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# Expansion of Dysfunctional Tim-3 Expressing Effector Memory CD8+ T cells during SIV Infection in Rhesus Macaques

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# Abstract

The T cell immunoglobulin- and mucin domain-containing molecule (Tim)-3 negative immune checkpoint receptor demarcates functionally exhausted CD8<sup>+</sup> T cells arising from chronic stimulation in viral infections like HIV. Tim-3 blockade leads to improved anti-viral CD8<sup>+</sup> T cell responses *in vitro* and therefore represents a novel intervention strategy to restore T cell function *in vivo* and protect from disease progression. However, the Tim-3 pathway in the physiologically relevant rhesus macaque SIV model of AIDS remains uncharacterized. We report here that Tim-3<sup>+</sup>CD8<sup>+</sup> T cell frequencies are significantly increased in lymph nodes, but not in peripheral blood, in SIV-infected animals. Tim-3<sup>+</sup>PD-1<sup>+</sup>CD8<sup>+</sup> T cells are similarly increased during SIV infection and positively correlate with SIV plasma viremia. Tim-3 expression was found primarily on effector memory CD8<sup>+</sup> T cells in all tissues examined. Tim-3<sup>+</sup>CD8<sup>+</sup> T cells have lower Ki-67 content and minimal cytokine responses to SIV compared to Tim-3<sup>-</sup>CD8<sup>+</sup> T cells. During acute phase SIV replication Tim-3 expression peaked on SIV-specific CD8<sup>+</sup> T cells by 2 weeks post infection, and then rapidly diminished irrespective of mutational escape of cognate antigen,

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suggesting non-TCR driven mechanisms for Tim-3 expression. Thus, rhesus Tim-3 in SIV infection partially mimics human Tim-3 in HIV infection and may serve as a novel model for targeted studies focused on rejuvenating HIV-specific CD8<sup>+</sup> T cell responses.

# INTRODUCTION

Virus-specific CD8<sup>+</sup> T cells play a crucial role in the control of Simian immunodeficiency virus (SIV) and HIV infections (1-10). Recent studies demonstrate that effector memory CD8<sup>+</sup> T cells elicited by vaccination with SIV protein-expressing rhesus cytomegalovirus (RhCMV/SIV) vectors mediate stringent protection from SIV replication and can even clear latent SIV reservoirs (11, 12). Additionally, the magnitude and function of SIV-specific effector T cells are strongly associated with protection following live-attenuated SIV vaccination (13). These data indicate that the continuous generation and maintenance of robust effector memory HIV/SIV-specific CD8<sup>+</sup> T cells in peripheral tissues may afford a strategy for clearance of virus. Therefore, understanding T cell effector regulation is crucial to improving T-cell-based vaccine strategies.

Failure of the host immune system to control HIV/SIV infection is related, in part, to functional impairment of virus-specific CD8<sup>+</sup> T cells (14-22). In the presence of a high antigenic load, such as in chronic viral infections, T cells enter a state of exhaustion (23). During this period, T cells express several inhibitory immune receptors that fine-tune the strength of activating signals, resulting in negative feedback. While Programmed Death Receptor-1 (PD-1) is an early, sustained marker of immune exhaustion (14, 15, 18-22), recent studies have shown that the surface glycoprotein, T cell immunoglobulin- and mucin domain-containing molecule (Tim)-3, appears to be a later marker of T cell dysfunction, defined by defective proliferative capacity and cytokine production (16, 24-29). Our previous observations revealed that increased Tim-3 expression on HIV-specific CD8<sup>+</sup> T cells is associated with progressive HIV infection (25), and others have shown increased Tim-3 expression on CD8<sup>+</sup> T cells in patients with higher levels of HIV (30, 31) and HCV (17, 26, 32) infection. Additionally, it is evident from several studies that Tim-3<sup>+</sup>CD8<sup>+</sup> T cells are an abundant, but entirely distinct and divergent population from prototypical anergic effector or memory CD8<sup>+</sup> T cells (33, 34).

Blockade of Tim-3 interaction, alone or in conjunction with PD-1 blocking, has been shown to reverse effector T cell defects, reduce viremia, and ameliorate disease severity in the setting of several chronic viral infections (15, 22, 24, 26, 27). Mechanistically, Tim-3 blockade allows Tim-3<sup>+</sup>CD8<sup>+</sup> T cells to respond more efficiently to TCR stimulation (17, 25, 35), setting the stage for improved effector T cell responses.

The Tim-3 pathway in non-human primates has yet to be fully explored. Given the importance of non-human primates as models of human disease, understanding the similarities and differences between human and non-human primate Tim-3 signaling would provide additional avenues to study the therapeutic effects of Tim-3 blockade. In particular, non-human primates provide the most physiologically relevant model for HIV/AIDS. Therefore, we report here on the profile and characterization of Tim-3 expression in the peripheral blood and organized lymphoid tissues in SIV-infected rhesus macaques.

# MATERIALS AND METHODS

# Animals

Indian rhesus macaques (*Macaca mulatta*) housed at the Oregon National Primate Research Center (ONPRC) used in this study were cared for according to the laws, regulations, and guidelines set forth by the United States Department of Agriculture (e.g., the Animal Welfare Act and its regulations, and the Animal Care Policy Manual), Institute for Laboratory Animal Research (e.g., Guide for the Care and Use of Laboratory Animals, 8<sup>th</sup> edition), Public Health Service, National Research Council, Centers for Disease Control, and the Association for Assessment and Accreditation of Laboratory Animal Care (AAALAC) International. The Oregon Health and Science University Institutional Animal Care and Use Committee approved the research involving animals reported in this study. Animals were infected with SIVsmE660, SIVmac239, or SIVmac251 for other, unrelated projects.

### **Rhesus Tim-3 Cloning**

Cryopreserved human and rhesus peripheral blood mononuclear cells (PBMC) were thawed and lysed and the RNA was purified using RNeasy Plus Mini Kit (Qiagen, Venlo, Limburg, Netherlands). Total RNA was reverse- transcribed with the Superscript III First- Strand Synthesis System (Invitrogen, Carlsbad, CA) using primers specific for Tim-3. RNA complimentary to the cDNA was removed using RNase H (Invitrogen). ExPASy translate tool was used to translate obtained DNA sequences to protein sequences. These sequence data are deposited at http://www.ddbj.nig.ac.jp with the accession number AB924452 (Human) and AB924453 (rhesus).

#### Western blot

Cryopreserved PBMC from HIV uninfected humans, SIV-uninfected and SIV-infected animals were thawed and lysed in radioimmunoassay precipitation (RIPA) buffer (Thermo Scientific, Waltham, MA) then incubated with PNGase F (New England BioLabs, Ipswich, MA). Samples were separated by 12% SDS-PAGE and blotted onto a nitrocellulose membrane (Amersham, Buckinghamshire, England). The membrane was blocked in 5% milk for an hour and incubated overnight with polyclonal goat anti-human Tim-3 antibody (R&D systems, Minneapolis, MN). Rabbit anti-goat HRP-conjugated antibody (Invitrogen) was used as a secondary antibody and then blots were developed by chemiluminescent method using ECL Prime Western Blotting Detection Reagent (Amersham).

#### Antibodies and flow cytometric analysis

The following directly conjugated antibodies were obtained from BD Bioscience, San Jose, CA: Alexa fluor 700-conjugated anti-CD3 (SP34-2), PE-CF594-conjugated anti-CD4 (L200), APC- or APC-H7-conjugated anti-CD8 (SK1), V450-conjugated anti-CD16 (3G8), PE-Cy7-conjugated anti-CD56 (NCAM16), PE-Cy5-conjugated anti-CD95 (DX2), APC-conjugated anti-IFN-γ (B27) and PE-conjugated anti-Ki-67 (B56). PE-conjugated anti-PD-1 (EH12.2H7), PE-Cy7-conjugated CD28 (CD28.2), and Alexa Flour 488-conjugated anti-Rabbit IgG (H+L) antibodies were purchased from BioLegend, San Diego, CA, from eBioscience, San Diego, CA, and from Invitrogen, respectively. Unconjugated Tim-3

polyclonal antibodies (from Life BioScience, Albuquerque, NM and Novus Biologicals, Littleton, CO) were used in concert with Alexa Flour 488-conjugated anti-Rabbit IgG (H+L) antibody. A biotinylated anti-human Tim-3 polyclonal antibody and biotinylated Goat polyclonal antibodies (R&D System) were used in concert with Qdot605-conjugated streptavidin (Invitrogen). PE-conjugated Tim-3 monoclonal antibodies were obtained from R&D (215008 and 344823) and BioLegend (F38-2E2). APC-conjugated Mamu-A\*01 SIV Gag<sub>181-189</sub>CM9 (CTPYDINQM) tetramer and APC-conjugated Mamu-A\*01 SIV Tat<sub>28-35</sub>SL8 (STPESANL) tetramer were produced as described previously (36). An Aqua Amine Reactive Dye (AARD) (Invitrogen) was used to exclude dead cells. In some experiments, cells were fixed in 2% paraformaldehyde (PFA) and permeabilized with FACS-perm (BD Bioscience) and stained for Ki-67 and IFN- $\gamma$  (BD Bioscience). Cells were then fixed with 2% PFA and analyzed by flow cytometry using a 4-laser BD Fortessa instrument (Becton Dickinson). Anti-mouse IgG-coated beads (Invitrogen) were reacted with each fluorochrome-conjugated antibody separately and used for software-based compensation. Cells were analyzed with FlowJo software (TreeStar, Ashland, OR).

#### T cell stimulation and intracellular cytokine staining (ICS)

T cell stimulation and intracellular cytokine staining were performed similar to a previous detailed description (37). Briefly,  $5 \times 10^5$  cryopreserved peripheral blood mononuclear cells or lymph node cells were incubated for 1 hour at 37°C in 200 µl of R10 (RPMI-1640 containing 10% bovine growth serum and antibiotics) with anti-CD28, anti-CD49d and 10 µM of the synthetic peptide SIV Gag<sub>181-189</sub>CM9 (CTPYDINQM). Then 10 µg/ml of brefeldin A was added and the cells were incubated an additional 8 hours at 37°C. Cells were then washed in buffer (PBS with 10% serum) and stained for surface expression of CD3, CD4, and CD8 markers and fixed in 2% PFA at 4°C. Cells were then permeabilized in wash buffer containing 1% saponin and stained for expression of the cytokines IFN- $\gamma$  and TNF- $\alpha$ . Stained cells were acquired on a custom 4 laser BD-Fortessa flow cytometer (Becton Dickinson) with FACSDiva software and analyzed with FlowJo software (TreeStar).

#### Statistical analyses

Statistical analyses were performed by using GraphPad Prism statistical software (GraphPad Software, San Diego, CA). The Wilcoxon matched-pairs signed rank test and the Mann-Whitney test were performed for comparative statistical analysis. The Spearman's r test was performed for correlation statistical analysis.

# RESULTS

### **Characterization of rhesus macaque Tim-3**

To explore the role of Tim-3 in the rhesus macaque model of AIDS, we first determined if Tim-3 was expressed on rhesus cells. The rhesus macaque genome contains a predicted open reading frame with high homology, 79.7%, to human *HAVCR2* (38, 39), and the amino acid sequence also shows high similarity, 87.8%, to human Tim-3 (Figure 1A). Despite the high sequence homology between human and rhesus Tim-3, no antibody reagent has been described that reacts with rhesus Tim-3. Using several commercially available murine and

human monoclonal and polyclonal Tim-3 antibodies, we identified two polyclonal antibodies with cross-reactivity to rhesus macaque PBMC by flow cytometry and western blot analysis (Figure 1B; Supplemental Figure 1). We observed a single band with a molecular weight (MW) of 30 kDa in PMBC derived from both uninfected and SIV-infected animals treated with N-glycosidase F (PNGase F). PBMC derived from an uninfected human subject yielded two bands, one in line with rhesus macaque Tim-3 and an extra band with a MW of 60 kDa. In the absence of PNGase F incubation an increased number of bands were observed suggesting potential modification of Tim-3 by glycosylation (29, 40) (data not shown).

# Tim-3+CD8+T cells expand during SIV infection

Using the anti-Tim-3 pAb that gave superior flow staining resolution (Supplemental Figure 1), we first stained rhesus PBMC and examined Tim-3 expression on CD8<sup>+</sup> T cells and NK cells. Consistent with our previous observation in humans (41), Tim-3 expression was higher on NK cells than CD8<sup>+</sup> T cells, further validating our staining protocol (Supplemental Figure 2). However, Tim-3 expression increases on CD8<sup>+</sup> T cells during progressive HIV infection (25). To determine if SIV replication induces similar expansion of Tim-3<sup>+</sup>CD8<sup>+</sup> T cells following SIV infection we compared the levels of Tim-3 on CD8<sup>+</sup> T cells in both PBMC and lymph nodes (LN) in uninfected and SIVsmE660 or SIVmac239-infected animals (Supplemental Table 1). Tim-3 expression was greater on CD8<sup>+</sup> T cells compared to CD4<sup>+</sup> T cells in uninfected animals as has been previously observed in human studies (41, 42) (Figure 1C, D).

We observed that Tim-3 levels on CD8<sup>+</sup> T cells from PBMC (Figure 1C) were similar between uninfected and SIV-infected animals. However, the frequency of Tim-3<sup>+</sup>CD8<sup>+</sup> T cells was significantly greater in LN from SIV-infected animals compared to uninfected animals (Figure 1C). This result was independent of the infecting SIV viral strain as no statistically significant difference in the frequency of Tim-3<sup>+</sup> CD8<sup>+</sup> T cells was observed between SIVsmE660 or SIVmac239 infected animals (data not shown). No significant difference in Tim-3 levels on CD4<sup>+</sup> T cells was observed between uninfected and SIVinfected animals in both PBMC and LN (Figure 1D). We also observed a significant increase in LN-derived CD8<sup>+</sup> T cells co-expressing Tim-3 and PD-1 in SIV-infected animals (Figure 1E).

Because we observed increased levels of Tim-3 in SIV-infected animals, we next explored the relationship between Tim-3 expression and SIV plasma viremia. LN-derived Tim-3<sup>+</sup>CD8<sup>+</sup> T cells trended towards a positive association with SIV viremia, however this was not statistically significant (r = 0.42, p = 0.08; Figure 2A). In contrast, LN-derived Tim-3<sup>+</sup>PD-1<sup>+</sup>CD8<sup>+</sup> T cells positively correlated with SIV plasma viremia (r = 0.008, p = 0.005; Figure 2C). Neither Tim-3<sup>+</sup>CD8<sup>+</sup> T cells nor Tim-3<sup>+</sup>PD-1<sup>+</sup>CD8<sup>+</sup> T cells from PBMC were significantly correlated with SIV viremia.

#### Tim-3 is predominantly expressed on dysfunctional effector memory CD8<sup>+</sup> T cells

Effector memory CD8<sup>+</sup> T cells are reported to prevent SIV dissemination following acquisition (11-13). We therefore examined the phenotype of Tim-3<sup>+</sup> T cells in PBMC, LN

and spleen (19). The greatest representation of Tim-3<sup>+</sup> cells was found within the effector memory (CD28<sup>-</sup> CD95<sup>+</sup>) CD8<sup>+</sup> T cell compartment with few, if any, cells represented in the naïve (CD28<sup>+</sup> CD95<sup>-</sup>) or central memory T cell (CD28<sup>+</sup>CD95<sup>+</sup>) pool in PBMC, LN, or spleens in SIV-infected animals (Figure 3A, B).

We, and others, have previously shown that Tim-3<sup>+</sup>CD8<sup>+</sup> T cells are unable to proliferate and lack cytokine responsiveness to polyclonal or antigen-specific stimulation, indicating that Tim-3 marks dysfunctional CD8<sup>+</sup> T cell populations (25, 42). We therefore assessed *ex vivo* co-expression of Tim-3 and the nuclear protein Ki-67, a marker of proliferating cells, in PBMC and LN-derived CD8<sup>+</sup> T cells from both SIV-infected and uninfected macaques. Regardless of SIV infection status, most Ki-67<sup>+</sup>CD8<sup>+</sup> T cells lacked Tim-3 expression in both PBMC and LN (Figure 4A). We next examined the IFN- $\gamma$  response to either mitogenic stimulation with phorbol myristate acetate (PMA) and calcium ionophore ionomycin (Ion) in PBMC from SIV-infected animals or to the immunodominant Gag<sub>181-189</sub> CM9 SIV peptide in PBMC from SIV-infected Mamu-A\*01+ animals. The production of IFN- $\gamma$  in response to either PMA+Ion or Gag<sub>181-189</sub> CM9 SIV peptide stimulation was principally from Tim-3<sup>-</sup> cells, especially from Gag<sub>181-189</sub> CM9 specific- CD8<sup>+</sup> T cells when stimulated with cognate peptide. (Figure 4B, C). These data demonstrate that Tim-3 marks poorly functional effector memory CD8<sup>+</sup> T cells in PBMC and LN.

We further examined the expression of Tim-3 on SIV-specific  $CD8^+$  T cells by Mamu-A\*01:Gag<sub>181-189</sub> CM9 tetramer staining. We observed that in PBMC, Gag<sub>181-189</sub> CM9specific CD8<sup>+</sup> T cells from chronically SIV-infected animals predominantly lacked Tim-3 expression (Figure 4D). However, Tim-3 expressing Gag<sub>181-189</sub>CM9-specific CD8<sup>+</sup> T cells were present in the secondary lymphoid organs of these animals (Figure 4E). Furthermore, the proportion of Tim-3 expressing cells within the Gag<sub>181-189</sub>CM9-specific CD8<sup>+</sup> T cells were significantly higher in the spleen and lymph nodes in comparison to PMBC (Figure 4F).

#### Kinetics of Tim-3 expression during acute SIV infection on SIV-specific CD8<sup>+</sup> T cells

We previously demonstrated that Tim-3 expression is higher on certain HIV-specific CD8<sup>+</sup> T cells (25) and this appears to render high Tim-3 expressing HIV specific T cells vulnerable to regulatory T cell mediated suppression (43). Since Tim-3 expression was lower on Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells in PBMC in chronically SIV-infected macaques, we reasoned that Tim-3 levels may have been downregulated during the acute stage of infection. We therefore assessed the Tim-3 expression kinetics on SIV-specific CD8<sup>+</sup> T cells in PBMC from two animals infected with SIVmac239 (Rh24179 and Rh24748) and from two animals infected with SIVmac251 (Rh27002 and Rh28239) during the acute phase of infection using Gag<sub>181-189</sub> CM9 and Tat<sub>28-35</sub> SL8 tetramers (3). The frequency of Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells showed stable kinetics in all animals through the first 14 weeks of infection (Figure 5A). Tat<sub>28-35</sub> SL8-specific CD8<sup>+</sup> T cells were present at the highest frequency at 2 weeks post-infection (w.p.i.), but decreased in frequency thereafter. Tim-3 expression peaked on both Gag<sub>181-189</sub> CM9 and Tat<sub>28-35</sub> SL8-specific CD8<sup>+</sup> T cells by 2 w.p.i. and declined thereafter (Figure 5B, C). Both the frequency and MFI of Tim-3 was higher in Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells compared to

Tat<sub>28-35</sub> SL8-specific CD8<sup>+</sup> T cells. We observed that even at this early stage of infection, the frequencies of Ki67 expressing Tim-3+ total or effector memory CD8 T cells was very low compared to Tim-3- cells (Supplemental Figure 3). Interestingly, most Tim-3+CD8+ T cells showed high levels of PD-1 expression which is in contrast to PD-1 expression patterns on human HIV-specific CD8 T cells where these levels were much lower (18, 19, 25, 44, 45). We noted, however, that the frequency of PD-1<sup>+</sup> cells were more frequent in both SIVspecific CD8<sup>+</sup> T cells than total CD8<sup>+</sup> T cells (Figure 5B, D). The mean fluorescence intensity (MFI) of PD-1 was higher on Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells compared to Tat<sub>28-35</sub> SL8-specific CD8<sup>+</sup> T cells (Figure 5B, D). Both the frequency and MFI of PD-1 in Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells remained high, whereas in Tat<sub>28-35</sub> SL8-specific CD8<sup>+</sup> T cells they declined after 4 w.p.i. (Figure 5B, D) contrasting with Tim-3 kinetics. The Tat<sub>28-35</sub> SL8 epitope mutates early in infection whereas Gag<sub>181-189</sub> CM9 epitope is stable or mutates late in infection (46, 47). Consistent with our findings, no viral escape mutations were observed in  $Gag_{181-189}$  CM9 in either animal through 14 weeks of infection, but both animals harbored an escape mutation within Tat<sub>28-35</sub> SL8 by 4 w.p.i (Figure 5E, F). Tim-3 kinetics were not synchronized with viral escape in Tat<sub>28-35</sub> SL8. However, PD-1 levels declined coincident with Tat<sub>28-35</sub> SL8 escape. These data suggest PD-1 expression is driven by antigenic stimulation as previously described (19), whereas Tim-3 expression appears to be driven by additional stimuli and is unaffected by viral escape.

# DISCUSSION

Negative immune checkpoints are crucial in regulating effector CD8<sup>+</sup> T cell responses to chronic viral infections (14-28, 35). The current study was conducted to determine if the Tim-3 pathway is active in nonhuman primates. We demonstrate here, for the first time, the detection of rhesus Tim-3 and provide evidence that rhesus Tim-3 is upregulated in CD8<sup>+</sup> T cells derived from secondary lymphoid organs of SIV-infected macaques. Tim-3<sup>+</sup>CD8<sup>+</sup> T cells exhibit a predominantly effector memory phenotype and represent a dysfunctional cellular population, suggesting that the Tim-3 pathway is active in the rhesus macaque SIV model of AIDS. Thus, rhesus macaques may represent an appropriate model for interventional studies to improve anti-viral CD8<sup>+</sup> T cell responses against chronic pathogens such as SIV.

LN-resident CD8<sup>+</sup> T cell responses have recently been shown to predict the efficacy of liveattenuated SIV vaccines (13), supporting the importance of evaluating tissue sites for understanding anti-viral CD8<sup>+</sup> T cell activity. Our finding that Tim-3 is increased on LNderived CD8<sup>+</sup> T cells in SIV-infected animals reveals the importance of evaluating secondary lymphoid organs in SIV or HIV patients since viral reservoirs are predominately located within CD4<sup>+</sup> T follicular helper cells in LN and in tissue macrophages (48). Several negative immune checkpoint receptors including Tim-3 are found in abundance in the tumor-infiltrating environment compared to those in normal tissues or peripheral blood (49), suggesting that T cell immune dysfunction may be occurring principally within tissue sites. Stationing of SIV-specific effector memory CD8<sup>+</sup> T cells at portals of viral entry can potently inhibit the establishment of infection (11). Our findings show effector memory CD8<sup>+</sup> T cells from various tissues predominately express Tim-3. Galectin-9 is regarded as a soluble ligand for Tim-3 (29, 50) and is found at high levels in the plasma from patients with

high HIV plasma viremia (51) even during HIV acquisition (52). We propose that LNderived, SIV-specific Tim-3<sup>+</sup>CD8<sup>+</sup> T cells are rendered dysfunctional through galectin-9-Tim-3 interactions and this may be occurring during the acute phase of infection. It is therefore likely that the transient upregulation of Tim-3 expression in acute infection would lead to the early impairment of SIV-specific effector memory CD8<sup>+</sup> T cells. Phosphatidylserine and high-mobility group protein B1 (HMGB1) were also identified as the other ligands for Tim-3, but their functional effects on CD8<sup>+</sup> T cells are still largely unknown (53, 54). It is also possible these ligands render CD8<sup>+</sup> T cells dysfunctional via Tim-3 ligation.

We observed that  $Gag_{181-189}$  CM9-specific CD8<sup>+</sup> T cells expressed higher Tim-3 levels in comparison to  $Tat_{28-35}$  SL8-specific CD8<sup>+</sup> T cells during acute SIV infection. When Tim-3 levels were tracked during SIV infection, we observed that Tim-3 levels were unaffected by viral escape mutations contrasting with PD-1 expression levels which tend to decline during escape, as has been previously demonstrated (19). Recent studies further reveal that several of the common gamma-chain cytokines regulate Tim-3 expression on CD8<sup>+</sup> T cells independent of antigenic stimulation (41, 54-56). We interpret these results to indicate that persistent Tim-3 expression on virus-specific CD8<sup>+</sup> T cells does not require continuous antigenic stimulation.

In chronic HIV infection we, and others, have previously reported variable increases in the levels of Tim-3 expression on different HIV-specific CD8<sup>+</sup> T cells (25, 31, 43). Our observation that Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells lacked Tim-3 expression in PBMC from chronically SIV<sup>+</sup> primates may suggest that different levels of Tim-3 also occur in different SIV-specific CD8<sup>+</sup> T populations. Blockade of Tim-3 by anti-Tim-3 antibody or recombinant Tim-3 reinvigorates CD8<sup>+</sup> T cell function (24-26, 32, 50, 55) and may be more effective in reversal of T cell dysfunction compared to other negative inhibitory checkpoints as Tim-3<sup>+</sup>CD8<sup>+</sup> T cells are defective in both cytokine and proliferative capacity in both humans and rhesus macaques.

Recent findings highlight the potential of a dual Tim-3/PD-1 blockade as a potent therapy for improving anti-viral T cell responses. This dual Tim-3/PD-1 blockade substantially suppressed viremia and improved survival in several models of chronic infection (24, 26). The Tim-3<sup>+</sup>PD-1<sup>+</sup>CD8<sup>+</sup> T cells are not only dysfunctional but also appear to secrete IL-10 in abundance compared to single positive cells in mice (24). Indeed, combination blockade of both of Tim-3 and PD-1 has been shown to substantially improve anti-viral T cell immunity and more importantly suppress viral load (24, 26, 57, 58). Our data demonstrate that Tim-3<sup>+</sup>PD-1<sup>+</sup>CD8<sup>+</sup> T cells are linked to viral persistence in SIV infection and thus targeting this population with a dual blockade may be more effective at improving anti-viral CD8<sup>+</sup> T cell activity than a single Tim-3 or PD-1 blockade alone. During chronic SIV infection, most Tim-3<sup>+</sup>CD8<sup>+</sup> T cells did not co-express PD-1. This might reflect the hierarchical expression and different regulation patterns of these two negative checkpoint molecules. In acute infection the observation that Tim-3 and PD-1 were co-expressed on SIV-specific CD8<sup>+</sup> T cells TCR stimulation is known to induce and maintain PD-1 expression. We posit that viral mutations, results in the loss of TCR stimulation in specific CD8<sup>+</sup> T cells leading to the downregulation of PD-1 (19), whereas Tim-3 expression

remains stable as a result of its regulation which appears to be induced and maintained by a series of common gamma-chain cytokines (56). Our data suggest prolonged expression of Tim-3 after PD-1 downregulation. The therapeutic efficacy of single or dual blockade of Tim-3 or PD-1 may be relevant and in the timing of intervention to improve or restore anti-SIV T cell immune control.

Assessing the effects of combination antiretroviral therapy (cART) on rhesus Tim-3 expression during SIV infection will be an important next step in our studies since Tim-3 levels in some HIV<sup>+</sup> patients do not decline following suppressive cART (25).

In conclusion, we established a method to detect Tim-3 in rhesus macaques and demonstrate that Tim-3<sup>+</sup>CD8<sup>+</sup> T cells are increased after SIV infection, mark dysfunctional T cells, and thus recapitulate Tim-3 characteristics observed in humans and mice. With the management of HIV treatment advocating for the early institution of cART during acute infection (59, 60), our findings suggest that although challenging, this should be supplemented with an immune checkpoint blockade to improve host anti-HIV CD8<sup>+</sup> T cell function early during infection. Overall, our findings will aid in our understanding of the Tim-3 pathway in HIV and SIV persistence and afford an opportunity to evaluate pre-clinical targeting of Tim-3 to improve anti-viral and anti-tumor CD8<sup>+</sup> T cell immunity.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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# Abbreviation used in this article

Ion	Ionomycin
LN	lymph nodes
PBMC	peripheral blood mononuclear cells
PD-1	Programmed Death Receptor 1
PNGase F	Peptide -N-Glycosidase F

#### Tim-3

T cell immunoglobulin- and mucin domain-containing molecule-3

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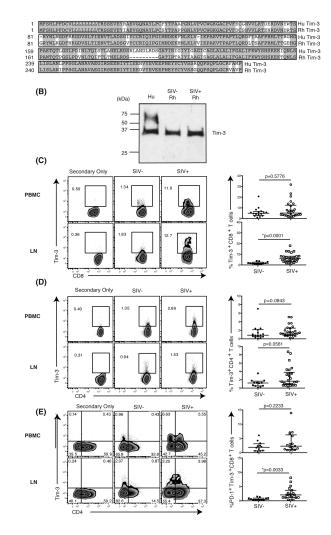


Figure 1. Detection and expression of Rhesus Tim-3 in T cells derived from blood and lymph nodes

(A) Alignment shows amino acid sequences of human Tim-3 (Hu Tim-3) and rhesus Tim-3 (Rh Tim-3). Highlighted sequences indicate homology between human and rhesus Tim-3. Dashes indicate gaps in alignment. (B) Bands are blotted with polyclonal anti-human Tim-3 antibody from R&D. Hu: human, Rh: rhesus, SIV-: SIV-uninfected, SIV+: SIV-infected. (C) Flow plots depict the Tim-3 expression on CD8<sup>+</sup> T cells from PBMC and LN in SIVuninfected and SIV-infected animals in a representative animal. Graphs show the frequency [%] of Tim-3<sup>+</sup>CD8<sup>+</sup> T cells from PBMC (circle) and LN (square) in SIV-uninfected (solid) and SIV-infected (open) animals. SIV-uninfected PBMC: n=16, SIV-infected PBMC: n=26, SIV-uninfected LN: n=12, SIV-infected LN: n=26. (D) Flow plots depict the Tim-3 expression on CD4<sup>+</sup> T cells from PBMC and LN in SIV-uninfected and SIV-infected animals in a representative animal. Graphs show the frequency [%] of Tim- $3^+$ CD4<sup>+</sup> T cells from PBMC (circle) and LN (square) in SIV-uninfected (solid) and SIV-infected (open) animals. SIV-uninfected PBMC: n=16, SIV-infected PBMC: n=26, SIV-uninfected LN: n=12, SIV-infected LN: n=26. (E) Flow plots depict the Tim-3 and PD-1 co-expression on CD8<sup>+</sup> T cells from PBMC and LN in representative SIV-uninfected and SIV-infected animals. Graphs show the frequency [%] of Tim-3+PD-1+CD8+ T cells from PBMC and LN

in SIV-uninfected and SIV-infected animals. SIV-uninfected PBMC: n=12, SIV-infected PBMC: n=17, SIV-uninfected LN: n=12, SIV-infected LN: n=20. A Mann-Whitney test was performed for statistical analysis. Control cells were stained with the secondary antibodies without using the anti-Tim-3 primary antibody. Both SIVsmE660 and SIVmac239 infected macaques were used for these analyses.

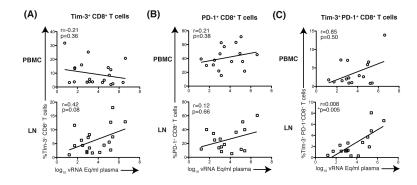
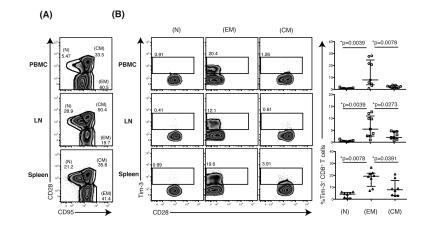


Figure 2. Correlation of Tim-3 expressing CD8<sup>+</sup> T cells and plasma SIV Viral load

(A) Graphs show correlation of plasma SIVsmE660 viral load and frequency of Tim-3<sup>+</sup>CD8<sup>+</sup> T cells from PBMC and LN. PBMC: n=20, LN: n=19. (B) Graphs show correlation of plasma SIV smE660 viral load and frequency of Tim-3<sup>+</sup>CD8<sup>+</sup> T cells from PBMC and LN. PBMC: n=16, LN: n=16. (C) Graphs show correlation of plasma SIV smE660 viral load and frequency of Tim-3<sup>+</sup>PD-1<sup>+</sup>CD8<sup>+</sup> T cells from PBMC and LNs. PBMC:n=16, LN: n=16. A Spearman's r test was performed for statistical analysis. vRNA Eq: viral RNA copy equivalents. All data points for SIVsmE660 vRNA and Tim-3 expression levels are from the same samples (plasma and PBMC, respectively) taken from the same time point.



**Figure 3.** Phenotypic assessment of rhesus Tim-3 expressing CD8<sup>+</sup> T cells in various tissues (A) Flow plots show the gating of naïve (CD28<sup>+</sup>CD95<sup>-</sup>) (N), effector memory (CD28<sup>-</sup>CD95<sup>+</sup>) (EM), and central memory (CD28<sup>+</sup>CD95<sup>+</sup>) (CM) CD8<sup>+</sup> T cells from SIVinfected PBMC, LN or spleen in a representative animal. (B) Flow plots depict the Tim-3 expression on N, EM and CM CD8<sup>+</sup> T cells from PBMC, LN, or spleen in a representative SIVsmE660-infected animal. Graphs show the frequency [%] of Tim-3<sup>+</sup> N, EM and CM CD8<sup>+</sup> T cells from SIV-infected PBMC, LN or spleen. PBMC: n=9, LN: n=9, spleen: n=8. A Wilcoxon matched-pairs signed rank test was performed for statistical analysis.

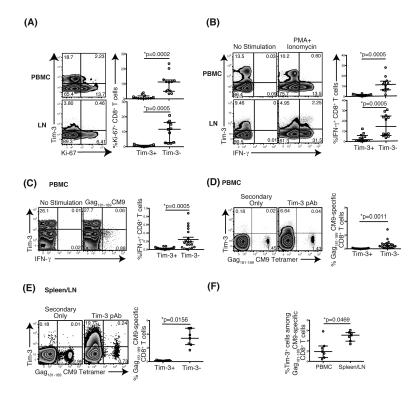


Figure 4. Tim-3-expressing CD8<sup>+</sup> T cells have impaired proliferative capacity and cytokine responses

(A) Flow plots depict representative Ki-67 and Tim-3 expression in PBMC and LN. Graphs show the frequency [%] of Tim-3<sup>+</sup>Ki-67<sup>+</sup> and Tim-3<sup>-</sup>Ki-67<sup>+</sup> CD8<sup>+</sup> T cells in PBMC and LN. Cells from both SIV-naïve and SIV-infected animals were used. PBMC: n=12, LN: n=11. (B) Flow plots depict representative IFN- $\gamma$  and Tim-3 expression in PBMC and LN from SIV-infected animals. Graphs show the frequency [%] of Tim-3<sup>+</sup>IFN- $\gamma^+$  and Tim-3<sup>-</sup>IFN- $\gamma^+$  CD8<sup>+</sup> T cells in PBMC and LN from SIV-infected animals following mitogen stimulation. PBMC: n=12, LN: n=12. (C) Flow plots depict IFN-y and Tim-3 expression in CD8<sup>+</sup> T cells from PBMC without or with Gag<sub>181-189</sub> CM9 peptide stimulation in a representative Mamu-A01+ animal. Graphs show the frequency [%] of Tim-3<sup>+</sup>IFN- $\gamma^+$  and Tim-3<sup>-</sup>IFN- $\gamma^+$  CD8<sup>+</sup> T cells in PBMC from Mamu-A01+ SIV-infected animals. n=14. (D) Flow plots depict the Tim-3 expression on Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells stained with CM9 tetramer in a representative animal. A Graph shows the frequency [%] of Tim-3<sup>-</sup> and Tim-3<sup>+</sup> Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells in PBMC from SIV-infected animals. n=14. (E) Flow plots represent Tim-3 expressing Gag<sub>181-189</sub> CM9specific CD8<sup>+</sup> T cells in secondary lymphoid tissues derived from LN and spleen in Manu-A01+ SIVsmE660 and SIVmac239/251 infected animals. (F) Graph depicts the comparisons between the frequency of Tim-3 expressing Gag<sub>181-189</sub> CM9-specific CD8<sup>+</sup> T cells in PBMC and in secondary lymphoid tissues. Control cells were stained with the secondary antibodies without using the anti-Tim-3 primary antibody. A Wilcoxon matched-pairs signed rank test was performed for statistical analysis.

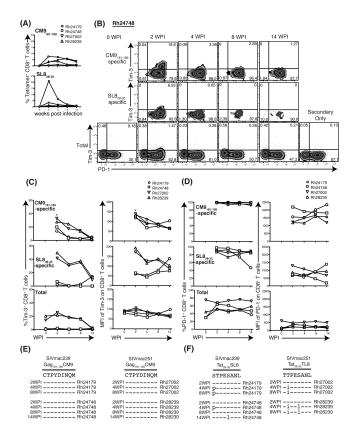


Figure 5. Kinetics of Tim-3 and PD-1 expression on SIV-specific CD8 $^+$  T cells during acute SIV infection

(A) Graphs show the change of frequency [%] of  $Gag_{181-189}$  CM9 or  $Tat_{28-35}$  SL8-specific CD8<sup>+</sup> T cells in four animals, Rh24179 (circle), Rh24748 (square), Rh27002 (reverse triangle) and Rh28239 (triangle). (B) Flow plots show the change of Tim-3 and PD-1 on  $Gag_{181-189}$  CM9-specific,  $Tat_{28-35}$  SL8-specific or total CD8<sup>+</sup> T cells in a representative animal (Rh24748) (C) Graphs show change of frequency [%] (left) and MFI (right) of Tim-3 in  $Gag_{181-189}$  CM9-specific,  $Tat_{28-35}$  SL8-specific or total CD8<sup>+</sup> T cells in two animals. (D) Graphs show change of frequency [%] (left) and MFI (right) of PD-1 in  $Gag_{181-189}$  CM9-specific,  $Tat_{28-35}$  SL8-specific or total CD8<sup>+</sup> T cells in two animals. (D) Graphs show change of frequency [%] (left) and MFI (right) of PD-1 in  $Gag_{181-189}$  CM9-specific,  $Tat_{28-35}$  SL8-specific or total CD8<sup>+</sup> T cells in two animals. (E) Alignment shows SIV genome sequence of CM9 region in each time points. Dashed lines indicate sequence identity. (F) Alignment shows SIV genome sequence of SL8 region in each time points. Dashes indicate identity to the sequence. WPI: weeks post infection. Control cells were stained with the secondary antibodies without using the anti-Tim-3 primary antibody.