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## GWAS identifies four novel eosinophilic esophagitis loci

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### Abstract

Eosinophilic esophagitis (EoE) is an allergic disorder characterized by infiltration of the esophagus with eosinophils. We had previously reported association of the *TSLP/WDR36* locus with EoE. Here we report genome-wide significant associations at four additional loci; *c11orf30* and *STAT6*, which have been previously associated with both atopic and autoimmune disease, and two EoE-specific loci, *ANKRD27* that regulates the trafficking of melanogenic enzymes to epidermal melanocytes and *CAPN14*, that encodes a calpain whose expression is highly enriched in the esophagus. The identification of five EoE loci, not only expands our etiological understanding of the disease but may also represent new therapeutic targets to treat the most debilitating aspect of EoE, esophageal inflammation and remodeling.

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Author contribution statement:

PMAS analyzed the data; MLW provided cell lines; HH, PMAS designed the experiments and wrote the paper; AC, SA, NG, KN, AJB, GTF, JMS provided samples and carried out phenotyping. All authors reviewed the manuscript.

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## Introduction

Eosinophilic esophagitis (EoE) is an inflammatory disorder of the esophagus histologically characterized by accumulation of eosinophils in the esophageal epithelium. Clinical symptoms of EoE include dysphagia, failure to thrive, vomiting and epigastric or chest pain. A diagnosis of EoE is made following endoscopy and biopsy upon finding isolated eosinophils in the esophagus having ruled out gastroesophageal reflux<sup>1</sup>. Multiple reports indicate a gender bias, with males predominantly affected<sup>2</sup>. The rate of co-existing atopic disease in other organs is high, with up to 70% of subjects presenting with asthma or atopic dermatitis<sup>2</sup>. EoE is considered a food allergy-related disorder based on the high rate of food allergen sensitization and a higher rate of food anaphylaxis in cases compared with the general population<sup>1, 3</sup>. Furthermore, the majority of EoE cases undergo disease remission following introduction of an elemental formula diet that lacks allergens. Experimental modeling of EoE in mice has demonstrated a key role for adaptive immunity and Th2-cell cytokines (especially IL-5 and IL-13) in the disease process and a strong connection between allergic sensitization and inflammation in the respiratory tract and skin<sup>4</sup>. The stringent diagnostic criteria for EoE, that include biopsy proven eosinophilic infiltration of the esophagus, result in a phenotypically homogenous case series that is well powered for GWAS and a potentially powerful model to study the genetics of food allergy and atopy in general.

Increasing evidence suggests a strong genetic component to EoE<sup>5</sup>. In a pediatric study, nearly 10% of parents of EoE patients had a history of esophageal strictures and ~8% had biopsy proven EoE<sup>5, 6</sup>. However, there has only been one replicated locus identified to date. Using a GWAS approach, we have previously reported genome wide association of multiple variants at the thymic stromal lymphopoietin (*TSLP*) locus in a cohort of EoE patients<sup>7</sup>. Here we report the results of an expanded GWAS totaling 936 cases and 4312 controls in an imputed dataset that included ~2.3M variants, identifying four novel EoE associated loci.

## Results

### EoE GWAS

The dataset was split into discovery and replication sets based on the Illumina arrays on which the samples were genotyped (HH550/HH610 or OmniExpress). Following GWAS of the discovery cohort (n= 603 cases and 3637 controls) by logistic regression of the binary EoE phenotype adjusting for sex and the first 10 eigenvectors of the principal component analysis, five loci remained genome wide significant (cutoff  $P = 5 \times 10^{-8}$ ) following multiple testing correction (Figure 1). The same variants at the *TSLP*, *c11orf30* and *CAPN14* loci were also associated with EoE in the replication cohort (n= 333 cases 675 controls). The genome-wide significant variants mapped to the previously reported *TSLP* locus<sup>7</sup> (top SNP discovery cohort rs1438673;  $P = 1.74 \times 10^{-12}$ , OR 0.62;  $P$  replication  $3.84 \times 10^{-3}$ , OR replication 0.792;  $P$  combined  $1.5 \times 10^{-13}$ , OR 0.67; Supplementary Table 1, Supplementary Figure 2) a novel locus on chr11q13.5 that contains the *c11orf30* gene (top SNP rs55646091;  $P$  discovery  $5.83 \times 10^{-10}$ , OR 2.21;  $P$  replication  $4.33 \times 10^{-3}$ , OR replication 1.584;  $P$  combined  $7.67 \times 10^{-11}$ , OR 2.41; Supplementary Table 1), and a novel locus on chr2p23.1 that spans the *CAPN14* gene (top SNP rs74732520;  $P$  discovery  $1.69 \times 10^{-8}$ , OR

1.78; *P* replication  $5.86 \times 10^{-3}$ , OR replication 1.56; *P* combined  $4.16 \times 10^{-9}$ , OR 1.91; Supplementary Table 1, Supplementary Figure 4). Two further novel loci surpassed genome-wide significance in the discovery cohort that we were not sufficiently powered to replicate, a locus on chr12q13.3 that spans the *STAT6* gene (top SNP rs167769, *P* discovery  $2.29 \times 10^{-8}$ , OR 1.49; Supplementary Figure 5) and a locus on chr19q13.11 spanning the *ANKRD27* gene (top SNP rs3815700, *P* discovery  $4.54 \times 10^{-12}$ , OR 1.65; Supplementary Figure 6). Meta-analysis of the discovery and replication cohorts did not identify any additional genome-wide significant loci, however, a sixth intergenic locus upstream of *NOVA1* at chr14q12 showed a trend towards association (top SNP rs8008716, *P* combined  $6.9 \times 10^{-8}$ , OR 1.71; *P* discovery  $2.07 \times 10^{-6}$ , OR 1.45; *P* replication  $2.2 \times 10^{-3}$ , OR 1.57). To determine if the *c11orf30* and *STAT6* signals were driven by the high rates of EoE comorbidities we carried out conditional analyses at the two loci, including asthma, atopic dermatitis and allergic rhinitis status as a covariate for the *c11orf30* locus and sensitization as a covariate at the *STAT6* locus in a subset of 265 cases for which we had individual level comorbidity data. Residual association with EoE was detected at both loci following the conditional analyses (Supplementary Table 2).

The LD patterns between the associated variants at the *c11orf30* locus indicated the presence of independent effects (Supplementary Figure 3). Conditional analyses in the discovery cohort on the top SNP, rs55646091, confirmed the existence of an independent effect, tagged by the rs11236791 variant, at the locus (Supplementary Table 3).

### Esophageal biopsy transcriptome sequencing

RNAseq of primary epithelial cells derived from esophageal biopsy of 9 EoE patients and 3 controls confirmed expression of *TSLP*, *c11orf30*, *CAPN14*, *STAT6*, *ANKRD27* and *NOVA1* in esophageal epithelial cells. We detected expression of 12,407 genes out of an estimated 21,000<sup>8</sup>. Examining differential expression between cases and controls *CAPN14* expression was almost 4 fold increased in EoE cases compared to controls (cases FPKM 9.82807, control FPKM 0.630785;  $\log_2(\text{fold change})$  3.96169; *P*  $5 \times 10^{-5}$ ; Supplementary Table 4). The remaining four genes showed subtle, albeit not statistically significant expression level changes. Examining other genes at the association loci, expression of both *WDR36* and *GALNT14* was detected but without any significant differences in cases and controls. *LRRC32* was not expressed at appreciable levels (Supplementary Table 4).

Pathway analysis of the differentially expressed genes in cases and controls from the transcriptome sequencing experiment indicated an enrichment of cell cycle-related GO-terms amongst genes whose expression was decreased in cases vs controls and an enrichment of epidermis and epithelial cell development and differentiation GO-terms in the list of gene whose expression increased in cases vs controls (Supplementary Table 5).

### Discussion

Since our initial report of association of *TSLP* variants with EoE in under 200 patients, *TSLP* has been associated with allergic sensitization<sup>9, 10</sup>, asthma<sup>11, 12</sup> and allergic rhinitis<sup>13</sup> in GWAS that required thousands of cases to achieve significance. Variants at the *c11orf30* locus have been associated with seasonal allergic rhinitis<sup>13</sup>, ulcerative colitis<sup>14</sup>, Crohn's

disease<sup>15</sup>, atopic dermatitis<sup>16, 17</sup>, asthma<sup>18</sup> and allergic sensitization<sup>10</sup>, albeit with much lower odds ratios (range 1.09 in asthma to 1.22 in atopic dermatitis). Asthma, atopic dermatitis and allergic rhinitis are common comorbidities of EoE we therefore carried out a conditional analysis on asthma, atopic dermatitis and allergic rhinitis status in the EoE cases demonstrating that the observed *c11orf30* association with EoE was independent of comorbidity status. The *c11orf30* gene encodes, EMSY, a transcriptional regulator that was initially identified as a BRCA-2-associated protein that is amplified in human mammary adenocarcinomas<sup>19</sup>. More recently, EMSY has been identified as a central component in a novel Akt-dependent mechanism by which IFN and other growth factors regulate the expression of interferon-stimulated genes (ISGs)<sup>20</sup>. STAT6 is a key player in the IL4 pathway. STAT6 when activated by IL-4, through its receptor IL-4R, controls the expression of GATA3, the Th2 master regulatory transcription factor, as well as the Ii4 locus control region<sup>21</sup>. *STAT6* has been associated with serum IgE levels<sup>22</sup> and allergic sensitization<sup>10</sup>, through GWAS. Conditional analysis at the *STAT6* locus on sensitization status indicated the observed association with EoE was independent of sensitization. In addition to TSLP and the *c11orf30* and *STAT6* loci which have previously been associated with allergic / inflammatory conditions by GWAS we identified two loci that appear to be EoE specific. The chr19 locus which spans three genes, *ANKRD27*, *PDCD5* and *RGS9BP* and a locus at chr2p23.1 that spans the *CAPN14* gene. *CAPN14* has recently been reported to be associated with EoE following a meta analysis of 736 samples<sup>23</sup>. The same study also reported associations at two additional loci at XKR6 and an intergenic region on 15q13, neither of these loci showed any evidence of association in our study (XKR6 rs2898261 *P* 0.663; 15q13 rs8041227 *P* 0.5686).

Of the three genes at the chr19 locus, *ANKRD27* (also referred to as Varp), appears to be the most likely candidate, it has been shown to regulate the trafficking of melanogenic enzymes to epidermal melanocytes<sup>24</sup>, interestingly, discoloration of the esophagus has recently been reported in 90% of EoE patients<sup>25</sup>. *ANKRD27* has also recently been shown to act as a kinetic inhibitor of SNARE complex formations involving VAMP7<sup>26</sup>, which is involved in apical transport in epithelial cells<sup>27</sup> and wound healing<sup>28</sup>.

*CAPN14* is a member of the calpain family. Calpains are a family of intracellular Ca<sup>2+</sup>-regulated cysteine proteases that have been shown to function in diverse biological processes including the cell cycle, platelet aggregation, and myoblast fusion through proteolytic cleavage of their substrates. Calpains include both ubiquitous and tissue-specific members<sup>29</sup>, *CAPN14* shows highly specific expression, initial publications did not detect expression in any tissues tested<sup>30</sup>, however, the test panels used appear to have not included esophagus. Data from the GTEx project<sup>31</sup> and The Human Protein Atlas<sup>32</sup> both indicate that *CAPN14* expression is limited to the esophageal mucosa (Figure 2). Phylogenetically, *CAPN14* is most closely related to calpain 13 and both are divergent from the remainder of the protein family. A recent evolutionary study of the calpain family indicates that *CAPN14* has undergone persistent functional divergence during evolution<sup>33</sup>.

The tissue specificity of calpains can result in tissue-specific disease phenotypes<sup>34</sup>, mutations in *CAPN3*, a muscle-specific large subunit<sup>35</sup>, result in limb-girdle muscular dystrophy, type 2A (LGMD2A)<sup>36</sup>. The expression of both *CAPN8* and *CAPN9* is

predominantly restricted to the gastric surface mucus (pit) cells in the stomach. Neither gene has yet been implicated in human disease, however, mouse knock out models are susceptible to ethanol induced gastric mucosal injury, implicating both in gastric mucosal defense from external stressors<sup>37</sup>.

Not only does *CAPN14* appear to be expressed exclusively in the esophagus, our results also indicate *CAPN14* is overexpressed in EoE esophageal epithelial cells compared with controls, consistent with a gain of function. Similar results have also recently been published showing upregulation of CAPN14 in primary epithelial cells from EoE biopsies and organotypic cultures after IL-13 stimulation<sup>23</sup>. CAPN14 has previously been implicated in allergy and inflammation, it has been shown to be unregulated by IL-4 stimulation<sup>38</sup>. In a recent study of an asthma mouse model, inhibition of calpain by calpeptin resulted in a marked improvement of the asthma phenotype, reversing airway hyper-responsiveness, reducing airway inflammation, bronchoalveolar lavage (BAL) fluid eosinophilia, sub-epithelial fibrosis and the inflammatory cytokine profile, including IL-4, IL-5, IL-13, transforming growth factor (TGF)- $\beta$ 1 and ova-specific immunoglobulin E<sup>39</sup>. Inhibition of CAPN14 activity may therefore constitute a potential therapy for the most debilitating aspect of EoE, esophageal inflammation and remodeling.

## Methods

### Samples

The EoE discovery cohort consisted of 603 clinically confirmed EoE patients of European ancestry and 3637 matched controls. 529 samples were collected from 5 US sites, including CHOP, UCSD, Northwestern, Stanford and UCSM, the mean age of these cases was 8.75 years. A further 74 samples were collected from AMC, mean age was 39.9. The replication cohort consisted of 333 cases and 675 controls of European ancestry. The mean age of the replication cohort cases was 8.4 SD years. All cases were biopsy proven with an eosinophils/hpf (400X) count of  $\geq 24$  on proton pump inhibitor (PPI) therapy for at least 8 weeks. The majority of EoE subjects in both discovery and replication cohorts were male making up 73% in the discovery cohort and 75% in the replication cohort. Moreover, 70% of the discovery cohort and 72% of the replication cohort had asthma, allergic rhinitis or atopic dermatitis. The study was approved by the Institutional Review Board (IRB) of the Children's Hospital of Philadelphia (CHOP). Written informed consent for participation in the study was obtained from all participants and their parents or guardians.

### Genotyping

The discovery samples were genotyped on either the Illumina HumanHap550, HH610 and the replication samples were genotyped on the Illumina HumanOmni Express-12v1 arrays at the Center for Applied Genomics at CHOP.

Standard quality control parameters were applied to the dataset, samples with chip-wide genotyping failure rate  $< 5\%$  were excluded. SNPs with minor allele frequencies of  $< 1\%$ , genotyping failure rates of greater than 2% and Hardy-Weinberg P-Values less than  $1 \times 10^{-6}$  were excluded from further analysis.

Genetic ancestry was determined by computing principal components on the dataset using smartpca, a part of the EIGENSTRAT package, on 100,000 random autosomal SNPs in linkage equilibrium. Samples were clustered into 4 Continental ancestry groups (Caucasian, African including admixed African-American, Asian and native American / admixed Hispanic) by K-means clustering using the kmeans package in R.

### Population stratification

smartPCA eigenvectors were included as covariates in a logistic regression to control for population stratification as required. To determine the genomic inflation for each case control set, we carried out an association analysis on the genotype data using plink prior to imputation. If genomic inflation exceeded 1.03, principal components were included as covariates in the post-imputation GWAS.

### Duplicate samples and cryptic relatedness

pairwise IBD values were generated for all samples using the plink genome command. IBD was performed independently on the samples of Caucasians ancestry and African ancestry. A random sample from any pair with a PI\_HAT value exceeding 0.3 was excluded from further analysis.

### Imputation

Imputation of untyped markers (~39M) was carried out using IMPUTE2 after prephasing with Shapeit. Each chromosome was prephased separately. To prevent chip-based batch effects due to differences in variant densities, each chip type was prephased and imputed separately. Reference phased cosmopolitan haplotypes and recombination rates were obtained from the 1000 genomes project (1000 Genomes Phase I integrated variant set b37 March 2012 release). Imputation was carried out in 5Mb intervals using an effective population size of 20000 as recommended. As a measure of the overall imputation accuracy we compared the concordance between the imputed and known genotypes in the subset of SNPs for which genotyping data was available. At a call threshold of 0.9, over 99% of the imputed genotypes were called and over 96% of those were concordant with the known genotypes.

### Post-imputation association analysis

Statistical tests for association were carried out using the SNPTESTv2 package. Single marker analyses for the genome-wide data were carried out using linear regression taking genotype uncertainty introduced by the imputation into account. Call threshold was set at 0.9. SNPs with an info score below 0.8 were excluded from further analysis; the score is a measure of the observed information for the estimate of the allele frequencies at each imputed SNP which is obtained by splitting the data into two components, observed and missing, the observed data likelihood is then integrated over the missing data. Combined *P*-values across the individual data sets were generated using both fixed-effect and random-effect meta-analyses as implemented in the metal package for the fixed effects and the RE2 model in the METASOFT package for the random effects.

## Transcriptome sequencing

mRNA libraries were constructed from primary esophageal epithelial cells derived from 9 cases (55% male and 44% female; mean age 11.6) and 3 controls (33% male and 66% female; mean age 12.1) using the Illumina TruSeq RNA Sample Preparation Kit v2, according to the manufacturer's instructions with 12 unique indexed adapters. Libraries were sequenced on an Illumina HiSeq 2000, generating 7.5 Gb 100bp paired-end reads per sample. Transcripts were assembled, transcript abundances estimated and tested for differential expression between cases and controls using the cufflinks package.

## Pathway analysis

Differentially expressed genes from the transcriptome sequencing experiment were separated into two lists of up or down regulated genes in the cases vs controls. Inclusion criteria included a statistically significant differential expression test ( $P$  range  $5 \times 10^{-5}$  - 0.0019) and a minimum two  $\log_2$  fold-change. Enrichment of KEGG pathways, Gene Ontology (GO) terms and Functional categories (SP\_PIR\_KEYWORDS) was analyzed using DAVID (<http://david.abcc.ncifcrf.gov/>).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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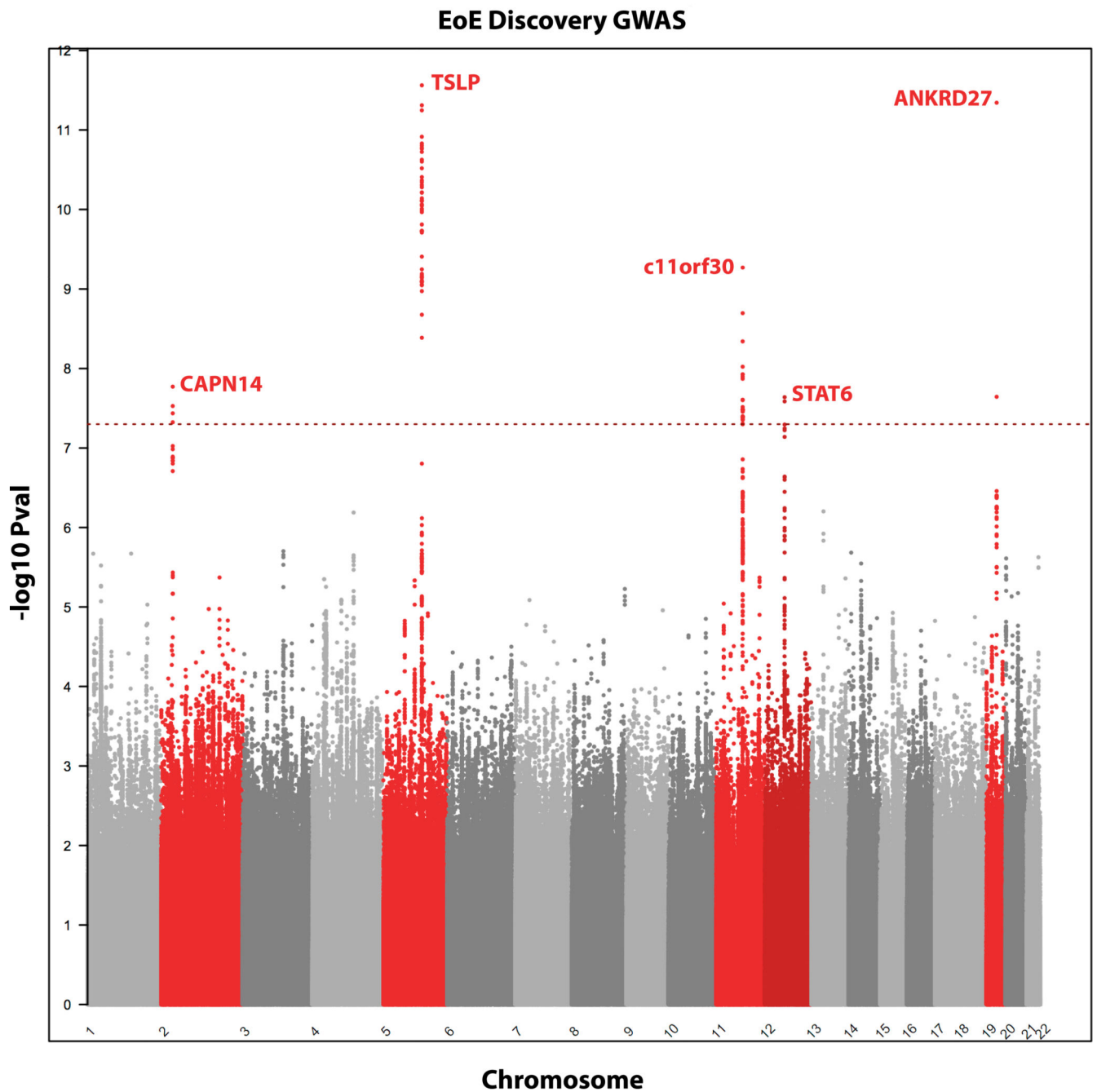
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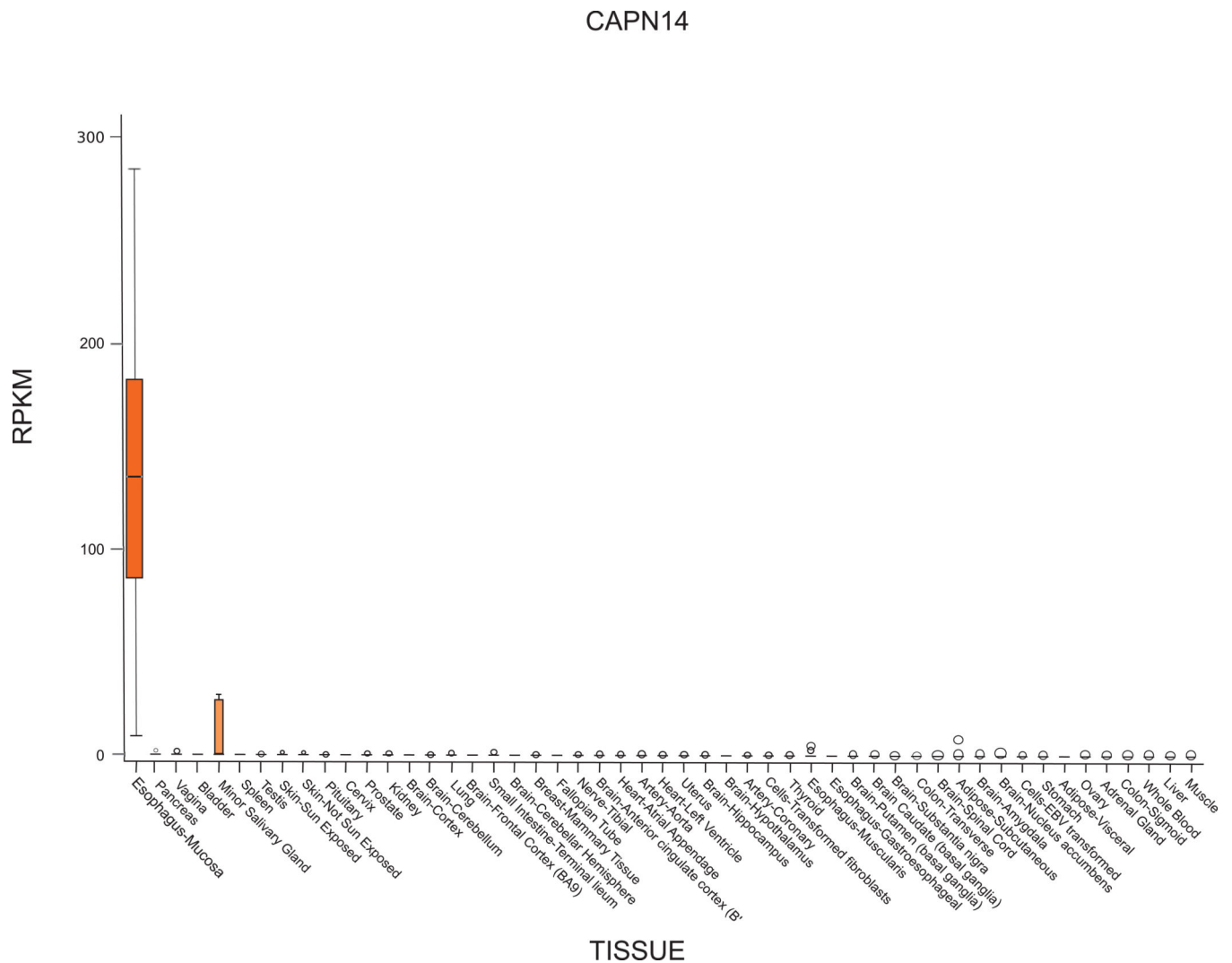


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**Figure 1. Manhattan plot of the EoE discovery GWAS**

N= 603 cases and 3637 controls.  $-\log_{10}$  Pvals on the y-axis plotted against ascending physical position on the x-axis. The dotted red line represents the genome-wide significance threshold  $P_{val} = 5 \times 10^{-8}$



**Figure 2. CAPN14 is highly expressed in the esophagus**  
transcriptome sequencing data from the GTEx project indicates CAPN14 is predominantly expressed in the esophageal mucosa (n= 106). Each boxplot represent a measure of CAPN14 gene expression, plotted on the y-axis for a given tissue, plotted on the x-axis. The measure of expression used is reads per kilobase million (RPKM). The boxplot whiskers represent the data range across the 106 replicates, with the notch in the box representing the median expression value.