

# Excitation and Inhibition Compete to Control Spiking during Hippocampal Ripples: Intracellular Study in Behaving Mice

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High-frequency ripple oscillations, observed most prominently in the hippocampal CA1 pyramidal layer, are associated with memory consolidation. The cellular and network mechanisms underlying the generation of the rhythm and the recruitment of spikes from pyramidal neurons are still poorly understood. Using intracellular, sharp electrode recordings in freely moving, drug-free mice, we observed consistent large depolarizations in CA1 pyramidal cells during sharp wave ripples, which are associated with ripple frequency fluctuation of the membrane potential (“intracellular ripple”). Despite consistent depolarization, often exceeding pre-ripple spike threshold values, current pulse-induced spikes were strongly suppressed, indicating that spiking was under the control of concurrent shunting inhibition. Ripple events were followed by a prominent afterhyperpolarization and spike suppression. Action potentials during and outside ripples were orthodromic, arguing against ectopic spike generation, which has been postulated by computational models of ripple generation. These findings indicate that dendritic excitation of pyramidal neurons during ripples is countered by shunting of the membrane and postripple silence is mediated by hyperpolarizing inhibition.

**Key words:** action potential threshold; hippocampus; inhibition; intracellular *in vivo* recording; oscillations; sharp wave ripples

## Introduction

A prominent physiological pattern involved in memory consolidation is the sharp wave–ripple complex, which occurs mainly during non-rapid eye movement sleep, immobility, and consummatory behaviors (Buzsáki, 1983; Wilson and McNaughton, 1994; Karlsson and Frank, 2009). During these events, population bursts from multiple locations of the hippocampal CA3 region depolarize dendrites of CA1 neurons. This depolarization is indicated by a negative sharp wave in the dendritic layers (Buzsáki, 1983; Buzsáki, 1986). The excited target CA1 network, in turn, induces a short-lived fast oscillatory event in the pyramidal layer, known as a “ripple” (140–180 Hz; O’Keefe and Nadel, 1978; Buzsáki et al., 1992). Only a small subset of pyramidal neurons participates during ripples (Buzsáki et al., 1992; Csicsvari et al., 1999b; Sullivan et al., 2011) and their sequential activity is often similar to firing sequences of the same neurons during learning and exploration (Wilson and McNaughton, 1994; Kudrimoti et al., 1999; Nádasdy et al., 1999; Lee and Wilson, 2002; Johnson and Redish, 2005; Foster and Wilson, 2006; O’Neill et al.,

2006; Diba and Buzsáki, 2007; Gupta et al., 2010; Jadhav et al., 2012; Singer et al., 2013; Wikenheiser and Redish, 2013; Mizunuma et al., 2014). Selective elimination of ripples after learning results in impaired memory performance (Girardeau et al., 2009; Ego-Stengel and Wilson, 2010; Jadhav et al., 2012). Intraripple organization of pyramidal neurons is aided by a delicate inhibitory selection process (Klausberger and Somogyi, 2008; Ellender et al., 2010; Papatheodoropoulos and Koniaris, 2011).

According to a prominent computation model, axo-axonal gap junctions connect CA1 pyramidal neurons into a sparse electrically coupled network, resulting in spikes from the axonal plexus propagating antidromically to the soma (Traub and Bibbig, 2000; Schmitz et al., 2001; Traub et al., 2012). This model is primarily supported by *in vitro* slice experiments, including the demonstration of antidromic spikes recorded intracellularly in pyramidal cells during ripples *in vitro* (Papatheodoropoulos, 2008; Böhner et al., 2011), and the observation that gap junction blockers abolish ripples *in vitro* (Draguhn et al., 1998; Schmitz et al., 2001; Maier et al., 2003) and *in vivo* (Ylinen et al., 1995). Competing models require inhibition and interactions between excitation and inhibition (Buzsáki et al., 1992; Whittington et al., 1995; Ylinen et al., 1995; Traub et al., 1996; Brunel and Wang, 2003; Geisler et al., 2005; Rácz et al., 2009; Taxidis et al., 2012; Varga et al., 2012; Hájos et al., 2013; Chiovini et al., 2014; Karlócai et al., 2014). Interpretation of *in vitro* studies is constrained because the exact activity *in vitro* may depend on a variety of experimenter-set conditions. Furthermore, many *in vitro* studies investigated CA3 ripples, which are neither prominent *in vivo* nor coherent with CA1 ripples (Sullivan et al., 2011). Previous intracellular studies investigating ripples *in vivo* were performed only under the influence of drugs (Ylinen et al., 1995; Kamondi et al.,

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**Table 1. Properties of recorded neurons**

Neuron	Time (min)	Resting Vm (mV)	Firing rate overall (Hz)	Outside ripples	In ripples	LFP ripples		Vm ripples		Input resistance (M $\Omega$ )	Electrode impedance (M $\Omega$ )	Depth ( $\mu$ m)
						Count	Percentage with spikes	Count	Percentage with spikes			
1	6.50	−67.59	1.45	1.10	24.32	111	58	19	42	Not available	111	1650
2	9.35	−56.18	3.61	3.45	14.39	139	35	40	38	Not available	135	1500
3	51.00	−56.97	11.10	10.91	30.59	376	65	50	98	59.03	165	1500
4	12.15	−58.61	0.65	0.66	0.46	216	1	2	100	Not available	100	1800
5	11.37	−76.13	1.05	1.00	5.40	105	15	10	40	46.35	117	1400
6	10.55	−74.47	0.00	0.00	0.00	88	0	13	0	41.42	117	1400
7	50.48	−77.87	3.18	3.18	3.38	237	10	20	25	45.64	110	1450
8	66.23	−67.49	4.10	4.09	7.49	138	20	56	39	42.95	200	1400
9	161.92	−55.57	0.53	0.51	3.96	952	12	294	33	40.58	150	1500
10	32.58	−67.45	4.70	5.12	40.31	86	66	9	100	42.14	185	1515
11	5.55	−66.94	1.48	1.35	10.61	66	29	12	17	35.71	90	2000
Mean	37.97	−65.93	2.90	2.85	12.81	228.55	28.27	47.73	48.36	44.23	134.55	1555.91
SD	46.37	8.15	3.15	3.15	13.34	256.35	24.67	83.59	34.94	6.82	35.98	189.96

1998). To examine the nature of spike generation and the role of inhibition in controlling spiking during ripples, we recorded the membrane potential of CA1 pyramidal cells during ripples in freely behaving mice. We demonstrate that, *in vivo*, action potentials in ripples are orthodromic and inhibition produces sparse spiking, despite consistent depolarization.

## Materials and Methods

**Sharp electrode microdrive and surgery.** Ultralight (~2 g), head-mounted sharp electrode microdrives, originally developed for recordings from zebra finches (Long et al., 2010), were used in these experiments. Microdrive dimensions were as follows: height, ~20 mm; length, ~10 mm; width, ~6 mm; length of travel, ~6 mm. Ground and interface wires were solid silver (0.008 inch diameter; part #782000, A-M Systems). Electrode advancement was via a miniature DC linear actuator (brushless DC micromotor with 125:1 planetary gear reduction; part #0308Y0001, Micromo). Electrode stabilization was provided by sharpened nylon screws mounted in miniature brass hex-nuts (binder head nylon screws, part #NBB00908; brass hex nuts, part #HNBS0090, Micro Fasteners). Microdrive implantation was performed under isoflurane anesthesia, 24–72 h before recording, in 2–6-month-old male C57BL/6J mice (The Jackson Laboratory). The scalp was removed and the bone was treated with 3% hydrogen peroxide and an etchant/adhesive (OptiBond, Kerr), following which the microdrive, loaded with a dummy electrode, was placed such that the electrode tip was located at −2 to −2.5 mm posterior from bregma and 1–2.5 mm lateral from the midline fissure. The drive was then affixed to the skull using dental cement (Unifast Trad, GC America). A craniotomy was made ipsilateral to the intracellular recording site, −2.5 to −3 mm posterior from bregma and −1.5 to −2.5 mm lateral from the midline fissure, for implanting extracellular recording electrodes. The footprint of the microdrive limited the minimum distance between the intracellular and extracellular recording sites, which varied from 0.5 to 1 mm in different experiments. Extracellular electrodes for local field potential (LFP) recording were made from eight 20  $\mu$ m tungsten wires (California Fine Wire), twisted into a single octrode. Two chlorinated silver wires (0.008 inch silver wire, A-M Systems) were placed under the skull above the cerebellum on the side contralateral to recording and served as reference and ground. Extracellular recording wires were connected to an Omnetics (Omnetics Connector) connector affixed to the skull with dental cement as above. Intracellular recording wires and wires for controlling the microdrive were connected to a second Omnetics connector mounted on the microdrive. Animals were allowed to recover for 24–36 h. During the recovery period, the extracellular recording sites were checked for the presence of hippocampal ripples. If no ripples were recorded on any of the wire electrodes (i.e., they missed the target CA1 pyramidal layer), the mouse was not included in the present experiments.

To mount the intracellular recording electrode in the microdrive and to make the craniotomy through which to place the electrode, another

brief surgery (5–20 min) was performed under isoflurane anesthesia on the day of recording. During this surgery a 0.1–0.2 mm craniotomy was made and the dura mater was removed. A well was created around the craniotomy using a biocompatible two-part silicone elastomer (Kwik-Cast, World Precision Instruments), which was then filled with 10,000 cs silicone fluid (E200, Dow Corning) to protect the brain and stabilize the recording pipette. Pipettes for intracellular recording (90–200 M $\Omega$ ; Table 1) were pulled from borosilicate glass (part #BF100-50-100; outer diameter, 1 mm; inner diameter, 0.5 mm; Friedrich & Dimmock), using a P-97 Flaming/Brown micropipette puller (Sutter Instrument), and filled with 1 or 3 M potassium acetate (P1190, Sigma-Aldrich), and in some cases 2% biocytin (B4261, Sigma-Aldrich), as described previously (Ylinen et al., 1995; Quilichini et al., 2010). After placement of the electrode in the microdrive, the animal was removed from anesthesia and placed in a Plexiglas recording chamber (footprint, 30  $\times$  30 cm; walls, 25 cm) with a 20-cm-diameter running wheel built into one wall. Animals awakened in 1–5 min. The intracellular electrode was lowered manually by the motorized microdrive through the cortex in 10–20  $\mu$ m steps over the following 10–45 min. Impalement of hippocampal neurons was attempted after the animal had been awake for  $\geq$ 30 min, using electromechanical “buzzing” (ringing the capacitance compensation circuit) as described previously in anesthetized animals (Ylinen et al., 1995). No effort was made to restrict the movement of the animal during neuron impalement or at any other time, and animals were observed to perform normal behaviors, including grooming, wheel running, and sleep. For tracking the position of the animals, two small light-emitting diodes (1.5 cm separation), mounted above the headstage, were recorded by a digital video camera and sampled at 30 Hz.

**Data acquisition and analysis.** Intracellular signals were buffered at 1 $\times$  gain by an operational amplifier (OPA129U-ND, Digi-Key), head mounted on the microdrive, then fed into a single-channel intracellular amplifier with 10 $\times$  amplification (IR-183, Cygnus Technology), and amplified (15 $\times$ ) and digitized at 32,556 Hz (Digital Lynx, Neuralynx). Extracellular signals were amplified 20 $\times$  by a head-mounted analog amplifier (HST/16V-G20, Plexon) and amplified 15 $\times$  and digitized at 32,556 Hz (Digital Lynx, Neuralynx).

The 11 CA1 pyramidal neurons included in the present study were acquired from seven mice, out of a total of 60 mice in which recordings were attempted, over a total of >150 recording days. The distance from the brain surface was used to successfully target the CA1 pyramidal layer, and each included neuron showed intracellularly recorded ripples in its membrane potential (Vm) at ripple frequency. Neither neocortical nor CA3 pyramidal cells show spike phase locking to LFP ripples in CA1 (Sullivan et al., 2011). In contrast, all included pyramidal cells did, identifying them as CA1 neurons. Only sections of recordings with no applied bias current were included in the analysis, except in Figure 4G, where negative current injection was used to hold the neuron Vm at hyperpolarized potentials. We excluded from analysis neurons that had a recording time of <5 min with no bias current or had spikes with action

potentials <45 mV. All analyses were performed under Matlab (Mathworks) using built-in and custom-made programs. For ripple detection, a threshold function (LFP, >3 SD; Vm: >6 SD) was applied to bandpass-filtered (100–250 Hz) LFP and Vm signals. The maximum negative or positive peak in each event detected in the filtered signals was taken as the center of the LFP or Vm ripple, respectively (Patel et al., 2013). Action potential threshold was determined from the time of the first positive peak in the third derivative of the spike waveform (Henze and Buzsáki, 2001). The percentage of time the Vm was above threshold without spiking was calculated from the portion of the Vm distribution that was greater than the lowest 5% of the spike threshold distribution. This value was calculated for each cell and for ripples and control periods independently. The difference in input resistance during ripples was calculated by fitting a single exponential to the first 15 ms of the charging curve of Vm during the beginning of randomly applied hyperpolarizing current pulses (180 pA to 1.4 nA). Current pulses that coincided with ripples were defined as such when the peak time of an LFP-detected ripple fell within a window of –5 to +15 ms from the start time of the current injection. Paired control pulses were taken as the immediately preceding pulse.

In a separate group of mice, recordings from the CA1 pyramidal layer made using silicon electrodes (Stark et al., 2014) were used to analyze the waveform constancy during and outside ripples. For each well isolated unit, spike waveforms were recorded on 8–10 sites and projected onto a common basis (obtained by the principal component analysis of the data) consisting of three eigenvectors per site. The projection coefficients were then averaged over all spikes that occurred during/outside ripples to arrive at two mean waveform description vectors, which were then Pearson correlated and Fisher Z transformed, yielding a “waveform consistency” measure. For a given unit, statistical significance of the consistency was assessed by randomly permuting the labels of spikes (during/outside ripples) and repeating the computation 1000 times.

**Histology.** Mice were anesthetized with pentobarbital injection, perfused with saline and 4% paraformaldehyde before their brains were rapidly removed. Coronal sections (100  $\mu$ m) were cut on a vibratome (Leica, VT1000S) and collected in PBS. After three washes in PBS (15 min each), sections were permeabilized in PBS containing 0.2% gelatin and 0.2% Triton X-100 (PBS\*) for 2 h at room temperature and then incubated in streptavidin-conjugated Alexa555 diluted 1:500 in PBS\* for 3 h. After two washes with PBS (30 min each) sections were incubated with 1:20,000 dilute DAPI in PBS and washed again for 30 min, after which they were mounted in Fluoromount (Sigma-Aldrich) and imaged with a confocal microscope (LSM 510, Zeiss).

All experiments were approved by the institutional Animal Care and Use Committee of New York University Medical Center.

## Results

### Intracellular activity during sharp wave ripples in CA1 pyramidal cells in freely behaving mice

We combined LFP recording with intracellular monitoring of CA1 pyramidal neuron Vm in freely behaving animals. The intracellular recording glass pipette was advanced by a chronically implantable, motorized, and head-mountable, ultralight microdrive (Long et al., 2010; Fig. 1A, top). Impalement and stabilization of the neurons were performed as described previously in anesthetized animals (Ylinen et al., 1995). Our preparation resulted in stable recording of CA1 pyramidal neuron Vm, lasting  $\geq$  2 h (Table 1). During all recording sessions animals were free to explore a 30  $\times$  30 cm recording chamber (Fig. 1A, bottom), in which they occasionally fell asleep, exhibiting rapid eye movement and slow-wave sleep epochs (Fig. 1B). Sharp wave ripple events were readily apparent in the pyramidal layer LFP (Fig. 1, blue traces) and in the intracellular Vm (Fig. 1, red traces).

While the sharp wave and ripple are distinct events, their close relationship is reflected by their similar time course and the correlation between the amplitude of the sharp waves and the magnitude and frequency of the ripples (Buzsáki, 1986; Sullivan et al.,

2011). A ripple event or episode consists of multiple ripple waves (typically 4–10), whose envelope defines the episode. Both sharp wave-related depolarization and ripple frequency oscillations were consistently observed in the intracellular recordings in the form of somatic depolarization and a fast, ripple-related Vm fluctuation, coincident with the LFP ripple event (Figs. 1B–D, 2A–C). The trough (for LFP), or peak (for Vm), of the largest amplitude ripple wave within a filtered ripple episode (100–250 Hz) was considered the center of the ripple episode. Using the intracellularly detected ripples, ripple-triggered Vm signal revealed the true magnitude of the ripple event-related depolarization (mean peak, +10.46 mV; SEM,  $\pm$ 3.11 mV; using 6 SD threshold for ripple detection; Fig. 2B, right). The intracellular and LFP ripples had the same peak frequency as quantified by wavelet spectra (LFP,  $143.41 \pm 7.32$  Hz; Vm,  $142.27 \pm 57.63$  Hz;  $p = 0.95$ ,  $n = 11$ ; Fig. 2C).

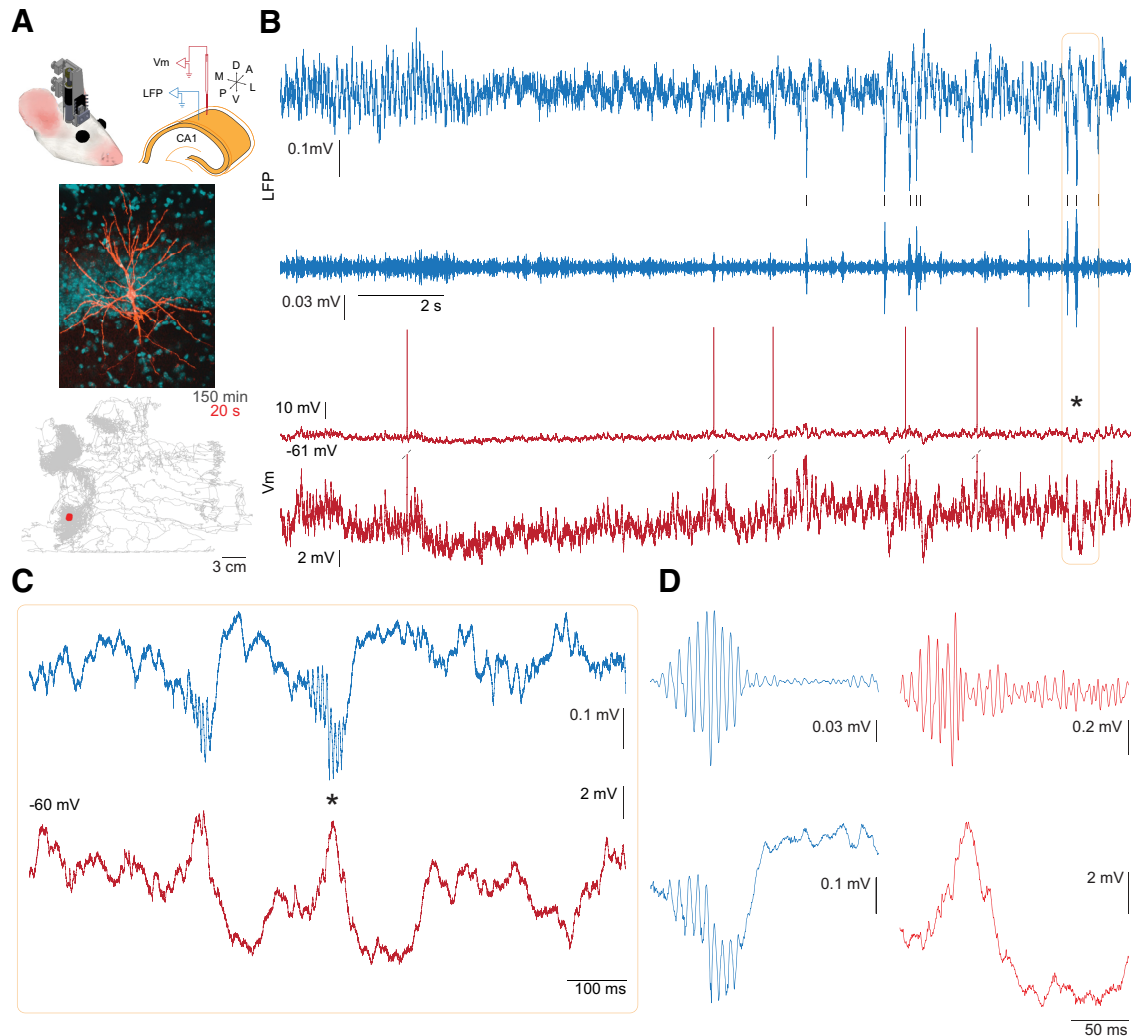
We examined the temporal relationship between intracellular and LFP events in three different ways. First, ripple trough times of the LFP were used to average both periripple LFP and Vm, which revealed similar and correlated waveforms of the extracellular and intracellular signals (Fig. 2B, left;  $n = 11$  neurons). Second, coherence spectra between LFP and Vm showed specific, though modest, ripple band-related increase (Fig. 2C, bottom). Third, separately detected LFP and Vm ripple times were cross-correlated and these calculations also showed a robust but noisy peak in the cross-correlogram (Fig. 2D). Due to the disparity of recording times, the number of Vm ripples inevitably varied across neurons. However, when we confined our analysis to neurons with  $\geq$ 10 ripples ( $n = 7$ ; Table 1), the results remained unaltered. Overall, these observations show that sharp wave-induced depolarization and ripple occurrence in the Vm of pyramidal neurons regularly accompany LFP ripples.

### Inhibition of pyramidal neurons during and after ripples

During ripples, both pyramidal cells and various subclasses of interneurons increase their average firing rates but the ripple-related gain is larger for pyramidal cells than for interneurons (Ylinen et al., 1995; Csicsvari et al., 1999a; Klausberger et al., 2003). In the absence of voltage-clamp separation of excitatory and inhibitory currents, we assessed their contributions indirectly in sharp electrode recordings. Vm was consistently depolarized during ripples (Vm ripple time; Fig. 3A). During ripples, Vm was significantly more likely to be above a minimum spike threshold value (see Materials and Methods) compared with control periods (Fig. 3C; mean  $\pm$  SEM: ripples with spikes,  $49 \pm 14\%$ ; control,  $7 \pm 4\%$ ;  $p = 0.0063$ , paired  $t$  test,  $n = 10$  neurons; ripples without spikes,  $54 \pm 15\%$ ; control,  $17 \pm 12\%$ ;  $p = 0.040$ , paired  $t$  test,  $n = 10$  neurons). This behavior of Vm suggests that during ripples pyramidal cells are always excited or that excitation is compensated for by concurrent inhibition. The third possibility, that inhibition depolarizes the neuronal membrane even above the resting membrane potential, was excluded by previous experiments performed under anesthesia (Ylinen et al., 1995).

To probe the presence and magnitude of inhibition during and after ripples, depolarizing current pulses (above rheobase) were injected into the soma of pyramidal neurons and the evoked firing rates were compared with control current-induced spiking epochs in the absence of ripples (Fig. 4A; 300 ms pulses at 0.1–0.5 Hz,  $n = 4$  cells). Because CA1 pyramidal neurons show rapid spike frequency adaptation (Fig. 4A–D; Lanthorn et al., 1984; Fernandez et al., 2011), and because ripples could occur any time during the current pulse, firing rate measurements in the control pulse-induced events were matched to the time and duration of



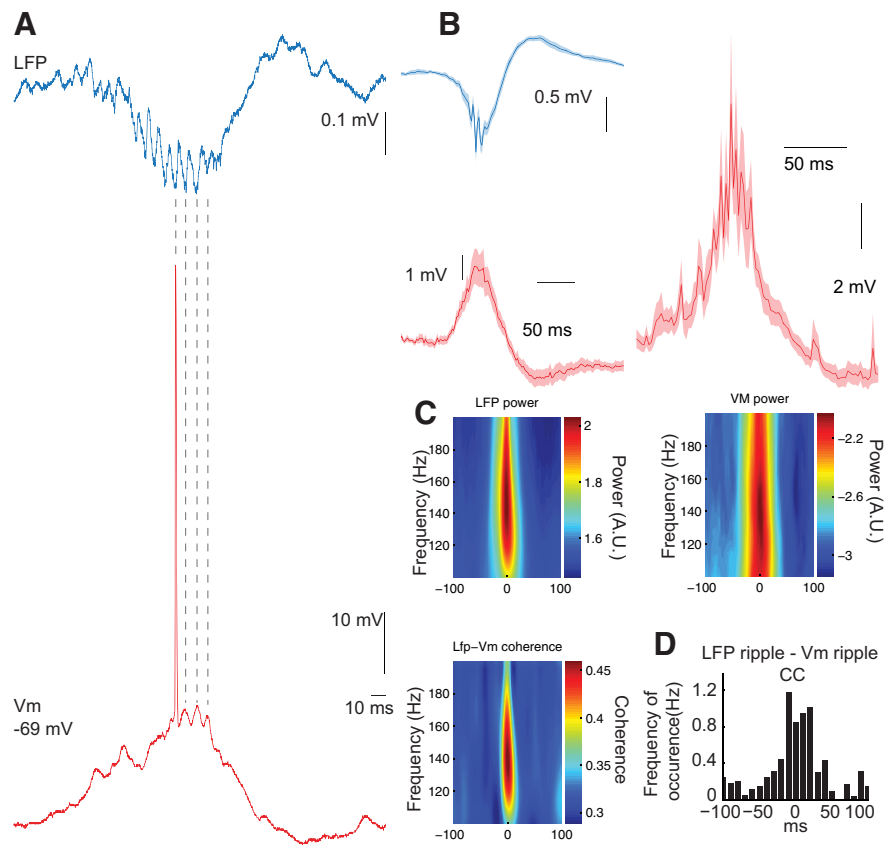


**Figure 1.** Simultaneous intracellular recording from CA1 pyramidal neuron and local field potential in freely behaving mouse. **A**, Top, Diagram of the microdrive positioned on the head of the mouse (left); scheme of the electrode arrangement in the dorsal CA1 pyramidal layer (right). Middle, Photomicrograph of a pyramidal neuron filled with biocytin during recording (Table 1, neuron #8); biocytin-Alexa555 pseudocolored orange, DAPI pseudocolored blue. Note that the traces in the rest of this figure were recorded from a different neuron (Table 1, neuron #9). Bottom, Record of animal position taken from head-mounted LEDs while the neuron, shown in **B–D**, was recorded for 150 min. Red area is 20 s period shown in **B**. **B**, Intracellular sharp electrode recording of the Vm (red) and LFP (blue) during transition from rapid eye movement to slow-wave sleep. The intracellular trace is shown at low (top trace) and high (bottom trace) magnifications with clipped spikes. LFP recorded in the CA1 pyramidal layer is shown both as wide-band (top) and 100–250 Hz filtered (bottom) traces. Black ticks mark the time of ripples detected in the LFP. **C**, Expanded view of a section of **B** (orange box) to illustrate two incidences of sharp wave ripple events apparent in LFP (blue) and Vm (red). **D**, Expanded view of the ripple event marked by the black asterisk in **B** and **C**. Top, 100–250 Hz filtered LFP (blue) and Vm (red). Bottom, Wide-band LFP (blue) and Vm (red). Note that the ripple oscillation in the LFP is accompanied by a corresponding oscillation in Vm on the rising phase of the intracellular sharp wave.

the sample LFP ripple event. This approach allowed us to compare spike rate changes at comparable epochs of the current-induced pulse with and without concurrent ripples. Note that this approach is formally similar to the theoretical possibility of timing the ripple to different parts of the current-induced pulse. The current-induced firing rate was significantly lower when ripples (detected by the LFP recording electrode) were coincident with the current pulses than at similar (control) times in the absence of ripples (Fig. 4B; two-way ANOVA, ripple  $p = 0.0051$ , time  $p = 2.4 \times 10^{-7}$ , interaction  $p = 0.96$ ,  $n = 4$  neurons). It should be noted that the ripple-related spike suppression is likely stronger than that reflected in Figure 4B since the timing relationship of ripples recorded at different positions in the septotemporal axis varies extensively (Fig. 4A; Patel et al., 2013). The input resistance was observed to be lower during ripples (mean percentage decrease, 25.53%; SEM, 0.62%;  $p = 0.042$ ,  $n = 3$ ), supporting the hypothesis that ripples are a high-conductance state. These

observations suggest that during ripples a strong inhibition effectively disconnects the spike generation zone from the soma, allowing for the neuron to be depolarized above spike threshold yet without generating an action potential (Yu et al., 2008).

Ripples were consistently followed by hyperpolarization of Vm (Figs. 1, 2), during which time the firing rate was significantly reduced compared with a preripple control period (Fig. 4C; two-way ANOVA ripple  $p = 0.03$ , time  $p = 5.4 \times 10^{-7}$ , interaction  $p = 0.89$ ,  $n = 4$  neurons). The power of the ripple oscillation in the Vm was correlated with the magnitude of the postripple hyperpolarization (Fig. 4E), and the time course of this correlation was similar to the time course of the average of the postripple Vm (Fig. 4F;  $n = 10$  neurons). The postripple Vm was similar for ripples with and without spikes, suggesting that the postripple inhibition is not dependent on spiking (Fig. 4F, red with spikes, green without spikes;  $n = 10$  neurons). The postripple hyperpolarization reversed at  $\sim 80$  mV (Fig. 4G;  $n = 2$  neurons), suggesting that an active



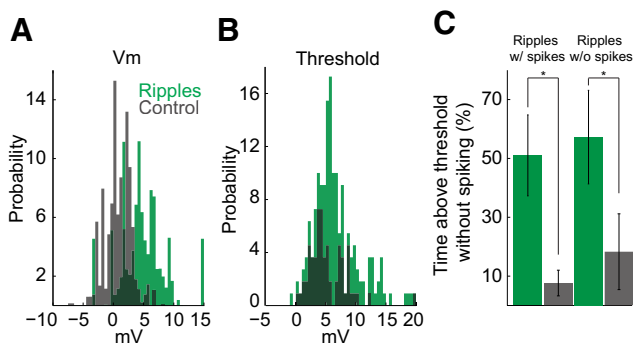
**Figure 2.** LFP ripples are correlated and coherent with ripple oscillations in the membrane potential of CA1 pyramidal neurons. **A**, Example trace demonstrating cycle-by-cycle temporal relationship between LFP and Vm ripples for 11 neurons. Left, Average of LFP and Vm triggered on the negative peak of the LFP ripple for 11 neurons. Right, Intracellular ripple-triggered Vm to illustrate the average size of ripple-related depolarization ( $n = 11$  neurons). Shaded area is SEM. **C**, Wavelet spectra and coherence between LFP and Vm during ripples detected in the LFP for 11 neurons. Mean peak frequency is the same in LFP and Vm.  $p = 0.95$ ,  $n = 11$  neurons. **D**, Cross-correlation between ripples detected in the LFP and ripples detected in the Vm for 11 neurons. **B–D**, 66–952 LFP ripples and 2–294 Vm ripples per neuron.

current rather than a decrease in excitation was responsible. These results suggest that a synaptically activated active current is responsible for the postripple hyperpolarization.

### Characteristics of pyramidal neuron action potentials during ripples

Intracellularly recorded action potentials in the drug-free intact animal offered the opportunity to test the hypothesis that spikes during ripples invade the soma antidromically from the postulated gap junction-connected axonal nets (Schmitz et al., 2001; Maier et al., 2003; Böhner et al., 2011; Traub et al., 2012). Action potential waveforms during ripples and outside ripples (excluding burst spikes) were both found to be orthodromic (Fig. 5A–C;  $n = 10$  neurons). Action potential threshold was significantly higher during ripples (Fig. 5D;  $p = 0.0021$ ,  $n = 10$  neurons). However, the peak voltage, width, rising slope, and falling slope were not significantly different (Fig. 5D). Firing rate increased substantially during ripples (Table 1).

To examine spike waveforms in a larger database, extracellular spikes were recorded in separate experiments using silicon probes in freely moving mice (Fig. 5E). We found no significant difference in extracellular waveforms between spikes in ripples and outside ripples (Fig. 5E; waveform consistency, see Materials and Methods,  $p = 0.82$ ,  $n = 140$  neurons from nine mice). Based on our findings, it is unlikely that the mechanism of action potential generation during ripples is unique.

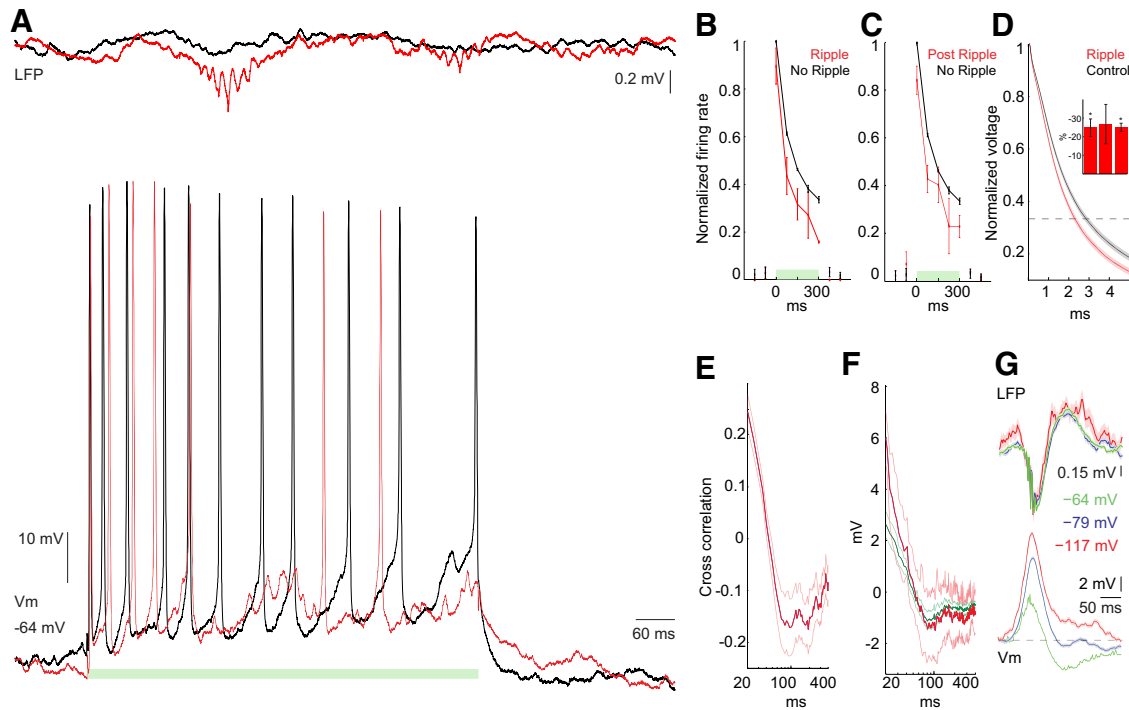


**Figure 3.** Ripples increase spike threshold. **A**, Vm distribution in ripples (detected in the Vm; green) and during corresponding preripple control intervals (gray);  $n = 11$  neurons. A baseline value (mean of Vm sampled from a 500 ms window at  $-1000$  ms before ripple peak time) was subtracted from each ripple Vm value (ripple Vm was determined from a 30 ms window at  $-10$  ms before ripple peak time) and from the preripple control Vm value (control Vm was a 50 ms window at  $-110$  ms from ripple peak time) to control for potential DC drift. Spikes (Vm from  $-2$  to  $+3$  ms from spike time) were excluded. **B**, Threshold distribution in ripples (green) and out of ripples (gray),  $n = 10$  neurons. **C**, Percentage of time above threshold without spiking during ripples (green) and during preripple control intervals (gray), for ripples with spikes (left;  $p = 0.0063$ ) and for ripples without spikes (right;  $p = 0.040$ ); calculated independently for each neuron;  $n = 10$  neurons.

## Discussion

The present data are the first example of intracellular recordings of sharp wave ripples using sharp glass electrodes from freely moving mice, although whole-cell recordings have been obtained in freely moving rats (Lee et al., 2006). Using this technique, we observed in CA1 pyramidal cells during sharp wave ripples large depolarizations associated with ripple frequency fluctuation of the Vm (“intracellular ripple”). Despite the consistent depolarization, often exceeding preripple spike threshold values, current-induced spikes were strongly suppressed, indicating the presence of concurrent shunting inhibition. Ripple events were followed by a prominent afterhyperpolarization and spike suppression. The waveforms of action potentials during and outside ripples were both found to be orthodromic, arguing against the possibility of ectopic spike generation.

Several recent experiments have pointed out significant differences between observations in anesthetized and drug-free animals, including the theta phase relationship of various interneuron types (Royer et al., 2012) and their firing patterns during sharp wave ripples (Varga et al., 2012). In a previous intracellular study performed under halothane anesthesia, ripple oscillations were completely abolished, although sharp waves and population discharges continued to occur (Ylinen et al., 1995). Under urethane anesthesia, sharp wave ripples occurred at a low



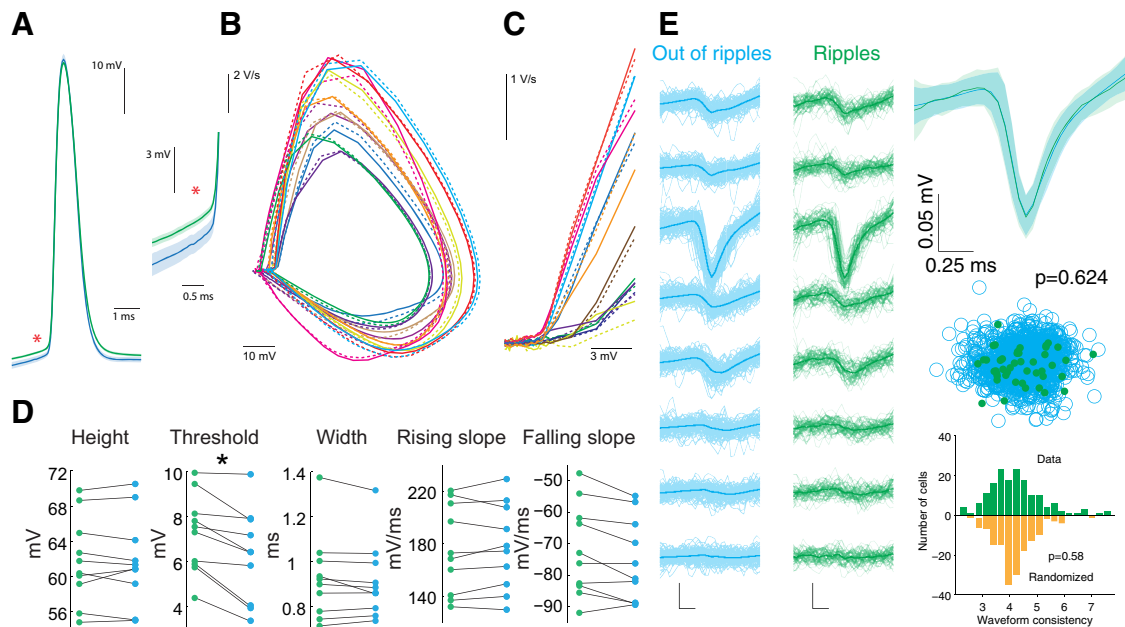
**Figure 4.** Ripple-associated inhibition of spiking. **A**, Example traces of Vm and LFP during 300 ms current injections (**A–C**, green bar), during ripple (red) and in the absence of a ripple (black). **B**, Firing rate during ripples occurring during the 300 ms injection time. Rates during ripple-containing trials were determined from a window  $\pm 25$  ms from the ripple peak detected in the LFP. No ripple control is from matched periods with no ripples. Firing rate is normalized to the maximum firing rate during the first bin during current injection in the absence of ripples. Two-way ANOVA, ripple  $p = 0.0051$ , time  $p = 2.4 \times 10^{-7}$ , interaction  $p = 0.96$ ,  $n = 4$  neurons. **C**, Similar to **B** but postripple firing rates were determined 150 ms after ripple peak in  $\pm 25$  ms windows. Two-way ANOVA ripple  $p = 0.03$ , time  $p = 5.4 \times 10^{-7}$ , interaction  $p = 0.89$ ,  $n = 4$  neurons. **D**, Normalized voltage response to negative current injection to determine input resistance during ripples. Traces are example of one cell. Red is for current injections when an LFP ripple peak occurred within a window of  $-5$  to  $+20$  ms from the start of the current pulse. Black is for the immediately preceding pulse. Shaded area is SEM. Inset is the percentage decrease in input resistance during ripples for three cells.  $*p < 0.005$ ,  $n = 32, 3, 34$ . Mean percentage decrease, 25.53%; SEM, 0.62%;  $p = 0.042$ ,  $n = 3$  neurons. **E**, Correlation between Vm ripple power (100–200 Hz) and postripple Vm as a function of time; red is mean; light red lines are SEM;  $n = 11$  neurons. **F**, LFP ripple-triggered average of postripple Vm. Ripples with spikes: mean (red) and SEM (light red); ripples with no spikes: mean (dark green) and SEM (green);  $n = 10$  neurons. **G**, LFP ripple-triggered average of LFP and Vm at rest ( $-64$  mV) and during two levels of negative current injection (resulting in  $-79$  mV,  $-117$  mV);  $n = 2$  neurons.

rate and the oscillations slowed below 100 Hz (Ylinen et al., 1995). In our experiments, the intracellular and extracellular electrodes were placed  $\sim 0.5$ – $1$  mm apart, corresponding to 10–20% of the longitudinal axis ( $\sim 5$  mm) of the hippocampus. Experiments in rats have shown that ripples are largely locally generated and propagate along the long axis of the hippocampus to various distances (Patel et al., 2013). As a result, ripples recorded at  $>0.5$  mm distance may have limited ripple wave synchrony, as illustrated by the relatively low ripple coherence between LFP ripples and intracellular Vm, despite the similar frequency bands of the extracellular and intracellular events. Such distance-related synchrony-attenuation of ripples might explain the relatively low incidence of co-occurrence and coherence of intracellularly and extracellularly detected ripples. Importantly, the intracellular ripples were often an order of magnitude larger (i.e., in the millivolt range) than the LFP ripples, excluding the possibility that the intracellular detected ripple simply reflects ephaptic effects of synchronous spikes of nearby pyramidal neurons (Buzsáki, 1986; Schomburg et al., 2012).

The role of inhibition in ripple generation and timing have been portrayed differently in previous *in vivo* experiments (Buzsáki et al., 1992; Ylinen et al., 1995; Csicsvari et al., 1999a; Klausberger et al., 2003; Klausberger and Somogyi, 2008; Rácz et al., 2009; Varga et al., 2012), *in vitro* experiments (Maier et al., 2003; Ellender et al., 2010; Böhner et al., 2011; Hájos et al., 2013; Karlócai et al., 2014), and computational models (Traub et al., 1996; Brunel and Hakim, 1999; Geisler et al., 2005; Taxidis et al., 2012).

Some studies dismissed the importance of inhibition (Draguhn et al., 1998; Jones and Barth, 2002; Maier et al., 2011), because fast oscillations could be induced by a local puff of KCl even after blockade of GABA<sub>A</sub> receptors (Nimmrich et al., 2005). It is important to note that while much has been learned about ripples from *in vitro* preparations, differences between *in vitro* and *in vivo* preparations, while not specific to the hippocampus or to ripple-generation mechanisms, have been well demonstrated across many brain areas. Input resistance of neurons in the quiescent slice is known to be higher than in the active intact brain due to decreased activity of synaptic conductances and synaptic transmission (Paré et al., 1998). Also, active membrane dynamics are exquisitely sensitive to the concentrations of ions in the extracellular space/aCSF. For example K<sup>+</sup> and Ca<sup>2+</sup> concentrations are hard to precisely replicate in the slice. K<sup>+</sup> affects overall excitability by modulating polarization, as well as having other effects. Meanwhile, Ca<sup>2+</sup> regulates synaptic release and numerous other functions. Ca<sup>2+</sup>, like other divalent cations, also modulates disynaptic transmission by affecting spike threshold, which has recently been shown to modulate *in vitro* ripples (Aivar et al., 2014). Thus, an important goal of the present study was to compare ripples observed in *in vitro* slice experiments with ripples in the intact drug-free brain.

During the sharp wave ripple, both excitation and inhibition are increased (Csicsvari et al., 1999b), and a high-conductance state such as this can effectively shunt the membrane (Paré et al., 1998). In the present *in vivo* intracellular study, three sets of



**Figure 5.** Action potential properties in and out of ripples. **A**, Left, Example waveforms of average action potential (AP) during ripples (green) and nonripple periods (blue) from one neuron. Right, Expanded view of the spike pair at left to illustrate the difference in threshold. Ripple periods are defined from the times are the peaks of the ripples detected in the LFP. Shaded area is SEM. **B**, Phase plane plot of average AP waveforms. Each color is one neuron; solid lines are out of ripples; dashed lines are in ripples;  $n = 10$  neurons. **C**, Expanded view of section phase plane plot with spike amplitude normalized across neurons to illustrate the shape near threshold. **D**, AP height (peak height,  $p = 0.91$ ), threshold ( $p = 0.0021$ ), width at half-height ( $p = 0.88$ ), rising slope (0.90), and falling slope ( $p = 0.62$ ) in (green) and out (blue) of ripples ( $n = 10$  neurons). **E**, Top Left, Example extracellular spike waveforms are similar during ripples (green) and outside ripples (blue), as recorded with silicon probes. Top right, Averages recorded from the largest amplitude site in and out of ripples are superimposed. Bottom left, Unit cluster cloud comparing spikes in and out of ripples;  $p = 0.624$  (permutation test). Bottom right, Comparison of 160 well isolated neurons from nine freely moving mice;  $p = 0.82$  (Wilcoxon's paired signed-rank test).

observations illustrate the various roles of inhibition. First, the ripple-coherent fast oscillation of  $V_m$  is likely a reflection of the rhythmic, basket interneuron firing-induced IPSPs (Buzsáki et al., 1992; Ylinen et al., 1995; Csicsvari et al., 1999a, b; Klausberger et al., 2003; Maier et al., 2003, 2011; Klausberger and Somogyi, 2008; Rácz et al., 2009; Bähner et al., 2011; Varga et al., 2012; Hájos et al., 2013; Karlócai et al., 2014). Second, spike threshold was elevated during ripples. Spike threshold variability can emerge from  $\geq 2$  different mechanisms. First, spiking activity decreases the availability of  $\text{Na}^+$  channels (Henze and Buzsáki, 2001). However, spiking history alone cannot explain elevated spike thresholds during ripples since  $V_m$  during ripples often exceeded the spike threshold regardless of the presence or absence of spikes. Another mechanism that can increase the spike threshold is shunting inhibition of the soma, which can functionally disconnect the spike generation region in the axon initial segment from the soma (Yu et al., 2008). Thus, shunting inhibition can explain why spike threshold during ripples is elevated. Third, the most explicit demonstration of strong inhibitory action during ripples is the suppression of current-induced spiking (Fig. 4A,B). These findings support the view that during sharp wave ripples inhibition is strongly elevated, concurrent with strong excitation (Csicsvari et al., 1999b; Csicsvari and Dupret, 2014). Silencing of chandelier cells may also contribute to the elevated discharge of pyramidal cells during ripples (Viney et al., 2013). In summary, during ripples, a complex set of mechanisms is in action. These mechanisms include dendritic excitation and somatic inhibition, each of which can contribute to the shunting. Mechanisms can also include transient removal of inhibition from the axon initial segment. Because of the excitation-induced depolarization, the initial shunting inhibition at resting  $V_m$  may be converted to hyperpolarizing inhibition during the course of the ripple.

We additionally observed that inhibition outlasted the sharp wave-ripple depolarization. We observed robust hyperpolarizations in our intracellular recording after ripples with more negative than  $-80$  mV polarity reversal, indicating the presence of an active  $\text{K}^+$  current (Ling and Benardo, 1994). Because afterhyperpolarization was present regardless of whether the neuron spiked or not, this observation favors synaptic mechanisms rather than the contribution of intrinsic conductances. A potential synaptic mechanism for the ripple afterhyperpolarization is  $\text{GABA}_B$  receptor-mediated inhibition (Scanziani, 2000), which stems from intense GABA release during the ripple by the highly active GABAergic interneurons. In support of the active inhibition hypothesis, current-induced spiking was strongly suppressed during the postripple period. These observations suggest that a network-level process introduces a postripple refractory period that may serve to ensure adequate temporal separation between individual ripple events.

A prominent computational model of ripple generation suggests that axo-axonal gap junctions connect the CA1 pyramidal neurons into a sparse electrically coupled network. The key assumptions of this model are that spikes in the axonal plexus excite pyramidal cells and backpropagate antidromically from ectopic sites of spike generation to the soma, and that the speed of propagation in the axonal network and the connectivity sparseness sets the ripple frequency (Draguhn et al., 1998; Traub and Bibbig, 2000; Traub et al., 2003). An explicit prediction of this model is the presence of antidromic spikes during ripples. In support of this prediction, recent studies have shown that spikes during ripple-like bursts *in vitro* were generated in the axon without prior depolarization of the soma, as evidenced by the antidromic spikes in the soma, in contrast to action potentials outside ripples that rode on preceding  $V_m$  depolarization (Papatheodoropoulos, 2008; Bähner et al., 2011). In our experiments, the waveforms



of the action potentials both in and outside ripples were absent of signs of axonal origin, including the critical prespike period. Extracellular recording and comparison of spike waveforms in a larger group of pyramidal cells found no significant difference in the waveforms of spikes in ripples and those out of ripples. These findings indicate that spikes in CA1 pyramidal cells are triggered orthodromically during ripples, likely driven by the CA3 excitatory input (Buzsáki, 1983; Csicsvari et al., 2000). Overall, our intracellular *in vivo* experiments support the view that during ripples, the sharp wave-associated excitation is accompanied by inhibition, which ultimately controls spiking. Most or all CA1 pyramidal neurons experience inhibition during ripple events and thus only a subset of the neurons reach spike threshold.

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