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Segregating neural and mechanosensory fates in the developing ear: patterning, signaling, and transcriptional control

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Abstract

The vertebrate inner ear is composed of multiple sensory receptor epithelia, each of which is specialized for detection of sound, gravity or angular acceleration. Each receptor epithelium contains mechanosensitive hair cells, which are connected to the brainstem by bipolar sensory neurons. Hair cells and their associated neurons are derived from the embryonic rudiment of the inner ear epithelium, but the precise spatial and temporal patterns of their generation, as well as the signals that coordinate these events, have only recently begun to be understood. Gene expression, lineage tracing, and mutant analyses suggest that both neurons and hair cells are generated from a common domain of neural and sensory competence in the embryonic inner ear rudiment. Members of the *Shh*, *Wnt* and *FGF* families, together with retinoic acid signals, regulate transcription factor genes within the inner ear rudiment to establish the axial identity of the ear and regionalize neurogenic activity. Close-range signaling, such as that of the Notch pathway, specifies the fate of sensory regions and individual cell types. We also describe positive and negative interactions between basic helix-loop-helix and SoxB family transcription factors that specify either neuronal or sensory fates in a context-dependent manner. Finally, we review recent work on inner ear development in zebrafish, which demonstrates that the relative timing of neurogenesis and sensory epithelial formation is not phylogenetically constrained.

Introduction

The vertebrate inner ear is a sensory organ dedicated to the detection of sound and motion. It comprises a series of fluid-filled chambers known collectively as the labyrinth, and contains six epithelial sensory structures (Fig. 1A). The organ of Corti runs along the length of the cochlear duct and is dedicated to hearing; it is known as the papilla in non-mammalian vertebrates. Fluid motion in the three semicircular canals caused by angular movements of the head is detected by cristae positioned at the base of each canal, while linear acceleration and gravity are detected by two sensory organs, the maculae, housed in two epithelial chambers called the utricle and saccule. Detection of sound and motion in each sensory organ is mediated by an array of mechanosensitive hair cells and associated supporting cells. Hair cells receive afferent innervation from sensory neurons of the VIIIth cranial or cochleo-

vestibular ganglion (CVG), which is sub-divided into regions that innervate either the cochlea (the spiral ganglion in mammals) or the vestibular system (Fig. 1B).

Both the mechanosensory regions of the inner ear labyrinth and the sensory neurons that innervate them are derived from a common primordium, the otic placode (Groves, 2005, Ohyama, et al., 2007, Riley and Phillips, 2003, Streit, 2001). This arises from primitive embryonic ectoderm on either side of the hindbrain in response to inducing signals, and then thickens and invaginates to form an otocyst. Many studies over the past 20 years suggest that the otocyst has already received much spatial patterning information by the time invagination is complete, and distinct sets of genes have been identified that divide the ear into broad territories in the anterior-posterior, dorso-ventral and medio-lateral axes (Fekete, 1996, Fekete and Wu, 2002, Wu and Kelley, 2012). In amniotes, the first indication of cell fate differentiation within the otic epithelium is the delamination of neuroblasts from a ventral region (Alsina, et al., 2004, Alsina, et al., 2009, Raft, et al., 2004, Wu and Kelley, 2012). In the mouse, this process begins at the anterior-posterior midline of the invaginating placode and subsequently expands to encompass the entire ventral face of the otocyst (Raft, et al., 2004). After roughly two embryonic days of neurogenesis, this region – sometimes referred to as the neural-sensory competent domain – begins producing the prosensory cells that will differentiate as hair cells or supporting cells. Neurogenesis and the production of sensory patches continue together for several days until neurogenesis is extinguished (Raft, et al., 2007). However, sensory tissue continues to differentiate for days and sometimes weeks: for instance, the mouse utricular macula does not finish adding hair cells until two weeks after birth (Burns, et al., 2012).

The coordinated production of hair cells and associated neurons requires that a precise series of signals induce or inhibit transcription factors specific to the neural or sensory lineages. In this review, we describe recent findings on how these signals are spatially and temporally regulated during development of the inner ear and its associated CVG.

1. The evolutionary origins of hair cells and the transcription factors that specify them

Vertebrate hair cells have an apical stereociliary bundle, an elaborate tuft of elongated actin-rich microvilli (Nayak, et al., 2007). A true cilium, the kinocilium, develops in all vertebrate hair cells, although it may disappear in some hair cell types as they mature. Vertebrate hair cells are secondary receptor cells: they do not elaborate either axons or dendrites, but are innervated by axons of bipolar sensory neurons that also send processes to brainstem nuclei. Many non-vertebrate taxa possess mechanoreceptive cells with similar apical specializations; these are also sometimes referred to as hair cells, although their degree of homology to vertebrate hair cells is still actively debated (Burighel, et al., 2011, Burighel, et al., 2008, Burighel, et al., 2003, Fritsch and Straka, 2014, Manley and Ladher, 2008). These non-vertebrate “hair cells” cells can be either secondary receptors, or primary sensory neurons with intrinsic mechanosensitive specializations (Burighel, et al., 2011). Some non-vertebrate groups use both kinds of receptor cells in their sensory organs. For example, urochordate ascidians have ciliated primary sensory cells in their cupular and capsular organs that are thought to detect water flow (Burighel, et al., 2011, Caicci, et al., 2007,

Gasparini, et al., 2013). More recently it has been shown that some ascidians possess a distinct sensory organ at the base of the oral siphon, the coronal organ, which also contains secondary receptor cells bearing stereocilia (Burighel, et al., 2003). Cephalopod mollusks have evolved very elaborate sensory systems, including statocysts that detect linear and angular acceleration and a lateral line system analogous to those seen in fish and amphibians (Budelmann, et al., 1997, Burighel, et al., 2011). These organs can also contain both secondary and primary sensory receptor cells with varying numbers of stereocilia and kinocilia. In contrast, insects appear to have lost secondary receptor cells, and instead have a wide range of mechanoreceptive sensory neurons arranged in clusters all over their bodies (Kernan, 2007). One class of stretch receptive organs, chordotonal organs, are deployed in different ways in different insect species to detect a variety of stimuli in addition to stretch, such as sound, gravity, and air flow (Eberl, 1999, Eberl, et al., 2000, Kamikouchi, et al., 2009, Yorozu, et al., 2009). Chordotonal organ sensory neurons have a single ciliated dendrite believed to contain mechanosensitive ion channels but have no microvillous specializations analogous to vertebrate stereocilia (Boekhoff-Falk, 2005, Eberl and Boekhoff-Falk, 2007, Kernan, 2007).

Vertebrate hair cells and CVG neurons are specified by distinct basic helix-loop-helix (bHLH) class transcription factors (Fritzscht, et al., 2007, Fritzscht, et al., 2010, Fritzscht, et al., 2006). *Atoh1* (formerly known as *Math1*) is the first known transcription factor to be expressed in hair cell progenitors (Bermingham, et al., 1999, Cai, et al., 2013, Chan, et al., 2007, Driver, et al., 2013, Woods, et al., 2004). *Atoh1* is both necessary and sufficient for hair cell development and survival: hair cell progenitors rapidly die in *Atoh1* mutant mice (Bermingham, et al., 1999, Cai, et al., 2013, Chen, et al., 2003, Pan, et al., 2011), and the ectopic expression of *Atoh1* in other parts of the inner ear is able to generate ectopic hair cells capable of attracting afferent innervation (Izumikawa, et al., 2005, Kawamoto, et al., 2003, Kelly, et al., 2012, Liu, et al., 2012a, Woods, et al., 2004, Zheng and Gao, 2000). *Neurog1* (formerly *Ngn1*) is a closely related bHLH factor expressed in progenitor cells of the ventral otocyst shortly before they delaminate as neuroblasts (Ma, et al., 2000). Its expression is then extinguished and replaced by another closely related bHLH gene, *Neurod1* (Kim, et al., 2001, Liu, et al., 2000), and both genes are necessary for the generation of VIIIth ganglion neurons. The *Atoh*, *Neurogenin* and *NeuroD* bHLH transcription factor families are evolutionarily ancient, and representatives of each family are present even in diploblastic animals and sponges (Simionato, et al., 2008, Simionato, et al., 2007). All three families have experienced both gene duplication and loss in the course of bilaterian evolution. For example, the *Neurogenin* and *NeuroD* families appear to have been lost in flies (Fritzscht, et al., 2010), whereas the *Drosophila Atoh1* orthologue *atonal* has been duplicated to give two additional orthologues: *amos* (absent multidendritic and olfactory sensilla) and *cato* (cousin of *atonal*; (Jarman and Groves, 2013).

Despite the fact that these three bHLH families are more closely related to each other than to other bHLH genes, they retain a striking specificity of function. For example, *Drosophila atonal* is capable of functionally replacing *Atoh1* in mice, and vice versa (Ben-Arie, et al., 2000, Wang, et al., 2002). In contrast, *Neurog1* can only rescue loss of *Atoh1* in mice to an extremely modest degree (Jahan, et al., 2012), suggesting that *Neurog1* is only able to

activate a subset of Atoh1 target genes. It has been known for many years that related bHLH factors are capable of binding to similar but distinct E-box DNA motifs (Kewley, et al., 2004). With the advent of better tools for investigating transcription factor binding in vitro and vivo, it has been possible to better define the range of motifs bound by a given bHLH factor. In the case of Atoh1, analysis of its targets in the cerebellum (Klisch, et al., 2011) has identified a consensus Atoh1 binding motif based on an extended E box variant that is reminiscent of, but not identical to, that identified for *Drosophila atonal* (G/A,C/A,CA,G/T,C/A,TG,G/T,C/T). This Atoh1 E-box associated motif, or AtEAM, is present close to the coding regions of over 65% of genes with sequences bound by Atoh1 in the cerebellum. It is likely that Neurog1 and Neurod1 also have their own conserved extended E-box DNA binding motifs that are similar to, but distinct from, the AtEAM motif, and that subtle differences in the binding affinities of these factors have far-reaching consequences on the range of genes each factor can activate. Differences in the range of targets will thus determine the cell fate – neuron or hair cell – that can be specified by different bHLH factors. This topic is reviewed elsewhere in this volume by Fritzsich and colleagues.

2. Lineage relationships between neurons and sensory cells of the inner ear

Morphologic and genetic homologies between the sensory organs of the vertebrate ear and insects described in the previous section raise the question of whether neurons, hair cells, and sensory supporting cells derive from a common progenitor cell type (Fritzsich, et al., 2007, Fritzsich, et al., 2010). Tracing the progeny of *Neurog1*-expressing cells with tamoxifen-inducible *Neurog1-CreER* transgenic mice reveals that these cells can give rise to neurons, hair cells and supporting cells of the utricular and saccular maculae, and to non-sensory cells surrounding the maculae (Koundakjian, et al., 2007, Raft, et al., 2007). Loss of *Neurog1*, either in mouse mutants or by morpholino knockdown in zebrafish, increases the number of macular hair cells at the expense of neurons (Raft, et al., 2007, Sapede, et al., 2012), suggesting that neuronal progenitors are transformed into sensory progenitors under these experimental conditions. Although these studies suggest that the neural-sensory competent population can generate both cell types, they cannot address whether bipotential progenitors exist in this region. However, retroviral lineage tracing experiments in the chicken shows that vestibular and spiral (auditory) neurons of the VIIIth ganglion can be clonally related to sensory and non-sensory utricular epithelial cells (Satoh and Fekete, 2005, Satoh and Fekete, 2009). Similar results have recently been observed using single cell photo-conversion of genetically encoded fluorescent tracers (Sapede, et al., 2012). Another recent study involving single cell retroviral lineage tracing in mouse failed to find common progenitors for neurons and hair cells (Jiang, et al., 2013); however it should be stressed that the age of labeling in this study (embryonic day 11) was quite late relative to the appearance of the neural-sensory competent domain, and that the number of retroviral clones analyzed in this study was quite small and may have been insufficient to capture rare clones containing both cell types. These studies show that the progenitors for sensory derivatives (hair cells and supporting cells) and neurons can differentiate in close proximity to one another, and that multi-potent cells capable of generating neurons, hair cells, or supporting cells can be identified at low frequencies.

Gene expression studies in both chicken and mouse indicate that neurogenesis occurs within a larger sensory-competent domain of the otocyst defined by overlapping expression of *Jagged1* and *Sox2* (Adam, et al., 1998, Cole, et al., 2000, Daudet, et al., 2007, Fekete and Wu, 2002, Kiernan, et al., 2006, Morsli, et al., 1998, Neves, et al., 2007, Neves, et al., 2011) (Fig. 2). In mice, the early-stage distribution of neurogenesis along the entire ventral face of the otocyst is progressively restricted to an antero-ventral region (Supplemental video), and these dynamics are paralleled by those of *Lunatic Fringe* expression (Raft, et al., 2004). The antero-ventral neurogenic region, as described above, ultimately forms sensory and non-sensory regions of the utricle and saccule (Koundakjian, et al., 2007, Raft, et al., 2007). The neurogenic region is adjacent and complementary to another portion of otocyst epithelium expressing *Bmp4*, the T-box gene *Tbx1*, *Hairy1*, and *Lmx1* (Abello, et al., 2007, Morsli, et al., 1998, Nichols, et al., 2008, Raft, et al., 2004). This region is non-neurogenic and generates sensory and non-sensory regions of the cochlea and vestibular canals. At later developmental stages, neurogenesis and sensory cell generation coincide for a period of several days at the nascent utricular and saccular maculae, and neurogenesis is progressively extinguished as developing sensory (macular) territories expand (Raft, et al., 2007). In the following sections, we describe the determinants and interactions from within and outside the otocyst that pattern neurogenic and adjacent non-neurogenic tissue.

3. Neurogenic patterning: Indirect effects of Shh and other factors on ventral otic neurogenesis

A view of the otocyst as a paraxial structure analogous to the somite has uncovered extrinsic signals influencing otic neurogenesis. Studies of the mouse and chicken offer compelling evidence that a source of Sonic Hedgehog (Shh) at the ventral midline (notochord or floorplate of the neural tube) promotes ventral otocyst *Neurog1/NeuroD* expression and CVG formation (Bok, et al., 2005, Riccomagno, et al., 2002). This signaling had been viewed as instructive with respect to otic *Neurog1*, since *Neurog1* is induced locally by ectopic expression of Shh in the mouse dorsal otocyst, and ectopic/heterochronic implantation of Shh-producing cells near the chicken otocyst up-regulates *NeuroD*. A caveat to this interpretation is that Shh loss-of-function in the mouse dysregulates gene expression along the entire dorso-ventral axis of the otocyst (Bok, et al., 2007, Brown and Epstein, 2011, Riccomagno, et al., 2002), such that dorsally expressed transcription factor genes are ectopically activated in ventral regions (Fig. 3). It is therefore possible that in the *Shh*^{-/-} embryo, ventral mis-localization of a neurogenic suppressor causes a loss of *Neurog1* expression, in which case Shh should be viewed as regulating *Neurog1* permissively. This appears to be the case, as *Shh*^{-/-} mouse embryos ectopically express *Tbx1*, encoding a T-box family transcription factor and known repressor of otic *Neurog1* and neurogenesis (Bollag, et al., 1994, Brown and Epstein, 2011, Raft, et al., 2004). Complementation analysis using *Shh*^{-/-};*Tbx1*^{-/+} embryos finds a recovery of near normal *Neurog1* expression in the ventral otocyst (Brown and Epstein, 2011). Pharmacological inhibition of hedgehog signaling also causes a concerted up-regulation of *tbx1* and reduction of *neurod* expression in the zebrafish otic epithelium (Radosevic, et al., 2011).

A phenotypic comparison of *Shh*^{-/-} mice and mice specifically lacking otocyst expression of the Shh signaling transducer Smoothed suggests that ventral midline Shh has dual effects on otic neurogenesis: it promotes proliferation of otic neural precursors through a direct, long-range effect on the ventral otocyst and affects otic *Tbx1* and *Neurog1* expression indirectly, most likely through the dorso-ventral patterning of diffusible signals generated within the neural tube (Brown and Epstein, 2011) (Fig. 3). Wnt signaling from the dorsal neural tube induces a dorsal otic fate (Ohyama, et al., 2006, Riccomagno, et al., 2005), and wild-type mouse embryos exposed to the canonical Wnt signaling agonist LiCl up-regulate otic *Tbx1* and lose otic *Neurog1* expression (Brown and Epstein, 2011). However, the effects of Wnt signaling on otic *Tbx1* appear to be complex, as other studies find that conditional activation of beta-catenin, a transcriptional mediator of canonical Wnt signaling, in the early mouse otic epithelium down-regulates otic *Tbx1* expression, as well as that of *Neurog1* and *Neurod1* (Freyer and Morrow, 2010, Ohyama, et al., 2006). Other evidence indicates that hindbrain rhombomere mis-patterning in the kreisler (*Krml1/MafB*) mouse mutant causes ectopic neurogenesis in the dorsal otocyst with no apparent change in *Tbx1* expression (Vazquez-Echeverria, et al., 2008), suggesting that other unknown factors also regulate patterning of neurogenesis along the otocyst dorso-vental axis.

Nuclear factors that are expressed within the otocyst and required for neurogenesis also appear to exclude *Tbx1* from the ventral otocyst. Mice deficient in the ventrally expressed phosphatase-transactivator *Eya1* show reduced otic neurogenesis and ventral expansion of *Tbx1* expression (Friedman, et al., 2005) although other evidence indicates that *Eya1* is a positive and direct activator of otic neurogenesis through complex formation with *Six1*, *Sox2*, and SWI/SNF chromatin-remodeling subunits (Ahmed, et al., 2012b). Interestingly, embryos lacking the ventrally expressed ATP-dependent chromatin-remodeling enzyme *Chd7*, which is required for *Neurog1* expression, show expansion of *Tbx1* into the ventral otocyst, although current evidence suggests that *Eya1* and *Chd7* repress *Tbx1* expression through distinct pathways (Hurd, et al., 2010). It is important to note that otocysts of both *Eya1* and *Chd7* loss-of-function mutants are considerably hypoplastic (Hurd, et al., 2010, Zou, et al., 2004, Zou, et al., 2006), and this may account, wholly or in part, for the observed mis-patterning of *Tbx1*; by contrast, the effects of Shh on otocyst proliferation and *Tbx1* patterning have been dissociated by genetic analyses, as described above (Brown and Epstein, 2011). Nevertheless, multiple extrinsic and intrinsic signals controlling otic neurogenesis appear to act through *Tbx1*.

4. Neurogenic patterning: Retinoic acid-dependent activation of *Tbx1* represses neurogenesis and controls antero-posterior polarity of the otocyst

The first evidence that *Tbx1* represses otic neurogenesis came from a study of germ-line *Tbx1* null homozygotes, heterozygotes, and transgenic mice over-expressing human *TBX1* (Raft, et al., 2004); it was found that *Tbx1/TBX1* copy number correlates negatively with size of the otocyst neurogenic region and CVG, and coherently alters the position of a sharp interface between neurogenic and non-neurogenic regions of the otocyst (Fig. 4A). Normally, the *Tbx1* and *NeuroD* gene expression domains are dynamic and strongly

complementary; developmentally, the neurogenic region contracts as the *Tbx1* domain expands relative to total otocyst size (Supplemental video) (Raft, et al., 2004). *Tbx1* transcript is not found in the CVG, but genetic fate mapping of *Tbx1* null homozygote otocyst cells, in which *Cre* recombinase replaces *Tbx1* coding sequence, reveals many labeled cells in an ectopic portion of the CVG (adjacent to normally *Tbx1*-positive posterior otocyst regions) and few labeled cells in other parts of the mutant CVG; by contrast, fate mapping of *Tbx1* heterozygous cells finds small numbers of labeled cells throughout the CVG (Xu, et al., 2007), which can be interpreted as further evidence for gene dose-dependent effects of *Tbx1/TBX1* on otic neurogenesis (Raft, et al., 2004). This comparison of labeling profiles clearly indicates that *Tbx1* null homozygosity results in the neuronal differentiation of cells that would normally remain within the otocyst and form the inner ear epithelium. Since effects of *Tbx1/TBX1* on otic neurogenesis are gene-dose dependent and fate mapping data have been obtained using a *Tbx1*^{Cre/+} ‘knock-in’ mouse model, the efficiency or absoluteness of *Tbx1*-mediated neural fate suppression in normal development remains unknown. Tissue-specific ablations of *Tbx1* unequivocally localize the neurogenic repressor activity to the otic epithelium (Arnold, et al., 2006, Xu, et al., 2007), and this may well occur at slightly overlapping borders of adjacent *Tbx1* and *NeuroD* domains (Vazquez-Echeverria, et al., 2008).

A recent study using zebrafish indicates that *tbx1* suppresses neurogenesis through a rapid and Notch-independent activation of *her9*, the zebrafish ortholog of *Hes1* (Radosevic, et al., 2011). As in zebrafish, the chick *Hes1* ortholog *Hairy1* is expressed in the non-neurogenic region of the otocyst (Abello, et al., 2007). Hairy and enhancer of split (Hes) genes, which are most commonly activated by Notch signaling, encode basic helix-loop-helix proteins that repress transcription and antagonize proneural activities of the Neurogenin, Atonal, and Achaete scute families of basic helix-loop-helix proteins (Fischer and Gessler, 2007). Interestingly, *her9* represses transcription of otocyst *neurod* and *neurod4*, but not *neurog1*, whereas the *tbx1* null homozygous zebrafish mutant *van gogh* shows altered expression of otocyst *neurog1* (Radosevic, et al., 2011), which lies upstream of *neurod*. How *tbx1* suppresses *neurog1* remains unknown. Zebrafish *her9* is also required for normal levels of cell proliferation, and this may occur through inhibition of the cyclin dependent kinase inhibitor *cdkn1bl*; both *her9* and *tbx1* loss-of-function causes smaller zebrafish otocysts (Radosevic, et al., 2011). In the mouse otocyst, conditional *Tbx1* loss-of-function reduces cell proliferation through an unknown cell-autonomous mechanism (Xu, et al., 2007).

Tbx1/tbx1 homozygous null otocysts in mouse and zebrafish embryos are severely hypoplastic, and inner ear morphogenesis fails in these mutants (Arnold, et al., 2006, Jerome and Papaioannou, 2001, Piotrowski, et al., 2003, Raft, et al., 2004, Vitelli, et al., 2003, Xu, et al., 2007). This has been attributed to deficient proliferative expansion of the otocyst epithelium (Vitelli, et al., 2003, Xu, et al., 2007), as well as to altered gene expression and loss of otic progenitors by aberrant fate switching to a delaminating neural precursor phenotype (Raft, et al., 2004). Aberrantly symmetric gene expression in the early otocyst and apparent duplication of the CVG about the antero-posterior (A-P) midline of the developing ear (Fig. 4A) led to the suggestion that *Tbx1* is an intrinsic determinant of A-P patterning in the early-stage otic epithelium (Raft, et al., 2004). More recent evidence from

studies of zebrafish, chick, and mouse embryos suggests that *Tbx1* directly mediates an A-P polarizing activity of retinoic acid (RA; (Bok, et al., 2011, Radosevic, et al., 2011). Evidence indicates that this activity is independent of the hindbrain, and may derive from a posterior mesodermal/somatic RA source and anterior ectodermal RA 'sink', which flank the early-stage otic placode (Bok, et al., 2011). Using various experimental manipulations of the chick in ovo, Bok and colleagues (Bok, et al., 2011) provided evidence that RA induces *Tbx1* rapidly and independently of protein translation in the otic epithelium, suppresses neurogenic gene expression, and confers posterior identity to the inner ear (Fig. 4B). Interestingly, and in support of the idea that the otocyst is polarized by either a concentration gradient of RA or a differential time exposure of anterior and posterior otic epithelia to RA, focal pharmacologic depletion of RA anterior to the otic placode reduces *Lfng* and *NeuroD* signals in the anterior otocyst. This suggests that low concentrations or short exposures to RA are required under normal conditions to induce or maintain anterior gene expression and neurogenesis (Bok, et al., 2011).

Results just described support a long-held suspicion that the inner ear rudiment is at first equipotential along its A-P axis and later becomes compartmentalized about its A-P midline (Harrison, 1936). *Tbx1* may be one intrinsic component of a complex mechanism for converting a continuous gradient of RA into a binary state of the otocyst, namely its compartmentalization into anterior (neurogenic) and posterior (non-neurogenic) domains. This is not unlike the developmental activity of *optomotor-blind (omb)*, encoding a *Drosophila* T-box protein most closely related to *Tbx2/3* (Agulnik, et al., 1996). Each *Drosophila* larval segment is divided into anterior and posterior compartments, and the anterior compartment is itself subdivided into anterior (At, for anterior tergite) and posterior (Pt) regions based on differences in derived cell types and differential responses of At and Pt to a similarly shaped hedgehog gradient (Kopp, et al., 1997, Struhl, et al., 1997a, Struhl, et al., 1997b). *Omb* is expressed in Pt, specifies Pt identity, and is required for differential responses of At and Pt to the hedgehog gradient (Kopp and Duncan, 1997). Whether other features of the classical compartment and boundary model of *Drosophila* developmental genetics (Lawrence and Struhl, 1996) drive differentiation of the amniote ear (Fekete, 1996, Fekete and Wu, 2002) remains to be determined. To date, evidence exists for limited intermingling of cells across the interface of neurogenic and non-neurogenic regions (Abello, et al., 2007), as well as induction of new cell states at this same interface (Raft, et al., 2007), as described in the following section.

To summarize, the segregation of neurogenic otic tissue and adjacent otic tissue that will later form sensory or structural elements of the cochlea and vestibular canals appears to involve, at a minimum, the integrative effects of extrinsic Shh, Wnt, and RA signals on *Tbx1*; this restricts neurogenesis to an antero-ventral portion of the otocyst and promotes *Tbx1*-dependent growth and morphogenesis of the cochlea and vestibular canals (Funke, et al., 2001, Raft, et al., 2004). Other otic-expressed transcription factors of the *Lmx* and *Irx* classes may also contribute, by means of suppression, to the patterning of neurogenic activity (Abello, et al., 2010; Bosse, et al., 1997; Koo, et al., 2009). More recent studies indicate that spiral ganglion-derived Shh influences the timing of hair cell differentiation in the organ of Corti (Liu et al., 2010; Tateya et al, 2013; Bok et al., 2013), and provide an

example of a developmental effect brought about by signaling between neuronal and sensory components after they have been patterned and segregated into distinct structures. In the next section, we discuss genetic determinants and interactions that extinguish neurogenesis at the utricle and saccule during early stages of sensory organ development.

5. Decisions at close range: Interactions between *Neurog1* and *Atoh1* convert the neurogenic domain into sensory maculae

As stated above, new cell states emerge at a putative compartment boundary of the late-stage mouse otocyst: a small number of *Atoh1*-positive cells are first detected within and very near neurogenic domain borders (Raft, et al., 2007) (Fig. 5A). Two discrete stripes of *Atoh1*-positive cells appear, and over a period of three gestational days, these expand to form maculae of the utricle and saccule. The *Neurog1/NeuroD* domain contracts in complementary fashion (Raft, et al., 2007), much like the contraction of neurogenic domain area observed in concert with expansion of the *Tbx1* domain during an earlier phase of development. Raft and colleagues also found that *Atoh1* null homozygosity abolishes contraction of neurogenic activity in the developing utricle and saccule, and *Atoh1* heterozygosity attenuates it. Effects of *Neurog1* null homozygosity on saccular development are complicated by growth abnormalities, but expansion of the *Atoh1*⁺ utricular domain is accelerated in *Neurog1*^{-/-} mutants, and increased numbers of *Atoh1*⁺ cells are found in the *Neurog1* heterozygote utricle and saccule compared to control.

The findings just described are consistent with cross-inhibition among bHLH factors, which controls commitment of progenitor cells to alternative fates at various sites in the developing nervous system (Fode, et al., 2000, Gowan, et al., 2001). However, a puzzling feature of the present system is its developmental outcome: why does *Atoh1* extinguish *Neurog1* expression? Why do both genes' inhibitory activities not lead to a steady state of complementary co-expression? Combining mouse mutant and genetic reporter analyses, Raft and colleagues (Raft, et al., 2007) confirmed previous evidence of a rapid and potent positive auto-regulatory activity of *Atoh1* in the ear (Helms, et al., 2000, Lumpkin, et al., 2003). They also provided evidence for Notch-mediated negative auto-regulation of *Neurog1* (lateral inhibition) in the region of interest (Raft, et al., 2007). These results suggested that progenitors expressing a high amount of *Neurog1* (*Neurog1*^{high}) have an inhibitory effect on *Neurog1* accumulation in their immediate neighbors (*Neurog1*^{low}) before delaminating from the epithelium as committed neural precursors. Since delamination is continuous, the attendant release of inhibition on *Neurog1*^{low} epithelial progenitors allows for two possible outcomes: 1) continued competition amongst *Neurog1*^{low} cells for a neural fate through Notch-mediated negative auto-regulation of *Neurog1*; or 2) negative regulation of *Neurog1* by *Atoh1* and rapid *Atoh1* positive autoregulation. These considerations provide a possible explanation for the competitive advantage of *Atoh1* over *Neurog1* in the transition from neurogenesis to sensory macular development (Fig. 5B). In this model, *Neurog1* is viewed as a proneural-type gene that cell-autonomously promotes neural fate and non-cell autonomously (through Notch-mediated lateral inhibition) preserves a population of progenitor cells for subsequent sensory epithelial development (Raft, et al., 2007).

6. Neural and sensory development by Notch signaling: pacing by inhibition, prevalence by induction

Notch-mediated lateral inhibition involves juxtacrine signaling, feedback loops, and transcriptional amplification, which drive a competitive process by which one cell amongst its immediate neighbors adopts a primary fate (Hori, et al., 2013). Nearly 25 years ago, it was proposed that a mechanism of this sort might generate the precise periodic spacing pattern of hair cells and supporting cells that is characteristic of the vertebrate ear (Corwin, et al., 1991, Lewis, 1991), and several recent reviews (Kiernan, 2013, Neves, et al., 2013a) have comprehensively discussed the influence of Notch signaling on development of inner ear sensory epithelia. By the late 1990s, homologues of the canonical inhibitory Notch receptor ligand *Delta* had been localized to regions of early-stage otic neurogenesis and sensory patch formation in the chick and zebrafish (Adam, et al., 1998, Haddon, et al., 1998, Riley, et al., 1999). At the same time, mouse *Neurog1* was characterized as a positive regulator of the *Delta* homologue *Dll1*, an inhibitory target of Notch signaling, and an essential factor for neurogenesis at the otic and trigeminal placodes, thus fulfilling several criteria for consideration as a vertebrate proneural gene (Ma, et al., 1998). Proneural genes amplify lateral inhibition and serve as a ‘master switch’ for the primary cell fate (Anderson, 1997)

Evidence that lateral inhibitory Notch signaling controls the pace of neural and sensory cell differentiation was obtained in the first genetic proof that Notch signaling influences ear development. The zebrafish mutant *mind bomb* (Jiang, et al., 1996, Schier, et al., 1996), now known to be deficient in an E3 ubiquitin ligase required for Delta-induced Notch receptor activation (Itoh, et al., 2003), was found to have twice the normal number of CVG neurons, a gross overabundance of hair cells at the expense of supporting cells, and increased expression *Dll1* and *Jag2* Notch ligand homologues (Haddon, et al., 1998). Overabundance of hair cells occurs early in development of the *mind bomb* ear; these hair cells are subsequently extruded from the epithelium (Haddon, et al., 1999), and late-developing hair cells never form in the *mind bomb* mutant. This led Haddon and colleagues to suggest that one role of Delta-mediated lateral inhibitory Notch signaling is to maintain a population of competent progenitor cells for hair cell generation over a protracted period (Haddon, et al., 1998).

Since neurogenesis precedes hair cell formation in the mouse (Carney and Silver, 1983) and chick (Adam, et al., 1998), Haddon and colleagues also raised the question of how a gross overproduction of CVG neurons in *mind bomb* mutants can still preserve sensory-competent progenitors for precocious overproduction of hair cells. As discussed in a subsequent section, it is now known that temporal and spatial relationships between neurogenesis and sensory patch formation in the zebrafish differ from those in chicken and mouse embryos. Nevertheless, the question proved prescient, as a more recent study of *Dll1* conditional inactivation in the mouse (Brooker, et al., 2006) has found the utricular and saccular maculae to be absent or hypoplastic, and early-stage CVG volume to be increased compared to wild-type. Of the six sensory patches in the *Dll1* mutant ear, only maculae are deficient in hair cells. Hair cells in the *Dll1* mutant organ of Corti (cochlea) are produced prematurely

and in excess, and a hair cell:supporting cell ratio for the organ of Corti is increased compared to wild-type. Similar results are obtained by pharmacologic blockade of Notch signaling in the chicken embryo, which causes increased expression of the *Delta* homologue, an increase in the proportion of anterior otocyst cells that adopt a neural fate, and a focal disruption of epithelial structure in the anterior otocyst (Daudet, et al., 2007). These results are consistent with fate mapping of neurogenic epithelium solely to the maculae (among sensory patches) in mice (Koundakjian, et al., 2007, Raft, et al., 2007), and suggest that Delta-Notch-mediated lateral inhibition provides a pacing mechanism for sequential and partially overlapping phases of neurogenesis and sensory macular development.

Evidence suggests that an alternate mode of Notch signaling, lateral induction (Baker and Yu, 1997, Li and Baker, 2001), specifies a state of sensory competence in regions that will generate hair cells and supporting cells (Daudet, et al., 2007, Daudet and Lewis, 2005, Eddison, et al., 2000, Hartman, et al., 2010, Neves, et al., 2011). Lateral induction is a positive feed-forward mechanism in which ligand-mediated Notch activation up-regulates ligand expression in the activated cell. Ligand-Notch receptor juxtacrine signaling propagates activation to neighboring cells, which, in theory, allows for the creation of an equivalence group rather than the breaking of equivalence, as in the case of lateral inhibition. Studies in both chick and mouse implicate the ligand *Jagged1/Serrate1* in this process: in both species, *Jagged1/Serrate1* expression occupies the broad domain of neurosensory competence in the otocyst (Fig. 2), which then splits and foreshadows the positions of discrete sensory epithelia (Adam, et al., 1998, Cole, et al., 2000, Daudet, et al., 2007, Kiernan, et al., 2006); in both species, Notch-mediated positive regulation of *Jagged1/Serrate1* expands regions of sensory competence (Daudet, et al., 2007, Daudet and Lewis, 2005, Eddison, et al., 2000, Hartman, et al., 2010); over-expression of human *JAGGED1* in the chicken otocyst induces expression of *Sox2*, a mediator of sensory competence (see below), in a Notch-dependent manner and promotes ectopic sensory structures (Neves, et al., 2011); and conditional inactivation of *Jagged1* in the mouse otocyst causes severe deficiencies or absence of hair and supporting cells (except in the saccular macula; (Brooker, et al., 2006, Kiernan, et al., 2001, Kiernan, et al., 2006). At otocyst stages, the normal domain of neurogenesis closely resembles the pattern of *Lunatic Fringe (Lfng)* expression (Raft, et al., 2004). The *Jag1* conditional knock-out otocyst shows a reduced size of the *Sox2* and *Lfng* domains, reduced numbers of Neurog1⁺ cells in the epithelium, and reduced CVG volume compared to wild-type, whereas the mutant otocyst is of normal size and shows no apparent defects in proliferation or apoptosis at the relevant stage (Pan, et al., 2010). Thus, for both otic neurogenesis and sensory epithelial generation, Notch signaling may first establish a region of developmental competence by lateral induction and then control the fine-grained patterning of cell types and/or pace of differentiation by lateral inhibition. Intriguingly, these roles are associated with different ligands, although other molecular differences undoubtedly remain to be discovered.

7: Sox2: A protagonist and antagonist of neuronal and sensory development

The previous sections have considered cell-intrinsic factors, long-range and contact-mediated signaling systems, and patterning mechanisms that regulate otic neurogenesis, with a particular emphasis on relationships between neurogenesis and sensory organ development. However, it is still an open question as to what factors provide the neural-sensory domain with competence to respond to neuronal or sensory-inducing signals at particular times in ear development. Accumulating evidence suggests that Sox2, an HMG box transcription factor belonging to the B1 family of Sox proteins, may be one such factor. During ear development, Sox2 is initially expressed in regions of the otocyst that will give rise to both sensory and non-sensory components (Neves, et al., 2007). It then becomes restricted to the neural-sensory competent domain, its expression persists in prosensory patches after neurogenesis has ceased (Adam, et al., 1998, Alsina, et al., 2009, Dabdoub, et al., 2008, Eddison, et al., 2000, Kiernan, et al., 2005, Kiernan, et al., 2006, Neves, et al., 2007, Neves, et al., 2011, Ohyama, et al., 2010, Puligilla, et al., 2010), and it continues to be expressed in supporting cells after hair cells differentiate (Hume, et al., 2007, Oesterle, et al., 2008). Sox2 mRNA and protein are down-regulated in differentiating neurons and hair cells (Evsen, et al., 2013, Neves, et al., 2007, Oesterle, et al., 2008), although unlike neurons, low levels of transient Sox2 can still be detected in nascent hair cells (Hume et al., 2007). Loss of Sox2 in the Sox2^{Lcc} mutant causes an almost complete loss of both neuronal and sensory components of the inner ear (Kiernan, et al., 2005, Puligilla, et al., 2010), suggesting that it is necessary for the differentiation of both tissue types. However, further analyses of Sox2 function in the ear using gain of function approaches have provided a more nuanced understanding of its function in the neural-sensory competent domain, and we will first discuss its role in neurogenesis.

Down-regulation of Sox2 in delaminating otic neuroblasts suggests that Sox2 expression is inhibited by the neurogenic genes *Neurog1* and *NeuroD*. Indeed, both bHLH factors can bind and negatively regulate a Sox2 enhancer, *Nop1*, which drives reporter gene expression in the chicken otocyst (Evsen, et al., 2013). Constitutive expression of Sox2 in the early chick otocyst can also block neurogenesis and leads to a markedly reduced VIIIth cranial ganglion (Evsen, et al., 2013). However, this negative regulation of neuronal production is very dependent on the precise cellular context, as similar over-expression in the chick inner ear just 24 hours later – once sensory cell differentiation is underway – results in a significantly enlarged ganglion (Neves, et al., 2011). Elevated expression of Sox2 in non-sensory regions of the cochlea can also promote neurogenesis, either alone (Puligilla, et al., 2010) or in concert with additional factors such as Six/Eya and elements of the SWI/SNF chromatin remodeling complex (Ahmed, et al., 2012b). Interestingly, the recent study by Evsen and colleagues (2013) and two other recent studies (Jeon, et al., 2011, Neves, et al., 2011) showed that although Sox2 over-expression inhibited the formation of delaminated neurons, it up-regulated expression of *Neurog1*, and data from ES cells suggest this up-regulation may be direct (Cimadamore et al, 2011). Together, these data suggest that in the early otocyst, Sox2 participates in the up-regulation of *Neurog1*, likely in co-operation with Six1 and Eya1 (Ahmed, et al., 2012b), and that Neurog1, together with its target NeuroD,

exert negative feedback on the *Sox2* locus to extinguish its expression in committed neuroblasts (Fig 6).

Sox2 is also necessary for the differentiation of hair cells and supporting cells and the specification of prosensory patches (Kiernan, et al., 2005). However, as seen during neurogenesis, ectopic expression of *Sox2* can either promote or inhibit sensory cell formation depending on the context. For example, electroporation of *Sox2* into the chicken otocyst can expand existing neurosensory patches and convert non-sensory tissue into neurosensory patches (Neves, et al., 2011). Similarly, electroporation or inducible transgenic activation of *Sox2* in the mouse inner ear is also capable of generating small regions of hair cells and supporting cells from non-sensory tissue (Ahmed, et al., 2012a, Ahmed, et al., 2012b, Pan, et al., 2013). However, while *Sox2* can promote *Atoh1* expression, it also inhibits subsequent hair cell differentiation (Ahmed, et al., 2012a, Dabdoub, et al., 2008) – in other words, it appears to promote the formation of prosensory cells that can express *Atoh1*, but it inhibits their differentiation into *bona fide* hair cells. Interestingly, *Sox2* and *Six1* binding sites have recently been identified in the *Atoh1* autoregulatory enhancer (Ahmed, et al., 2012a, Neves, et al., 2012), and the presence of these sites may explain why *Sox2* and *Six1* are able to co-operate to up-regulate *Atoh1* expression. However, it appears that down-regulation of *Sox2* in hair cell progenitors (Hume, et al., 2007) is necessary for their full differentiation, and it is likely that *Atoh1* is one factor that leads to *Sox2* extinction in hair cells (Dabdoub, et al., 2008). *Sox2* thus appears to function as a pivotal factor in determining neurosensory competence: it can up-regulate genes involved in triggering neuronal and hair cell differentiation – i.e., *Neurog1* and *Atoh1* – but these factors then feed back to inhibit *Sox2* expression before neuronal or hair cell differentiation has commenced. Recently, a model for the action of *Sox2* has been proposed that invokes *Sox2* as a driver of incoherent feed-forward loops in both neuronal and sensory differentiation (Neves, et al., 2013b). In this model, *Sox2* both activates expression of *Neurog1* and *Atoh1* and simultaneously activates transcriptional repressors of these two genes. A number of candidate repressors have been proposed, such as members of the *Hes* and *Hey* gene families, or the *Id* family of bHLH repressors (Neves, et al., 2013b). A slight imbalance between neurogenic and sensory signals allows some neuroblasts to begin expressing *Neurog1*, which in turn drives expression of Notch ligands such as *Dll1*, which act to keep *Atoh1* repressed in neurosensory progenitors. The transition from neurogenic to sensory progenitors allows down-regulation of *Sox2* and the activation of *Atoh1* in differentiating sensory cells (Neves, et al., 2013b; Fig. 6).

What signals promote *Sox2* expression in this model? *Sox2* is initially expressed quite broadly in the otocyst before becoming refined to the neural-sensory competent domain (Neves, et al., 2007, Neves, et al., 2011). *Sox2* can be induced by *Sox3*, which is one of the first markers of the developing otocyst (Abello, et al., 2010, Neves, et al., 2007) and the expression of which is established by both BMP and FGF family signals (Abello, et al., 2010). It is not clear whether *Sox2* induction is simply an indirect consequence of *Sox3* expression in response to these signals, or whether other signals can induce *Sox2* expression independently. Regardless, it is very clear that once initiated, the maintenance of *Sox2* in neurosensory progenitors is controlled by Notch signaling through the *Jagged1* ligand

(Alsina, et al., 2009, Kiernan, 2013, Neves, et al., 2013a). As evidence of this, inhibition of Notch signaling or inactivation of *Jag1* down-regulates prosensory genes in the ear, leading to a reduction or loss of hair cells (Brooker, et al., 2006, Daudet, et al., 2007, Hayashi, et al., 2008, Kiernan, et al., 2006, Tsai, et al., 2001). The one exception to this appears to be the prosensory region of the future organ of Corti (Basch, et al., 2011, Yamamoto, et al., 2011). Conversely, activation of Notch signaling – for example by over-expression of its intracellular domain – results in an up-regulation of both *Jag1* and *Sox2* in a manner consistent with a lateral inductive mode of Notch activity (Daudet and Lewis, 2005, Hartman, et al., 2010, Neves, et al., 2011, Pan, et al., 2013, Pan, et al., 2010), although the ability of Notch activation to promote prosensory tissue is clearly age-dependent (Basch, et al., 2011, Liu, et al., 2012a, Liu, et al., 2012b, Pan, et al., 2013). It is possible that the maintenance of *Sox2* expression by Notch signaling is direct, as has been shown in other systems (Ehm, et al., 2010).

What is *Sox2*'s role in the transition from neuronal production to hair and supporting cell production? As described above, the presence of co-operating factors such as the SWI/SNF chromatin remodeling complex can determine whether *Sox2*, *Six1* and *Eya1* promote neuronal differentiation over sensory cell production (Ahmed, et al., 2012a, Ahmed, et al., 2012b), and the down-regulation of this complex may explain why *Sox2*-expressing cells in the neural-sensory competent domain cease committing to a neural fate. A number of studies have shown that both *Neurog1* and *NeuroD* can negatively regulate *Atoh1* expression, and that loss of these factors can lead to ectopic up-regulation of *Atoh1* in sensory regions of the ear (Raft, et al., 2007) or in the VIIIth ganglion itself (Jahan, et al., 2012). A recent demonstration of *Atoh1* autoregulatory enhancer activity in the early chick otocyst (Neves, et al., 2013b) suggests that the competition between neurogenic and sensory transcriptional regulators is already present at this stage. It is also possible that additional factors co-operating with *Sox2* in neurogenesis are lost as ear development proceeds. It is notable in this context that replacement of the *Atoh1* coding region by *Neurog1* in mice does *not* transform sensory progenitor cells into neurons (Jahan, et al., 2012), suggesting that these progenitors can no longer initiate a neurogenic program. One example of a possible co-operating factor is the related *SoxB1* factor *Sox3*, which is also expressed in the early otocyst but is down-regulated as neurogenesis declines and is absent from prosensory patches (Abello, et al., 2010, Neves, et al., 2007). Since related *SoxB1* factors can co-operate as homo- or heterodimers in a number of different systems (Archer, et al., 2011, Bernard, et al., 2003, Genzer and Bridgewater, 2007, Peirano and Wegner, 2000), it is possible that the combined action of *Sox2* and *Sox3* is necessary for neurogenesis and that down-regulation of *Sox3* from the neural-sensory competent domain renders it unable to generate neurons.

8: Patterning neurons and sensory cells in the zebrafish – same players, different rules?

Although the mechanisms causing *Sox2*-expressing progenitors in the amniote otocyst to adopt a neural or sensory fate at different developmental stages are not fully understood, it is important to stress that the order of cell production – neurons before hair cells and

supporting cells – is not constrained across phylogeny. In the final section of the review we discuss recent work on neurosensory differentiation in the zebrafish, in which the temporal sequence of neuronal and hair cell differentiation is reversed compared to amniotes. Complicating our understanding of the zebrafish ear is the fact that zebrafish have two atonal homologues, *atoh1a* and *atoh1b* (Adolf, et al., 2004, Itoh and Chitnis, 2001, Millimaki, et al., 2007, Whitfield, 2002, Whitfield, et al., 2002). *Atoh1b* is expressed widely and early in the otic placode at 10 hours post fertilization (hpf), prior to the differentiation of neurons and hair cells, and its expression is later restricted to two patches of future sensory epithelia (Millimaki, et al., 2007). *Atoh1b* is necessary for the development of tether cells, precocious hair cells that seed and localize the formation of otoliths (Millimaki, et al., 2007, Riley, et al., 1997), but *Atoh1b* is dispensible for development of the majority of later-forming hair cells in the ear and lateral line neuromasts (Millimaki, et al., 2007). *Atoh1a* is expressed later in development (at 14hpf) in the progenitors of the majority of hair cells and lateral line neuromasts (Itoh and Chitnis, 2001, Millimaki, et al., 2007, Whitfield, 2002, Whitfield, et al., 2002). Knockdown of both genes in zebrafish eliminates both early- and late-developing hair cells (Millimaki, et al., 2007), while ectopic expression of *atoh1a* causes expanded regions of hair cells in the zebrafish ear that would normally differentiate as non-sensory tissue (Millimaki, et al., 2007, Sweet, et al., 2011). In contrast with amniotes, neurons of the zebrafish statoacoustic (SAG) ganglion begin to differentiate shortly after the expression of *atoh1a*, at 16hpf. The first sign of SAG neurogenesis is the expression of *neurog1*, which is followed by the delamination of neuroblasts and their down-regulation of *neurog1* and up-regulation of *neurod*. Neuroblast delamination occurs for the next 24 hours and is followed by a period during which these cells proliferate and differentiate into mature neurons (Radosevic, et al., 2011, Vemaraju, et al., 2012).

The most striking difference in molecular regulation of neurosensory differentiation between zebrafish and amniotes is in the expression and function of Sox2. Unlike amniotes, zebrafish *sox2* is not expressed early and broadly in the otocyst – rather it is first up-regulated in the developing maculae after the induction of *atoh1a* and *atoh1b* (Millimaki, et al., 2010). As might be expected from such a reversal of expression timing, knockdown of zebrafish *sox2* with morpholinos does not block the induction of sensory tissue or expression of *atoh1a* or *b*, although *sox2* does appear to be necessary for the subsequent survival of hair cells (Millimaki, et al., 2010). Moreover, although heat shock activation of *sox2* can increase the number of hair cells generated within sensory maculae, it does not lead to the production of ectopic regions of sensory cells (Millimaki, et al., 2010). In contrast, ectopic expression of *atoh1a* in the zebrafish ear is capable of generating sensory tissue and hair cells from regions of the otocyst that would normally produce non-sensory derivatives (Sweet, et al., 2011). This broad competence declines with age, although it can be augmented by co-activation of either *sox2* or *fgf8* (Sweet, et al., 2011).

These results suggest the intriguing possibility that the proneural functions of *Atoh1* and *Sox2* have been transposed in the course of amniote evolution. In fish, *atoh* genes act as *bona fide* proneural genes (Hassan and Bellen, 2000) – their expression precedes and coincides with the selection of sensory progenitors (Itoh and Chitnis, 2001, Millimaki, et al., 2007, Whitfield, 2002, Whitfield, et al., 2002), their expression is regulated by Notch

signaling (Millimaki, et al., 2007) and their function is both necessary and sufficient for sensory cell development (Millimaki, et al., 2007, Sweet, et al., 2011). However, zebrafish *sox2* fails to fulfill all these criteria. In amniotes, *Sox2* seems to fulfill the criteria of a proneural gene better than *Atoh1* in terms of its expression (Neves, et al., 2007, Neves, et al., 2011), regulation by Notch signaling (Hartman, et al., 2010, Neves, et al., 2013a, Pan, et al., 2013, Pan, et al., 2010), and its necessity and sufficiency for sensory cell development (Kiernan, et al., 2005, Neves, et al., 2012, Neves, et al., 2013b, Pan, et al., 2013). *Atoh1*, in contrast, is expressed in hair cell progenitors shortly before their differentiation, but not broadly in prosensory regions (Cai, et al., 2013, Driver, et al., 2013, Yang, et al., 2010). Although ectopic expression of *Atoh1* can induce hair cells for an extended period of time during development (Gubbels, et al., 2008, Kelly, et al., 2012, Liu, et al., 2012a, Zheng and Gao, 2000), it cannot directly induce prosensory tissue or supporting cells. Given the observed cross-regulatory relationships between *Atoh1* and *Sox2* in amniotes, as well as evidence of *Sox2* binding to the *Atoh1* autoregulatory enhancer (Ahmed, et al., 2012a, Ahmed, et al., 2012b, Neves, et al., 2012), it will be interesting to determine to what extent these relationships are present in fish. For example, although *Sox2* over-expression can directly inhibit *Atoh1* expression and hair cell formation in mouse (Dabdoub, et al., 2008), current evidence suggests this may not be the case in fish (Millimaki, et al., 2010), although it is possible that the precise timing of over-expression may affect the outcome in these experiments (for example, (Neves, et al., 2013a, Neves, et al., 2013b).

Despite these clear and surprising differences in factors mediating neurosensory specification in amniotes and anamniotes, the function of other regulatory factors appears to be at least superficially conserved. For example, *Six1* is expressed early in the developing amniote otocyst (Xu, et al., 1999, Zheng, et al., 2003, Zou, et al., 2004, Zou, et al., 2006) and is maintained in sensory regions later in development (Ahmed, et al., 2012a). In cooperation with *Eya1* and *Sox2*, it is capable of promoting both neuronal and sensory cell formation depending on the cellular context and the presence of appropriate chromatin remodeling factors (Ahmed, et al., 2012a, Ahmed, et al., 2012b). Similarly, zebrafish *six1* is also expressed broadly in the otic placode and later becomes restricted to the ventral region of the otocyst where neurosensory differentiation occurs (Bessarab, et al., 2004, Bricaud and Collazo, 2006). Knock down of *six1* results in a reduction in hair cell numbers and an increase in the size of the statoacoustic ganglion; conversely, over-expression of *six1* results in an increase in hair cells and fewer SAG neurons (Bricaud and Collazo, 2006). These changes are not due to alterations in cell fate; rather, *six1* appears to regulate cell survival and cell proliferation. Interestingly, it affects these two processes differently in the two lineages: *six1* regulates proliferation in hair cell progenitors and regulates survival of neuroblasts (Bricaud and Collazo, 2006). It does so by having a different mode of transcriptional regulation in each lineage: in the sensory lineage it partners with *eya* proteins to activate genes involved in cell proliferation, whereas in the neuronal lineage it partners with *groucho* co-repressors to properly restrict the domain of neurogenesis (Bricaud and Collazo, 2011). Other evidence suggests that transcriptional events occurring very early in zebrafish ear development biases the neuronal-sensory fate decision. For example, a recent study suggests that the transcription factors *foxi1* and *dlx3b* may promote neural and sensory fates, respectively. Morpholino knockdown of either *foxi1* or *dlx3b/4b* results in otocyst

hypoplasia, and *foxi1* morphants fail to generate neurons, whereas *dlx3b/4b* morphants fail to generate sensory cells (Hans, et al., 2013). Intriguingly, these results trace transcriptional inputs for neural and sensory cell generation to pre-placode stages of zebrafish ear development.

9. Conclusions and questions

The evolution of the vertebrate octavolateralis system – the inner ear and lateral line – has witnessed both addition and loss of sensory structures in different groups (Beisel, et al., 2005, Fritsch and Straka, 2014), but commonalities across taxa are clear. All vertebrate inner ear sensory organs consist of secondary receptor (hair) cells specified by *atonal* class bHLH factors. Hair cells are innervated by sensory neurons that require the closely related but functionally non-redundant *Neurog/NeuroD* bHLH factors for their specification. Presently, we do not know whether a similar molecular scheme operates in non-vertebrate chordates that use primary and secondary mechanoreceptive cells to detect water flow (Burighel, et al., 2008), and it will be of great interest to determine whether neurons and mechanoreceptive cells in these animals are generated by the same developmental logic we have described here. The weight of current evidence suggests that although all vertebrate neurons and sensory cells derive from a common neural-sensory competent domain, only the nascent gravity-detecting organs – the utricular and saccular maculae – contain bipotential progenitor cells capable of forming both cell types. It is not yet clear whether maculae represent the most basal form among vertebrate inner ear sensory structures; cristae (and certainly the papilla of the amniote cochlea) may be more derived structures (Hammond and Whitfield, 2006, Maklad, et al., 2014) that co-opted an existing pool of neural progenitors to communicate with the CNS.

Although the factors regulating neurogenesis and sensory cell production are becoming better understood, mechanisms regulating the transition between these two programs of differentiation are less well understood. As we have described, a number of processes may be involved – for example, cessation of neurogenic competence may correlate with down-regulation of context-dependent factors that interact with Sox2, such as Sox3 and the Swi/SNF chromatin remodeling complex (Ahmed, et al., 2012b, Neves, et al., 2007), or with the inherent competitive disadvantage of neurally-determined cells as a result of their delamination from the sensory epithelium (Raft, et al., 2007). Mechanisms underlying the reverse transition from sensory cell to neuronal production in zebrafish are also currently unclear. The challenge for the immediate future is to better understand how changes in the external signaling environment of the developing otocyst interact with positive and negative transcriptional regulators and competence factors to switch from one developmental module to another.

Supplementary Material

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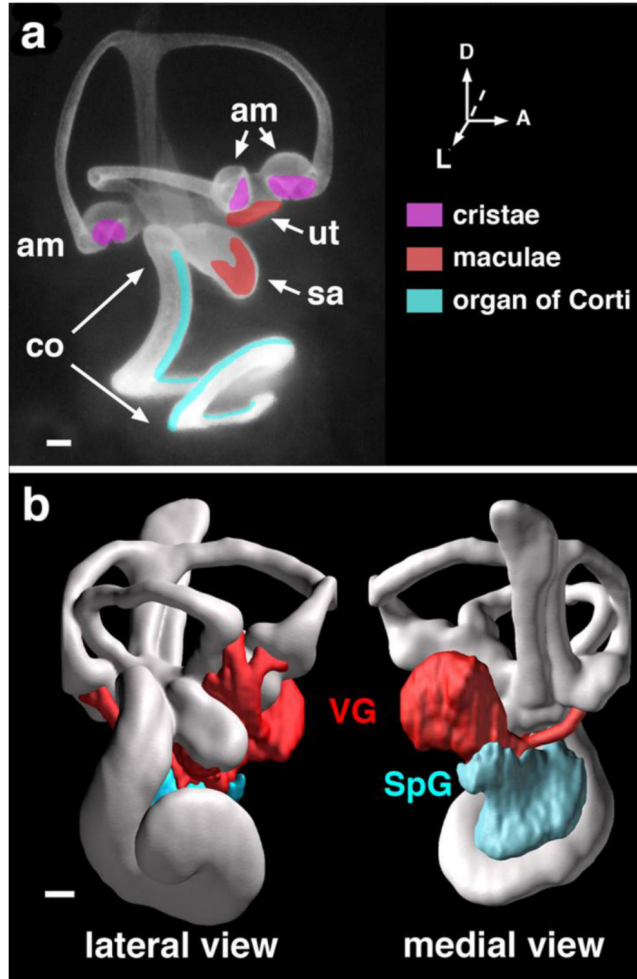


Figure 1. Inner ear sensory regions and their innervation by spiral (cochlear) and vestibular ganglia

(A) An embryonic day 15.5 mouse inner ear that has been fixed, cleared and its cavity filled with paint (Kiernan, 2006) to reveal the three-dimensional interior of the epithelial labyrinth. Sensory structures of the epithelium are shaded as shown in the accompanying key: three ampullae (am) contain sensory cristae (magenta); the utricle (ut) and saccule (sa) each contain a sensory macula (red), and the cochlea (co) contains the sensory organ of Corti (cyan). The panel is modified from (Groves and Fekete, 2012). (B) Space filling models offering lateral and medial views of an embryonic day 13.5 inner ear epithelial labyrinth and VIIIth ganglion (CVG) components. The CVG comprises the vestibular ganglion (VG), which innervates cristae and maculae, and the spiral ganglion (SpG), which innervates the organ of Corti. A portion of the panel is modified from (Raft, et al., 2004). Scale bars in (A) and (B) = 100 micrometers.

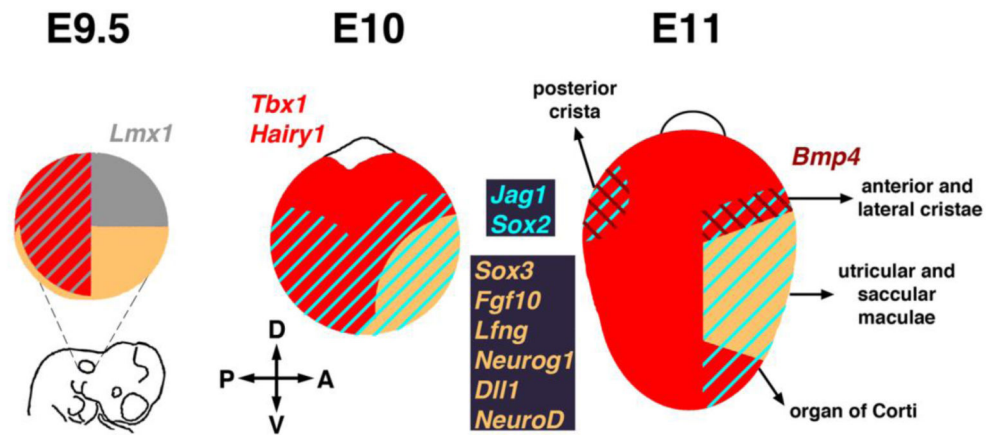


Figure 2. Neurogenic patterning in the otocyst epithelium

Schematized lateral surface views of the otocyst show developmentally relevant domains of gene expression across a 36-hour period in mouse mid-gestation. Features of the medial otocyst are not represented, except for the posterior 'tail' of the neurogenic region (beige), shown as a slim crescent in the E9.5 schematic. Mouse developmental stages are indicated at top. Genes expressed within or outside the region of neurogenesis are color-coded to the diagrams. Genes are culled from published data on both mouse and chicken (see text for details). Lack of a gene's depiction at any particular stage does not imply its absence, but rather that its expression cannot be correlated to the scheme shown here given currently available data. Inner ear derivatives of the E11 otocyst are shown at right.

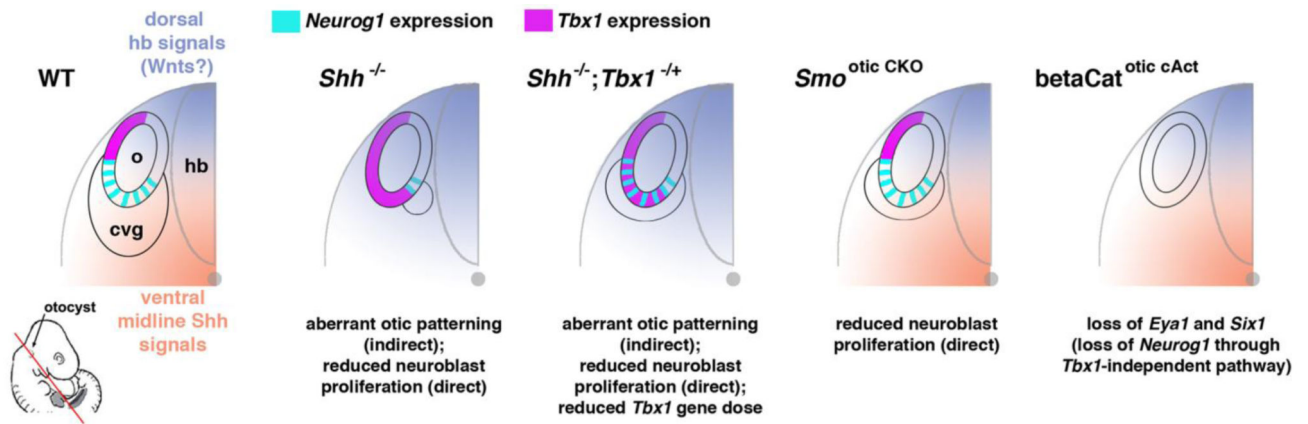


Figure 3. Direct and indirect effects of extrinsic signals on otic neurogenesis, as determined by phenotypes of targeted mouse mutants

Graphic representations schematize the otocyst (o) and cochleo-vestibular ganglion (cvg) in relation to the hindbrain (hb) and notochord (dot), as seen in transverse section through the embryo (bottom left). Graded colors represent presumptive gradients of Shh and Wnt proteins emanating from the ventral and dorsal midline, respectively, and their alteration in *Shh*^{-/-} embryos. *Neurog1* and *Tbx1* expression in the otocyst epithelium is color coded according to the key at top. The *Smo*^{otic CKO} mouse conditionally inactivates the *Shh* transducer *Smoothed* in the otocyst epithelium. The *betaCat*^{otic cAct} mutant conditionally expresses an activated form of the Wnt signaling transducer beta-catenin in the otocyst epithelium. Interpretations of phenotypes are specified below each graphic. Results (Brown and Epstein, 2011; Freyer and Morrow, 2010; and Ohyama, et al., 2006) are discussed in greater detail in the text.

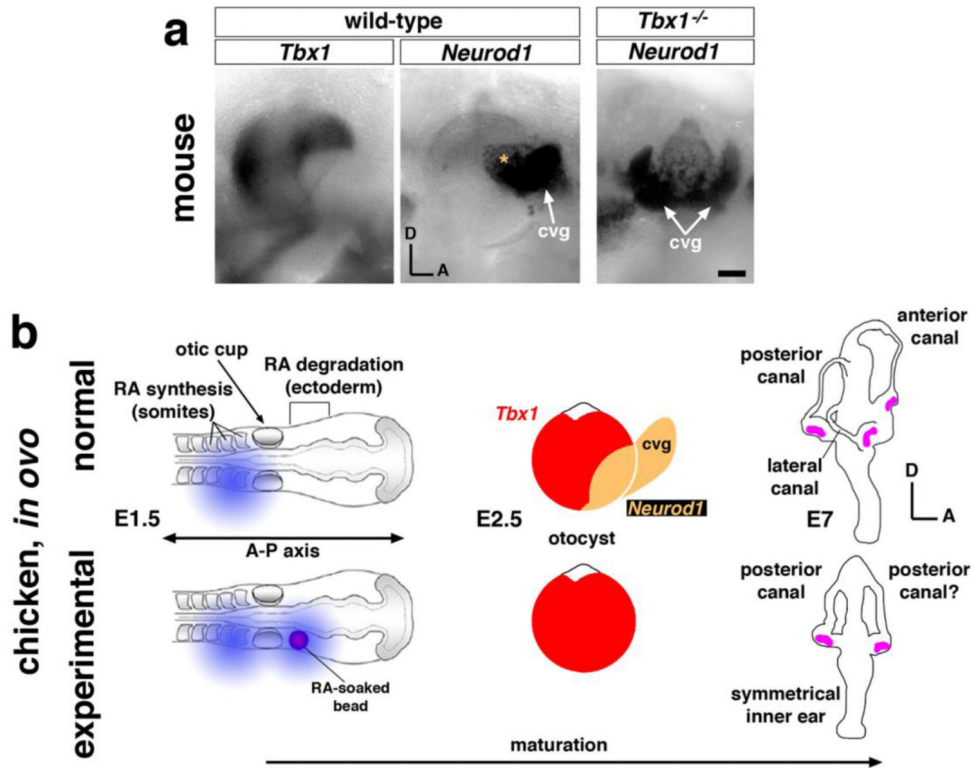


Figure 4. *Tbx1* is an otocyst-intrinsic repressor of neurogenesis and mediator of posteriorizing signals

(A) Lateral surface views of E11 otocysts in whole mount-hybridized wild-type and *Tbx1*^{-/-} embryos. The beige asterisk highlights *Neurod1* signal within the normal otocyst epithelium, which has well-defined borders and is complementary to the domain of otocyst *Tbx1* expression. The developing cochleo-vestibular ganglion (cvg) is seen as a dense ovoid adjacent to the anterior otocyst. The *Tbx1*^{-/-} cvg is duplicated about the otocyst antero-posterior midline, and the otocyst is severely hypoplastic. Scale bar = 50 micrometers. Panels are modified from (Raft, et al., 2004) (B) A source and ‘sink’ model of RA availability to the normally-developing chicken otic epithelium (top) and one example of a perturbation supporting the conclusion that RA induces *Tbx1* and promotes posterior otic character (bottom) (Bok, et al., 2011). At left are depictions of the anterior chicken embryo in dorsal view; experimental (bead) and presumptive endogenous (somites) sources of RA are highlighted in blue. At middle, *Neurod1* and/or *Tbx1* expression patterns in the otocyst are depicted. At right are depictions inner ear morphology from end-point analyses. Cristae are highlighted in magenta to further underscore the anatomical symmetry resulting from placing an RA-soaked bead anterior to the otic cup.

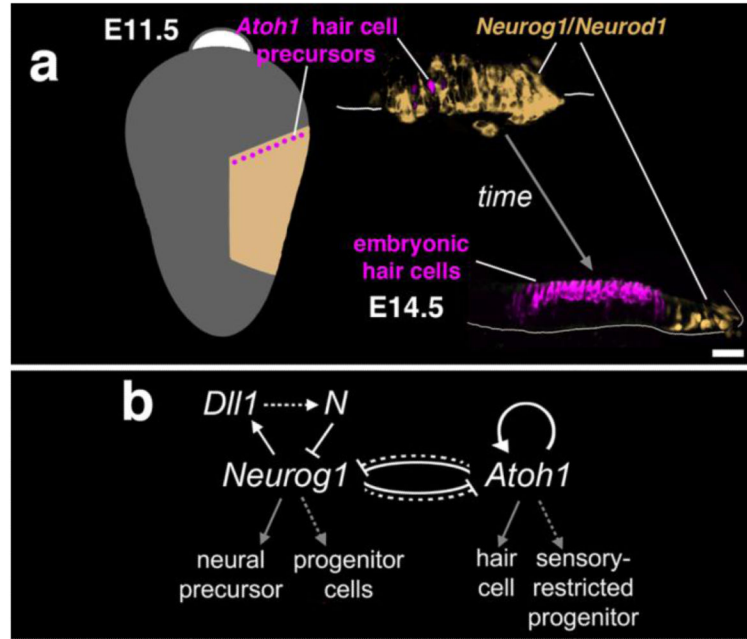
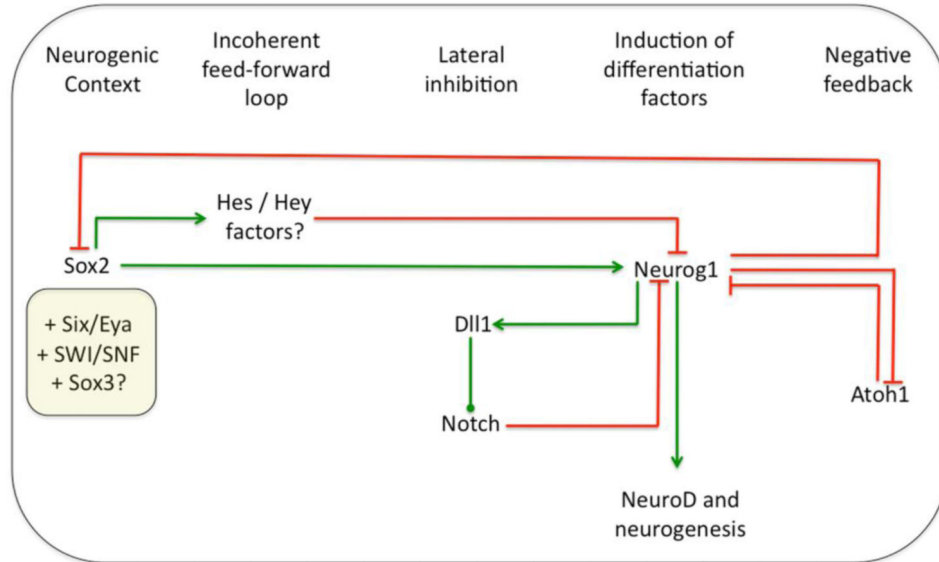


Figure 5. Transformation of the neurogenic region into sensory maculae, bHLH gene cross-inhibition, and differential autoregulation

(A) At left, a schematized E11.5 otocyst, viewed laterally, shows a stripe of *Atoh1*-positive cells (magenta) at a border of the neurogenic domain (beige). At right is a cross-section through the stripe (E11.5) and a cross-section through a corresponding region of the E14.5 ear (utricle) showing embryonic hair cells (magenta) and a residual domain of neurogenesis (beige). Genetic fate mapping indicates that the region of embryonic hair cells was neurogenic at prior stages (Raft, et al., 2007). Scale bar = 25 micrometers. (B) Genetic interactions and cell fates associated with the neurogenic-to-sensory macular transformation, adapted from (Raft, et al., 2007). Dotted lines indicate non-cell autonomous interactions or outcomes; solid lines indicate cell autonomous interactions or outcomes. The precise mechanisms by which *Neurog1* and *Atoh1* cross-inhibit remain unknown. *N*, Notch receptor. See text for further details.

Regulatory Logic in Neurogenic Cells



Regulatory Logic in Prosensory Cells

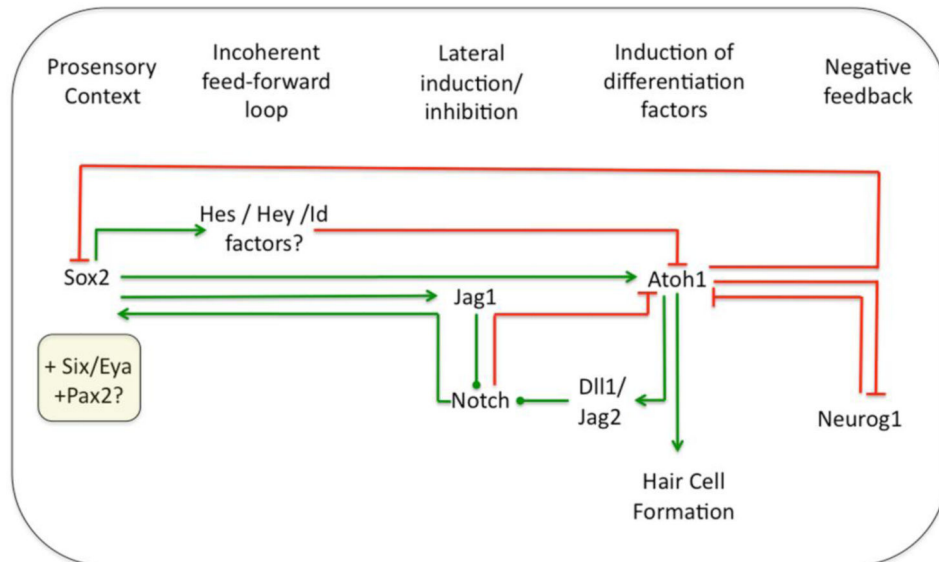


Figure 6. Common features of regulatory logic in neurogenic and prosensory differentiation
 During neurosensory differentiation, Sox2 operates with different partners to promote differentiation of neurons and sensory cells. In both cases, evidence suggests that Sox2 can directly induce differentiation genes (*Neurog1* in neurons, *Atoh1* in hair cells), but can also attenuate the degree or timing of their expression by inducing negative regulators, such as members of the *Hes/Hey* or *Id* gene families in an incoherent feed-forward loop (Neves et al., 2013b). During neurogenesis, *Neurog1*⁺ cells laterally inhibit their neighbors through expression of Dll1; in sensory regions, *Atoh1*⁺ cells laterally inhibit their neighbors through expression of Dll1 and Jag2. However, in prosensory tissue, Sox2 also induces the expression of Jag1, which then feeds back to maintain Sox2 through lateral induction. Differentiating hair cells and neurons also repress Sox2 through negative feedback loops; in

the case of neurons, both Neurog1 and NeuroD directly inhibit Sox2 through the Nop1 enhancer (Evsen, et al., 2013). Green arrows represent positive genetic regulation through direct or indirect means; green circles indicate a positive signal through a Notch ligand and receptor; red bars indicate direct or indirect inhibition.