

Ketone supplementation decreases tumor cell viability and prolongs survival of mice with metastatic cancer

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Cancer cells express an abnormal metabolism characterized by increased glucose consumption owing to genetic mutations and mitochondrial dysfunction. Previous studies indicate that unlike healthy tissues, cancer cells are unable to effectively use ketone bodies for energy. Furthermore, ketones inhibit the proliferation and viability of cultured tumor cells. As the Warburg effect is especially prominent in metastatic cells, we hypothesized that dietary ketone supplementation would inhibit metastatic cancer progression *in vivo*. Proliferation and viability were measured in the highly metastatic VM-M3 cells cultured in the presence and absence of β -hydroxybutyrate (β HB). Adult male inbred VM mice were implanted subcutaneously with firefly luciferase-tagged syngeneic VM-M3 cells. Mice were fed a standard diet supplemented with either 1,3-butanediol (BD) or a ketone ester (KE), which are metabolized to the ketone bodies β HB and acetoacetate. Tumor growth was monitored by *in vivo* bioluminescent imaging. Survival time, tumor growth rate, blood glucose, blood β HB and body weight were measured throughout the survival study. Ketone supplementation decreased proliferation and viability of the VM-M3 cells grown *in vitro*, even in the presence of high glucose. Dietary ketone supplementation with BD and KE prolonged survival in VM-M3 mice with systemic metastatic cancer by 51 and 69%, respectively ($p < 0.05$). Ketone administration elicited anticancer effects *in vitro* and *in vivo* independent of glucose levels or calorie restriction. The use of supplemental ketone precursors as a cancer treatment should be further investigated in animal models to determine potential for future clinical use.

Key words: Warburg effect, ketone, metastasis

Abbreviations: β HB: beta-hydroxybutyrate; AcAc: acetoacetate; BD: 1,3-butanediol; CR: calorie restriction; FDG-PET: fluorodeoxyglucose positron emission tomography; Glu: glucose; HDAC: histone deacetylase; i.p.: intraperitoneal; KD: ketogenic diet; KE: ketone ester; MCT: monocarboxylate transporter; mtDNA: mitochondrial DNA; ROS: reactive oxygen species; s.c.: subcutaneous; SCOT: succinyl CoA 3-ketoacid CoA transferase

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A century ago, Otto Warburg discovered that cancer cells display a unique metabolic phenotype of lactate fermentation in the presence of oxygen. This phenotype, known as the Warburg effect, enables tumor visualization using fluorodeoxyglucose positron emission tomography (FDG-PET) scans owing to the elevated rate of glucose consumption in most cancers. Metabolic therapies can exploit this phenotype, offering novel therapeutic directions aside from the classically targeted cytotoxic and gene-based therapies. The Warburg effect exposes a fundamental weakness of cancer cells, reliance on excess glucose for survival and maximal proliferation. Fasting, calorie restriction (CR) and the carbohydrate-restricted ketogenic diet have been successfully used to limit glucose availability and slow cancer progression in a variety of animal models and human studies.¹⁻⁹ These dietary manipulations produce a physiological metabolic shift to an unfavorable environment for glucose-dependent cancer cells. Previously, the anticancer effects of these dietary manipulations have largely been attributed to decreased circulating blood glucose, which limits energy substrates for cancer cells. New evidence suggests, however, that the physiological state of ketosis and elevated circulating ketones also have anticancer effects.

Recently, Fine *et al.* demonstrated that a carbohydrate-restricted ketogenic diet inhibited disease progression and promoted partial remission in patients with advanced

What's new?

Unlike healthy tissues, cancer cells cannot efficiently process ketone bodies for energy. Ketones also slow the proliferation of tumor cells. These authors speculated that dietary ketones might halt metastasis. They injected metastatic cancer cells into mice, and then fed them a diet supplemented with ketones. The mice receiving the supplements outlived the mice who did not get the ketone-enriched diet, suggesting that this strategy is worth pursuing further.

metastatic cancers from various tissue origins.¹⁰ On average, the patients did not exhibit a drop in glucose from baseline, suggesting that decreased glucose availability was not the sole or primary cause of efficacy. Interestingly, the study found that the most important factor dictating the patients' response to therapy was the degree of elevated ketosis from baseline. Indeed, a prominent metabolic shift to higher levels of ketosis correlated with reduced disease progression, stable disease or partial regression. A small number of reports have investigated the effects of ketones on cancer growth *in vitro*. Acetoacetate (AcAc) and β -hydroxybutyrate (β Hb) are the two most abundant and physiologically relevant of the three ketone bodies. Acetone is produced as a nonenzymatic byproduct of AcAc metabolism and is rapidly excreted in the lungs. AcAc supplementation inhibited proliferation and ATP production in seven aggressive human colon and breast cancer cell lines but did not affect proliferation in healthy primary fibroblasts.¹¹ Similarly, β Hb inhibited proliferation of transformed lymphoblasts, HeLa cells and melanoma cells in a dose-dependent manner up to 20 mM.⁶ In neuroblastoma cells, β Hb and AcAc supplementation decreased viability and increased apoptosis, but had no effect on fibroblasts.¹²

Although ketone bodies are efficient energy substrates for healthy extrahepatic tissues,¹³ cancer cells cannot effectively use them for energy. Widespread mitochondrial pathology has been observed in most if not all tumors examined, including decreased mitochondrial number, abnormal ultrastructural morphology, mitochondrial swelling, abnormal fusion–fission, partial or total cristolysis, mtDNA mutations, altered mitochondrial membrane potential and abnormal mitochondrial enzyme presence or function, among others.^{14–19} These defects in mitochondrial structure and function impair respiratory capacity and force a reliance on substrate-level phosphorylation for survival.²⁰ As ketone bodies are metabolized exclusively within the mitochondria, cancer cells with impaired mitochondrial function are unable to efficiently metabolize ketone bodies for energy. Indeed, unlike healthy cells, ketone bodies fail to rescue glioma cells from glucose withdrawal-induced death.²¹

Although mitochondrial dysfunction explains the inability of cancer cells to effectively use ketones for energy, the anticancer effects of ketones in a normal glucose *in vitro* environment are not immediately clear. Upon closer examination, ketone bodies possess many characteristics that can impair cancer cell survival and proliferation. (i) Ketone bodies inhibit glycolysis, thus decreasing the main pathway of energy production for cancer cells.²² (ii) Cancer cells thrive in an environment of elevated reactive oxygen species (ROS)

production but are very sensitive to even small changes in redox status.²³ Ketones decrease mitochondrial ROS production and enhance endogenous antioxidant defenses in normal cells, but not in cancer cells.¹³ Ketone metabolism in healthy cells near the tumor may inhibit cancer cell growth by creating a less favorable redox environment for their survival. (iii) Ketone bodies are transported into the cell through the monocarboxylate transporters (MCTs), which are also responsible for lactate export. It has been shown that inhibiting MCT1 activity or inhibiting lactate export from the cell dramatically decreases cancer cell growth and survival.²⁴ Ketones may impair cancer cells indirectly by competitive inhibition of the MCTs, decreasing critical lactate export from the cell. (iv) Recently, Verdin and coworkers demonstrated that β Hb acts as an endogenous HDAC inhibitor at millimolar concentrations easily achieved through fasting, CR or ketone supplementation such as with a ketone ester (KE).²⁵ Thus, ketone bodies may elicit their anticancer effects by altering the expression of oncogenes and tumor suppressor genes under control of the cancer epigenome.²⁶ Clearly, ketone bodies exhibit several unique characteristics that support their use as a metabolic therapy for cancer.

The Warburg effect is especially prevalent in aggressive cancers and metastatic cells. Metastasis, the spreading of a primary tumor to distal locations, is the primary cause of cancer morbidity and mortality and is responsible for more than 90% of cancer-related deaths.²⁷ To make significant strides toward long-term cancer management, we must evaluate therapies that are effective against late-stage metastatic cancers. The major obstacle preventing the discovery of such treatments has been the lack of animal models that mimic the true metastatic phenotype and accurately predict the clinical success of therapeutics. The VM-M3 model of metastatic cancer is a novel murine model that closely mimics the natural progression of cancer invasion and metastasis.²⁸ The original VM-M3 tumor arose as a spontaneous brain tumor in a VM/Dk inbred mouse. The VM-M3 tumor was adapted to cell culture and transduced with the firefly luciferase gene to allow for *in vivo* bioluminescent tracking of tumor growth.²⁹ VM-M3 cells express many *in vitro* and *in vivo* characteristics of human glioblastoma multiforme with macrophage or microglial properties.^{28–30} When implanted subcutaneously, the VM-M3 cells are morphologically similar to histiocytes and rapidly produce systemic metastasis, forming tumors in all major organ systems, most notably the liver, lung, kidney, spleen, brain and bone.²⁸ The VM-M3 model of metastatic cancer spreads naturally in an immunocompetent host, and chemotherapeutic agents inhibit metastatic spread in this model similar to their observed effects in humans.³¹ The

VM-M3 model has many advantages that support its use as a representation of the true metastatic disease state and has therefore been used in our study.

Although the literature strongly suggests that ketone bodies impede cancer growth *in vitro*, the *in vivo* efficacy of dietary ketone supplementation has not been adequately examined. It is possible to raise blood ketone levels without the need for carbohydrate restriction by administering a source of supplemental ketones or ketone precursors. 1,3-Butanediol (BD) is a commercially available food additive and hypoglycemic agent that is converted to β HB by the liver.^{32,33} The KE elevates both AcAc and β HB in a dose-dependent manner to levels beyond what can be achieved with the KD or therapeutic fasting.³⁴ Oral administrations of BD and KE have been shown to elevate blood ketones for at least 240 min in rats.³⁴ As ketone bodies appear to elicit anti-cancer effects, and metastasis is the most significant obstacle in the successful treatment of neoplasms, we tested the efficacy of ketone supplementation in the VM-M3 cell line and mouse model of metastatic cancer.

Material and Methods

Cell culture

VM-M3/Fluc cells were received as a gift from T. Seyfried (Boston College) where they were obtained from a spontaneous tumor in a VM/Dk inbred mouse and adapted to cell culture.²⁸ VM-M3 cells were transduced with a lentivirus vector containing firefly luciferase under control of the cytomegalovirus promoter (VM-M3/Fluc).²⁸ The VM-M3/Fluc cells were cultured in Eagle's minimum essential medium with 2 mM L-glutamine (ATCC, Manassas, VA), 10% fetal bovine serum (Invitrogen, Grand Island, NY), 1% penicillin-streptomycin (Gibco, Invitrogen) and high glucose (25 mM D-glucose; Fisher Scientific, Waltham, MA). Cells were maintained at 37°C in 95% air and 5% CO₂.

Proliferation

VM-M3/Fluc cells were plated into 35-mm six-well plates at a density of 50,000 cells/mL in high (25 mM) or low (3 mM) glucose media with or without ketone supplementation (5 mM β HB; Sigma-Aldrich, St. Louis, MO). Nonketone-supplemented media contained an equal volume of β HB diluent (50 μ L dH₂O). Cells were grown for 24, 48, 72, 96, 120 and 144 hr to determine a growth curve. All media were replaced with respective treatments every 48 hr. Each time point was run in triplicate. Cells were collected by scraping and counted using standard trypan blue hemocytometry. Plates were visually inspected to ensure all cells were harvested before counting.

Viability

Viability was assessed with the LIVE/DEAD® Viability/Cytotoxicity Kit (Invitrogen). VM-M3/Fluc cells were plated into 22-mm 12-well plates containing poly-D-lysine-coated glass coverslips (BrainBits, Springfield, IL) at a density of 50,000/

mL in control media and allowed to grow for 24 hr. At 24 hr, media were replaced with treatment [high (25 mM) or low (3 mM) glucose] with or without 5 mM β HB ketone supplementation, and cells were allowed to grow for 24 hr. Nonketone-supplemented media contained an equal volume of β HB diluent (25 μ L dH₂O). At 48 hr, cells were washed with D-PBS and incubated in 2 μ M calcein AM (Ex/Em: 495/515) and 4 μ M ethidium homodimer-1 (Ex/Em: 525/590) in Dulbecco's phosphate buffered saline (D-PBS) for 30 min at 37°C. After incubation, wet coverslips were inverted, mounted and sealed on a microscope slide. Cells were visualized using fluorescence confocal microscopy with FIT-C and TRIT-C filters to identify live and dead cells, respectively. Each treatment was run in triplicate with ten frames analyzed per coverslip with a Nikon TE2000E using a 10 \times objective. The numbers of live and dead cells were counted per frame to determine percent viability.

Mice

Three breeding pairs of the VM/Dk inbred strain of mice were used to establish and propagate a VM/Dk mouse colony in the University of South Florida Morsani College of Medicine Vivarium according to standard murine husbandry protocol. Adult male mice (2–4 months of age) were used for our study, with all procedures completed within strict adherence to the NIH Guide for the Care and Use of Laboratory Animals and were approved by the University of South Florida Institutional Animal Care and Use Committee (IACUC; protocol number R4137).

Tumor implantation

Approximately 1 million VM-M3/Fluc cells in 300 μ L PBS were implanted s.c. into the abdomen of VM/Dk mice using a 27g needle. VM-M3/Fluc inoculation results in rapid and systemic metastasis as previously described.²⁸

Ketone supplementation and diet administration

Mice were fasted overnight before tumor inoculation and randomly assigned to treatment group. Control mice received standard diet (SD) rodent chow (2018 Teklad Global 18% Protein Rodent Diet, Harlan) composed of 60% carbohydrate fed *ad libitum*. Mice receiving supplemental ketones were administered their respective diet *ad libitum* in lieu of standard rodent chow. Mice in the BD treatment group received standard rodent chow mixed with BD at 20% by volume and 1% saccharin for palatability (Sigma-Aldrich). Mice in the KE treatment group received standard rodent chow mixed with KE at 10% by volume and 1% saccharin (Sigma-Aldrich) for palatability. The KE was synthesized in collaboration with Savind, Seymour, IL, as previously described.³⁴ As ketosis has been shown to decrease appetite and food intake,³⁵ and CR is known to inhibit cancer progression,^{2,36} we included a CR treatment group. CR mice received a daily food allotment of standard rodent chow restricted 40% by weight compared to their normal food consumption. They received their daily

food allotment at ~3 pm. *Ad libitum* diets were continuously monitored and replaced twice weekly or as needed to maintain freshness.

Blood and weight measurements

Acute metabolic response to diet in healthy VM/dk mice. Blood ketones rise and fall following ingestion of the ketone-supplemented diets, and their levels are affected by the amount of food consumed during a feeding event as well as the time between feeding and blood measurement. Because food was provided *ad libitum* to mice in the VM-M3 cancer survival study, it was difficult to accurately observe the effects of each diet on blood metabolites. Furthermore, deterioration of health during disease progression affected feeding behavior and, subsequently, blood metabolite concentration. To demonstrate the effects of the diets on blood metabolites without the confounding effects of *ad libitum* feeding and disease progression, and to demonstrate that oral administration of the ketone supplements given with a standard high-carbohydrate diet is capable of elevating ketones in VM/Dk mice, blood glucose and β HB were measured in a small group of healthy mice following a controlled feeding event. Healthy VM/Dk mice ($N = 4$ per treatment) were fasted for 8 hr to ensure rapid feeding compliance, and then provided SD, BD or KE diet *ad libitum*. All mice fed immediately upon return of food (0 hr). Blood glucose and β HB were measured at 0, 1, 4, 8 and 12 hr, and urine AcAc was measured at 12 hr. Blood was collected from the tail using approved methods, and glucose and β HB were measured using the Precision Xtra™ Blood Glucose & Ketone Monitoring System (Abbott Laboratories, Abbott Park, IL). Urine AcAc was measured using Ketocare Reagent Strips for Urinalysis (CVS, Woonsocket, RI).

VM-M3 cancer survival study blood and weight measurements. Blood was collected once weekly from the tail of the VM-M3 cancer mice using approved methods. Blood glucose was measured with the Nova Max® Plus Glucose and β -Ketone Monitoring System (Nova Biomedical, Waltham, MA), and blood β HB was measured with the Precision Xtra™ Blood Glucose & Ketone Monitoring System (Abbott Laboratories). Mice were weighed between 1 and 3 pm, twice weekly, for the duration of the study using the AWS-1KG Portable Digital Scale (AWS, Charleston, SC).

Bioluminescent imaging and tumor growth analysis

Tumor growth and metastatic spread were monitored by *in vivo* bioluminescent imaging with the Xenogen IVIS Lumina system (Caliper LS, Hopkinton, MA). Bioluminescent signal of the luciferase-tagged cancer was acquired with the Living Image® software (Caliper LS). Mice received an i.p. injection of 50 mg/kg D-luciferin (Caliper LS) 15 min before imaging. Bioluminescent signal was obtained using the IVIS Lumina cooled CCD camera system with a 1-sec exposure time. Whole animal bioluminescent signal was measured in pho-

tons/sec once a week as an indicator of overall tumor burden. Bioluminescent signal of key metastatic regions (brain, lungs and liver) was measured in photons/sec as an indicator of metastatic tumor size and spread.^{37,38}

Survival analysis

Health and behavior of the mice were assessed daily for the duration of the study. Upon presentation of defined criteria associated with tumor burden and disease progression (abnormal feeding behavior, diminished response to stimuli and failure to thrive), mice were humanely euthanized according to approved IACUC guidelines and survival time was recorded.

Statistics

Survival was analyzed with the Kaplan–Meier and log-rank tests for survival distribution. Cell viability was analyzed by unpaired *t*-test. Cell proliferation, whole animal bioluminescent signal, mean survival, weekly blood measurements, percent body weight change and urine ketones were analyzed by one-way analysis of variance (ANOVA) with Tukey's multiple comparison *post hoc* test. Acute blood measurements were analyzed by two-way ANOVA with Tukey's multiple comparison *post hoc* test. Correlation between blood glucose, body weight change and survival times was analyzed by linear regression analysis. Results were considered significant when $p < 0.05$.

Results

Ketone supplementation decreased VM-M3 cell proliferation and viability *in vitro*

Proliferation was significantly decreased in VM-M3 cells grown in low (3 mM) glucose conditions and in both low (3 mM) and high (25 mM) glucose conditions with ketone supplementation (5 mM β HB) compared to VM-M3 cells grown in control media (high glucose, 25 mM) at 24, 48, 72, 96, 120 and 144 hr ($p < 0.05$; one-way ANOVA; Fig. 1a). Although low (3 mM) glucose with ketone supplementation (5 mM β HB) demonstrated a trend of decreased proliferation compared to low (3 mM) glucose alone at all time points, the difference was not statistically significant (one-way ANOVA; Fig. 1a). Viability was significantly decreased in VM-M3 cells treated for 24 hr with low (3 mM) glucose conditions and in both low (3 mM) and high (25 mM) glucose conditions with ketone-supplemented (5 mM β HB) media compared to VM-M3 cells grown in control media (high glucose, 25 mM); $p < 0.05$; unpaired *t*-test; Fig. 1b).

Ketone supplementation prolonged survival and induced a trend of reduced tumor burden in mice with metastatic cancer

Bioluminescence of tumor burden varied within and between groups according to disease progression and the health and number of animals remaining in each group over time (Fig. 2b). CR-, BD- and KE-treated mice demonstrated a trend of reduced tumor burden (Figs. 2a and 2b) and

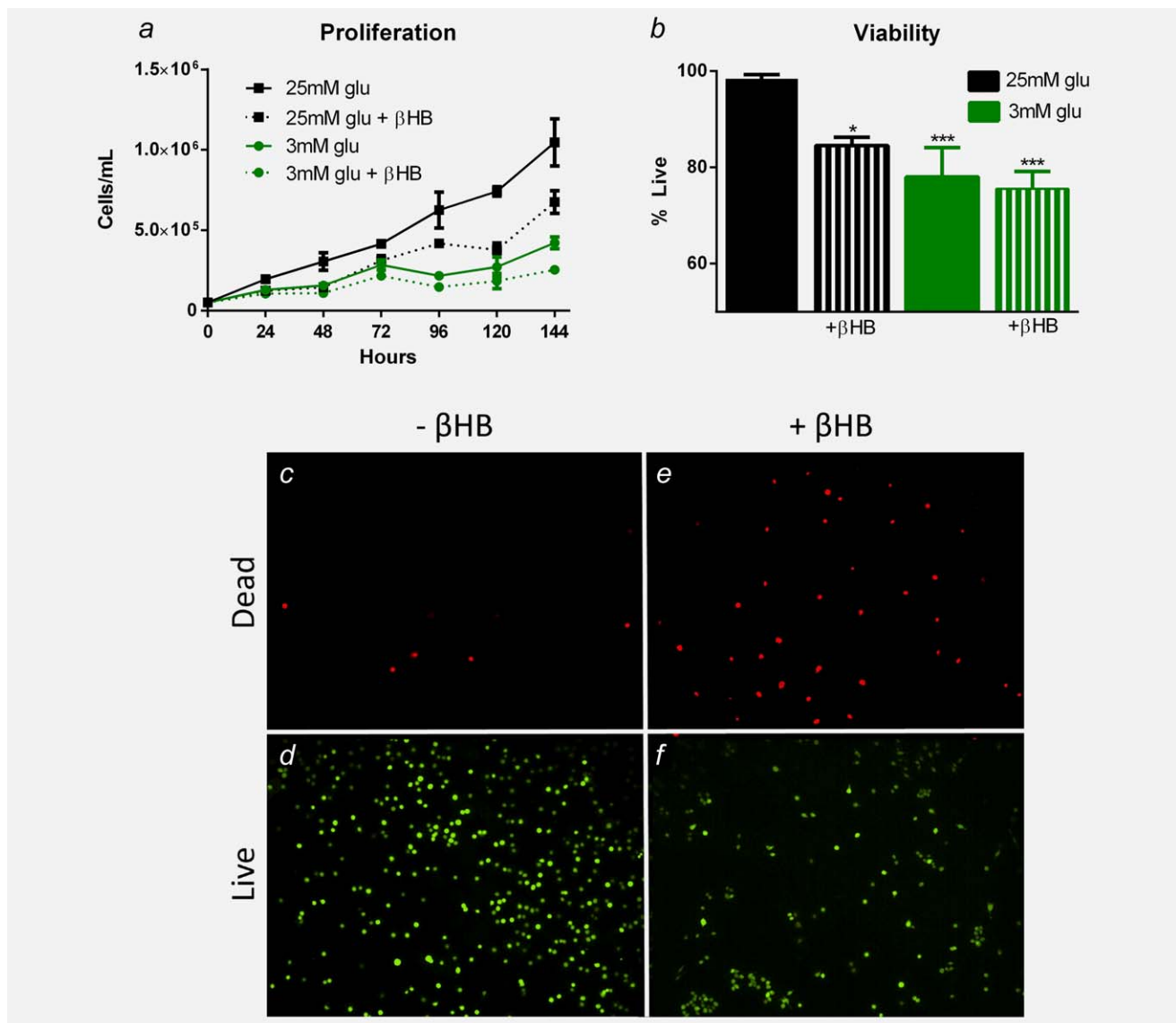


Figure 1. β HB decreases VM-M3 cell proliferation and viability *in vitro*. (a) VM-M3 proliferation was inhibited when grown in low (3 mM) glucose- and/or ketone-supplemented (5 mM β HB) media (Glu: glucose; β HB: β -hydroxybutyrate). Cell density was significantly less in low (3 mM) glucose conditions and in both low (3 mM) and high (25 mM) glucose conditions with ketone supplementation (5 mM β HB) at 24, 48, 72, 96, 120 and 144 hr compared to high glucose controls ($p < 0.05$; one-way ANOVA). Although low (3 mM) glucose with ketone supplementation (5 mM β HB) demonstrated a trend of decreased proliferation compared to low (3 mM) glucose alone, the difference was not statistically significant. (b) VM-M3 viability was decreased when grown in low (3 mM) glucose conditions and in both low (3 mM) and high (25 mM) glucose conditions with ketone supplementation (5 mM β HB). There was a significantly smaller percentage of live cells in all treated *versus* control (high glucose, 25 mM) media (* $p < 0.05$, *** $p < 0.001$; unpaired *t*-test). (c–f) Live/dead fluorescence of VM-M3 cells grown in high (25 mM) glucose with and without 5 mM β HB. Results were considered significant when $p < 0.05$. Error bars represent \pm SEM. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

metastatic spread (Figs. 2c and 2d) over the course of the survival study; however, bioluminescence was highly variable and not significantly different from controls.

BD- and KE-treated mice demonstrated a significantly prolonged survival curve by log-rank test for survival distribution compared to control animals ($p = 0.01$ and $p = 0.01$, respectively, Fig. 3a). They also showed a significant increase in mean survival time compared to control animals (one-way ANOVA; $p < 0.05$ and $p < 0.001$, respectively; Fig. 3b).

Although previous reports have demonstrated that CR increases survival time in various animal cancer models, in our study, CR-treated mice exhibited a trend of increased latency to disease progression measured as tumor bioluminescence, but survival time was not statistically different from controls (log-rank test for survival distribution and one-way ANOVA; $p > 0.05$; Figs. 2 and 3). Control (SD) mice lived an average of 31.2 days, whereas CR mice had a nonstatistically significant different mean survival time of 36.9 days

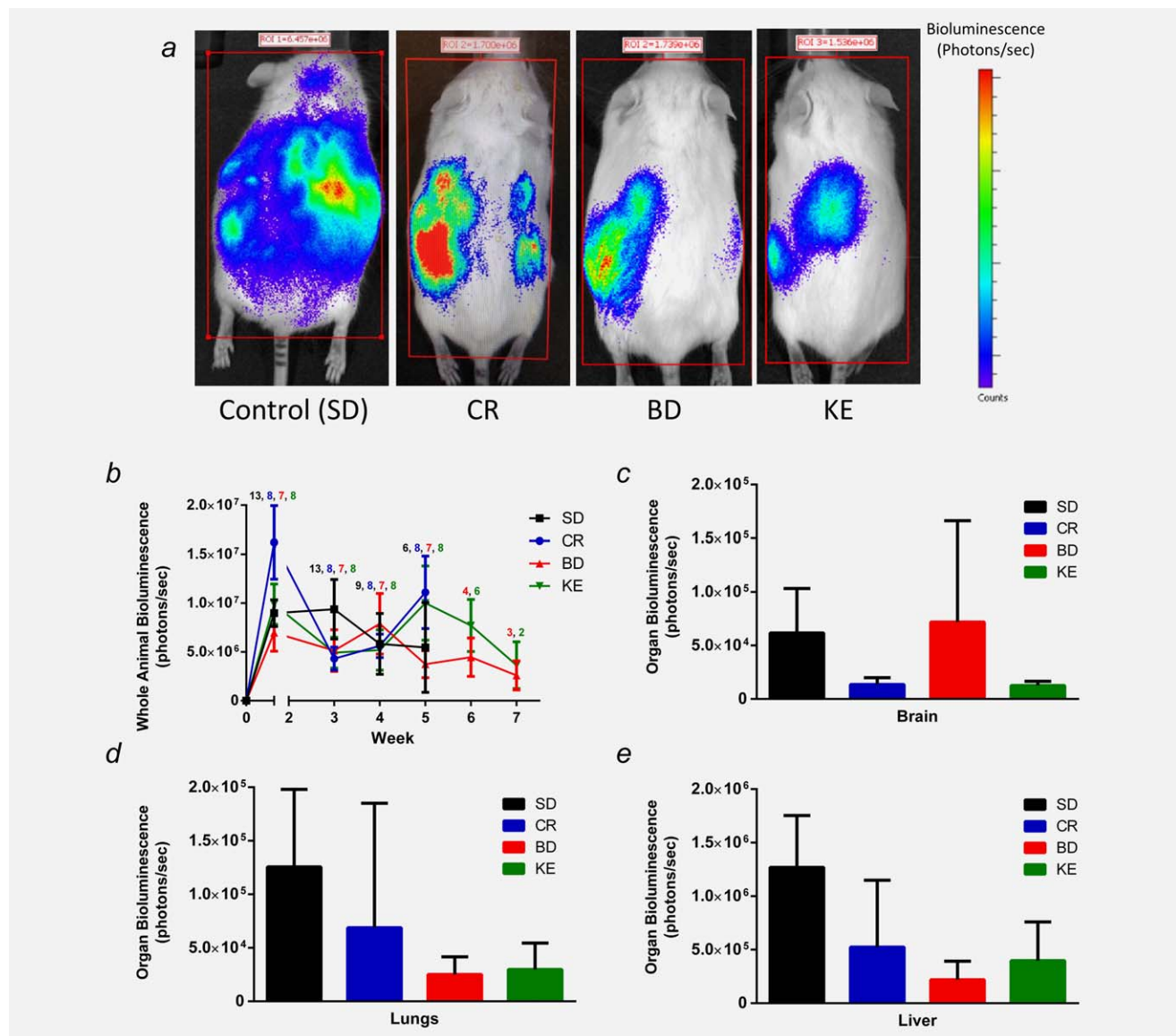


Figure 2. Effect of supplemental ketones on tumor bioluminescence. Ketone supplement-fed mice demonstrated a trend of slower tumor growth and metastatic spread compared to controls. (a) Representative animals from each group at 21 days post-tumor cell inoculation showing whole body tumor bioluminescence. Treated mice exhibited reduced tumor burden and metastatic spread. (b) Whole animal bioluminescence tracked over time as a measure of tumor growth rate. The number of animals alive at each week is presented as the color-coded number above the average bioluminescence data points. (c–e) Bioluminescence of key metastatic regions: brain, lungs and liver. Treated animals exhibited a trend of slower tumor growth rate and decreased metastatic spread compared to controls, although bioluminescence was not significantly different from controls. Error bars represent \pm SEM. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

($p > 0.05$; one-way ANOVA; Fig. 3). BD treatment increased mean survival time by ~ 16 days (51%), and KE treatment increased mean survival time by ~ 22 days (69%) compared to controls ($p < 0.01$ and $p < 0.001$, respectively; one-way ANOVA; Fig. 3b).

Ketone supplementation lowered blood glucose, elevated blood ketones and decreased body weight

Acute ketosis with supplementation in healthy VM/Dk mice. Standard high-carbohydrate rodent chow with ketone supplementation significantly lowered blood glucose for 8 and 4 hr in

BD and KE groups, respectively ($p < 0.05$; two-way ANOVA). BD significantly elevated β HB levels after 1 hr, which further increased in the next 11 hr, whereas KE caused significant β HB elevation only after 4 hr and remained at a similar level after 12 hr ($p < 0.05$; two-way ANOVA). BD elevated only β HB, whereas KE elevated both β HB and AcAc ($p < 0.05$; two-way ANOVA and one-way ANOVA, respectively; Figs. 4b and 4c).

Blood and weight measures in VM-M3 cancer survival study mice. Initial blood glucose, β HB and body weights were similar between groups (data not shown). Chronic ketone

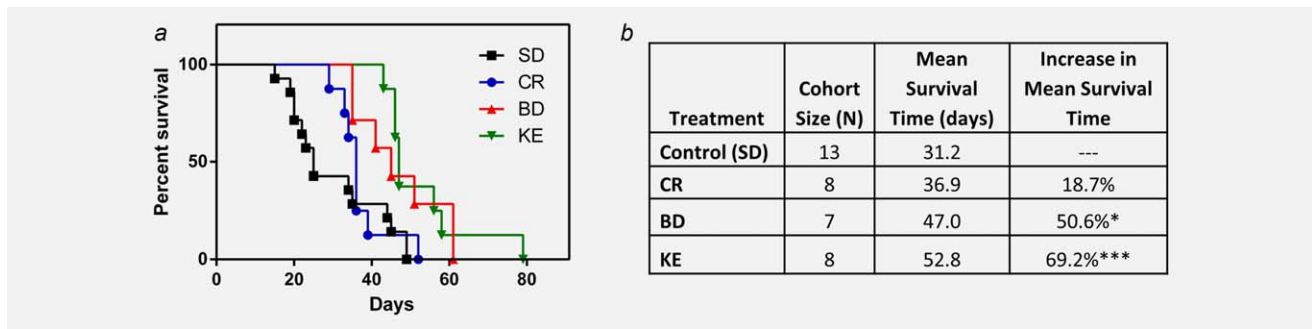


Figure 3. Supplemental ketones extend survival time in mice with systemic metastatic cancer. (a) Kaplan–Meier survival curve of treatment groups. BD- and KE-, but not CR-, treated mice demonstrated prolonged survival compared to controls (log-rank test for survival distribution; $p = 0.01$, $p = 0.001$ and $p = 0.30$, respectively). (b) Cohort size, mean survival and percent change from mean survival of controls. BD mice exhibited a 51% increase in mean survival time compared to controls, whereas KE mice exhibited a 69% increase in mean survival time compared to controls (* $p < 0.05$; *** $p < 0.001$; one-way ANOVA). Results were considered significant when $p < 0.05$. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

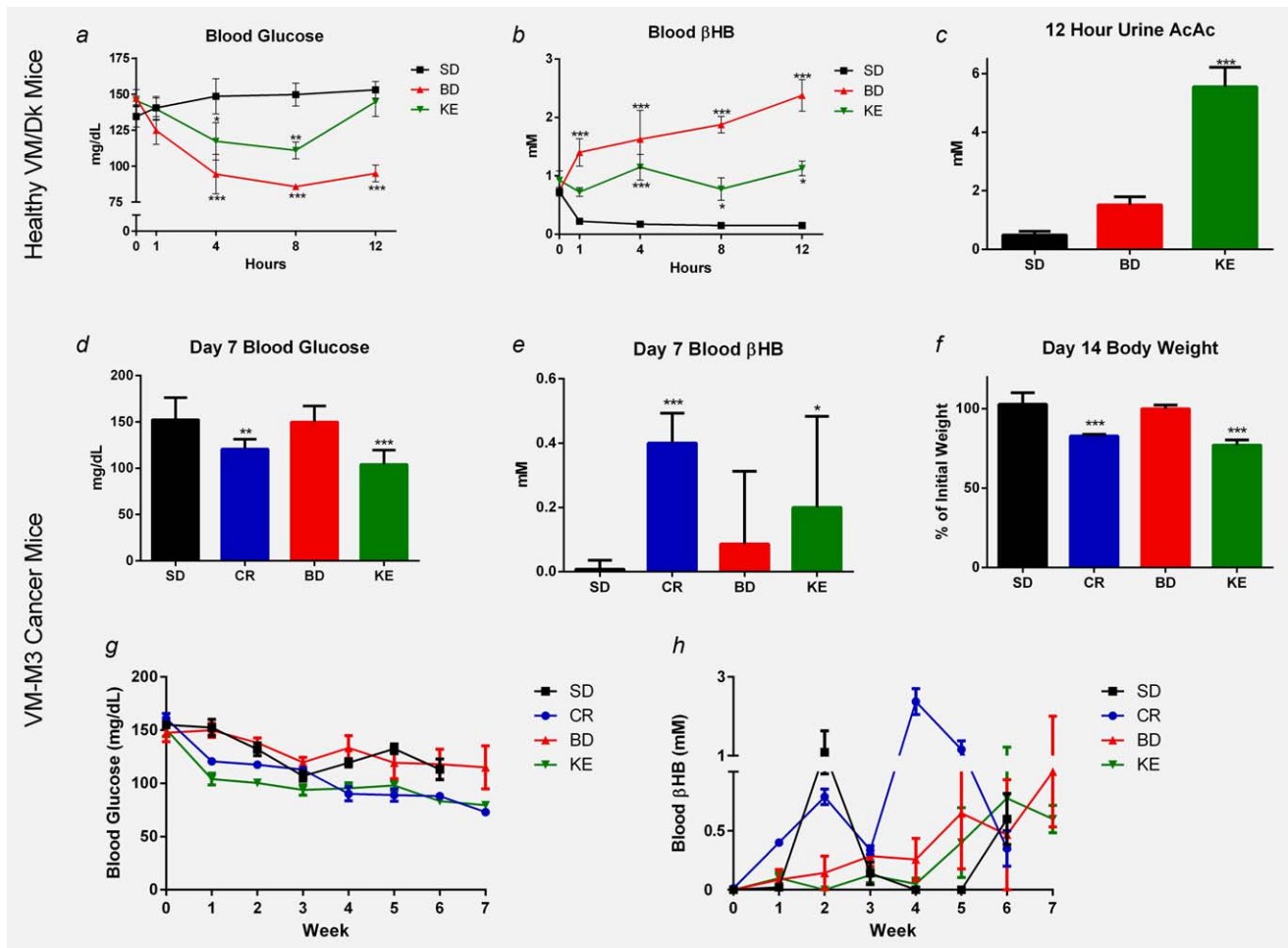


Figure 4. Effect of ketone supplementation on blood glucose, blood β HB, urine AcAc and body weight in mice. (a–c) Glucose and ketones of healthy VM/Dk mice 0–12 hr after feeding following 8 hr fast. BD- and KE-treated mice demonstrated decreased blood glucose and elevated blood β HB following feeding (* $p < 0.01$; ** $p < 0.05$; *** $p < 0.001$; two-way ANOVA). KE-, but not BD-, treated mice demonstrated elevated urine AcAc 12 hr after feeding (*** $p < 0.001$; one-way ANOVA). (d–f) Blood glucose, ketones and body weight of survival study VM-M3 mice before significant disease onset. (d) CR- and KE-treated mice had lower glucose than controls by day 7 (** $p < 0.01$; *** $p < 0.001$; one-way ANOVA). (e) CR and KE mice had elevated blood β HB compared to controls at day 7 (* $p < 0.05$; *** $p < 0.001$; one-way ANOVA). (f) CR and KE mice had a 20% reduction in body weight compared to controls at day 14, which was sustained for the duration of study (*** $p < 0.001$; one-way ANOVA). Results were considered significant when $p < 0.05$. Error bars represent \pm SEM. (g and h) Blood glucose and ketones of VM-M3 cancer mice over the course of the survival study. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

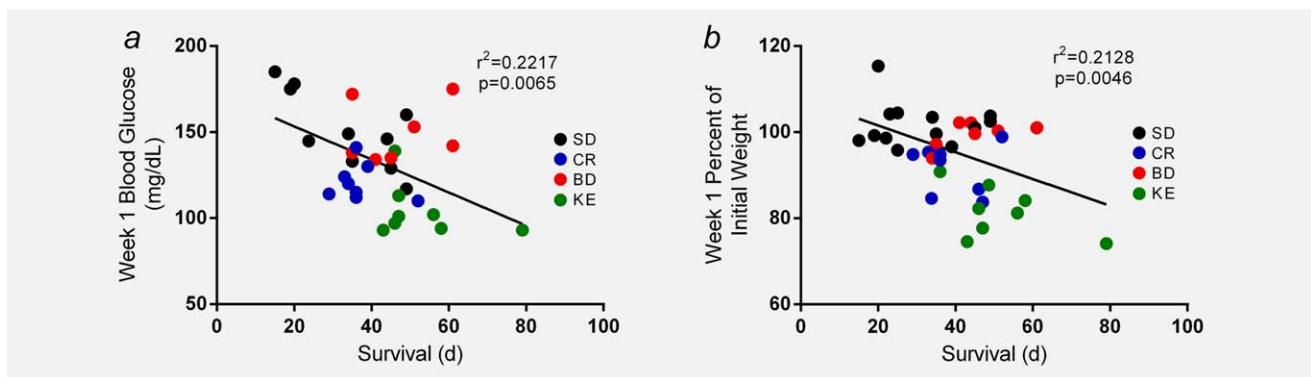


Figure 5. Decreased blood glucose and weight loss correlated with longer survival. Linear regression analysis of day 7 blood glucose and percent body weight change for all study animals revealed a significant correlation to survival time ($p = 0.0065$ and $p = 0.0046$, respectively). Results were considered significant when $p < 0.05$. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

supplementation at day 7 resulted in lower blood glucose and elevated blood β HB in CR- and KE-treated animals compared to controls ($*p < 0.05$, $**p < 0.01$, $***p < 0.001$; one-way ANOVA; Figs. 4d and 4e). By day 14, CR- and KE-treated mice lost $\sim 20\%$ of their initial body weight ($p < 0.01$; one-way ANOVA; Fig. 4f) and maintained that weight loss for the duration of the study. As expected, blood glucose and β HB were highly variable over the course of the survival study, likely owing to *ad libitum* feeding, health deterioration and cancer-associated cachexia (Figs. 4g and 4h). Day 7 blood glucose and body weight change were significantly correlated to survival ($p = 0.0065$ and $p = 0.0046$, respectively; linear regression analysis; Fig. 5). Interestingly, linear regression analysis of tumor bioluminescence *versus* blood β HB did not reveal a significant correlation.

Discussion

The Warburg effect is the most ubiquitous cancer phenotype, exhibited by most if not all cancer types.¹ Exploiting the metabolic deficiencies of cancer cells should be prioritized, because this therapeutic strategy would likely prove effective against most cancers. Mitochondrial dysfunction underlies many aspects of cancer metabolic deficiency and prevents cancer cells from effectively using ketone bodies for energy.^{12,20} In our study, ketone supplementation decreased VM-M3 cell proliferation and viability (Fig. 1), confirming similar results demonstrated in other cancer types *in vitro*.^{6,11,12} Therefore, we hypothesized that dietary administration of ketone body precursors would inhibit disease progression *in vivo*. Indeed, dietary administration of ketone precursors, BD and KE, increased mean survival time by 51 and 69%, respectively, in VM-M3 mice with metastatic cancer (Fig. 3). These data support the use of supplemental ketone administration as a feasible and efficacious cancer therapy, which should be further investigated in additional animal models to determine its potential for clinical use.

Ketone supplementation decreased blood glucose after acute administration, decreased body weight with chronic adminis-

tration and sustained ketosis *in vivo*, even when administered with a high-carbohydrate rodent chow in both healthy (VM/Dk) and cancer (VM-M3) mice (Fig. 4). Our study demonstrates the ability of dietary administration of BD and KE to significantly elevate ketone bodies *in vivo* for at least 12 hr in healthy VM/Dk mice and 7 days in VM-M3 cancer mice (Fig. 4). VM-M3 cancer is very aggressive, and health of control animals declines rapidly after tumor cell inoculation. With onset of disease progression, animal feeding behavior, body weight and blood metabolites vary considerably. Blood and body weight data before the onset of significant disease progression in all treatment groups (Figs. 4d–4f) demonstrate the effects of the dietary therapies on blood metabolites in VM-M3 cancer mice largely aside from the confounding effects of disease progression. Figures 4g and 4h show blood glucose and β HB levels of VM-M3 mice over the course of the survival study. Disease progression altered feeding behavior, and animals often exhibited low blood glucose and elevated blood ketones before euthanasia. For example, nearly all CR mice were euthanized in the fifth week of the study, with their declining health reflected by the marked increase in blood ketones at week 4 (Fig. 4h).

It is important to note that the metabolic changes associated with acute and chronic ketosis are vast and can dramatically affect blood metabolite concentrations. In previous studies, chronic BD and β HB administration has been shown to decrease food intake in the rat and pigmy goat.^{39,40} Similarly, Veech and coworkers demonstrated that feeding a KE-supplemented diet increased malonyl-CoA, an anorexigenic metabolite known to decrease food intake.⁴¹ Ketone-induced appetite suppression may account for the decreased blood glucose and body weight seen in treated VM-M3 cancer mice (Fig. 4f). Additionally, prior studies suggest that ketones increase insulin sensitivity,^{41,42} which may be contributing to the decreased circulating blood glucose in KE-fed mice. Blood metabolite levels are dynamic and change rapidly with food consumption and tissue utilization. Because the VM-M3 cancer mice were fed *ad libitum*, blood glucose and ketone levels observed at the time of measurement during chronic supplementation were likely affected by variable feeding behavior

before blood measurement. As mentioned, health status of the animals also altered feeding behavior. Mice reduced food intake and likely experienced cancer-associated cachexia with disease progression, conditions that elevate blood ketones endogenously through beta-oxidation of stored fats. Furthermore, chronic ketosis enhances ketone utilization by tissues, known as keto-adaptation, resulting in lower blood ketone concentrations.⁴³ All these factors likely contributed to the observed variability in blood glucose and β HBA between acute versus chronic administration of diet and healthy VM/Dk versus VM-M3 cancer mice (Fig. 4).

Previously, dietary intervention in cancer has largely focused on carbohydrate restriction or CR to exploit the Warburg effect. CR has been shown to slow disease progression in a variety of cancer models.^{2,36,44–46} As described, dietary-induced ketosis often leads to reduced appetite, decreased calorie consumption and decreased body weight, creating the possibility that the effects of ketone supplementation could be indirectly due to CR.²⁰ Interestingly, although CR decreased blood glucose and elevated blood ketones, CR mice exhibited a trend of increased latency to disease progression and increased survival that was not statistically significant from controls in our study (Figs. 2 and 3). As described, some data suggest that elevated ketones are responsible for much of the anticancer efficacy of the ketogenic diet.¹⁰ Perhaps elevating ketones with exogenous sources such as ketone supplementation or a ketogenic diet, rather than elevating ketones endogenously through lipolysis such as occurs with CR, provides a more effective anticancer strategy. Additionally, ketone supplementation may preserve lean muscle mass to a greater degree than CR, and may therefore support overall health of the organism in this way. Because CR mice demonstrated a trend of increased latency to disease progression and survival time, and body weight change was correlated to survival (Fig. 5), indirect CR likely contributed to the efficacy of ketone supplementation but does not account for the significant increase in survival time in ketone-fed mice. Additionally, although BD increased mean survival time by 51%, blood glucose was not significantly lower than in control animals, suggesting that decreased glucose availability was not the primary mechanism of efficacy (Figs. 3 and 4). KE treatment increased mean survival to a greater extent than BD (69% increase from control; Fig. 3). Notably, blood glucose and body weight were more decreased and ketones were more elevated in KE-treated animals, possibly owing to indirect CR, and perhaps account for the enhanced efficacy of KE compared to BD treatment (Fig. 4). *In vitro*, 5 mM β HBA supplementation

decreased proliferation rate and viability of VM-M3 cells even when added to 25 mM glucose media (Fig. 1). Indeed, ketone supplementation was as effective as glucose-restriction (low, 3 mM glu) treatment in decreasing VM-M3 cell proliferation and viability (Fig. 1). These data support the *in vitro* and *in vivo* conclusions of Fine et al. suggesting that ketone bodies can inhibit cancer progression independently of other factors such as carbohydrate restriction or CR.^{6,10–12}

Lisanti and coworkers have published reports that tumor-associated fibroblasts produce ketone bodies to fuel nearby cancer cells.^{47,48} In these reports, the authors coculture immortalized fibroblasts genetically altered to overexpress rate-limiting ketone production enzymes with breast cancer cells genetically altered to overexpress ketone utilization enzymes. Although this phenomenon may occur in the system created by the authors, there is no evidence that this situation would occur naturally *in vivo*. As described, the literature as a whole strongly suggests that cancer cells of various tissue types are unable to effectively use ketone bodies for fuel. Many cancers do not express the succinyl CoA 3-ketoacid CoA transferase (SCOT) enzyme that is necessary for ketone body utilization,⁴⁹ while several reports have demonstrated a notable deficiency of cancer cell ketone metabolism *in vitro*.^{12,21} It is also widely accepted that ketone production occurs nearly exclusively in the liver, and there is no known metabolic pathway by which fibroblasts could produce ketones from glucose. Without evidence to support this phenomenon in a natural cellular environment, we continue to accept the notion that most cancer cells cannot effectively metabolize ketones for energy.

The ketogenic diet has been shown to enhance the efficacy of both radiation and chemotherapy *in vivo*.^{4,50,51} As supplemental ketones mimic the physiological ketosis induced by the ketogenic diet, combining supplemental ketone therapy with standard of care could produce similar effects, even if administered with a SD. Furthermore, the neuroprotective effects of ketone metabolism have been widely documented.⁵² Ketone metabolism protects normal cells from oxidative damage by decreasing mitochondrial ROS production and enhancing endogenous antioxidant defenses.¹³ Radiation and chemotherapy work in large part by inducing ROS production in the tumor, but simultaneously incur damage to normal tissue. Ketone metabolism by healthy tissue would likely mitigate some of the adverse side effects of standard of care as ketones have been shown to protect against oxidative stress.^{13,34} Our data strongly suggest that supplemental ketone administration could provide a safe, feasible and cost-effective adjuvant to standard care that should be further investigated in preclinical and clinical settings.

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