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## A Functional Framework for Interpretation of Genetic Associations in T1D

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### Abstract

Susceptibility to type 1 diabetes is attributable to genes that link disease progression to distinct steps in immune activation, expansion, and regulation. Recent studies illustrate examples of disease-associated variants that function in multiple cell types and independent pathways, some that impact different steps of a single mechanistic pathway, and some that are functionally interactive for deterministic events in setting thresholds for immune response.

### Introduction

Genes associated with common immune mediated diseases are normal genes. They vary as a natural consequence of the challenging and changing immunological environment of the human population. We begin this brief review with three key concepts that underlie this basic tenet:

1. Genetic variation drives functional diversity fundamental to creating a broad range of immune response within the human population. Hundreds of genes of immunological relevance participate in creating this spectrum of response, sometimes with multiple variants of each participating gene. The resulting profile of genetic diversity represents a dynamic mosaic that is manifest as a distribution of immune responses within any population dependent on the type of stimulus.
2. Biologically critical functions are often protected by redundancy. As a result, deviation in function of a single element in the immunological mosaic is often tolerated, even when there is a corresponding change in some important parameter, such as an activation threshold or in the level of an effector response.
3. Pathways that drive most immunological functions are composed of interdependent molecules such that a change in one molecule within a pathway can be functionally equivalent to a change in another molecule elsewhere within that pathway;

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conversely, a change in one molecule can be compensated for by another. As a result, there may be genetically distinct variants that have similar consequences, and functional outcomes depend on interactions among multiple genetic variants in a single pathway.

Some of these normal genetic variants achieve the distinction of being called disease-associated genes, such as a genome-wide association study (GWAS) “hit” by virtue of being common in the population and statistically associated with a clinical diagnosis. In this review, we highlight selected examples of genes strongly associated with type 1 diabetes (T1D) that illustrate these points.

## HLA

Extensive population genetic studies over the last 30 years have documented the primary role of two particular HLA-DQ heterodimers, DQA301/DQB302 and DQA501/DQB302, responsible for the strongest associations with T1D [1–3]. Numerous other HLA genes modify the strength of disease association [4–7], presumably due to their ability to bind and present specific peptides to antigen-restricted T cells, directly linking precise genetic polymorphisms to the functional attribute of antigen recognition.

In a number of experimental systems, the trimolecular structures for autoreactive T cell receptor (TCR) engaging cognate disease-associated major histocompatibility complex (MHC) and peptide molecules assumes a skewed or incomplete interface, potentially indicating that recognition occurs via a nontraditional interaction with low native avidity [8–10]. Consistent with this interpretation, visualization studies of the molecular architecture for disease-associated trimolecular interactions, using three T1D-related T cell clones, found initial MHC-peptide contacts failed to lead to sustained clustering within the T cell supramolecular activation complex (SMAC), and were instead correlated with incomplete activation and retention signals [11]. In another set of functional studies, MHC-defective mice were transgenically modified to express both human T1D-associated HLA genes and also human TCR from T1D-associated T cell clones [12;13]. Even in the face of thymic negative selection events, the peripheral repertoire of these animals was reconstituted with large numbers of surviving autoreactive T cells, suggesting an inefficient threshold for deletional tolerance consistent with a lower avidity TCR recognition profile.

There are a variety of potential antigenic targets in T1D, including T cell immunity to islet proteins, such as proinsulin, GAD, IA2, IGRP, ZnT8, and others [14;15]. It is not known whether this trimolecular model for HLA avidity skewing applies to all, or whether there are differences during the early stages of disease initiation compared to later during disease progression, following antigenic determinant spreading of the immune response.

## INS

Polymorphisms in the proinsulin promoter region are associated with susceptibility to T1D and correlate with the presence of autoantibodies, even in individuals who do not have clinically evident disease [16;17]. Short length of a repeating sequence (VNTR I) within the proinsulin promoter a role for this genetic variation is associated with T1D, whereas longer length (VNTR III) is not. A higher level of thymic insulin expression, relative to pancreatic

insulin gene expression, is found in individuals who carry the disease-protective VNTR III variant, consistent with the hypothesis that a lower level of specific antigen during thymic selection is permissive for the escape of autoreactive T cells [18;19]. In a direct test of this model, two types of insulin-HLA tetramers were developed and used to profile CD4 T cell recognition in subjects with different forms of the *INS* disease-associated polymorphism. These two tetramer reagents contained either native or a modified proINS peptide bound by disease-associated MHC molecules, and distinguished between recognition of high and low avidity T cells [20;21]. In these studies, individuals with disease-protective *INS* haplotypes (VNTR III) had significantly lower numbers of the high avidity T cells specific for insulin in the peripheral blood compared to HLA-matched individuals with VNTR I.

These findings support the notion that a consequence of the *INS* gene polymorphism is to influence the strength of negative selection during T cell development, and directly links a tissue-specific susceptibility gene locus to a putative immunological mechanism for disease association. This also implicates a susceptibility modification role for thymic gene expression in autoimmunity, a key immunological checkpoint more generally controlled by tissue regulator factors, such as *AIRE* [22;23].

## PTPN22

A variant of *PTPN22* characterized by a single nucleotide polymorphism (SNP) at position 1858, resulting in a change from an Arg at position 620 of the protein to Trp (Lyp620W), is associated with T1D as well as with rheumatoid arthritis (RA), systemic lupus erythematosus (SLE), Graves disease, and myasthenia gravis [24–26]. Further analysis of the *PTPN22* gene has confirmed that the 1858 C/T variant is the major risk variant in the *PTPN22* locus [27]; however, rare missense variants which may contribute to risk have been identified [28;29]. *PTPN22* encodes a protein tyrosine phosphatase, lymphocyte tyrosine phosphatase (Lyp), that has been shown to be a negative regulator of T cell activation [30], confirmed by studies of *PEP*<sup>-/-</sup> mice (the murine orthologue of Lyp) [31]. When expressed in Jurkat cells, Lyp620W has been shown to confer a dominant gain of function, resulting in blunted TCR activation [32], but studies by others indicated that Lyp620W results in increased responsiveness to TCR stimulation [33]. A recently described murine model which expresses the orthologue of Lyp620W demonstrates enhanced TCR signaling, which was linked to the rapid degradation of the phosphatase [34].

To reconcile these findings, it is instructive to look beyond the T cell, since Lyp is expressed in T cells, B cells, and myeloid lineages, and it is likely that the disease-associated function of this variant is due to its involvement in more than one disease-related pathway. The presence of autoantibodies is a prominent feature of the autoimmune diseases associated with this variant, and defects in B cell tolerance are present, leading to an increase in the escape of autoreactive B cells into the periphery [35;36]. Lyp620W is also associated with blunted B cell receptor signaling and enhanced survival of transitional B cells, which may be due in part to a novel function of the variant protein in these individuals. As shown in Figure 1, both T and B cell functional measures are directly correlated with *PTPN22* genotype, and this impact on multiple pathways in immunity, possibly also including innate responses, may account for the broad relationship between Lyp620W and autoimmune diseases.

## PTPN2/CD25

The IL-2/IL-2R signaling pathway is implicated in the development of autoimmunity due to the association of multiple disease-associated genetic variants that appear in different components of that pathway. In the case of T1D, the IL-2/IL-21 gene locus is modestly associated with disease [37], but more significant associations are found in components of the IL-2R signaling pathway, including the receptor itself and a phosphatase (PTPN2) that participates in molecular interactions governing phosphorylation of the transcription factor STAT5 [38]. Multiple non-coding SNPs in the high affinity IL-2 receptor, CD25 (IL-2RA), have been associated with T1D [39], some of which correlate with levels of CD25 expression on CD4 T cells [40] or the level of soluble CD25 produced by T cells [39]. Several non-coding SNPs in the PTPN2 gene are associated with T1D, Crohn's disease, and RA [39;41], and it has been suggested that these SNPs affect expression or splicing of the PTPN2 isoforms [42].

The general picture emerging from these studies is the notion that disease-associated variants in the IL-2 pathway confer a decreased ability to respond to IL-2 or limit the availability of IL-2 at sites of inflammation. In a recent study of STAT5 phosphorylation in human T cells, one of the PTPN2 disease-associated SNPs correlated with decreased phosphorylated (p)STAT5, decreased IL-2R signaling in CD4(+) T cells, and reduced FOXP3 expression in activated cells [42]. A similar relationship has been reported for risk variants in CD25 [43], consistent with the hypothesis that IL-2-dependent T cells, particularly regulatory FOXP3-positive cells, may be impaired. Notably in T1D, diminished IL-2R signaling is a common feature of disease, even in those subjects who do not have the known PTPN2 or CD25 variants in this pathway, suggesting that additional, rare variants may contribute to the phenotype of impaired IL-2 signaling in T1D [44].

## Concluding Remarks

Different disease-associated genetic variants impact different stages of the immunological life cycle, including development and selection of the adaptive response, engaging activation thresholds, guiding cell fate and commitment, and assembling a regulated set of interactions (Figure 2). Genotypic variation encompasses different categories of immunological function, listed in Figure 3, emphasizing the challenge of linking specific genes to specific disease pathways. Disease-associated variants persist in the population in the form of subclinical traits that bias towards disease initiation and progression, offering the hope that identifying the pathways impacted by genetic variation will also identify a large number of rational therapeutic targets, although not necessarily the same molecule impacted by the susceptibility gene itself. For example, IL-2-directed therapies may compensate for and "correct" the IL-2-signaling defect common to T1D subjects, and antigen delivery in the context of regulatory signals may compensate for the biased selection of specific autoreactive T cells. This linkage between therapeutic intervention and genotype highlights the opportunity to use genetic stratification, such as during clinical trials, as a window into pathway analysis and disease mechanisms.

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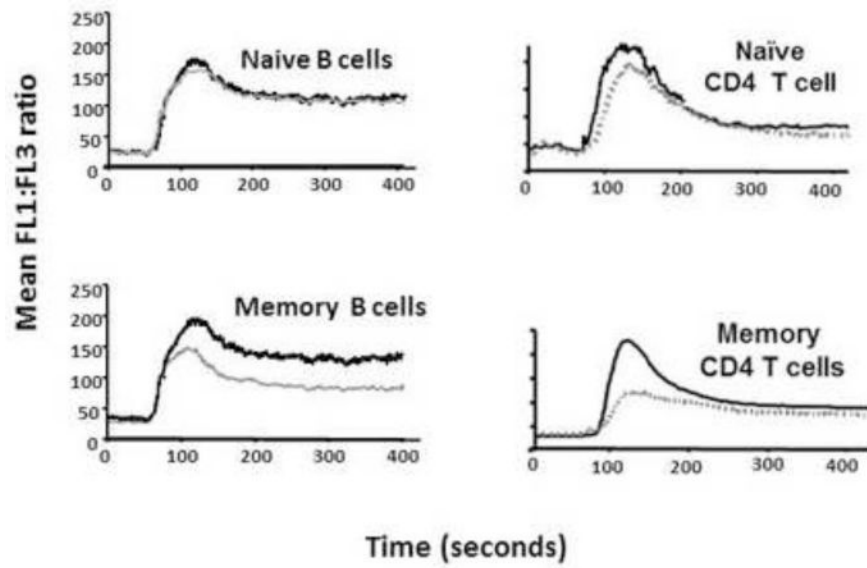
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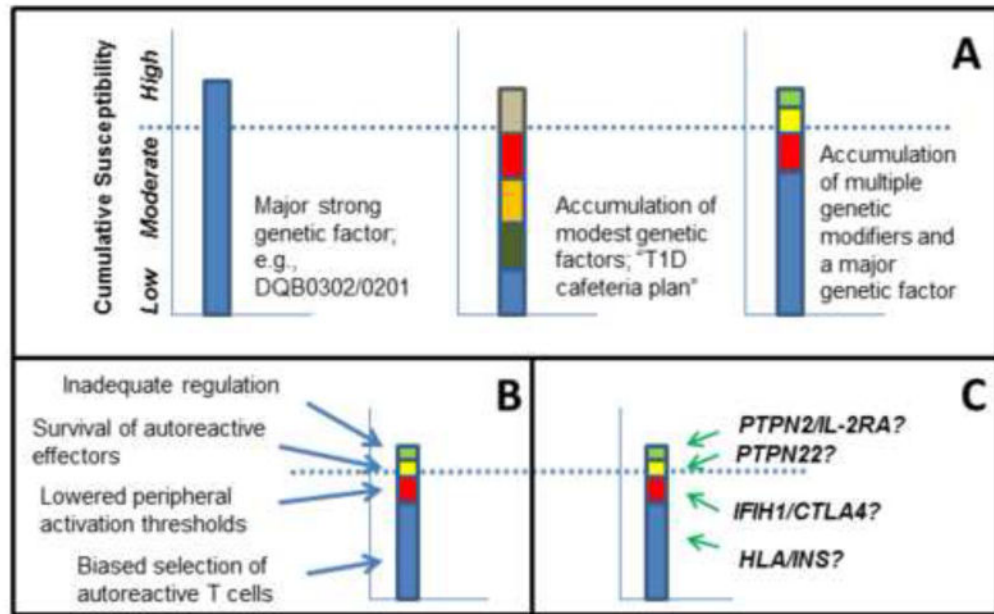
### Highlights

- Genetic susceptibility to T1D is a composite of risk factors contributed by the major histocompatibility complex with a large number of additional genetic modifiers;
- The functional properties of each of these susceptibility genes vary due to subtle genetic variation that occurs normally in the population;
- Identification of functional pathways impacted by specific GWAS “hits” link disease progression to distinct steps in immune activation, expansion, and regulation.
- Stratification of risk based on genetic variation provides a classification scheme that implicates distinct functional pathways as therapeutic targets.



**Figure 1. A susceptibility gene can affect multiple pathways**

PTPN22 variants that are associated with T1D and other autoimmune diseases account for blunted signaling, as shown in plots of calcium flux for human B cells (left) and T cells (right), adapted from Rieck et al. [45]. The grey lines indicate individuals carrying the 1858T variant, demonstrating reduced BCR- and TCR-mediated calcium mobilization after stimulation with anti-IgM or anti-CD3, respectively.



**Figure 2. Building blocks of T1D susceptibility**

T1D, like most other autoimmune diseases, occurs predominantly on a background of genetic susceptibility that is a result of several genetic elements. Presence of a high risk genotype, such as HLA-DQB1\*03:02/\*02:01 is sufficient to pass a susceptibility threshold (A, left panel). An alternative scenario involves multiple genes, each with moderate contributions to functional pathways, which in combination surpass the threshold barrier (A, middle panel). The most common combination of genetic risk in T1D is represented by the third model, in which HLA contributes most of the risk, but other cumulative contributions from moderate risk genes also participate (A, right panel). Functional mechanisms that facilitate the generation, survival, and activation of autoreactive cells are represented in (B), illustrating the concept that multiple steps in the progression of autoimmune disease are required and are cumulative or synergistic in reaching a clinical threshold. Specific genetic variation is tied to particular steps in this functional progression, as indicated in the speculative, but plausible, relationships shown in (C).



**Figure 3. Classification of T1D susceptibility genes**

The examples discussed in the text illustrate different categories of genotype-phenotype relationships: (i) those genes that function in the same mechanistic pathway, such as CD25 and PTPN2; (ii) those genes that alter function in multiple different pathways or cells, such as PTPN22; (iii) those genetic variants that independently arise in the same gene but similarly affect function; and (iv) those that combine to establish key immunological thresholds, such as HLA and INS in thymic selection of proINS specific T cells.