

## Criterion for stability of Goldstone modes and Fermi liquid behavior in a metal with broken symmetry

Haruki Watanabe<sup>a,1,2</sup> and Ashvin Vishwanath<sup>a,b,1,2</sup>

<sup>a</sup>Department of Physics, University of California, Berkeley, CA 94720; and <sup>b</sup>Materials Science Division, Lawrence Berkeley National Laboratories, Berkeley, CA 94720

Edited by Steven Allan Kivelson, Stanford University, Stanford, CA, and approved September 24, 2014 (received for review August 13, 2014)

There are few general physical principles that protect the lowenergy excitations of a quantum phase. Of these, Goldstone's theorem and Landau-Fermi liquid theory are the most relevant to solids. We investigate the stability of the resulting gapless excitations-Nambu-Goldstone bosons (NGBs) and Landau quasiparticles-when coupled to one another, which is of direct relevance to metals with a broken continuous symmetry. Typically, the coupling between NGBs and Landau guasiparticles vanishes at low energies, leaving the gapless modes unaffected. If, however, the low-energy coupling is nonvanishing, non-Fermi liquid behavior and overdamped bosons are expected. Here we prove a general criterion that specifies when the coupling is nonvanishing. It is satisfied by the case of a nematic Fermi fluid, consistent with earlier microscopic calculations. In addition, the criterion identifies a new kind of symmetry breaking-of magnetic translations—where nonvanishing couplings should arise, opening a previously unidentified route to realizing non-Fermi liquid phases.

non-Fermi liquids  $\mid$  spontaneous symmetry breaking  $\mid$  Goldstone modes  $\mid$  strong magnetic fields

A ccording to the Goldstone theorem, spontaneous breaking of a continuous symmetry leads to gapless Nambu–Goldstone bosons (NGBs). In a Lorentz invariant theory, these bosons are expected to be well-defined excitations, even in the presence of other gapless fields, such as massless Dirac fermions, providing a powerful general mechanism for low-energy excitation (1). A key ingredient leading to their stability is the fact that interactions with NGBs are strongly constrained by symmetry, leading to suppressed couplings at small momentum transfer.

In nonrelativistic systems, though, such general results are not applicable. A particularly important scenario is spontaneous symmetry breaking in a metallic environment, of which there are numerous examples such as magnetic order in a metal. Do the NGBs then survive as well-defined low-energy modes? Or does coupling to the high density of gapless fermionic excitations of the metal lead to overdamped excitations? In this work we establish a general criterion to answer this question based on the pattern of symmetry breaking.

A closely related question has to do with the stability of the Fermi liquid (FL) when coupled to gapless bosonic modes. Besides NGBs, gauge bosons can be gapless over an entire phase, i.e., photons of the electromagnetic field or emergent gauge bosons of spin liquids or quantum Hall states. Alternately, one can tune to a quantum critical point where gapless critical modes centered at wave vector q = 0 interact with the FL. The latter two cases, of gauge bosons or q = 0 quantum critical bosons coupled to a Fermi sea, have been studied in many works (2-18). These studies conclude that, for example in d=2+1 dimensions, the lifetime of excitations near the Fermi surface is significantly reduced, leading to an absence of well-defined quasiparticles and a breakdown of FL theory. Similarly, the bosonic modes get overdamped and can no longer be observed as well-defined particle-like excitations. In some cases, however, superconductivity intervenes at low energies (19).

In contrast, coupling electrons in a metal to NGBs typically leads to a much more benign outcome. We know, from examples of magnons in ferromagnets and phonons in crystals, that NGBs are typically underdamped even in a metallic environment and the FL theory remains valid. In other words, in these cases the coupling between NGBs and FLs is irrelevant, leading to effectively independent fermionic excitations and NGBs at low energies. This is because interactions involving NGBs are very strongly restricted by both broken and unbroken symmetries. In particular, for these cases the scattering amplitude of electrons off NGBs in the limit of small energy-momentum transfer must vanish. In contrast, quantum critical modes and gauge bosons couple directly to fermions, without derivatives acting on the bosonic field.

However, there is one known exception to this rule. When the continuous spatial rotation in d = 2 + 1 dimensions is spontaneously broken by a Fermi surface distortion (20–22), the resulting orientational NGB strongly couples to electrons; i.e., their coupling does not vanish in the limit of small energy-momentum transfer. We refer to this type of coupling as nonvanishing coupling. In this context, Oganesyan et al. (20) discussed non-Fermi liquid (NFL) behavior and Landau damping of NGBs, in close analogy with the case of critical bosons or gauge bosons coupled to a FL. However, the deeper reason why this example violates the standard rule of vanishing NGB–electron couplings in the infrared has been left unclear. Also, whether this is the only pattern of symmetry breaking with nonvanishing coupling remains an open question.

In this article we formulate a simple criterion that allows one to diagnose the nature of the NGB–electron coupling. If the broken symmetry generator fails to commute with translations, the coupling is anomalous and is nonvanishing in the infrared.

## **Significance**

A remarkable feature of many particle systems is that although they are described by equations respecting various symmetries, they may spontaneously organize into a state that explicitly breaks symmetries. An example is a crystal that breaks the translation symmetry of space. In such cases, a celebrated theorem predicts an excitation, the Goldstone mode. In this paper we examine whether this continues to hold inside a metal, where electrons can collide with the Goldstone excitations. Our result is a one-equation criterion that specifies whether the interactions between electrons and Goldstone modes can be ignored or whether it completely changes their character. In the latter case, unusual phases of matter such as non-Fermi liquids or superconductors may arise.

Author contributions: H.W. and A.V. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission

<sup>&</sup>lt;sup>1</sup>H.W. and A.V. contributed equally to this work.

<sup>&</sup>lt;sup>2</sup>To whom correspondence may be addressed. Email: hwatanabe@berkeley.edu or ashvinv@socrates.berkeley.edu.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10. 1073/pnas.1415592111/-/DCSupplemental.



**Fig. 1.** A previously unidentified route to strongly coupling NGBs and quasiparticles—electron–phonon interaction in a uniform magnetic field. A lattice distortion (phonon fluctuation) with  $\vec{\nabla} \cdot \vec{u} \neq 0$  changes the local flux threading each unit cell (darker blue indicates a larger flux), inducing fluctuations of the phase of the hopping matrix  $t_{ij}$ . The phonon therefore couples like a gauge field to the fermions, without spatial derivatives.

Furthermore, armed with this criterion we are able to identify a new physical setting, distinct from the spontaneous breaking of rotation symmetry, that also leads to nonvanishing couplings and thus, if we follow standard arguments, to a NFL and overdamped NGBs.

## Results

**General Criterion for Nonvanishing Couplings.** Let us assume that we are at zero temperature and we make no assumption about spatial dimensionality except that it allows for spontaneous symmetry breaking. NGBs can be associated with symmetry generators that are spontaneously broken, which we label  $Q_a$ . Furthermore, to sharply define a Fermi surface we assume the existence of a conserved momentum  $\vec{P}$ . This could be either the conserved momentum of continuous translation symmetry or crystal momentum (of discrete translation symmetry). Let

$$[Q_a, P_i] = i\Lambda_{ai}.$$
 [1]

We now state the general criterion. If  $\Lambda_{ai} = 0$ , this is the usual situation where the coupling does vanish. However, if  $\Lambda_{ai} \neq 0$ , then the coupling between the NGB and electrons does not vanish in the limit of small energy-momentum transfer. Note that this criterion is very general and involves only the pattern of symmetry breaking. For any internal symmetry (e.g., spin rotation or number conservation), the commutator is zero. Thus, for nonvanishing couplings one must consider a space-dependent symmetry. The simple case of broken space translation symmetry has  $Q_a = P_a$  and the commutator is again zero, which implies that the corresponding Goldstone modes, the phonons, have vanishing coupling to electrons at small momentum transfer, as is well known.

However, for the case of rotational symmetry breaking,  $Q_a = L_z$ , which satisfies  $[L_z, P_i] = i\epsilon_{ij}P_j \neq 0$ , where  $i, j \in \{x, y\}$ . Thus, nonvanishing couplings are expected in this case, consistent with the results of Oganesyan and coworkers in the context of nematic order in a 2D Fermi fluid (20–22).

This general criterion allows us to identify an entirely new example of nonvanishing coupling. The criterion for nonvanishing coupling is also fulfilled by spontaneous breaking of magnetic translations. That is, begin with charged particles in a uniform magnetic field, with magnetic translation symmetry. Spontaneous formation of a crystal breaks this symmetry, resulting in phonons. Now, the magnetic translation operator  $\vec{\mathcal{P}}$ generates NGBs (phonons) and satisfies the nonabelian algebra,  $[\mathcal{P}_x, \mathcal{P}_y] = -ieBN$ . Thus, electron-phonon interactions under a uniform magnetic field are predicted to have nonvanishing coupling as we verify by explicit calculation. This surprising conclusion may be rationalized by imagining the fermions to hop between sites of the corresponding tight-binding model. The external magnetic field affects the phase of the hopping matrix as  $t_{ij} \rightarrow t_{ij} \exp(i \int_{\vec{x}_i}^{\vec{x}_j} \vec{A}(\vec{x}', t) \cdot d\vec{x}')$ . However, a phonon fluctuation  $\vec{u}$ that changes the local flux per unit cell produces a fluctuation of  $t_{ii}$ , as illustrated in Fig. 1. One can imagine this as resulting from a fluctuating gauge field  $\delta B = B\nabla \cdot \vec{u} = \nabla \times \delta A$ . Therefore, for electrons, some part of phonon fluctuation under a magnetic field is equivalent to that of a vector potential  $\delta A = B\hat{z} \times \vec{u}$  and the problem resembles that of NFL behavior arising from minimal coupling to a fluctuating gauge field.

**Proof of the General Criterion.** The total Hamiltonian of the system can be split into three pieces,  $\mathcal{H}_{tot} = \mathcal{H}_{el} + \mathcal{H}_{NGB} + \mathcal{H}_{int}$ , and each of these terms commutes with symmetry generators. We are mainly concerned with  $\mathcal{H}_{int}$ , which we expand as a series in the NGB fields  $\pi^a$ ,  $\mathcal{H}_{int} = \mathcal{H}_{int}^{(0)} + \mathcal{H}_{int}^{(1)} + \cdots$ . Note that  $\mathcal{H}_0 \equiv \mathcal{H}_{el}(\psi^{\dagger}, \psi) + \mathcal{H}_{int}^{(0)}(\psi^{\dagger}, \psi)$  is the mean-field Hamiltonian that defines a one-electron problem by picking a symmetry-broken ground state. The interaction with NGBs is then determined by symmetry; e.g., the linear coupling for a constant  $\pi^a$  is simply obtained by rotating the mean-field Hamiltonian by the corresponding symmetry generator  $Q_a$  (*SI Text*):

$$\mathcal{H}_{\rm int}^{(1)} = -\left[i\pi^a Q_a, \mathcal{H}_0\right].$$
 [2]

To set up the perturbation theory, we first solve the singleparticle electron problem described by  $\mathcal{H}_0$  and obtain simultaneous eigenstates  $|n\vec{k}\rangle$  of  $\mathcal{H}_0$  and the momentum  $\vec{P}$ ,

$$\mathcal{H}_0 \left| n\vec{k} \right\rangle = \epsilon_{n\vec{k}} \left| n\vec{k} \right\rangle, \quad \vec{P} \left| n\vec{k} \right\rangle = \vec{k} \left| n\vec{k} \right\rangle, \qquad [3]$$

where n is the band index. When the translation symmetry is discrete, we replace the second relation with

$$T_i \left| n\vec{k} \right\rangle = e^{i\vec{k}\cdot\vec{a}_i} \left| n\vec{k} \right\rangle, \quad T_i = e^{i\vec{P}\cdot\vec{a}_i}, \tag{4}$$

where  $\{\vec{a}_i\}_{i=1,\dots,d}$  are primitive lattice vectors. The interaction of electrons and NGBs to lowest order can then be written as (Fig. 2) (1)



**Fig. 2.** (1) The bare vertex with one NG line. (2a and 2b) One-loop diagrams for the self-energy of the boson. (3) The same for electrons.

$$\mathcal{H}_{\rm int}^{(1)} = \sum_{n',n,a} \int \frac{d^d k d^d k'}{(2\pi)^{2d}} v^a_{n' \vec{k}', n \vec{k}} c^{\dagger}_{n' \vec{k}'} c_{n \vec{k}} \pi^a_{\vec{q}},$$
 [5]

where  $\vec{q} = \vec{k'} - \vec{k}$  and  $v^a_{n'\vec{k'},n\vec{k}}$  is the (bare) vertex function, which is the matrix element of  $\mathcal{H}^{(1)}_{int}$ . This can be written via Eq. 2 as

$$\pi_{\vec{q}}^{a} v_{n'\vec{k}',n\vec{k}}^{a} = -i\pi_{\vec{q}}^{a} \left\langle n'\vec{k}' \middle| \left[ Q_{a}, \mathcal{H}_{0} \right] \middle| n\vec{k} \right\rangle$$

$$= i\pi_{\vec{q}}^{a} \left\langle n'\vec{k}' \middle| Q_{a} \middle| n\vec{k} \right\rangle (\epsilon_{n'\vec{k}'} - \epsilon_{n\vec{k}}),$$
[6]

which, for low energy scattering n = n' and  $\vec{q} \rightarrow 0$ , is

$$v_{n\vec{k}',n\vec{k}}^{a} \approx i \left\langle n\vec{k} \right| Q_{a} \left| n\vec{k} \right\rangle \vec{q} \cdot \vec{\nabla}_{\vec{k}} \epsilon_{n\vec{k}}.$$
[7]

Clearly, as long as  $\langle n\vec{k}|Q_a|n\vec{k}\rangle$  is finite, the vertex vanishes as  $\vec{q} \rightarrow 0$ . This is why scatterings of electrons off NGBs usually vanish at  $\vec{q} = 0$ , leaving behind well-defined NGBs and Fermi liquid quasiparticles.

However, we can evade this conclusion if, and only if, the matrix element  $\langle n\vec{k'}|Q_a|n\vec{k}\rangle$  diverges as  $\vec{k'} \rightarrow \vec{k}$ . To ensure an appropriate divergence, we will need  $[Q_a, P_i] \neq 0$  as we now explain. If  $[Q_a, P_i] = i\Lambda_{ai} \neq 0$ , then the matrix element is  $\langle n\vec{k'}|Q_a|n\vec{k}\rangle = -i(\langle n\vec{k'}|\Lambda_{ai}|n\vec{k}\rangle/(k'_i - k_i))$  (SI Text). Substituting this in Eq. 7 and setting  $\vec{q} \rightarrow 0$ , we have the coupling

$$v_{n\vec{k},n\vec{k}}^{a} = \sum_{i} \left\langle n\vec{k} \right| \Lambda_{ai} \left| n\vec{k} \right\rangle \partial_{k_{i}} \epsilon_{n\vec{k}},$$
[8]

which is generically nonvanishing. This proves our claim that when the symmetry generator corresponding to the NGB fails to commute with translations, nonvanishing couplings result. If the translation symmetry is discrete rather than continuous, we simply replace  $P^i$  by the discrete translation operator  $T_i$  and require  $[Q_a, T_i] \neq 0$ . Then the matrix element

$$\left\langle n\vec{k}' \left| Q_a \right| n\vec{k} \right\rangle = -\frac{\left\langle n\vec{k}' \right| \left[ Q_a, T_i \right] \left| n\vec{k} \right\rangle}{e^{i\vec{k}\cdot\vec{a}_i} - e^{i\vec{k}\cdot\vec{a}_i}}$$
[9]

is inversely proportional to  $(\vec{k}' - \vec{k}) \cdot \vec{a}_i$ , leading again to a nonvanishing coupling in Eq. 7 even at  $\vec{k}' = \vec{k}$ . Note that  $[Q_a, T_i] \neq 0$  follows from  $[Q_a, P_i] \neq 0$ .

Before turning to specific examples we discuss consequences of the nonvanishing couplings.

Non-Fermi Liquid and Overdamped Goldstone Bosons. A nonvanishing coupling connects our problem to well-studied problems of a Fermi surface interacting with gauge or critical bosons. The vertex  $\vec{v}_{\vec{k}',\vec{k}} = -e(\vec{k}' + \vec{k})/2m$  of the gauge coupling  $-e\vec{A}\cdot\vec{j}$  does not vanish at  $\vec{k}' = \vec{k}$ . Similarly, the Yukawa interaction between q=0 critical bosons and electrons is not severely restricted by symmetries and nonvanishing couplings are expected (e.g., Yukawa couplings). We can readily argue, via the one-loop calculation below, that nonvanishing couplings destabilize the fixed point of free NGBs and a decoupled Fermi liquid. The actual fate of this strongly coupled problem—a non-Fermi liquid, a superconductor, or some other state—requires a case-by-case analysis and is currently under active investigation.

The boson self-energy correction  $\Pi_{ab}(\nu, \vec{q})$  from diagrams 2*a* and 2*b* of Fig. 2 is dominated by (*SI Text*)

$$\prod_{ab} (\nu, \vec{q}) = -i\pi \frac{\nu}{|\vec{q}|} \gamma^{ab}(\hat{q}),$$

$$\gamma^{ab}(\hat{q}) = \int \frac{\mathrm{d}^d k}{(2\pi)^d} v^a_{\vec{k},\vec{k}} v^b_{\vec{k},\vec{k}} \delta(\epsilon_{\vec{k}}) \delta\left(\hat{q} \cdot \vec{\nabla}_{\vec{k}} \epsilon_{\vec{k}}\right).$$
[10]

The first delta function puts the electron momentum  $\vec{k}$  on the Fermi surface and the second one further restricts  $\vec{k}$  into a subspace where  $\vec{q}$  is tangential to the Fermi surface. Note that the correction in Eq. 10 vanishes if  $v^a_{\vec{k},\vec{k}} = 0$  (we have suppressed the band index *n*). The one-loop corrected boson propagator  $D^{-1} = D_0^{-1} - \Pi$  has overdamped poles  $\nu \propto -iq^3$  due to the singularity in Eq. 10. Thus, the NGBs are destroyed (overdamped) by interaction with the Fermi surface at this order.

Now, one can study the lifetime of fermionic quasiparticles by evaluating diagram 3 of Fig. 2 with the corrected propagator D; the result is (23)

$$\tau^{-1} \equiv -2 \operatorname{Im} \Sigma \propto \omega^{d/3}.$$
 [11]

Therefore, Landau's criterion  $\omega \tau \to \infty$  as  $\omega \to 0$  does not hold when  $d \leq 3$ , implying the breakdown of the FL theory.

Thus, this one-loop treatment at least shows the instability of FLs and NGBs against infinitesimal couplings with  $\vec{v}_{\vec{k},\vec{k}} \neq 0$ . The ultimate fate of these interacting systems continues to be an active area of research (10, 12–14) and we do not expand further on that aspect here. We merely establish the condition when interactions with NGBs are relevant and render the decoupled fixed point unstable, similarly to other well-studied cases.

Below, we demonstrate our general criterion through examples.

## Examples

Internal Symmetries—Conventional Coupling. Let us first discuss interactions between electrons and magnons in ferromagnets (in the absence of spin-orbit interactions). The coupling between the ferromagnetic order parameter  $\vec{n}$  and the electron spin  $\vec{s} = \psi^{\dagger}(\vec{\sigma}/2)\psi$  ( $\vec{\sigma}$  is the Pauli matrix) may not contain any derivatives; e.g.,

$$\mathcal{H}_{\text{el-magnon}} = J\vec{n} \cdot \vec{s}.$$
 [12]

Hence it is not obvious that the electron-magnon vertex vanishes in the limit of small momentum transfer. However, we know it must from our general criterion, as the spin  $\vec{S}$  and the momentum  $\vec{P}$  commute.

To see this more explicitly, we perform a local SU(2) rotation  $U(\vec{x},t)$  defined by  $U^{\dagger}(\vec{x},t)\vec{n}(\vec{x},t)\cdot\vec{\sigma}U(\vec{x},t) = \sigma_z$ . Now, the spin–spin interaction becomes a Zeeman field along  $s_z$ , while electron–magnon interactions are included in derivatives of the rotated electron field  $\partial_{\mu}\psi = U(\partial_{\mu} + i\mathcal{A}_{\mu})\psi'$  through  $\mathcal{A}_{\mu} \equiv -iU^{\dagger}\partial_{\mu}U$ . If we expand  $\mathcal{A}_{\mu}$  in series of NGB fields, each term contains one derivative acting on them. Therefore, electron–magnon interactions vanish in the limit of small energy-momentum transfer (more details in *SI Text*).

In general, generators  $Q_a$  of internal symmetries commute with  $\vec{P}$  and therefore we always obtain vanishing couplings.

**Continuous Space Rotation—Nonvanishing Coupling.** Our first nontrivial example is the spontaneous breaking of continuous spatial rotation symmetry. For concreteness, consider nematic order in 2+1 dimensions, in which a circular Fermi surface is distorted into an ellipse in the ordered phase. The generator of SO(2)spatial rotations is  $L_z$ , which does not commute with the momentum operator,  $[L_z, P_i] = i\epsilon_{ij}P_j \neq 0$  (where  $i, j \in \{x, y\}$ ). Hence, from Eq. 8, we expect a nonvanishing coupling

$$v_{\vec{k},\vec{k}} = \epsilon_{ij} \left\langle \vec{k} \middle| p_j \middle| \vec{k} \right\rangle \partial_{k_i} \epsilon_{\vec{k}}, \qquad [13]$$

where we assume a single band and, for simplicity, ignore spin.

To see this from an explicit calculation, suppose that the spatial SO(2) rotation is spontaneously broken by the order parameter  $\langle \vec{n} \rangle = (1, 0)^T$ . The Goldstone fluctuation  $\theta$  of the order parameter  $\vec{n} = (\cos \theta, \sin \theta)^T$  can couple to the spinless electron field  $\psi$  via, e.g.,  $\mathcal{H}_{int} = (\chi/2m) |\vec{n} \cdot \vec{\nabla}\psi|^2$  as both  $\nabla \psi$  and  $\vec{n}$  are vectors. Expanding the interaction to first order in  $\theta [\vec{n} \sim (1, \theta)^T]$ , we have

$$\mathcal{H}_{\rm int}^{(0)} = \chi \frac{\nabla_x \psi^{\dagger} \nabla_x \psi}{2m},$$
 [14]

$$\mathcal{H}_{\text{int}}^{(1)} = \chi \theta \frac{\nabla_x \psi^{\dagger} \nabla_y \psi + \nabla_y \psi^{\dagger} \nabla_x \psi}{2m}.$$
 [15]

Hence, the single-particle electron Hamiltonian is given by

$$\mathcal{H}_0 = \frac{\vec{p}^2}{2m} + \chi \frac{p_x^2}{2m},$$
 [16]

leading to a single-particle dispersion  $\epsilon_{\vec{k}} = [(1+\chi)k_x^2 + k_y^2]/2m$  for plane waves with wave vector k.

By directly evaluating the matrix element of Eq. 15 for plane waves, we get

$$v_{\vec{k}',\vec{k}} = \frac{\chi}{2m} \left( k'_x k_y + k_x k'_y \right).$$
 [17]

This is consistent with our criterion in Eq. 13. Indeed, using the above dispersion  $\epsilon_{\vec{k}}$  and  $\langle n\vec{k}|p_j|n\vec{k}\rangle = k_j$  in Eq. 13, one gets  $v_{\vec{k},\vec{k}} = \chi k_x k_y/m$ , which agrees with Eq. 17 in the limit  $\vec{k}' \to \vec{k}$ . Note that the vertex does not vanish at  $\vec{k}' = \vec{k}$  for generic points

Note that the vertex does not vanish at k' = k for generic points on the Fermi surface, except for few high-symmetry points with  $k_x = 0$  or  $k_y = 0$ . Therefore, at most of a part of the Fermi surface, the quasiparticle lifetime is heavily suppressed by the interaction with the NGB  $\theta$  that originated from spontaneously broken continuous rotation. A nematic order of an elliptically distorted Fermi surface (20, 21) and a ferromagnetic order in the presence of a Rashba interaction (22, 24) are known examples of this mechanism.

Finally, let us remark on a subtlety regarding space-time symmetries. In certain cases, even if the spatial rotation is spontaneously broken, NGBs associated with the broken rotation may not appear. Suppose translations  $p_{x,y}$  are spontaneously broken in 2 + 1 dimensions. Although rotation symmetry is also broken, it does not lead to independent NGBs. Phonons originating from  $p_{x,y}$  play the role of the NGB of  $\ell_z$  as well, and the fluctuation  $\theta$  associated with  $\ell_z$  is related to displacement fields by  $\theta = \partial_x u_y - \partial_y u_x$ . Although the field  $\theta$  can couple strongly to electrons, these additional derivatives annihilate the scattering in the limit of small energy-momentum transfer. Even when only  $p_x$  or  $p_y$  is broken,  $\ell_z$  cannot produce an independent NGB. For example, helimagnets in 3 + 1 dimensions with the spiral vector along the *z* axis break  $p_z - \ell_z$  and  $\ell_{x,y}$  but the phonon associated with  $p_z$  plays the role of NGBs of  $\ell_{x,y}$  and orientational NGBs are absent (25–28).

**Magnetic Translation—Nonvanishing Coupling.** As a previously unidentified example of nonvanishing couplings, we discuss continuous translation under a uniform magnetic field in 2+1 dimensions. Suppose that a crystalline order with lattice vectors  $\{\vec{a}_i\}_{i=1,2}$  is spontaneously formed, breaking the magnetic translation and giving birth to phonons (NGBs). We assume an integer flux quantum per unit cell for the commutativity of the

lattice translations  $T_i \equiv e^{i\vec{\mathcal{P}}\cdot\vec{a}_i}$ , where  $(\mathcal{P}_x, \mathcal{P}_y)$  is given by  $(-i\partial_x, -i\partial_y + eBx)$  in the Landau gauge  $\vec{A} = -By\hat{x}$ . Due to an effective periodic potential, the electron band structure becomes dispersive (Fig. 3). We are interested in coupling the NGBs (phonons) to quasiparticle excitations near the Fermi surface of a partially filled band.

In this case, the conserved (magnetic) momenta  $\vec{\mathcal{P}}$  also play the role of broken generators  $Q_a = \mathcal{P}_a$  (a = x, y) that produce phonons. Hence, we should look at the commutation relation  $[\mathcal{P}_a, \mathcal{P}_b] = -i\epsilon_{ab}eB \neq 0$ . For discrete translations, we have  $[\vec{\mathcal{P}}, T_i] =$  $-eB\hat{z} \times \vec{a}_i T_i$  (no sum over *i*), and we expect nonvanishing coupling from Eqs. 7 and 9:

$$\vec{v}_{n\vec{k},n\vec{k}} = eB\hat{z} \times \vec{a}_i \left( \vec{b}_i \cdot \vec{\nabla}_{\vec{k}} \boldsymbol{\epsilon}_{n\vec{k}} \right).$$
[18]

Here  $\{b_i\}_{i=1,2}$  are reciprocal lattice vectors  $\vec{a}_i \cdot \vec{b}_j = \delta_{ij}$ . Therefore, electrons may show NFL behaviors as a result of the nonvanishing interaction with phonons. As we know, in the absence of the magnetic field B = 0, the electron-phonon coupling is conventional as one can see from Eq. **18**.

Let us confirm the nonvanishing coupling in Eq. 18 from a direct calculation. For simplicity, we assume one flux quantum per square lattice unit cell and assume the mean-field lattice potential experienced by electrons is

$$V(\vec{x}) = -V_0 \left[ \cos \frac{2\pi x}{a} + \cos \frac{2\pi y}{a} \right].$$
 [19]

The electrons and phonons interact with each other through the potential  $\mathcal{H}_{int} = V(\vec{x} - \vec{u})\psi^{\dagger}\psi$ . Expanding  $\mathcal{H}_{int}$  in series of  $\vec{u}$ , we have

$$\mathcal{H}_{0} = \frac{\left(\vec{p} - e\vec{A}\right)^{2}}{2m} + V(\vec{x}),$$
 [20]

$$\mathcal{H}_{\rm int}^{(1)} = -\vec{u} \cdot \vec{\nabla} V.$$
 [21]

We diagonalize  $\mathcal{H}_0$  in the strong magnetic field limit, perturbatively taking into account the lattice potential to the lowest order in  $mV_0/eB$ . In the Landau gauge, the lowest Landau level wave functions that simultaneously diagonalize  $T_i$  are given by ref. 29 (*SI Text*):

$$\Psi_{\vec{k}} \propto \sum_{m \in \mathbb{Z}} e^{-(1/2)(y/\ell + k_x \ell + (2\pi\ell/a)m)^2 + i(k_x + (2\pi/a)m)x - ik_y am}.$$
 [22]

Therefore, the lowest electron band to first order in perturbation theory is



**Fig. 3.** (*A*) Electron band structure under a uniform magnetic field (Landau levels). (*B*) A spontaneously generated periodic lattice potential  $V(\vec{x})$  produces dispersing bands. The quasiparticle excitation of the partially filled band (filled states shaded in blue) has a reduced lifetime due to the non-vanishing electron–phonon interaction.

$$\epsilon_{\vec{k}} = E_0 + \left\langle \vec{k} \middle| V \middle| \vec{k} \right\rangle = \frac{eB}{2m} - \tilde{V} \left[ \cos(k_y a) + \cos(k_x a) \right]$$
[23]

with  $\tilde{V} \equiv V_0 e^{-(\pi t/a)^2}$ . Using our formula [18] and the dispersion above, we predict the nonvanishing coupling  $\vec{v}_{\vec{k},\vec{k}} = e\tilde{V}a(-\sin k_y a, \sin k_x a)$ .

Now we directly compute the electron-phonon vertex by evaluating matrix elements of Eq. 21 with the zeroth-order wave function [22] and  $u = u_{\vec{q}}e^{i\vec{q}\cdot\vec{x}}$ , which gives

$$\vec{v}_{\vec{k}',\vec{k}} = eB\tilde{V}ae^{-\frac{(q\ell)^2}{4} - iq_y\frac{k_x'+k_x}{2}\ell^2} \begin{pmatrix} -\sin\frac{(k_y'+k_y+iq_x)a}{2} \\ \sin\frac{(k_x'+k_x-iq_y)a}{2} \end{pmatrix}.$$
 [24]

This indeed agrees with our formula when  $\vec{k}' \rightarrow \vec{k}$ .

Let us now note some important physical consequences. For our results, it is important that spontaneous breaking of magnetic translation symmetry occurs in a system with a uniform magnetic field. On the other hand, if the underlying symmetry is regular translation, and magnetic flux is spontaneously generated in the symmetry-breaking process (as in a skyrmion lattice), this does not lead to nonvanishing coupling (30), and a Fermi liquid

- 1. Weinberg S (1996) *The Quantum Theory of Fields* (Cambridge Univ Press, Cambridge, UK), Vol II.
- Halperin BI, Lee PA, Read N (1993) Theory of the half-filled Landau level. Phys Rev B Condens Matter 47(12):7312–7343.
- Stern A, Halperin BI (1995) Singularities in the Fermi-liquid description of a partially filled Landau level and the energy gaps of fractional quantum Hall states. *Phys Rev B Condens Matter* 52(8):5890–5906.
- Altshuler BL, Ioffe LB, Millis AJ (1994) Low-energy properties of fermions with singular interactions. *Phys Rev B Condens Matter* 50(19):14048–14064.
- 5. Nayak C, Wilczek F (1994) Non-fermi liquid fixed point in 2 + 1 dimensions. *Nucl Phys B* 417:359–373.
- Nayak C, Wilczek F (1994) Renormalization group approach to low temperature properties of a non-Fermi liquid metal. Nucl Phys B 430:534–562.
- Chakravarty S, Norton RE, Syljuåsen OF (1995) Transverse gauge interactions and the vanquished Fermi liquid. *Phys Rev Lett* 74(8):1423–1426.
- 8. Motrunich OI (2005) Variational study of triangular lattice spin-1/2 model with ring exchanges and spin liquid state in  $\kappa (ET)_2 Cu_2(CN)_3$ . *Phys Rev B* 72:045105.
- Lee S-S, Lee PAU (2005) U(1) gauge theory of the Hubbard model: Spin liquid states and possible application to κ – (BEDT–TTF)<sub>2</sub>Cu<sub>2</sub>(CN)<sub>3</sub>. *Phys Rev Lett* 95(3):036403.
- Lee S-S (2009) Low-energy effective theory of Fermi surface coupled with U(1) gauge field in 2+1 dimensions. *Phys Rev B* 80:165102.
- Rech J, Pépin C, Chubukov AV (2006) Quantum critical behavior in itinerant electron systems: Eliashberg theory and instability of a ferromagnetic quantum critical point. *Phys Rev B* 74:195126.
- Metlitski MA, Sachdev S (2010) Quantum phase transitions of metals in two spatial dimensions. I. Ising-nematic order. *Phys Rev B* 82:075127.
- Metlitski MA, Sachdev S (2010) Quantum phase transitions of metals in two spatial dimensions. II. Spin density wave order. *Phys Rev B* 82:075128.
- Mross DF, McGreevy J, Liu H, Senthil T (2010) Controlled expansion for certain non-Fermi-liquid metals. *Phys Rev B* 82:045121.
- Mahajan R, Ramirez DM, Kachru S, Raghu S (2013) Quantum critical metals in d = 3 + 1 dimensions. Phys Rev B 88:115116.
- Fitzpatrick AL, Kachru S, Kaplan J, Raghu S (2013) Non-Fermi-liquid fixed point in a Wilsonian theory of quantum critical metals. *Phys Rev B* 88:125116.
- Sun K, Fregoso BM, Lawler MJ, Fradkin E (2008) Fluctuating stripes in strongly correlated electron systems and the nematic-smectic quantum phase transition. *Phys Rev* B 78(3):085124.

results. A different but equally valid viewpoint on our result is to consider the magnetic field being applied after breaking the translation symmetry (as in a crystal), which should modify the electron-phonon coupling. Therefore, in a clean metal, a magnetic field should induce a nonvanishing coupling between phonons and electrons. Although in principle this would have important consequences, in a typical solid, even at the highest available magnetic fields there is a wide separation  $\ell \gg a$  between the magnetic length  $\ell = (eB)^{-1/2}$  and lattice spacing *a*. The typical dispersion of the Landau levels induced by the lattice, and hence the coupling constant, is  $e^{-C(\ell/a)^2} \ll 1$  (SI Text). Thus, although a nonvanishing coupling is expected, its absolute magnitude is extremely small. A more promising physical scenario is the quantum Hall regime, where  $\ell \sim a$ , and where translation symmetry breaking into stripe and bubble phases is predicted and may have been observed in higher Landau levels (31-34). We leave an analysis of this interesting possibility to future work.

ACKNOWLEDGMENTS. We are grateful to Andrew Potter, Siddharth Parameswaran, Yasaman Bahri, Philipp Dumitrescu, and Tomáš Brauner for discussions and especially thank Max Metlitski for stimulating discussions at the early stages of this work and for useful comments on the draft. H.W. was supported by the Honjo International Scholarship Foundation and A.V. is supported by National Science Foundation Grant DMR 1206728.

- Sachdev S, Chubukov AV, Sokol A (1995) Crossover and scaling in a nearly antiferromagnetic Fermi liquid in two dimensions. *Phys Rev B Condens Matter* 51(21): 14874–14891.
- Metlitski MA, Mross DF, Sachdev S, Senthil T (2014) Are non-Fermi-liquids stable to cooper pairing? arXiv:1403.3694.
- Oganesyan V, Kivelson SA, Fradkin E (2001) Quantum theory of a nematic Fermi fluid. Phys Rev B 64:195109.
- Lawler MJ, Barci DG, Fernández V, Fradkin E, Oxman L (2006) Nonperturbative behavior of the quantum phase transition to a nematic Fermi fluid. *Phys Rev B* 73: 085101.
- 22. Xu C (2010) Quantum critical points of helical fermi liquids. Phys Rev B 81:054403.
- Lee PA (1989) Gauge field, Aharonov-Bohm flux, and high-T<sub>c</sub> superconductivity. Phys Rev Lett 63(6):680–683.
- Bahri Y, Potter A (2014) Stable non-Fermi liquid phase of itinerant spin-orbit coupled ferromagnets. arXiv:1408.6826.
- Radzihovsky L, Lubensky TC (2011) Nonlinear smectic elasticity of helical state in cholesteric liquid crystals and helimagnets. *Phys Rev E Stat Nonlin Soft Matter Phys* 83(5 Pt 1):051701.
- Low I, Manohar AV (2002) Spontaneously broken spacetime symmetries and Goldstone's theorem. *Phys Rev Lett* 88(10):101602.
- Watanabe H, Murayama H (2013) Redundancies in Nambu-Goldstone bosons. Phys Rev Lett 110(18):181601.
- Hayata T, Hidaka Y (2014) Broken spacetime symmetries and elastic variables. *Phys* Lett B 735:195.
- Haldane FDM, Rezayi EH (1985) Periodic Laughlin-Jastrow wave functions for the fractional quantized Hall effect. Phys Rev B Condens Matter 31(4):2529–2531.
- Watanabe H, Parameswaran SA, Raghu S, Vishwanath A (2014) Anomalous Fermi liquid phase in metallic skyrmion crystals. *Phys Rev B* 90:045145.
- Koulakov AA, Fogler MM, Shklovskii BI (1996) Charge density wave in twodimensional electron liquid in weak magnetic field. *Phys Rev Lett* 76(3):499–502.
- Fogler MM, Koulakov AA, Shklovskii BI (1996) Ground state of a two-dimensional electron liquid in a weak magnetic field. *Phys Rev B Condens Matter* 54(3):1853–1871.
- Moessner R, Chalker JT (1996) Exact results for interacting electrons in high Landau levels. Phys Rev B Condens Matter 54(7):5006–5015.
- Eisenstein J (2001) Two-dimensional electrons in excited Landau levels: Evidence for new collective states. Solid State Commun 117:123–131.