This is in contrast with the experiments in thermally injured tissue and in tissue undergoing HIFU thermal ablation, where fast developing of edema was observed. Assuming that the effects discussed here, that

is edema and inflammation, are truly not present, the only remaining parameter that may be impacted is the fluid conductivity, K. It is clear that a change in e should result in a concomitant change in K due to the increase in fluid volume fraction and tissue porosity. This effect was ignored in our analysis above, but could be important in some instances. It is difficult to conceive of a change in K that might occur without a significant change in e, but it is not impossible. Given this possibility, the resulting change in flow according to (8) would then be limited to regions with a significant gradient in  $P_i$ , such as at tumor boundaries or heterogeneities. It is also possible that the diffusion or reflection coefficients for the macromolecular transport might be altered by some as yet unknown mechanism, such as active biological transport.

The study of hyperthermia related improved transport of nanoparticles and drugs has a long history, going back at least to Kong et al 2001. The general result of such studies has been to pinpoint changes in vascular permeability as the major effect (Kong et al 2001, Chang et al 2005, Song et al 2005, Li et al 2013, Gasselhuber et al 2012). We discount this possibility as an explanation for our effect because of our observed lack of contrast uptake immediately following treatment. Any changes in vascular permeability would result in increased flow through the affected region, and consequently greater uptake in contrast compared to normal tissue. Our proposed change, on the other hand, produces a convective inflow due to decreased IFP that returns to normal within minutes, thus having little or no impact on transport of drug or contrast agent injected after the treatment. While our previous work (O'Neill et al 2013) clearly relates the increased edema to hyperthermic conditions rather than mechanical effects, the short duration, high temperature, small volume treatments produced by HIFU are quite different from traditional hyperthermia. Our observed effects may be unique to this type of treatment. Alternatively, because of the duration of traditional hyperthermia, it may be that these effects are being masked, and mistakenly attributed to vascular changes.

#### 4.1. Model Limitations

Our model is based on the linear biphasic model implemented by Netti *et al.* (1995, 1997). As described in more details in the Supplementary Information, the Netti model can be derived from the conservation of continuum mechanics assuming the Lame's parameters  $\mu$  and  $\lambda$  of the solid matrix to be constant in space. In our model, in order to reproduce the MRI data, we assumed  $H = \lambda + 2\mu$  to be a function of space and time. This amounts to neglecting, in the basic equations of continuum mechanics, certain terms related to shear that might arise due to the differential variation of the Lamé parameters in time and space (see Supplemental Material).

An important limitation in our study lies in the source of our experimental data. Parameters for the function *H* were estimated by comparing the model-derived relative dilatation to the relative signal intensity in a T2-weighted image of the focal plane of a treatment spot. This approach is based on the assumption that the signal intensity is linearly related to fluid content, an assumption that is not entirely accurate. The use of a proton density scan would be an obvious improvement. The thermal model is also based on focal plane MR thermometry, with all out of plane data inferred from the geometry of the acoustic focal

spot. Including other planes in the both the thermometry and the imaging of the edema would result in greater confidence in the thermal model and the derived function H, but would not substantially change the conclusions of this paper.

From the mathematical modeling side, more sophisticated tissue models could be developed. Triphasic models that also include the presence of mobile ions in the interstitium and can therefore simulate the electrokinetic phenomena that give rise to the swelling pressure have been developed (Mow *et al* 2002, Lai *et al* 1991, Sun *et al* 1999) and might also be useful in our case. In a tri-phasic model, an additional term is introduced into the effective tissue stress tensor to represent the ion induced swelling pressure. Therefore, besides the Lamé constants ( $\mu$ ,  $\lambda$ ) of the solid matrix, the IFP and IFV would also depend on the ion concentration in the interstitium.

Additionally, the present tumor tissue model has all the limitations of the Baxter and Jain model and Netti's model, including simplified geometry and the assumption of homogeneity. In reality, a tumor is highly heterogeneous, and there can be avascular and necrotic regions. A tumor can also have variability in growth rate, vascular permeability, and interstitial components. These can lead to variable transport properties throughout the tumor as well as a heterogeneous fluid source, thus affecting the shape of interstitial fluid pressure and velocity profiles. Although many groups are approaching this problem with models of discrete vasculature, it could also be approached macroscopically with spatially varying parameters in the present model. Imaging, such as with MRI, may provide much of the information needed to realize a more realistic heterogeneous model of tumors.

Solute distributions were obtained under the assumption of a uniformly perfused region. If the tumor were heterogeneously perfused, there would be little IgG delivered to poorly perfused regions; also, little IgG will be delivered to these regions by interstitial diffusion from well-perfused regions, since a long time is required for this diffusion. Furthermore, binding which slows down the interstitial transport, has been taken into account only approximately through the parameter  $r_F$ .

#### 4.4. Implications for Drug Delivery

It is well known that IFP is elevated in tumors (Boucher *et al* 1990) and this phenomenon may influence the efficient delivery of therapeutic agents in tumors (Jain *et al* 2007). If one assumes that the delivery of anti-tumor agents is dependent at least in part on the pressure gradient for filtration into a tumor, one way to enhance tumor uptake is to reduce the IFP. Our simulations indicate that a lowering of the IFP induces fluid convection from the vasculature and facilitates penetration of macromolecules. The effect is strongest and lasts longest for macromolecules and nanoparticles and is relatively transient for small molecule drugs because they already diffuse quite easily. However, this beneficial outcome disappears once the IFP rises again, thus only molecules or particles already in the bloodstream at the time of treatment will benefit. If these results are confirmed experimentally, then ultrasound exposure conditions need to be investigated to slow down the rising of the IFP and identify the precise timing when the lowering of the IFP occurs. Further benefits for drug delivery (or detriments, depending on the application) may be obtained from the biological reaction to treatment, for example inflammation. These were discussed in an earlier paper (O'Neill *et* 

*al*, 2013), but are not analyzed here. The model could be extended to include biological effects once the link between the heat applied and subsequent biological changes in tissue transport is better established.

## 5. Conclusions

Despite its limitations, the mathematical model presented in this study provides a coherent picture describing improved fluid convection and macromolecule distribution inside a tumor during the initial lowering of the IFP resulting from ultrasound exposure that well explains experimental observations. It can therefore represent a preliminary mathematical framework upon which more complex mathematical models may be built and, more importantly, it can offer valuable guidance for experiments aimed at developing strategies that employ HIFU (or any other means able to lower IFP) for improving drug delivery to solid tumors.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1.

Temperature distribution in model tissue with cylindrical symmetry (complete domain shown) after 60s sonication (a). Interfaces at  $z = \pm 1.5$  cm are assumed in contact with room temperature bath, while interfaces at R = 0, 1.5 cm are connected to other tissue, with axial symmetry at R = 0. The ultrasound beam is directed along the R = 0 axis, and is focused at z = 0, with a focal spot size of radius 1.5 mm and length 7 mm. (b) Peak temperature over time during heating and cooling, compared to actual temperature measured during sonication with MR thermometry. Denaturation is assumed to occur between 48 and 50 °C.



#### Figure 2.

Relative dilatation (surface plot) and interstitial pressure (contours) for normal (a) and tumor (b) following 60 s treatment as described in figure 1. For simplicity, steady state IFP is assumed to be 1 mmHg for normal tissue and 10 mmHg for tumor.



#### Figure 3.

Radial IFP (a, c) and relative dilatation (b, d) at various times during and after treatment in normal (a, b) and tumor tissue (c, d). Experimental data showing normalized (to background) T2-weighted signal in the proximity of a treated spot at 2.5 min is included in (b) for comparison (see Supplemental Information). Recovery of IFP is much more rapid in tumors (~ 2.5 min rather than 10 min) due to greater influx of fluid from the vasculature.



#### Figure 4.

Influx of fluid into treated zone from vasculature (surface) and convection from surrounding tissue (arrows) in normal muscle (a) and tumor tissue (b). The largest arrow in the normal tissue represents a flow of  $2.5 \times 10^{-9}$ m/s, while in the tumor it is  $6.0 \times 10^{-8}$ m/s. Note that in spite of the inward fluid flow relative to the tissue ( $-K\nabla P_i \cdot r < 0$ ), the net IFV still outward

because the tissue itself is expanding at a greater rate  $(\frac{\partial u}{\partial t} \cdot r > 0)$ . Fluid influx from the vasculature is the major component of this process and is significantly more rapid in the tumor due to high vascular permeability.



#### Figure 5.

Excess concentration of IgG in at the end of treatment for normal (A) and tumor (B) tissue assuming the plasma concentration is 1 mol/m<sup>3</sup>. Influx into the tumor is three orders of magnitude greater due to the much greater permeability of the vascular source. The concentration due to steady state diffusion at 60 s is  $4.45 \times 10^{-4}C_p$  for normal muscle and  $3.5 \times 10^{-3}C_p$  for tumor tissue, so the peak values in (a) and (b) above represent almost 1% and over 275% of the background concentrations.



#### Figure 6.

Distribution of excess concentration after 1 hour in normal (a) and tumor tissue (b). Contours indicate excess concentration while relative dilatation is shown as the surface color at the same time point. These numbers represent maximum increases of almost 0.05% and 4.5% over the background values of 0.0264  $C_p$  and 0.18  $C_p$ , respectively, in normal and tumor tissues.



#### Figure 7.

Excess concentration in the focal plane at various times during and after treatment in normal (a) and tumor tissue (b). Note that concentration peaks around 30 min in normal tissue and 2.5 min in tumor tissue, after which the advantage relative to untreated tissue starts to decay.



#### Figure 8.

Excess concentration in the focal plane of tumor at various times during and after treatment for model small molecules (a, assuming D and  $\zeta_{eff}$  are increased by factors of 100 compared to IgG) and nanoparticles (b, assuming D and  $\zeta_{eff}$  are decreased by factors of 100). Note that the concentration advantage peaks around 60 seconds for small molecule then rapidly drops back to zero by 20 minutes, whereas for the nanoparticles, the advantage peaks at 2.5 min and remains there. Because small molecules will diffuse into the tissue quite rapidly even in the absence of any treatment, the delivery advantage for them is overall limited. Macromolecules and nanoparticles, however, having limited diffusion, do not penetrate into

the tissue, and therefore any delivery advantage is sustained.

#### Table 1

## Simulation parameters. Literature sources are noted.

Variable [Unit]	Description	Normal Value	Tumor Value
<b>Bioheat Equation</b> , E	q. (11), (12)		
$\rho [kg/m^3]$	Tissue density <sup>a</sup>	1050	1050
$C[J kg^{-1}K^{-1}]$	Tissue heat capacity <sup>a</sup>	3600	3600
$k[W m^{-1}K^{-1}]$	Thermal conductivity <sup>a</sup>	1.0 (0.7)	1.0
$\omega_b[s^{-1}]$	Perfusion rate <sup>a</sup>	0.006(0.007)	0.006
$T_b[^{\circ}C]$	Blood temperature	37	37
Ultrasound Treatme	ent Parameters Eq. (12)		
$P_{tap}[W]$	Acoustic power <sup>a</sup>	12	12
$a_{z}[m^{-1}]$	Ultrasound absorption <sup>a</sup>	2.6	2.6
$\sigma_{R}[mm]$	Beam waist half-width <sup>a</sup>	$1.13\left(=\frac{1.6}{\sqrt{2}}\right)$	1.13
$\sigma_{z}[mm]$	Focal spot half-length <sup>a</sup>	$5.27 \left(=\frac{7}{1.5}\sigma_R\right)$	5.27
Fluid Transfer Equa	ation, Eqs. (1) – (3), (6)		
$T_c[^{\circ}C]$	Transition temperature $^{b}$	49	49
$\lambda,\mu$ [mmHg]	Lamé coefficients <sup>C</sup>	684, 15.2	684, 15.2
$H_0[mmHg]$	Aggregate modulus	714 (= $\lambda + 2\mu$ )	714
$f = - H/H_0$	Fractional change in H	0.53	0.53
$K\left[\frac{cm}{mmHgs}\right]$	Fluid conductivity <sup>d</sup>	$2.5 \times 10^{-7}$	$2.5 \times 10^{-7}$
$L_p\left[\frac{cm}{mmHgs}\right]$	Filtration coefficient $^d$	$3.6  imes 10^{-8}$	$1.86  imes 10^{-6}$
$S/V[cm^{-1}]$	Vascular surface density <sup>d</sup>	100 [50-250]	100 [50-250]
$\alpha \left[\frac{1}{mmHgs}\right]$	Effective filtration <sup>d</sup>	$3.6\times10^{-6}$	$1.86\times10^{-4}$
σ	Osmotic reflection $(BSA)^d$	0.91	$8.7  imes 10^{-5}$
$\pi_v, \pi_i [mmHg]$	Osmotic pressures <sup>d</sup>	20, 10	19.8, 17.3
$P_{SS}[mmHg]$	Steady state IFP	1.0	10
φ <sub>0</sub>	Volume fraction of fluid <sup>e</sup>	0.13-0.3	0.35-0.55
Solute Transport Ec	<b>uation,</b> Eqs. (4), (5), (9)		
D [cm <sup>2</sup> /s]	Solute diffusivity $f$	$4.8  imes 10^{-10}$	$1.3 \times 10^{-8}$

Variable [Unit]	Description	Normal Value	Tumor Value
$r_F$	Convection efficiency	1.0	1.0
$\zeta_{eff}$ [cm/s]	Effective permeability $f$	$7.3  imes 10^{-8}$	$5.73\times10^{-7}$
Σ	Effective solute reflection	0.9	0.0001
$\tau_p[hr]$	Solute plasma half-life $f$	72	72

<sup>a</sup>Data taken from O'Neill *et al* (2012)

<sup>b</sup>Data taken from O'Neill *et al* (2013)

<sup>c</sup>Data taken from Netti *et al* (1997)

<sup>d</sup>Data taken from Jain *et al* (2007)

<sup>e</sup>Data taken Jain (1987)

<sup>f</sup>Data taken from Baxter and Jain RK (1989)