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## Defining midbrain dopaminergic neuron diversity by single-cell gene profiling

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### Abstract

Effective approaches to neuropsychiatric disorders require detailed understanding of the cellular composition and circuitry of the complex mammalian brain. Here, we present a paradigm for deconstructing the diversity of neurons defined by a specific neurotransmitter, using a microfluidic dynamic array to simultaneously evaluate the expression of 96 genes in single neurons. With this approach, we successfully identified multiple molecularly distinct dopamine neuron subtypes, and localized them in the adult mouse brain. To validate the anatomical and functional correlates of molecular diversity, we provide evidence that one *Vip*<sup>+</sup> subtype, located in the periaqueductal region, has a discrete projection field within the extended amygdala. Another *Aldh1a1*<sup>+</sup> subtype, located in the substantia nigra, is especially vulnerable in the MPTP model of Parkinson's disease. Overall, this rapid, cost-effective approach enables the identification and classification of multiple dopamine neuron subtypes, with distinct molecular, anatomical, and functional properties.

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### Author contributions

JF Poulin designed and performed the experiments and wrote the manuscript; J Zou performed several co-labeling experiments, J Drouin-Ouellet and F Cicchetti provided MPTP treated brain sections and initial analysis, KYA Kim helped with statistical analysis, RB Awatramani supervised the study and helped write the manuscript.

## Introduction

The mammalian central nervous system (CNS) is an extremely heterogeneous organ composed of hundreds of neuronal and non-neuronal cell types (Ng et al., 2009). There is an urgent need to transition from cell groups, traditionally defined by cytology and anatomy, to molecularly defined cell types in order to generate a comprehensive catalog of the mammalian CNS (Bota and Swanson, 2007; Fishell and Heintz, 2013). In this regard, several *in situ* hybridization and transgene profiling studies have served as an important step in classification of neurons in given brain regions (Shimogori et al., 2010; Siegert et al., 2009).

The next important step towards classifying cellular diversity requires the analysis of a multitude of markers with single-cell resolution. Molecular definition of neurons should rely on a polythetic approach, rather than stochastically expressed markers (Bota and Swanson, 2007). This transition is mandatory for accurately defining neuronal circuitry and its relation to complex neural functions and behaviors. An ideal approach to investigate neuronal diversity would allow a quick, reliable and quantitative assessment of the gene expression profile of single neurons obtained from the mammalian brain. Although recent reports of single-cell transcriptomics have been published, this approach is cost-prohibitive and has yet to reach its maturity before being broadly applicable to the mammalian CNS (Macaulay and Voet, 2014; Tang et al., 2010; Xue et al., 2013). As an alternative technology to simultaneously profile multiple genes from a single cell, we used a microfluidic chip array to investigate neuronal diversity from mouse brain.

We focused on the investigation of the clinically important midbrain dopaminergic (DA) system. Midbrain DA neurons influence a large spectrum of behaviors and DA dysfunction is prominently implicated in a wide variety of disorders including Parkinson's disease (PD), schizophrenia, attention deficit hyperactivity disorder (ADHD), obsessive-compulsive, addiction and depression, together affecting tens of millions of people. How a small group of neurons, constituting less than 1% of brain neuronal populations, can account for such diverse physiological functions has been a subject of interest since their discovery. We hypothesized that multiple molecularly distinct DA subtypes could be partly responsible for the functional diversity of the DA system.

During embryonic development, midbrain DA neurons are derived from the Shh+ floor plate (FP) (Blaess et al., 2011; Hayes et al., 2011; Joksimovic et al., 2009; Kittappa et al., 2007). FP progenitors already display some heterogeneity, in terms of gene expression and proclivity to contribute to distinct nuclei (Blaess et al., 2011; Hayes et al., 2011; Joksimovic et al., 2009; Wallén et al., 1999). Immature DA neurons migrate from the ventricular zone into the mantle, and express a series of transcription factors and other genes, some of which are heterogeneously expressed in the nascent DA cohort (Ang, 2006; Chung et al., 2010; Di Salvio et al., 2010a; Smidt and Burbach, 2007; Smits et al., 2013). Thus, the earliest aspects of DA neuron heterogeneity appear to be established in the early embryo, although it is likely that subsequent maturation events build on, or refine, DA heterogeneity. This model is akin to that proposed for the generation of cortical interneuron lineages, wherein embryonic

cardinal classes are established, and sequentially refined, to generate the full repertoire of interneurons (Kepecs and Fishell, 2014).

When considering the possibility of distinct postnatal DA neuron populations, the overwhelming literature is based on anatomical classification of midbrain DA neurons to three clusters: the substantia nigra pars compacta (SNc; A9), the ventral tegmental area (VTA; A10), and a third smaller cluster, found in the retrorubral area (RR; A8). Accordingly, several studies have addressed the molecular heterogeneity of DA neurons in anatomically defined SNc vs VTA populations with microarray analysis (Chung et al., 2005; Greene et al., 2005; Grimm et al., 2004). Although informative, these studies rely on the premise that the SNc and VTA are composed of molecularly homogeneous populations. Considering the diverse functions of this neurotransmitter system, and recent reports of physiological and functional heterogeneity (Darvas and Palmiter, 2009; Di Salvio et al., 2010b; Ekstrand et al., 2014; Guzman et al., 2010; Lammel et al., 2008; 2012; Margolis et al., 2006a; 2006b; Stamatakis et al., 2013; Tye et al., 2011), we postulated the existence of several molecularly distinct DA neuron subtypes, which may not necessarily be segregated anatomically, but rather may be intermingled with one another. Providing the molecular identity of DA neuron subtypes would have meaningful implications for: (i) targeted therapeutics of the DA system, (ii) understanding the differential vulnerability of DA neurons, (iii) developing more accurate stem cell and induced pluripotent stem cells derived DA neurons, and (iv) a deeper understanding of the DAergic system by providing points of genetic access, thus allowing genetic dissection of this neurotransmitter system.

## Results

### Comprehensive single-cell gene expression profile of midbrain dopamine neurons

To redefine the DA system on a molecular basis we devised a novel, neuroanatomically unbiased, systematic approach to profile single midbrain DA neurons. We opted for a 96.96 dynamic array to evaluate 96 cells, each for the expression of 96 key genes, an approach used previously to discriminate stem cell identities (Buganim et al., 2012; Guo et al., 2010). We selected 96 gene candidates based on reported differential expression between SNc and VTA (Chung et al., 2005; Greene et al., 2005; Grimm et al., 2004), with a positive bias towards genes with validated midbrain mRNA expression as shown by *in situ* hybridization in public databases (Table S1). In addition, we evaluated the expression of housekeeping genes (*Actb*, *Gapdh*, *Hprt*), genes linked to PD (*Atp13a2*, *Lrrk2*, *Park2*, *Park7*, *Pink1*, *Snca*), as well as validated DA neuronal markers (*Ddc*, *Th*, *Slc6a3*, *Slc18a2*). All primers used were pre-validated using serial dilution of commercial reference samples (see online Material and Method). To isolate single DA neurons, we grossly dissected and dissociated the midbrain of postnatal day four (P4) *Slc6a3::Cre*, Ai9 mouse. These mice have been described previously (Bäckman et al., 2006; Madisen et al., 2010), and in our hands, resulted in more than 95% of Th+ midbrain DA neurons expressing tdTomato following Cre dependent recombination. Fluorescently labeled single cell expressing tdTomato were FACS sorted into 96-well plates (Fig. 1a). P4 was selected as a specific time point, in part because of the technical ease of FACS sorting at this stage (Arlotta et al., 2005). Supporting this choice, it has been argued that neuronal molecular identity is established shortly after cells

exit their last mitotic cycle (Fishell and Heintz, 2013). Indeed, the vast majority of markers that we selected are expressed in the developing embryo or in the neonate supporting P4 as a meaningful time point (Hoekstra et al., 2013; Veenvliet et al., 2013).

In the initial experiment, 87 single cells were sorted in 96-well plate for gene expression analysis (Fig. 1b). The remaining 9 wells included a brain cDNA dilution series to generate a standard curve. The Biomark microfluidics chip allow the combination of samples and primer-probe sets for 9,216 quantitative RT-PCR (RT-qPCR) reactions with cycle threshold (Ct) values as output (Fig. S1). For quality control purposes, the melting curve of every reaction was determined, and every reaction with a peak falling outside the expected value was removed from the analysis (example of standard curve and melting curve are provided in Fig. S2). This experiment was replicated by two other arrays filled with single cells sorted from two additional mice. Using a cutoff criterion of two standard deviations away from the median of the housekeeping gene *Gapdh*, and key DA neurons defining genes *Th*, *Slc6a3* (Dat), *Slc18a2* (Vmat2), and *Ddc* (Aaad), we removed all cells exhibiting a weak and unreliable expression. Altogether, we were left with 159 DA neurons for further analysis and the complete expression profile of these cells is provided in Fig. S3. All these neurons had expression of key transcription factors such as *Foxa1*, *En1/2*, *Lmx1b*, *Pitx3* and *Nr4a2*; since the combinatorial expression of these transcription factors is unique to midbrain DA neurons, their expression confirms the absence of hypothalamic DA neurons in the FACS sorted cells. Additionally, all samples had virtually undetectable levels of *Gad1*, confirming the absence of GABAergic neurons.

From gene expression data (Fig. 1b and S3), it is apparent that a large number of genes are expressed in all DA neurons. The genes expressed in most DA neurons include PD-linked genes *Atp13a2*, *Lrrk2*, *Park2*, *Park7*, and *Pink1*, as well as the transcription factors *Foxa1*, *En1/2*, *Lmx1b*, *Pitx3* and *Nr4a2*. In contrast to these uniformly expressed genes, several genes are differentially expressed between individual DA neurons. As a first approach to investigate molecular heterogeneity of DA populations, we used an unbiased coefficient similarity hierarchical clustering analysis to parcel these cells according to their gene expression profile (Fig. 1b and S4). This analysis revealed the presence of two main clusters that could be further divided into a total of six molecularly distinct DA subtypes (Fig. 2a). Out of 159 cells, 55 cells (35%) belong to the first cluster, 98 cells (61%) were assigned to the second cluster, whereas six cells (4%) could not be assigned. The first cluster can be subdivided into two subtypes, here referred to as DA<sup>1A</sup> and DA<sup>1B</sup>, which are composed of 33 cells (21%) and 22 cells (14%) respectively. The second cluster can be subdivided into four molecularly distinct subtypes labeled DA<sup>2A</sup> (18%), DA<sup>2B</sup> (23%), DA<sup>2C</sup> (12%), and DA<sup>2D</sup> (9%). Interestingly, these six molecular subtypes were represented in a roughly similar proportion in all three microfluidic arrays (Fig. 2a).

We compared the relative gene expression level, normalized to *Gapdh*, of the two identified main clusters by subtracting the average value of cells assigned to the second cluster to the average value of cells assigned to the first cluster (Fig. 2b). *Gapdh* proved to be a reliable housekeeping gene since there were significant correlations between this gene and other known housekeeping genes, *Actb* ( $r = 0.939$ ,  $n = 105$ ,  $p < 0.0001$ ) and *Hprt* ( $r = 0.922$ ,  $n = 105$ ,  $p < 0.0001$ ). This analysis revealed significant differences between these clusters in the

expression of some key genes. For instance, *Sox6*, *Slc6a3*, *Zdhhc2*, and *Nrip3* have a higher expression in the first, compared to the second cluster. Interestingly, those four genes were previously reported to have a higher expression in pooled cells of the SNc compared to the VTA (illustrated in red in Fig. 2b; see Table S1 for references). In comparison, we found that *Calb1*, *Slc17a6*, *Cck*, *Calb2*, and *Efnb3* are five genes found to have a higher expression in the second cluster. These five genes, like the majority of genes overexpressed in the second cluster, were previously associated with cells of the VTA (illustrated in blue in Fig. 2b; see Table S1 for references). It is important to note that cells were not identified based on their neuroanatomical position, since the anatomical origin of each FACS sorted cell is undetermined. However, we can conclude that the expression profile of cells from cluster 1 has more similarity with the molecular signature of cells of the SNc, whereas cells from cluster 2 have an expression profile related to the VTA. This prediction is indeed borne out in subsequent anatomical analysis, which show that DA<sup>1A</sup> and DA<sup>1B</sup> (cluster 1) are more localized to the SNc, whereas DA<sup>2A-D</sup> (cluster 2) are preferentially localized to the VTA.

### Molecular signature of dopamine neuron subtypes

The DA neuron subtypes defined by clustering analysis harbor an organized gene expression profile, which can be used to derive a molecular bar code unique to each subtype (Fig. 3). To gain further insight into the data, we used principal component analysis (PCA) to identify components responsible for the variance observed. Applied to the expression data of the 159 cells, we extracted four principal components (PC) and found that the first principal component (PC1) underlies 22.1% of the observed variance, PC2 contributes 13.8% to the variance, whereas PC3 and PC4 contributes to 8.7% and 6.7% of the variance respectively. Together, these four PCs account for more than 50% of the variation of the data set. The first cluster is divided into DA<sup>1A</sup> and DA<sup>1B</sup>, and both express high levels of *Ndnf*, *Sox6*, and *Sncg* mRNA and the loading of these genes contribution to PC1 are 0.237 (*Ndnf*), 0.232 (*Sox6*) and 0.145 (*Sncg*) (Fig. 3a–b; Fig. S5a). However, the high expression of *Aldh1a1* in DA<sup>1A</sup>, a gene absent from DA<sup>1B</sup>, as well as the higher expression of *Sncg* gene, allowed us to differentiate these two populations (Fig. 3a–b). In contrast, *Igf1* is more highly expressed in DA<sup>1B</sup> compared to DA<sup>1A</sup>. Interestingly, all these genes contribute significantly to PC3, and thus allowed us to segregate those two populations by PCA (Fig. 3a).

In order to validate these results and anatomically localize these DA subtypes in the adult midbrain, we used a combination of immunofluorescence, fluorescent *in situ* hybridization, and transgenic mice. The majority of DA<sup>1A</sup> neurons are located in the ventral tier of the SNc (Fig. 4a), with a few cells observed in RR. In those two regions, *Aldh1a1* labels  $36.7 \pm 1.8\%$  and  $12.1 \pm 2.3\%$  of DA neurons respectively, overall accounting for approximately  $15.4 \pm 1.3\%$  of all midbrain DA neurons. Within the DAergic field, *Aldh1a1* antibody overwhelmingly labeled DA neurons (Fig. S6), with occasionally one cell per section appearing negative for Th. Within the SNc, *Aldh1a1*<sup>+</sup> neurons are located in the ventral tier and are also immunolabeled by *Sncg* and *Sox6*, but are not labeled with *Calb1* and *Otx2* antibodies (Fig. 4a). These neurons also co-expressed *Aldh1a1* and *Sncg* mRNA, which is also in complete agreement with our single-cell expression profile of DA<sup>1A</sup> cells (Fig. 3a). Indeed according to the array, only the DA<sup>1A</sup> subtype expresses a combination of *Aldh1a1*, *Sox6*, *Sncg*, but do not express *Otx2* and *Calb1*. In contrast to DA<sup>1A</sup>, DA<sup>1B</sup> neurons are

located in the dorsal part of the SNc, and intermingled with other DA subtypes in the rostro-dorsal VTA (Fig. 4b). These neurons are Sox6+ and Sncg+, but negative for Aldh1a1. Moreover, Calb1 was variably expressed in Sox6 positive cells of DA<sup>1B</sup>, which also appear to mirror the single cell array data whereby varying levels of *Calb1* mRNA were detected in this population.

The second cluster can be defined by a particularly high expression of *Calb1*, *Slc17a6*, and *Cck* among others, and can be further divided into four DA subtypes (DA<sup>2A-D</sup>), each defined by a unique molecular signature (Fig. 3c–f). Subtype DA<sup>2A</sup> is specifically defined by the expression of the GABA vesicular transporter *Slc32a1* (also known as *Vgat*), since this gene is not significantly expressed by any other subpopulation (Fig. 3c and Fig. S5a). This opens the intriguing possibility that DA<sup>2A</sup> may co-release GABA and it is conceivable that this subtype matches a described DA population sending an inhibitory projection to lateral habenula (Stamatakis et al., 2013). Notably, these cells did not reliably express *Gad1*, a key GABA synthesis gene, ruling out the possibility of GABAergic neuron contamination. This DA subtype is also uniquely defined by low expression of a list of genes, which includes *Th*, *Satb1*, *Clstn2*, *Gsg1l*, *Zfhx3*, and *Tacr3* (Fig. S5). Interestingly, all these genes contribute significantly to PC4, which allowed us to segregate DA<sup>2A</sup> from the other populations (Fig. 3c). In order to validate the molecular bar code obtained from our single cell approach, we aimed at localizing DA<sup>2A</sup> neurons in the adult brain. Since *Slc32a1* immunoreactivity is localized in dendrites, and is also present in GABAergic neurons, co-immunolabeling analyses proved challenging. Therefore, we crossed *Slc32a1::Cre* knock-in line to a nuclear LacZ (nLacZ) reporter (Fig. 5a; see methods for details). Although nLacZ was present in a large number of neurons, presumably GABAergic, confocal analysis revealed in some cells, the colocalization of nLacZ with several markers of midbrain DA neurons including *Th*, *Nr4a2*, and *FoxA2* as shown in Fig. 5a. These neurons were observed intermingled with other cell types within the VTA, IF and CLI regions. Quantification of nLacZ and *Nr4a2* co-labeled cells shows that subtype DA<sup>2A</sup> might comprise approximately  $14.4 \pm 3.8\%$  of DA neurons of the VTA, but only about 10% of the IF/CLI. We also observed the colocalization of *Slc32a1* and *Th* mRNA supporting our single cell qPCR and *Slc32a1::Cre* recombination data (Fig. 5a).

Subpopulation DA<sup>2B</sup> is uniquely defined by high/exclusive expression of *Lpl*, *Otx2*, *Adcyap1* as well as *Grp* (Fig. 3d; Fig. S5). These genes contribute strongly to PC2 to the order of 0.355 (*Lpl*), 0.221 (*Otx2*), 0.242 (*Adcyap1*), and 0.309 (*Grp*). This population displays also strong expression of *Aldh1a1*, but contrary to DA<sup>1A</sup>, which also expresses *Aldh1a1*, DA<sup>2B</sup> depicts high levels of *Calb1* and other molecular determinant of the second cluster. Subtype DA<sup>2B</sup> can be isolated by plotting cells according to PC3 and PC2 (Fig. 3d). We validated the localization of some of those markers in the adult mouse brain. We could locate subtype DA<sup>2B</sup> neurons by co-labeling of *Aldh1a1* and *Otx2* (Fig. 5b), a combinatorial code unique to this DA subtype. *Aldh1a1*+ neurons composed approximately  $18.7 \pm 2.4\%$  of the DA neurons of the VTA,  $52.3 \pm 5.1\%$  of the interfascicular nucleus (IF), and  $17.5 \pm 2.3\%$  of the caudal linear nucleus (CLI). Together, *Aldh1a1*+ neurons in these regions account for  $11.3 \pm 1.3\%$  of all midbrain DA neurons. The marker *Otx2* is less specific to DA neurons with numerous *Th* negative cells observed within the VTA and neighboring regions

(i.g. interpeduncular nucleus; Fig 5b). Within the VTA, IF and CLI, more than 60% of *Aldh1a1*-labeled DA neurons were also positive for *Otx2*. These cells contain high levels of *Calb1*, but are negative for *Sox6* and *Sncg* (not shown), which confirms the single cell expression profile obtained with the array. This Grp+ DA<sup>2B</sup> population matches a recently described DA population that projects to the nucleus accumbens (Ekstrand et al., 2014).

In comparison to other DA subtypes, DA<sup>2C</sup> is harder to define molecularly since it is not uniquely distinguished by expression of a single gene like other subtypes of the second cluster. All genes characterizing the second cluster are strongly expressed in this population (i.g. *Calb1*, *Cck*, *Slc17a6*), but none of the markers identifying other subpopulations are present *Slc32a1* (DA<sup>2A</sup>), *Adcyap1* (DA<sup>2B</sup>), and *Vip* (DA<sup>2D</sup>) (Fig 3e). Interestingly, genes characterizing cluster 1 are also expressed in this subpopulation, albeit at lower levels (i.g. *Sox6*, *Igfl1*, but not *Ndnf*). Not surprisingly, this subtype could not be isolated by PCA (Fig 3e). It is also possible that DA<sup>2C</sup> could be comprised of multiple DA subtypes, that are indistinguishable by our analyses.

The final DA subtype identified, DA<sup>2D</sup>, depicts a unique and well-defined gene expression profile with the exclusive expression of *Vip* (Fig. 3f) combined to low/absent expression of *Sncg*, *Chrna4*, and *Ntf3* (Fig. 3f and Fig. S5a). Like most cells belonging to cluster 2, these cells express *Calb1*, *Cck*, and *Foxa2*, but do not express *Sox6* and *Ndnf*. In the adult mouse brain, these neurons are located in the periaqueductal gray (PAG) and dorsal raphe nucleus (Fig. 5c). Within the PAG, we observed that in the *Slc6a3::Cre/Ai9* mouse, about  $48.7 \pm 1.2\%$  of tdTomato positive cells expressed *Vip*. On the other hand,  $50.0 \pm 1.3\%$  of *Vip*+ cells were labeled with tdTomato, suggesting that some cells of this population could have evaded recombination due to very low *Slc6a3* expression level. *Vip*-labeled DA neurons co-express most DAergic markers including *Th* and *FoxA2*, but little to no expression of *Sox6*, *Sncg*, *Aldh1a1* and *Otx2* (Fig. 5c and data not shown) was detected by immunofluorescence in the adult brain. Interestingly, for subtype DA<sup>2D</sup>, we were able to take advantage of strong *Vip* immunolabeling to visualize its projections in *Slc6a3::Cre; Ai9* mice (Fig. 5d). We find that *Vip* immunoreactivity is found only in a subset of the DA projections. While DA projections are extensive in the extended amygdala, *Vip* immunolabeling is only observed in the oval portion of the bed nucleus of the stria terminalis (BSTov), and the lateral portion of central nucleus of the amygdala (CEAl). High-magnification images of fibers depict the colocalization of *Vip* and tdTomato in axonal boutons of DA neurons terminating in the BSTov and CEAl (Fig. 5d, inset). *Vip* labeling is excluded from other DA fields including the lateral septum (Fig. 5d; LS), striatum, accumbens, and cortex. This labeling is consistent with retrograde tracer analysis showing a dopaminergic projection from the PAG/DR directed toward the extended amygdala in the rat (Hasue and Shammah-Lagnado, 2002; Meloni et al., 2006). Overall, the presence and absence of these markers by co-labeling confirms the finding of our high-throughput single cell qPCR study, as well as validates the existence of this DA subtype with distinctive anatomical location in the PAG/DR and specific projection within the extended amygdala in the adult brain.

Finally, we found it useful to examine the expression of various classes of genes across DA subtypes (Fig. S5). We found that DA subtypes exhibit distinct transcription factor profiles that could in part underlie their molecular phenotype. Additionally, neuropeptides, channels

and neurotrophic factors were substantially different between DA subtypes, suggestive of functional heterogeneity between these DA subtypes. With respect to PD related genes, we found that these are expressed in all DA subtypes; however, *Snca* levels are increased in DA<sup>1A</sup> and DA<sup>2B</sup> (Fig. S5d).

### Relevance of dopamine neuron identity to Parkinson's disease

In Parkinson's disease, DA neurons located in the ventral tier of the SNc are particularly vulnerable (Damier et al., 1999). Thus the DA<sup>1A</sup> subtype could be of special clinical relevance, since it is located in the ventral tier of the SNc. To determine if molecular codes were linked to vulnerability, we evaluated the vulnerability of DA<sup>1A</sup> neurons to the neurotoxin 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP), commonly used to mimic a number of important pathological features of parkinsonism in mice. We observed a significant decrease in the number of midbrain Th+ cells as quantified by stereological analysis (4039 compared to 2352;  $p$ -value<0.01; Fig. 6a). As previously reported (German et al., 1996), cells located in the SNc were particularly vulnerable (58.0% cell lost,  $p$ -value < 0.0001), compared to VTA (39.9% cell lost,  $p$ -value < 0.005), IF (14.3% cell lost,  $p$ -value = 0.5), RR (23.4% cell lost,  $p$ -value = 0.25) and CLI (43.3% cell lost,  $p$ -value < 0.05). We tested the hypothesis that DA<sup>1A</sup> subtype could be more vulnerable to MPTP toxin and examined the vulnerability of Aldh1a1 labeled neurons of SNc and RR (defining DA<sup>1A</sup>). Indeed, *Calb1* and *Otx2* genes that have been associated to resistance to MPTP and PD are absent in this population (Di Salvio et al., 2010b; Liang et al., 1996). We found that DA<sup>1A</sup> neurons were significantly more vulnerable since we observed a 66.2% diminution of Aldh1a1+ cells in the SNc and RR of MPTP-treated mice compared to animals injected with saline (Fig. 6b). The remaining DA neurons were significantly less affected by MPTP, with only 39.1% DA cell loss compared to saline ( $p$ -value=0.001; Fig. 6c). In comparison, DA<sup>2B</sup> subtype that is defined by the coexpression Aldh1a1 and *Otx2*, and is observed in the ventromedial VTA, is not differently affected by MPTP compared to other DA populations (Fig. 6c). Additionally, when we counted Aldh1a1 positive and negative cells specifically in the SNc, we found that Aldh1a1+ cells (DA<sup>1A</sup>) are significantly more vulnerable than Aldh1a1- (DA<sup>1B</sup>) cells to MPTP (Aldh1a1+ showed 70% loss, Aldh1a1- showed 50% loss;  $p$ -value = 0.0337). MPTP vulnerability has been in part attributed to levels of *Slc6a3* (Di Salvio et al., 2010b); this latter finding is interesting as both DA<sup>1A</sup> and DA<sup>1B</sup> display high *Slc6a3* (Fig. S5e), yet the Aldh1a1+ DA<sup>1A</sup> cohort appears more vulnerable. Factors other than *Slc6a3* levels, may therefore also impact DA vulnerability.

### Discussion

This study demonstrates the existence of multiple molecularly distinct DA neuron subtypes using a novel single-cell qPCR approach. These subtypes all share a core genetic program to produce the neurotransmitter dopamine, upon which subtype-specific gene expression modules are superimposed. Our high-throughput approach identified several markers related to specific DA subpopulations, and more importantly their coordinated expression in single cells. We show that each DA subtype has signature expression profiles of transcription factors (Fig. S5b), channels (Fig. S5c), receptors (Fig. S5c), DA related genes (Fig. S5e), neuropeptides (Fig. S5f) and secretory factors (Fig. S5g). Furthermore, we show that some



of these identified subtypes have distinct anatomical and functional properties. To our knowledge, our study is the first application of this technology to classify, in a polythetic manner, neuron subtypes within a neurotransmitter group. Although our study focused exclusively on the DA system, a similar approach could be used to dissect other neurotransmitter systems within a defined brain region.

Heterogeneous expression of markers in the DA system has been reported (Di Salvio et al., 2010b; Dougalis et al., 2012; Fu et al., 2011; Gerfen et al., 1987; Hnasko et al., 2012; McCaffery and Dräger, 1994; Smits et al., 2013). Previous studies have relied on *in situ* hybridization, or antibody co-labeling with Th, to localize marker expression. These studies provided an important starting point for the classification of DA neuron subtypes presented here. Since DA neurons are heterogeneous and intermingled, these approaches while informative, do not provide conclusive evidence of coordinated gene expression, an essential feature of a neuronal subtype. In contrast, the approach presented here reveals coordinated expression of cohorts of genes, thus allowing the classification of DA subtypes in a polythetic manner, an important goal in the field of neuronal classification (Bota and Swanson, 2007; Fishell and Heintz, 2013).

Our study has limitations. First our classification was achieved in early postnatal brain, at a stage when DA neurons are still immature in terms of pacemaking activity (Chan et al., 2007) and glutamate receptor expression (Bellone et al., 2011). It is therefore possible that some markers on which the classification is based, are specific to this developmental timepoint. However, the fact that we could observe all markers that we tested in the adult brain would argue that our single cell array analysis is highly informative, despite the neonatal time point. Second, it is possible that DA neurons with low/absent *Slc6a3* (Lammel et al., 2008) were excluded from these analyses, due to inefficient recombination of the reporter by *Slc6a3::Cre*. Third, it is possible that there are biases in the dissociation and FACS sorting method that could disproportionately skew the numbers of each DA subtype. This could explain the discrepancy between the proportion of each subtype observed in the array, compared to what was observed in the adult by immunofluorescence and *in situ* hybridization, although these latter methods may also have inherent bias. Fourth, this method requires some knowledge of gene expression, in this case provided by important earlier studies (Chung et al., 2005; Greene et al., 2005; Grimm et al., 2004). Additionally, expanding the number of genes and cells sampled could increase the power of this method, and could yield additional DA subtypes, or further subdivide currently identified DA subtypes. Ultimately, unbiased single cell mRNA sequencing could be used to provide further resolution for DA cell classification. Despite these limitations, this study represents an important advance; the method used here allows simultaneous, efficient, and quantitative assessment of key DA markers in single cells. Based on these coordinated gene expression profiles, we were able to distinguish multiple molecularly distinct DA subtypes with unique properties, one with a specific projection, and one selectively vulnerable. Further work will be required to illustrate the projections, physiological characteristics, and functions of the DA subtypes defined here.

Defining DA neurons on a molecular basis will allow a better understanding of the vulnerability of selective DA neuron subsets. In PD, ventral tier SNc neurons are more

vulnerable than either dorsal tier or VTA DA neurons (Damier et al., 1999). Our data suggest that the *Aldh1a1* population in the ventral tier appears to be particularly vulnerable in an MPTP mouse model. It is of interest that *Aldh1a1*, an aldehyde dehydrogenase, is inhibited by the fungicide benomyl, for which high exposure was associated with an increased PD risk (Fitzmaurice et al., 2012). Additionally, the DA<sup>1A</sup> subtype is characterized by significantly lower levels of *Foxa2* and *En1*, as well as higher levels of *Snca*, which could in part underpin selective vulnerability in dosage-sensitive backgrounds (Kittappa et al., 2007; Sgado et al., 2006; Singleton et al., 2003). Our data therefore could offer additional insights into the selective vulnerability of DA neurons.

Understanding the molecular complexity of midbrain DA subtypes might help refine and develop new therapeutic approaches for DA related diseases. Indeed, DA signaling and receptors are currently the main and potential target in the treatment of PD, schizophrenia, ADHD, addiction, and depression, among others. An important limitation of current DA therapies is side effects, largely due to the indiscriminate targeting of the DA system. For instance, systemic levodopa, the main treatment to PD, induces psychotic symptoms including hallucinations and impulsive behaviors in up to 40% of patients (Chou et al., 2007; Diederich et al., 2009). Conversely, typical and atypical antipsychotics display adverse effects including motor symptoms such as Parkinsonism or tardive dyskinesia. Elucidating DA subtypes may help uncovering novel candidates for a new generation of targeted therapeutics.

The stem cell field has highlighted the urgent need to better understand the molecular basis of DA neuron diversity, towards programming human embryonic or induced pluripotent stem cells (iPS) towards specific DA fates (Studer, 2012). DA subtypes derived from stem cells would serve as more accurate models for DA related disease, and would facilitate regenerative medicine. Our study provides a molecular barcode for several DA subtypes including subtype DA<sup>1A</sup>, of great intense interest due to its location at the ventral aspect of the SNc, and its selective vulnerability. In this regard, revisiting previous lineage analyses aimed at uncovering distinct DA lineages (Joksimovic et al., 2009), will benefit from this array of molecular markers. Additionally, our study reveals transcription factor codes that may underpin the development of DA heterogeneity (Fig. S5b), which could be important for embryonic stem cell programming of DA subtypes.

Finally, the present study provides entry points that will allow genetic access to subtypes of DA neurons. However, since none of the markers used here are expressed exclusively in a DA subtype, intersectional platforms using multiple recombinase systems would be required to manipulate these subtypes in an otherwise intact brain (Awatramani et al., 2003). Provided with an appropriate Flp line, these genetic entry points could be used with mouse genetic based platforms (Awatramani et al., 2003; Jensen et al., 2008; Kim et al., 2009; Ray et al., 2011; Robertson et al., 2013; Yamamoto et al., 2009), or more recently developed viral platforms, which allow dual recombinase mediated intersectional gene activation (Fenno et al., 2014). Projection and functional analyses following intersectional genetic labeling have been successful in dissecting the connections of serotonin and noradrenergic populations in the hindbrain (Jensen et al., 2008; Robertson et al., 2013). In the hindbrain, these neuronal populations span multiple developmentally defined rhombomeres, and

therefore could be dissected using developmentally defined rhombomere-specific Cre lines (Awatramani et al., 2003; Farago et al., 2006; Jensen et al., 2008; Robertson et al., 2013). In the midbrain DA system, there are no such convenient segmental divisions. Thus our data, providing genetic entry points to defined DA subtypes, will further facilitate functional investigations using chemogenetic or optogenetic technology (Ray et al., 2011; Rogan and Roth, 2011; Tye and Deisseroth, 2012), as well as transcriptomic and connectomic analyses, with the ultimate goal of disentangling this pleiotropic neurotransmitter system.

## Material and methods

### Mice and flow cytometry

Mice were maintained and euthanized according to the protocols approved by the Northwestern University Animal Care and Use Committee. The *Slc6a3::Cre* and Ai9 mice used in this study were both maintained on C57Bl/6 background and were described previously (Bäckman et al., 2006; Madisen et al., 2010). In this mouse, the vast majority of DA neurons are recombined. For the single-cell study, the midbrain of three P4 mice were carefully dissected to grossly the size of 1mm × 2mm × 2mm. Midbrain neurons were dissociated using Papain Dissociation System (Worthington Biochemical Corporation) according to the manufacturer's instructions. Cells were re-suspended in Neurobasal medium (Life technologies) and single cell were immediately sorted in a 96-well plate with the help of Northwestern University Flow Cytometry Facility and a Cancer Center Support Grant (NCI CA060553). FACS sorting was performed on an Aria II SORP sorter using a fine tune sort precision protocol. This approach provides a reliable single cell sorting by adequately calculating the drop delay, but also increase the proportion of empty well. Three 96-well plates for gene expression analysis were filled with single fluorescently-labeled neurons, for the exception of 6 wells used for calibration curves, 3 wells used for positive control (10, 20, and 50 cells), and 3 wells used for negative control (e.g. Fig. S1). For immunofluorescence and fluorescence *in situ* hybridization, perfused adult mice (older than P56) were used unless stated otherwise.

### Primers design and validation

Each primer pairs were designed, generated, and experimentally validated by Fluidigm. Primers were designed to span exon junctions, when possible, with an average amplicon size of 82bp (Table S1). Assay performance was evaluated via serial dilution of a commercial reference sample. The  $CT$  (or  $Cq$ ) was calculated for all pairwise combinations of assays in this request and the slope of  $CT$  versus  $\log_2$  template dilution calculated and averaged. The mean slope of  $CT$  versus template concentration for cDNA templates indicates relative error in measuring 2-fold changes for the given assay compared to the other assays in this request (Schmittgen and Livak, 2008). If two assays have equal efficiencies and there is no measurement error, the slope will be zero. 98.9% of assays fell in the range  $-0.1$  to  $0.1$ .

### Single-cell gene expression qPCR

Cells were sorted directly into 5 $\mu$ L of the Reverse Transcription (RT) mix solution 1, composed of 1.2 $\mu$ L VILO Reaction Mix (SuperScript VILO cDNA Synthesis Kit, Life technologies), 0.3 $\mu$ L 20U/ $\mu$ L SUPERase-In, 0.25 $\mu$ L NP-40 Detergent Surfact-Amps

Solution (Fisher Scientific), 3.25 $\mu$ L of Nuclease-free H<sub>2</sub>O (Teknova). RNA was denatured 90s at 65°C. The plate was quickly chilled on ice for 5min and centrifuged briefly at 4°C. We added 1 $\mu$ L to each well of RT mix Solution 2 composed of 0.15 $\mu$ L of 10X SuperScript Enzyme Mix, 0.12 $\mu$ L of T4 Gene 32 Protein (New England Biolabs) and 0.73 $\mu$ L of Nuclease-free H<sub>2</sub>O. Reverse transcription was carried in thermal cycler under the following condition: 5min at 25°C, 30min at 50°C, 25min at 55°C, 5min at 60°C, and 10min at 70°C. Subsequently, in the same tube, cDNA went through sequence-specific amplification by denaturing at 95°C for 15s, and annealing and amplification at 60°C for 4 min for 18 cycles. These preamplified products were diluted 5-fold prior to the analysis with Universal PCR Master Mix and inventoried TaqMan gene expression assays (ABI) in 96.96 Dynamic Arrays on a BioMark System (Fluidigm). Ct values were calculated from the system's software (BioMark Real-time PCR Analysis; Fluidigm). For quality control, the melt curve was established and all peaks falling outside user-defined threshold was removed from analysis (Fig. S2).

### Single-cell data processing and analysis

Overall, 274 single-cell were sorted into 96 well plates. Out of these cells, we removed cells with weak or no expression of *Gapdh*, defined by greater than two standard deviations from the median, were removed from the analysis. In addition, we removed cells with weak expression of genes defining DA neurons (*Ddc*, *Th*, *Slc6a3*, and *Slc18a2*) using the same criteria. These cells were assumed to be non-DA cells, and were removed from the analysis, leaving a final 159 DA neurons to be further analyzed. In addition, despite depicting a normal standard curve, two genes *Pvalb* and *Aldh1a2* were undetectable in all cells analyzed, and these genes were thus excluded from further analysis and replaced by the housekeeping genes *Actb* and *Hprt*. All Ct values (e.g. Fig. S1) obtained from the BioMark System were converted into relative expression levels by subtracting the values from the threshold value of 24 (Fig. 1b and Fig. S3). All Ct values above 24 were considered below detectability level. As the Ct scale is logarithmic (a difference of one Ct corresponds to a doubling of measured transcript), the values obtained were normalized to the endogenous control by subtracting, for each cell, its *Gapdh* Ct value (delta Ct). The fold difference was obtained by using the highest gene expression cell sample for each gene, and used as a reference to calculate the delta delta Ct (comparative Ct method). In order to group cells with similar expression profile, we used cluster analysis by correlation coefficient similarity on logarithmic expression data. Cells were assigned to a specific DA subtype based on this cluster analysis. To compare gene expression level between identified populations, since the homogeneity of variance assumption was violated, normalized gene levels between subtypes were compared between DA subtypes using a Kruskal-Wallis and Dunn's post-hoc analysis with Bonferroni correction of alpha value.

### Immunofluorescence

Staining were performed on adult (more than two months of age) wildtype and *Slc6a3::Cre*; Ai9 mice for minimum of N=3 for all antibodies. To map the DA<sup>2A</sup> subtype, we crossed a mouse in which Cre was knocked-in *Slc32a1* locus (Vong et al., 2011), with a Rosa locus reporter in which a floxed stop cassette, was followed by nuclear LacZ RosaNZG; (Yamamoto et al., 2009). Mice were perfused with cold 4% PFA in PBS, the brains were

dissected and fixed overnight in the same solution, cryoprotected in 30% sucrose PBS solution, and sectioned at 25 $\mu$ m using a freezing microtome. Tissue sections were stained with the following primary antibodies: mouse anti-Calbindin (Sigma-Aldrich; 1:1000), rabbit anti-Calbindin (Chemicon; 1:500), rat anti-Dat (Santa Cruz; 1:50), goat anti-FoxA2 (Santa Cruz; 1:50), goat anti-LacZ (Biogenesis; 1:1500), rabbit anti-LacZ (Cappel; 1:1500), rabbit anti-Nr4a2 (Santa Cruz; 1:500), goat anti-Otx2 (Neuromics; 1:200), rabbit anti-Aldh1a1 (Abcam; 1:200), rabbit anti-Sncg (Abcam; 1:1000), rabbit anti-Sox6 (Abcam; 1:500), rabbit anti-Vip (Abcam; 1:500), rabbit anti-SatB1 (Abcam; 1:1,000), and sheep anti-TH (Pel-Freez; 1:300). Sections were imaged by confocal microscopy (Leica DM6000 CFS). For each DA subtype mapped in Fig. 4–5, a combination of markers specific to this subtype was analyzed. Cells were counted on representative sections, superimposed to the reference section from the Allen Brain Atlas, and averaged across animals. Each colored square in Fig. 4–5 represents five neurons of the DA subtype at the approximate location, whereas each black square represents five DA neurons of another subtype.

### Fluorescent *In situ* hybridization

For fluorescent *in situ* hybridization (ISH) was performed with single-stranded digoxigenin (Roche) and fluorescein labeled riboprobes directed against the following mRNAs: *Th* (Genbank accession#: NM\_009377), *Aldh1a1* (NM\_013467), *Ndnf* (A930038C07\_Rik), *Slc32a1* (Genbank accession#: NM\_009508), *Sncg* (Genbank accession#: NM\_011430). The ISH protocol was adapted from Joksimovic et al. (2009).

### MPTP protocol

Adult C57BL/6 mice (n=16) received four intraperitoneal (i.p.) injections of freshly diluted MPTP-HCl (20 mg/kg; Sigma, St. Louis, MO, USA) dissolved in saline 0.9%. MPTP was administered in an acute manner at 2-hour intervals as previously described (Jackson-Lewis and Przedborski, 2007). Remaining animals (n=8) received i.p. vehicle injections at the time of MPTP administration. Mice were sacrificed 7 days following the last MPTP injection through intracardiac perfusion with either PBS 0.1M only or PBS followed by PFA 4%. Brains were collected, further fixed in PFA and cryoprotected in 20% sucrose.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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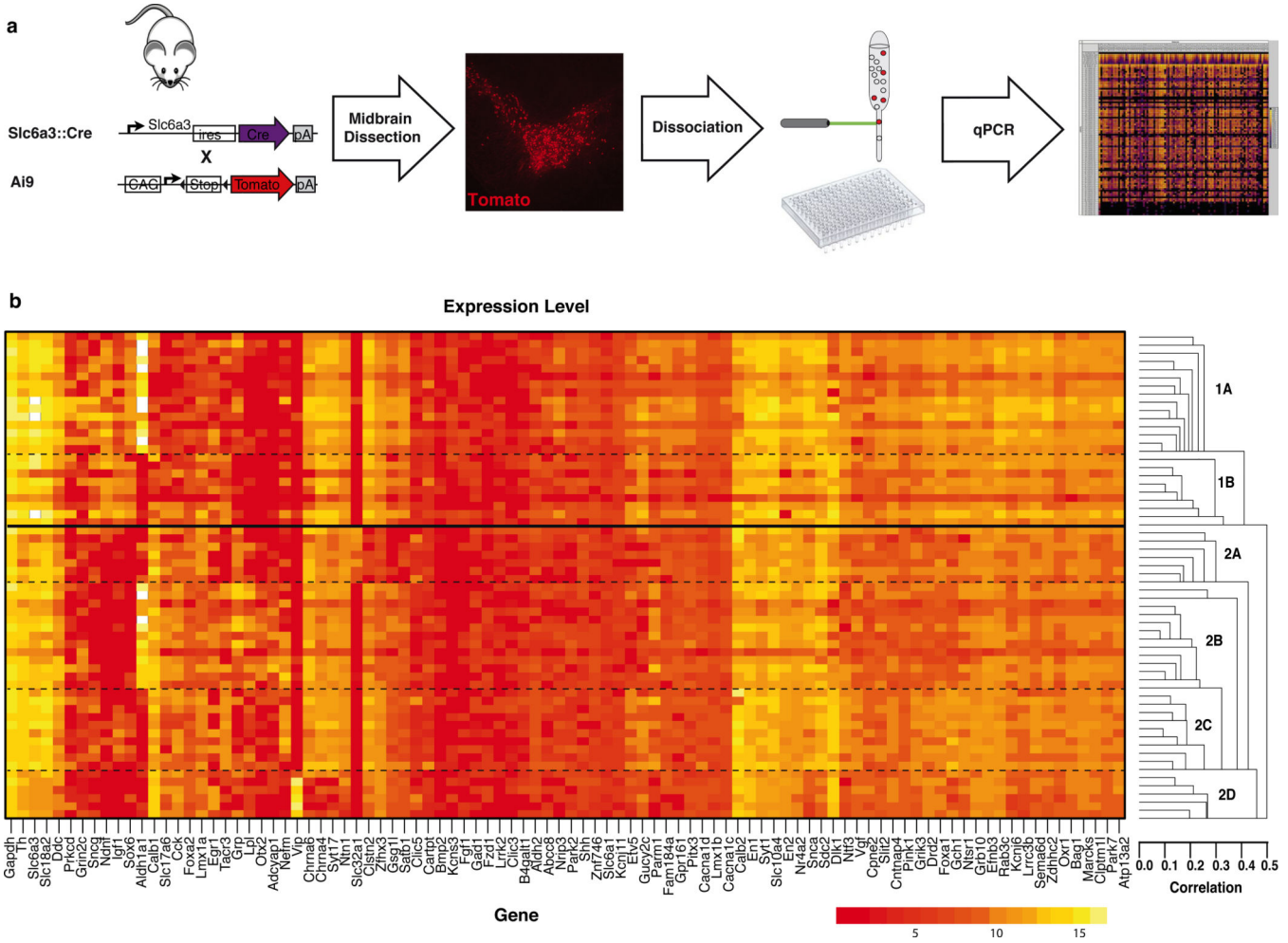
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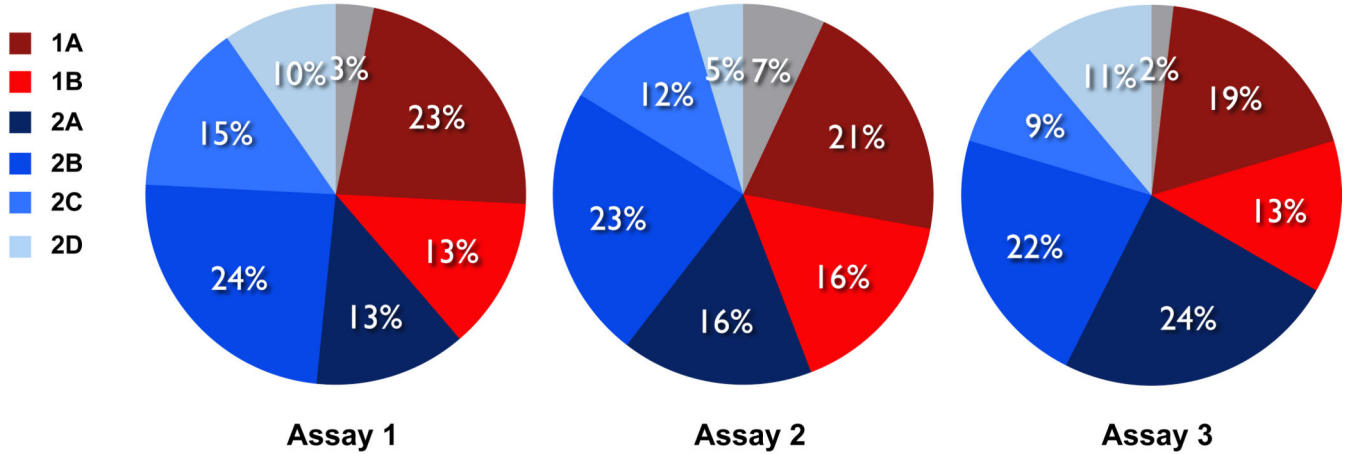


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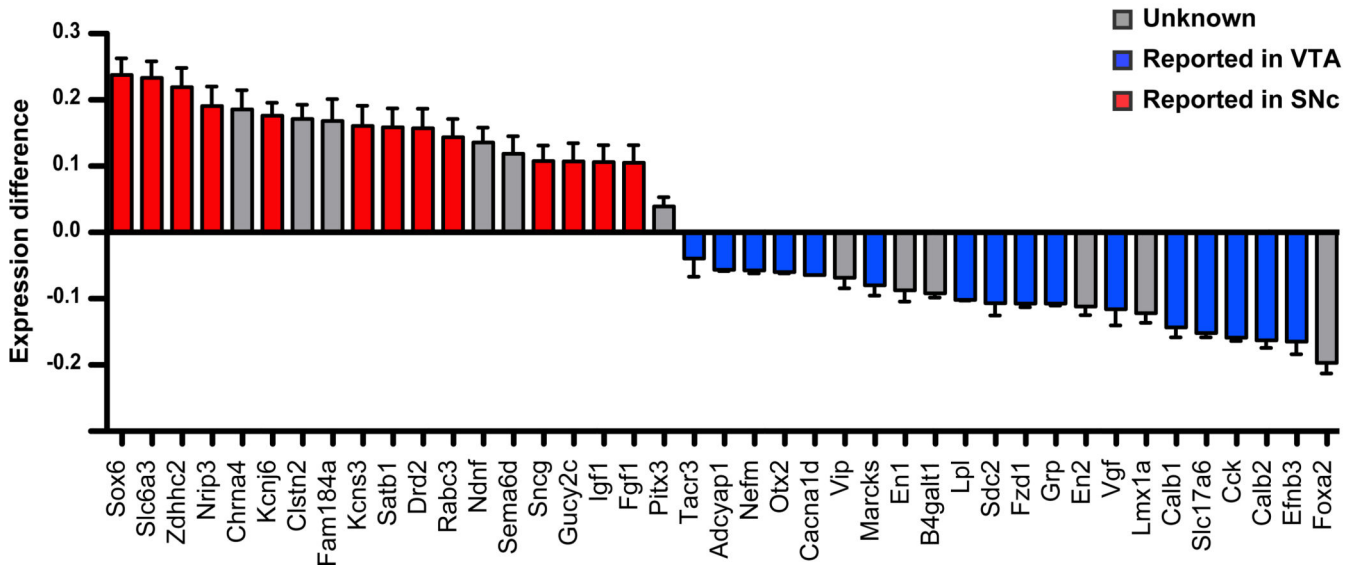
**Figure 1. High throughput single-cell gene expression analysis of midbrain dopamine neurons**  
**(a)** The midbrain of postnatal day four *Slc6a3::Cre*; Ai9 midbrain was dissected and dissociated. Fluorescent (tdTomato+) single cells were FACS sorted into 96-well plate and analyzed for the expression of 96 genes using Fluidigm Biomark system. **(b)** A sample array in which the expression level of each gene is depicted on a logarithmic scale with red indicating low expression and yellow representing a high expression. Unbiased clustering analysis revealed the presence of two main clusters that could be further divided into a total of six molecularly distinct dopamine (DA) neuron subtypes.

**a**



**b**

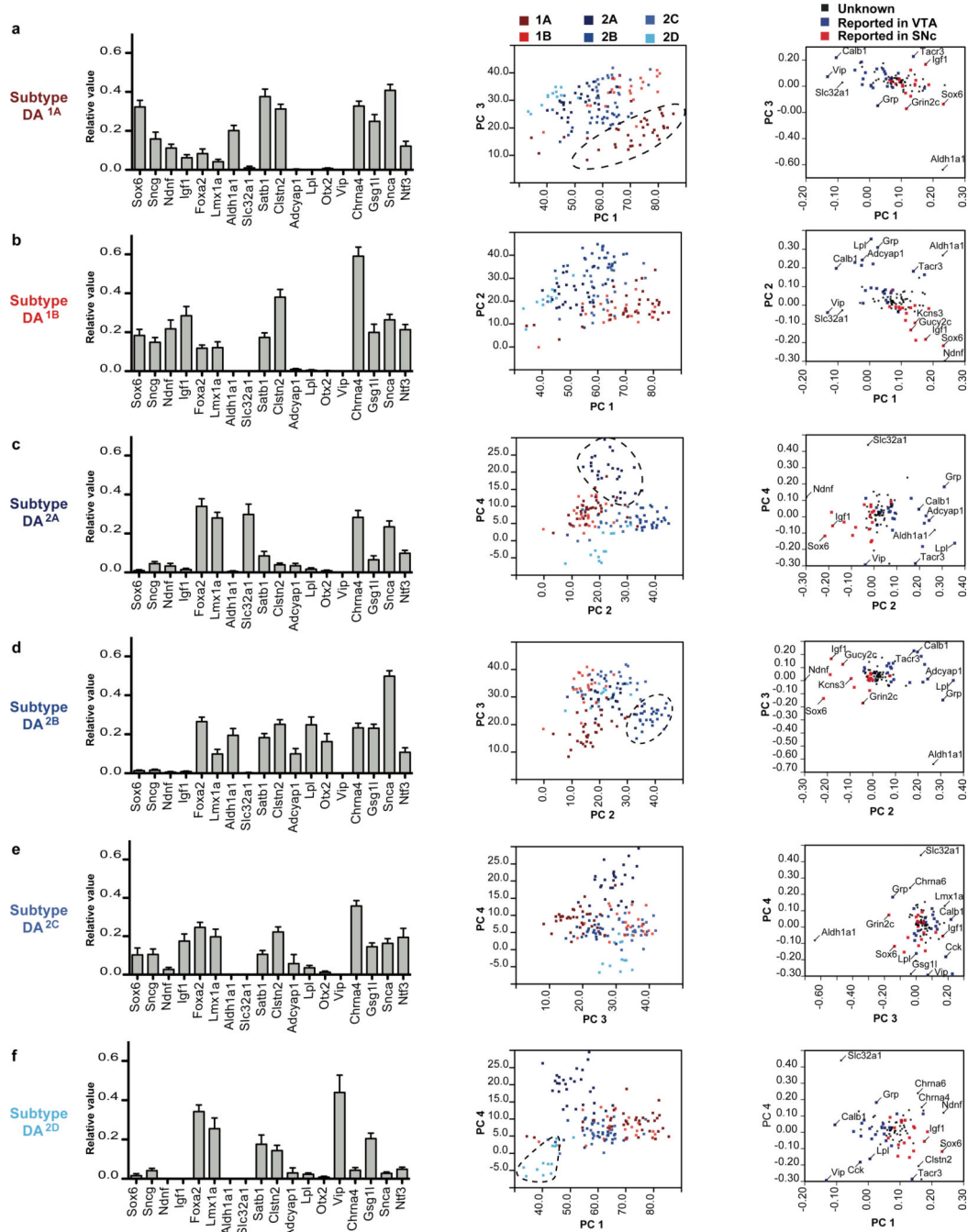
**Gene expression difference between clusters**



**Figure 2. Dopamine neurons cluster into distinct subtypes**

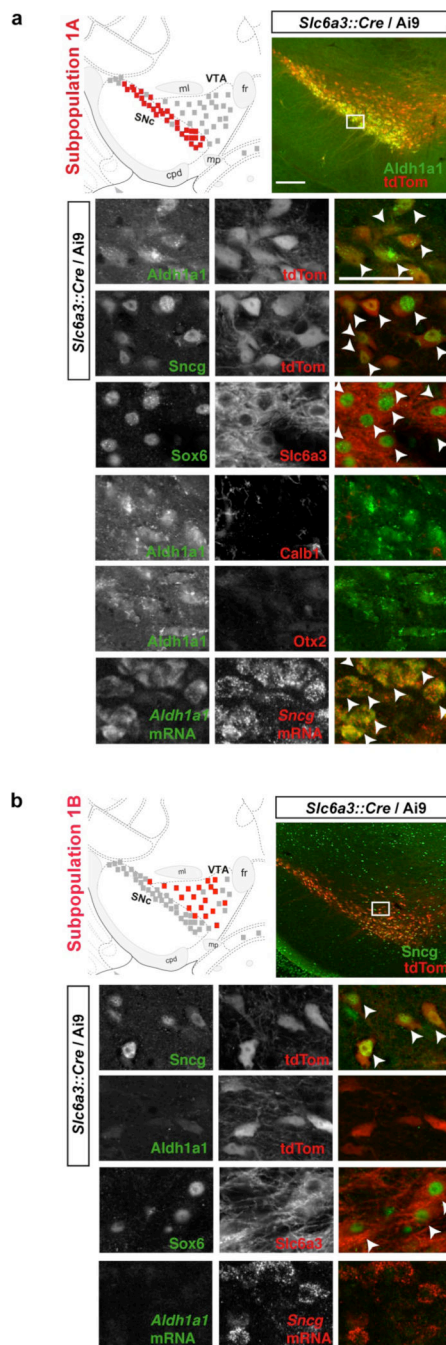
(a) Out of 159 cells, 55 cells (35%) belong to the first cluster, 98 cells (62%) were assigned to the second cluster, whereas six cells (3%) could not be assigned. Overall, clustering analysis identified six DA subtypes, represented in a roughly similar proportion in all three microfluidic arrays. The first cluster can be subdivided into two subtypes, referred to as DA<sup>1A</sup> and DA<sup>1B</sup>, which are composed of 33 cells (21%) and 22 cells (14%) respectively. The second cluster can be subdivided into four molecularly distinct subtypes, labeled DA<sup>2A</sup> (18%), DA<sup>2B</sup> (23%), DA<sup>2C</sup> (12%), and DA<sup>2D</sup> (9%). (b) We compared the gene expression level normalized to Gapdh between the two identified clusters. Genes previously reported to be overexpressed in the VTA are illustrated in blue and genes overexpressed in the SNC

illustrated in red (see Table S1 for references). This quantitative analysis demonstrates the similarity between the gene expression profile of Cluster 1 and previously reported profile of SNc, as well as the similarity between Cluster 2 and previously reported profile of the VTA.



**Figure 3. The distinctive molecular signatures of the six dopamine neuron subtypes**  
 The normalized expression profile of the six dopamine (DA) neuron subtypes is illustrated using 18 key genes. Plotting cells on the four extracted components of the principal component analysis allow to differentiate most DA subtypes. (a) DA<sup>1A</sup> is the only subtype with high expression of *Aldh1a1*. *Sox6*, *Ndnf* and low/absent expression of *Foxa2* and *Lmx1a*. (b) DA<sup>1B</sup> depicts an expression profile similar to DA<sup>1A</sup>, but lacks *Aldh1a1* expression. (c) DA<sup>2A</sup> is characterized by the high expression of *Slc32a1*, but low expression of *Satb1*, *Gsg11*, and *Clsm2*. (d) DA<sup>2B</sup> is defined by the unique co-expression of *Lpl*,

*Adcyap1*, *Otx2* and *Aldh1a1*. (e) No distinctive marker could be identified for subtype DA<sup>2C</sup>, which also possesses some similarity with DA<sup>1B</sup>, if it was not for high levels of *Calb1*, *Cck* and *Slc17a6*. (f) DA<sup>2D</sup> can be identified by the unique expression of *Vip*, combined with low expression of *Snca*, *Chrna4*, and *Ntf3*.

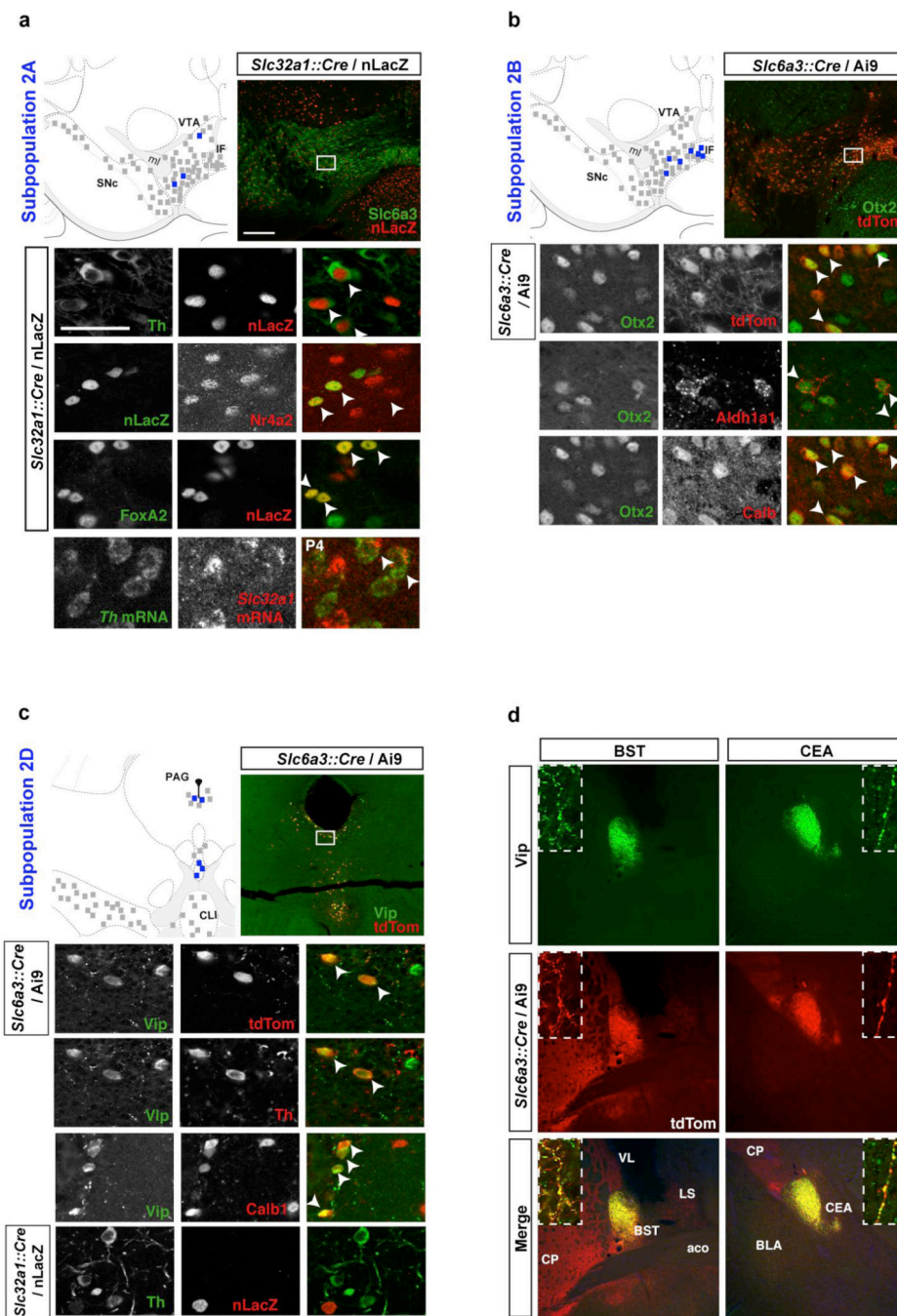


**Figure 4. Molecular and neuroanatomical characterization of dopamine neuron subtypes of the first cluster in the adult brain**

(a) Subtype DA<sup>1A</sup> is mainly located in the SNc (red square), with a few cells observable in the RR (not shown). These neurons are positive for *Aldh1a1*, *Sncg*, and *Sox6*, but do not show the expression of *Calb1* and *Otx2*. (b) DA<sup>1B</sup> subtype neurons are generally located just dorsally to DA<sup>1A</sup>, encompassing the dorsal portion of the SNc and dorso-rostral VTA, as well as RR (not shown). DA<sup>1B</sup> neurons can be labeled for *Sncg*, *Sox6*, but *Aldh1a1* is absent

in this cell population. Scale bar: low magnification = 200 $\mu$ m, high magnification = 50 $\mu$ m.  
Colored square = 5 neurons mentioned population, black square = 5 DA neurons.

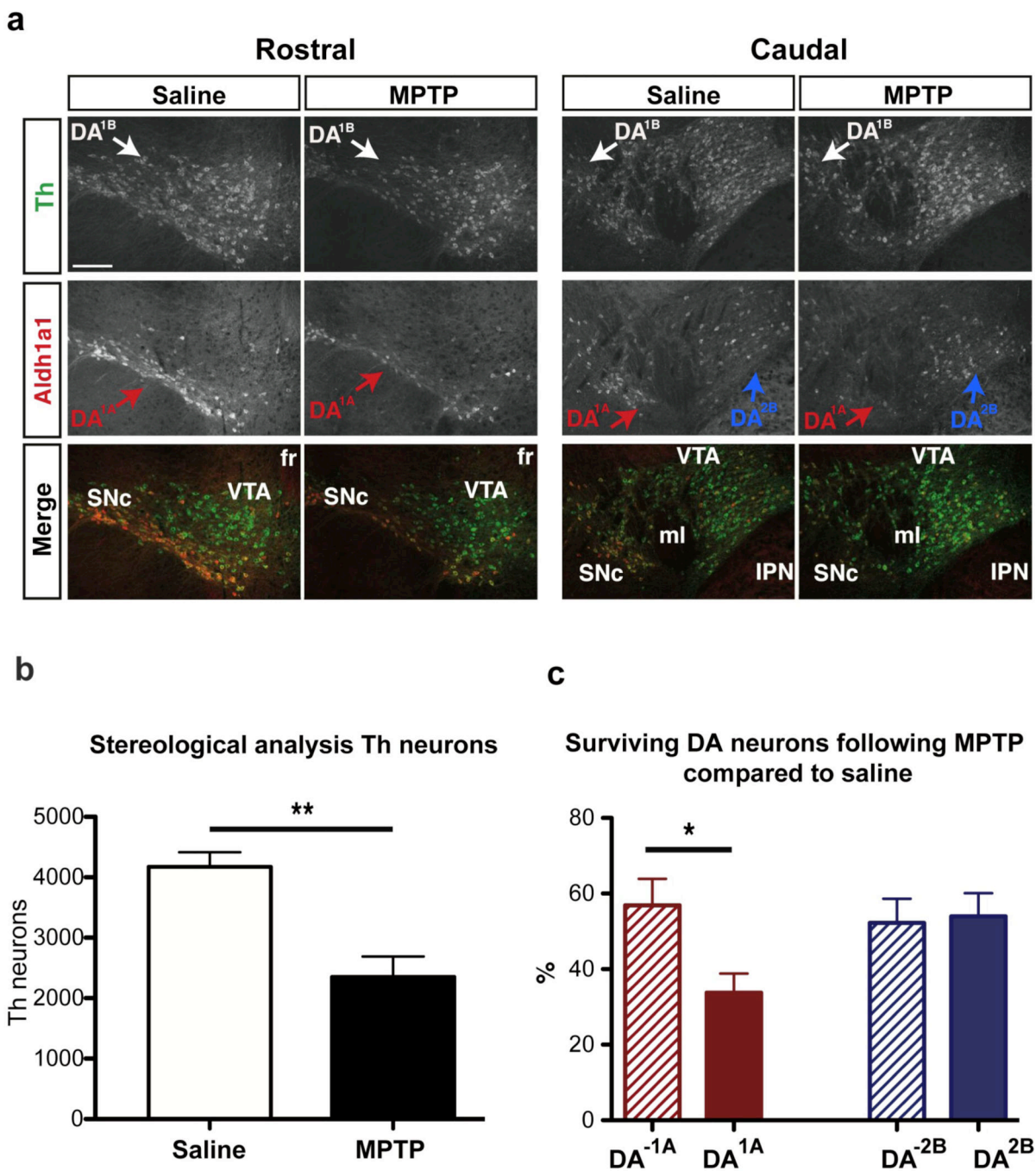




**Figure 5. Molecular and neuroanatomical characterization of dopamine neuron subtypes of the second cluster in the adult brain**

(a) Subtype DA<sup>2A</sup> is uniquely defined by the expression of *Slc32a1*, here mapped using a *Slc32a1::Cre* mouse with a nuclear LacZ reporter (nLacZ). These cells are *bona fide* DA neurons as they can be labeled for *Th*, *Nr4a2* (*Nurr1*), *FoxA2*, and *Slc6a3* (*Dat*). These cells are intermingled with other DA subtypes throughout the VTA. (b) DA<sup>2B</sup> neurons can be labeled with *Otx2*, *Aldh1a1* and *Calb1*, but not *Sox6* (not shown). These cells are positioned mainly in the ventromedial VTA and IF. (c) Subpopulation DA<sup>2D</sup> is located in the

periaqueductal gray (PAG) and dorsal raphe (DR) region, and can be labeled with Vip antibody. These DA cells express Th and FoxA2, and are labeled by *Slc6a3::Cre* driver. These cells are also Calb1 positive, but negative for Otx2, Aldh1a1, and *Slc32a1::Cre*. **(d)** Only subset of midbrain DA neurons axonal projections, as labeled with tdTomato in *Slc6a3::Cre / Ai9*, colocalized with Vip. These fibers, which originate from subtype DA<sup>2D</sup> form discrete projections to the oval part of the bed nucleus of the stria terminalis (BSTov) and the lateral part of the central amygdala (CEA1), with apparent boutons colocalizing Vip (green) and tdTomato (red; inset). Scale bar: low magnification = 200µm, high magnification = 50µm. Colored square = 5 neurons mentioned population, black square = 5 DA neurons.



**Figure 6. Dopamine neuron DA<sup>1A</sup> subtype is selectively vulnerable in an MPTP model**  
 (a) Example of Aldh1a1 (red) and Th (green) labeling in the midbrain of saline and MPTP treated animals. (b) MPTP induced a significant reduction in Th immunoreactivity of midbrain neurons. (c) DA<sup>1A</sup> subtype is more severely affected by the toxin as compared to other DA subtypes (labeled DA<sup>-1A</sup>); in contrast DA<sup>2B</sup> does not show differential vulnerability. Scale bar: low magnification = 200µm.