

# Historical distribution of Sundaland's Dipterocarp rainforests at Quaternary glacial maxima

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**The extent of Dipterocarp rainforests on the emergent Sundaland landmass in Southeast Asia during Quaternary glaciations remains a key question. A better understanding of the biogeographic history of Sundaland could help explain current patterns of biodiversity and support the development of effective forest conservation strategies. Dipterocarpaceae trees dominate the rainforests of Sundaland, and their distributions serve as a proxy for rainforest extent. We used species distribution models (SDMs) of 317 Dipterocarp species to estimate the geographic extent of appropriate climatic conditions for rainforest on Sundaland at the last glacial maximum (LGM). The SDMs suggest that the climate of central Sundaland at the LGM was suitable to sustain Dipterocarp rainforest, and that the presence of a previously suggested transequatorial savannah corridor at that time is unlikely. Our findings are supported by palynologic evidence, dynamic vegetation models, extant mammal and termite communities, vascular plant fatty acid stable isotopic compositions, and stable carbon isotopic compositions of cave guano profiles. Although Dipterocarp species richness was generally lower at the LGM, areas of high species richness were mostly found off the current islands and on the emergent Sunda Shelf, indicating substantial species migration and mixing during the transitions between the Quaternary glacial maxima and warm periods such as the present.**

Quaternary | last glacial maximum | Sundaland | Dipterocarpaceae | species distribution model

During the Quaternary glacial maxima, the forests at high northern latitudes were forced into fairly well delimited and understood refugia (1–3), and the tropical rainforests of the Neotropics and Africa were fragmented into smaller pockets (4, 5). The response of Southeast Asian (SEA) tropical forests is considerably more difficult to understand, given the interaction between climate and land area change (6–8). With sea levels lowered by 120 m at the last glacial maximum (LGM; 21 kya), a large peninsula known as Sundaland was exposed. This landmass joined the present-day islands of Borneo, Sumatra, Java, Bali, and the Malay Peninsula and was twice the size of the current land area in the region (Fig. 1). The cycle of rising and falling sea levels has repeated ~50 times during the last 2.7 million years (9), and substantially lowered sea levels resulting from glacial conditions existed for 90% of the time (7, 10). Reconstructions of lowland rainforest throughout the Quaternary from combined vegetation and paleoclimatic models suggest their geographic extent was far greater at glacial maxima than at present (7). This led to the conclusion that SEA rainforests are currently in a refugial state, and not at the LGM, as is the case for the northern temperate forests and most other tropical regions.

The presence of rainforest at the LGM in the South China Sea region (northern Sundaland) is supported by palynologic data from several deep-sea sediment cores (11–14). However, Sundaland was at least partly covered by savannah vegetation that possibly formed a corridor stretching from Thailand, through the Malay Peninsula and central Sundaland via Java, to the Lesser

Sunda Islands (8, 15–18). Savannah can only occur where rainforest is absent (19). The existence of a transequatorial savannah corridor was first suggested by Morley and Flenley in 1987 (16), on the basis of one pollen core from the Malay Peninsula and six cores from southern Borneo (20) that were indicative of drier climatic conditions. On the basis of stable carbon isotope compositions of four ancient cave guano profiles (all outside of the central Sundaland area), Wurster and colleagues (15) concluded there was substantial forest contraction at the LGM on both the Malay Peninsula and Palawan, whereas rainforest was maintained at the Niah caves in northwestern Borneo (Fig. 2). The presence of savanna-like vegetation at the LGM in the region now submerged by the Java Sea is supported by the composition of extant mammal communities on small islands in this region (18). However, off the east coast of Sumatra (the Riau and Lingga Archipelagos), where Cannon and colleagues' (7) vegetation model predicted a continuous rainforest belt crossing central Sundaland, the extant mammal community data were ambiguous (18). Here, most islands have mammal communities that are indicative for rainforest at the LGM, and few islands support mammal communities indicative of a drier vegetation type. These conflicting results might be caused by the effect of soil types on central Sundaland vegetation. The region is partly covered by sandy soils (Fig. 1) (21, 22) that may have resulted in

## Significance

**The effect of glacial cycles on Southeast Asian (SEA) rainforest during the Quaternary is unresolved. Some historical evidence suggests rainforests were confined to small refugia during glacial maxima, but dynamic vegetation models suggest evergreen rainforests were widespread. Because Dipterocarpaceae dominate current SEA rainforests, their distributions closely reflect general rainforest extent. Here, we use an extensive georeferenced database of collection records for 317 Dipterocarpaceae species to model their climatic niches, based on current climatic conditions. These distribution models were then hindcast onto historical climatic conditions of the last glacial maximum. The results indicate that central Sundaland, exposed because of lower sea levels at glacial maxima, harbored suitable environmental conditions for Dipterocarpaceae and was probably covered by rainforest.**

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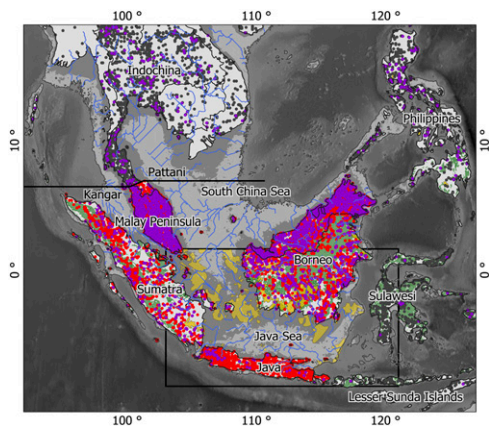
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**Fig. 1.** The extent of the study area between latitudes 11°S and 19°N and longitudes 92° and 127°W. NWO-ALW is defined as the islands of Borneo, Sumatra, and Java, and the area on the Malay Peninsula south of the Kangar-Pattani line. Blue lines represent paleo drainage derived from ETOPO1 1 Arc-Minute Global Relief Model bathymetric data; gray lines indicate sea level of -120 m below the present level; light gray areas indicate depths between 40 and 120 m below current sea level; dark gray areas indicate depths between 0 and 40 m below current sea level; yellow shading in the Java Sea indicates sandy soils from Emery (22), and the square box indicates the Emery's map extent; yellow shading on land indicates >70% sand (mass %) from the International Soil Reference and Information Centre (ISRIC) (80); and green shading indicates remaining natural forest cover anno 2010 (from ref. 81; maximum latitude, 10°N). Purple dots (2,315) indicate collection sites of modeled Dipterocarpaceae species, red dots (5,481) indicate all collection sites on Sundaland, and dark gray dots indicate all collection sites within the study area but outside Sundaland. The study area contained 8,118 collection sites in total.

vegetation types such as heath forest and peat and kerapah swamp forests. These forests have characteristics of both open and closed forest, even under ever-wet conditions (14, 21).

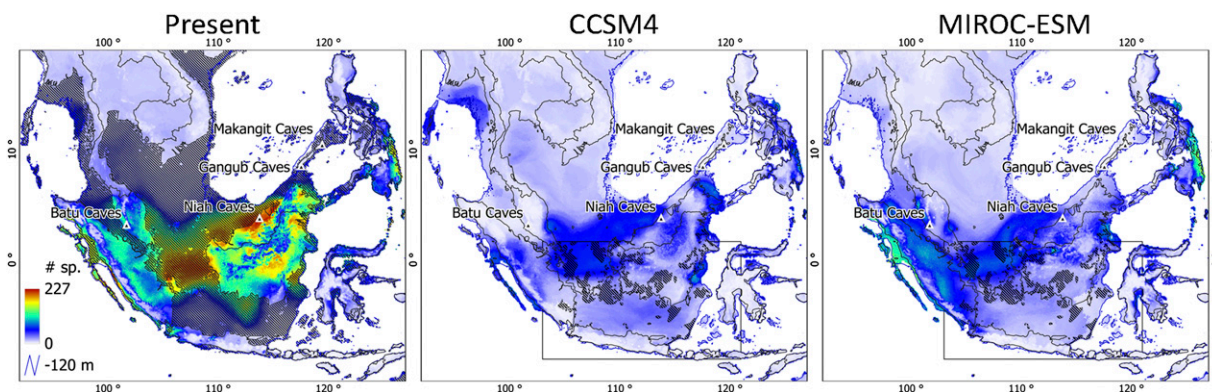
At this time, the rainforests of Sundaland are characterized and dominated by species from the Dipterocarpaceae family (23–25), distinguishing the SEA rainforests from their Neotropical and African counterparts (26). Dipterocarps have their center of diversity on Sundaland (26). The distributions of Dipterocarp species are largely restricted to rainforest, with a few exceptional species occurring in the deciduous forests of Indochina (27). This makes Dipterocarps good indicators for Sundaland rainforest extent. Digitization of Dipterocarp collection records, the development of spatial databases for current

and paleoclimatic conditions (28–30), and the use of species distribution models (SDMs) now allow us to examine whether Dipterocarp species, and thereby Dipterocarp rainforest, likely occurred on central Sundaland at the LGM. A SDM establishes the relationships between known occurrences of a species and abiotic environmental conditions at those sites to predict areas that are environmentally suitable to sustain viable populations (31). This prediction is made using current climate data, but the model can also be “transferred” in time by using past or future climate data simulated by global climate models (GCMs). The reliability of hindcasted SDMs to LGM climatic conditions (2, 32) is founded in the well-supported assumption of niche conservatism (33). Niche conservatism suggests the major ecological traits of species do not change very rapidly over time, which is supported by empirical tests of SDMs for this purpose (2, 34). Although SDMs do not account for biological mechanisms such as dispersal, establishment, and biotic interactions (31), we aim to determine whether appropriate Dipterocarp niche conditions, and thereby for Dipterocarp rainforest, were widespread or not on central Sundaland at the LGM. Suitable abiotic conditions to sustain viable populations of Dipterocarpaceae at the LGM would strongly challenge the trans-equatorial savannah corridor hypothesis.

We modeled Dipterocarp distributions using SDMs under present environmental conditions for Sundaland (including areas that are presently submerged) and hindcasted these models to LGM climatic conditions according to two GCMs: Community Climate System Model Version 4 (CCSM4) (29) and the Model for Interdisciplinary Research on Climate–Earth System Model (MIROC-ESM) (30). We used the SDM results to assess whether climatic conditions on central Sundaland at the LGM likely supported Dipterocarp rainforest, or possibly a trans-equatorial savannah corridor, and to assess Dipterocarp species turnover through time.

### Results and Discussion

The hindcasted SDMs of 317 Dipterocarp species show that central Sundaland climate was suitable for many Dipterocarp species at the LGM (Fig. 2), suggesting central Sundaland was covered by Dipterocarp rainforest (7, 14). It is therefore unlikely that central Sundaland was covered by a transequatorial savannah corridor at the glacial maxima of the Quaternary (8, 15, 16, 18). Nevertheless, it is evident that both total area covered by rainforest and most individual species' ranges were reduced at the LGM (for both scenarios) compared with present climatic conditions, and to present climatic conditions if Sundaland was



**Fig. 2.** Dipterocarpaceae species richness for the present and the LGM for two global climate models: CCSM4 and MIROC-ESM. Maps were obtained by stacking SDM predictions for 317 Dipterocarp species. Hatched areas (present) indicate hypothetical richness if the sea level were 120 m below the present level. Black triangles indicate the cave locations from Wurster and colleagues (15). Hatched areas for CCSM4 and MIROC-ESM indicate the location of sandy soils on the emerged Sunda shelf (22) and on land (80); the black box indicates Emery's (22) map extent for the sandy soils on the emerged Sunda Shelf.

exposed if the sea level were 120 m below the present level (Figs. 2–4). At the LGM, different species dominated, and the Dipterocarp species richness of these communities was lower, indicating that the compositions of Dipterocarp communities of SEA rainforests have been highly dynamic through the recent past.

The results of hindcasted SDMs are supported by different lines of evidence. First, the high suitability of Dipterocarp habitat in the South China Sea region (off the west coast of Borneo) at the LGM (Fig. 2) is supported by palynologic data (12, 13, 35), dynamic vegetation models (7, 36), stable isotopic composition of vascular plant fatty acids (37), and stable carbon isotope compositions of an ancient cave guano profile at the Niah Caves on Borneo (15) (Fig. 2). Second, the low suitability of Dipterocarp habitat for large sections of the southern Java Sea region (Fig. 2) is supported by palynologic evidence indicative of a dry vegetation type (38), vascular plant fatty acid stable isotopic compositions (37), and extant mammal (18) and termite communities (39) on the small islands in the Java Sea, indicating the absence of rainforest at the LGM. Third, Wurster and colleagues (15) and Dubois and colleagues (37) indicated drier vegetation at the Batu Caves locality on the Malay Peninsula (Fig. 2). Both GCMs predict low Dipterocarp richness for this locality at the LGM. Notably, the Batu Caves are in close proximity to Subang, from where palynologic data originated that led to the first suggestion of a savannah corridor at the LGM in 1987 (16). Finally, the majority of mammals on Borneo, with ancestors from continental SEA, are associated with woodland habitats: “they could only have reached Borneo provided that central Sundaland was covered by forest” (17). This raises the question of how the large megafauna, now largely extinct, would have reached Java in the absence of a transequatorial savannah corridor (40). We argue that much of the extant megafauna can persist in forested habitats (i.e., Javan and Sumatran rhino and tiger) and are capable of crossing a rainforest barrier (17, 40). In addition, the Mentawai islands (off the west coast of Sumatra) harbor a rich endemic fauna (41), which suggests the persistence of rainforest during glacial cycles. This region also coincides with high predicted Dipterocarp habitat suitability at the LGM by both GCMs.

Although climatic conditions on central Sundaland at the LGM were suitable to sustain Dipterocarps, it is uncertain whether the exposed soils on Sundaland could support Dipterocarp rainforest. Slik and colleagues (21) showed that genera with distributions spanning Sundaland from Borneo to Sumatra had a higher tolerance for sandy soils. The few existing maps of the seafloor of the Java Sea indeed indicate the presence of sections with sand (Fig. 1, yellow shading) (22). We were not able to include edaphic factors in our models because these are not known for the entire exposed Sunda Shelf. Nonetheless, plant distributions are primarily dictated by temperature and precipitation (31, 42, 43), within the climatic bounds modified by edaphic conditions. Even if the exposed region was fully covered by sandy soils, this still is no reason to assume that Dipterocarp rainforest was absent from central Sundaland. Ashton (44) showed that as many as 38 different Dipterocarp species are found to co-occur on leached sandy clay and sandy soils. Furthermore, it is likely that mature Dipterocarp rainforests have developed during the last glacial period on the exposed sandy soils, comparable to the primary succession and forest development on sand dunes elsewhere, such as the coastal lake Michigan sand dunes (45).

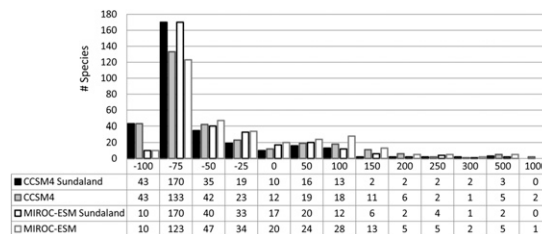
Another factor we cannot incorporate into our hindcasts of Dipterocarp SDMs is the effect of a lower atmospheric CO<sub>2</sub> concentration at the LGM (189 ppm) compared with the pre-industrial CO<sub>2</sub> concentration (280 ppm) (46). The comparative advantage of plants with C<sub>4</sub> metabolism (a large number of tropical grasses) over plants with C<sub>3</sub> metabolism (such as the Dipterocarps) increases with lower CO<sub>2</sub> concentrations because of higher water use efficiency. However, lower temperatures at

the LGM resulted in lower water needs for transpiration, which is more advantageous to C<sub>3</sub> plants than C<sub>4</sub> plants. The presence of C<sub>3</sub> trees is supported by LGM pollen assemblages from the South China Sea indicative of lowland rainforest and lower montane forest (12). Pollen in these assemblages originated from vegetation on central Sundaland and was transported by rivers into the South China Sea. Pollen cores from the Java Sea region, where maximum temperatures were higher at the LGM than present (*SI Appendix, Fig. S4*) and C<sub>4</sub> grasses likely had a competitive advantage, indeed indicated an open vegetation type lacking Dipterocarps (38, 47). These findings are supported by the stable isotope composition of vascular plant fatty acids indicating a dominance of C<sub>4</sub> vegetation (37). Cores from north-east Borneo, where the hindcasted SDMs do predict suitable Dipterocarp habitat at the LGM (Fig. 2), indicated persistent predominance of C<sub>3</sub> vegetation.

Finally, stronger El Niño–Southern Oscillation events, the most potent source of interannual climate variability, might have promoted the presence of savannah at the LGM through increased and extended droughts. However, Tudhope and colleagues (48) concluded that during the 20th century, El Niño–Southern Oscillation has been strong compared with in previous cool (glacial) and warm (interglacial) times.

The combined ranges of the 317 Dipterocarp species indicate current areas of high richness in northwestern Borneo, in smaller pockets in northeastern Borneo, in the lowlands of east Borneo, and on Bangka island (off the east coast of Sumatra; Fig. 2). Most areas with high predicted Dipterocarp richness correspond with the localities that were characterized as Dipterocarp forest by Slik and colleagues (21), with the exception of Bangka island, which is known for tin mining and is characterized by large areas of ultramafic (toxic to many species) and nutrient-poor sandy soils that, in reality, support low levels of Dipterocarp richness. SDM predictions for all of Sundaland show that central Sundaland could harbor another area of high Dipterocarp richness, if it were completely exposed (Fig. 2). Where this hypothetical area of high species richness reaches the shores of southwestern Borneo, our findings are supported by Dipterocarp-dominated rainforest at Gunung Palung National Park (49).

Hindcasted Dipterocarp distributions suggest lower levels of local richness at the LGM than at present (Fig. 2). The pattern of lower richness is the result of marked range contractions under LGM climatic conditions (Figs. 3 and 4). SDMs tend to overestimate species richness (50), but our estimates for Dipterocarp richness are probably conservative for both present and LGM climatic conditions because of the thresholds set for the SDMs. In converting the continuous probability of presence SDM values into discrete presence/absence values, we forced 10% of the collection localities at the niche margins outside the predicted presence area. This was done to account for potential identification and georeferencing errors. Furthermore, 12% of the species



**Fig. 3.** LGM range contraction and expansion (in percentages) for 317 Dipterocarpaceae species compared with the current distribution for Sundaland (as if it were completely exposed, as it was at the LGM) and for the current land area. LGM ranges were hindcasted with SDMs for the CCSM4 and MIROC-ESM GCMs. The horizontal axis labels indicate the upper bin values.



potential evapotranspiration (see *SI Appendix, Table S2* for all correlation coefficients). Anomalies for both GCMs for the eight selected variables are shown in *SI Appendix, Fig. S4*.

**Nonanalog LGM Climatic Conditions?** At the LGM, the climate was drier and 3–6 °C cooler than at present (*SI Appendix, Fig. S4*; 14, 65–66). This raises the question of whether SDMs are not unreliably extrapolated to nonanalog climate conditions at the LGM. To test whether this is the case, we developed multivariate environmental similarity surfaces (MESS) that represent how similar each site in the study area is compared with a reference set of sites (the 8,118 collection localities used for SDM calibration), with respect to the eight independent predictor variables (67). Negative values indicate sites at which at least one variable has a value outside the range of conditions of the reference set. This was done for the present, CCSM4, and MIROC-ESM. None of the datasets showed nonanalog climatic conditions within the Sundaland region (*SI Appendix, Fig. S5*).

**SDMs, Significance Testing, and Hindcasting.** SDMs are used to predict areas that are environmentally suitable for a species from the sites where it is known to occur (31). The following steps are taken: locations of the known current distribution of a species are compiled; values for climatic predictor variables at these locations, and for a large set of random (background) locations, are extracted from spatial databases; and the climatic values are used to fit a SDM that estimates the similarity of the climate at any location to climatic conditions at known occurrence locations. The SDM is then used to predict the climatic suitability for a species across an area of interest. This prediction can be made using current climate data, but the SDM can also be transferred in time by using past or future climate data simulated by GCMs.

To model the Dipterocarp distributions, we selected the maximum entropy modeling algorithm, MaxEnt (68, 69), because it was developed to deal with presence-only data, has shown to outperform other algorithms (70, 71), can be used to reliably hindcast to LGM climatic conditions (34), performs well when few presence records are available (72), and is relatively robust against georeference errors (73). We applied a target-group background sample methodology (74) by adding a mask layer that represents all 8,118 collection sites of the study area. We applied default MaxEnt settings but excluded product and threshold rules to avoid model overfitting (75). We used all records for model training and tested the SDMs for significant deviation from random expectation, using a bias-corrected null-model (76). More specifically, we tested for each SDM the area under the receiver operating characteristic (ROC) plot (AUC) value (77), with a Monte Carlo randomization procedure. For the randomization procedure, we included a spatial filter that represented the eight biogeographic units in the study area: Malay Peninsula and Indochina, Sumatra, Borneo, Java and Bali,

Philippines (including Palawan and the Bayan islands), Sulawesi, Moluccas, and the Lesser Sunda Islands (78). For each species, we assessed the number of collections per biogeographic unit, and with the same distribution, we drew random points from collection sites in the biogeographic units. These random points were then modeled with MaxEnt, using identical settings and climate variables as those used to develop the real species' SDM, and we obtained the AUC value for a set of random points. This procedure was replicated 99 times, resulting in 99 AUC values. A SDM with an AUC value that ranks in the top 5% AUC values derived from random points performs significantly better than random expectations ( $P < 0.05$ ). 317 Dipterocarp species (88%) fulfilled this requirement and were retained for subsequent analyses.

All 317 significant SDMs were projected to a dataset of the present climatic conditions for the LGM Sundaland land area and were hindcast to LGM climate datasets CCSM4 and MIROC-ESM. Finally, we converted the continuous habitat suitability maps to discrete presence/absence maps using the 10 percentile training presence threshold value. This is a conservative threshold to prevent commission errors (false-positive predictions).

**Richness Patterns and Community Turnover.** To obtain patterns of richness for present and LGM climatic conditions, we stacked all 317 presence-absence predictions for each of the three projections. This resulted in three presence-absence matrices for 317 Dipterocarp species and all raster cells in the study area. The sums of species presences per raster cell were mapped for the present and for LGM models CCSM4 and MIROC-ESM (Fig. 2). To quantify range shifts, we calculated the absolute (*SI Appendix, Table S3*) and relative (in percentage) (Fig. 3) difference in species ranges between present for the actual land area; for the entire Sundaland region, including currently submerged land; and for both LGM conditions.

To estimate the quantitative community turnover, we calculated the percentage species turnover per raster cell, under the assumption of universal migration. Turnover ( $T$ ) is defined as  $T = 100 \times (L + G)/(SR + G)$ , where  $L$  is the number of species lost per raster cell,  $G$  is the number of species gained per raster cell, and  $SR$  is current species richness at a raster cell (79). To assess whether the percentage community turnover could be attributed to species losses or gains, we plotted the number of species that were lost, persisted, and gained for both LGM models (Fig. 4).

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