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### Improved Survival in Heart Transplant Recipients in the United States: Racial Differences in Era Effect

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#### Abstract

**Background**—Post-transplant survival in heart transplant recipients has progressively improved during the last 2 decades. It is unknown however whether the major racial groups in the United States have benefited equally.

**Methods and Results**—We analyzed all primary heart transplant recipients 18 years old in the United States during 1987-2008. We compared post-transplant survival in white, black and Hispanic recipients in 5 successive eras (1987-1992, 1993-1996, 1997-2000, 2001-2004, 2005-2008). Early survival was defined as freedom from death or re-transplantation during the first 6-months post-transplant. Longer-term, conditional survival was assessed in patients who survived the first 6 months. There were 29,986 white (81.6%), 4,745 black (12.9%) and 2,017 Hispanic (5.5%) patients in the study cohort. Black patients were at increased risk of early death or re-transplant (hazard ratio [HR] 1.15, 95% confidence interval [CI] 1.05, 1.26) in adjusted analysis. Early post-transplant survival improved (HR 0.83, CI 0.80, 0.87 for successive eras) equally in all three groups (P=0.94 for black-era, 0.40 for Hispanic-era interaction). Longer-term survival improved in white (HR 0.95, CI 0.92, 0.97 for successive eras) but not in black (HR 1.04, 95% CI 0.99, 1.09) or Hispanic (HR 1.02, CI 0.95, 1.09) recipients, resulting in increased disparities in longer-term survival with time.

**Conclusions**—Early post-transplant survival has improved equally in white, black and Hispanic heart transplant recipients. Longer-term survival has improved in white but not in black or Hispanic recipients resulting in a more marked disparity in outcomes in the current era. These disparities warrant further investigation and targeted interventions.

#### Keywords

transplantation; racial disparities; risk factors; outcomes; heart failure

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#### Introduction

Post-transplant survival in heart transplant recipients has progressively improved since heart transplants were first performed, an observation often referred to as the "era effect" in heart transplantation.<sup>1-5</sup> Although much of this improvement is due to improved survival in the *early* post-transplant period, recent multi-center registry reports have also observed improvement in longer-term survival.<sup>1, 2</sup> Because post-transplant outcomes have been poorer historically in black (or non-white) recipients,<sup>6-11</sup> it is important to know whether the era effect in post-transplant survival is due to improved survival in all or only some of the racial groups.

Because the post-transplant care of heart transplant recipients is protocol-driven at most centers and is expected to be the same irrespective of patient race, we hypothesized that the improvement in post-transplant survival in heart transplant recipients has benefited the major racial groups in the United States (US) equally. The objective of this study was to compare the era effect for early (first 6 months post-transplant) and longer-term post-transplant survival in white, black and Hispanic heart transplant recipients in the US.

#### Methods

#### **Study Population**

All white, black and Hispanic patients 18 years of age who underwent their first heart transplant in the US between January 1, 1987 and March 31, 2008 were identified in the Organ Procurement and Transplantation Network (OPTN) database. The OPTN database includes data on all transplant recipients in the US submitted by their transplant centers. The Health Resources and Services Administration, U.S. Department of Health and Human Services, provides oversight to the activities of the OPTN contractor, the United Network of Organ Sharing (UNOS).

We excluded patients who received a heart re-transplantation or multi-organ transplantation. All subjects were followed from the time of heart transplant until death, re-transplant or the day of last observation on March 31, 2009.

#### Study Design

The primary study hypothesis was that black and Hispanic heart transplant recipients in the US have experienced an improvement in early and longer-term post-transplant survival similar to that observed in white heart transplant recipients during the last 2 decades. We compared baseline characteristics and trends in post-transplant survival among white, black and Hispanic heart transplant recipients in 5 successive eras (transplanted during years 1987-1992, 1993-1996, 1997-2000, 2001-2004 and 2005-2008) in the OPTN database. We analyzed two time-to-event end-points, early graft loss within 6 months post-transplant and longer-term graft loss. Graft loss was defined as a composite of death (all-cause mortality) and re-transplantation. Longer-term, conditional survival was assessed in patients who survived the first 6 months post-transplant.

Demographic and clinical variables were defined at the time of transplant. Patient race/ ethnicity, a mandatory variable, was reported by the transplant centers as one of the following: white, black, Hispanic/Latino, Asian, American Indian/Alaska Native, Native Hawaiian/Pacific Islander, Multiracial, and Other. Due to the small sample size for transplant recipients in minorities other than blacks and Hispanics (2.5% of all heart transplant recipients), these patients were not analyzed.

None of the subjects had any missing data for the variables age, gender, race/ethnicity, cardiac diagnosis, ventilator, extra-corporeal membrane oxygenation (ECMO), ventricular assist device (VAD), medical insurance (Medicaid), UNOS listing status, intra-aortic balloon pump, inotrope support, dialysis and the date of transplant. For patients with missing data on other variables, we created indicator variables "variable not reported" for each such variable to allow these subjects to contribute their other risk factors in the multivariable models.

#### **Statistical Analysis**

Summary data are presented as median (25<sup>th</sup>, 75th percentile) or number (percent). Baseline characteristics among groups were compared using the chi-square test for categorical and the Kruskal-Wallis test for continuous variables. Un-adjusted survival rates were assessed using the Kaplan-Meier method. We developed a multivariable Cox proportional hazards model for early post-transplant survival using a forward selection procedure retaining variables significant at the 0.10 level based on a likelihood ratio test and then added the race and era variables to the model. A second multivariable Cox model was developed for longer-term, conditional survival with a similar approach, limiting analysis to recipients who survived the first 6 months post-transplant. The effect of era was modeled in two ways, as a continuous variable coded 1 to 5 from the earliest to the most recent time period and, using 1987-1992 as the reference group with binary, indicator covariates for each subsequent period. For both early and longer-term survival, race-era interaction terms, with era as a continuous variable, were added to the main-effects models to assess whether the improvement in post-transplant survival over time was modified by race. Stratified multivariable models were developed to confirm significant race-era interactions in the overall model. To assess whether racial differences in improvement in longer-term survival were related to transplant center experience, we performed multivariable analyses for recipients stratified by the total number of recipients in each center over 20 years (<250, 250-499, 500 recipients during the study duration to define low, mid, and high-volume centers). In all models, we fitted continuous variables with a restricted cubic spline to allow for the most flexible relationship between the variable and the outcome.

To assess whether racial differences in era effect could be attributed to differences in use of newer immune-suppression medications in these groups, we assessed racial trends in use of maintenance tacrolimus and mycophenolate mofetil at the time of hospital discharge following transplant and in first-year rejection episodes. Finally, we compared the groups for freedom from coronary artery disease diagnosis using OPTN annual follow-up data and Kaplan Meier survival curves censoring patients at death. We evaluated racial differences in

time to coronary artery diagnosis and era effect for coronary artery hazard in each group using a Cox proportional hazard model.

The data were analyzed using SAS statistical software version 9.1 (SAS Institute Inc, Cary, NC) and STATA software version 10.0 (StataCorp LP, College Station, TX). All statistical tests were two-sided and a P value of less than 0.05 was used to define statistical significance. The authors had full access to the data and take responsibility for its integrity. All authors have read and agree to the manuscript as written.

#### Results

#### **Study Population**

During the study period, 37,682 patients 18 years of age underwent their first heart transplant in the US. Of these, 934 patients were from racial/ethnic groups other than those in the study and they were excluded from further analysis. The remaining 36748 patients formed the study cohort. Of these, 29,986 (81.6%) were white, 4,745 (12.9%) were black and 2,017 (5.5%) were Hispanic. In the OPTN database, race and ethnicity are reported as two distinct variables; however for all white, black and Hispanic patients in the study cohort, race and ethnicity variables were reported to be concordant (identical).

Table 1 summarizes baseline demographic and clinical characteristics of heart transplant recipients in the three racial groups. Compared to black and Hispanic patients, white patients were likely to be older and more likely to have ischemic cardiomyopathy as their cardiac diagnosis (P<0.001). Black patients were more likely to be female, have dilated cardiomyopathy and have a history of drug-treated hypertension compared to other groups. They were also more likely to be supported by a ventricular assist device, listed as status 1 or 1A at transplant and have a serum creatinine higher than 1.5 mg/dl at the time of their transplant. Hispanic patients had the highest prevalence of diabetes. White patients comprised a lower, and black and Hispanic patients a higher proportion of transplant recipients in successive eras (Table 1, P<0.001 for distribution by era, see online appendix for figure and for supplemental table A for comparison of additional characteristics among groups).

#### Early (6-month) Post-transplant Survival

Overall, death or re-transplantation occurred in 17,000 transplant recipients during the study period (16226 deaths and 774 re-transplants). Early graft loss occurred in 4349 (11.8%) transplant recipients (4161 deaths, 188 re-transplants). Unadjusted 6-month post-transplant survival improved from 86.3% in the earliest era (1987-1992) to 90.8% in the most recent era (2005-2008). Early post-transplant survival improved with time in all racial groups (Figure 1, panels A-C).

In a multivariable model adjusted for patient factors and era of transplant, the risk of death or re-transplant within 6 months post-transplant was significantly higher in black transplant recipients compared to white recipients (hazard ratio [HR] 1.15, 95% confidence interval [CI] 1.05, 1.26, P=0.004, Table 2). Early post-transplant survival improved significantly in successive eras (HR 0.83, 95% CI 0.80, 0.87, P<0.001, Table 2). Furthermore, when the

transplant eras were modeled as binary, indicator variables with recipients during 1987-1992 as the reference group, the risk of death or re-transplantation within the first 6 months post-transplant was 49% lower for transplant recipients in 2005-2008 compared to the reference group (HR 0.51, 95% CI 0.43, 0.60, P<0.001). When race-era interaction terms were added to the main-effects model in Table 2, they were not statistically significant (P=0.94 for black-era interaction, P=0.40 for Hispanic-era interaction).

#### Longer-Term, Conditional Survival

Overall, the annual rate of death or re-transplantation in 6-month survivors was 4.3% in white, 5.5% in black and 4.3% in Hispanic transplant recipients. In multivariable analysis, a significant race-era interaction was identified for longer-term survival (P<0.001 for black-era interaction, P=0.06 for Hispanic-era interaction). In a model adjusted for baseline risk factors, longer-term survival improved in successive eras in white (HR 0.95, 95% CI 0.92, 0.97, P<0.001) but not in black or Hispanic transplant recipients (Table 3). As a result, the risk of death or re-transplantation in black and Hispanic recipients (vs. white recipients) increased progressively during the five eras (Figure 2). Other independent predictors of late death or re-transplantation included ischemic etiology, diabetes, renal dysfunction and Medicaid insurance.

In multivariable models stratified by race, race-era interaction findings demonstrated in Table 3 and Figure 2 were confirmed. Thus, longer-term survival improved in white (HR 0.94, 95% CI 0.91, 0.97, P<0.001) but not in black or Hispanic transplant recipients (see online appendix). In multivariable models stratified by era, black recipients were at a higher risk of longer-term graft loss (vs. white recipients) in all eras with an increase in relative risk from the earliest (HR 1.59, 95% CI 1.45, 1.75, P<0.001) to the most recent (HR 2.37, 95% CI 1.86, 3.02, P<0.001) era. The risk of longer-term graft loss in Hispanic recipients was similar to white recipients during the first 3 eras but was higher during 2001-2004 (HR 1.25, 95% CI 1.01, 1.55, P=0.04) and 2005-08 (HR 1.55, 95% CI 1.08, 2.22, P=0.02) (see online appendix).

There was no improvement in longer-term survival in any racial group in recipients from low-volume centers (<250 total recipients). The improvement in survival in white recipients from mid-volume centers (250-499 total recipients) was of borderline statistical significance (HR 0.95 for successive eras, P=0.06) and was highly significant in white recipients from high-volume centers (HR 0.88, P<0.001). Longer-term survival did not improve in black or Hispanic recipients in either mid or high-volume centers.

#### Racial Trends in Immune Suppression, Rejection and Coronary Artery Disease

The percent transplant recipients on tacrolimus immune suppression and those on mycophenolate immune suppression at hospital discharge increased in successive eras in all racial groups. The proportion of white, black and Hispanic recipients on myocophenolate were similar in all eras, however a higher proportion of black recipients (vs. white recipients) appeared to be on tacrolimus in successive eras (Figure 3, Panels A-B).

First-year rejection data were not available for transplant recipients in the first and the majority of the second era. The percentage of recipients who were reported to have a

rejection episode during the first post-transplant year declined between the 3<sup>rd</sup> and the 5<sup>th</sup> era in white (56%, 40% and 24% during 1997-2000, 2001-2004 and 2005-2008, respectively), black (62%, 43% and 33%, respectively) and Hispanic (60%, 36% and 26%, respectively) recipients.

The difference among racial groups for freedom from coronary artery disease diagnosis was statistically significant (P=0.02, log rank test; see figure in online supplement) with shorter time to diagnosis for black compared to white recipients (HR 1.13, 95% CI 1.06, 1.21). There was no difference between Hispanic and white recipients for time to coronary artery diagnosis (HR 0.95, 95% CI 0.86, 1.04). There was no era effect for time to diagnosis of coronary artery disease in white (HR for successive eras 1.02, 95% CI 0.99, 1.05), black (HR 0.98, 95% CI 0.92, 1.05) or Hispanic recipients (HR 1.05, 95% CI 0.94, 1.17)

#### Discussion

In this study, we analyzed trends in post-heart transplant survival in three major US racial groups during the last 2 decades. There are three main findings of this study. First, the risk of death or re-transplantation within 6 months post-transplant, adjusted for baseline risk factors at the time of transplant, has decreased equally in white, black and Hispanic recipients during the last 2 decades. Second, among patients who survived the first 6 months post-transplant, longer-term survival has progressively improved in white but not in black or Hispanic recipients. As a result, disparities in longer-term post-transplant survival among racial groups have increased with time. Third, black heart transplant recipients have worse post-transplant outcomes compared to white recipients both during early post-transplant period and on longer-term follow-up. For example, the risk of death or re-transplant in black recipients, and for longer-term follow-up is 111% higher compared to white recipients in the current era. These disparities in post-transplant outcomes warrant further investigation and may be amenable to intervention.

Risk factors for early post-transplant mortality in our analysis included the listing diagnosis, the level of cardiac support (and thus the severity of heart failure), pre-transplant anti-HLA antibodies>10%, male recipients who received a heart from a female donor, and co-morbidity at the time of transplant (Table 2). The finding that early outcomes have improved similarly among racial groups adjusted for these risk factors suggests that advances in recipient selection, in the care of patients awaiting a heart transplant, in peri-operative care of transplant recipients and in immune suppression, which have contributed to improvement in early survival after heart transplantation,<sup>3-5</sup> have been implemented widely among centers and have benefited the racial groups equally. Several risk factors for early mortality such as VAD support, PVR>3, anti-HLA antibodies>10% and administration of intravenous antibiotics <2 weeks prior to transplant were more prevalent in black recipients and residual confounding with respect to these risk factors could have contributed to their worse early outcomes. Risk factors not captured in the OPTN database such as differences in access to care, illness severity at presentation and rate of disease progression could have also contributed to these outcome differences.

We were surprised to find that the improvement in longer-term survival has been limited to white heart transplant recipients. Although we did anticipate better conditional survival in recipients from more recent years,<sup>1, 2</sup> we expected this finding in either all racial groups or primarily in higher-risk black recipients (because recognition of a risk factor often leads to efforts to improve outcomes associated with that risk factor). Several potential mechanisms have been invoked to explain worse longer-term outcomes in black heart transplant recipients. These include biologic factors such as a higher prevalence of pre- and posttransplant hypertension<sup>12</sup>, a higher likelihood of donor-recipient HLA mismatch<sup>13</sup>, and immunologic and metabolic differences from whites. Black recipients have a higher prevalence of genotypes associated with reduced immune suppression exposure and efficacy as well as genotypes associated with a pro-inflammatory state.<sup>14</sup> Lower socioeconomic position and fewer years of formal education, known to be more prevalent in black population, have been previously associated with worse post-transplant outcomes.<sup>12, 15, 16</sup> A similar association of black race with worse graft survival has also been described in renal transplantation and has been attributed to a combination of genetic, immunologic and socioeconomic factors.<sup>17-19</sup> These biologic and socioeconomic factors may also explain the lack of improvement in longer-term survival in black recipients observed in our study. Our analysis shows that although rejection rates have decreased progressively in all groups, a modestly higher proportion of black recipients had a rejection episode during the first posttransplant year in all eras. The risk of developing graft coronary artery disease was also higher in black recipients suggesting that racial differences in longer-term survival are in part due to rejection-related mechanisms. Although black and Hispanic transplant recipients in the current study were three times as likely to have Medicaid insurance as white recipients, the reported race effects were seen after adjusting for insurance. Given that newer immune suppression agents (such as mycophenolate mofetil, tacrolimus and sirolimus) reduce rejection rates, prevent progression of cardiac allograft vasculopathy and improve graft and patient survival in heart transplant recipients.<sup>5, 20-23</sup> our finding that a similar or higher percentage of black and Hispanic transplant recipients (vs. white recipients) received maintenance mycophenolate and tacrolimus in all eras makes it unlikely that the lack of improvement in longer-term survival in these recipients was due to a disparity in choice of immune suppression.

A few single-center studies have reported equivalent post-transplant survival in white and black heart transplant recipients and have attributed their success in black recipients to either newer, more efficacious immune suppression protocols or to specialized care, i.e. a quality improvement initiative at the center.<sup>20, 21</sup> Equivalence of outcomes in white and black transplant recipients using newer immune suppression has also been reported recently in renal transplantation.<sup>24</sup> These preliminary reports suggest that approaches that combine current immune suppression agents with quality control initiatives and with interventions to reduce disparities may help bridge survival differences between racial groups despite their underlying immunologic and metabolic differences and may improve overall post-transplant survival.<sup>25</sup> For example, enhanced patient education with respect to their medical management and symptoms of rejection in those with limited formal schooling, and improved support system for patients with socioeconomic challenges that allows easy access to transplant team members may help improve longer-term outcomes in minorities.

Our results also demonstrate that the minorities represent an increasing proportion of heart transplant recipients in the US. This demographic shift is expected as the racial distribution of US population changes over time but may also be due to other factors such as an increase in referral of minority patients to transplant centers and a higher incidence of heart failure in minorities, particularly blacks.<sup>26, 27</sup> Further improvement in survival after heart transplantation will require a concurrent use of two strategies similar to those described for preventing cardiovascular disease:<sup>28</sup> (1) developing interventions that improve outcomes in *all* heart transplant recipients, and (2) identifying transplant recipients at high risk of graft loss and targeting interventions to improve their outcomes. The present analysis provides a framework for such interventions by describing the magnitude of racial disparity associated with early and longer-term post-transplant survival. Reduction and elimination of racial disparities in health care and in health outcomes are national priorities in the US.<sup>29</sup> Because racial disparities in post-transplant outcomes are also likely to be multi-level.<sup>30</sup>

This study has a few limitations. First, being a retrospective analysis of a national database, the quality control of these data may be variable among transplant centers. However, because the OPTN data are used by the UNOS to mediate organ allocation in the US and to evaluate and report transplant center performance, certain safeguards to data quality are to be expected. Second, race was analyzed as reported by the transplant centers and there is a possibility that some recipients were misclassified. However, a non-differential misclassification of race would likely result in a loss of statistical power which was not a major problem in this study because of the relatively large sample size. Finally, the duration of follow-up was different in transplant recipients from different eras. Although Cox models allowed us to evaluate recipients with different duration of follow-up, these models may not predict future survival accurately in transplant recipients from the more recent eras.

In conclusion, the progressive improvement in early post-transplant survival during the last 2 decades has benefited white, black and Hispanic heart transplant recipients equally. Longer-term survival has improved in white but not in black or Hispanic transplant recipients resulting in a more marked disparity in outcomes in the current era. Black heart transplant recipients are at higher risk of early and longer-term graft loss compared to other groups. Targeted interventions in high-risk transplant recipients may improve long-term and overall survival in heart transplantation.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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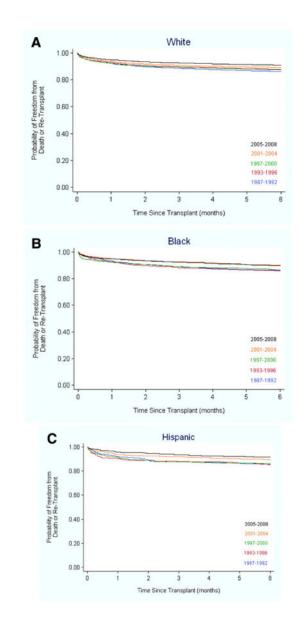
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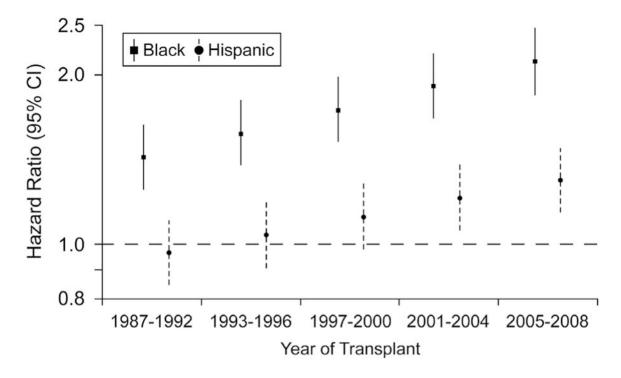
#### **Clinical Perspective**

Survival after heart transplantation has progressively improved since heart transplants were first performed, a finding described as the "era effect" in heart transplantation. Whether the major racial groups in the United States (white, black, and Hispanic) have benefited similarly from the medical progress in this field is unknown. This study analyzed trends in survival after heart transplant among these 3 racial groups during the past 2 decades. As expected, the minorities were found to represent an increasing proportion of heart transplant recipients with time. There are 3 main findings of this study. First, the risk of death or retransplantation within 6 months posttransplant, adjusted for baseline risk factors at the time of transplant, has decreased equally in white, black, and Hispanic recipients. Second, among patients who survived the first 6 months after transplant, longer-term survival has progressively improved in white but not in black or Hispanic recipients. Third, black heart transplant recipients have worse posttransplant outcomes than white recipients both during the early posttransplant period (15% higher risk of death or retransplant) and on longer-term follow-up (111% higher risk). We discuss the potential biological and socioeconomic mechanisms that may explain these findings and suggest that targeted interventions in high-risk transplant recipients may improve long-term and overall survival in heart transplantation.



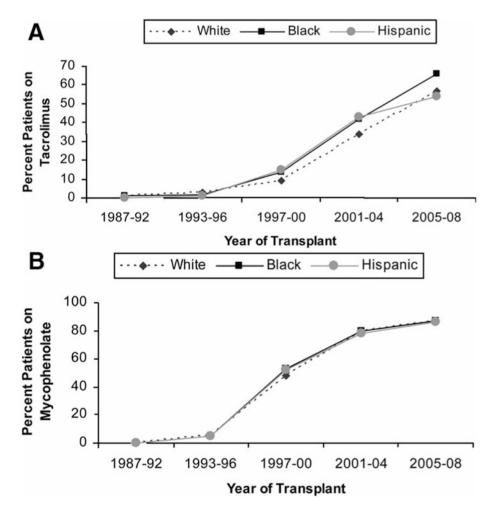
#### Figure 1.

Early (first 6 months) post-transplant survival in white (A), black (B), and Hispanic (C) heart transplant recipients during the five eras. The improvement in survival in the three groups, adjusted for baseline risk factors, was similar (P=0.94 for black-era and 0.40 for Hispanic-era interaction).



#### Figure 2.

Widening racial disparities for longer-term survival, conditional upon surviving the first 6month after heart transplant. The reference group is white heart transplant recipients. The hazard ratios and 95% confidence intervals are adjusted for baseline risk factors (see Table 3).



#### Figure 3.

Racial trends in use of tacrolimus (A) and mycophenolate mofetil (B) as maintenance immune suppression at hospital discharge.

Table 1	Baseline Characteristics of Heart Transplant Patients, by Racial Group

	White (n=29,986)	Black (n=4,745)	Hispanic (n=2,017)	Total (n=36,748)	P Value
Age at Transplant, yr	55 (47 to 60)	48 (38 to 56)	52 (42 to 58)	54 (46 to 60)	<0.001
Age at Transplant					<0.001
18-39	3699 (12)	1328 (28)	419 (21)	5446 (15)	
40-60	19045 (64)	2862 (60)	1257 (62)	23164 (63)	
>60	7242 (24)	555 (12)	341 (17)	8138 (22)	
<b>Recipient Height</b> (n=36112)	175 (168 to 180)	173 (165 to 180)	170 (163 to 175)	175 (168 to 180)	<0.001
Sex, Female	5938 (20)	1654 (35)	488 (24)	8080 (22)	<0.001
Donor Age, yr (n=36746)	28 (20 to 40)	28 (20 to 40)	27 (20 to 39)	28 (20 to 40)	0.14
Diagnosis					<0.001
Ischemic CM	16335 (54)	1136 (24)	801 (40)	18272 (50)	
Dilated CM	10981 (37)	3294 (69)	1024 (51)	15299 (42)	
Hypertrophic CM	382 (1)	30 (1)	20 (1)	432 (1)	
Restrictive CM	373 (1)	59 (1)	22 (1)	454 (1)	
Valvular CM	874 (3)	114 (2)	79 (4)	1067 (3)	
Congenital Heart Disease	620 (2)	51 (1)	47 (2)	718 (2)	
Other	421 (1)	61 (1)	24 (1)	506 (1)	
Drug Treated Hypertension					<0.001
Yes	6425 (21)	1310 (28)	467 (23)	8202 (22)	
No	10883 (36)	1727 (36)	839 (42)	13449 (37)	
Unknown	12678 (42)	1708 (36)	711 (35)	15097 (41)	
Diabetes	3804 (13)	696 (15)	387 (19)	4887 (13)	<0.001
Mean PAP > 30 mm Hg	7673 (26)	1683 (35)	641 (32)	9997 (27)	<0.001
PVR					
$\varsigma$	13449 (45)	2052 (43)	906 (45)	16407 (45)	<0.001
>3	4893 (16)	1337 (28)	498 (25)	6728 (18)	
Unknown	11644 (39)	1356 (29)	613 (30)	13613 (37)	
Intravenous Inotropes	11121 (37)	2158 (45)	894 (44)	14173 (39)	<0.001
<b>Mechanical Ventilation</b>	832 (3)	101 (2)	66 (3)	999 (3)	0.01

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	White (n=29,986)	Black (n=4,745)	Hispanic (n=2,017)	Total (n=36,748)	P Value
Intra-aortic Balloon Pump	1769 (6)	251 (5)	110 (5)	2130 (6)	0.20
Ventricular Assist Device	3748 (13)	771 (16)	256 (13)	4775 (13)	<0.001
ECMO	63 (<1)	9 (<1)	3 (<1)	75 (<1)	0.82
ICD	8004 (27)	1533 (32)	637 (32)	10174 (28)	<0.001
Listing Status					<0.001
1/1A	13514 (45)	2362 (50)	929 (46)	16805 (46)	
IB	4903 (16)	1138 (24)	459 (23)	6500 (18)	
2	10661 (36)	1146 (24)	610 (30)	12417 (34)	
Other: Inactive/unknown	908 (3)	99 (2)	19 (1)	1026 (3)	
Ischemic Time, hr (n=34606)	2.9 (2.2 to 3.6)	2.9 (2.2 to 3.6)	2.9 (2.2 to 3.6)	2.9 (2.2 to 3.6)	0.07
<b>PRA</b> > 10% (n=26872)	3319 (11)	716 (15)	218 (11)	4253 (12)	<0.001
Dialysis at Transplant	370(1)	88 (2)	37 (2)	495 (1)	<0.001
<b>Creatinine at Transplant</b> (n=26137)	1.2 (1.0 to 1.5)	1.2 (1.0 to 1.6)	1.1 (0.9 to 1.4)	1.2 (1.0 to 1.5)	<0.001
Creatinine at Transplant $>1.5$ (n=26137)	4686 (16)	985 (21)	293 (15)	5964 (16)	<0.001
Bilirubin at Transplant (n=24087)	0.8 (0.5 to 1.3)	0.9 (0.5 to 1.4)	0.9 (0.6 to 1.5)	0.8 (0.6 to 1.3)	<0.001
IV Antibiotics <2 Weeks	1967 (7)	473 (10)	155 (8)	2595 (7)	<0.001
Before Transplant					
Medicaid Insurance	1895 (6)	908 (19)	425 (21)	3228 (9)	<0.001
Non ABO-Identical Transplant	4185 (14)	789 (17)	266 (13)	5240 (14)	<0.001
Era of Transplant					<0.001
1987-1992	7571 (25)	754 (16)	317 (16)	8642 (24)	
1993-1996	6511 (22)	882 (19)	337 (17)	7730 (21)	
1997-2000	6075 (20)	916 (19)	386 (19)	7377 (20)	
2001-2004	5269 (18)	1015 (21)	461 (23)	6745 (18)	
2005-2008	4560 (15)	1178 (25)	516 (26)	6254 (17)	

Values are summarized as number (percent) or median (25<sup>th</sup> to 75<sup>th</sup> percentile). yr (year), CM (Cardiomyopathy), PAP (Pulmonary Artery Pressure), PVR (Pulmonary Vascular Resistance), ECMO (Extra-corporeal Membrane Oxygenation), ICD (Implantable Cardioverter Defibrillator), VAD (Ventricular Assist Device), hr (hour), IV (intravenous), PRA (Panel Reactive Antibodies)

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Table 2
Multivariable Model of Predictors of Early (6-month) Death or Re-transplant in Heart
Transplant Recipients

Predictor	Hazard Ratio	95% Confidence Interval	P Value
Age at Transplant	**	**	< 0.001
Recipient Height	**	**	< 0.001
Donor Age	**	**	< 0.001
Diagnosis (vs. Dilated CM)			
Ischemic CM	1.22	(1.14, 1.31)	< 0.001
Hypertrophic CM	1.36	(1.02, 1.81)	0.04
Congenital Heart Disease	2.69	(2.25, 3.22)	< 0.001
Other	1.29	(1.13, 1.47)	< 0.001
Drug Treated	1.14	(1.06, 1.23)	0.001
Hypertension			
Mean PAP > 30 mmHg	1.08	(1.00, 1.16)	0.06
<b>PVR</b> < 3	0.89	(0.82, 0.96)	0.002
Mechanical Ventilation	2.41	(2.12, 2.73)	< 0.001
Intra-aortic Balloon Pump	1.21	(1.08, 1.36)	0.001
VAD	1.57	(1.44, 1.72)	< 0.001
ЕСМО	2.10	(1.44, 3.08)	< 0.001
ICD	1.09	(1.00, 1.19)	0.06
Ischemic Time	**	**	0.001
PRA			
> 10%	1.22	(1.11, 1.33)	< 0.001
Missing	1.01	(0.94, 1.09)	0.81
Bilirubin at Transplant	**	**	0.001
IV Antibiotic <2 Weeks Before Transplant Gender Match*	1.24	(1.12, 1.38)	< 0.001
M recipient / F donor	1.20	(1.11, 1.30)	< 0.001
F recipient / M donor	1.11	(0.99, 1.25)	0.06
F recipient / F donor	1.07	(0.96, 1.20)	0.24
Medicaid Insurance	1.12	(1.00, 1.25)	0.06
Era (treated as linear 1-5)	0.83	(0.80, 0.87)	< 0.001
Race (vs. White)			
Black	1.15	(1.05, 1.26)	0.004
Hispanic	1.03	(0.90, 1.18)	0.66

\*\* Restricted cubic splines, with a separate term to identify missing values if required. CM (Cardiomyopathy), PAP (Pulmonary Artery Pressure), PVR (Pulmonary Vascular Resistance), ECMO (Extra-corporeal Membrane Oxygenation), ICD (Implantable Cardioverter Defibrillator), VAD (Ventricular Assist Device), hr (hour), IV (intravenous), PRA (Panel Reactive Antibodies),

\* The reference category is M recipient-M donor. The donor-recipient BMI ratio or donor LV ejection fraction was not significant.

# Table 3Multivariable Model of Predictors for Time to Graft Loss in 6-Month Survivors of HeartTransplantation

Predictor	Hazard Ratio	95% CI	P Value
Age at Transplant	**	**	< 0.001
Donor Age	**	**	< 0.001
Diagnosis (vs. Dilated CM)			
Ischemic CM	1.22	(1.17, 1.27)	< 0.001
Hypertrophic CM	0.75	(0.59, 0.94)	0.01
Congenital Heart Disease	0.88	(0.75, 1.03)	0.11
Other	1.03	(0.95, 1.12)	0.52
Drug Treated Hypertension	1.04	(0.98, 1.09)	0.20
Diabetes			
Yes	1.19	(1.12, 1.27)	< 0.001
Not reported	1.16	(1.09, 1.24)	< 0.001
VAD	0.95	(0.88, 1.02)	0.16
ICD	0.90	(0.85, 0.96)	0.001
Creatinine at Transplant			
> 1.5	1.20	(1.13, 1.26)	< 0.001
Not reported	1.08	(1.02, 1.14)	0.008
IV Antibiotic <2 Weeks Before Transplant	1.14	(1.05, 1.24)	0.002
Medicaid Insurance	1.43	(1.33, 1.54)	< 0.001
Interaction: Era by Race $^{\dot{\tau}}$			
White	0.95	(0.92, 0.97)	< 0.001
Black	1.04	(0.99, 1.09)	0.07
Hispanic	1.02	(0.95, 1.09)	0.63

\*\* Restricted cubic splines, with a separate term to identify missing values if required.

 $^{\dagger}$ Race-era interaction terms were interpreted in two ways, as era effect within racial groups (above) and as racial differences in outcomes within all eras (Figure 2). CM (Cardiomyopathy), ICD (Implantable Cardioverter Defibrillator), VAD (Ventricular Assist Device), IV (intravenous)