

# $\alpha$ 2-Adrenergic Stimulation of the Ventrolateral Preoptic Nucleus Destabilizes the Anesthetic State

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The sleep-promoting ventrolateral preoptic nucleus (VLPO) shares reciprocal inhibitory inputs with wake-active neuronal nuclei, including the locus ceruleus. Electrophysiologically, sleep-promoting neurons in the VLPO are directly depolarized by the general anesthetic isoflurane and hyperpolarized by norepinephrine, a wake-promoting neurotransmitter. However, the integration of these competing influences on the VLPO, a sleep- and anesthetic-active structure, has yet to be evaluated in either brain slices *in vitro* or the intact organism. Single-cell multiplex RT-PCR conducted on both isoflurane-activated, putative sleep-promoting VLPO neurons and neighboring, state-indifferent VLPO neurons in mouse brain slices revealed widespread expression of  $\alpha$ 2<sub>A</sub>-,  $\alpha$ 2<sub>B</sub>- and  $\alpha$ 2<sub>C</sub>-adrenergic receptors in both populations. Indeed, both norepinephrine and the highly selective  $\alpha$ 2 agonist dexmedetomidine each reversed the VLPO depolarization induced by isoflurane in slices *in vitro*. When microinjected directly into the VLPO of a mouse lightly anesthetized with isoflurane, dexmedetomidine increased behavioral arousal and reduced the depressant effects of isoflurane on barrel cortex somatosensory-evoked potentials but failed to elicit spectral changes in spontaneous EEG. Based on these observations, we conclude that local modulation of  $\alpha$ -adrenergic activity in the VLPO destabilizes, but does not fully antagonize, the anesthetic state, thus priming the brain for anesthetic emergence.

**Key words:** adrenergic; anesthesia; dexmedetomidine; emergence; sleep; VLPO

## Introduction

When anesthetics were discovered in the mid-19th century, their ability to rapidly and reversibly eliminate consciousness was recognized as a pivotal advance in surgical medicine. Many theories have been proposed to describe how anesthetics exert their hypnotic effects. The “wet blanket” theory suggests that anesthetics envelope the entire brain and extinguish consciousness through widespread disruption of both protein and lipid interactions (Sukhotinsky et al., 2007). Although anesthetics do bind promiscuously throughout the brain (Eckenhoff and Eckenhoff, 1998),

focal anesthetic interactions targeting critical CNS sites might be sufficient to disrupt the essential neuronal activity required for conscious perception. Neuronal targets for anesthetics include regions well established for modulating endogenous sleep and arousal (Lydic and Baghdoyan, 2005; Franks, 2008; Kottler et al., 2013). Notably, sleep-promoting neurons in the ventrolateral preoptic nucleus (VLPO) are activated by anesthetic drugs and directly depolarized by the general anesthetic isoflurane (Nelson et al., 2002, 2003; Lu et al., 2008; Li et al., 2009; Moore et al., 2012).

The VLPO shares reciprocal connections with various arousal-promoting nuclei, including the tuberomammillary nucleus, dorsal raphe, ventral periaqueductal gray, and locus ceruleus (Sherin et al., 1996, 1998; Chou et al., 2002; Hsieh et al., 2011), thereby providing the VLPO with rich monoaminergic innervation. Electrophysiological evidence reveals that norepinephrine exerts inhibitory effects on sleep-promoting VLPO neurons (Gallopini et al., 2000, 2005; Matsuo et al., 2003). A variety of adrenergic receptors have been localized to neurons within the preoptic anterior hypothalamus (Kolaj and Renaud, 2001, 2007; Kumar et al., 2006, 2007; Jha and Mallick, 2009; Frontini and Giordano, 2010; Berridge et al., 2012), but  $\alpha$ 2 adrenoceptors are hypothesized to mediate the inhibition of sleep-active neurons *in vitro* by norepinephrine (Osaka and Matsumura, 1995; Bai and Renaud, 1998). Neurons in the preoptic anterior hypo-

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thalamus that are known to be sleep-active based on *c-Fos* expression have also been found to be GABAergic and contain  $\alpha 2$  adrenoceptors (Modirrousta et al., 2004). These GABAergic VLPO neurons also express *c-Fos* after exposure to hypnotic doses of general anesthetics (Lu et al., 2008; Moore et al., 2012; Han et al., 2014).

Herein we evaluate the effects of acute administration of adrenergic agonists on anesthetic-activated VLPO neurons in both slices and anesthetized mice. After electrophysiological recordings in the VLPO, neurons were evaluated for the presence of various  $\alpha$  adrenoceptors using multiplex RT-PCR. Subsequently, adrenergic drugs were microinjected into the VLPO of anesthetized, chronically instrumented mice. Behavioral responses were measured by both a qualitative arousal assessment and a change in time spent moving. Additional evidence of arousal was evaluated using changes in spontaneous electroencephalogram and barrel cortex somatosensory evoked local field potentials (LFPs) of mice receiving acute microinjection of dexmedetomidine into the VLPO. Together, these experiments address the extent to which adrenergic stimulation opposes isoflurane-induced activation of the VLPO.

## Materials and Methods

**Animals.** All studies were performed in accordance with the National Institutes of Health *Guide for the Care and Use of Laboratory Animals* and approved by the Institutional Animal Care and Use Committee at the University of Pennsylvania. Wild-type male C57BL/6J mice (The Jackson Laboratory) aged 2–4 months were used for all experiments. For 2 weeks before experiments, animals were given access to food and water *ad libitum* and acclimatized to a reverse 12 h light/dark cycle with zeitgeber time 0 (lights on) at 7:00 P.M.

**In vitro slice preparation and electrophysiology.** Hypothalamic slice preparation and electrophysiological recordings were performed as described previously (Moore et al., 2012). Briefly, anesthetic-naïve mice were killed by cervical dislocation and then decapitated, followed by immediate dissection of the brain. Brains were kept in a 4°C solution containing 248 mM sucrose, 2.5 mM KCl, 1.25 mM  $\text{NaH}_2\text{PO}_4$ , 2.0 mM  $\text{MgSO}_4$ , 2.5 mM  $\text{CaCl}_2$ , 10 mM dextrose, and 26 mM  $\text{NaHCO}_3$  while they were sliced coronally at a thickness of 200  $\mu\text{m}$  using a vibratome (Leica Microsystems). VLPO-containing slices were transferred to a submerged chamber and then continuously superfused with oxygenated artificial CSF (aCSF) at 34°C while equilibrating for 60 min before recordings. The aCSF consisted of 124 mM NaCl, 2.5 mM KCl, 1.25 mM  $\text{Na}_2\text{HPO}_4$ , 2.0 mM  $\text{MgSO}_4$ , 2.5 mM  $\text{CaCl}_2$ , 10 mM dextrose, and 26 mM  $\text{NaHCO}_3$ , pH 7.4, and osmolality of 300–305 mOsm. After the 60 min incubation period, slices were maintained in continuously oxygenated aCSF at room temperature until being recorded. During recordings, slices were maintained in a recording chamber continuously perfused with oxygenated 32°C aCSF at a rate of 2 ml/min. Data were obtained using whole-cell patch-clamp techniques. Micropipettes (resistance of 4–8 M $\Omega$ ) were filled with an intracellular solution of 130 mM K-gluconate, 5 mM NaCl, 10 mM phosphocreatine disodium salt, 1 mM  $\text{MgCl}_2$ , 10 mM HEPES, 0.02 mM EGTA, 0.5 mM  $\text{Na}_2\text{GTP}$ , 2 mM  $\text{MgATP}$ , and 0.1% biocytin at pH 7.3, and osmolality of 280–290 mOsm. Biocytin was used to allow *post hoc* confirmation of the anatomic location of the cells and their morphology.

**Electrophysiology analysis.** Input resistance was calculated from the slope of voltage–current plots produced from a series of hyperpolarizing and depolarizing current pulses. Only cells with stable membrane potentials more negative than  $-43$  mV were selected for analysis. To be considered isoflurane activated, cells had to exhibit a change in membrane potential  $\geq 4$  mV in response to 320  $\mu\text{M}$  isoflurane administration. We quantified the response to isoflurane by calculating the mean membrane potential from three 2 min epochs: (1) the baseline membrane potential immediately before isoflurane administration; (2) the peak isoflurane effect after steady-state concentration had been achieved; and (3) the peak drug combination effect after steady-state concentration of the combination drug solution had been achieved.

**Electrophysiology drugs.** Isoflurane was sonicated into preoxygenated aCSF to achieve a concentration of 320  $\mu\text{M}$ . This concentration was chosen to simulate a deep surgical anesthetic plane corresponding to  $\sim 1.6\%$  (Franks and Lieb, 1996; Moore et al., 2012). All cells were exposed to isoflurane under current-clamp conditions. Isoflurane-containing aCSF was delivered to the brain slices via a closed system using syringe pumps. After observing the response of VLPO neurons to an initial isoflurane exposure, cells were then treated with the following drugs or combinations thereof: 100  $\mu\text{M}$  norepinephrine, 100 nM dexmedetomidine, 50  $\mu\text{M}$  phenylephrine, and 1  $\mu\text{M}$  tetrodotoxin (TTX). Combination solutions were mixed with preoxygenated isoflurane-containing aCSF before administration to achieve the final concentrations listed above. After a subset of recordings, final isoflurane drug concentrations were verified to be  $320 \pm 19$   $\mu\text{M}$  (mean  $\pm$  SD,  $n = 8$ ) using HPLC as described previously (Wei et al., 2005; Kelz et al., 2008).

**Single-cell PCR analysis.** After completion of electrophysiology experiments, negative pressure was applied to the cytoplasm of 15 isoflurane-activated and 13 isoflurane-non-activated neurons using a 10 ml syringe connected through a three-way stopcock to the recording electrode. The contents of the recording electrodes were transferred to 200  $\mu\text{l}$  PCR tubes and frozen at  $-80^\circ\text{C}$ . Samples underwent RT and first-strand cDNA synthesis using a Superscript III kit as indicated by the protocol of the manufacturer (catalog #12574-018; Invitrogen). Single-cell cDNA amplification was divided into two steps. The first step was multiplex PCR with pairs of gene-specific outside primers for glutamic acid decarboxylase (GAD1; Lazarenko et al., 2010) and adrenoceptors ( $\alpha 1_A$ ,  $\alpha 1_B$ ,  $\alpha 1_D$ ,  $\alpha 2_A$ ,  $\alpha 2_B$ , and  $\alpha 2_C$ ). The second step was conducted using the corresponding nested primers (Table 1). The PCR reaction conditions for multiplex PCR were as follows: 94°C for 2 min, 35 cycles of 94°C (15 s), 55°C (30 s), 68°C (1 min), and 72°C for 10 min. The conditions for the nested PCR were as follows: 94°C for 2 min, 35 cycles of 94°C (15 s), 55°C (30 s), 68°C (50 s), and 72°C for 10 min. The nested PCR products were separated on 2% agarose gels. Positive RNA controls for the RT-PCR assay were made using mouse brain tissue. Tissue RNA was extracted using an RNeasy Mini kit (catalog #74106; Qiagen). RT, cDNA synthesis, and subsequent PCR were completed simultaneously with experimental samples.

**Cannula implants.** Eighteen mice were implanted with bilateral cannulae aimed at the VLPO. General anesthesia was induced with  $\sim 1.8\%$  isoflurane, and animals were placed in a stereotaxic frame. Hair was removed from the scalp, the area was sterilized with Betadine, and a 2 cm midline incision exposed the skull. Holes were drilled at 0.05 mm anterior to bregma and  $\pm 0.65$  mm lateral to bregma, allowing insertion of a two-barrel 26 gauge guide cannula (3 mm long) with a 33 gauge dummy cannula (5.5 mm long; Plastics One) placed inside. The pedestal of the cannula was secured with dental cement. After surgery, animals were housed individually to avoid damage to the implant. Animals recovered for 2 weeks before behavioral testing.

**In vivo drug delivery for behavioral experiments.** In individual chambers, each mouse was exposed to 1.2% isoflurane for 10 min to induce hypnosis. The mouse was then moved to a nose cone for maintenance of the hypnotic state at 1.2% isoflurane. The dummy cannula was removed and replaced with an injector cannula attached to prefilled plastic tubing connected to two Hamilton syringes controlled by a syringe pump (Harvard Apparatus). The mouse was rolled onto its back while remaining in the nose cone. Isoflurane concentration was reduced to 0.8%, or 1.0% for a subset of experiments, for 10 min. These doses were identified as the  $\text{EC}_{50}$  and  $\text{EC}_{10}$ , respectively, for emergence in these animals using previously published methods (McCarren et al., 2013). After this 10 min pre-injection period, 25 nl of drug was delivered bilaterally to the VLPO over the course of 1 min. This rate of delivery limited the potential for backflow up the cannula tract (Morrison et al., 1999). Drugs used for *in vivo* experiments were 5.91 mM norepinephrine, 500  $\mu\text{M}$  dexmedetomidine, normal saline, and 60 mM phenylephrine. Each mouse received each drug in a randomized order with at least 24 h between drug exposures.

**Behavioral and movement scoring.** The 10 min before drug injection and the 5 min after the start of drug injection were defined as the preinjection and postinjection periods, respectively. An assignment of “responsive” or “nonresponsive” was made for the postinjection periods for

**Table 1. Primer sequences for  $\alpha$ -adrenergic receptors and GAD**

Primer	Sequence (5'-3')	PCR product (bp)
<b>Adrenergic <math>\alpha1_A</math></b>		
Outside		
Forward	GAG CAA GTG ACG CTC CGT AT	348
Reverse	ACA CTG GAT TCG CAG CAC AT	
Nested		
Forward	ATT GTG GTG GGA TGC TTC GT	95
Reverse	ACT GTT TCC GGT GGC TTG AA	
<b>Adrenergic <math>\alpha1_B</math></b>		
Outside		
Forward	TTT CAT GAG GAC ACC CTC AGC AGT	303
Reverse	CAT GAA GGC GCG TTT GAA CTC CTT	
Nested		
Forward	CCT TGG GCA TTG TAG TCG GAA TGT	136
Reverse	TTG AAG TAG CCC AGC CAG AAC ACT	
<b>Adrenergic <math>\alpha1_D</math></b>		
Outside		
Forward	TCC GTA AGG CTG CTC AAG TT	176
Reverse	TAG CCC AGC CAG AAG ATG AC	
Nested		
Forward	CAA GAC CCT AGC CAT CGT CG	112
Reverse	GAA GAC GCC CTC TGA TGG TT	
<b>Adrenergic <math>\alpha2_A</math></b>		
Outside		
Forward	TGG CCA ACG AGG TTA TGG GTT ACT	210
Reverse	AGA TGA CCC ACA CGG TGA CAA TGA	
Nested		
Forward	TCT ATT TGG CTC TCG ACG TGC TCT	115
Reverse	GTG CGC TTC AGG TTG TAC TCG AT	
<b>Adrenergic <math>\alpha2_B</math></b>		
Outside		
Forward	TCT TGG CTT CCA GCA TCG GAT CTT	457
Reverse	AAG GGT GGG TTG AAT ACT GAG GCA	
Nested		
Forward	TGT AAT TGC CAA ACG CAG CCA CTG	162
Reverse	TGT CCA TTA GCC TCT CCG ACA GAA	
<b>Adrenergic <math>\alpha2_C</math></b>		
Outside		
Forward	AAG TGT GGT GTG GTG TAT ACC TGG	291
Reverse	AGC CTA TGC AGG AGG ACA AGA TGT	
Nested		
Forward	ATC GTG CAC CTG TGT GCC ATT AGT	139
Reverse	GGA AGG AGA TGA CAG CCG AGA TGA	
<b>GAD1</b>		
Outside		
Forward	ACA ACC TTT GGC TGC ATG TGG ATG	331
Reverse	AAT CCC ACG GTG CCC TTT GCT TTC	
Nested		
Forward	AGT CAC CTG GAA CCC TC	128
Reverse	GCT TGT CTG GCT GGA A	

each drug exposure in each mouse. Responsive behavior was defined as any full-body attempt to right with concentrated movements of the tail, hips, and limbs or a successful return of all four paws to the ground. Behaviors that were not considered to be responsive included small movements of the limbs and transient slow lifting of the hips. No mouse displayed any responsive behaviors during any preinjection periods. Video recordings of each preinjection and postinjection period were analyzed *post hoc* for total amount of movement using ANY-maze software with a customized freezing protocol (Stoelting).

**Acute dexmedetomidine microinjection with concurrent EEG recording.** A total of six mice were each anesthetized with ~1.8% isoflurane and placed in a stereotaxic frame. Hair was removed from the scalp, and a 2 cm midline incision exposed the skull. Holes were drilled for EEG electrode placement (relative to bregma) over the frontal cortex (2.6 mm anterior, 2.25 mm bilateral), motor cortex (1.5 mm anterior, 2.0 mm left

**Table 2. Membrane and action potential characteristics of isoflurane-activated and isoflurane-non-activated cells**

	Isoflurane-activated	Isoflurane-non-activated	<i>p</i>
Resting membrane potential	-58.26 ± 0.98 mV	-58.73 ± 1.29 mV	0.77
Input resistance	618.1 ± 25.3 M $\Omega$	602.3 ± 34.9 M $\Omega$	0.71
Tau	23.17 ± 1.49 ms	24.89 ± 1.65 ms	0.45
Action potential threshold	-27.59 ± 0.68 mV	-28.34 ± 0.89 mV	0.50
Action potential amplitude	55.60 ± 1.82 mV	58.72 ± 1.65 mV	0.23
Action potential duration	1.58 ± 0.11 ms	1.53 ± 0.14 ms	0.79

lateral), cingulate cortex (1.5 mm anterior, 0.3 mm right lateral), parietal cortex (1.8 mm posterior, 1.0 mm bilateral), and visual cortex (2.6 mm posterior, 2.5 mm bilateral). EEG leads consisted of blunted needles that were curved to hook under the skull and were secured with super glue. A ground screw was also placed over the cerebellum (5.5 mm posterior, 1.0 mm right lateral). Needle electrodes were placed through the neck muscle for EMG recordings. Two additional holes were made, and injection pipettes were lowered with one going straight down at 0.05 mm anterior, -0.65 mm left lateral, 5.65 mm ventral and the second at a 10° angle at 0.05 mm anterior, 1.6 mm right lateral, 5.7 mm ventral. A 50  $\mu$ l volume of 0.25% bupivacaine was targeted at zygomaticotemporal, auriculotemporal, great auricular, and lesser occipital nerves bilaterally to alleviate potential discomfort from the ear bars at lighter anesthetic concentrations. Anesthetic concentration was lowered to 0.8% isoflurane, and collection of EEG and EMG signals began. Signals were amplified with a Telefactor TM103D amplifier (Grass Technologies) and recorded using custom in-house MATLAB-based software. Bipolar pairs of leads in the same coronal plane were referenced to the ground screw and sampled at a frequency of 200 Hz/channel. After giving the animal 45–60 min to equilibrate to the lighter anesthetic, 50 nl of 500  $\mu$ M dexmedetomidine was pressure injected bilaterally over 30–60 s using a Picospritzer III (Parker Hannifin). A total of three bilateral injections were performed in each mouse with at least 10 min between each injection.

**Acute dexmedetomidine microinjection with concurrent somatosensory-evoked potential recordings.** Eight mice were prepared for surgery, and holes were drilled for VLPO microinjection as described above. A craniotomy window measuring ~2 × 2 mm was made over the left barrel cortex, centered around the coordinates 1.0 mm posterior, 3.0 mm left lateral. A silicon probe (Neuronexus) containing 16 electrodes, arranged vertically and spaced 100  $\mu$ m apart, was lowered into the brain as described previously (Roy et al., 2011). A capillary tube attached to a pressure injection system was placed ~1 cm above the right-side whisker pad so that a 50-ms-long, 30 pounds/inch<sup>2</sup> puff of air displaced most of the larger whiskers contralateral to the recording site. After performing bupivacaine scalp blocks as above, the anesthetic concentration was lowered to 0.8% isoflurane and maintained for 45–60 min before recording started. Injection pipettes were lowered into place at this time. Before and after each drug injection, 5 min of data were recorded with the air-puff stimulus given every 3.11 s. Four mice received three bilateral injections each of 50 nl of 500  $\mu$ M dexmedetomidine with 20 min between each injection. The other four mice received two injections of dexmedetomidine and two injections of saline in a randomized order (either both saline injections first or both dexmedetomidine injections first), again with 20 min between each injection. LFPs were amplified with a Lynx-8 amplifier (Neuralynx) and recorded at a rate of 10,101 Hz/channel using Cheetah acquisition software. The electrode at 900  $\mu$ m deep was used as reference for all other electrodes. At the end of the experiment, anesthetic concentration was raised to 1.5% isoflurane, and a ground screw was placed over the cerebellum for subsequent marking of the injection sites by iontophoresis (see below).

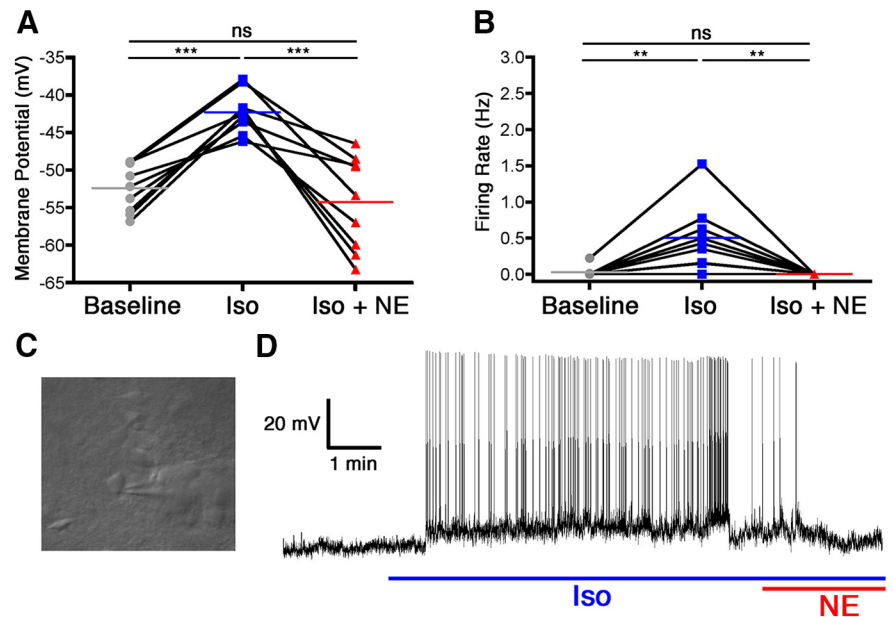
**Cannula and microinjection localization.** After behavioral testing was complete in cannulated animals, 50 nl of fluorescent microspheres (488 nm Fluospheres; Life Technologies) were injected bilaterally. At the end of each microinjection experiment, an electrode containing 2% pontamine sky blue dye in 0.5 M sodium acetate and a wire filament was lowered to each injection site. A square wave alternating between 0 and -25 V with a frequency of 5 Hz was applied for 10 min using a Grass SD9



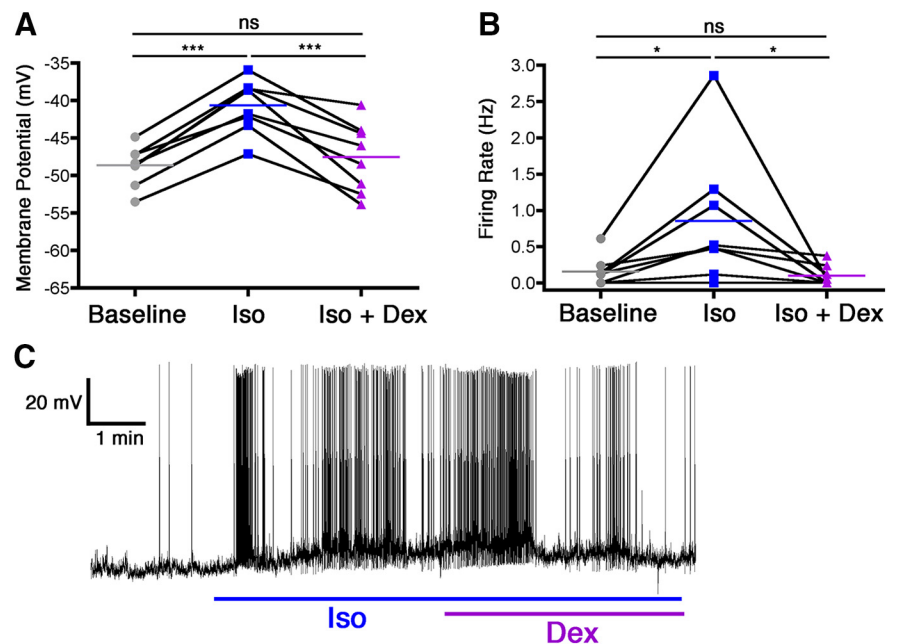
Stimulator (Grass Technologies). After fluorescent microsphere injection or dye iontophoresis, mice were deeply anesthetized with isoflurane and perfused transcardially with ice-cold saline, followed by 4% paraformaldehyde. Brains were dissected and postfixed in 4% paraformaldehyde at 4°C overnight. The brains were then cryoprotected in 30% sucrose until sinking and flash frozen with liquid nitrogen. Tissue was sectioned at 40  $\mu\text{m}$ , and any section showing evidence of cannula tracks or blue dye was slide mounted. Slides from cannula experiments were coverslipped with Dapi-Fluoromount G (Southern Biotech), whereas those from microinjection experiments were counterstained with Nuclear Fast Red (Vector Laboratories), dehydrated, and coverslipped with DPX mountant (Sigma-Aldrich). Cannula tips and microinjections were localized to their epicenter by visualizing the fluorescent microspheres or bright-field blue-labeled cells on an Olympus BX41 microscope (Olympus America). Stitched images of the entire brain section showing cannula tips and microinjection sites were created using Fiji (Preibisch et al., 2009) and scaled to corresponding coronal planes of the mouse brain atlas (Franklin and Paxinos, 2007). Sites found to be within 300  $\mu\text{m}$  (for 25 nl injections) or 360  $\mu\text{m}$  (for 50 nl injections) of any edge of the VLPO were considered to be “hits”; those outside of this range were labeled “misses.” These distances were chosen based on the estimated radius of 25 and 50 nl pressure injections into brain (Nicholson, 1985).

**EEG and evoked potential data analyses.** EEG data were passed through a low-pass 35 Hz Butterworth filter. Spectral analysis was conducted on the 2 min before and after injections using MATLAB 7.9 (MathWorks) with custom code that uses the built-in “periodogram” function. Relative band powers were obtained by averaging the signal power across the frequency range of each band ( $\delta = 0.5\text{--}4$  Hz,  $\theta = 4\text{--}8$  Hz,  $\alpha = 8\text{--}12$  Hz,  $\beta = 12\text{--}20$  Hz, and  $\gamma = 20\text{--}35$  Hz) and then dividing by the total power from 0.5–80 Hz. For evoked potential experiments, data from the 50 ms preceding and 100 ms after each stimulus were averaged together for each collection period (preinjection and postinjection). Every channel was analyzed except the one containing data from the 12th electrode on the array (1100  $\mu\text{m}$  deep), which proved non-functional. The maximum amplitude of the absolute value of the average LFP as well as the latency to the first peak after stimulus were identified using MATLAB.

**Statistics.** All statistical analyses were performed using GraphPad Prism version 4.0b (GraphPad Software; Research Resource Identifier 000081) except Cox regressions, which were performed using Stata version 12.1 (StataCorp; Research Resource Identifier nlx\_156918). Baseline membrane and action potential characteristics for isoflurane-activated and isoflurane-non-activated cells were compared by a *t* test. Repeated-measures one-way ANOVAs with *post hoc* Bonferroni’s correction were used to analyze data from isoflurane plus norepinephrine, isoflurane plus dexmedetomidine,

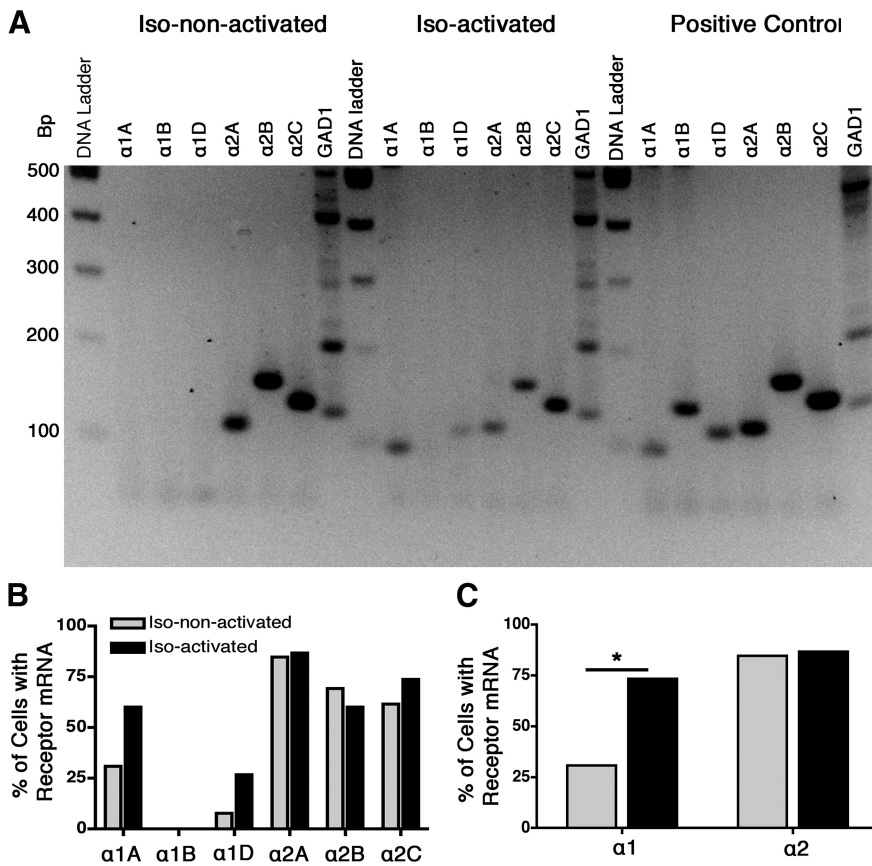


**Figure 1.** Norepinephrine reversed isoflurane-induced activation of VLPO neurons. Membrane potential (**A**) and firing rate (**B**) of nine neurons at baseline, during isoflurane exposure (Iso), and during concomitant isoflurane and norepinephrine exposure (Iso + NE). Connected points represent paired responses of individual neurons; colored lines represent group means. Data were analyzed with a one-way ANOVA and *post hoc* Bonferroni’s-corrected comparisons.  $**p < 0.01$ ;  $***p < 0.001$ . **C**, Representative image of an electrode patched onto an isoflurane-activated VLPO neuron. **D**, Representative membrane potential trace from a current-clamped VLPO neuron showing depolarization and increased firing caused by isoflurane exposure (blue line) and ensuing hyperpolarization and decreased firing caused by simultaneous exposure to isoflurane and norepinephrine (red and blue lines).

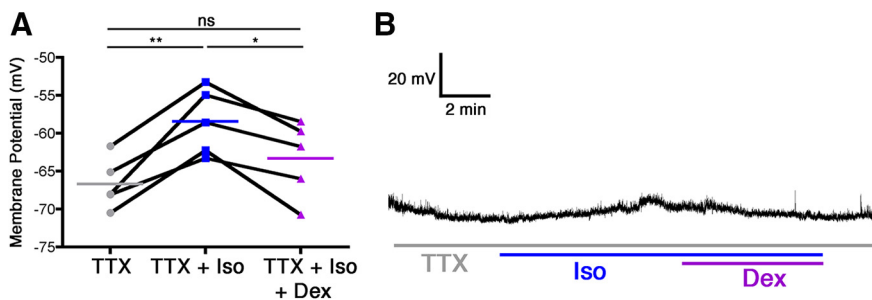


**Figure 2.** Dexmedetomidine reversed isoflurane-induced activation of VLPO neurons. Membrane potential (**A**) and firing rate (**B**) of eight neurons at baseline, during isoflurane exposure (Iso), and during concomitant isoflurane and dexmedetomidine exposure (Iso + Dex). Connected points represent paired responses of individual neurons; colored lines represent group means. Data were analyzed with a one-way ANOVA and *post hoc* Bonferroni’s-corrected comparisons.  $*p < 0.05$ ;  $***p < 0.001$ . **C**, Representative membrane potential trace from a current-clamped VLPO neuron showing depolarization and increased firing caused by isoflurane exposure (blue line) and ensuing hyperpolarization and decreased firing caused by simultaneous administration of isoflurane and dexmedetomidine (blue and purple lines).

and TTX electrophysiology experiments. Membrane potentials and firing rates before and after phenylephrine exposure were compared using paired *t* tests. A  $\chi^2$  test was used to compare differences in the number of isoflurane-activated and isoflurane-non-activated cells that expressed



**Figure 3.** Qualitative multiplex RT-PCR showed a difference in  $\alpha 1$  adrenergic receptor expression between isoflurane-activated and isoflurane-non-activated VLPO neurons. **A**, Representative gel revealing the expression of  $\alpha$ -adrenergic receptors and GAD1 PCR products from an isoflurane-non-activated neuron, an isoflurane-activated neuron, and whole-brain library cDNA positive controls. **B**, Percentage of  $\alpha$ -adrenergic receptor subtypes detected in isoflurane-non-activated neurons (gray) and isoflurane-activated neurons (black). **C**, Percentage of each cell type containing any subtype of  $\alpha 1$  receptors and  $\alpha 2$  receptors. Data were analyzed by  $\chi^2$  test,  $*p < 0.05$ .



**Figure 4.** Reversal of isoflurane-induced depolarization in anesthetic-activated VLPO neurons by dexmedetomidine is mediated via postsynaptic mechanisms. **A**, Membrane potential of five neurons recorded during the continuous presence of TTX, after addition of isoflurane (TTX + Iso), and during concomitant TTX, isoflurane, and dexmedetomidine exposure (TTX + Iso + Dex). Connected points represent paired responses of individual neurons; colored lines depict group means. Data were analyzed with a one-way ANOVA and *post hoc* Bonferroni's-corrected comparisons.  $*p < 0.05$ ,  $**p < 0.01$ . **B**, Representative membrane potential trace from a current-clamped anesthetic-activated VLPO neuron during exposure to TTX (gray line) showing depolarization by isoflurane (gray and blue lines) and subsequent hyperpolarization caused by simultaneous isoflurane and dexmedetomidine together with TTX (gray, blue, and purple lines).

each adrenoceptor. Behavioral scores for norepinephrine, dexmedetomidine, saline, and phenylephrine injections were compared using Cochran's Q test for responsiveness. Preinjection and postinjection movement scores for each drug injection were compared using a Cox regression. Both the changes in relative EEG power for each frequency band for a given channel and the average changes in LFP peak amplitude after dexmedetomidine and saline injections into the VLPO were compared with

a theoretical change of 0 compared with preinjection using two-tailed one-sample *t* tests with a Holm's sequential Bonferroni's correction for multiple comparisons. A two-way ANOVA was used to compare the effects of drug (saline vs dexmedetomidine) and electrode location on changes in LFP amplitude. One-way ANOVAs were used to compare the evoked potential peak latency for preinjection, post-dexmedetomidine, and post-saline, as well as to compare the effects of dexmedetomidine on change in peak amplitude across channels. In all cases, a *p* value  $< 0.05$  was considered significant.

## Results

### Electrophysiological properties of VLPO neurons

Observations were based on data from 44 neurons anatomically localized to the VLPO in 44 different brain slices. VLPO neurons have been categorized previously using a variety of different schemes, including their responses to somnogenic and arousal-promoting neurotransmitters (Gallopín et al., 2000, 2004, 2005; Morairty et al., 2004). Alternatively, in the present study, VLPO neurons were classified according to their response to an initial exposure to  $320 \mu\text{M}$  isoflurane, corresponding to that found in a deep surgical plane of anesthesia, and subsequently categorized into two groups: (1) isoflurane-activated (25 of 44, 57%), defined by depolarization of the resting membrane potential of a neuron of at least 4 mV; or (2) isoflurane-non-activated (19 of 44, 43%). In agreement with previous literature (Gallopín et al., 2000, 2004; Moore et al., 2012), basic membrane properties and action potential characteristics for these two classes of cells were not statistically different (Table 2). After bath application of  $320 \mu\text{M}$  isoflurane, isoflurane-activated neurons were depolarized by  $9.27 \pm 0.98$  mV, whereas isoflurane-non-activated VLPO neurons were hyperpolarized by  $1.79 \pm 1.50$  mV.

### Adrenergic stimulation antagonizes isoflurane-induced activation of VLPO neurons

VLPO neurons that were depolarized by isoflurane were subsequently exposed to either norepinephrine or dexmedetomidine in the continued presence of isoflurane. In nine of nine neurons, relative to  $320 \mu\text{M}$  isoflurane by itself, the addition of  $100 \mu\text{M}$  norepinephrine resulted in a significant membrane hyperpolarization of  $12.06 \pm 2.18$  mV ( $F_{(2,24)} = 22.94$ ,  $p = 0.039$  overall,  $p < 0.001$  for isoflurane vs isoflurane plus norepinephrine) along with a complete cessation of firing in all cells (isoflurane alone,  $0.5 \pm 0.15$  Hz;  $F_{(2,24)} = 11.70$ ,  $p = 0.0007$  overall,  $p < 0.01$  for isoflurane vs isoflurane plus norepinephrine; Fig. 1). Similarly, in a separate cohort of eight neurons, the addition of  $100$  nM dexmedetomi-



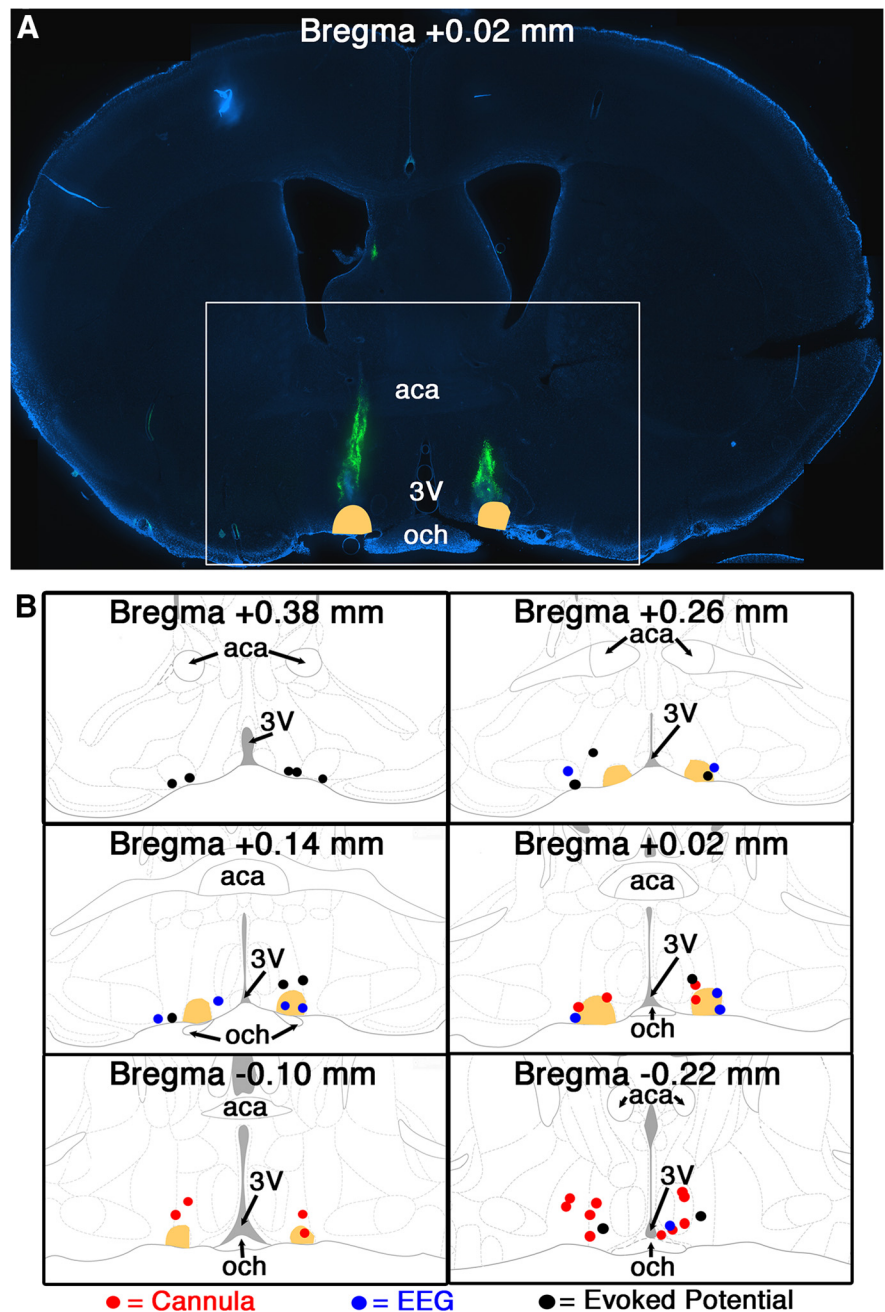
dine to 320  $\mu\text{M}$  isoflurane also overcame the excitatory effect of isoflurane, resulting in a membrane hyperpolarization of  $6.92 \pm 1.18$  mV compared with isoflurane alone ( $F_{(2,21)} = 33.63$ ,  $p < 0.0001$  overall,  $p < 0.001$  for isoflurane vs isoflurane plus dexmedetomidine) and a decrease in firing rate from  $0.85 \pm 0.33$  to  $0.10 \pm 0.05$  Hz ( $F_{(2,21)} = 5.64$ ,  $p = 0.016$  overall,  $p < 0.05$  for isoflurane vs isoflurane plus dexmedetomidine; Fig. 2).

### Single-cell $\alpha$ -adrenergic receptor mRNA analysis

Multiplex PCR for  $\alpha$  adrenoceptors was performed on 15 isoflurane-activated and 13 isoflurane-non-activated neurons that were studied electrophysiologically. The summary profile of  $\alpha$  adrenoceptors as a function of the response of the neuron to anesthetic exposure is shown in Figure 3.  $\chi^2$  testing confirmed that isoflurane-activated cells were more likely to contain mRNA for  $\alpha_1$  adrenoceptors compared with the non-activated cells (73.33 vs 30.77%,  $p = 0.02$ ). The  $\alpha_{1A}$  and  $\alpha_{1D}$  adrenoceptor mRNA was present in 60.00 and 26.67% of the isoflurane-activated cells, respectively, compared with 30.77 and 7.69% of the isoflurane-non-activated cells. The  $\alpha_{1B}$  adrenoceptor mRNA was not detected in any VLPO neuron studied. Isoflurane-activated and isoflurane-non-activated VLPO neurons showed similar  $\alpha_2$  adrenoceptor profiles (86.67 vs 84.62%,  $p = 0.88$ ). The  $\alpha_{2A}$ ,  $\alpha_{2B}$ , and  $\alpha_{2C}$  adrenoceptor subtypes were detected in 86.67, 60.00, and 73.33% of isoflurane-activated cells and 84.62, 69.23, and 61.53% of isoflurane-non-activated cells, without any significant difference in expression as a function of cell type.

### Dexmedetomidine hyperpolarizes isoflurane-activated VLPO neurons even after generation of action potentials is blocked

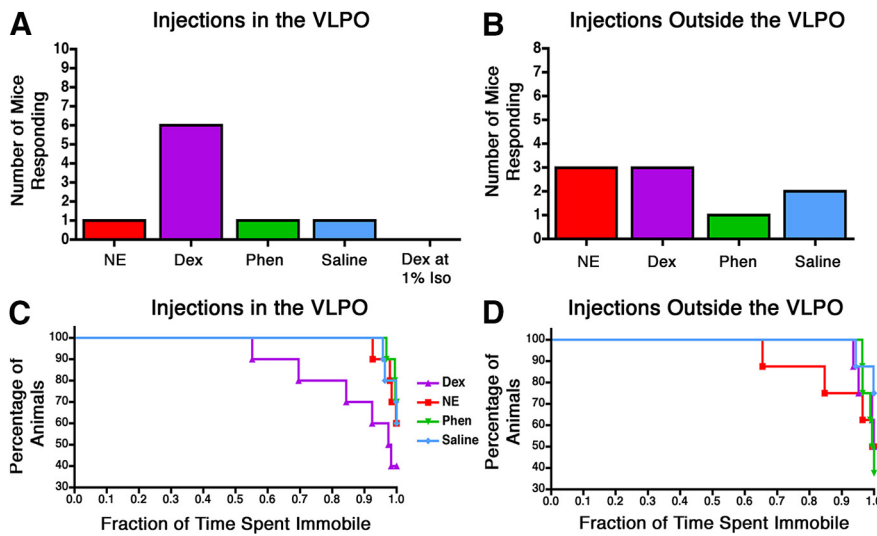
To determine whether direct  $\alpha_2$ -adrenoceptor stimulation without recurrent excitatory activity reverts isoflurane-induced depolarization, additional recordings were performed in the presence of 1  $\mu\text{M}$  TTX. In accordance with previously published data (Moore et al., 2012), a one-way ANOVA demonstrated that, in the presence of TTX, 320  $\mu\text{M}$  isoflurane retained its ability to significantly depolarize VLPO neurons by  $8.23 \pm 1.38$  mV ( $F_{(2,14)} = 17.27$ ,  $p = 0.0012$  overall,  $p < 0.01$  for TTX vs TTX plus isoflurane). Moreover, the addition of 100 nM dexmedetomidine to the bath solution containing both isoflurane and TTX caused a significant  $4.88 \pm 1.13$  mV hyperpolarization ( $p < 0.05$ ) and returned resting membrane potentials to a level statistically indistinguishable from that of the baseline TTX condition (Fig. 4).



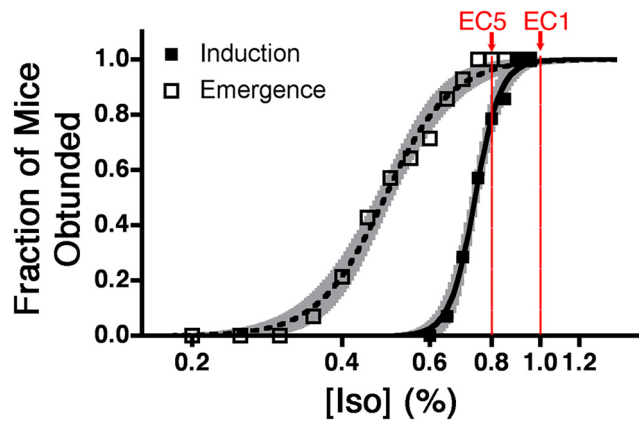
**Figure 5.** Cannula tips and microinjection sites within 300  $\mu\text{m}$  (25 nl injections) or 360  $\mu\text{m}$  (50 nl injections) of the VLPO were considered to be hits. **A**, Representative image of a VLPO-containing brain slice with 488 nm Fluospheres injected bilaterally (green) to permit localization of a chronically implanted cannula tip. The white box indicates the area shown in **B**. **B**, Summary of injection locations in all successful hits for cannula experiments (red), EEG experiments (blue), and evoked potential experiments (black). In all panels, the VLPO is colored in peach. aca, Anterior commissure; 3V, third ventricle; och, optic chiasm.

### Phenylephrine depolarizes both populations of VLPO neurons

Because of our identification of an increased probability of  $\alpha_{1A}$  and  $\alpha_{1D}$  adrenoceptors being expressed in isoflurane-activated cells, we examined how VLPO neurons responded to the  $\alpha_1$ -adrenergic agonist phenylephrine. In four of four isoflurane-activated neurons, after isoflurane washout, 50  $\mu\text{M}$  phenylephrine caused significant depolarization with a change in membrane potential of  $9.56 \pm 2.80$  mV ( $p = 0.04$  compared with baseline) and a nonsignificant  $0.74 \pm 0.33$  Hz increase in firing rate ( $p = 0.11$ ). Similarly, in three of three isoflurane-non-activated



**Figure 6.** Injection of dexmedetomidine into the VLPO increased behavioral arousal. Shown are the number of mice that exhibited signs of behavioral arousal when drugs were injected into the VLPO ( $n = 10$ ) (A) or  $>300 \mu\text{m}$  from the edge of the VLPO ( $n = 8$ ) (B). Drugs tested in animals anesthetized with 0.8% isoflurane include norepinephrine (NE), dexmedetomidine (Dex), phenylephrine (Phen), and saline. Dexmedetomidine was also injected in animals anesthetized with 1.0% isoflurane (A only). C and D show the fraction of mice that were immobile for a given percentage of the observation period after injection of drugs into the VLPO (C) or  $>300 \mu\text{m}$  from the edge of the VLPO (D) in mice anesthetized with 0.8% isoflurane. Data were analyzed by Cox regression.



**Figure 7.** Induction and emergence curves displayed on a logarithmic  $x$ -axis for mice with cannulae directed at the VLPO. Least-squares best-fit line for induction is shown in solid and for emergence is shown in dashed. Shaded areas bracketing each curve depict the best-fit, 95% confidence intervals for each curve. The  $EC_{50}$  and  $EC_{95}$  for emergence are labeled in red.

VLPO neurons, phenylephrine exposure also resulted in a significant depolarization of  $5.71 \pm 1.08 \text{ mV}$  ( $p = 0.034$ ) and nonsignificant change in firing rate of  $-0.06 \pm 0.17 \text{ Hz}$  ( $p = 0.756$ ).

**Dexmedetomidine injection into the VLPO causes behavioral arousal**

A representative image of bilateral cannulae that successfully targeted the VLPO, as well as markers indicating the target locations for all microinjection experiments, is shown in Figure 5. Injections of dexmedetomidine in the VLPO of supine, chronically cannulated mice increased behavioral arousal in 6 of 10 mice (Fig. 6). Responsive behavior was defined as full-body attempts to right or successful return of all paws to the ground. In comparison, when injected with saline, just 1 of 10 mice responded. Phenylephrine was similarly ineffective, with only 1 of 10 mice responding. Surprisingly, norepinephrine increased behavioral

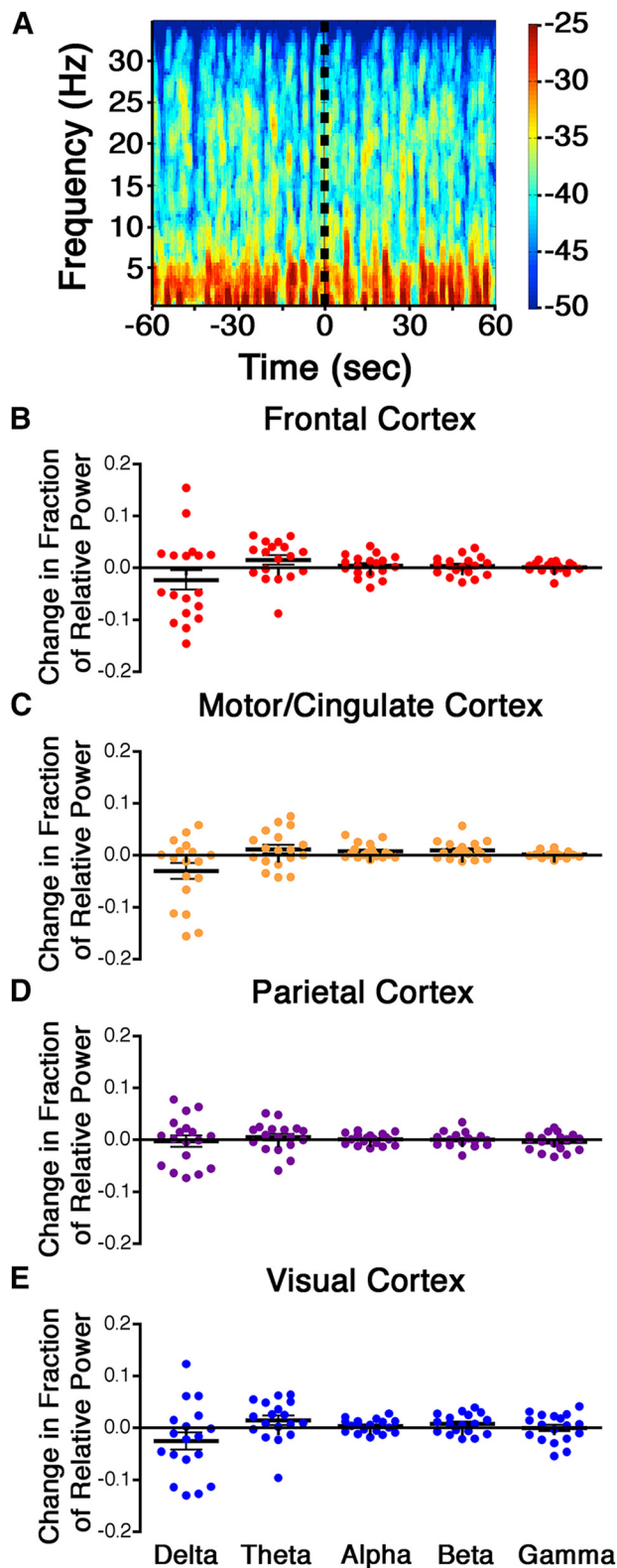
arousal in only 1 of 10, despite its hyperpolarizing actions on isoflurane-activated VLPO neurons *in vitro* (Fig. 1). The average latency to response in animals with cannulae targeting the VLPO after dexmedetomidine injection was  $72.83 \pm 40.43 \text{ s}$  (mean  $\pm$  SEM). The latency to response after any other drug in the VLPO (in the three cases in which a response occurred) was  $166.7 \pm 40.44 \text{ s}$ . Cochran’s  $Q$  test rejects the null hypothesis that all drugs were equally effective at causing responsiveness ( $Q = 8.43, p = 0.038$ ). However, the efficacy of dexmedetomidine to partially antagonize the anesthetic state was dependent on anesthetic depth. When dexmedetomidine injections were performed at a higher dose of 1% isoflurane [corresponding to an  $ED_1$  for spontaneous return of righting reflex (RORR)] rather than 0.8% (corresponding to an  $ED_5$  for spontaneous RORR; Fig. 7), no mice responded. Notably, all drugs injected in eight mice with cannulae implanted just outside of VLPO were equally ineffective at increasing behavioral arousal ( $Q = 7.58, p = 0.056$ ).

**Dexmedetomidine injection into the VLPO increases movement**

In addition to increased behavioral responsiveness, injection of dexmedetomidine into the VLPO increased the fraction of time that mice spent moving. In contrast, saline, phenylephrine, and norepinephrine failed to affect movement. Because of the bounded nature and non-normal distribution of these measurements, Cox regression survival statistics were used to examine the likelihood that the population of mice spent at least a given fraction of time immobile (Fig. 6C,D; Jahn-Eimermacher et al., 2011). There were significant effects of both drug ( $p = 0.038$ ) and preinjection versus postinjection ( $p = 0.014$ ) on the fraction of time spent immobile. When injected into the VLPO, hazard ratios suggest that norepinephrine, phenylephrine, and saline were 72, 79, and 47%, respectively, less efficacious than dexmedetomidine at antagonizing anesthetic-induced immobility. Outside of the VLPO, no significant effect of drug ( $p = 0.26$ ) or time ( $p = 0.12$ ) was observed, indicating that behavioral arousal was specifically increased only when dexmedetomidine injections targeted the VLPO. Notably, the observation period was terminated early in mice that fully righted and removed the nose cone used to deliver anesthetic, thus minimizing the apparent efficacy of the drug injections that caused the greatest responses.

**Intra-VLPO dexmedetomidine injection does not affect the spontaneous cortical EEG but reduces the depressant effects of isoflurane on barrel cortex somatosensory-evoked responses**

Given signs of behavioral arousal after intra-VLPO dexmedetomidine, we next sought evidence of cortical arousal in six mice acutely implanted with electroencephalographic leads. Increased cortical arousal has been indicated by a shift in relative power from lower frequencies to higher frequencies in spontaneous EEG with arousal-promoting stimuli (Ma and Leung, 2006; Orth et al., 2006; Sukhotinsky et al., 2007; Van Dort et al., 2009; Pillay



**Figure 8.** There is no change in spontaneous cortical EEG power after dexmedetomidine injection in the VLPO. **A** shows a representative spectrogram from the parietal cortex of a mouse before and after injection of dexmedetomidine into the VLPO (indicated by the dotted black line). The change in relative power from preinjection to postinjection was measured over the frontal cortex (**B**), motor/cingulate cortex (**C**), parietal cortex (**D**), and visual cortex (**E**) for five different frequency bands: delta = 0.5–4 Hz, theta = 4–8 Hz, alpha = 8–12 Hz, beta = 12–20 Hz, and gamma = 20–35 Hz. Black bars show the mean with SE for each dataset. Data were analyzed by two-tailed one-sample *t* tests with a Holm's sequential Bonferroni's correction for multiple comparisons.

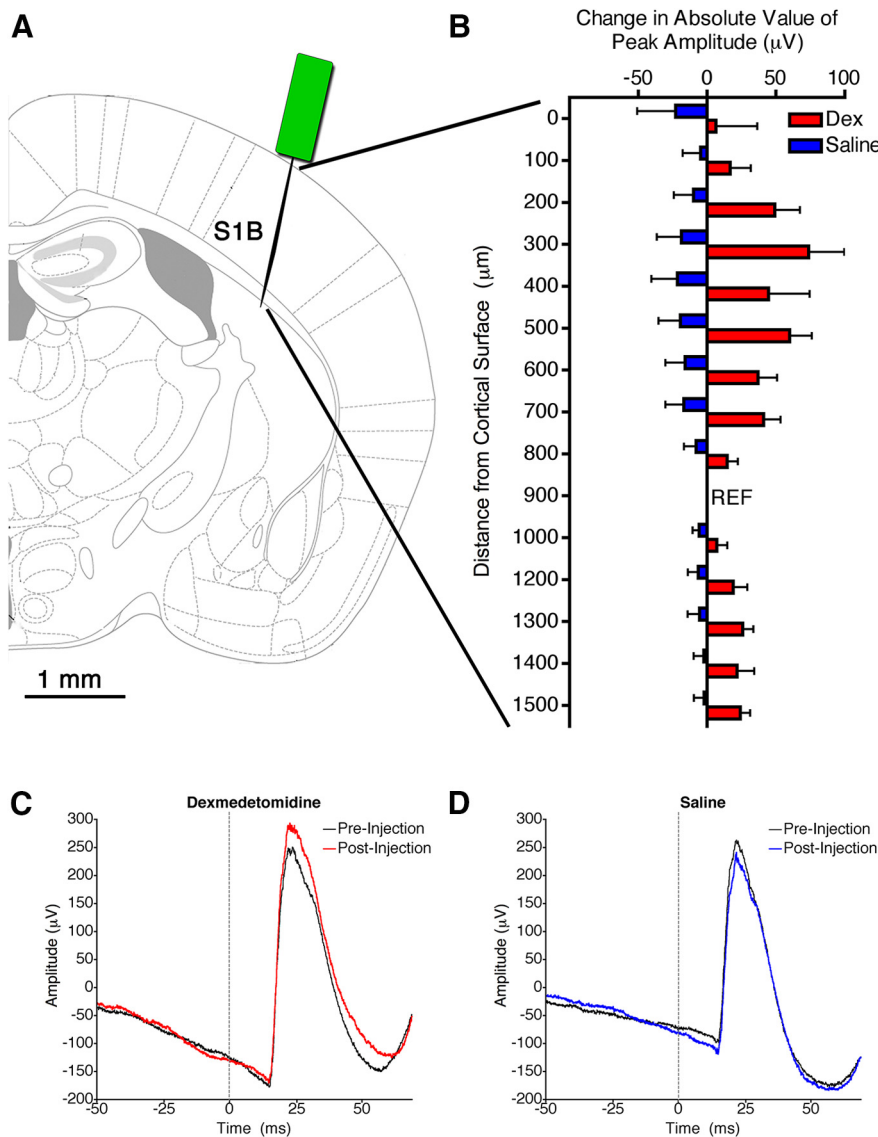
et al., 2011; Solt et al., 2011). Figure 8 surprisingly illustrates the lack of spectral changes in the EEG after intra-VLPO dexmedetomidine in a prototypical mouse stably anesthetized at 0.8% isoflurane and shows the absence of significant changes in the power of any EEG band frequency after intra-VLPO dexmedetomidine in recordings simultaneously acquired over frontal, motor/cingulate, parietal, and visual cortices. Although spontaneous cortical activity was not altered, evoked activity was significantly affected by drug injection. At steady-state 0.8% isoflurane, whisker stimuli repeatedly evoked large, stimulus-locked LFPs in the barrel cortex. In a separate cohort of eight mice, bilateral injections of dexmedetomidine into the VLPO increased the average amplitude of evoked LFPs compared with the preinjection period (Fig. 9;  $p = 0.000056$ ). Conversely, bilateral injections of an identical volume of saline into the VLPO lowered evoked LFP amplitude ( $p = 0.000066$ ), possibly as a result of a transient increase in intracranial pressure during injection. Intra-VLPO acute injections were slightly more rostral than cannula injections but nevertheless successfully targeted VLPO (Fig. 5). A two-way ANOVA that compared the effects of drug (saline vs dexmedetomidine) and electrode location found a significant effect of drug only ( $F_{(1, 270)} = 29.99, p < 0.0001$  for drug;  $F_{(13, 270)} = 0.36, p = 0.981$  for location) and no interaction between the two variables ( $F_{(13, 270)} = 0.70, p = 0.763$ ). A subsequent one-way ANOVA found no effect of individual channels on the response to dexmedetomidine ( $F_{(13, 200)} = 1.02, p = 0.44$ ), indicating that the observed increase in LFP amplitude with dexmedetomidine injection was present throughout the primary barrel cortex. Microinjections of drugs into VLPO did not alter evoked potential latency, which occurred at  $28.34 \pm 0.41$  ms before drug injection,  $28.27 \pm 0.46$  ms after injection of dexmedetomidine, and  $29.27 \pm 0.62$  ms after saline injections ( $F_{(2, 230)} = 1.12, p = 0.328$ ).

## Discussion

We used adrenergic drugs to probe the functional significance of isoflurane-induced activation of putative sleep-promoting VLPO neurons. Numerous studies have demonstrated that norepinephrine has the capacity to inhibit activity in sleep-promoting VLPO neurons (Gallopín et al., 2000; Matsuo et al., 2003; Saint-Mleux et al., 2004; Moore et al., 2012). Sleep-promoting neurons are known to possess  $\alpha_2$  adrenoceptors (Modirrousta et al., 2004). Hence, our finding that dexmedetomidine could be used to reverse isoflurane-induced activation in eight of the eight cells tested *in vitro* strongly implicates VLPO  $\alpha_2$  adrenergic signaling in modulation of arousal state.

Attempting to distinguish adrenergic receptor expression profiles among anesthetic-activated and non-activated VLPO neurons, we performed single-cell multiplex RT-PCR analyses on 28 neurons in which we obtained electrophysiological recordings. There was an increased likelihood of detecting  $\alpha_{1A}$  or  $\alpha_{1D}$  adrenoceptors in isoflurane-activated cells but a similar incidence of detecting all three  $\alpha_2$  adrenoceptor subtypes in both populations. Despite the increased probability of finding  $\alpha_1$  adrenoceptor mRNA in anesthetic-activated cells,  $50 \mu\text{M}$  phenylephrine depolarized both the isoflurane-activated and non-activated VLPO neurons. One study limitation is that only a single dose of phenylephrine—chosen to activate all three  $\alpha_1$  adrenoceptors—was tested. Its lack of selectivity in depolarizing both VLPO cell populations discouraged additional  $\alpha_1$  agonist investigation. In an effort to determine whether the ability of dexmedetomidine to antagonize isoflurane-induced activation of VLPO neurons was mediated by direct action on the postsynaptic VLPO neurons themselves or potentially presynaptically





**Figure 9.** Dexmedetomidine in the VLPO increased the amplitude of somatosensory-evoked potentials. **A**, Graphic representation of the location in the primary barrel cortex (S1B) in which the recording electrode was placed. **B**, The change in the absolute value of the amplitude of evoked LFPs after injection of dexmedetomidine (red; Dex) or saline (blue) at each recording site throughout the depth of the barrel cortex. The reference electrode is indicated by REF. The electrode at 1100 µm depth was nonfunctional and thus is not shown. A two-way ANOVA indicated a significant effect of drug ( $p < 0.0001$ ). **C, D**, Representative traces of the average LFP evoked by a whisker stimulus before and after injection of dexmedetomidine (**C**) or saline (**D**) from the electrode at 200 µm depth. Stimulus time is marked by a dotted gray line.

through actions on neighboring non-activated cells or more distant cells also present in the slice, such as those of the median preoptic area (Kolaj and Renaud, 2001), we suppressed synaptic transmission by blocking action potential generation with TTX. Isoflurane still depolarized a subset of VLPO neurons, consistent with previous findings (Moore et al., 2012). In the presence of TTX, isoflurane-induced depolarization was reversed by dexmedetomidine, consistent with direct hyperpolarizing actions occurring through  $\alpha_2$  adrenoceptors expressed on the postsynaptic VLPO neurons. Conversely, systemic rather than local administration of dexmedetomidine appears to indirectly depolarize VLPO neurons (Nelson et al., 2003), likely as a net consequence of silencing inhibitory input to the VLPO. Although the hypnotic mechanism underlying systemic delivery of dexmedetomidine remains unknown, previous studies prove that its essential  $\alpha_2$ -adrenergic effects occur

on non-adrenergic neurons (Lakhlani et al., 1997; Gilsbach et al., 2009; Hu et al., 2012).

The robust antagonistic effects of local application of  $\alpha_2$ -adrenergic agents on isoflurane-induced VLPO depolarization *in vitro* led to *in vivo* investigations. Both qualitative and quantitative measures of behavior were used to determine whether injection of adrenergic drugs into the VLPO of anesthetized mice would increase arousal. The qualitative test relied on the return of the righting reflex, a common surrogate readout for restoration of consciousness in rodents. Although the definition of this test was expanded to consider mice that made a clear effort to right as responsive, the readout was still binary and failed to address the significance of smaller behaviors that may be associated with arousal, such as facial grooming and tail swinging (Pillay et al., 2011). For this reason, the percentage of time spent moving before and after drug injections was also examined. Such measures provide a more quantitative and continuous assessment of arousal in settings in which interventions fail to fully antagonize the anesthetic state.

In accordance with *in vitro* slice experiments, we demonstrated both subjective and objective increases in arousal when dexmedetomidine was injected into the VLPO of lightly anesthetized mice. Microinjection of histamine into the rat VLPO, which reduces the firing of norepinephrine-hyperpolarized, putative sleep- and anesthetic-activated neurons, has also been shown to increase behavioral activity (Liu et al., 2010). The 0.8% isoflurane dose used in this study corresponded to a calculated  $EC_{50}$  for spontaneous RORR in this cohort of mice. When dexmedetomidine was administered during the deeper plane of 1.0% isoflurane, corresponding to an  $EC_{10}$  for spontaneous return of righting, no arousing response was seen despite the ability of dexmedetomidine to reverse isoflurane-induced activation of VLPO neurons *in vitro* at an even higher anesthetic concentration.

This suggests that the VLPO may contribute to the transition between arousal states but that recruitment of other brain regions, neurotransmitter systems, and protein targets are likely necessary to enact a full return to arousal (Alkire et al., 2007, 2009; Kelz et al., 2008; Pillay et al., 2011; Solt et al., 2011; Taylor et al., 2013; van Swinderen and Kottler, 2014). Although global modulation of adrenergic signaling affects both anesthesia induction and emergence and local activation of the locus ceruleus powerfully facilitates emergence, local modulation of adrenergic signaling within the basal forebrain could only elicit transient microarousals (Mason et al., 1983; Kushikata et al., 2011; Pillay et al., 2011; Hu et al., 2012; Vazey and Aston-Jones, 2014). Hence, a major unanswered question remains regarding the adrenoceptive neuronal targets capable of eliciting a complete response.

Despite the observed signs of behavioral arousal, EEG recordings during acute dexmedetomidine injection into the VLPO showed no evidence of spectral changes suggestive of cortical activation. It is possible that application of other processed EEG measures may be more sensitive to subtle changes in spontaneous cortical activity. Together, the results of our *in vivo* experiments suggest an apparent dissociation between behavioral activation and EEG arousal, a phenomenon that has been observed previously (Feldman and Waller, 1962; Vanderwolf, 1992). However, to substantiate this hypothesis that intra-VLPO dexmedetomidine destabilizes the anesthetic state, we recorded somatosensory-evoked potentials. Evoked responses have been shown previously to be sensitive to changes in anesthetic-related arousal state, with LFP amplitudes increasing as anesthetic concentration decreases (Erchova et al., 2002; Antunes et al., 2003; Banoub et al., 2003; Rojas et al., 2006). At a fixed anesthetic concentration, increased evoked potential amplitudes would thus indicate a higher fidelity sensory gating to the cortex, consistent with an apparent decrease in the depth of the anesthetic state. We observed an increase in the amplitude of evoked responses after injection of dexmedetomidine into the VLPO, further supporting the theory that hyperpolarization of anesthetic-activated cells in the VLPO primes the anesthetized brain for arousal. Whisker stimuli thus provide another tool suggesting that VLPO hyperpolarization may cause subcortical network adaptations that ultimately affect thalamocortical transmission.

In contrast to *in vitro* observations, mice did not show increased arousal with local delivery of norepinephrine into the VLPO. Multiplex PCR data indicated that a variety of adrenoceptors were present in anesthetic-activated and neighboring VLPO neurons. It is possible that norepinephrine itself failed to induce arousal in mice as a result of opposing actions within the VLPO microenvironment, which was the intended target of cannula drug delivery. Such an effect could potentially be mediated by the isoflurane-non-activated subset of VLPO cells or by off-target actions of the single, high concentration of norepinephrine chosen based on the literature (Pillay et al., 2011). A complete neurotransmitter receptor profile would be required to determine all of the VLPO targets on which norepinephrine may be working along with a full dose–response curve, but it is beyond the scope of this study.

Based on the exquisite selectivity of medetomidine, the racemic mixture of dexmedetomidine and its stereoisomer, which display a 1600:1 preference for  $\alpha_2$  adrenoceptors over  $\alpha_1$  adrenoceptors (Virtanen et al., 1988), the most congruent interpretation of our pharmacologic data is that stimulation of  $\alpha_2$  adrenergic receptors on VLPO neurons antagonizes the activating effects of isoflurane on these cells *in vitro*. Dexmedetomidine injected directly into the VLPO of anesthetized mice destabilized the anesthetic state, favoring behavioral arousal, but only at lighter anesthetic doses. At 0.8% isoflurane, the system was already primed for emergence, and subsequent adrenergic hyperpolarization of the VLPO further destabilized the hypnotic state, making the transition to arousal even more probable. This “paradoxical” antagonism of the anesthetic state by dexmedetomidine—an anesthetic drug—is reminiscent of the functional recovery observed in patients with disorders of consciousness after administration of zolpidem (Clauss et al., 2000; Cohen et al., 2004; Brefel-Courbon et al., 2007; Shames and Ring, 2008; Whyte and Myers, 2009; Williams et al., 2013). Such non-intuitive drug effects highlight the critical requirement to understand the circuits on which general anesthetics act for mechanistic insight. Although manipulating the activity of VLPO neurons is not a

guaranteed means to precipitate an arousal state transition, predicted prolonged depolarization of these anesthetic-active neurons with a glutamatergic agonist markedly delayed emergence from isoflurane (McCarren et al., 2013). Hence, anesthetic-activated neurons in the VLPO may form an intrinsic switch that prepares the brain for state transitions, but future *in vivo* unit recordings are needed to confirm this prediction. Given the response to noxious stimuli, it is not surprising that a surgical plane of anesthesia cannot be antagonized by a surge in central adrenergic tone, although activation of central adrenergic signaling clearly prepares the brain for emergence (Vazey and Aston-Jones, 2014). Cumulatively, our findings indicate that VLPO activity contributes to the stability of the anesthetic state and reinforce the notion that the VLPO may be a potential bellwether capable of heralding state changes before their actual occurrence. Anesthetic drugs, as a class, are likely working simultaneously on numerous and varied components of brain circuitry to produce their many effects. Identification of the VLPO as an effector of changes in anesthetic sensitivity will help to guide future experiments that look at synergistic effects of multiple arousal-related nuclei.

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