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## **De novo mutations in schizophrenia implicate synaptic networks**

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## **Summary**

Inherited alleles account for most of the genetic risk for schizophrenia. However, new (*de novo*) mutations, in the form of large chromosomal copy number changes, occur in a small fraction of cases and disproportionally disrupt genes encoding postsynaptic proteins. Here, we show that small *de novo* mutations, affecting one or a few nucleotides, are overrepresented among glutamatergic postsynaptic proteins comprising activity-regulated cytoskeleton-associated protein

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**Author Contributions** The project was led in Cardiff by MCOD & MJO, in Mount Sinai by SMP & PS, at the Broad by SAMC & JLM, and at the Sanger by AP. HJW, JLM, KC, JSJ, DDB, MM & SAR were responsible for sample processing and data management. MF, HJW, PG, DMR, DHK, GK, ER & SD processed NGS data, annotated and validated mutations. LG, NC, IH, SD, HJW & SAR undertook validation of mutations and additional lab work. AJP, MF, DHK, SMP & PH co-ordinated/undertook the main bioinformatics/statistical analyses. ER, DMR, EB, PP, EH & PR performed additional analyses. SGG contributed additional insights into synaptic biology. Sample recruitment was led by GK and VM. The main findings were interpreted by MOD, MF, MJO, PH, GK, EMS, SAMC, DHK, AJP, AP, SMP, & PS who drafted the manuscript.

**Author Information** Data included in this manuscript have been deposited at dbGaP under accession number phs000687.v1.p1 and is available for download at [http://www.ncbi.nlm.nih.gov/projects/gap/cgibin/study.cgi?study\\_id=phs000687.v1.p1.](http://www.ncbi.nlm.nih.gov/projects/gap/cgibin/study.cgi?study_id=phs000687.v1.p1.) Reprints and permissions information is available at [www.nature.com/reprints.](http://www.nature.com/reprints) The authors declare no competing financial interests.

(ARC) and N-methyl-D-aspartate receptor (NMDAR) complexes. Mutations are additionally enriched in proteins that interact with these complexes to modulate synaptic strength, namely proteins regulating actin filament dynamics and those whose mRNAs are targets of fragile X mental retardation protein (FMRP). Genes affected by mutations in schizophrenia overlap those mutated in autism and intellectual disability, as do mutation-enriched synaptic pathways. Aligning our findings with a parallel case-control study, we demonstrate reproducible insights into aetiological mechanisms for schizophrenia and reveal pathophysiology shared with other neurodevelopmental disorders.

> Schizophrenia is a disorder whose pathophysiology is largely unknown. It has a lifetime risk of about 1%, is frequently chronic and socially disabling, and is associated with an average reduction in lifespan of about 25 years. High heritability points to a major role for transmitted genetic variants<sup>1</sup>. However, it is also associated with a marked reduction in fecundity<sup>2</sup>, leading to the hypothesis that alleles with large effects on risk might often occur *de novo* (mutations present in affected individual but not in either parent) to balance their elimination from the population by selection<sup>3</sup>.

> Of the known risk alleles for schizophrenia, the only ones definitively shown to confer considerable increments in risk are rare chromosomal copy number variants  $(CNVs)^{1,4}$ , which involve deletion or duplication of thousands of bases of DNA. As predicted by schizophrenia's association with decreased fecundity, these CNVs often occur *de novo* in the small proportion of cases in which they are found<sup>5</sup>. Exome sequencing technology now allows systematic scans of genes for *de novo* mutations at single-base rather than kilobase resolution. This approach has already implicated *de novo* loss-of-function (LoF) mutations in disorders in which, as in schizophrenia, *de novo* CNVs play a role, including autism spectrum disorder  $(ASD)^{6-9}$  and intellectual disability  $(ID)^{10,11}$ . In schizophrenia, the results from exome sequencing<sup>12–14</sup> do not yet support definitive conclusions, likely due to limited sample sizes.

> We report the largest exome sequencing study of *de novo* mutations in schizophrenia to date, based upon genomic (blood) DNA from 623 schizophrenia trios. The primary aims were fourfold (Table 1 a-d). The first two aims were to establish a general case for the relevance of *de novo* mutations in schizophrenia by determining if *de novo* mutations affecting protein sequences either occur in schizophrenia at higher than expected rates (Table 1a) or are enriched among sets of genes implicated in the disorder through other approaches (Table 1b). The remaining two aims, the main motivation for the study, were to determine whether *de novo* mutations implicate specific pathogenic biological processes in schizophrenia (Table 1c) and to investigate the relationship between schizophrenia and other neurodevelopmental disorders (Table 1d). To test for reproducibility, and ensure robustness of the findings to study design, we shared our findings with an independent case-control exome sequencing study<sup>15</sup>.

## **De novo mutation rates**

We generated sequence data for a median of 93% of targeted exome bases at a depth of  $>10$ reads, from which we generated putative *de novo* calls (ED Figures 1 and 2; Supplementary

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Text). Using Sanger sequencing, we validated 637 *de novo* coding or canonical splice site variants (Table S1) in 617 probands (6 trios were excluded after QC), a rate of 1.032 mutations per trio. These comprised 482 nonsynonymous mutations, of which 64 were LoF (nonsense, splice, and frameshift). The remaining 155 mutations were silent and were therefore excluded from enrichment analyses.

The exome point mutation rate in schizophrenia was, adjusting for target coverage,  $1.61\times10^{-8}$  per base per generation, compatible with the population expectation of  $1.64\times10^{-8}$ (Supplementary Text). The mutation rate (corrected for experimental confounders, Supplementary Text) was associated with increasing paternal  $(p=0.005)$  and maternal (p=0.0003) age at proband birth. Given the high correlation between the two, we could not confidently distinguish independent parental age effects (Supplementary Text). As expected<sup>16</sup>, most *de novo* mutations (79%) we could phase occurred on paternal chromosomes (Supplementary Text). The number of *de novos* per individual followed a Poisson distribution (ED Figure 3a) in line with previous studies of autism<sup>6</sup> and schizophrenia13. Nevertheless, LoF *de novo* mutations were more common in patients with relatively poor school performance  $(p=0.018; ED Figure 3b)$ , but none of the other variables tested – family history, age at onset, gender, or having a *de novo* CNV – were significantly associated with mutation rates.

Compared with 731 controls from published datasets (Table S2), probands did not have a significant elevation in the relative rates of nonsynonymous to silent mutations, or LoF to missense mutations (Table 1a, Table 2). No differences were observed between schizophrenia cases with or without *de novo* CNVs or between those stratified by common allele risk scores (ED Table 1a). Consistent with their higher LoF mutation rate, those with school grades below the median had significantly elevated LoF:missense ratios compared to both controls (p=0.02) and cases with higher school grades (p=0.0095) (ED Table 1b, ED Figure 3b). In the absence of an effect of age at onset (that might affect school performance), this suggests LoF mutations occur preferentially in (the large proportion of) schizophrenia cases that have premorbid cognitive impairment<sup>17</sup>. All probands attended and graduated from mainstream schools, which excluded people with significant degrees of ID; moreover, recruiting psychiatrists were explicitly instructed to exclude people with known ID. Thus, the enrichment of LoF mutations in those with the poorest scholastic attainment cannot be attributed to the inclusion of individuals with severe ID, although this does not preclude the presence of individuals with mild ID among cases with low educational achievement.

## **Mutations in schizophrenia gene sets**

Gene sets selected for independent evidence for relevance to schizophrenia showed enrichment (p<sub>corrected</sub>=0.0007) of nonsynonymous *de novos* (Table 1b), indicating that a proportion of mutations are pathogenic for schizophrenia. Specifically, genes were recurrent for *de novos* more than expected (Table 1b, ED Table 2). Genes affected by nonsynonymous *de novo* mutations were also enriched for inherited rare risk alleles (Table 1b), with excess transmission of rare nonsynonymous alleles from parents to the affected probands, as well as enrichment in cases of rare (MAF < 0.001) gene-disruptive mutations in an independent

case-control exome sequencing study15. One gene, *TAF13*, encoding a subunit of the TFIID transcription initiation complex, contains two rare LoF mutations. This recurrence is significant even after genome-wide correction (p=1×10<sup>-6</sup>; p<sub>corrected</sub>=0.024) (ED Table 2). Replication is necessary to firmly establish this as a susceptibility gene.

## **Mutations enriched in synaptic genes**

Previous studies have suggested that CNVs in people with schizophrenia preferentially affect broadly-defined sets of synaptic genes<sup>18,19</sup>. Moreover, a detailed analysis of *de novo* CNVs based on gene sets constructed from experimental proteomics led us to propose that this synaptic enrichment could be explained by mutations affecting proteins closely associated with the N-methyl-D-aspartate (NMDA) receptor, which we refer to as the NMDAR complex, and proteins that interact with ARC (activity-regulated cytoskeletonassociated protein), referred to as the ARC complex<sup>20</sup>. Our primary functional hypothesis in the present study was that genes encoding proteins in the ARC and NMDAR complexes would be disproportionately impacted by *de novo* SNV and indel mutations. We additionally postulated that brain-expressed genes that are repressed by fragile X mental retardation protein (FMRP)<sup>21</sup> would also be enriched for *de novo* mutations because these have been shown to be enriched for *de novo* mutations in ASD<sup>9</sup>. Moreover, FMRP targets include multiple members of the NMDAR and ARC complexes.

We observed experiment-wide significant enrichment for nonsynonymous mutations among the synaptic gene sets (Table 1c), as well as specifically for NMDAR and ARC complexes (Tables 1c and 3, ED Figure 4). NMDAR and ARC complexes are closely associated elements central to regulating synaptic strength at glutamatergic synapses and have been implicated in cognition. NMDA signaling triggers multiple processes required for inducing synaptic plasticity<sup>22</sup>, while ARC is involved in almost all known forms of synaptic plasticity including synaptic remodeling, the consolidation of changes in synaptic strength linked to memory and response to stress<sup>23–25</sup>, and regulating synapse elimination during development<sup>26</sup>, a process believed to be aberrant in schizophrenia<sup>27</sup>.

FMRP targets were also enriched for nonsynonymous *de novo* mutations (Table 1c), even after NMDAR, ARC, and the broader group of postsynaptic density (PSD) genes were removed (ED Table 3). Given that loss of FMRP results in widespread deficits in synaptic plasticity<sup>28</sup>, these findings again implicate pathogenic disruption of plasticity mechanisms in schizophrenia. Secondary analyses to dissect the FMRP enrichment by subdividing genes by gene ontology  $(GO)^{29}$  membership did not identify significant categories.

Support for the candidate hypotheses were replicable and robust to study design. In the schizophrenia case-control study<sup>15</sup>, rare (MAF < 0.001) LoF mutations were enriched in NMDAR (p=0.02), ARC (p=1×10<sup>-3</sup>), and FMRP target (p=0.003) sets. Across studies, LoF enrichments in the ARC complex were particularly striking -- 17 fold here (Table 3, ED Figure 4f) and 19 fold in the case-control study -- suggesting that disruption of ARC function has particularly strong effects on disease risk.

Aiming to identify hitherto unsuspected disease mechanisms, we undertook an hypothesisfree analysis based on the comprehensive GO annotations<sup>29</sup>. A single category (GO:

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0051017) was significantly enriched for nonsynonymous *de novo* mutations (p=6.6×10−6) after correction for all GO categories ( $p_{\text{corrected}}$ =0.032). Genes in GO:0051017, assembly of actin filament bundles, are intimately involved in synaptic plasticity, and are functionally interconnected with ARC and NMDAR signalling (see Supplementary Text). Even after removal of genes overlapping with ARC/NMDAR sets, GO:0051017 remained 8 fold enriched for mutations ( $p=0.0011$ ). Although not significant in the case-control dataset<sup>15</sup>, this category was significantly enriched for *de novo* CNVs in a study of ASD<sup>30</sup>. It also includes *KCTD13*, the gene responsible for some of the phenotypes associated with CNVs at 16p11.231, duplication of which is a risk factor for schizophrenia<sup>4</sup> . *KCTD13* also maps to a schizophrenia genome-wide significant SNP locus<sup>32</sup>.

## **Connectivity of mutated synaptic genes**

Seeking further insights into synaptic pathology, we identified interactions involving proteins with *de novo* mutations using a synaptic interactome database<sup>33</sup> (Supplementary Text). Proteins with nonsynonymous *de novos* had more connectivity than expected among each other (Figure 1a) and with synaptic proteins in general, suggesting a greater than average importance to the synapse. Directly interacting proteins with *de novos* are involved in multiple processes regulating synaptic plasticity, particularly NMDA, AMPA, and kainate receptor trafficking, and the regulation of actin dynamics. These interactions involve genes not present in our pre-assigned NMDAR/ARC and actin filament complexes (Supplementary Text). Though our analyses highlighted postsynaptic processes, some of the interacting synaptic proteins with de novo mutations are presynaptic (Figure 1a, Supplementary Text, and ED Figure 4a). Pre- and postsynaptic proteins are, however, closely functionally related; indeed, trans-synaptic effects of presynaptic proteins on the regulation of AMPA receptor trafficking and NMDAR-dependent plasticity have recently been described<sup>34</sup>.

We were unable to replicate a previous report of prenatal bias in brain expression for genes with schizophrenia *de novos*<sup>13</sup> using microarray or RNA-seq data (Supplementary Text, ED Table 4).

## **Overlaps between disorders**

CNV loci associated with schizophrenia overlap with those seen in ASD, ID, and  $ADHD<sup>1,4,35</sup>$ . However, since pathogenic CNVs typically span multiple genes and are concentrated in a relatively small fraction of the genome<sup>36</sup>, it is possible that this may not indicate cross-disorder effects at the level of specific genes. Therefore, we sought evidence for shared genetic aetiology between schizophrenia and both ID and  $ASD<sup>37</sup>$  by testing for overlap of genes affected by *de novo* mutations in schizophrenia, ASD, and ID.

Genes with *de novo* mutations in the current study overlapped those affected by *de novo*  mutations in  $ASD^{6-9}$  and ID<sup>10,11</sup> (Figure 1b, Table 1d, Table 4) but not controls (ED Table 5). Moreover, LoF mutations in schizophrenia were enriched even in the small subset of genes (N=7) with *recurrent* LoF *de novos* in ASD (p=0.0018) or ID (p=0.019), the mutations occurring in *SCN2A* (encoding an alpha subunit of voltage-gated sodium channels, a major mediator of neuronal firing and action potential propagation) and *POGZ* 

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(whose involvement in mitosis suggests a possible role in regulating neuronal proliferation<sup>38</sup>). *SCN2A* and *POGZ* are both now established ASD genes<sup>39</sup>. Other notable genes affected by LoF mutations in the present study for which there is prior support for LoF mutations in other neurodevelopmental disorders include *DLG2* and *SHANK1*  (Supplementary Text). Thus, we now show overlap between schizophrenia, ASD, and ID at the resolution not just of loci or even individual genes, but even of mutations with similar functional (LoF) impacts.

Further pointing to shared disease mechanisms, ARC/NMDAR complexes (Table 3) and FMRP targets (ED Table 3) were enriched for *de novo* mutations in ID, and NMDAR and FMRP targets were also enriched in ASD. However, we also find differences between the disorders. In general, enrichment statistics were stronger for ASD and ID than schizophrenia, particularly for LoF mutations (Table 2), despite the relatively small number of ID trios. Genes and mutation sites were most highly conserved in ID, then ASD, with schizophrenia least conserved (Supplementary Text, ED Table 6). These findings suggest highly disruptive mutations play a relatively lesser role in schizophrenia, and also that the disorders differ by severity of functional impairment, consistent with the hypothesis of an underlying dimension of neurodevelopmental pathology<sup>40</sup> indexed by cognitive impairment, with ID at one extreme.

That the most damaging mutations reflect a gradient of neurodevelopmental impairment is further supported by the observation that, within schizophrenia, the highest rate of LoF mutations (ED Figure 3b) occurred in individuals likely to have the greatest cognitive impairment (lowest scholastic attainment), as does the observation that the LoF genic overlap between schizophrenia and both autism and ID is dependent on the *de novo*  mutations (including *SCN2A* and *POGZ*) in those individuals (ED Table 1c). However, as noted above, the enrichment of LoF mutations in those with the poorest scholastic attainment cannot be attributed to the inclusion of individuals with severe ID. Moreover, when we exclude cases with low scholastic attainment, we still see significant enrichment of the synaptic pathways that are enriched in the full sample (Supplementary Text, ED Table 1c). Thus, our implication of synaptic protein complexes is not dependent on mutations present in a subset of cases with severely impaired cognitive function.

## **Discussion**

In the largest exome-sequencing-based study of *de novo* mutations in schizophrenia, we demonstrate a convergence of *de novo* mutations on multiply defined sets of functionally related proteins, pointing to the regulation of plasticity at glutamatergic synapses as a pathogenic mechanism in schizophrenia. How disruption of these synaptic mechanisms impacts brain function to produce psychopathology cannot be answered by genetic studies alone, but our identification of *de novo* mutations in these gene sets provides the basis to address this. Our findings of overlaps between the pathogenic mechanisms underlying schizophrenia and those in autism and ID lend support to recent, controversial, suggestions that our understanding of these disorders might better be advanced by research that integrates findings across multiple disorders and places more emphasis on domains of

psychopathology, e.g., cognition, and their neurobiological substrates rather than current diagnostic categories $40,41$ .

## **METHODS SUMMARY**

Parent proband trios (N=623), where the proband had a history of hospitalization for schizophrenia or schizoaffective disorder, were recruited from psychiatric hospitals in Bulgaria. Probands attended mainstream schools which excluded people with ID (intellectual disability); all graduated with a pass. Exome DNA was captured from genomic DNA (whole blood), using either Agilent or Nimblegen array-based capture, and subjected to paired-end sequencing on Illumina HiSeq sequencers. The BWA/Picard/GATK pipeline was used for sequence alignment and variant calling. Putative *de novo* mutations were identified using Plink/Seq ([http://atgu.mgh.harvard.edu/plinkseq\)](http://atgu.mgh.harvard.edu/plinkseq) and were validated using Sanger sequencing. We used Plink/Seq to annotate mutations according to RefSeq gene transcripts (UCSC Genome Browser,<http://genome.ucsc.edu>). Mutation rate was tested for association with clinical and other covariates using a generalized linear model. Rates of functional classes of mutations in probands were compared with those in published controls using Fisher's exact test. Mutations were tested for recurrence, enrichment in candidate gene sets, and enrichment in genes affected by *de novo* mutations in previous studies using *dnenrich* (Supplementary Text). *Dnenrich* calculates one-sided p-values under a binomial model of greater than expected hits using randomly placed mutations accounting for gene size, sequencing coverage, tri-nucleotide contexts, and functional effects of the observed mutations. Candidate gene sets and studies of neuropsychiatric disease are described in the main and Supplementary Text. Primary hypotheses (Table 1) were Bonferroni corrected for multiple testing.

Full Methods and associated references are available in the Supplementary Text.

## **Extended Data**



## Extended Data Figure 1. Comparison of sequencing metrics for putative *de novo* calls and **parental singletons**

Putative *de novo* calls (child heterozygous, both parents homozygous reference) were compared with variants observed in only a single parent ("singletons"), in terms of (a) depth of all reads at the variant site  $[DP = depth]$ , (b) fraction of reads with the alternate allele [AB = allele balance], (c) mapping quality of the reads at the site [MQ], (d) the likelihood of the heterozygous genotype [PL = Phred-scaled likelihood], and (e) the number of other samples

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in the present study with a non-reference allele at that site [AAC = alternate allele count]. Distributions were calculated for putative *de novo* variants (red), or grouped by sites of putatively recurrent *de novos* (orange) when relevant, transmitted singletons (green), and non-transmitted singletons (blue).



**Extended Data Figure 2. Metrics for** *de novo* **variants across cohorts and trios** a. Rates of recurrence of *de novo* mutations for tri-nucleotide sequences. For each of 96 possible tri-nucleotide base contexts of single-base mutations (accounting for strand

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symmetry by reverse complementarity), the number of observed *de novo* SNV is plotted (sorted by this count). Mutation counts are sub-divided into those not found in external data (red), those found in dbSNP (build 137, green), those found in controls in the parallel exome sequencing study<sup>15</sup> (cyan), and those found both in dbSNP and that study (purple) b. Comparison of on-target heterozygous SNV and indel call rate with putative *de novo*  mutation calls. For each proband, the number of heterozygous SNV and indel calls is compared with the number of putative *de novo* mutations (child heterozygous, both parents homozygous reference). Probands are colored by sequencing center (see Supplementary Text for differences in exome capture), and 6 trios are noticeable outliers from all others in terms of number of putative *de novos*.

c. Variation in sequencing coverage between and across trios and sequencing centers. For each trio, the number of bases covered by 10 reads or more for each member (marked by 'x') and the joint coverage<sup>9</sup> in all 3 members (marked by points) are plotted at corresponding horizontal points; trios are sorted in increasing order of joint coverage and colored by sequencing center (see Supplementary Text). The intersection of each exome capture with the RefSeq coding sequence is marked by respective dotted lines.

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## **Extended Data Figure 3.** *De novo* **mutation counts and rates**

a. The observed distribution of number of validated RefSeq-coding (see Supplementary Text) *de novo* mutations found for each trio (N=617) is compared with that expected from a Poisson distribution with a rate equal to the observed mean number of *de novos* (λ=1.032). b. Deleterious mutation rate inversely correlates with academic performance. Individuals were grouped according to their final school grade (3-6, corresponding to D, C, B, A in the US system,<http://www.fulbright.bg/en/p-Educational-System-of-Bulgaria-18/>), and the proportion of individuals with one or more *de novo* loss-of-function (LoF) mutations is

plotted. See Supplementary Text for details on linear regression performed to evaluate association; note that 19 samples were removed from this analysis for missing parental age or school grade information, leaving a total of 598 trios.

a





**Extended Data Figure 4. Enrichment of** *de novo* **SNVs, indels, and CNVs in genes encoding postsynpatic complexes at glutamatergic synapses**

a. Number of de novo mutations in postsynaptic complexes in current study (and genes affected) are shown alongside the most conservative estimate of *de novo* CNV enrichment from Kirov, et al.<sup>20</sup>. NS = nonsynonymous,  $LoF = loss-of-function$ . The NMDAR complex gene set was derived *a priori* from a published proteomics dataset<sup>42</sup>. To avoid investigator bias, we did not add additional members *post hoc*, thus omitting genes with *de novo*  mutations and important NMDAR functions; these include *GRIN2A*, which encodes a subunit of the NMDA receptor itself, and *AKAP9* which directly anchors protein complexes involved in signalling at NMDA receptors<sup>43</sup>.  $p<0.05$  are marked in bold. b. - g. 95% credible intervals (CI) for fold-enrichment statistics of *de novo* mutations in postsynaptic gene sets (corresponding to enrichments in a. above, and as marked) were calculated from the posterior distributions of fold-enrichment (observed-to-expected  $= O/E$ ) statistic values for individuals in this study. Point estimates of O/E are given in Table 3, and correspond to the distribution modes here. The 95% CI is marked by red vertical lines, and a null effect size (value of 1) is marked by a gray line. Note that LoF mutations in the large

PSD set are not significantly enriched, and thus the corresponding CI includes an effect size of 1. All posterior distributions were calculated using *dnenrich*, as described in the Supplementary Text.

> **Extended Data Table 1a Stratification of** *de novo* **mutations based on polygenic burden, presence of a 'pathogenic' CNV, or poor scholastic achievement**

Ratios of nonsynonymous to synonymous (NS:S) and loss-of-function to missense (LoF:missense) *de novo* mutations were compared (Fisher's exact test) between those found in individuals with a high polygenic score (top 50%) and those in the individuals in the bottom 50% of the polygenic score distribution (scores previously generated for this sample<sup>44</sup>). Individuals were additionally split based on the presence of a 'pathogenic' CNV. A 'pathogenic' CNV was defined as either a *de novo* CNV identified for these samples in Kirov, et al.<sup>20</sup>, or a CNV associated with psychiatric disease<sup>1</sup>. P-values were computed using Fisher's exact test as in Table 2.



## **Extended Data Table 1b**

Ratios of NS:S and LoF:missense *de novo* mutations were compared (Fisher's exact test) between schizophrenia probands with poor scholastic performance and 1) controls; 2) probands with high scholastic performance. Nominally significant results ( $p<0.05$ ) are marked in bold.





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Extended Data Table 1c **Extended Data Table 1c**

lowest scholastic achievement (a school grade of 3), or excluding those with a 'pathogenic' CNV (see above) or a polygenic score in the top 50% of the lowest scholastic achievement (a school grade of 3), or excluding those with a 'pathogenic' CNV (see above) or a polygenic score in the top 50% of the distribution (see above). These secondary exclusion analyses were performed on those gene sets identified as significant in the analyses of the full set. distribution (see above). These secondary exclusion analyses were performed on those gene sets identified as significant in the analyses of the full set. Enrichment of de novo mutations (as calculated by dnenrich, see Supplementary Text) including all individuals, after excluding individuals with the Enrichment of *de novo* mutations (as calculated by *dnenrich*, see Supplementary Text) including all individuals, after excluding individuals with the p<0.05 are marked in bold. p<0.05 are marked in bold.



## **Extended Data Table 2 Genes overlapped by two nonsynonymous** *de novo*  **mutations in schizophrenia probands**

Genes hit by nonsynonymous (NS) mutations in two different probands with schizophrenia (N=18) are listed, with the expected functional impact of those mutations and the nominal pvalue for genic recurrence (calculated by *dnenrich*); for the single instance of two loss-offunction (LoF) alleles in a single gene (*TAF13*), the p-value for LoF recurrence is given in parentheses; this is bolded since it is significant after Bonferroni correction for multiple testing of all genes (see Supplementary Text). Also shown are the case/control counts from the parallel exome sequencing study<sup>15</sup> and the corresponding nominal p-value for association with schizophrenia.



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## Extended Data Table 3 **Extended Data Table 3**

Enrichment of de novo mutations in genes targeted by FMRP and conditional analysis of enrichment in postsynaptic density complexes. Enrichment was Enrichment of *de novo* mutations in genes targeted by FMRP and conditional analysis of enrichment in postsynaptic density complexes. Enrichment was tested using *dnenrich* (Supplementary Text). Columns are as in Table 2, and p<0.05 are marked in bold. tested using *dnenrich* (Supplementary Text). Columns are as in Table 2, and p<0.05 are marked in bold.



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Extended Data Table 4a **Extended Data Table 4a**

# Brain expression biases of genes impacted by de novo mutations **Brain expression biases of genes impacted by** *de novo* **mutations**

Number and significance of overlap of mutations in schizophrenia, autism, and intellectual disability in genes with no brain expression bias, or with a Number and significance of overlap of mutations in schizophrenia, autism, and intellectual disability in genes with no brain expression bias, or with a Enrichment of *de novo* mutations (as calculated by *dnenrich*, see Supplementary Text) falling in genes with pre- or postnatal brain expression bias. Enrichment of de novo mutations (as calculated by dnenrich, see Supplementary Text) falling in genes with pre- or postnatal brain expression bias. preor postnatal expression bias in the brain, based on HBT data as used in Xu, et al.<sup>13</sup> (see Supplementary Text), for two brain regions; HPC = preor postnatal expression bias in the brain, based on HBT data as used in Xu, et al.<sup>13</sup> (see Supplementary Text), for two brain regions; HPC = hippocampus, PFC = prefrontal cortex. Columns are as in Table 2, and  $p<0.05$  are marked in bold. hippocampus, PFC = prefrontal cortex. Columns are as in Table 2, and p<0.05 are marked in bold.



## Extended Data Table 4b **Extended Data Table 4b**

trajectory. Number and significance of overlap of mutations in schizophrenia, autism, and intellectual disability in genes not expressed in the brain, highly trajectory. Number and significance of overlap of mutations in schizophrenia, autism, and intellectual disability in genes not expressed in the brain, highly Emichment of de novo mutations (as calculated by dnenrich, see Supplementary Text) based on RNA-seq-based brain expression and developmental Enrichment of *de novo* mutations (as calculated by *dnenrich*, see Supplementary Text) based on RNA-seq-based brain expression and developmental expressed in the brain, or with a pre- or postnatal expression bias in the brain (rows), based on BrainSpan RNA-seq data (see Supplementary Text). expressed in the brain, or with a pre- or postnatal expression bias in the brain (rows), based on BrainSpan RNA-seq data (see Supplementary Text). Columns are as in Table 2, and p<0.05 are marked in bold. Columns are as in Table 2, and p<0.05 are marked in bold.



## Comparison of genes hit by de novo mutations between this study and other disease studies and control individuals **Comparison of genes hit by** *de novo* **mutations between this study and other disease studies and control individuals** Extended Data Table 5 **Extended Data Table 5**

dnenrich, see Supplementary Text) in the set of genes hit by de novos in the study listed in the corresponding row. For example, the first two rows detail *dnenrich*, see Supplementary Text) in the set of genes hit by *de novos* in the study listed in the corresponding row. For example, the first two rows detail Each set of columns gives the number of mutations (either nonsynonymous (NS) or loss-of-function (LoF)) and enrichment p-value (as calculated by Each set of columns gives the number of mutations (either nonsynonymous (NS) or loss-of-function (LoF)) and enrichment p-value (as calculated by the significance of the overlap of the mutations from other studies of disease hitting the genes hit by mutations in this study. Nominally significant pthe significance of the overlap of the mutations from other studies of disease hitting the genes hit by mutations in this study. Nominally significant pvalues ( $<$ 0.05) are marked in bold. Disease sets and functional classes are as listed in Table 2. values (<0.05) are marked in bold. Disease sets and functional classes are as listed in Table 2.

	Mutations (N)			Current study				${\rm SZ}$ (Gulsuner) $^{14}$					$\mathbf{SZ}$ $(\mathbf{Xu})^{13}$				$_{\rm ASD}$ 6-9				$\mathbbm{D}^{10,11}$			$\rm{Cent} \rm{v}\rm{d}s\rm{7\text{-}10,13\text{-}14}$	
			NS (482)		LoF(64)		NS(68)		$\operatorname{LoF}\left( 12\right)$		NS (137)		$\text{LoF}\left(20\right)$		NS (789)		LoF(134)		NS (141)		LoF $(34)$		NS (434)		LoF $(49)$
Gene set			$p$ # mut	e,	$#$ mut	$\sim$	$#$ mut	$\mathbf{r}$	# $mut$	$\sim$	$#$ mut	$\mathbf{a}$	$#$ mut	$\sim$	$#$ mut	e,	# mut	$\overline{\phantom{a}}$	$#$ mut	$\mathbf{r}$	$#$ mut	$\mathbf{r}$	$#$ mut	$\mathbf{a}$	$\#$ mut
Current study	NS (464)					0.16	G	0.03	S	0.85	4	0.29		0.016	55	0.0066	51	0.044	$\mathbf{r}$	0.26	en,	0.61	$\overline{z}$	0.72	$\sim$
	$\operatorname{Lof}(63)$					0.014	en,	0.088			$\circ$		$\circ$	0.0023	4	0.00012	r,	0.019	4	0.002	en,		$\circ$		$\circ$
${\rm SZ}$ (Gulsuner) $^{14}$	${\rm NS}\, (67)$	0.22	G	0.021	$\tilde{\phantom{a}}$					0.31	$\mathfrak{a}$	0.16		0.47	r,	$\overline{0}$	$\ddot{\phantom{0}}$	0.67			$\circ$	0.48	4		$\circ$
	$\operatorname{LoF}\left( 12\right)$	0.051	m	$\overline{0}$ .11						0.21			$\circ$	0.15	$\epsilon$	0.21		0.21			$\circ$		$\circ$		$\circ$
$\mathbb{S}\mathbb{Z}$ $(\mathbb{X}\mathbf{u})^{13}$	NS (136)	0.79	n		$\circ$	0.25	$\mathfrak{a}$	0.15						0.083	$\geq$		۰	0.13	4	0.012	$\tilde{\phantom{a}}$	0.081	$\approx$	0.49	
	$\rm{LoF}\left( 20\right)$	0.24	$\mathbf{c}$		$\circ$	0.13			$\circ$						$\circ$		$\circ$	0.0026	$\epsilon$	4.00E-05	m	0.21	$\mathbf{c}$		$\circ$
$\mathrm{ASD}^{6-9}$	NS (743)	0.14	45	0.023	$\circ$	0.49	G	0.13	m	0.32	$\overline{1}$		۰					$6.00E-0.5$	24	$2.00E-05$	$\overline{a}$	0.26	38	0.64	
	LoF(128)	0.015	$\equiv$	0.00072		$\overline{0}$	$\mathbf{c}$	0.17			$\circ$		0					$2.00E-0.5$	$\infty$	$2.00E-05$	S	0.36	∞	$0.52\,$	
$\mathbbm{D}^{10,11}$	NS (132)	0.032	$\circ$	0.031		0.56		0.14		0.14	$\mathfrak{g}$	0.01	$\overline{a}$	$2.00E-05$	$\overline{24}$	$2.00E-0.5$						0.37	F	0.46	
	LoF(30)	0.27		0.019			$\circ$		$\circ$	0.046		0.0062		$2.00E-05$	$\overline{15}$	$2.00E-05$	s						$\circ$		$\circ$
$Convols7-10,13-14$ NS (424)		0.59	$\overline{z}$		$\circ$	0.41	₹		$\circ$	0.15	$\circ$	0.26	$\mathbf{c}$	0.062	4	0.31	$\infty$	0.48							
	$\text{LoF}\left(49\right)$	0.6	$\mathfrak{a}$		$\circ$		$\circ$		$\circ$	0.44			$\circ$	0.42	4	0.45		0.45							

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## **Extended Data Table 6a Mammalian conservation at** *de novo* **mutation sites and of genes hit by** *de novo* **SNVs**

Mann-Whitney rank test of the Genomic Evolutionary Rate Profiling (GERP) score (see Supplementary Text) distributions of nonsynonymous (NS) *de novo* mutations between pairs of phenotypes, with significant pairwise comparisons  $(p<0.05)$  in bold.



### **Extended Data Table 6b**

Mann-Whitney rank test of the median GERP scores of genes (see Supplementary Text) hit by nonsynonymous *de novo* mutations between pairs of phenotypes, with significant comparisons  $(p<0.05)$  in bold.



## **Extended Data Table 6c**

Linear modeling of variant GERP and per-gene GERP was employed to test whether the differences observed in (a) were driven by those observed in (b). The coefficients and pvalue of the variant GERP score (from the joint linear models) are shown, where, for example, "ID > Current study" indicates a test of whether the conservation at sites of *de novo* mutations in ID is greater than that of mutations in SZ (from the current study), after correcting for the fact that the mutations in ID hit genes with greater overall conservation (b).  $p<0.05$  are marked in bold.



Logistic regression model for  $(X > Y)$ : type ~ gene\_gerp + variant\_gerp

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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## **Figure 1.** *De novo* **mutations from schizophrenia affect genes in the synapse and genes impacted in other neuropsychiatric diseases**

a. Synaptic protein-protein interactions between proteins affected by nonsynonymous *de novo* mutations in schizophrenia. Interactions were retrieved from the expert-curated lists in the SynSysNet database (<http://bioinformatics.charite.de/synsysnet/>) and plotted to show their general pre/postsynaptic localization. Genes belonging to various functional sets are as marked, and the 4 genes with LoF mutations are noted with a red outline. Proteins with nonsynonymous *de novos* had more than expected direct interconnections (p=0.008), which was consistent with more overall connectivity to synaptic proteins as a whole (p=0.005). b. Overlap of genes bearing nonsynonymous (NS) *de novo* mutations in schizophrenia, autism, and intellectual disability. Overlaps of 6 or fewer genes are listed by name. See Extended Data Table 5 for statistical significance of these overlaps; see Table 2 and text for disease sets.

## **Table 1 Summary results for primary hypotheses**

Hypotheses are grouped into four broad categories (a-d). Each is comprised of sub-tests from which we derive global evidence for the broad category (see Supplementary Text). For category a) the broad p-value was generated using Fisher's exact method on the missense, silent, and loss-of-function (LoF) mutation counts. Pvalues for category b) were generated using Fisher's combined probability test to combine the sub-tests. For c) and d) we combined all genes from each sub-test into a single geneset and evaluated enrichment using *dnenrich* (see main and Supplementary Text). For categories  $b - d$ , we separately evaluated two classes of mutation, nonsynonymous (NS) and LoF, making 7 tests in total. Corrected p-values for the broad categories are adjusted by Bonferroni correction for 7 tests. P-values <0.05 (corrected for broad category tests, uncorrected for sub-tests) in bold.



## **Table 2 Ratios of functional classes of** *de novo* **mutations across various samples**

Classes of *de novo* mutation in the present study, previous studies of schizophrenia (Gulsuner<sup>14</sup> and Xu<sup>13</sup>), and in all studies of schizophrenia combined (SZ (ALL)), which includes this study and an additional small study<sup>12</sup>. ASD = Autism Spectrum Disorder, ID = Intellectual Disability. Controls are unaffected individuals or unaffected siblings of probands with ASD or SZ. To control for factors that influence estimates of absolute rates (sequencing depth, calling, parental age, etc.), we tested for differences between the ratios of classes of *de novo* mutations (nonsynonymous to silent, loss-of-function to missense) in the disorder groups and the controls, using Fisher's exact test. Nominally significant p-values ( $\langle 0.05 \rangle$  are bold. NS = nonsynonymous, S = synonymous (silent),  $LoF = loss-of-function$ .



## **Table 3**

# Enrichment of de novo mutations in postsynaptic protein complexes **Enrichment of** *de novo* **mutations in postsynaptic protein complexes**

Statistical significance for emichment of de novo mutations in glutamatergic postsynaptic gene sets<sup>20</sup>. Nominally significant p-values (<0.05), as calculated by dnenrich (see Supplementary Text), are marked Statistical significance for enrichment of *de novo* mutations in glutamatergic postsynaptic gene sets20. Nominally significant p-values (<0.05), as calculated by *dnenrich* (see Supplementary Text), are marked in bold. # mut = mutation counts in each set. O/E = observed-to-expected ratio of mutational hits (fold-enrichment statistic) calculated by dnenrich. Samples and classes of mutations are as Table 2. Total in bold. # mut = mutation counts in each set. O/E = observed-to-expected ratio of mutational hits (fold-enrichment statistic) calculated by *dnenrich*. Samples and classes of mutations are as Table 2. Total numbers of mutations for each class in each sample are given in parentheses. Additional details for the current study, including genes and 95% credible intervals (CI) for the O/E statistics, are given in numbers of mutations for each class in each sample are given in parentheses. Additional details for the current study, including genes and 95% credible intervals (CI) for the O/E statistics, are given in Extended Data Figure 4. Extended Data Figure 4.



### **Table 4**

## **Overlap between genes hit by** *de novo* **mutations in this study and other phenotypes**

Number of mutations (# mut) in present study in gene sets derived from previous studies. P-values are calculated by *dnenrich* for enrichment of mutations in the gene sets from previous studies (see Supplementary Text). Nominally significant p-values (<0.05) are in bold. Disease sets and mutation classes are as Table 2. Additional comparisons are given in Extended Data Table 5.

