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Apolipoprotein E: Structure and Function in Lipid Metabolism, Neurobiology, and Alzheimer's Diseases

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Abstract

Apolipoprotein (apo) E is a multifunctional protein with central roles in lipid metabolism, neurobiology, and neurodegenerative diseases. It has three major isoforms (apoE2, apoE3, and apoE4) with different effects on lipid and neuronal homeostasis. A major function of apoE is to mediate the binding of lipoproteins or lipid complexes in the plasma or interstitial fluids to specific cell-surface receptors. These receptors internalize apoE-containing lipoprotein particles; thus, apoE participates in the distribution/redistribution of lipids among various tissues and cells of the body. In addition, intracellular apoE may modulate various cellular processes physiologically or pathophysiologically, including cytoskeletal assembly and stability, mitochondrial integrity and function, and dendritic morphology and function. Elucidation of the functional domains within this protein and of the three-dimensional structure of the major isoforms of apoE has contributed significantly to our understanding of its physiological and pathophysiological roles at a molecular level. It is likely that apoE, with its multiple cellular origins and multiple structural and biophysical properties, is involved widely in processes of lipid metabolism and neurobiology, possibly encompassing a variety of disorders of neuronal repair, remodeling, and degeneration by interacting with different factors through various pathways.

Introduction

ApoE functions as a component of plasma lipoproteins in the transport of lipids among cells of different organs and within specific tissues (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994).

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Discovered in the early 1970s, it is one of several apolipoproteins associated with very low density lipoproteins (VLDL), intermediate density lipoproteins, chylomicron remnants, and certain subclasses of high-density lipoproteins (HDL). ApoE plays a key role in regulating the clearance of these lipoproteins from the plasma by serving as the ligand for binding to specific cell-surface receptors, including the LDL receptor family members and heparan sulfate proteoglycans (HSPGs) (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994).

ApoE3, the most common of the three isoforms, is considered to be the normal form. ApoE2 and apoE4 differ from apoE3 by single amino acid substitutions at position 112 or 158 (Fig. 1). Early studies established the amino acid and structural differences among the various apoE isoforms and advanced our understanding of the roles of apoE in various metabolic pathways. Understanding of the role of apoE in lipid metabolism was further advanced by the discovery that apoE2 is defective in lipoprotein receptor binding and is associated with the genetic disorder type III hyperlipoproteinemia (Mahley, 1988; Mahley et al., 1999; Mahley and Rall, 2001). The genetic linkage of apoE4 to the pathogenesis of AD has refocused attention on the importance of this apolipoprotein in neurobiology and neurodegenerative diseases (Fig. 1) (Bu, 2009; Herz and Beffert, 2000; Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Kim et al., 2009; Mahley and Huang, 2012a; Mahley et al., 2006; Roses, 1996).

Synthesis of ApoE in Different Tissues and Cells

ApoE is synthesized and secreted from a variety of tissues and several types of cells and is abundant in the interstitial fluid and lymph, as well as in the plasma (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley, 1988; Mahley and Huang, 1999, 2012a; Mahley et al., 2006). ApoE may be secreted by cells in a lipid-poor form; however, because of its avidity for lipids (especially phospholipids), apoE almost certainly always exists in association with lipids and most likely acquires them from the cell surface or from secretory vesicles as it is secreted. In lymph, plasma, and cerebral spinal fluid (CSF), it always appears to be associated with lipids and occurs on lipoprotein particles or phospholipid discs.

Studies in rats, marmosets, and humans have shown that hepatocytes are major sites of apoE synthesis. ApoE production is also readily detected in the brain (second to the liver in quantity), adrenal gland, testis, skin, kidney, spleen, and adipose tissue and in macrophages in a variety of tissues. In both human and rat brains, apoE mRNA is abundant in the cerebral cortex, hippocampus, cerebellum, and medulla, as well as other regions that have been examined.

In the central nervous system (CNS), astrocytes are primarily responsible for the production of apoE; however, specialized astrocytic cell types also synthesize apoE (e.g., Bergmann glia of the cerebellum, tanycytes of the third ventricle, pituicytes of the neurohypophysis, Muller cells of the retina). Neuronal expression of apoE has also been suggested (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006). Using knock-in mice in which enhanced green fluorescent protein cDNA was

inserted into the mouse apoE locus immediately after the translation initiation site (EGFP_{apoE} reporter mice), we demonstrated conclusively that hippocampal and cortical neurons express apoE in response to injury (Xu et al., 2006). We hypothesize that apoE generated in different types of cells in the CNS plays distinct roles in both physiological and pathophysiological pathways (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006).

In the peripheral nervous system, apoE is present in glia surrounding sensory and motor neurons. It is also present in nonmyelinating Schwann cells but not in myelinating Schwann cells. Macrophages are responsible for apoE synthesis and secretion in injured peripheral nerves. Resident macrophages and monocyte-derived macrophages recruited to the site of injury produce large quantities of apoE that accumulate in the extracellular matrix of the degenerating stump and the regenerating nerve (Huang et al., 2004; Mahley, 1988; Mahley et al., 2006).

Structure and Function of ApoE Isoforms in Lipid Metabolism

A major function of apoE is to transport lipids among various cells and tissues of the body (Herz and Bock, 2002; Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994). ApoE is a key regulator of plasma lipid levels and participates in the homeostatic control of plasma and tissue lipid content. This is accomplished in part because apoE binds with high affinity to cell-surface lipoprotein receptors. ApoE mediates the interaction of apoE-containing lipoproteins and lipid complexes to the LDL receptor, the LDL receptor-related protein (LRP), the VLDL receptor, the apoE receptor-2, and gp330. The apoE isoforms differ in their ability to interact with these receptors. In addition, apoE binds to cell surface HSPGs, again with isoform-specific differences in binding affinity. Interaction with HSPGs appears to attract and sequester apoE-containing lipoproteins at cell surfaces and to facilitate their interaction with the LRP and possibly other receptors. HSPGs alone can also mediate the internalization of the apoE-containing lipoprotein particles directly (Mahley and Huang, 2007; Mahley and Ji, 1999). Furthermore, the distribution of apoE among the various lipoproteins is isoform specific and reflects the lipid binding activities and structural differences of apoE2, apoE3, and apoE4 (Mahley and Rall, 2001; Weisgraber, 1994).

Receptor and Heparan Sulfate Proteoglycan Binding Activity

ApoE possesses two structural domains that are connected by 20 to 30 amino acids that may serve as a hinge between the two domains. The N-terminal two thirds of apoE contains the receptor binding region. Six to eight critical arginine and lysine residues and a histidine residue in the region of amino acids 136–150 mediate the interaction of apoE with the ligand binding domain of the LDL receptor (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994). Arginine 158 appears to be involved in modulating the conformation of the 136–150 region and is involved only indirectly in receptor binding activity. ApoE3 and apoE4, which display normal receptor binding activity, have arginine at residue 158, whereas apoE2, which is defective in receptor binding activity, has cysteine.

Several naturally occurring mutants of apoE have helped to define the receptor binding region (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994). Amino acid substitutions at residues 136, 142, 145, and 146 result in defective receptor binding and are associated with the development of dominant type III hyperlipoproteinemia. The mutations at residues 136, 142, and 145 involve the substitution of a neutral amino acid for arginine. The mutations at residue 146 involve the substitution of neutral (glutamine) or acidic (glutamate) amino acids for lysine. The substitution of cysteine at residue 158 for the normally occurring arginine results in the common apoE2 variant, which is defective in LDL receptor binding and is associated with the recessive form of type III hyperlipoproteinemia (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994).

The three-dimensional structure of the receptor binding region of apoE has shed light on the mechanism whereby these specific residues are involved in receptor binding. As shown by X-ray crystallography, the N-terminal two thirds of the apoE molecule (residues 1–191) is a four-helix bundle (Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Rall, 2001; Weisgraber, 1994). Helix 4 (residues 130–164) contains the receptor binding region. The basic amino acids in the 136–150 region are largely solvent-exposed, extend away from the backbone of the molecule, and form a 20-Angstroms basic field of charge that could be available to interact directly with the receptor. Residue 158 (arginine in apoE3 and apoE4) lies outside this highly basic region and is involved in receptor binding indirectly, as confirmed by comparison of the crystal structures of apoE2 and apoE3 (Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Rall, 2001; Weisgraber, 1994).

Cell-surface HSPGs also play an important role in the binding and uptake of apoE-containing lipoproteins either by transferring them to the LDL receptor related protein (LRP) and other lipoprotein receptors or directly by HSPGs (Mahley and Huang, 2007; Mahley and Ji, 1999; Mahley and Rall, 2001). It appears that interaction of apoE with HSPGs is a necessary first step for the LRP-mediated uptake of chylomicron remnants by hepatocytes. This is referred to as the HSPG-LRP pathway. In the absence of cell-surface HSPGs, the apoE-containing remnant lipoproteins do not bind and are not internalized by LRP in *in vitro* studies. The HSPG-LRP pathway is not restricted to hepatocyte uptake of lipoproteins; it is also operative in other cells (including neurons) and mediates the uptake of lipoproteins enriched in apoE. It is thought that apoE is secreted from the cells, enriching the environment with extracellular apoE and facilitating high-affinity binding of lipoproteins to HSPGs. This process has been termed the secretion-capture role for apoE. ApoE-enriched lipoproteins are captured by binding to HSPGs, brought into the environment of the LRP, and then transferred to the LRP for internalization by the cells. Alternatively, HSPG-LRP may form a complex that is internalized. HSPGs can also serve as receptors for direct binding and internalizing the apoE-containing lipoproteins (Mahley and Huang, 2007; Mahley and Ji, 1999; Mahley and Rall, 2001).

Lipid and Lipoprotein Binding Activity

The isoforms of apoE display preferences for specific classes of lipoproteins (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001;

Weisgraber, 1994). Examination of the distribution of apoE among the various plasma lipoproteins has shown that apoE4 has a preference for large, triglyceride-rich VLDL particles, whereas apoE3 and apoE2 associate preferentially with the small, phospholipid-rich HDL.

The C-terminal one third of the apoE molecule is critical for lipid binding (Mahley, 1988; Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994). In fact, residues in the 244–272 region of apoE form amphipathic α -helices that mediate the binding of apoE to lipoproteins. A truncated segment of apoE encompassing residues 1–244 possesses markedly reduced ability to bind to lipoproteins, whereas a segment encompassing residues 1–272 possesses full lipid-lipoprotein binding activity (full-length apoE molecule has 299 amino acids). AD brains contain truncated, neurotoxic forms of apoE4, in which the lipid binding domain is responsible for the neurotoxicity (see below) (Chang et al., 2005).

Intramolecular Domain Interaction May Explain ApoE Isoform-Specific Activities

The residues that distinguish the apoE isoforms are in the N-terminus (apoE4, arginine 112; apoE3 and apoE2, cysteine 112). However, the lipid-binding region is in the C-terminus (residues 244–272). This suggests that the N- and C-terminal domains interact to determine the preference of apoE4 for VLDL and of apoE3 and apoE2 for HDL.

Comparison of the three-dimensional structures of the N-terminal domains of apoE3 and apoE4 and site-directed mutagenesis have provided insights into the functional differences among the isoforms and have defined how domain interaction in apoE4 might occur (Huang, 2010; Huang and Mucke, 2012; Mahley et al., 2006). The only major differences in the crystallographic structures of apoE3 and apoE4 are in the local environment of residue 112. In apoE3, cysteine 112 is in close proximity to glutamic acid 109 in helix 3 and arginine 61 in helix 2. However, in apoE4, with arginine at residue 112, there is a markedly different orientation for glutamic acid 109 and arginine 61. A salt bridge forms between arginine 112 and glutamic acid 109, and the side chain of arginine 61 is reoriented away from the helix and presumably more available for interaction with other residues, including those in the C-terminal domain. In fact, the exposed side chain of arginine 61 in apoE4, but not in the other isoforms, interacts through a salt bridge with the side chain of glutamic acid 255 within the critical lipid binding region of the C-terminal domain. Domain interaction profoundly alters the protein conformation and somehow directs the preference of apoE4 for binding to VLDL, as mutation of either arginine 61 or glutamic acid 255 changes the lipoprotein preference of apoE4 from VLDL to HDL (Mahley and Huang, 1999; Mahley et al., 1999; Mahley and Ji, 1999; Mahley and Rall, 2001; Weisgraber, 1994). Domain interaction occurs predominantly in apoE4 and is probably responsible for several apoE4-specific roles (Fig. 2).

Arginine 61 is one feature of human apoE that distinguishes it from apoE in lower species, where residue 61 is a threonine (Weisgraber, 1994). Despite having arginine 112, apoE in lower species associates preferentially with HDL. This further suggests that arginine 61 (in the presence of arginine 112) preferentially directs apoE to the large VLDL particles. The

importance of domain interaction extends beyond the isoform-specific roles of apoE in lipid binding and eventually may help us to understand the isoform-specific roles of apoE in AD. In fact, it has been demonstrated that apoE4 domain interaction occurs in living neuronal cells, which might contribute directly to the detrimental effects of apoE4 in AD pathogenesis (Xu et al., 2004).

Structure and Function of ApoE in Neurobiology and Alzheimer's Disease

Several lines of evidence have linked apoE to neurobiology and Alzheimer's disease. By the mid-1980s, clues had begun to surface that apoE plays an important role in neurological diseases. ApoE is produced in abundance in the brain and serves as the principal lipid transport vehicle in CSF. It is induced at high concentration in peripheral nerve injury and appears to play a key role in repair by redistributing lipids to regenerating axons and to Schwann cells during remyelination. It modulates neurite outgrowth in cultured rabbit dorsal root ganglion cells and neuroblastoma (Neuro-2a) cells (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006). Later, Roses and associates discovered that apoE is a major susceptibility gene associated with sporadic and familial AD, increasing the occurrence and lowering the age of onset of the disease (Roses, 1996).

A β -Dependent Effects of ApoE4 on AD Pathogenesis

A β overproduction and depositions may play a central role in AD pathogenesis (Bu, 2009; Kim et al., 2009; Selkoe, 2001). Clearly, apoE has isoform-specific effects on A β metabolism and catabolism, as it exacerbates A β -caused neuropathology and cognitive decline. *In vivo*, apoE is associated with neuritic amyloid plaques (Namba et al., 1991; Strittmatter et al., 1993a; Wisniewski and Frangione, 1992). *In vitro*, lipid-free apoE3 and apoE4 can form stable complexes with A β peptides; these complexes are resistant to degradation by sodium dodecyl sulfate and guanidine hydrochloride–stable complex and form more rapidly and effectively with apoE4 (Cho et al., 2001; Strittmatter et al., 1993b). In addition, apoE4 enhances zinc- and copper-induced A β aggregation (Moir et al., 1999). Thus, apoE seems to display isoform-specific differences in binding to the A β peptide, with apoE4 binding more rapidly and effectively under certain conditions. In addition, as compared to apoE3, apoE4 seems also to decrease A β clearance in mice (Castellano et al., 2011; Deane et al., 2008). Decreased A β clearance and increased amyloid fibril formation associated with apoE4 probably triggers or exacerbates neurodegeneration and the development of AD. Studies in apoE-deficient mice expressing amyloid protein precursor (APP)-V717F demonstrated that apoE is actually required for amyloid plaque formation, at least in mice (Bales et al., 1999). In line with this observation, recent studies showed that increasing expression levels of apoE3 or apoE4 in mutant hAPP or hAPP/PS1 transgenic mice actually increased amyloid deposition in their brains, suggesting that reducing, rather than increasing, apoE expression could be a promising approach to lowering brain A β levels and decreasing plaque loads (Bien-Ly et al., 2012; Kim et al., 2011).

However, when incubated with A β peptide, apoE3 or apoE4 isolated from stably transfected HEK cells expressing apoE yielded different results (LaDu et al., 1994). ApoE3 bound with

20-fold greater affinity than apoE4 to the A β peptide, suggesting that apoE derived from HEK cells and purified recombinant apoE differ in their ability to interact with A β peptides *in vitro* (LaDu et al., 1994). It has been suggested that the avid binding of apoE3 to the A β peptide may enhance clearance of the complex, preventing the conversion of A β into a neurotoxic species (LaDu et al., 1994). However, the significance of this observation needs to be further evaluated since the apoE3 and apoE4 secreted from HEK cells are multimeric but not lipidated, which is clearly non-physiological and different from apoE in CSF or secreted from astrocytes and neurons (Fagan et al., 1999). Furthermore, a recent study demonstrates that apoE influences soluble A β metabolism not through direct binding to A β in solution but through its actions with other interacting receptors or transporters and cell surfaces (Verghese et al., 2013).

Studies of transgenic mice expressing human apoE3 or apoE4 have also provided insights into the role of apoE in A β metabolism. When hAPP-V717F mice were crossed onto the apoE-null background, A β deposition in the brain decreased dramatically, suggesting that mouse apoE enhances A β deposition (Bales et al., 1999). However, mice expressing human apoE3 or apoE4 in the absence of mouse apoE had less A β deposition than mice expressing mouse apoE, suggesting that human apoE stimulates A β clearance (Holtzman et al., 2000a). Interestingly, apoE2 and apoE3 cleared more A β than apoE4 in transgenic mice (Dodart et al., 2005; Holtzman et al., 2000a), which was confirmed via gene transfer of different apoE isoforms (Hudry et al., 2013). It has been suggested that apoE isoforms differentially promote astrocyte colocalization and degradation of deposited A β peptides (Koistinaho et al., 2004). A recent study suggests that induction of apoE expression by RXR agonist bexarotene led to a short-term reduction in soluble A β levels as well as plaque loads and behavioral improvement (Cramer et al., 2012), which was partially confirmed in some (Fitz et al., 2013; Boehm-Cagan et al., 2014) but not in other studies (Veeraraghavalu et al., 2013; Tesseur et al., 2013; Price et al., 2013; LaClair et al., 2013). Interestingly, the cognitive impairment in human APP transgenic mice depends on apoE and on amyloid formation catalyzed by α 1-antichymotrypsin (Nilsson et al., 2004). Furthermore, in one study, neuronal apoE4, but not glial apoE4, stimulated A β deposition and plaque formation in the hippocampus and cortex in hAPP-V717I transgenic mice (Van Dooren et al., 2006). In another study, however, overexpression of apoE4 in astroglia and neurons did not alter A β deposition in transgenic mice (Lesuisse et al., 2001; Van Dooren et al., 2006).

On the other hand, although some studies have suggested that the main effect of apoE isoforms on AD pathogenesis is through plaque formation (Bales et al., 1999; Holtzman et al., 2000a; Holtzman et al., 2000b), others have provided evidence for plaque-independent mechanisms (Buttini et al., 2002; Raber et al., 2000). A study demonstrates that modulation of AD-like synaptic and cholinergic deficits in transgenic mice by human apoE depends on isoform, aging, and overproduction of A β peptides but not on plaque formation (Buttini et al., 2002). Moreover, the differential effects of apoE isoforms on hAPP/A β -induced cognitive impairment in 6-month-old hAPP/apoE bigenic mice were independent of plaque formation and, surprisingly, A β levels in the brain (Raber et al., 2000). Based on our studies (Brecht et al., 2004; Harris et al., 2003; Huang et al., 2001), we hypothesize that the A β - and plaque-independent effects of apoE4 on neuronal and behavioral deficits are caused by

neurotoxic effects of apoE fragments (see below) (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006).

A β -Independent Effects of ApoE4 on AD Pathogenesis

Both *in vivo* and *in vitro* studies also suggest A β -independent roles of apoE4 in AD pathogenesis. The A β -independent detrimental effects may act in parallel with A β -dependent effects of apoE4, leading to neuropathology and cognitive decline.

ApoE4 causes neuronal and behavioral deficits in the absence of A β accumulation in transgenic mice

Several transgenic mouse lines expressing apoE3 or apoE4 have been established. The neuron-specific enolase (NSE) promoter has been used to express human apoE3 or apoE4 at similar levels in neurons of transgenic mice lacking endogenous mouse apoE (Buttini et al., 1999; Raber et al., 1998). NSE-apoE4 mice showed impairments in a water maze test and in vertical exploratory behavior not observed in NSE-apoE3 mice or wild-type controls. These impairments increased with age and were observed primarily in female apoE4 transgenic mice, suggesting that human apoE isoforms differ in their effects on brain function *in vivo* and that the susceptibility to apoE4-induced deficits is critically influenced by age and gender (Buttini et al., 1999; Raber et al., 1998). Morphological studies of these transgenic mouse lines demonstrated that human apoE3 prevents the age-dependent neurodegeneration seen in apoE-null mice and prevents kainic acid-induced neurodegeneration; human apoE4 is not protective (Buttini et al., 1999). ApoE4 knock-in mice also show age- and sex-dependent impairment of spatial learning and memory (Andrews-Zwilling et al., 2010; Leung et al., 2012). Transgenic mice expressing apoE4 in astrocytes had impairment of working memory, although no significant neuropathological changes were found in the brains of these mice (Hartman et al., 2001). Since A β does not accumulate in any of these apoE isoform transgenic mouse models, these data strongly suggest an A β -independent role of apoE4 in causing neuronal and behavioral deficits *in vivo*.

ApoE4 proteolysis and neurotoxicity

ApoE4 is more susceptible to proteolytic cleavage than apoE3, as determined *in vitro* in transfected neuronal cells and *in vivo* in transgenic mice expressing apoE3 or apoE4 in CNS neurons (Brecht et al., 2004; Harris et al., 2003; Huang et al., 2001). Brain levels of these apoE fragments were present at much higher levels in AD patients than in age- and sex-matched nondemented controls, with an apoE4 gene dose-dependent effect (Harris et al., 2003; Huang et al., 2001; Jones et al., 2011). Carboxyl-terminal-truncated fragments of apoE also appeared to accumulate in neurofibrillary tangles and amyloid plaques in AD brains (Huang et al., 2001; Jones et al., 2011).

To determine if expression of carboxyl-terminal-truncated apoE4 in transgenic mice induces AD-like neuropathological and behavioral changes, transgenic mouse lines expressing various levels of apoE4(272–299) in CNS neurons were established (Harris et al., 2003). Hippocampal or cortical neurons in these transgenic mice had numerous inclusion bodies containing phosphorylated tau that were positive for Gallyas silver staining, suggesting

neurodegeneration. Staining with hematoxylin/eosin revealed degeneration of neurons expressing truncated apoE4. Morris water maze testing revealed learning and memory deficits of the truncated apoE4 transgenic mice. Thus, the carboxyl-terminal-truncated apoE4 is neurotoxic *in vivo* in transgenic mice and leads to AD-like neurodegeneration and behavioral deficits (Harris et al., 2003). We hypothesize that, in response to brain injury, neuronal apoE expression is induced or enhanced for purposes of repair or remodeling. However, in the context of apoE4, these events trigger proteolytic processing and fragment generation, which are detrimental to repair and remodeling and lead to neurodegeneration (Fig. 3) (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006).

ApoE4 fragments disrupt cytoskeletal structure and impair mitochondrial function

It has also been demonstrated that the carboxyl-terminal-truncated fragments of apoE4 enter the cytosol and cause neurotoxicity (Brecht et al., 2004; Harris et al., 2003; Huang et al., 2001). Cytoskeletal components, such as tau and neurofilaments, are a target of these fragments (Fig. 3) (Huang et al., 2001). *In vitro*, the carboxyl-terminal-truncated fragments of apoE are toxic when expressed in neuronal cells or added to the cultures, leading to the formation of cytoplasmic neurofibrillary tangle-like inclusions in some cells (Huang et al., 2001; Ljungberg et al., 2002). Thus, neurotoxicity induced by the apoE4 fragments might be related to cytoskeletal disruption.

ApoE4 fragments also target the mitochondria of neurons, leading to mitochondrial dysfunction and neurotoxicity (Fig. 3) (Chang et al., 2005). Importantly, the receptor binding region of apoE is required for escape from the secretory pathway, and the lipid binding region is required for mitochondrial interaction (Chang et al., 2005). It appears that positively charged amino acids in the receptor-binding region, a feature shared among the protein translocation domains of many viral proteins (Frankel and Pabo, 1988; Green and Loewenstein, 1988), enable apoE4 fragments to translocate across membrane compartments of the secretory pathway and enter the cytosol, whereas the lipid binding region interacts directly with the mitochondria (Chang et al., 2005). Biophysical studies suggest that the lipid binding domain within the C-terminal-truncated apoE4 has a less organized structure and greater exposure of the hydrophobic residues than full-length apoE4 (Chou et al., 2006; Tanaka et al., 2006), which might increase the interaction with mitochondrial membranes. Time-lapse recordings of cultured neuronal cells demonstrate that apoE decreases mitochondrial mobility in an isoform-specific manner (apoE4 fragment > apoE4 > apoE3) (Brodbeck et al., 2011). Likewise, apoE4 impairs axonal transport of mitochondria in transgenic mice with neuron-specific expression of apoE4 (Tesseur et al., 2000a).

Mitochondrial dysfunction in AD is modulated by apoE genotype (Ghosh et al., 1999; Hirai et al., 2001; Kamino et al., 2000; Trimmer and Borland, 2005), and the effects are greater in apoE4 than in apoE3 carriers (Gibson et al., 2000). In both AD patients and age-matched nondemented subjects, apoE4 is associated with decreased cerebral glucose metabolism (Drzezga et al., 2005; Hirono et al., 2002; Mosconi et al., 2005; Mosconi et al., 2004a; Mosconi et al., 2004b; Mosconi et al., 2004c; Reiman et al., 2004, 2005; Small, 2001; Small et al., 2004), an effect that occurs decades before cognitive impairment becomes apparent

(Reiman et al., 2004, 2005; Scarmeas et al., 2005) and probably before significant A β deposition occurs. Thus, apoE4 may cause mitochondrial dysfunction at very early stages of pathogenesis *in vivo*.

ApoE4 stimulates tau phosphorylation

In vitro, apoE3 forms a stable complex with tau in a 1:1 ratio, whereas apoE4 does not interact significantly (Strittmatter et al., 1994b). Phosphorylation of tau by a crude brain extract inhibited the interaction of apoE3 with tau (Strittmatter et al., 1994b), suggesting that apoE3 binds to nonphosphorylated tau. Furthermore, the amino-terminal domain of apoE3 is responsible for binding to tau (Strittmatter et al., 1994b). In fact, apoE3 irreversibly binds to the microtubule-binding repeat regions of tau (Strittmatter et al., 1994a). We and others have shown increased phosphorylation of tau in transgenic mice expressing human apoE4 in neurons but not in mice expressing apoE4 in astrocytes (Brecht et al., 2004; Tesseur et al., 2000a; Tesseur et al., 2000b), indicating a neuron-specific effect of apoE4 on tau phosphorylation. Increased tau phosphorylation in apoE4 transgenic mice appears to be associated with activation of Erk that can be modified by zinc concentration (Harris et al., 2004). Thus, carboxyl-terminal-truncated apoE4 stimulates tau phosphorylation and the formation of intracellular neurofibrillary tangle-like inclusions in transgenic mice (Brecht et al., 2004; Harris et al., 2003). Importantly, removing tau protects mice from apoE4 fragments-induced neurotoxicity (Andrews-Zwilling et al., 2010). It has been reported in a human study that apoE4 has A β -independent effect on increasing phosphorylated tau in CSF (Cruchaga et al., 2013).

ApoE4 inhibits neurite outgrowth and impairs neuronal plasticity

In the presence of a source of lipids, apoE3 and apoE4 have markedly different effects on neurite extension (DeMattos et al., 1998; DeMattos et al., 2001; Fagan et al., 1996; Holtzman et al., 1995; Nathan et al., 1994; Nathan et al., 1995; Sun et al., 1998). In both cultured dorsal root ganglion neurons and Neuro-2a cells, apoE3 plus β -VLDL significantly stimulates neurite extension, whereas apoE4 plus β -VLDL markedly inhibits neurite branching and extension and disrupts the cytoskeleton (Holtzman et al., 1995; Nathan et al., 1994; Nathan et al., 1995). In neuronal cells in culture, apoE4 disrupts microtubule formation and decreases β -tubulin polymerization (Tesseur et al., 2000b). In addition, astrocyte-derived apoE3, but not apoE4, stimulates neurite outgrowth of rat hippocampal neurons (Sun et al., 1998). Furthermore, apoE3-transfected Neuro-2a cells grown in medium containing β -VLDL or HDL from CSF show greater neurite extension than apoE4-transfected Neuro-2a cells (Bellosta et al., 1995). ApoE4-associated inhibition of neurite extension is probably due to its effect on microtubule stability (Nathan et al., 1995) and is mediated by cell-surface lipoprotein receptors, specifically the HSPG/LRP pathway (Bellosta et al., 1995; Holtzman et al., 1995; Nathan et al., 1994). Notably, the apoE receptors mediate neurite outgrowth through activation of the Erk pathway in primary neuronal cultures (Qiu et al., 2004).

ApoE4 impairs synaptogenesis *in vivo* in apoE transgenic and gene-targeted mice and *in vitro* in primary neuronal cultures. As compared to apoE3, apoE4 decreases dendritic spine density in transgenic and gene-targeted mice (Dumanis et al., 2009; Jain et al., 2013; Ji et

al., 2003). In rat primary cortical neuronal cultures, apoE4 and its fragment decrease the density of dendritic spines (Brodbeck et al., 2008). Interestingly, rosiglitazone, an insulin sensitizer and mitochondrial activator, rescues this loss of dendritic spines (Brodbeck et al., 2008), suggesting the involvement of apoE4-caused mitochondrial impairment in the detrimental effect of apoE4 and its fragment on synaptogenesis. Furthermore, apoE is involved in maintaining and regulating synaptic activity and strength. ApoE4, but not apoE3, reduces neuronal cell-surface expression of the apoE receptor-2, as well as NMDA and AMPA receptors, by sequestering them in an intracellular compartment (Chen et al., 2010). It is postulated that the apoE isoform-specific effect on apoE receptor-2 and NMDA/AMPA receptor trafficking contributes to AD pathogenesis by impairing synaptic activity.

ApoE4 also impairs adult hippocampal neurogenesis (Levi and Michaelson, 2007; Li et al., 2009). Neural stem cells express high levels of apoE (Li et al., 2009). ApoE knockout mice have significantly less hippocampal neurogenesis, but significantly more astrogenesis, than wildtype mice due to decreased Noggin expression in neural stem cells (Li et al., 2009). In contrast, neuronal maturation in apoE4 knock-in mice is impaired due to reduced survival and function of GABAergic interneurons in the hilus of the hippocampus, and a GABA_A receptor potentiator rescues the apoE4-associated decrease in hippocampal neurogenesis (Li et al., 2009). Thus, apoE contributes to adult hippocampal neurogenesis, and apoE4 impairs GABAergic input to newborn neurons, leading to decreased neurogenesis (Li et al., 2009). Interestingly, exercise, which stimulates hippocampal neurogenesis, improves cognition and hippocampal plasticity in apoE4 transgenic mice (Nichol et al., 2009).

ApoE4 impairs blood-brain barrier (BBB) integrity

ApoE exhibits isoform-specific effects on BBB integrity at least in mouse models (Bell et al., 2012). In both apoE knock-in and glial fibrillary acidic protein promoter transgenic mice, expression of apoE4 increases the BBB's susceptibility to injury by activating the proinflammatory cytokine cyclophilin A in pericytes and triggering the NF- κ B/matrix metalloproteinase 9 pathway. Interestingly, BBB breakdown is independent of A β . It has been reported that pericytes express apoE (Xu et al., 2006), which might contribute to BBB impairment in the context of apoE4.

ApoE4 impairs GABAergic interneurons

ApoE4 knock-in mice show an age-dependent decrease in hilar GABAergic interneurons, which correlates with the extent of apoE4-induced impairments of adult hippocampal neurogenesis and with learning and memory deficits (Andrews-Zwilling et al., 2010; Leung et al., 2012; Li et al., 2009). In transgenic mice expressing neurotoxic apoE4 fragments, the loss of hilar interneurons is more pronounced and also correlates with learning and memory deficits (Andrews-Zwilling et al., 2010). These adverse effects were prevented by tau removal, but not when GABA signaling was blocked with picrotoxin (Andrews-Zwilling et al., 2010). Mice treated with the GABA_A receptor potentiator pentobarbital had normal neurogenesis and learning and memory (Andrews-Zwilling et al., 2010; Li et al., 2009). These findings strongly suggest that apoE4 causes age- and tau-dependent impairment of hilar GABAergic interneurons, leading to decreased neurogenesis in the hippocampus and to learning and memory deficits.

Dysfunction of the GABAergic system may also contribute to cognitive impairment in humans. AD patients have decreased GABA and somatostatin levels in the brain and CSF (Bareggi et al., 1982; Davies et al., 1980; Hardy et al., 1987; Seidl et al., 2001; Zimmer et al., 1984) and these alterations were more severe in apoE4 carriers (Grouselle et al., 1998). ApoE4 is associated with increased brain activity at rest and in response to memory tasks (Dennis et al., 2009; Filippini et al., 2009), possibly reflecting impaired GABAergic inhibitory control. A single nucleotide polymorphism in the somatostatin gene increases the risk for AD in carriers of apoE4 but not of apoE3 (Vepsalainen et al., 2007; Xue et al., 2009). Furthermore, GABA levels in human CSF decrease with age (Bareggi et al., 1982)—the strongest risk factor for AD. We hypothesize that apoE4 contributes to AD pathogenesis, at least partially, by causing age-dependent impairment of GABAergic interneurons, leading to learning and memory deficits.

ApoE4 and Other Neurodegenerative Disorders

Although the data are not as strong as with AD, apoE4 has also been associated with progression or poor clinical outcomes in other neurological or neurodegenerative diseases, including traumatic brain injury (TBI) (Chamelian et al., 2004; Crawford et al., 2002; Friedman et al., 1999; Gandy and DeKosky, 2012; Mayeux et al., 1995; Nicoll et al., 1996; Teasdale et al., 1997), multiple sclerosis (Chapman et al., 2001; Fazekas et al., 2001), stroke (Alberts et al., 1995; McCarron et al., 1999; Slioter et al., 1997), frontotemporal dementia (Agosta et al., 2009), and Parkinson's disease (Harhangi et al., 2000; Li et al., 2004; Martinez et al., 2005; Parsian et al., 2002). More studies are needed to confirm these observations and to dissect the underlying mechanisms.

Other Lipid Metabolism–Related Genes and AD

AD appears to be linked to cholesterol metabolism–related genes other than apoE (Shobab et al., 2005; Wolozin, 2004). It has been reported that AD is associated with a polymorphism in ABCA1 (ATP-binding cassette, subfamily A, member 1), a cellular cholesterol transporter (Katzov et al., 2004); however, that association was not found in another study (Li et al., 2004). In mice, ABCA1 is required for maintaining normal CNS apoE levels and for lipidation of astrocyte-secreted apoE (Hirsch-Reinshagen et al., 2004; Wahrle et al., 2004), and deficiency of ABCA1 increases A β deposition in human APP transgenic mice (Hirsch-Reinshagen et al., 2005; Koldamova et al., 2005; Wahrle et al., 2005). Interestingly, the effect of ABCA1 deficiency on AD-like phenotype only occurs in apoE4 expressing mice, but not in apoE3 expressing mice (Fitz et al., 2012). Two studies identified an association between AD and two single nucleotide polymorphisms in Cyp46, an enzyme that converts cholesterol to 24-S-hydroxycholesterol for excretion through the brain-blood barrier (Kölsch et al., 2002; Papassotiropoulos et al., 2003). One of the polymorphisms was also associated with increased A β levels in CSF (Papassotiropoulos et al., 2003). However, two other studies failed to show such an association (Desai et al., 2002; Johansson et al., 2004); one suggested that an intronic marker of CYP46 interacts with age and apoE genotype (Johansson et al., 2004). Therefore, although links between AD and cholesterol metabolism-related genes other than apoE4 seem to support the importance of abnormal cholesterol metabolism in AD pathogenesis, the association between those genes and AD,

except for the case of apoE4, remains weak. The significance of those genes in the pathogenesis of AD merits further investigation both *in vitro* and *in vivo*.

Conclusion and Perspective

Biochemical, cell biological, and transgenic animal studies have suggested several mechanisms to explain the contribution of apoE4 to AD pathogenesis (Bu, 2009; Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Kim et al., 2009; Mahley and Huang, 2012a; Mahley et al., 2006). However, the mechanisms of these apoE4-mediated effects are still poorly understood. Likewise, it is not known which of these pathophysiological effects of apoE4 is the primary effect and which are subsequent or downstream effects or the extent to which they contribute to the pathogenesis of the dementia that characterizes AD clinically. Based on both *in vitro* and *in vivo* studies reviewed above, it is very likely that apoE4 affects AD pathogenesis by interacting with different factors through various pathways (Bu, 2009; Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Kim et al., 2009; Mahley and Huang, 2012a; Mahley et al., 2006). Thus, multiple molecular and cellular mechanisms should be considered when anti-AD drugs are developed based on apoE studies (Huang and Mucke, 2012; Mahley and Huang, 2012a).

The diverse cellular pattern of expression implies multiple functions of apoE. ApoE derived from different cellular sources probably has distinct roles in both physiological and pathophysiological pathways (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006). Thus, determining how apoE expression is regulated in different types of cells in the brain during development and in response to various insults should provide fundamental insights into the varied effects of apoE in neurobiology and neurodegenerative disorders, including AD. Drugs that inhibit neuronal expression of apoE4 might eliminate its downstream detrimental effects.

The A β -dependent effects of apoE4 on AD pathogenesis could be attenuated by drugs that inhibit apoE4-stimulated A β deposition (Bu, 2009; Kim et al., 2009; Sadowski et al., 2006). Drugs could also be designed, based on A β -independent effects of apoE4, to inhibit the apoE cleaving enzyme that mediates apoE4 fragmentation or to block the interaction of apoE4 fragments with cytoskeletal elements and mitochondria, thereby protecting against fragment-induced neurotoxicity. In addition, drugs capable of increasing the activity and/or numbers of mitochondria could also be beneficial for treating AD. Finally, another potential drug target is apoE4 domain interaction, which is responsible for many, if not all, of apoE4's detrimental effects (Huang, 2010; Huang and Mucke, 2012; Huang et al., 2004; Mahley and Huang, 2012a; Mahley et al., 2006). Small molecules have been designed to disrupt domain interaction by making apoE4 structurally and functionally more like apoE3 (Brodbeck et al., 2011; Chen et al., 2012; Mahley and Huang, 2012b).

Clearly, hope for effective therapeutics relies upon the ability of scientists to explore multiple lines of inquiry. It is certainly conceivable that there will be combination therapies, with both symptomatic drugs and those that might fundamentally alter the rate of onset and progression. The time is right to expand our therapeutic attack against this devastating

disease. Clearly, the structure and pathophysiological functions of apoE4 represent such a target.

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Apolipoprotein E Isoforms

	E4 ←	E3	→ E2
Allelic frequency:	15%	77%	8%
Sequence differences:	Arg-112 Arg-158	Cys-112 Arg-158	Cys-112 Cys-158
Receptor binding:	100%	100%	<2%
Associated disorder:	Alzheimer's Disease	"Normal"	Type III Hyperlipoproteinemia

Figure 1. ApoE isoforms and their properties
 E2, apoE2; E3, apoE3; E4, apoE4.

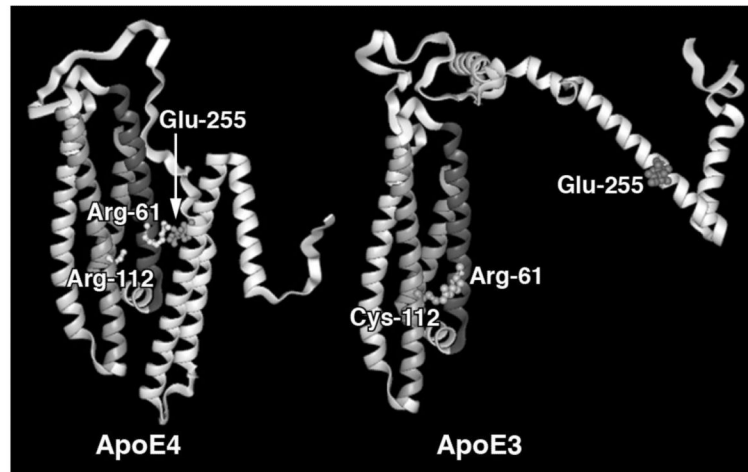


Figure 2. ApoE4 domain interaction

In apoE4 (*left*), arginine 112 orients the side chain of arginine 61 into the aqueous environment, where it can interact with glutamic acid 255, resulting in interaction between the N-terminal and C-terminal domains. In apoE3 (*right*), arginine 61 is not available to interact with residues in the C-terminal domain, resulting in a very different overall conformation.

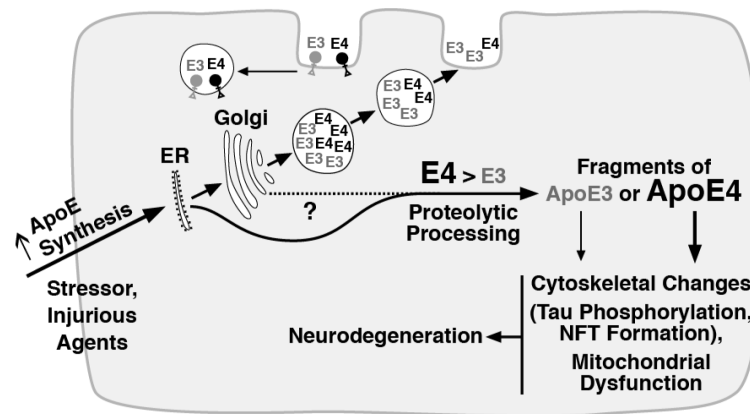


Figure 3. ApoE proteolysis and AD

In response to stressors and injurious agents, neurons turn on or increase their expression of apoE to repair or remodel the damaged neurons. However, neuronal apoE undergoes proteolytic processing, which generates C-terminal truncated fragments of apoE; apoE4 is more susceptible than apoE3 to the cleavage. These apoE fragments cause cytoskeletal changes, such as tau phosphorylation, neurofibrillary tangle formation, and mitochondrial dysfunction, finally leading to neurodegeneration.