

## Original Article

# HER4 is a novel prognostic biomarker in gastrointestinal stromal tumor specifically originated from stomach

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**Abstract:** HER family has been implicated in a number of malignant tumors for predicting prognosis and potential targeted therapy purposes, however, the prognostic roles of HER family in GISTs have not been elaborated yet. Our study aims to fully evaluate the prognostic value of HER family in GIST patients and efficacy of imatinib adjuvant therapy. For HER family expression detection, qPCR were used in 33 fresh GIST specimens, and then, 453 GIST samples (405 GISTs with operation only and 48 with imatinib adjuvant therapy after radical surgery) were collected for tissue microarrays construction and immunohistochemistry (IHC). Clinicopathological data were confirmed by pathological diagnosis and clinical recorders, recurrence-free survivals (RFS) were evaluated in 453 GIST patients. With qPCR and IHC performed, EGFR, HER2 and HER4 are focused on examining prognostic value in remainder of our study by high positive expression rates in GISTs. In high-risk GISTs with or without imatinib adjuvant therapy, EGFR negative expression are associated with decreased RFS when compared to positive cases. HER2 present no relationship with GIST patients' prognosis. HER4 positive expression significantly associated with disease recurrence in GISTs. Further subgroup studies revealed HER4 was an independent prognostic indicator especially for gastric GISTs, and also for gastric high-risk GISTs. In our study, detection of EGFR expression helps to precisely subdivide high-risk GISTs for different prognosis and probably predict outcomes for imatinib treatment. HER4 is a novel independent prognostic biomarker for gastric GISTs specifically, which could be potential therapeutic target in GISTs originated from stomach.

**Keywords:** Gastrointestinal stromal tumor, EGFR, HER2, HER4, prognosis

## Introduction

Gastrointestinal stromal tumors (GISTs) accounts for more than 80% of all gastrointestinal mesenchymal tumors [1]. As it ranks below only gastric and colorectal cancers, GIST is among the most common types of gastrointestinal tumors with increased incidence in recent years [2-4].

Most of GISTs show abnormal activation of the tyrosine kinase proteins KIT or PDGFRa, which were transcribed by specific mutations in these genes. For evaluating the potential malignancy and predicting the prognosis of GISTs, several criteria were widely accepted as risk-stratification schemes for GISTs with similar accuracy, such as NIH consensus criteria, Modified NIH

criteria or AFIP criteria, the mitosis count, tumor size, tumor site were important prognostic indicators in these schemes [5]. Following the operation, a considerable amount of patients suffered disease recurrence especially in high-risk GISTs [5]. Although the majority of KIT or PDGFRa mutations predict response to the tyrosine kinase inhibitor imatinib, however, still quite a part of GISTs relapsed even with imatinib adjuvant therapy [6, 7], and almost all advanced GIST patients eventually develop resistance to imatinib treatment [8]. On the other hand, with the same KIT or PDGFRa mutations as highly malignant GISTs, the observation on micro-GISTs (1-10 mm size), which were present high incidence from stomachs, suggested these mutations probably not sufficient for progression to an oncologically threatening

lesion even kinase gene mutations occur very early in GISTs tumorigenesis [9]. All of these indicated some unrevealed biological characteristics that derive tumorigenesis and progression in GISTs.

HER (human epidermal growth factor receptor related) family includes four transmembrane tyrosine kinase receptors named EGFR (HER1, ErbB1), HER2 (ErbB2), HER3 (ErbB3) and HER4 (ErbB4), which shared highly conserved extracellular domain, transmembrane junction, and intracellular ATP-binding kinase domain [10]. HER family and their downstream signaling components had been implicated in a number of malignant tumors occurring in humans for predicting prognosis and potential targeted therapy purposes. Several monoclonal antibodies or small molecular agents for targeting these receptors have been identified and a significant number of them shown efficacy in clinical trials [10, 11]. However, the prognostic roles of HER family in GISTs have not been elaborated yet. Here, we use quantitative real-time PCR and immunohistochemistry for determining the differences of HER family mRNA and protein expression levels in GISTs, and tissue microarrays (TMAs) containing tumor samples (405 GISTs with operation only and 48 high-risk GISTs received imatinib adjuvant therapy after radical surgery) with known clinical outcomes for predicting prognosis by HER family.

### Materials and methods

#### *Ethics statement*

This project was approved by ethics committee of Ren Ji Hospital, Shanghai Jiao Tong University, School of Medicine for the use of samples. Informed consents were obtained from all patients before study inclusion.

#### *Patients and specimens*

33 flesh tumor tissues obtained from GIST patients during tumor resection between August 2012 to February 2014 were collected for detecting mRNA expression level of HER family by quantitative real-time PCR.

As for evaluation immunoreactivity and prognostic value of HER family in GISTs. GIST patients inclusion criteria were as follows: 1) a distinct pathologic diagnosis of GIST (CD117

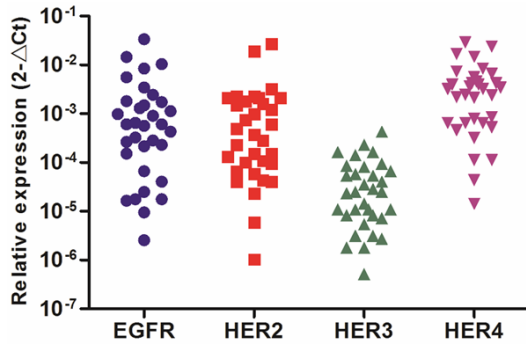
positive in immunohistochemistry staining); 2) underwent radical surgical resection, radical surgical resection in our study defined as margin-free resection and no metastasis detected before and during the surgery; 3) no radiotherapy, chemotherapy, nor other anti-cancer therapies prior to the surgery; and 4) availability of complete clinicopathologic and follow-up data. High-risk GIST patients with imatinib adjuvant therapy should meet extra inclusion criteria which required at least 12 months uninterrupted drugs taking with 400 mg/day in our study. The parameters, including patient age, gender, tumor site, tumor size and number of mitoses/50 high-power fields (HPF) were recorded in the official pathology database. The risk of aggressive tumor behavior was calculated according to the modified NIH criteria [12], which classified GIST into very low, low, intermediate, and high-risk categories.

A total of 453 paraffin-embedded tissue samples met the criteria were collected from GIST patients (405 GISTs with operation only and 48 high-risk GISTs received imatinib adjuvant therapy after radical surgery) at Ren Ji Hospital, Shanghai Jiao Tong University School of Medicine from June 2004 to May 2013 for TMAs construction and immunohistochemistry staining. Complete follow-up data until May 2014 for GIST patients were available. Recurrence free survival (RFS) was calculated from the date of tumor resection until the detection of tumor recurrence or last observation. The median follow-up of 405 GISTs with operation only was 53 months (range, 8-113 months). In high-risk GISTs with imatinib adjuvant therapy, the median follow-up was 45 months (range 22-74 months). Computed tomography (CT) and/or magnetic resonance imaging (MRI) were used to verify tumor recurrence in suspected cases.

#### *Total RNA extraction and quantitative real-time PCR*

Total RNA was extracted from 33 fresh GIST tissues using Trizol reagent (Takara, Dalian, China) followed the manufacturer instructions. The reverse-transcription reactions were carried out with random primers and M-MLV Reverse Transcriptase (Takara, Dalian, China). The 33 cases of cDNA were used for templates of quantitative real-time PCR reaction in SYBR-

## HER family in GISTs



**Figure 1.** Relative expression levels of HER family in GISTs by quantitative real-time PCR.

**Table 1.** Clinicopathological characters of GISTs for quantitative real-time PCR

	Number	%
Age (years)		
≤ 50	5	15.2
> 50	28	84.8
Gender		
Male	17	51.5
Female	16	48.5
Tumor site		
Stomach	21	63.6
Small bowel	12	36.4
Tumor size (cm)		
2.1-5.0	13	39.4
5.1-10.0	13	39.4
> 10.0	7	21.2
Mitoses per 50 HPFs		
≤ 5	24	72.7
6-10	4	12.1
> 10	5	15.2
Modified NIH criteria		
Low risk	14	42.4
Intermediate risk	6	18.2
High risk	13	39.4
Total	33	100.0

Green method. All the qPCR reactions were performed on a StepOne™ real-time PCR System (Applied Biosystems, Foster City, CA, USA). The specific primer sequences of HER family and 18s were as follow: EGFR [forward: 5'-GCTGGATGATAGACGCAGATAG-3'; reverse: 5'-TG-GGAACGGACTGGTTTATG-3'], HER2 [forward: 5'-CCCTGTTCTCCGATGTGTAAG-3'; reverse: 5'-AAGCAGAGGTGGGTGTTATG-3'], HER3 [forward: 5'-GGCGATGCTGAGAACCAATA-3'; re-

verse: 5'-GTGGCTGGAGTTGGTGTATAG-3'], HER4 [forward: 5'-CCGAGGATGAGTATGTGAATGAG-3'; reverse: 5'-CAGTATCCGGTGTCTGTAAAG-3'], and 18s [forward: 5'-TGCGAGTACTCAACACCAACA-3'; reverse: 5'-GCATATCTTCGGCCCACA-3']. 18s was used as an internal control. The  $2^{-\Delta Ct}$  method was used to quantify the relative HER family expression levels.

### Tissue microarrays construction

TMA's were constructed by Suzhou Xinxin Biotechnology Co., Ltd (Xinxin Biotechnology Co, Suzhou, China). Tissue paraffin blocks of GIST samples were stained with hematoxylin-eosin to confirm the diagnoses and marked at fixed points with most typical histological characteristics under a microscope. Two 1.6 mm cores per donor block were transferred into a recipient block tissue microarrayer, and each dot array contained fewer than one hundred and sixty dots. Three-micron-thick sections were cut from the recipient block and transferred onto glass slides using an adhesive tape transfer system for ultraviolet cross linkage.

### Immunohistochemistry

The tissue microarray glass slides were baked at 55°C for one hour, and then de-paraffinized gradually through xylene, 50% xylene, and gradient concentrations of ethanol until immersed in tap water. Tissue sections were blocked for peroxidase activity with 0.3% Hydrogen peroxide at 37°C for 30 mins. Antigen retrieval was carried out via boiling in 10 mmol/L citrate buffer (pH 6.0) for fifteen mins. Then the tissues were incubated with EGFR antibody (mouse monoclonal antibody, Abcam), ErbB2 antibody (mouse monoclonal antibody, Abcam), ErbB3 antibody (rabbit monoclonal antibody, CST) or ErbB4 antibody (mouse monoclonal antibody, Abcam) overnight at 4°C. Next day, the tissues were washed with phosphate buffer solution (PBS) for three times and incubated with goat anti-rabbit IgG-HRP (HUABIO) or goat anti-mouse IgG-HRP (ABCAM) secondary antibody for one hour at room temperature. Immunostaining was carried out using diaminobenzidine substrate chromogen (Dako, Carpinteria, CA, USA) method and chromogenic reaction was controlled under microscope. After immunostaining, tissues were immersed into hematoxylin for nuclear staining. The slides were

## HER family in GISTs

**Table 2.** IHC scores for HER family in 453 GISTs (405 GISTs with operation only and 48 high-risk GISTs with imatinib adjuvant therapy)

	EGFR	%	HER2	%	HER3	%	HER4	%
Score								
0	28	6.2	39	8.6	434	95.8	20	4.4
1	172	38.0	177	39.1	19	4.2	209	46.1
2	253	55.8	237	52.3	0	0.0	224	49.4
Total	453	100.0	453	100.0	453	100.0	453	100.0

then dehydrated through gradient concentrations of ethanol, cleared with xylene, and cover-slipped with neutral balsam.

Judgment criteria for immunoreactivity of HER family in GISTs was referred from Edris et al's research [13]. TMAs were scored as follows: 0: absence of any staining; 1: weak staining whether diffusely or focally present in the tumor; 2: strong staining whether diffusely or focally present in the tumor. Score 0-1 was considered as negative (-) and a score of 2 was positive (+) for subsequent statistical analyses.

### Statistical analysis

Statistical analyses were conducted using SPSS for Windows (version 21.0) and MedCalc (version 11.4.2.0). RFS was calculated according to Kaplan-Meier method and log-rank test was used for comparing the survival distributions. Univariate and multivariate analyses were based on the cox proportional hazards regression model. All statistical tests were two-sided. *P* value less than 0.05 was considered statistically significant.

## Results

### HER family mRNA and protein expression in GISTs

To determine the differences in expression of HER family in GISTs, we analyzed mRNA transcript levels of HER family by quantitative real-time PCR from 33 GISTs. Scatter dot plot for mRNA relative expression levels ( $2^{-\Delta Ct}$ ) of HER family in GISTs were shown in **Figure 1**, which HER3 showed a low levels of expression in GISTs. The clinicopathological characters of GISTs for quantitative real-time PCR were shown in **Table 1**.

To confirm HER family expression, we performed immunohistochemistry (IHC) study using TMAs that contained 453 GISTs (405 GISTs with operation only and 48 high-risk GISTs with imatinib adjuvant therapy). Similar to our quantitative real-time PCR findings, GISTs on TMAs fail to react with HER3 antibody (434 cases with score 0 and 19 cases with score 1), but EGFR, HER2 and HER4 showed

strong IHC reactivity in GISTs (**Table 2**). Representative stains of HER family scored as 0, 1 and 2 were shown in **Figure 2**. Negative (score 0-1) or positive (score 2) expression were used for subsequent statistical analyses as aforementioned. Given the IHC reactivity of HER family observed, we focused remainder of our study on examining the prognostic value of EGFR, HER2 and HER4 in GISTs. The clinicopathological characters of GISTs with operation only and high-risk GISTs with imatinib adjuvant therapy could be referred from **Tables 3** and **4**.

In 405 GISTs with operation only, subgroup studies were designed based on different tumor site and modified NIH criteria. GISTs from stomach (283/405, 58.8%) and small bowel (129/405, 31.9%) are majority positions that GISTs occur, with different clinical outcomes, were set as subgroups for further analyses. High-risk GIST patients which suffered worst prognosis than very low, low and intermediate-risk were also the most important population deserved attention in GISTs. Kaplan-Meier survival analyses with log-rank test for RFS of GISTs sorted by different tumor site and modified NIH criteria were shown in **Figure 3**.

### EGFR negative expression predict poor prognosis of high-risk GISTs and imatinib treatment outcome

In GISTs with operation only, we found no significant association between EGFR expression and disease recurrence by univariate and multivariate cox proportional hazards model analyses (**Table 5**). But in high-risk GISTs, tumors with EGFR negative expression were associated with decreased RFS when compared to cases expressed EGFR positively by Kaplan-Meier survival analysis with log-rank test (*P* = 0.040, **Figure 4A**) and univariate analysis (*P* = 0.037, **Table 6**).

HER family in GISTs

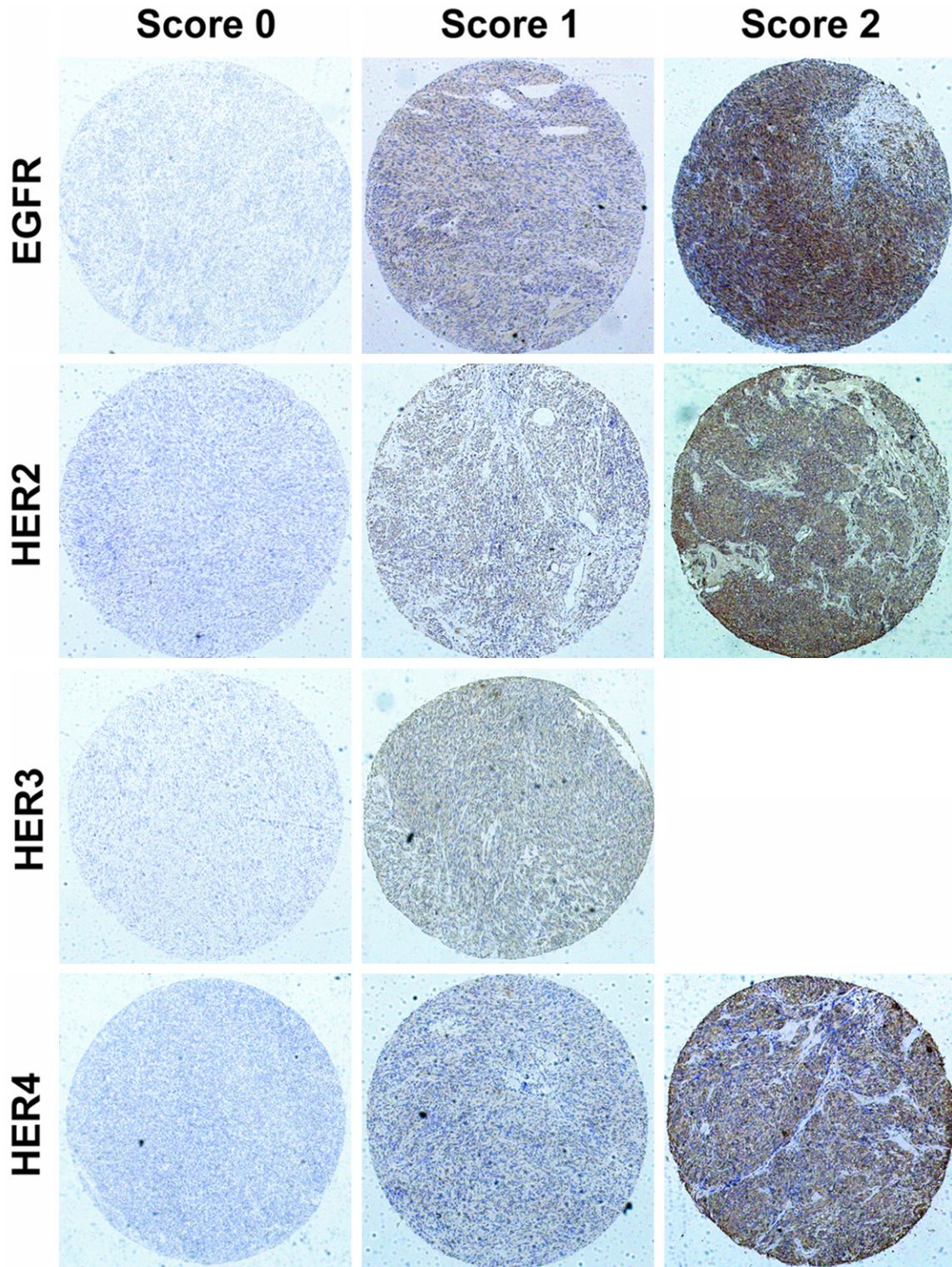


Figure 2. Representative immunohistochemical stains for HER family in GISTs.

In high-risk GISTs with imatinib adjuvant therapy, both univariate and multivariate analyses showed EGFR negative expression significantly

associated with unsatisfied imatinib treatment outcome ( $P = 0.043$  and  $0.027$ , **Table 7**). Kaplan-Meier survival analysis with log-rank

## HER family in GISTs

**Table 3.** IHC expression for HER family and clinicopathological characters in GISTs with operation only

	Total	%	EGFR				HER2				HER4			
			-	%	+	%	-	%	+	%	-	%	+	%
<b>Age (years)</b>														
≤ 50	81	20.0	38	9.4	43	10.6	42	10.4	39	9.6	45	11.1	36	8.9
> 50	324	80.0	139	34.3	185	45.7	153	37.8	171	42.2	162	40.0	162	40.0
<b>Gender</b>														
Male	215	53.1	101	24.9	114	28.1	109	26.9	106	26.2	113	27.9	102	25.2
Female	190	46.9	76	18.8	114	28.1	86	21.2	104	25.7	94	23.2	96	23.7
<b>Tumor site</b>														
Stomach	238	58.8	111	27.4	127	31.4	126	31.1	112	27.7	128	31.6	110	27.2
Small bowel	129	31.9	45	11.1	84	20.7	44	10.9	85	21.0	55	13.6	74	18.3
Colorectum	19	4.7	14	3.5	5	1.2	15	3.7	4	1.0	11	2.7	8	2.0
Others	19	4.7	7	1.7	12	3.0	10	2.5	9	2.2	13	3.2	6	1.5
<b>Tumor size (cm)</b>														
≤ 2.0	36	8.9	23	5.7	13	3.2	27	6.7	9	2.2	26	6.4	10	2.5
2.1-5.0	194	47.9	84	20.7	110	27.2	89	22.0	105	25.9	102	25.2	92	22.7
5.1-10.0	115	28.4	41	10.1	74	18.3	53	13.1	62	15.3	52	12.8	63	15.6
> 10.0	60	14.8	29	7.2	31	7.7	26	6.4	34	8.4	27	6.7	33	8.1
<b>Mitoses per 50 HPFs</b>														
≤ 5	327	80.7	145	35.8	182	44.9	162	40.0	165	40.7	174	43.0	153	37.8
6-10	43	10.6	17	4.2	26	6.4	17	4.2	26	6.4	15	3.7	28	6.9
> 10	35	8.6	15	3.7	20	4.9	16	4.0	19	4.7	18	4.4	17	4.2
<b>Modified NIH criteria</b>														
Very low risk	32	7.9	21	5.2	11	2.7	26	6.4	6	1.5	25	6.2	7	1.7
Low risk	187	46.2	83	20.5	104	25.7	85	21.0	102	25.2	98	24.2	89	22.0
Intermediate risk	62	15.3	24	5.9	38	9.4	34	8.4	28	6.9	31	7.7	31	7.7
High risk	124	30.6	49	12.1	75	18.5	50	12.3	74	18.3	53	13.1	71	17.5
<b>Total</b>	<b>405</b>	<b>100.0</b>	<b>177</b>	<b>43.7</b>	<b>228</b>	<b>56.3</b>	<b>195</b>	<b>48.1</b>	<b>210</b>	<b>51.9</b>	<b>207</b>	<b>51.1</b>	<b>198</b>	<b>48.9</b>

test for RFS also present a decreased curve in EGFR negative expression tumors compared with positive cases ( $P = 0.029$ , **Figure 4B**).

### *Expression of HER2 present no relationship with prognosis in GISTs*

Although quite a part of GISTs performed HER2 positive expression, no significant association for prognosis was found between HER2 and GISTs by univariate and multivariate analyses (**Tables 5 and 6**). The results were same in high-risk GISTs with imatinib adjuvant therapy (**Table 7**).

### *HER4 acts as an independent prognostic indicator for gastric GISTs*

Compared with other members from HER family, HER4 was less notable in current cancer research, but present its specific value for

GISTs in our study. In GISTs with operation only, we found HER4 positive expression significantly associated with disease recurrence by univariate and multivariate cox proportional hazards model analyses ( $P = 0.023$  and  $0.007$ , **Table 5**). Further subgroup univariate and multivariate studies revealed HER4 was an independent prognostic indicator specifically for gastric GISTs ( $P = 0.011$  and  $0.007$ , **Table 5**), and also for gastric high-risk GISTs ( $P = 0.037$  and  $0.020$ , **Table 6**). Kaplan-Meier survival analyses with log-rank test for RFS showed decreased curves in HER4 positive expression tumors compared with negative cases in gastric GISTs (**Figure 5**).

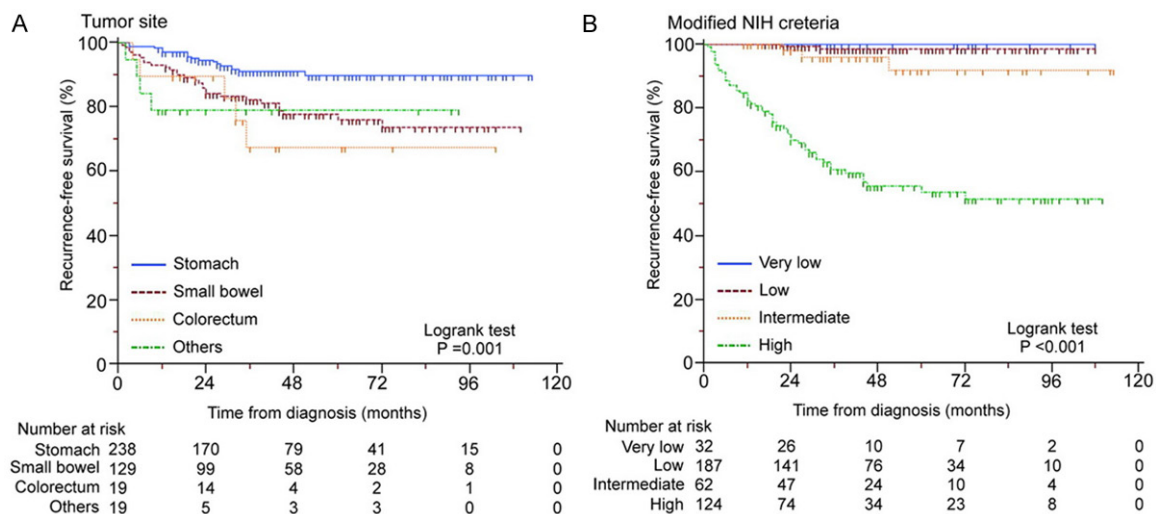
## **Discussion**

Many studies had shown that deregulated HER family could play very important roles in cancer tumorigenesis and progression [10, 14, 15].

## HER family in GISTs

**Table 4.** IHC expression for HER family and clinicopathological characters in high-risk GISTs with imatinib adjuvant therapy

	Total	%	EGFR				HER2				HER4			
			-	%	+	%	-	%	+	%	-	%	+	%
<b>Age (years)</b>														
≤ 50	7	14.6	2	4.2	5	10.4	3	6.3	4	8.3	2	4.2	5	10.4
> 50	41	85.4	21	43.8	20	41.7	18	37.5	23	47.9	20	41.7	21	43.8
<b>Gender</b>														
Male	28	58.3	13	27.1	15	31.3	14	29.2	14	29.2	13	27.1	15	31.3
Female	20	41.7	10	20.8	10	20.8	7	14.6	13	27.1	9	18.8	11	22.9
<b>Tumor site</b>														
Stomach	18	37.5	11	22.9	7	14.6	9	18.8	9	18.8	9	18.8	9	18.8
Small bowel	23	47.9	9	18.8	14	29.2	9	18.8	14	29.2	10	20.8	13	27.1
Colorectum	3	6.3	2	4.2	1	2.1	1	2.1	2	4.2	2	4.2	1	2.1
Others	4	8.3	1	2.1	3	6.3	2	4.2	2	4.2	1	2.1	3	6.3
<b>Tumor size (cm)</b>														
≤ 2.0	1	2.1	0	0.0	1	2.1	0	0.0	1	2.1	1	2.1	0	0.0
2.1-5.0	3	6.3	0	0.0	3	6.3	0	0.0	3	6.3	1	2.1	2	4.2
5.1-10.0	24	50.0	15	31.3	9	18.8	12	25.0	12	25.0	10	20.8	14	29.2
> 10.0	20	41.7	8	16.7	12	25.0	9	18.8	11	22.9	10	20.8	10	20.8
<b>Mitoses per 50 HPFs</b>														
≤ 5	17	35.4	7	14.6	10	20.8	7	14.6	10	20.8	6	12.5	11	22.9
6-10	16	33.3	8	16.7	8	16.7	8	16.7	8	16.7	10	20.8	6	12.5
> 10	15	31.3	8	16.7	7	14.6	6	12.5	9	18.8	6	12.5	9	18.8
<b>Total</b>	<b>48</b>	<b>100.0</b>	<b>23</b>	<b>47.9</b>	<b>25</b>	<b>52.1</b>	<b>21</b>	<b>43.8</b>	<b>27</b>	<b>56.3</b>	<b>22</b>	<b>45.8</b>	<b>26</b>	<b>54.2</b>



**Figure 3.** Kaplan-Meier survival analyses with log-rank test for RFS of GISTs sorted by different tumor site (A) and modified NIH criteria (B).

Furthermore, HER family had been proven as therapeutic targets evidenced by several FDA-approved antibodies such as cetuximab (Erbix) and trastuzumab (Herceptin), and a series of small molecule drugs for targeting

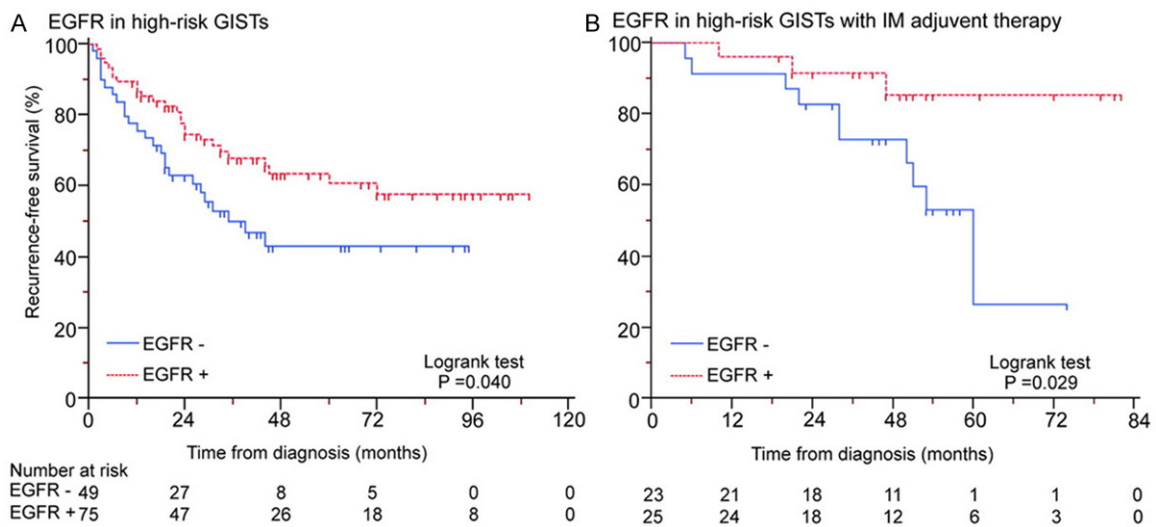
HER family. These therapeutics have already shown clinical efficacy in a wide range of cancer types [10, 11]. However, the studies about relationship between HER family and GISTs were very limited, EGFR was once reported high

## HER family in GISTs

**Table 5.** Univariate & multivariate cox proportional hazards model to predict factors associated with RFS in GISTs with operation only

Variable	Univariate		Multivariate	
	Hazard Ratio (95% CI)	P value	Hazard Ratio (95% CI)	P value
<b>Total</b>				
Age	1.414 (0.692-2.887)	0.342	0.905 (0.422-1.943)	0.799
Gender	0.413 (0.231-0.738)	0.003**	0.523 (0.287-0.954)	0.034*
Tumor site	1.728 (1.309-2.281)	< 0.001**	1.392 (1.034-1.875)	0.029*
Tumor size	4.280 (2.990-6.127)	< 0.001**	2.812 (1.900-4.161)	< 0.001**
Mitosis count	4.251 (3.180-5.681)	< 0.001**	2.762 (1.980-3.850)	< 0.001**
EGFR	0.703 (0.415-1.188)	0.188	0.648 (0.360-1.166)	0.148
HER2	1.063 (0.628-1.799)	0.819	0.949 (0.515-1.749)	0.867
HER4	1.870 (1.088-3.213)	0.023*	2.370 (1.269-4.423)	0.007**
<b>Stomach sub-group</b>				
Age	1.507 (0.348-6.527)	0.583	1.099 (0.229-5.273)	0.906
Gender	0.339 (0.129-0.892)	0.028*	0.942 (0.313-2.838)	0.915
Tumor size	4.452 (2.407-8.235)	< 0.001**	2.225 (1.101-4.499)	0.026*
Mitosis count	7.181 (4.207-12.256)	< 0.001**	6.337 (3.302-12.159)	< 0.001**
EGFR	0.745 (0.302-1.836)	0.522	0.730 (0.245-2.174)	0.572
HER2	1.298 (0.527-3.198)	0.571	1.453 (0.498-4.242)	0.494
HER4	3.745 (1.348-10.410)	0.011*	5.207 (1.567-17.297)	0.007**
<b>Small bowel sub-group</b>				
Age	2.114 (0.803-5.565)	0.129	0.743 (0.228-2.419)	0.622
Gender	0.651 (0.287-1.480)	0.306	0.508 (0.209-1.232)	0.134
Tumor size	3.847 (2.325-6.365)	< 0.001**	3.141 (1.687-5.847)	< 0.001**
Mitosis count	3.452 (2.234-5.334)	< 0.001**	2.282 (1.359-3.832)	0.002**
EGFR	0.490 (0.232-1.032)	0.060	0.539 (0.232-1.249)	0.149
HER2	0.653 (0.308-1.382)	0.265	1.003 (0.397-2.535)	0.995
HER4	0.977 (0.461-2.067)	0.951	1.217 (0.485-3.053)	0.675

\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ .



**Figure 4.** EGFR negative expression predicts poor prognosis in high-risk GISTs (A) and imatinib treatment outcome (B).



## HER family in GISTs

**Table 6.** Univariate & multivariate cox proportional hazards model to predict factors associated with RFS in high-risk GISTs with operation only

Variable	Univariate		Multivariate	
	Hazard Ratio (95% CI)	P value	Hazard Ratio (95% CI)	P value
<b>Total</b>				
Age	1.120 (0.524-2.393)	0.770	0.800 (0.357-1.794)	0.588
Gender	0.584 (0.315-1.081)	0.087	0.582 (0.310-1.093)	0.092
Tumor site	1.062 (0.770-1.466)	0.714	1.163 (0.845-1.600)	0.354
Tumor size	1.582 (1.001-2.500)	0.049*	1.726 (1.061-2.808)	0.028*
Mitosis count	2.060 (1.480-2.868)	< 0.001**	2.159 (1.518-3.072)	< 0.001**
EGFR	0.567 (0.327-0.984)	0.044*	0.608 (0.319-1.159)	0.131
HER2	0.804 (0.464-1.394)	0.438	0.958 (0.505-1.817)	0.895
HER4	1.301 (0.742-2.284)	0.359	1.967 (1.028-3.761)	0.041*
<b>Stomach sub-group</b>				
Age	1.173 (0.265-5.183)	0.833	0.579 (0.106-3.150)	0.527
Gender	0.694 (0.224-2.154)	0.528	0.725 (0.204-2.572)	0.618
Tumor size	1.060 (0.557-2.019)	0.859	1.450 (0.608-3.458)	0.402
Mitosis count	3.590 (1.626-7.928)	0.002**	4.641 (1.690-12.740)	0.003**
EGFR	0.533 (0.197-1.440)	0.215	0.563 (0.145-2.192)	0.408
HER2	0.856 (0.320-2.285)	0.756	1.268 (0.386-4.166)	0.696
HER4	3.345 (1.076-10.394)	0.037*	4.632 (1.269-16.904)	0.020*
<b>Small bowel sub-group</b>				
Age	1.039 (0.357-3.025)	0.944	0.804 (0.237-2.731)	0.727
Gender	0.495 (0.215-1.136)	0.097	0.513 (0.217-1.212)	0.128
Tumor size	1.933 (0.978-3.821)	0.058	1.678 (0.799-3.524)	0.172
Mitosis count	2.061 (1.296-3.279)	0.002**	1.984 (1.199-3.285)	0.008**
EGFR	0.452 (0.210-0.974)	0.043*	0.551 (0.232-1.306)	0.176
HER2	0.689 (0.321-1.476)	0.337	0.959 (0.366-2.515)	0.933
HER4	0.742 (0.346-1.589)	0.442	1.048 (0.400-2.742)	0.924

\*, P < 0.05; \*\*, P < 0.01.

**Table 7.** Univariate & multivariate cox proportional hazards model to predict factors associated with RFS in high-risk GISTs with imatinib adjuvant therapy

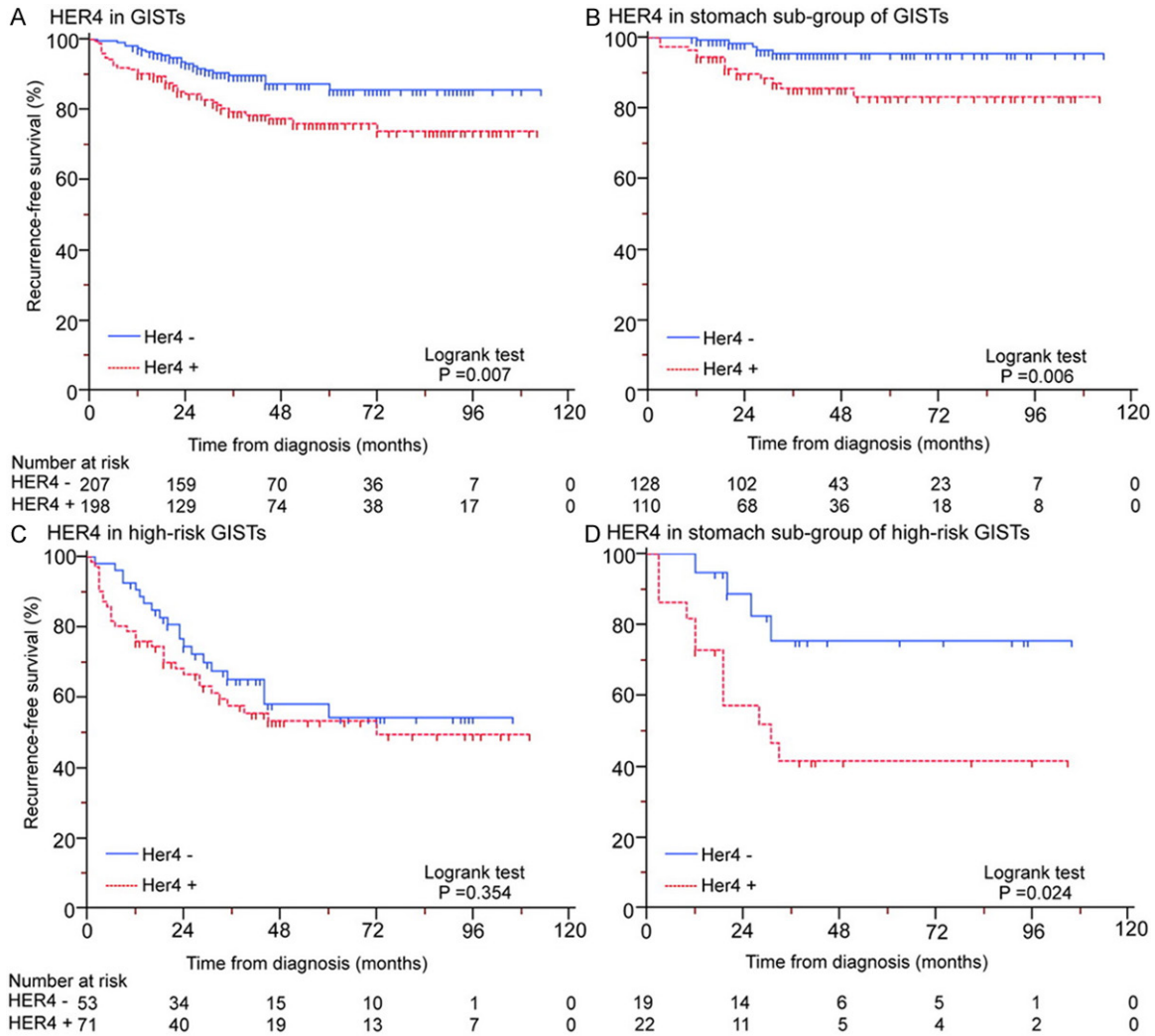
Variable	Univariate		Multivariate	
	Hazard Ratio (95% CI)	P value	Hazard Ratio (95% CI)	P value
Age	0.684 (0.188-2.498)	0.566	0.582 (0.131-2.594)	0.478
Gender	0.993 (0.332-2.974)	0.990	0.828 (0.223-3.071)	0.777
Tumor site	1.340 (0.701-2.561)	0.375	2.230 (1.065-4.669)	0.033*
Tumor size	1.811 (0.704-4.659)	0.218	1.778 (0.499-6.330)	0.374
Mitosis count	1.514 (0.749-3.059)	0.248	2.243 (0.942-5.345)	0.068
EGFR	0.260 (0.070-0.957)	0.043*	0.195 (0.046-0.827)	0.027*
HER2	0.578 (0.192-1.735)	0.328	0.871 (0.244-3.113)	0.832
HER4	0.891 (0.294-2.706)	0.839	1.216 (0.353-4.193)	0.756

\*, P < 0.05.

immunohistochemical overexpression level but with no exhibition of gene amplification by fluorescence *in situ* hybridization (FISH) in 82 GIST

cases [16]. Here, we present the first large-scale characterization of HER family expression in GISTs. The initial mRNA expression detection were conducted by quantitative real-time PCR and then confirmed by a larger number of GISTs on 453 cases (405 cases with operation only and 48 high-risk cases received imatinib adjuvant therapy after radical surgery) by IHC on TMAs. HER3 was excluded after mRNA and protein level detection with negative expression in GISTs. EGFR, HER2 and HER4, which present high positive expression propor-

## HER family in GISTs



**Figure 5.** Expression of HER4 for predicting prognosis in GISTs (A), stomach subgroup of GISTs (B), high-risk GISTs (C) and stomach subgroup of high-risk GISTs (D) with operation only.

tion (55.8%, 52.3% and 49.4%, respectively) in GISTs, were focused on examining the prognostic value in remainder of our study.

Although EGFR overexpression might predict poor prognosis in many cancer types [10, 11], EGFR negative expression cases in high-risk GISTs in our study presented a poor outcome for prognosis, and more important, EGFR negative expression might be an independent adverse indicator for efficacy of imatinib adjuvant therapy through multivariate cox proportional hazards model analysis. GIST patients classified as high-risk by modified NIH criteria faced worst prognosis than other risk grades, but even in the same high-risk GISTs, clinical outcomes were various no matter with or without imatinib treatment. Detection expression of EGFR may help to precisely subdivide high-risk

GISTs for different prognosis and imatinib treatment outcome.

HER2 has already been a very important biomarker and therapeutic target in breast and gastric cancer [17, 18]. Although positive expression of HER2 was high in our study, no significant association for prognosis was found between HER2 and GISTs, and as for anti-HER2 therapeutic strategies in GISTs still need further evaluation.

HER4 is frequently upregulated in various tumor tissues [19-21] and established as having critical roles with tumor progression and metastasis by activation on PI3K-AKT cascade and focal adhesion kinase [22, 23], experimental blockade or down-regulation of HER4 can suppress tumor cell proliferation and growth

[24, 25], which indicate that HER4 is not only a valuable biomarker but also a potential target for anticancer therapy. With absence of HER3 in GISTs, HER4 play an interesting role in our study. By univariate and multivariate cox proportional hazards model analyses in GISTs with operation only and subgroups, HER4 was revealed as an independent prognostic indicator specifically for gastric GISTs, and also for gastric high-risk GISTs. Stomach was the most common primary site of GISTs, which occupied more than 50% incidence rate in GIST patients [5]. Although diverse biological behaviors and various clinical outcomes from different tumor site had been noticed by researchers [5, 12], there are still no biomarkers in distinguishing or predicting prognosis for specific site of GISTs now. The results of our study indicated HER4 was a novel prognostic biomarker especially for gastric GISTs, which implied HER4 could be an important molecular target participated in tumorigenesis and progression of GISTs originated from stomach.

The limitations of our study are mainly in follow-up time and sample size for high-risk GIST patients with imatinib adjuvant therapy. By prolonging the duration of imatinib treatment can apparently improve 5 years' RFS, and quite a part of GIST patients only present tumor recurrence after stopping imatinib therapy [7, 26], which implied we need more sufficient time for following-up especially after patients stopping drugs taking for imatinib treatment evaluation purpose. In consideration of above-mentioned, the result that EGFR negative expression was an adverse indicator for efficacy of imatinib adjuvant therapy in our study can not be considered as fully confidential evidence, but providing suggestion for further confirmation. HER2 and HER4 showed no relationship in predicting imatinib treatment outcome in our current study.

In summary, detection of EGFR expression help to precisely subdivide high-risk GISTs for different prognosis and probably predict outcomes of imatinib treatment. HER4 is a novel independent prognostic biomarker for gastric GISTs specifically, which could be potential therapeutic target in GISTs originated from stomach.

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None.

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