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## Ponatinib inhibits polyclonal drug-resistant KIT oncoproteins and shows therapeutic potential in heavily pretreated gastrointestinal stromal tumor (GIST) patients

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### Abstract

**Purpose**—KIT is the major oncogenic driver of gastrointestinal stromal tumors (GISTs). Imatinib, sunitinib and regorafenib are approved therapies; however, efficacy is often limited by the acquisition of polyclonal secondary resistance mutations in KIT, with those located in the activation (A) loop (exons 17/18) being particularly problematic. Here we explored the KIT inhibitory activity of ponatinib in preclinical models and describe initial characterization of its activity in GIST patients.

**Experimental Design**—The cellular and *in vivo* activities of ponatinib, imatinib, sunitinib and regorafenib against mutant KIT were evaluated using an accelerated mutagenesis assay and a panel of engineered and GIST-derived cell lines. The ponatinib-KIT co-structure was also determined. The clinical activity of ponatinib was examined in three GIST patients previously treated with all 3 FDA-approved agents.

**Results**—In engineered and GIST-derived cell lines, ponatinib potently inhibited KIT exon 11 primary mutants and a range of secondary mutants, including those within the A-loop. Ponatinib also induced regression in engineered and GIST-derived tumor models containing these secondary mutations. In a mutagenesis screen, 40 nM ponatinib was sufficient to suppress outgrowth of all

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**Conflict of interest:** Joseph M. Gozgit, Sadanand Vodala, Tianjun Zhou, Scott Wardwell, Yaoyu Ning, Youngchul Song, Anna Kohlmann, Tim Clackson, Victor M. Rivera are full-time employees of ARIAD Pharmaceuticals.

Structural coordinates for KIT/ponatinib complex has been deposited in protein data bank with a pdb code: 4U0L.

secondary mutants except V654A, which was suppressed at 80 nM. This inhibitory profile could be rationalized based on structural analyses. Ponatinib (30 mg daily) displayed encouraging clinical activity in two of three GIST patients.

**Conclusion**—Ponatinib possesses potent activity against most major clinically-relevant KIT mutants, and has demonstrated preliminary evidence of activity in patients with refractory GIST. These data strongly support further evaluation of ponatinib in GIST patients.

### Keywords

KIT; GIST; ponatinib; tyrosine kinase inhibitor; resistance

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### Introduction

Gastrointestinal stromal tumors (GISTs) arise primarily through constitutive activation of the receptor tyrosine kinases KIT or PDGFRA, with approximately 75% of GISTs harboring gain-of-function mutations in KIT (1, 2). These primary activating mutations generally cluster into hotspots within KIT exons 9 and 11. Exon 9 encodes a portion of the extracellular domain, and mutations in this region induce a conformation that mimics that stimulated by ligand binding. The more prevalent exon 11 mutations function by disrupting the secondary structure of the auto-inhibitory juxtamembrane (JM) domain, thus favoring adoption of the active kinase conformation (3).

The discovery that the tyrosine kinase inhibitor (TKI) imatinib inhibits KIT (4, 5), and its introduction as a treatment (6), transformed the clinical management of GIST. Nonetheless, most imatinib-treated patients ultimately relapse due to outgrowth of clones with secondary, drug-resistant KIT mutations (7, 8). Secondary mutations typically occur in the ATP binding pocket encoded by exons 13 and 14, and the activation loop (A-loop) encoded by exons 17 and 18 (9). The challenge of treating imatinib resistant GISTs is compounded by mutational heterogeneity, as patients can harbor multiple different secondary mutations in distinct tumor lesions, or even within different regions of the same lesion (8).

GIST patients with imatinib-resistant tumors are treated with sunitinib, which potently inhibits KIT ATP-pocket mutants (10). However, sunitinib is ineffective against A-loop mutants, which account for 50% of imatinib-resistance mutations (11, 12). This may explain why overall response rates (ORR) are low (7%) and median progression-free survival (PFS) is short (6.2 months; 10, 11). Regorafenib was recently approved as third line therapy, but also shows only moderate activity, with ORR of 4.5% and median PFS of 4.8 months (13). The KIT inhibitory properties of regorafenib have not yet been analyzed extensively, but both clinical and initial preclinical data suggest a limited spectrum of sensitive KIT mutants (14, 15). Thus, additional agents are needed to overcome resistance mutations in KIT, in particular those in the A-loop.

Ponatinib (AP24534) is a recently approved BCR-ABL inhibitor that is highly active in heavily pretreated Philadelphia-positive leukemia patients (16, 17). Ponatinib has a pan-BCR-ABL inhibitory profile in cellular assays, with no single mutation able to confer resistance (18). Ponatinib has also been shown to inhibit select variants of KIT (18, 19).

Using cancer cell lines and engineered isogenic mechanistic models, this report describes the preclinical activity of ponatinib against a wide array of KIT mutants, and the clinical activity of ponatinib in three heavily pre-treated GIST patients.

## Materials & Methods

### Reagents

Ba/F3 cell lines (DSMZ; 20) and GIST derived cell lines (21) were cultured as described previously. Mouse Ba/F3 lines were confirmed using species-specific PCR by the cell bank and were cultured for less than 6 months (further cell line authentication was not conducted). GIST lines were routinely monitored by Sanger sequencing and SNP profiling, to confirm their KIT mutation status and cell line identity.

*KIT* cDNAs were synthesized in pLVX-IRES-puro (Clontech) by GenScript. Lentiviral particles were generated using a Trans-lentiviral ORF packaging kit (Thermo Scientific).

Antibodies against KIT, phospho-KIT(Tyr<sup>721</sup>), ERK, phospho-ERK(Thr<sup>202</sup>/Tyr<sup>204</sup>), AKT and phospho-AKT(S<sup>473</sup>) were obtained from Cell Signaling Technologies.

Ponatinib was synthesized at ARIAD Pharmaceuticals and imatinib (OntarioChem), sunitinib and regorafenib (Selleck Chemicals) obtained from commercial vendors (Figure S1).

### Generation of Ba/F3 stable cell lines

*KIT* cDNA was cloned into the pLVX-IRES-Puro vector (Clontech) and Ba/F3 cells infected with lentiviral particles. Cells expressing KIT were selected by IL-3 (R&D Systems) withdrawal and puromycin (0.5-1 µg/mL, Invitrogen). Native KIT cells were grown in the presence of mSCF (20 ng/mL) (Life Technologies).

### Viability assays

Cell lines were plated at densities that produced linear growth, treated with eight concentrations of drug and viability assessed using CellTiter-96 AQueous One (Promega) after 72 hours. Data were plotted as percent viability relative to vehicle-treated cells and IC<sub>50s</sub> calculated using XLfit.

### Immunoblotting

Approximately 120 µg of clarified protein lysates (RIPA buffer) were subjected to western blotting using KIT primary antibodies, horseradish peroxidase-conjugated secondary antibodies (Cell Signaling Technology) and the signal visualized with SuperSignal West Femto Substrate (Thermo Scientific).

### Mutagenesis Screen

Ba/F3 cells containing a single copy of KIT exon 11( 557-558) were treated overnight with N-ethyl-N-nitrosourea (50 µg/mL). Cells were seeded in flasks with various concentrations

of compound and outgrowth monitored. Resistant cells were harvested, the KIT kinase domain PCR-amplified and analyzed by next generation sequencing (MolecularMD).

### ***In vivo* studies**

All animal experiments were carried out under a protocol approved by the Institutional Animal Care and Use Committee. Tumors were established by subcutaneous implantation of engineered Ba/F3 or GIST-derived cell lines into CB.17/SCID mice (Charles River Laboratories) or the GIST-1 patient-derived tumor (PDX) into NOD-SCID mice (Molecular Response); both strains female, 8-9 weeks old. The GIST-1 PDX contained a KIT exon 11( 557-558) primary mutation and Y823D secondary mutation.

For efficacy studies, mice were randomized to treatment groups when the average tumor volume reached ~200 mm<sup>3</sup>. Mice were treated once daily by oral gavage with compound or vehicle (water for imatinib, 25 mM citrate buffer for ponatinib and sunitinib and NMP/PEG400 for regorafenib). The mean tumor volume of the treatment group was divided by that of the control group (at final measurement) to calculate percent tumor growth inhibition. For pharmacodynamic studies, tumor-bearing mice were treated with a single dose of compound for 2 hours. Tumors were harvested and protein lysates prepared for western blotting.

### **Crystallography**

*KIT* cloning, protein expression and purification were performed as described previously (22). Ponatinib was mixed with native KIT protein (3:1 molar ratio) and subjected to Glu-C protease treatment (25°C) for one hour. A concentrated sample (10 mg/mL) was crystallized at 20°C in 0.1M Tris-HCl pH 8.5, 2M ammonium phosphate monobasic. The complex structure was solved at 2.0Å resolution by molecular replacement. Model building was performed using Quanta and structural refinement with CNX. The entire inhibitor molecule was well-resolved in the electron density map and the final model possessed good statistics (R factor 20.4% and R-free 23.9%).

## **Results**

### **Ponatinib is a Potent Inhibitor of KIT Exon 11 Primary Activating Mutants, as well as Gatekeeper and A-loop Secondary Mutants**

Using *in vitro* kinase assays, we compared ponatinib activity to that of imatinib, sunitinib and regorafenib (Table S1). Consistent with previous data on a smaller set of variants (18), ponatinib potently (IC<sub>50</sub> 11 nM) inhibited native (wild-type) KIT, as well as KIT with mutations within exon 11 (V559D and V560G), at the gatekeeper residue (T670I) and within the A-loop (D816H, D820E and A829P), though it was less potent against V654A. Ponatinib had at least an order of magnitude greater potency against A-loop mutants than imatinib, sunitinib and regorafenib.

To assess the cellular KIT activity of each inhibitor, we generated a comprehensive panel of Ba/F3 cell lines whose viability was KIT-dependent (Table S2). KIT expression and phosphorylation were confirmed in these lines (Figure S2). Despite the variable KIT

expression and phosphorylation levels observed, growth rates across the panel were broadly comparable (data not shown).

We first examined the activity of each compound against six cell lines containing primary activating mutations in KIT (Figure 1A and Table S3). The panel included mutants representative of the most common categories of activating mutations, i.e. in-frame exon 11 deletions ( 550-557, 551-554, 557-558), as well as a point mutation (V560D) and insertion/deletion (K558NP) within exon 11, and an insertion within exon 9 (502AY). Activity against native KIT was also examined. Imatinib and regorafenib were effective inhibitors of all KIT exon 11 primary mutants tested ( $IC_{50}$  30 nM), except 551-554 ( $IC_{50}$  90-141 nM), but these drugs were less potent versus the exon 9 mutant ( $IC_{50}$  > 130 nM). Sunitinib was a potent inhibitor of all KIT exon 11 and exon 9 primary mutants tested ( $IC_{50}$  7 nM), except 551-554 ( $IC_{50}$  42 nM). Ponatinib potently inhibited all KIT exon 11 mutants tested, including 551-554 ( $IC_{50}$  15 nM), and had the lowest  $IC_{50}$  against each. However, ponatinib's potency towards the exon 9 mutant was reduced ( $IC_{50}$  56 nM). The activity of all TKIs against exon 9 mutant KIT was similar to their activity against native KIT (Figure 1A), which is consistent with their intracellular domains being wild-type.

To confirm that inhibition of cell viability was due to inhibition of KIT, we assessed KIT Y721 phosphorylation in inhibitor-treated cells (Figure S3). The results were supportive of the viability data, with phosphorylation levels of KIT exon 11 mutants being sensitive to all inhibitors tested. In contrast, KIT exon 9 mutant phosphorylation was less sensitive to ponatinib, imatinib and regorafenib. In addition, all inhibitors tested displayed substantially reduced potency against parental Ba/F3 cells grown in the presence of IL-3 ( $IC_{50}$  > 2  $\mu$ M; Table S3), further demonstrating their selectivity for KIT in this system.

The impact of secondary kinase domain mutations on each inhibitor was next assessed in the context of one of the major primary KIT mutants, exon 11 557-558 (Figure 1B, C and Table S3). Imatinib potency was severely reduced in the presence of secondary ATP pocket (V654A and T670I) and A-loop mutants (D816H/G, D820A/G, N822K and A829P) with  $IC_{50}$  values ranging from 170-10,000 nM. Sunitinib effectively overcame ATP pocket ( $IC_{50}$  12 nM), but not A-loop mutants ( $IC_{50}$  > 204 nM). In contrast, secondary mutations within the A-loop, or at the gatekeeper (T670I) residue, only had a modest impact on ponatinib potency ( $IC_{50}$ s 13 nM). Consistent with the *in vitro* kinase data, the V654A mutation led to a more pronounced (20-fold) decrease in ponatinib potency. The profile of regorafenib was qualitatively similar to that of ponatinib, though its potency was substantially reduced relative to ponatinib in all cases. TKI potency as measured by inhibition of KIT phosphorylation was consistent with that observed for cell viability (Figure S3). These findings were confirmed by analysis of imatinib, sunitinib, and ponatinib sensitivity of a similar panel of secondary resistance mutants in a KIT exon 11-mutant backbone using an isogenic, CHO transient transfection model (data not shown; 23).

To extend our analysis, the effects of V654A and D816H secondary mutations were also studied in the context of exon 9 insertion and exon 11 V560D primary mutations (Figure S4) and their effects compared to that seen in the context of the exon 11 557-558 primary mutation (Figure 1A-C and Table S3). Interestingly, the degree of resistance imparted by

V654A or D816H towards all four TKIs was consistently most pronounced in the context of V560D primary mutation. This is surprising given that, in the absence of a secondary mutation, all four TKIs had greatest potency towards V560D versus the other primary mutants.

### **Ponatinib Displays *In Vivo* Activity in KIT-dependent Ba/F3 Models**

To examine the effect of ponatinib on the growth of KIT mutant cells *in vivo*, we compared the activity of ponatinib to that of imatinib and/or sunitinib in Ba/F3 cell models implanted in mice (Figure 1D and Table S4). Once daily oral administration of ponatinib had a potent, dose-dependent, effect on growth of tumors with a primary KIT exon 11 ( 557-558) mutation alone, with 30 mg/kg inducing near complete tumor regression that was identical to that obtained with a ten-fold higher dose of imatinib (300 mg/kg). Imatinib had no significant effect on growth of tumors bearing the secondary ATP pocket mutations V654A or T670I, while sunitinib (80 mg/kg) induced regressions in both. Ponatinib was only moderately efficacious (65% tumor growth inhibition) in tumors with a V654A mutation but induced complete regression of tumors with a T670I secondary mutation. Importantly, ponatinib, but not imatinib, induced regression of tumors with the secondary A-loop mutation D816H.

### **Ponatinib Suppresses Emergence of A-Loop Mutations in a Cell-Based Mutagenesis Screen**

To more broadly assess the potential mutational liabilities of ponatinib, imatinib, sunitinib and regorafenib we performed an *in vitro* mutagenesis screen (18). Ba/F3 cells with a primary KIT exon 11 mutation ( 557-558) were mutagenized and incubated with various concentrations of each compound and the KIT mutation status of the resistant cell populations that emerged assessed by next generation sequencing. With each inhibitor we observed a concentration-dependent reduction in the number of resistant clones that survived (data not shown). Ponatinib, sunitinib and regorafenib were found to fully suppress the emergence of resistant clones at 80, 500 and 1000 nM respectively, while resistant clones were still observed at the highest concentration of imatinib tested (1000 nM; Figure 2).

Imatinib and regorafenib selected for clones with both ATP pocket (particularly V654A and/or T670I) and A-loop D816 mutations. In contrast, sunitinib primarily selected for outgrowth of a variety of A-loop mutants, with N822K being particularly frequent. The pattern of mutants induced by ponatinib was distinct, with the V654A ATP pocket mutant being most prevalent and, importantly, A-loop mutants being observed rarely and only at concentrations below 40 nM.

Among the sites of mutation selected for by ponatinib and regorafenib, virtually all have been previously associated with resistance to imatinib or sunitinib (9, 24). The only exceptions were N655 mutants, especially N655K, which were commonly observed at low doses of all drugs. Characterization of a Ba/F3 cell line with an N655K secondary mutation indicated that it had a relatively minor impact on potency of all 4 TKIs ( 5-fold; Table S3).

## Structural analysis to explain the KIT selectivity profile of ponatinib

In an effort to rationalize the KIT selectivity profile of ponatinib, a high resolution (2.0Å) crystal structure of the KIT kinase domain in complex with ponatinib was determined (pdb code: 4U0I), and compared to apo KIT and imatinib- and sunitinib-KIT co-structures (22, 25).

Ponatinib simultaneously occupies three pockets within KIT (ATP, selectivity and DFG) (Figure 3A) and induces the KIT inactive (DFG-out) conformation, with the JM-domain disengaged from the kinase active site. Though imatinib has a similar overall binding mode, ponatinib makes additional molecular contacts in both the ATP and DFG pockets that likely explain its increased affinity for KIT (Figure S5). Sunitinib, on the other hand, primarily occupies the ATP pocket and not the DFG pocket, which remains occupied by the JM domain.

The interactions between ponatinib and the DFG pocket may explain the relative sensitivities of different primary KIT mutants (Figure 1A and Table S3). W557 of the auto-inhibitory JM domain occupies the DFG pocket in the apo form but is displaced when ponatinib is bound (Figure 3B). The need for ponatinib to competitively displace W557 is consistent with the relatively high  $IC_{50}$  of ponatinib against native and exon 9 mutant KIT, compared to the 10-fold lower  $IC_{50}$  against forms in which W557 is deleted (W550-557 and W557-558). Likewise, the high potency of ponatinib against V560D and K558NP primary mutants is consistent with the prediction that these mutations disrupt hydrophobic or hydrogen bond interactions between the JM domain and the kinase domain, facilitating displacement of W557 by ponatinib. A similar rationale and trend of inhibitor activity also applies to the DFG-out inhibitor imatinib. In contrast, sunitinib, by virtue of not binding to the DFG pocket, is less influenced by the presence of W557, which is consistent with its potent inhibition of native and exon 9 mutant KIT.

With respect to mutations in the ATP pocket, the V654A secondary mutation has a major effect on the potency of ponatinib and imatinib, and a minor impact on that of sunitinib (Figure 1B and S4). V654 forms six van der Waals interactions with ponatinib, each with favorable interaction distances ( $<4.5\text{\AA}$ ), that are predicted to be lost when V654 is replaced by a smaller alanine residue (Figure 3C). Similarly, a total of five molecular contacts are predicted to be lost in the case of imatinib. In contrast, analysis of sunitinib bound to KIT suggests a loss of only one van der Waals contact. Despite similar overall binding modes, ponatinib maintains activity against the T670I gatekeeper secondary mutant (Figure 3D), whereas imatinib does not (Figure 1B).

Ponatinib is uniquely able to maintain substantial potency in the presence of secondary A-loop mutations, with low  $IC_{50}$ s ( $\sim 13\text{ nM}$ ) against all 6 mutants tested (Figure 1C and Table S3). The D816H mutation has the greatest effect on ponatinib potency and confers resistance to all other TKIs tested. D816, located in the middle of the A-loop, forms hydrogen bonds with neighboring residues that stabilize the A-loop conformation. F811, which is located at the beginning of A-loop, makes van der Waals contacts with ponatinib (Figure 3A) as well as imatinib and sunitinib. Destabilization of the A-loop conformation by the D816H and other A-loop mutations is predicted to disrupt these interactions, with such a disruption

having a smaller impact on ponatinib binding due to the overall extended network of optimized contacts ponatinib makes with KIT.

### **Ponatinib Displays Activity in *In Vitro* and *In Vivo* Models Derived from GIST Patients**

To assess the activity of ponatinib and other TKIs in more clinically-relevant systems, we determined their impact on KIT-dependent signaling and growth using a panel of KIT-mutant cell lines derived from GIST patients (Table 1 and Figure S6). Consistent with engineered KIT mutant models, imatinib was selectively active in GIST lines harboring primary KIT exon 11 mutations (GIST430 and GIST-T1), while sunitinib also inhibited lines with secondary ATP pocket mutations (GIST430/654 and GIST-T1/670). However, sunitinib had substantially reduced activity in lines harboring secondary A-loop mutations (GIST-T1/816, GIST-T1/820 and GIST-T1/829). In contrast, ponatinib remained highly active in GIST lines harboring secondary A-loop mutations, or a T670I gatekeeper mutation, though its activity was adversely affected by the presence of V654A. The overall inhibition profile for regorafenib was broadly similar to that of ponatinib, though regorafenib was consistently less potent. Little activity was observed in KIT-independent cell lines, confirming the specificity of these findings.

*In vivo*, a single dose of ponatinib (30 mg) or imatinib (300 mg) significantly inhibited KIT, ERK and AKT phosphorylation in GIST tumors with a primary exon 11 mutation (GIST430; Figure 4A). However, in tumors containing a V654A secondary mutation (GIST430/654) both agents had more modest effects on signaling. In a patient-derived xenograft harboring a Y823D A-loop secondary mutation and an exon 11 primary mutation (557-558) a single dose of ponatinib inhibited KIT-driven signaling (Figure 4A) and once daily dosing rapidly induced complete regression (Figure 4B). Although imatinib (78% tumor growth inhibition), sunitinib (96% tumor growth inhibition) and regorafenib (75% tumor regression) each exhibited some degree of efficacy during 4 weeks of dosing, ponatinib was the only agent to induce complete regression. Moreover, this complete regression was maintained in all mice for an additional 6 weeks after ponatinib dosing was stopped.

### **Single Agent Ponatinib Displays Encouraging Clinical Activity in Relapsed GIST Patients**

Three refractory GIST patients, all of whom had been treated previously with, at a minimum, imatinib (both 400 and 800 mg), sunitinib and regorafenib, were treated with ponatinib, individually, after providing written informed consent (see Supplement for detailed patient information). Patients were treated with 30 mg ponatinib, administered orally once daily, and evaluated by CT scan after 4 weeks of treatment. All patients were known to have had primary *KIT* mutations in exon 11. Notably, ponatinib displayed clinical activity in two of the three patients.

In patient 1, ponatinib induced a radiologic response in all lesions (Figure 5A) with ongoing disease control for 6 months. This patient had most recently exhibited rapid progression following salvage treatment with pazopanib. In patient 2, ponatinib induced a mixture of tumor regression and stable disease across the patient's multiple lesions (Figure 5B). This patient, with multiple cardiovascular risk factors, was treated with ponatinib for a total of 10



weeks, at which point an episode of acute chest pain revealed previously unknown severe coronary artery disease and ponatinib was discontinued. The patient died of myocardial infarction 5 weeks after ponatinib discontinuation. In patient 3, global progression of disease was observed after 4 weeks of ponatinib (Figure 5C). This patient had also been treated previously with pazopanib.

## Discussion

Treatment paradigms and outcomes for patients with GIST and chronic myeloid leukemia (CML) share a number of important features: these neoplasms are generally initiated by oncogenic tyrosine kinases (KIT/PDGFR $\alpha$  and ABL, respectively), first line treatment with the KIT/PDGFR $\alpha$ /ABL inhibitor imatinib induces high response rates, and disease progression is commonly associated with acquisition of secondary drug-resistance mutations in the original kinase target (26). In CML, more potent ABL inhibitors such as dasatinib and nilotinib have been developed that can be effective treatments for patients whose disease becomes resistant to imatinib (27, 28). These agents also induce higher response rates than imatinib in newly diagnosed patients (29, 30) and result in a narrower spectrum of secondary resistance mutants (31). Importantly, these properties were predicted by preclinical studies that demonstrated the superior potency of these agents over imatinib and their ability to inhibit many, though not all, mutations (32). Amongst the several ABL mutations that confer resistance to dasatinib and nilotinib, the T315I gatekeeper mutation is most notable because it confers resistance to all available therapies. Ponatinib was designed to overcome T315I and other resistance mutations (18). In preclinical studies, ponatinib has the properties of a pan-BCR-ABL inhibitor, i.e. no single mutation has been identified that can confer resistance. In a phase 2 study in heavily pretreated patients, response rates to ponatinib substantially exceeded those achieved on the prior line of treatment (17).

In GIST, however, no TKI has yet demonstrated strong activity in refractory patients, with rates and duration of response being low in patients treated with sunitinib in second line (11) and regorafenib in third line (13). As was the case in CML, preclinical profiles of the approved TKIs mirror their clinical profiles. The greater degree of efficacy of imatinib in patients with exon 11 versus exon 9 primary mutations, and the emergence of imatinib resistant clones with secondary mutations in the ATP pocket and A-loop can all be recapitulated in preclinical models (9). This is also the case for sunitinib, which, for example, has preclinical and clinical activity against imatinib-resistant secondary mutations in the ATP pocket but not the A-loop (10, 12, 24). The challenge of effectively treating refractory GIST is compounded by the heterogeneous nature of secondary mutations that can be observed in patients (33). Thus, there remains a clear need for an agent that can overcome, and potently suppress emergence of, the broad array of potential resistance mutations in KIT. Furthermore, preclinical models should provide useful guidance to prioritize clinical evaluation of compounds with the desired profile.

Here we used systematic preclinical models, including *in vitro* kinase assays, cellular and *in vivo* assays, to assess ponatinib activity against the more common clinically-relevant primary and secondary KIT mutants in GIST. These studies assessed effects on KIT-driven signaling and tumor growth in 20 engineered Ba/F3 cell lines, 9 cell lines derived from

GIST patients and a GIST patient-derived tumor model, with consistent results observed across the different model systems. Imatinib, sunitinib and regorafenib were used as comparators. We also solved the structure of the KIT kinase domain in complex with ponatinib, and compared it to available KIT/imatinib and KIT/sunitinib structures to provide mechanistic models for the KIT inhibitory profile of ponatinib.

Imatinib and sunitinib are clinically effective inhibitors of KIT with primary activating mutations in exon 11 and they potently inhibited such mutants in all of the models examined in this study. Likewise, ponatinib potently inhibited KIT exon 11 mutants ( $IC_{50}$  1-15 nM), with potency ranging from comparable to up to 9-fold greater than that of sunitinib and imatinib against all 5 mutants tested in engineered Ba/F3 cells. Regorafenib had similar or somewhat reduced potency compared to that of imatinib and sunitinib. Sunitinib ( $IC_{50}$  5 nM) was a substantially more potent inhibitor of KIT with an exon 9 insertion than all of the other TKIs, including ponatinib ( $IC_{50}$  56 nM). The selectivity of ponatinib (as well as imatinib) for exon 11 versus exon 9 mutant KIT can be explained by its need to competitively displace the W557 residue in the auto-inhibitory JM domain for optimal binding. This exon 11 residue functions normally in exon 9 mutant KIT but is deleted, or its interaction with KIT impaired, by mutations in exon 11. In contrast, W557 displacement is not required for sunitinib binding. The overall high potency with which ponatinib inhibits exon 11 mutant KIT may be explained by the extensive network of contacts ponatinib makes with KIT though interaction with 3 distinct binding pockets.

The V654A (exon 13) secondary mutation causes resistance to imatinib but not sunitinib. Of all secondary mutants tested, ponatinib was least active against this ATP pocket mutant, with relatively high  $IC_{50}$ s (60-101 nM) in 2 different Ba/F3 models. In mice, ponatinib was able to inhibit growth of tumors containing a KIT exon 11 primary mutant and a V654A secondary mutant by 65%, but the same dose induced complete regression of tumors expressing the primary mutant alone. Regorafenib also did not appear to be an effective inhibitor of this mutant. Structural analysis shows that ponatinib and imatinib, but not sunitinib, make a large number of van der Waals interactions with V654 that are predicted to be lost when this residue is mutated to a smaller alanine residue.

The T670I gatekeeper mutation (exon 14) also causes resistance to imatinib but not sunitinib or ponatinib ( $IC_{50}$  12 nM), and ponatinib induced regression of KIT T670I tumors grown in mice. The T670I mutation is analogous to the T315I gatekeeper mutation in BCR-ABL, where it has been shown previously that the unique triple bond linker of ponatinib, in conjunction with its overall binding mode, is able to significantly reduce the steric clash with a larger, mutant, isoleucine residue (18).

KIT A-loop (exons 17 and 18) mutations cause clinical resistance to imatinib and sunitinib, and these effects were recapitulated in our preclinical studies. In contrast, ponatinib had substantial potency against all 6 KIT A-loop mutants tested in Ba/F3 assays, with  $IC_{50}$ s 13 nM in the background of an exon 11 primary mutation. Ponatinib likewise induced tumor regression in a Ba/F3 xenograft with a D816H mutation and induced complete and durable regression in a GIST patient-derived xenograft model with a Y823D mutation. It is predicted that mutations in the A-loop can impair binding of ponatinib, as well imatinib and sunitinib,

by destabilizing the A-loop conformation, thereby disrupting contacts between F811, at the base of the A-loop, and each of the TKIs. We hypothesize that the relatively small impact of A-loop mutations on ponatinib potency is due to the presence of an overall extended network of optimized contacts between ponatinib and KIT throughout the kinase domain, making it less dependent than imatinib and sunitinib on interactions with F811. Importantly, however, certain mutations in the A-loop that have strong effects on all other TKIs, such as N822K, do not appear to have any effect on ponatinib potency. The basis for this is not yet understood.

Using an unbiased *in vitro* mutagenesis screen that successfully identified multiple secondary mutations that confer clinical resistance to imatinib (in the ATP pocket and A-loop) and sunitinib (in the A-loop), V654A was identified as the mutation that conferred greatest resistance to ponatinib. In Ba/F3 cells expressing a KIT exon 11 primary mutation, 40 nM ponatinib suppressed emergence of all mutations except for V654A, including those in the A-loop, and 80 nM ponatinib suppressed emergence of all mutations, including V654A. In similar studies using Ba/F3 cells expressing BCR-ABL, 40 nM ponatinib suppressed emergence of all secondary mutations, including T315I (18). Importantly, trough concentrations of ponatinib exceed 40 nM in patients dosed at 30 mg, and at the recommended phase 2 dose of 45 mg (16). In a phase 2 study in CML patients, no single mutation has been identified that consistently confers primary or secondary resistance to ponatinib (17).

Thus, these results suggest that, at clinically-achievable concentrations, ponatinib has a broad inhibitory profile against KIT, with strong potency predicted against KIT with primary mutations in exon 11 coupled with most secondary mutations, including those in the A-loop and the T670I gatekeeper residue. Reduced efficacy is predicted against KIT with secondary mutation V654A or with primary activating mutation in exon 9.

The clinical activity of ponatinib was examined in three GIST patients using a 30 mg, once daily, oral regimen. These patients had previously received at least four TKI regimens that included all three approved agents at standard doses plus high-dose imatinib (800 mg), and two of the patients had also received additional TKIs. All patients had GISTs with *KIT* exon 11 primary mutations. Importantly, after 4 weeks of treatment, evidence of tumor regression was observed in two of the three patients, with one patient exhibiting a response in all lesions and the second having a mixture of tumor regression and stable disease. The basis for the lack of response in the third patient is unclear.

Overall, the degree of clinical activity observed across the three heavily pre-treated GIST patients in this report is consistent with the broad, but not pan-KIT inhibitory profile of ponatinib in preclinical studies. Coupled with the recent report of ponatinib activity in two cholangiocarcinoma patients harboring activating FGFR fusions (34), another target of ponatinib, these results provide important support for the clinical potential of ponatinib in solid tumors with targets that are inhibited in preclinical assays with potency comparable to that of BCR-ABL. Ponatinib also potently inhibits other targets, including members of the VEGFR, PDGFR and SRC families of kinases, which could also potentially contribute to the

efficacy observed, while also providing opportunities for treatment of patients with other activating mutations in GIST (e.g. PDGFRA).

Ponatinib is reported to be associated with the occurrence of arterial thrombotic events in heavily pretreated CML and Ph+ ALL patients (17). The clinical experience with three patients, reported here, included one event of myocardial infarction observed after ponatinib was discontinued in a patient with severe cardiovascular risk factors. While the event was deemed unrelated by the treating physician, a contribution from ponatinib cannot be ruled out. The efficacy and safety profile of ponatinib in patients with refractory GIST is currently being examined in a phase 2 trial (NCT01874665), which includes molecular analyses that will allow a more precise assessment of the activity of ponatinib against specific KIT mutants, including those currently not addressed by available therapies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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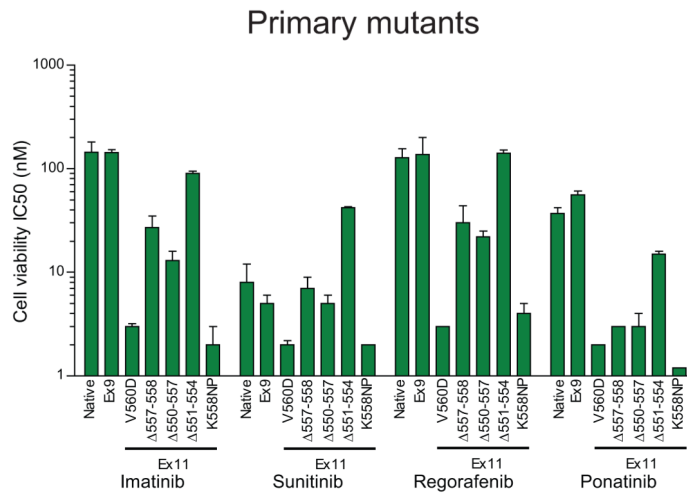
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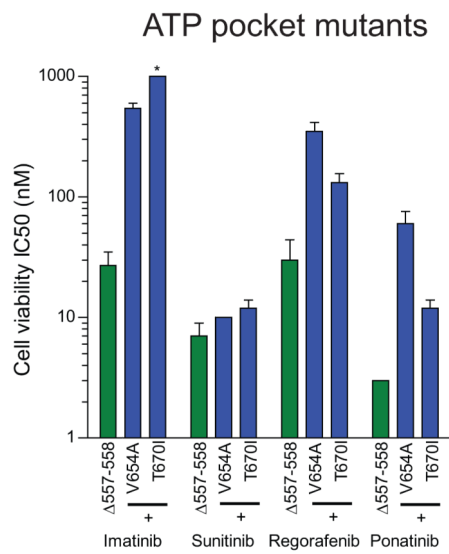
### Translational Relevance

KIT inhibitors such as imatinib, sunitinib and regorafenib are effective GIST therapies, though most patients develop resistance to these drugs due to somatic acquisition of polyclonal secondary KIT mutants. The lack of efficacy of any single agent against the complete set of potential ATP-binding pocket and activation loop (A-loop) secondary mutants makes achievement of prolonged complete disease control in late stage patients challenging. This study demonstrates that ponatinib, a multi-targeted tyrosine kinase inhibitor, is a highly potent inhibitor of a broad range of primary and secondary KIT mutants, including those within the A-loop, at clinically-achievable concentrations. Clinical use of ponatinib in three patients with refractory GIST demonstrated encouraging anti-tumor activity. A phase 2 study of ponatinib for drug-resistant GIST (NCT01874665) is underway.

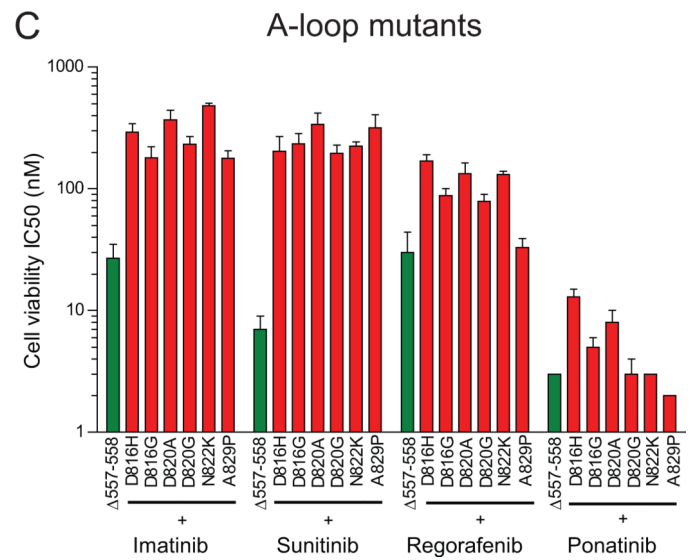
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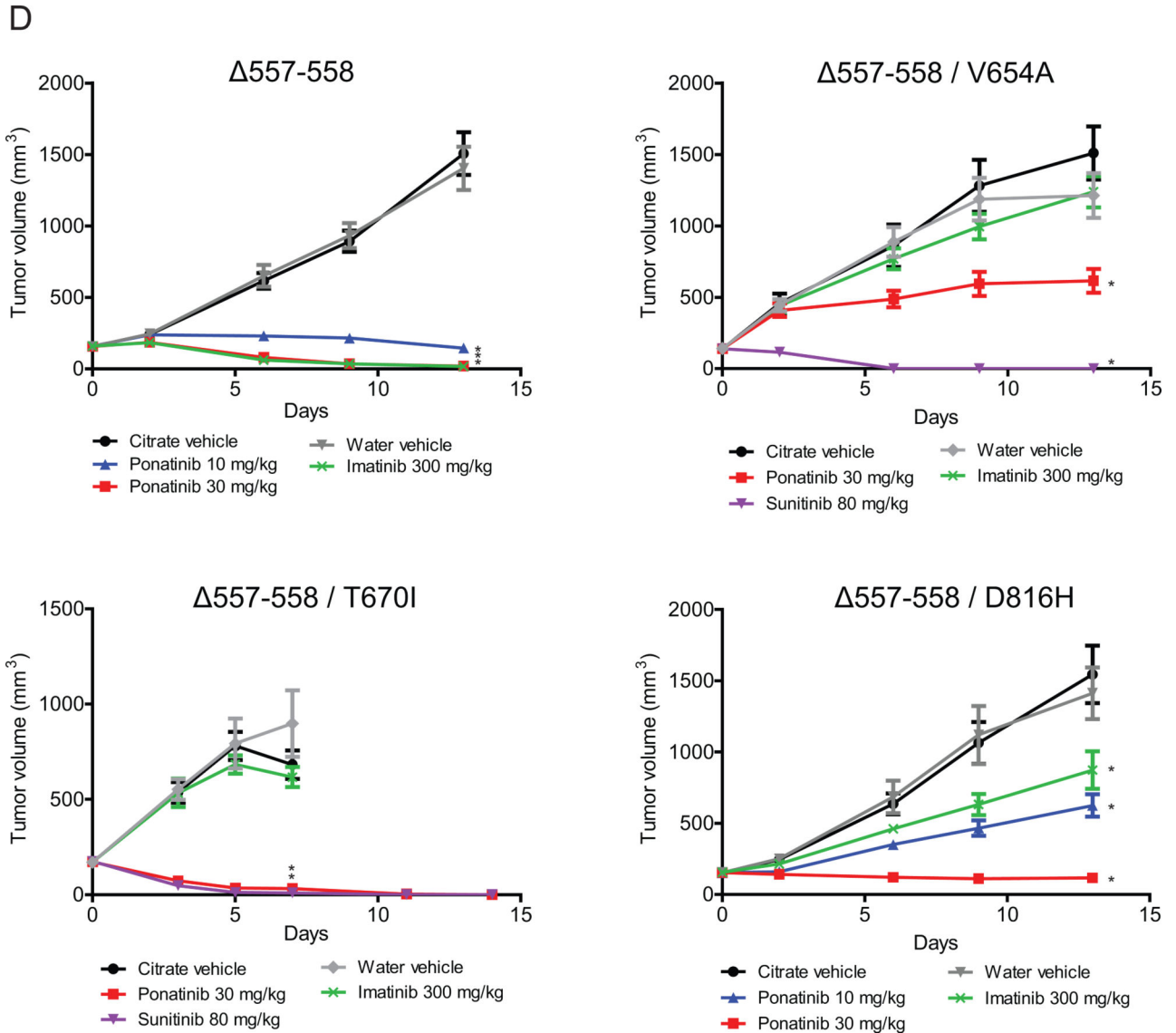
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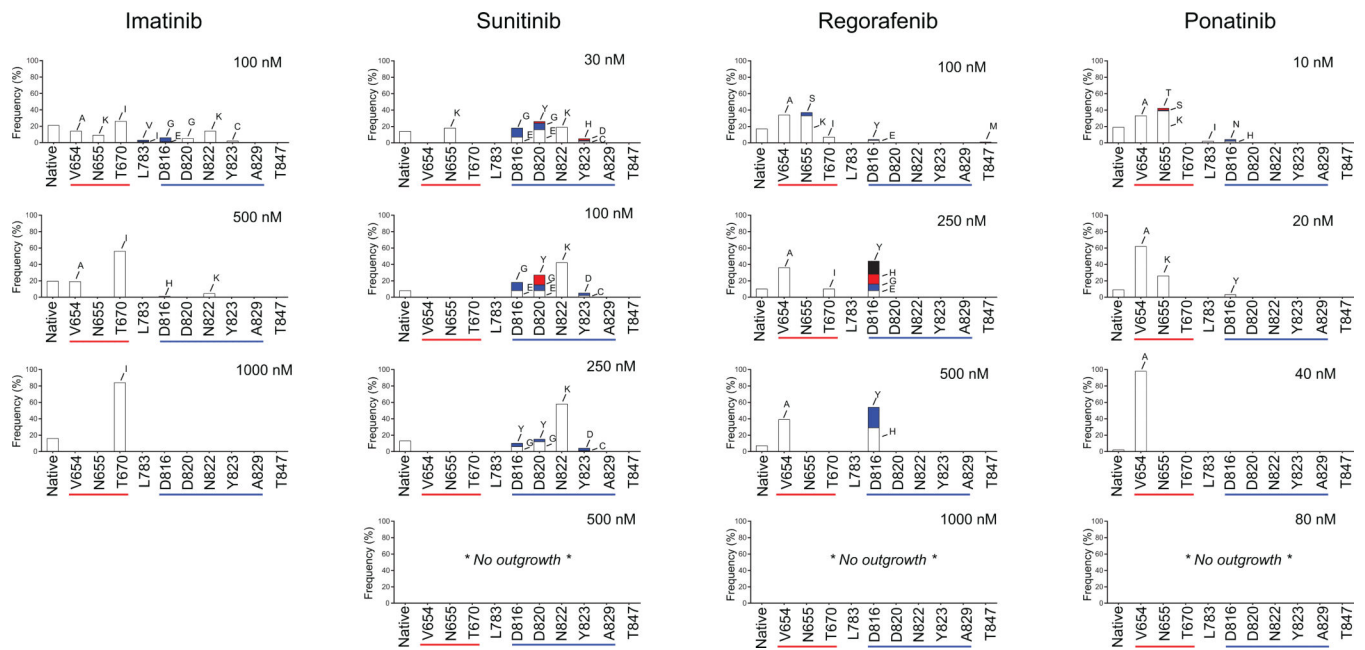




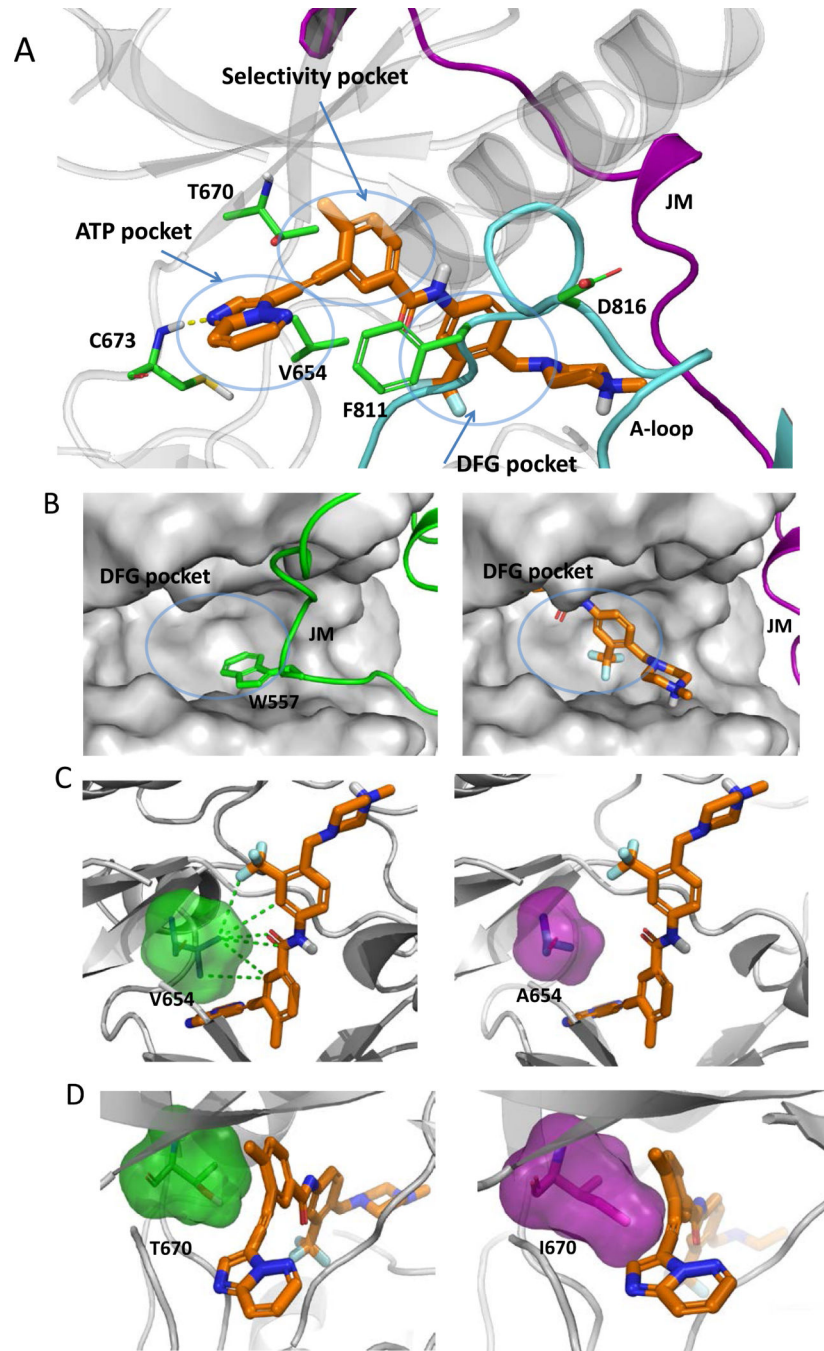
**Figure 1. Ponatinib Potently Inhibits a Broad Spectrum of KIT Primary Activating and Secondary Resistance Mutants *In Vitro* and *In Vivo***

IC<sub>50</sub> values (nM) of imatinib, sunitinib, regorafenib and ponatinib in Ba/F3 cells harboring (A) native or primary mutant KIT alone (green bars), (B) Ex11 ( 557-558) + ATP pocket secondary mutants (blue bars) and (C) Ex11 ( 557-558) + A-loop secondary mutants (red bars). The cell lines were treated with increasing concentrations of drug for three days followed by cell viability assessment using the MTT assay. Data are shown as mean ± SD from three separate experiments. (D) *In vivo* efficacy of ponatinib, imatinib and sunitinib in subcutaneous tumor models using Ba/F3 KIT mutant cells. Imatinib (300 mg/kg) was used as a comparator to ponatinib (30 mg/kg) in all four models. Based on *in vitro* potencies, sunitinib was included as a second comparator in two models ( 557-558/V654A and 557-558/T670I) in which imatinib was expected to be non-efficacious, and a lower dose of ponatinib (10 mg/kg) was also tested in the 557-558 and 557-558/D816H models. Tumor bearing animals were treated once daily by oral gavage with vehicle or the indicated doses

of drug for 12 days. Mean tumor volume and SEM are plotted. Each treatment group was compared to the relevant vehicle group using one way ANOVA, with statistical significance ( $p < 0.05$ ) indicated by an asterisk.



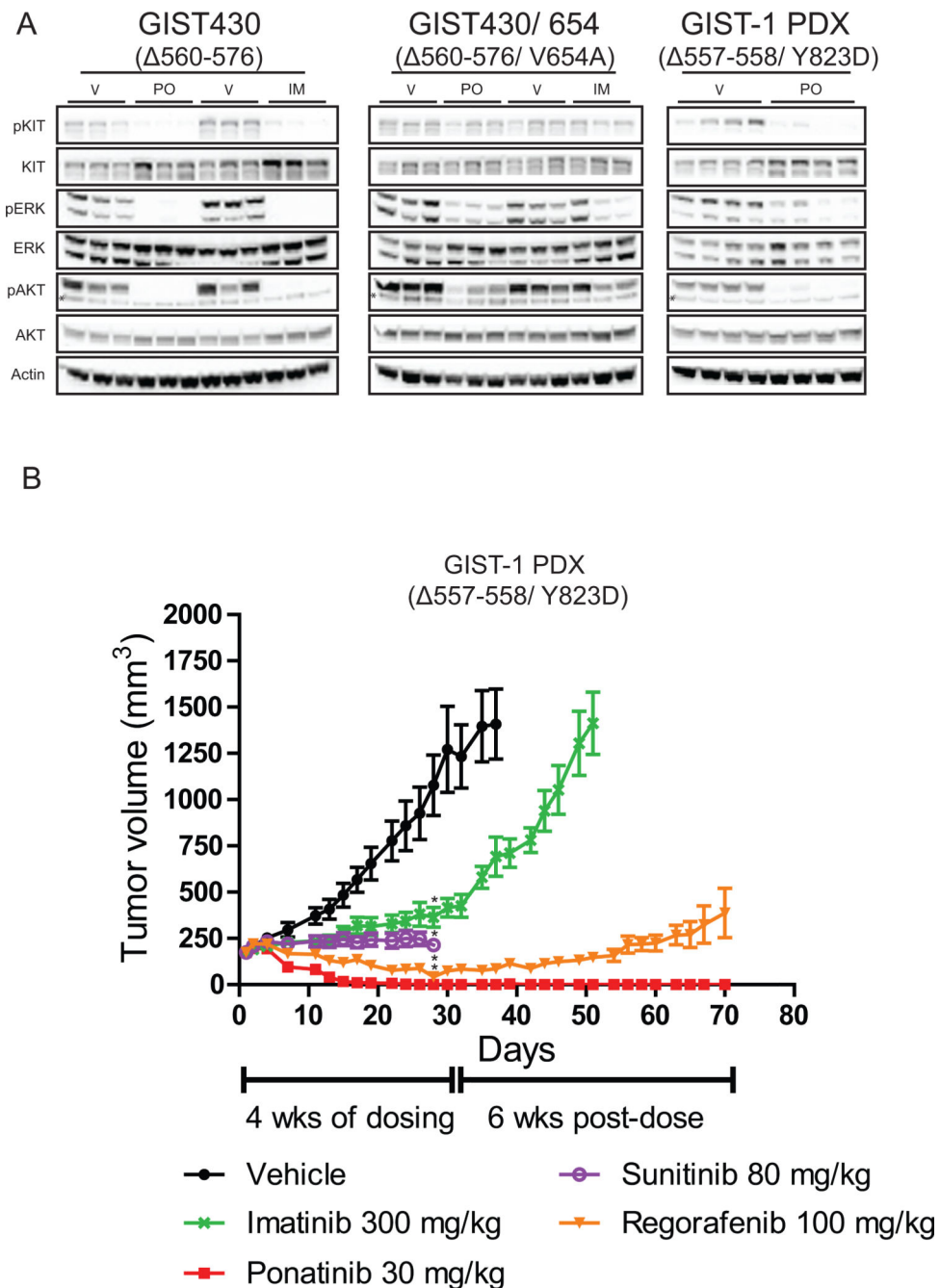
**Figure 2. Secondary Resistance Mutants Identified in the Presence of KIT Inhibitors**  
 Resistant cells recovered from N-ethyl-N-nitrosourea treated Ba/F3 KIT Ex11 ( 557-558) cells, cultured with imatinib, sunitinib, regorafenib or ponatinib at the indicated concentrations. Each bar represents the relative frequency of the indicated KIT kinase domain secondary mutation, based on next generation sequencing data (reported mutation frequencies are a composite of both mutation incidence and cell number). ATP pocket residues are underlined in red and A-loop residues in blue. Mutagenesis data are shown from a representative experiment; similar results were obtained in three separate studies.



**Figure 3. Co-crystal Structure of KIT bound with Ponatinib**

(A) Crystal structure of ponatinib in complex with the native KIT kinase domain. Ponatinib is shown in gold, side chains of C673 (hinge region) and other key amino acids referenced in the text in green, the A-loop in cyan and the JM domain in magenta. (B) Left, W557 of the KIT JM domain (green) occupies the DFG pocket in the apo form. Right, ponatinib (gold) displaces W557 and the JM domain upon binding. (C) The impact of V654A mutation on ponatinib binding. Left, the green dashed lines indicate van der Waals contacts between V654 (green) and ponatinib (gold). Right, the mutation of valine to alanine (magenta) results

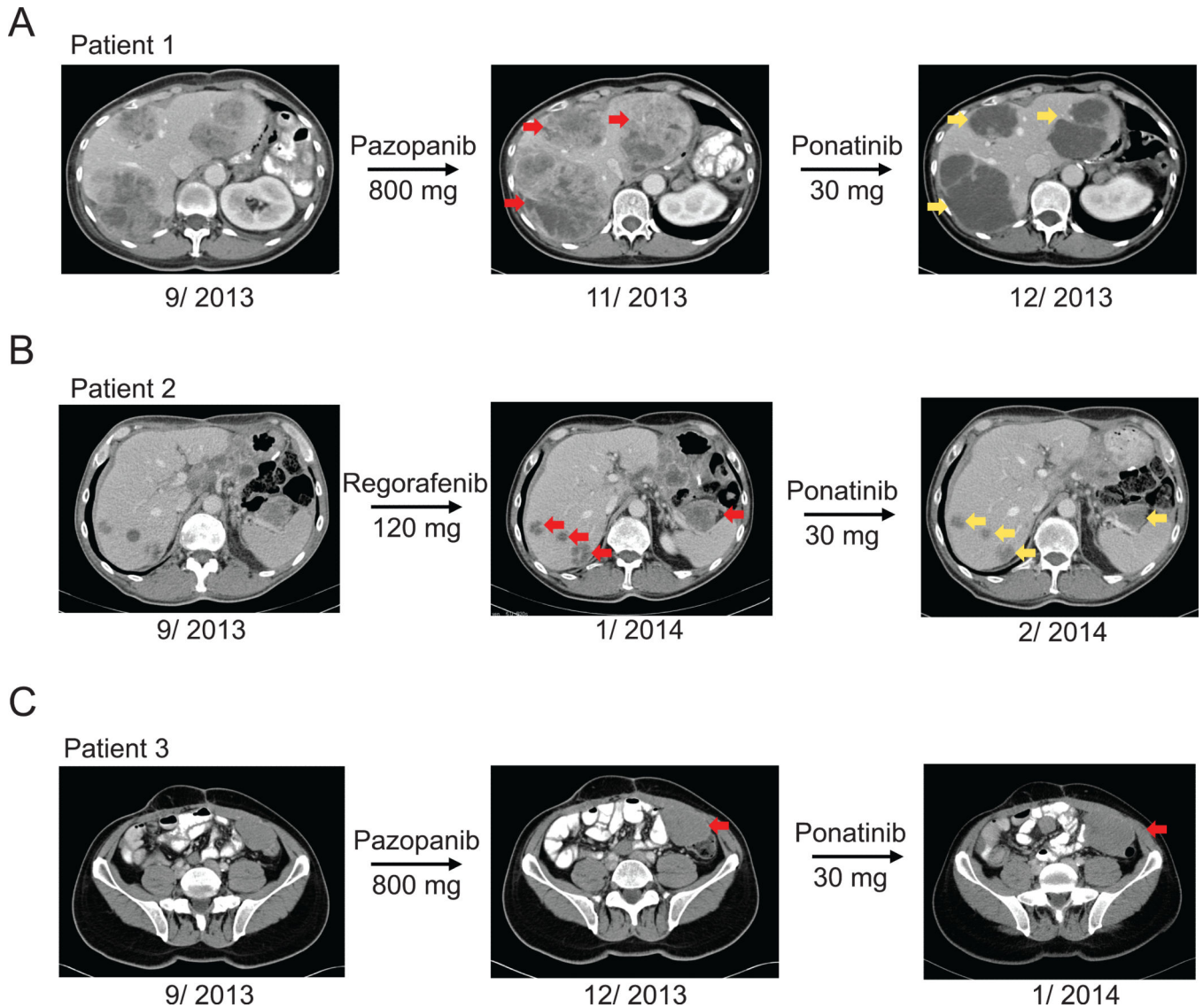
in a loss of all van der Waals contacts with ponatinib. (D) Illustration of the ability of ponatinib to accommodate the space requirements of T670I gatekeeper mutant. The increase in steric bulk upon mutation from T670 (green, left) to I670 (magenta, right) is accommodated by the triple bond of ponatinib (gold).



**Figure 4. Ponatinib Activity in Patient-Derived KIT-Driven Tumor Models**

(A) KIT phosphorylation and downstream signaling were evaluated by immunoblot in GIST430, GIST430/654 and GIST-1 PDX implanted animals treated with a single oral dose of vehicle (V), 30 mg/kg ponatinib (PO) or 300 mg/kg imatinib (IM) ( $n = 3$  per group). (B) *In vivo* efficacy of ponatinib, imatinib, sunitinib and regorafenib in GIST-1 PDX model. Tumor bearing animals were treated once daily by oral gavage with vehicle or the indicated dose of drug for the indicated dosing period. Mean tumor volume and SEM are plotted. The vehicle used for ponatinib and sunitinib is shown (citrate buffer); nearly identical tumor growth was observed for the vehicles used for imatinib (water) and regorafenib (NMP/PEG)

(data not shown). Statistical significance, calculated using one way ANOVA ( $p < 0.05$ ) in which each treatment group (day 28) was compared to its vehicle control is indicated by an asterisk. Data are shown for all groups until fewer than 8 of the original 10 mice in each group remained. In the vehicle and imatinib groups, mice were sacrificed when tumors became too large. In the sunitinib treatment group, multiple mice were sacrificed due to  $>20\%$  body weight loss.



**Figure 5. Single Agent Ponatinib is Active in Heavily Pretreated, TKI Resistant GIST Patients**  
 Representative CT scans of three KIT exon 11-mutant GIST patients before and after treatment with 30 mg ponatinib for four weeks. Each patient was heavily pretreated with imatinib, sunitinib and regorafenib. (A) Patient 1. Ponatinib induced regression and cyst-like transformation of multiple metastatic lesions; (B) Patient 2. Ponatinib induced moderate responses in multiple lesions; (C) Patient 3. No response to ponatinib treatment. Red arrows highlight areas of tumor growth, while yellow arrows indicate tumor response.



**Table 1**

Ponatinib IC<sub>50</sub> values (nM) in cell lines established from tumor biopsies in GIST patients.

Cell line	KIT status	Ponatinib	Imatinib	Sunitinib	Regorafenib
GIST882	K642E	31	173	54	503
GIST430	560-576	12	61	68	191
GIST430/654	560-576/ V654A	159	1204	90	1001
GIST430/654/680	560-576/V654A/N680K	330	>5000	1314	4969
GIST-T1	560-578	5	30	15	110
GIST-T1/670	560-578 / T670I	8	>5000	48	249
GIST-T1/816	560-578 / D816E	23	604	3111	395
GIST-T1/829	560-578 / A829P	16	1201	1168	934
GIST48/820	V560D / D820A	34	413	587	164
GIST48B	KIT-independent	806	>5000	>5000	>5000
GIST226	KIT-independent	2807	>5000	3856	>5000