

## Nonalcoholic steatohepatitis and insulin resistance in children

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### Abstract

Various pathological conditions can cause fatty liver in children. Nonalcoholic steatohepatitis (NASH) in children has been known since 1983. However, NASH diagnosed in childhood does not have a favorable outcome. The pathological characteristics of NASH are significantly different between children and adults. Nonalcoholic fatty liver disease (NAFLD)/NASH is accompanied by insulin resistance, which plays a pivotal role in its pathophysiology in both children and adults. In NASH, a "two-hit" model involving triglyceride accumulation (first hit) and liver damage (second hit) has been accepted. Insulin resistance was found to correlate with changes in fat levels; however, it did not correlate with fibrosis or NAFLD activity score in children. Therefore, insulin resistance may be important in the first hit. Because there is obvious familial clustering in NASH, genetic predisposition as well as environmental factors including diet might be the second hit of NAFLD/NASH.

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**Key words:** Nonalcoholic fatty liver disease; Nonalcoholic

steatohepatitis; Insulin resistance; Homeostasis model assessment as an index of insulin resistance; Obesity

**Core tip:** The pathological characteristics of nonalcoholic steatohepatitis (NASH) are significantly different between children and adults. Nonalcoholic fatty liver disease is accompanied by insulin resistance, which plays a pivotal role in its pathophysiology in both adults and children. In NASH, a "two-hit" model involving triglyceride accumulation (first hit) and liver damage (second hit) has been accepted. Insulin resistance was found to correlate with changes in fat levels; however, it did not correlate with fibrosis in children. Insulin resistance may be important in the first hit. Genetic predisposition as well as environmental factors might be the second hit in children.

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### INTRODUCTION

Fatty liver disease (fatty liver) is a general term for diseases caused by an accumulation of triglyceride (TG) in liver cells. Various pathological conditions such as Turner syndrome, abnormal mitochondrial and fatty acid metabolism, nephrotic syndrome, Down syndrome, and hormonal therapy can cause fatty liver in children. In adults, nonalcoholic fatty liver disease (NAFLD) is defined by fatty liver without obvious causes such as autoimmune hepatitis, viral hepatitis, or drinking history. Histologically, NAFLD is divided into 2 categories: that without (simple steatosis) and that with fibrosis, necrosis, and inflammation [nonalcoholic steatohepatitis (NASH)]. NASH is regarded as a severe form of NAFLD. According to

a population-based study, 4.8% of adults with NAFLD have been reported to develop liver cirrhosis within a mean observation period of 7.6 years<sup>[1]</sup>. NASH/NAFLD in childhood has been known since 1983<sup>[2]</sup>. In this review, we introduce the recent findings of pediatric NASH and insulin resistance.

## ETIOLOGY

In Japan, 10% of the general population is estimated to have NAFLD, and 1% to have NASH. In adults with obesity and type 2 diabetes insipidus, the rates are higher<sup>[3]</sup>. A life-table analysis showed a reduction of life expectancy of up to 7 years in adults with obesity<sup>[4]</sup>. In children, the prevalence of NAFLD/NASH is estimated to be as high as 2.6%-9.6% in the United States and Asian countries, despite significant differences in race and ethnicity<sup>[5-7]</sup>. Insulin resistance is often accompanied by NAFLD/NASH, and plays a pivotal role in its pathophysiology<sup>[8,9]</sup>. The prevalence of insulin resistance in obese children foreshadows a worrisome trend for type 2 diabetes. It is estimated that 170 million children under 18 years worldwide are overweight or obese, which is more than 20% of all children in many countries<sup>[10]</sup>. According to the SERCH for Diabetes in Youth study, more than 20000 individuals below 20 years of age had type 2 diabetes<sup>[11]</sup>. According to the follow-up study by Feldstein *et al.*<sup>[12]</sup>, 4 out of 66 children with NAFLD developed type 2 diabetes 4-11 years after diagnosis. Moreover, during a 20-year follow-up study, 2 children died and 2 underwent liver transplantation for cirrhosis<sup>[12]</sup>.

## CLINICAL DIAGNOSIS

There are no specific symptoms associated with NAFLD and NASH in children. However, there is strong fatigability. Furthermore, obesity, sleep apnea, hypertension, hyperinsulinemia, and acanthosis nigricans are often observed. Visceral obesity is a risk factor. Obesity (body mass index of greater than + 2SD) or an increase in weight of 10% or more per year is likely to be present.

Diagnosis of NAFLD and NASH by conventional blood biochemical examination is difficult. Liver biopsy is required for a definitive diagnosis of NAFLD.

For diagnosis, children should be screened for the presence of HBs antigens, HCV antibodies, anti-mitochondrial antibodies, anti-nuclear antibodies, ceruloplasmin,  $\alpha$ -antitrypsin, transferrin, *etc.* Approximately 20% of adults with NASH showed positivity for antinuclear antibodies (greater than 160 X)<sup>[13]</sup>. Similar findings that 7 out of 14 children with NAFLD were positive for antinuclear antibodies or anti-smooth muscle antibodies have been reported by others<sup>[14]</sup>.

In NAFLD, the levels of alanine aminotransferase (ALT) and aspartate aminotransferase (AST) are usually mildly increased (2-4 times), and the level of ALT is higher than AST<sup>[15]</sup>. In NAFLD, levels of alkaline phosphatase and  $\gamma$ -glutamyl transferase are occasionally mildly increased. Levels of ALT and AST are higher in NASH

than in NAFLD. Patients with cirrhosis show ALT/AST ratios of less than 1.

To differentiate between simple fatty liver and NASH, information on high-sensitivity C-reactive protein levels and insulin resistance [homeostasis model assessment as an index of insulin resistance (HOMA-R) (fasting blood glucose  $\times$  immunoreactive insulin/405), adipocytokines [tumor necrosis factor (TNF)- $\alpha$ , adiponectin, and leptin], and oxidative stress markers] can be useful<sup>[16]</sup>. Other markers for NASH such as high levels of serum iron and ferritin, low platelet count, and KICG (same indocyanine green elimination rate constant) and fibrosis markers (hyaluronic acid, type IV collagen, and procollagen III polypeptide) are also used. The NAFLD (NASH, ferritin, insulin, type IV collagen 7S) score for adults, pediatric NAFLD fibrosis index for children, and enhanced liver fibrosis test are useful to diagnose fibrosis<sup>[17]</sup>.

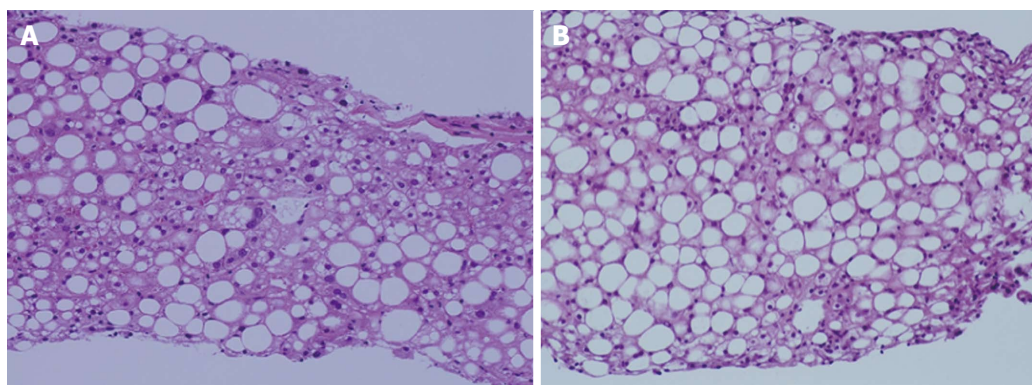
Matteoni *et al.*<sup>[18]</sup> classified NAFLD into 4 types from pathological findings. Type 1 is simple fatty liver (only fatty liver), type 2 demonstrates steatohepatitis (fatty liver and lobular inflammation), type 3 demonstrates steatonecrosis and ballooning and swelling of hepatocytes, and type 4 demonstrates steatonecrosis and Mallory bodies (liver cell ballooning degeneration) or fibrosis. He also reported the prognosis of each type upon long-term follow-up. Progression to liver cirrhosis or liver-related death were observed in patients with type 3 or 4 NAFLD. There were no cases that progressed to cirrhosis from types 1 and 2. Therefore, types 3 and 4 NAFLD are defined as NASH pathologically<sup>[18]</sup>. The grading system of necrosis and inflammation and the staging system of fibrosis that was defined by Brunt *et al.*<sup>[19]</sup> are commonly used. On the other hand, NAFLD/NASH demonstrate different characteristics in adults and in children (Table 1)<sup>[20]</sup>. Figure 1 shows representative liver pathology of adult type and pediatric type NASH.

NAFLD/NASH in most children mainly have the characteristics of fatty changes, inflammation and fibrosis of the portal area, and absence of perisinusoidal fibrosis and hepatocyte ballooning. Patients with strong fibrosis are classified as having type 2 NAFLD/NASH. Schwimmer *et al.*<sup>[21]</sup> classified pediatric NAFLD into 2 types. According to Brunt's pathological classification, the grading of necrosis and inflammation will be very low and staging of fibrosis will be very high in many children. NASH in children requires careful long-term observation.

## BASIC PATHOLOGY

The phenotype of NAFLD is metabolic syndrome of the liver, which in general is accompanied by obesity, diabetes mellitus, hyperinsulinemia, and hyperlipidemia. In the onset and progression of insulin resistance and associated obesity, increased free fatty acid (FFA) levels and abnormal adipocytokine secretion are important factors. In NASH, a "two-hit" model involving TG accumulation (first hit) and liver damage (second hit) has been proposed<sup>[22]</sup>.

Deposition of TG in liver cells is determined by the



**Figure 1** Representative photographs of liver sections of nonalcoholic steatohepatitis/nonalcoholic fatty liver disease patients. A: Pediatric type (type 1) showing severe fibrosis; B: Adult type (type 2) showing mild fibrosis and hepatocyte ballooning.

**Table 1** Differences in characteristics of nonalcoholic fatty liver disease/nonalcoholic steatohepatitis between adults and children

	Pediatric-type NASH	Adult-type NASH
Classification by Schwimmer <i>et al</i> <sup>[21]</sup>	Type 2	Type 1
Incidence	Frequent	Rare
Steatosis	Strong	Weak
Inflammatory cell infiltration	Starting in periportal zone (acinar zone 1)	Starting in perivenular zone (acinar zone 3)
Hepatocyte ballooning	Portal area	Centrolobular area
Fibrosis	None	Prevalent
Liver cirrhosis	None or only in periportal zone (acinar zone 1)	Prevalent in perisinusoidal or perivenular zone (acinar zone 3)
Epidemiology	Present	Present
Ratio in pediatric NAFLD (overlap 16%) by Schwimmer <i>et al</i> <sup>[21]</sup>	More common in overweight, colored race (Hispanic: 73%; Asian: 12%), boys > girls	Hispanic: 41%, White, non-Hispanic: 53%, girls > boys
Ratio in pediatric NAFLD (overlap 50%) by Takahashi <i>et al</i> <sup>[20]</sup>	51%	17%
	21%	Not reported

NASH: Nonalcoholic steatohepatitis; NAFLD: Nonalcoholic fatty liver disease.

balance of TG-increasing factors (synthesis and influx of TG in liver cells) and TG-decreasing factors (efflux and consumption of TG in liver cells). TG is a molecule composed of 3 fatty acids esterified to a glycerol. Four mechanisms are assumed to affect the level of TGs in the liver cells. The first is increased uptake of FFA from food (15% of TGs in liver) and fatty tissue that supplies the FFA pool in the blood. TG from food is hydrolyzed to FFA by lipoprotein lipase. Non-hydrolyzed TG is supplied to liver cells directly. FFA from fatty tissue in the blood is absorbed by liver cells. Secretion of FFA from adipose tissue is increased when there is insulin resistance. The second is increased FFA synthesis in liver cells (*de novo* synthesis) or reduction of the suppression of FFA synthesis. Fatty acids derived from adipose tissue account for the majority (60%) of hepatic TG accumulation in NAFLD<sup>[23]</sup>. Nutrients such as carbohydrates, proteins, and lipids are converted to acetyl-CoA and serve as substrates for fatty acid synthesis. The third mechanism is decreased catabolism of FFA in liver cells (consumption by peroxisomes and mitochondrial  $\beta$ -oxidation). The fourth mechanism is decreased release of TG from liver cells (very-low-density lipoprotein is released into the

blood by microsomal triglyceride protein)<sup>[24]</sup>. In children, total parenteral nutrition management, steroid administration, and fatty acid metabolism disorders are representative causes<sup>[25]</sup>. Oxidative stress, endotoxins, adipocytokines (TNF- $\alpha$ , adiponectin, and leptin) are considered as hepatocyte-damaging factors of the second hit. Hypoxia caused by sleep apnea also has a negative effect.

## INSULIN RESISTANCE IN CHILDREN WITH NASH

The effects of steatohepatitis on insulin resistance in children have been elucidated recently. Cali *et al*<sup>[26]</sup> reported that in children with NASH, there was a significant decrease in insulin sensitivity and impairment in beta-cell function, as indicated by the fall in the disposition index paralleling the severity of hepatic steatosis<sup>[26]</sup>. Other reports also indicated that the deleterious effects of fat accumulation in the liver affect insulin sensitivity at a multi-organ level<sup>[11,27,28]</sup>. Consequently, insulin secretion becomes insufficient to maintain glucose levels and some obese children develop beta-cell impairment in the long run. In obese children, beta-cell function has

**Table 2** Reports in the literature regarding insulin resistance in pediatric nonalcoholic steatohepatitis /nonalcoholic fatty liver disease

Ref.	Study population and sample size	Age (yr)	Method of diagnosis	Insulin resistance
Santoro <i>et al</i> <sup>[32]</sup>	229 obese children, including 12 cases of liver biopsy-proven NASH	12.8 ± 2.9	MRI and liver biopsy	No significant correlation between MRI-measured steatosis and whole body insulin sensitivity index
Fitzpatrick <i>et al</i> <sup>[33]</sup>	40 liver biopsy-proven NAFLD	10-16	Liver biopsy	68% showed insulin resistance. HOMA-R values did not correlate with NAS
Nobili <i>et al</i> <sup>[34]</sup>	30 NAFLD patients (11:19; without: with steatohepatitis)	8-14	Liver biopsy	HOMA-R values and insulin sensitivity indices did not correlate with steatohepatitis
El-Koofy <i>et al</i> <sup>[35]</sup>	18 patients with normal histology, 8 simple steatosis patients, and 7 NASH patients	2-15	Liver biopsy	HOMA-R values significantly differed between patients with normal histology and those with steatosis/NASH, and significantly correlated with grading based on US
Patton <i>et al</i> <sup>[36]</sup>	88 NAFLD patients	6-17	Liver biopsy	NASH vs not NASH: HOMA-R OR = 1.283 ( <i>P</i> -value = 0.004) and QUICKI OR = 0.786 ( <i>P</i> -value < 0.001)
Ko <i>et al</i> <sup>[37]</sup>	80 NAFLD patients (18 simple steatosis, 27 type 1 NASH, and 35 type 2 NASH)	10.4 ± 3.9, 12.6 ± 2.4, 12.3 ± 2.3, respectively	Liver biopsy	No differences in HOMA-R values between type 1 and type 2 NASH; HOMA-R values did not correlate with NAS
Manco <i>et al</i> <sup>[38]</sup>	82 NAFLD patients	3-18	Liver biopsy	HOMA-R and QUICKI values, and HOMA-beta secretion did not correlate with NAS
Nobili <i>et al</i> <sup>[39]</sup>	72 NAFLD patients	9-18	Liver biopsy	HOMA-R values did not correlate with NAS, steatosis, inflammation, ballooning, or fibrosis
Chan <i>et al</i> <sup>[40]</sup>	65 fatty liver patients	9.5-14	Liver biopsy and US	HOMA-R and QUICKI values correlated with severity of fatty liver evaluated by US. Higher insulin resistance significantly correlated with fatty liver severity only in male subjects with NASH

NAS: NAFLD activity score; US: Ultrasound; QUICKI: Quantitative insulin sensitivity check index; HOMA-R: Homeostasis model assessment as an index of insulin resistance; NASH: Nonalcoholic steatohepatitis; NAFLD: Nonalcoholic fatty liver disease; MRI: Magnetic resonance imaging.

been reported to decrease at a rate of 15% per year<sup>[29]</sup>. Significant correlations between insulin resistance and NAFLD activity scores (NAS), which were calculated by summing the scores for steatosis, lobular inflammation, and ballooning degeneration, were found in 177 children with NAFLD/NASH<sup>[30]</sup>. Adipose tissue insulin resistance is also present in the majority of adults with NAFLD, whether the patients are obese or not<sup>[31]</sup>. Reports in the literature on insulin resistance in pediatric NAFLD/NASH are summarized in Table 2<sup>[32-40]</sup>. These reports demonstrated that insulin resistance is associated with fatty changes using magnetic resonance imaging and ultrasound<sup>[32,40]</sup>. However, insulin resistance was not associated with fibrosis or NAS<sup>[32-40]</sup>. Therefore, these findings suggest that insulin resistance is important for the first hit in the two-hit model of NASH. In adults, insulin resistance did not correlate with NAS but correlated with fibrosis<sup>[41,42]</sup>. NASH in children is mainly characterized by fatty changes and fibrosis in the portal area (type 2 NASH), which is different to the characteristics of NASH in adults. Therefore, larger scale follow-up studies are required to understand the progression of NASH from children to adults.

## CASES OF PEDIATRIC NAFLD/NASH ENCOUNTERED IN OUR DEPARTMENT

Table 3 summarizes the children with NAFLD/NASH that were treated in our department. The patients were

6-16 years old. Their ALT levels were generally high at 16-212 IU/L (normal range < 35 IU/L). Mean values of insulin and HOMA-R values were 23.5 (range: 11.7-272.2 μU/mL and 5.36 (range: 2.07-67.7), respectively. All cases were diagnosed by liver biopsy. All except 1 patient were compatible with type 4 NASH using Matteoni's criteria. The remaining case was type 3. The median NAS was 6 (range: 3-8). The median Brunt's inflammatory grade was 2 (range: 1-3). The median Brunt's fibrosis stage was 3 (range: 1-3). Five cases out of 12 were classified as grade 1, 2 cases were classified as grade 2, and 5 cases were classified as grade 3. The HOMA-R values did not correlate with NAS or Brunt grading.

## GENETIC BASIS OF NAFLD/NASH

Familial clustering of NAFLD/NASH is obvious. Genetic predisposition as well as environmental factors including diet have been reported in NAFLD/NASH. Polymorphisms in the genes encoding *PNPLA3*, *UCP3*, *SLC2A1*, *Lipin1*, the *COX-2* promoter, and the *UCP1* (AG + GG) genotypes have been reported to be associated with the development of NAFLD. On the other hand, a genome-wide association study (GWAS) using liver mRNA from NAFLD patients showed that a combination of increased expression of lymphocyte cytosolic protein-1 (*LCP1*) and decreased expression of group-specific component (GC) is significantly associated with susceptibility to NAFLD/NASH. GC gene polymor-



**Table 3 Pathology and homeostasis model assessment as an index of insulin resistance values of pediatric nonalcoholic steatohepatitis patients treated in our department**

Patient number	Age (yr)	Matteoni's criteria	NAS	Brunt's grading	Brunt's staging	HOMA-R
1	6	4	7	3	2	40.6
2	9	4	4	2	2	2.72
3	11	4	6	2	3	4.60
4	11	4	6	2	3	5.83
5	12	4	7	3	3	3.65
6	13	4	5	2	3	58.5
7	14	4	5	2	2	20.0
8	14	4	7	2	2	3.36
9	14	4	8	2	3	3.95
10	14	4	3	1	3	67.7
11	15	4	6	2	2	4.89
12	15	4	7	2	3	17.3
13	16	3	7	2	1	19.4

NAS: Nonalcoholic fatty liver disease activity score; HOMA-R: Homeostasis model assessment as an index of insulin resistance.

**Table 4 Efficacy of main drugs against nonalcoholic steatohepatitis/nonalcoholic fatty liver disease symptoms**

	Drug	Efficacy
Insulin-sensitizing agent	<sup>1</sup> Metformin <sup>[47]</sup>	Controversial (effective but no more effective than improvement of lifestyle)
Antioxidants	<sup>1</sup> Vitamin E <sup>[47]</sup> Vitamin C	Significant improvements in NASH and NAFLD activity scores No changes in ALT levels or liver inflammation; fibrosis was controlled intentionally
Liver-supporting drugs	Ursodeoxycholic acid Phosphatidylcholine	No improvements in serum transaminase and fat levels evaluated by US No improvement in serum ALT level; improvements in liver echo intensity and insulin resistance
Cholesterol-lowering agents	<sup>1</sup> Taurine <sup>[48]</sup> HMG-CoA reductase inhibitor (atorvastatin) Probucol	Decreased serum ALT levels and increased liver CT values in 7 children Decrease in serum ALT levels and improvement in liver pathology Decrease in serum ALT levels

<sup>1</sup>Indicate drugs reported for children. US: Ultrasound; NASH: Nonalcoholic steatohepatitis; NAFLD: Nonalcoholic fatty liver disease; CT: Computed tomography; HMG-CoA: 3-hydroxy-3-methylglutaryl-coenzyme A; ALT: Alanine aminotransferase.

phisms and LCP1 levels are correlated with vitamin D levels and hyperlipidemia, respectively<sup>[43]</sup>.

Genomic studies on patients with type 2 diabetes revealed some positive correlations of polymorphisms using GWAS. The correlation between gene single nucleotide polymorphisms (SNPs) in *PPAR-gamma*, *TCF7L2*, *G6PC2*, *MTNR1B*, *etc.*, have been reported in adolescents as well as in adults<sup>[44,45]</sup>. In particular, gene SNPs in *TCF7L2*, *IGF2BP2*, *CDKAL1*, *HHEX*, and *HNF1A* might be associated with a higher risk of type 2 diabetes in obese children and adolescents<sup>[46]</sup>. These genes are involved in the release of insulin granules from beta cells.

## MANAGEMENT OF PEDIATRIC NASH AND NAFLD

NAFLD is often associated with obesity, diabetes, hyperlipidemia, and hypertension, and is considered to be a type of metabolic syndrome.

Because NASH is considered to progress from fatty liver, the management of fatty liver is important. Progressive increases in intrahepatic TG levels are associated with progressive impairment of insulin action in skeletal muscle and adipose tissue, in addition to the liver<sup>[30]</sup>. The

principles of treatment are to make improvements in lifestyle, such as diet and exercise. In adults, treatments to improve insulin resistance and oxidative stress have been attempted. The efficacy of insulin sensitizers and antioxidants has also been reported, but there are no established treatments to date.

Quick weight loss can also worsen liver fibrosis. Children with NAFLD often become treatment dropouts, and a relapse is observed in more than 90% of these children. The efficacy of drugs from reports in the literature is shown in Table 4. However, these reports are limited to children<sup>[47,48]</sup>. In many cases, transaminase levels can be normalized by weight loss of approximately 5%.

The prognosis of NASH in adults is still obscure. Previous studies reported that 5%-20% of patients develop liver cirrhosis within 5-10 follow-up years. Liver re-biopsy within 3-6 years revealed that 40%-50% of patients showed no change, 30%-50% worsened, and 20%-30% improved<sup>[49]</sup>. AST and ALT levels and disease progression sometimes do not correlate, particularly if there are no subjective symptoms. 10%-20% of the patients showed liver cirrhosis.

A long history of lifestyle-related diseases, severe obesity, type 2 diabetes, low platelet count, rise in fibrosis

markers (hyaluronic acid and type IV collagen 7S), and liver dysfunction are assumed to affect NASH-associated liver cirrhosis. There are no large-scale studies on childhood NASH, and the prognosis is unknown. Therefore, careful evaluation of fibrosis should be performed during their follow-up.

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