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### **Original Article**

# Presentation of frozen shoulder among diabetic and non-diabetic patients $\stackrel{\star}{\sim}$



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### ABSTRACT

*Objective*: The literature is inconsistent regarding the level of pain and disability in frozen shoulder patients with or without diabetes mellitus. The aim of this study is to evaluate some demographic features of frozen shoulder patients and to look into the disparity of information by comparing the level of pain and disability due to frozen shoulder between diabetic and non-diabetic people.

Design: This is a prospective comparative study. People with frozen shoulder attending an outpatient department were selected by consecutive sampling. Disability levels were assessed by the Shoulder Pain & Disability Index (SPADI). Means of pain and disability scores were compared using unpaired t-test.

Results: Among 140 persons with shoulder pain 99 (71.4%) had frozen shoulder. From the participating 40 frozen shoulder patients, 26 (65%) were males and 14 (35%) were females. Seventeen participants (42.5%) were diabetic, two (5%) had impaired glucose tolerance and 21 (52.5%) patients were non-diabetic. Mean disability scores (SPADI) were 51  $\pm$  15.5 in diabetic and 57  $\pm$  16 in non-diabetic persons. The differences in pain and disability level were not statistically significance (respectively, p = 0.24 and p = 0.13 at 95% confidence interval).

Conclusions: No difference was found in level of pain and disability level between frozen shoulder patients with and without diabetes.

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<sup>\*</sup> We declare that a changed version of the abstract of this study was presented in the 2nd International Rehab Forum Conference at Dhaka, Bangladesh in 2012 and was published in their souvenir.

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### 1. Introduction

Frozen shoulder, or adhesive capsulitis, is a condition characterized by painful and limited active and passive range of motion of the shoulder.<sup>1</sup> The incidence of adhesive capsulitis has been found to be two to four times higher in diabetics than in the general population.<sup>2</sup> The estimated prevalence is 11–30% in diabetic patients and 2–10% in non-diabetics. Adhesive capsulitis appears at an earlier age in patients with diabetes.<sup>3</sup>

The literature represents greater the level of pain and disability in frozen shoulder patients with diabetes mellitus than without. For instance, an Australian study showed shoulder pain and quality of life were poorer among diabetics with current shoulder symptoms than non-diabetics.<sup>4</sup> Again, diabetic persons with frozen shoulder were found to have reduced mobility compared to people who do not have diabetes.<sup>5</sup> Moreover, diabetics were reported to have worse functional outcomes as measured by disability and quality of life questionnaires (SPADI) compared to non-diabetics with frozen shoulder.<sup>6</sup>

The literature, which shows greater level of pain and disability in frozen shoulder patients with diabetes mellitus, suggests that treatment strategy might also vary between these groups. For instance, patients with diabetes and adhesive capsulitis showed less improvement of pain and function following arthroscopic rotator cuff repair than their nondiabetic counterparts.<sup>7</sup> Another work showed that shoulder pain in diabetes was often more resistant to conventional treatment.<sup>3</sup> Hence, quantification of disability level independently in frozen shoulder patients with diabetes is crucial. Increasing intensity of pain scores was associated with poor glycaemic control in diabetic frozen shoulder patients shown by higher HbA1c level.<sup>8</sup> This information raises the possibility and importance of prevention of 'greater' disability due to frozen shoulder in diabetic population by strict control of glycaemic state.

Conversely, a review article revealed that people with diabetes and frozen shoulder have significantly less pain compared with patients who do not have diabetes.<sup>3</sup> Again, the Australian study also did not show significant difference in disability (p = 0.16) between diabetic and non-diabetic group.<sup>4</sup> This represents the dissimilarities among articles regarding the level of pain and disability.

A literature search found that only few studies discussed the disability level in frozen shoulder patients and very few looked into the pain and disability level among diabetic group. All the studies were carried out in industrialized countries. The ethnicity, living environment, lifestyle and body configuration of the people of the Asian region is quite different from western countries. So, study results from Asian low resource areas might also be different and help fill up the gap of knowledge. Unfortunately, studies of these areas have been neglected previously by western journals. Moreover, in Bangladesh, a recent population-based study showed a sharp and significant increase in the prevalence of diabetes from 2.3% to 6.8% over 5 years<sup>9</sup> pointing towards the burden of frozen shoulder and its disability in this area in future.

### 2. Methodology

This is a prospective comparative study. It was done on the outpatients of the department of Physical Medicine and Rehabilitation, 'XX' Medical College Hospital. Patients who came with shoulder pain were the target population of the study. During the data collection period, 140 patients were registered as having shoulder pain. Participants were selected from the registered patients by consecutive sampling.

### 2.1. Participants

Patients aged 30–65, clinically diagnosed as cases of frozen shoulder according to diagnostic hallmarks were allocated from 140 patients with shoulder pain. Shoulder stiffness patients due to specific or secondary causes (e.g. osteoarthritis, rheumatoid arthritis, post traumatic or post surgical stiffness) were excluded from participants list. Severely co-morbid (e.g. recent history of myocardial infarction) and pregnant women were also excluded. Suspected inflammatory cases, osteoarthritis and calcific tendinitis were excluded by ESR level and radiological features. Patients who had previous treatment for frozen shoulder were not included, either.

### 2.2. Data collection and study procedure

The study procedure is summarized by a flow chart (Fig. 1). Patients with shoulder pain attending the outpatient clinics of a hospital, department of Physical Medicine and Rehabilitation were examined by two junior post graduate trainee doctors. Relevant history was taken and a physical examination was done. The patients with frozen shoulder were diagnosed clinically and referred to the investigators. Frozen shoulder patients who met the inclusion and exclusion criteria were given a plain radiograph of the affected shoulder to exclude other pathology. Patients with known diabetes were subjected to 2 h postprandial blood glucose. Patients with no known history of diabetes were subjected to a 2sample oral glucose tolerance test. Diabetes was diagnosed according to WHO criteria. Patients were assessed for pain and disability level. Data was collected in a semi-structured questionnaire (Fig. 2). The questionnaire consisted of 16 questions of which 10 were open-ended and 6 were closeended.

### 2.3. Frozen shoulder (Adhesive capsulitis)

The three hallmarks for diagnosis of frozen shoulder are progressive shoulder stiffness, severe pain (especially at night) and a near complete loss of passive and active external rotation of the shoulder.<sup>10</sup> Presence of all the three features was the diagnostic and inclusion criteria of this study.

# 2.4. Diabetes mellitus and impaired glucose tolerance states

Patients who had history of diabetes and who were on oral hypoglycemic agents (OHA) or insulin or both at the time of data collection were considered to be 'old diabetes' cases. For



Fig. 1 – Flow chart shows summary of the study procedure.

FS = frozen shoulder; DM = diabetes mellitus; H/O = history of; 2HABF = blood sugar 2 h after breakfast; IGT = impaired glucose tolerance; OGTT = oral glucose tolerance test.

the diagnosis of new diabetes cases, World Health Organization (WHO)<sup>11</sup> was followed. Summary of WHO diagnostic criteria for diabetes and intermediate hyperglycemia is shown in Table 1.<sup>11</sup> Both the new and old diabetes cases were allocated in 'diabetic' group.

### 2.5. Assessment scale

A shoulder pain and disability index (SPADI) was used to assess pain & disability in frozen shoulder as in other studies. SPADI is a self-reported measure developed to evaluate shoulder pathology. It consists of 13 items (Table 2) divided into two subtypes: pain (5 items) & disability (8 items). SPADI is a valid measure to assess pain & disability. Minimum Detectable Change in score (90% confidence) = 13 points (Change less than this may be attributable to measurement error).<sup>12–14</sup>

### 2.6. Ethical measures

Ethical clearance (Memo no: CMC/2012/PG/66) was taken from the Ethical Committee of 'XX' Medical College, 'XX'. Informed

written consents were also taken from all participants prior to study.

### 3. Results

Table 3 summarizes some of the demographic and clinical factors of the frozen shoulder patients. The total study population was 140 with shoulder symptoms, among which 99 had frozen shoulder (71.4%). From the actual participants (40 frozen shoulder patients), 26 were (65%) males and 14 were (35%) females. Mean age of the patients was 53 years and median age was 50 years. Twenty respondents of our study (50%) resided in urban areas and 12 of them (30%) came from villages. The final 8 (20%) lived in semi-urban areas.

In the 40 adhesive capsulitis patients, 17 persons (42.5%) were diabetic, 2 (5%) had impaired glucose tolerance (IGT) and remaining 21 participants (52.5%) were non-diabetic (Fig. 3). Mean duration of diabetes was 5.5 years. From the 17 diabetic patients, 10 (59%) had blood glucose within normal limit and 7 had beyond (41%) at the time of data collection. Mean duration of frozen shoulder in all patients was 5 months. Eight diabetic

- 2. Age (in years):
- 3. Gender: Male=1 / Female=2
- Education: Illiterate=1) /primary=2 / secondary=3 /higher secondary=4 /Higher secondary=5/ graduate=6/ Postgraduate=7.
- Occupation: House wife=1/Service=2/Farmer=3/Business man=4 /Labourer=5/ unemployed or retired=6/others=7.
- 6. Residence: Urban=1 / Semi-urban=2 / Rural=3.
- 7. Duration of illness (months):
- 8. Involved shoulder: Right=1 / Left=2 / both=3.
- 9. Glycemic status: Old DM=1/New DM=2/IGT=3/IFG=4/Non-diabetic=5.
- 10. Fasting blood glucose (mmol/l):
- 11. 2 hour after breakfast (mmol/l):
- 12. 2 hour after glucose (mmol/l):
- 13. ESR level (mm in 1<sup>st</sup> hour):
- 14. X-ray shoulder both views findings:
- 15. X-ray chest P/A view findings:
- 16. SPADI Score:

Pain score:
Disability score:
Total SPADI score:

Fig. 2 – Chart showing the case record form. DM = Diabetes mellitus IGT = Impaired glucose tolerance. IFT = Impaired fasting glycaemia. SPADI = Shoulder pain and disability index.

Table 1 – Summary of WHO diagnostic criteria (1999) fo diabetes and intermediate hyperglycaemia.								
Classifications	Venous plasma glucose levels							

Giabbineationb	venious plusina glacobe levels							
Normal	Fasting 2-h glucose	<6.1 mmol/l Not specified but <7.8 mmol/l implied						
Diabetes	Fasting glucose	≥7.0 mmol/l or						
	2-h glucose	$\geq$ 11.1 mmol/l						
IGT	Fasting glucose <8.0 mmol/l	<7.0 mmol/l and						
	2-h glucose	$\geq$ 7.8 and <11.1 mmol/l						
IFG	Fasting glucose	$\geq$ 6.1 and <7.0 mmol/l and						
	2-h glucose	<7.8 mmol/l (if measured)						

Foot notes: IGT = Impaired Glucose Tolerance; IFT = ImpairedFasting Glycaemia; 2-h = 2h after ingestion 75 gm glucose solution. patients under went HBA1c analysis and mean value was 7.34%.

Fifty two percent (21) of the participants had adhesive capsulitis in the right shoulder, 32.5% (13) had solely in left shoulder and 17.5% (7) experienced in both shoulder. Only one shoulder was involved in 82.5% of the participants.

Both pain and disability level of the patients with frozen shoulder was assessed and compared between diabetic and non-diabetic patients. There was no significant statistical difference in pain score (p = 0.24, CI = 95%) and disability/SPADI score (p = 0.13, CI = 95%) between the frozen shoulder patients with or without diabetes mellitus.

### 4. Discussion

This study represents the first prospective gathering of data on frozen shoulder in a low resource country, and is unique among most other studies in that it actually confirmed

<sup>1.</sup> Name:

### Table 2 - Shows SPADI (Shoulder Pain and Disability Index).

Pain scale													
How severe is your pain:													
1. At its worst.	No pain	0	1	2	3	4	5	6	7	8	9	10	Worst pain imaginable
2. When lying on involved side.	No pain	0	1	2	3	4	5	6	7	8	9	10	Worst pain imaginable
3. Reaching for something on a high shelf.	No pain	0	1	2	3	4	5	6	7	8	9	10	Worst pain imaginable
<ol><li>Touching the back of your neck.</li></ol>	No pain	0	1	2	3	4	5	6	7	8	9	10	Worst pain imaginable
5. Pushing with the involved arm.	No pain	0	1	2	3	4	5	6	7	8	9	10	Worst pain imaginable
Disability scale													
How much difficulty did you have:													
1. Washing your hair.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
2. Washing your back.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
3. Putting on an undershirt or pullover sweater.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
4. Putting on a shirt that buttons down the front.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
5. Putting on your pants.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
6. Placing an object on a high shelf.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
<ol><li>Carrying a heavy object of 10 pounds.</li></ol>	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help
8. Removing something from your back pocket.	No difficulty	0	1	2	3	4	5	6	7	8	9	10	So difficult required help

diabetes after the diagnosis of frozen shoulder. The findings help us to understand the pathophysiology that may be seen in clinical situations.

The mean age of patients with frozen shoulder in the study was 53 years. A clinical review by Dias R et al, found almost similar 'peak age' of patients with frozen shoulder (56 years).<sup>15</sup> Another study in Turkey found the common age of frozen shoulder to be between 40 and 60 years.<sup>16</sup> Both the study supports our findings. Some authors have postulated that the higher prevalence in older persons may be because frozen shoulder is an inflammatory response to ageing changes in the shoulder joint and or tendons of the shoulder. However there is no definite proof of this.<sup>17</sup>

In this study, the prevalence of diabetes in frozen shoulder patients (42.5%) was quite similar to an American study, where prevalence was 39%.<sup>2</sup> Although the reason of high prevalence of diabetes in frozen shoulder patients is unknown, it is believed that in patients with diabetes are associated with microvascular disease. Microvascular diseases cause abnormal collagen repair, which predisposes patients to adhesive capsulitis.<sup>18</sup> In addition, increased glycosylation of collagen protein and increased formation of abnormal glycation end products and their subsequent accumulation was found to have detrimental effect on a number of cellular and extracellular processes that might fascilitate adhesion and fibrosis.<sup>19</sup> Patients with diabetes often present with fibrosis elsewhere (i.e., Dupuytren's contracture).<sup>18</sup> Twenty-six out of 40 adhesive capsulitis patients in this study were male (65%). Many articles do not support these findings.<sup>6</sup> However, in a recent study by Watson & Dalziel, prevalences for men and women were near equal; 57% of the patient population was female and 43% was male.<sup>20</sup> None of the articles revealed any cause of female predominance.<sup>21</sup>

Frozen shoulder was found on right shoulder in 50% patients. Some studies showed it to be more common on left side. However, in a British study, the right shoulder was found more frequently involved than the left, particularly in men. This finding is consistent with ours. Only one shoulder was involved in 72% of the participants, a finding similar to the same British study, which shows 72% involvement of solely one shoulder.<sup>22</sup>

Higher scores of pain and disability (SPADI) in patients with diabetes were found than those patients without diabetes. But no statistically significant difference in pain and disability in frozen shoulder patients with or without diabetes were detected. An Australian population-based study,<sup>23</sup> also showed no significant difference in disability (SPADI) score.

Frozen shoulder is a common complaint; however there remains much to be learned about the disorder. While this study demonstrates that presentation in lower resource countries is not dissimilar to that in reports from industrialized countries, there are important differences in activity, participation, and environment in low resource countries that need to be further explored.

Table 3 – Demographic and clinical factors of frozen shoulder patients in diabetics and non-diabetics.										
Characteristics	In diabetic $(n = 17)$	In non-diabetic (n = 23)	Statistical difference, values	p value (significant p < 0.05)						
Age (mean), years	52.8	54.4	t = 0.48	0.31						
Female gender, n (%)	6 (35%)	8 (35%)	$\chi^{2} = 0.001$	0.97						
Literacy, n (%)	14 (82%)	15 (65%)	$\chi^{2} = 1.43$	0.23						
Patients from rural area, n (%)	11 (65%)	9 (39%)	$\chi^{2} = 2.55$	0.19						
Manual worker, n (%)	6 (35%)	10 (43%)	$\chi^{2} = 0.27$	0.60						
Both shoulder, n (%)	2 (12%)	4 (17%)	$\chi^{2} = 0.10$	0.74						
Duration of FS (mean), months	5.35	6.87	t = 0.82	0.21						
Waist circumference (mean), cm	86	79	t = 2.35	0.01						
Pain score (mean)	56.7	60.9	t = 0.72	0.24						
Disability (SPADI) score (mean)	$51 \pm 15.5$	57 ± 16	t = 1.09	0.13						
Foot notes: $n = number$ . SPADI = Shoulder Pain and Disability Index										



Fig. 3 – Pie chart expresses the glycaemic status of the participants.

 $\blacksquare = \text{Old DM;} \blacksquare = \text{Non-DM;} \blacksquare = \text{IGT.}$ 

 $\label{eq:DM} \textbf{DM} = \textbf{Diabetes mellitus; IGT} = \textbf{Impaired glucose tolerance.}$ 

### 5. Conclusion

No difference was found in level of pain and disability level between frozen shoulder patients with and without diabetes. Adhesive capsulitis was the most common diagnosis in patients with intrinsic shoulder pain. Around half of the frozen shoulder patients had either diabetes or impaired glucose tolerance. Peak age of frozen shoulder was 53 years it was most common in males.

### **Conflicts of interest**

No benefits in any form have been received or will be received from a commercial party related directly or indirectly to the subject of this article.

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